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1 Current Biology Report

2 Title: A feathered dinosaur tail with primitive plumage trapped in mid-Cretaceous amber

3

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- 29

Summary. In the two decades since the discovery of feathered dinosaurs [1-3], the range of 31 plumage known from non-avialan theropods has expanded significantly, confirming several 32 features predicted by developmentally informed models of feather evolution [4–10]. However, 33 three-dimensional feather morphology and evolutionary patterns remain difficult to interpret, due 34 to compression in sedimentary rocks [9,11]. Recent discoveries in Cretaceous amber from 35 Canada, France, Japan, Lebanon, Myanmar, and the USA [12–18] reveal much finer levels of 36 structural detail, but taxonomic placement is uncertain because plumage is rarely associated with 37 identifiable skeletal material [14]. Here we describe the feathered tail of a non-avialan theropod 38 preserved in mid-Cretaceous (~99 Ma) amber from Kachin State, Myanmar [17], with plumage 39 structure that informs directly the evolutionary developmental pathway of feathers. This 40 specimen provides an opportunity to document pristine feathers in direct association with a 41 42 putative juvenile coelurosaur, preserving fine morphological details, including the spatial arrangement of follicles and feathers on the body, and micrometre-scale features of the plumage. 43 44 Many feathers exhibit a short, slender rachis with alternating barbs and a uniform series of contiguous barbules, supporting the developmental hypothesis that barbs already possessed 45 46 barbules when they fused to form the rachis [19]. Beneath the feathers, carbonized soft tissues offer a glimpse of preservational potential and history for the inclusion; abundant Fe²⁺ suggests 47 vestiges of primary haemoglobin and ferritin remain trapped within the tail. The new find 48 49 highlights the unique preservation potential of amber for understanding the morphology and 50 evolution of coelurosaurian integumentary structures.

51

52 Keywords: Coelurosauria; feather evolution; Burmese amber; Cenomanian

53

54 **Results and Discussion:**

Preservation. The tail within DIP-V-15103 is visible to the naked eye as an elongate and gently curved structure (length = 36.73 mm). A dense covering of feathers protrudes from the tail, obscuring underlying details, so Synchrotron Radiation (SR) X-ray phase contrast μ CT scanning was employed to examine concealed osteological and soft tissue features (Figure 1). Soft tissues—presumably muscles, ligaments, and skin—are visible sporadically through the plumage, clinging to the bones in a manner suggestive of the desiccation common to other

vertebrate remains in amber [20]. These tissues have largely been reduced to a carbon film, 61 retaining only traces of their original chemical composition. Based on analyses further described 62 in the Supplemental Information, SR µ-XFI shows iron is present in the carbonized soft tissues, 63 and as a series of fine linear features corresponding to exposed plumage (Figure 2). Copper is 64 slightly more abundant in amber containing plumage, but this signal is cryptic and not a clear 65 indicator for preserved pigments. Elements such as Ca, Sc, Zn, Ti, Ge, Mn appear associated 66 with clay minerals filling voids in the amber. We derived the valence state of iron in the sample 67 qualitatively by comparison to the standard XAS of Fe foil, Fe₂O₃, Fe₃O₄, and FeO. Our 68 calculations indicate that more than 80% of iron in the sample is ferrous (Fe^{2+}). Similar 69 measurements have been made on vessels preserved within Tvrannosaurus and 70 Brachylophosaurus bones, and interpreted as indicating the presence of goethite and biogenic 71 72 iron oxides produced from haemoglobin decomposition [21]. The presence of large quantities of Fe²⁺ in DIP-V-15103 suggests that some primary iron from haemoglobin or ferritin remains 73 74 trapped within the inclusion. SEM analyses show pyrite (FeS₂) is also present, but not as a significant contributor to the distribution of iron within the specimen (Figure S3). 75

76 The close contact between the skin and surrounding amber, paired with the mummified external appearance of the skin where it has shriveled across the surface of the vertebrae, suggest 77 78 one of two scenarios. Either the tail-bearer was dead and partially desiccated before encapsulation, or rapidly dried due to resin interactions. Early-stage drying is further supported 79 80 by the limited amount of cloudy amber surrounding the tail (Figures 1C, S2), which is a preservational feature related to decay products or moisture interacting with resin [22]. However, 81 drying and resin impregnation were not sufficient to preserve cellular detail in the soft tissues. 82 Based on the clavs observed where bone breaches the amber surface, skeletal material was likely 83 exposed on the surface after resin polymerization. The bone has been partially dissolved and 84 85 infilled with clay from the surrounding matrix [17], much like insect body cavities in this deposit (Figure S2A). Presence of Fe^{2+} within the carbonized remains suggests that organic components 86 were trapped early and remained undisturbed by subsequent events. Further taphonomic 87 constraints are difficult to infer. It is unclear whether the lack of melanosomes within the keratin 88 89 sheets of the surrounding feathers (Figures 2B, S3) might provide additional taphonomic information, or if their absence results from weakly pigmented feathers or the small sample area 90 91 available for SEM analyses. Artificial maturation experiments [23] have shown the breakdown of modern melanosomes at a range of temperatures, but this work was conducted at temperatures
that would also degrade amber. The taphonomic pathway that led to the preservation of DIP-V15103 is not entirely clear, but it suggests promise for more detailed examinations of organics or
pigmentation in vertebrate inclusions.

96

Osteology. SR X-ray µCT scanning of DIP-V-15103 (Figure 1) revealed that soft tissues have a 97 density insufficiently different from the partially replaced skeletal elements to permit X-ray 98 imaging and virtual dissection of osteology alone. Consequently, many diagnostic and 99 comparative osteological details remain obscured. However, two vertebrae are clearly delineated 100 ventrally (Figure 1F–H). Extrapolating lengths of these vertebrae, the preserved tail section 101 contains at least eight full vertebrae and part of a ninth. The vertebrae are elongate, with 102 103 anteroposterior lengths double the maximum diameter of the tail (Supplemental Table 1). Vertebral proportions and tail flexion preclude membership within the Pygostylia [sensu 24]. 104 Even with the skin adpressed to the bony surface, no features other than the grooved ventral sulci 105 of two centra are clearly visible. This lack of topography suggests that the vertebrae lack 106 107 prominent neural arches, transverse processes, or haemal arches. Therefore, the preserved segment is only a small mid to distal portion of what was likely a relatively long tail, with the 108 109 total caudal vertebral count not reasonably less than fifteen, and likely greater than twenty-five. 110 Based on specimen size, it also seems likely that the tail belonged to a juvenile.

DIP-V-15103 is interpreted as a non-avialan coelurosaur tail: its vertebral profiles and 111 estimated length rule out avebrevicaudan birds, oviraptorosaurs, and scansoriopterygians-112 lineages generally characterized by a short caudal series with subequal centra [25–27], with the 113 exception of *Epidendrosaurus*. The branched feathers have a weak pennaceous arrangement of 114 barbs consistent with non-avialan coelurosaurs, particularly paravians. Although the feathers are 115 116 somewhat pennaceous, none of the observed osteological features preclude a compsognathid [28] affinity. The presence of pennaceous feathers in pairs down the length of the tail may point 117 toward a source within Pennaraptora [9], placing a lower limit on the specimen's phylogenetic 118 position. However, the distribution and shape of the feathers only strongly supports placement 119 crownward of basal coelurosaurs, such as tyrannosaurids and compsognathids. In terms of an 120 upper limit, the specimen can be confidently excluded from Pygostylia; and it can likely be 121 122 excluded from the long-tailed birds, based on pronounced ventral grooves on the vertebral

123 centra. Additional taxonomic assessment details are provided in Supplemental Information.

124

Plumage. Both SR X-ray µCT reconstruction and standard light microscopy confirm feather 125 attachments throughout the preserved tail length (Figure 1). A bilaterally paired series of 126 posterodorsally oriented feathers extends from the dorsal midline (Figure 1D,E). Another row of 127 feathers is present at mid-height on each side of the tail, with feathers extending posterolaterally 128 at roughly 45° to its long axis (Figure 1D–G). These follicle pairs appear evenly spaced along the 129 length of the tail. Where the outlines of two vertebral centra are visible, follicles are located at 130 the mid-lengths of centra and at intervertebral joints. Ventral plumage is sparse, consisting of fine 131 feathers that follow the long axis of the tail closely (Figure 1 B,G,H). Overall, the plumage forms 132 laterally directed keels on either side of the vertebral column, providing a unique opportunity to 133 134 observe feather counts and orientations within the contour-like caudal plumage of a coelurosaur. DIP-V-15103 does not show the splaying of large pennaceous rectrices observed alongside the 135 posteriormost caudals of long-tailed birds [29]. Either splaying was absent in this individual, or 136 only present caudally, beyond the preserved region. Nevertheless, the arrangement of feathers 137 138 into lateral keels in DIP-V-15103 is similar to the paravian tail fan or frond [9]. Such arrangements, composed of different feather types, can occur not just at the distal tip but also 139 140 along the entire length of the tail. Amber preservation suggests that the tail fans and fronds preserved in paravians are not merely a taphonomic artefact of compression. 141

142 If DIP-V-15103 indeed represents a juvenile coelurosaur tail, the feathers most likely 143 characterize adult plumage—however there is some room for uncertainty. Basal taxa within Pennaraptora, such as *Similicaudipteryx*, are thought to have undergone dramatic moults that 144 affected the tail region [8], meanwhile some basal members of Pygostylia have precocial 145 juveniles with adult-like plumage [14]. The pennaceous feathers and barbules of DIP-V-15103 146 147 suggests an adult-like plumage, where feathers would not have been replaced by different morphotypes in subsequent moults. Alternatively, the feather-bearer may not have conformed to 148 the moult patterns found in modern birds. 149

The feathers of DIP-V-15103 are similar to each other in morphology, regardless of position on the tail (Figures 3, S4). All preserved feathers have a weakly defined rachis that is nearly indistinguishable from the barb rami apically, and slightly thickened basally (Figure 3). Both rachises and barbs are sub-cylindrical in cross section. Although the rachis thickens basally, the

maximum diameter near the follicle is approximately three times that of an adjacent barb ramus 154 (Figures 3, S4). Feathers near the anterior end of the dorsal series have the greatest basal 155 expansion observed among the plumage, with rachis widths approaching 60 µm (Figures 3, 156 4A,B). Rachises among these feathers become as narrow as 18 µm in apical positions, while barb 157 rami have widths ranging from 15 to 23 µm. Within individual feathers, barbs are positioned 158 alternately along the rachis, approaching an opposite arrangement basally, with wide spacing 159 between, and a weak planar arrangement (Figure 4). Flexion within the amber indicates barb 160 rami were flexible, and the rachis itself was somewhat flexible. The open, flexible structure of 161 these feathers is more analogous to modern ornamental feathers than to flight feathers, showing 162 structural similarities to the distal components of contour feathers in certain Anseriformes 163 (Figures 3, S5). The paired feather arrangement is similar to rectrices in modern birds, 164 165 suggesting that tracts had become established in basal tail plumage before pygostyle development, with tail plumage becoming more specialized over time. If the entire tail bore 166 167 plumage similar to that trapped in DIP-V-15103, the feather-bearer would likely have been incapable of flight. 168

169 The feathers of DIP-V-15103 display exquisitely preserved barbules. Strikingly, the simple barbules branch not only within individual barbs but also unmodified from the rachis (Figures 3; 170 4; S4G,H). In this regard, the feathers are comparable to the contours of many modern birds, 171 which also possess some barbules that originate from the rachis (rachidial barbules), although 172 173 usually from the proximal barb base and in reduced form. In DIP-V-15103, barbules branch in an evenly spaced, paired, and nearly symmetrical manner. This pattern remains consistent in both 174 proximal and distal barbules, from proximal to distal barbs, and along the rachis. Barbules are 175 consistently blade-shaped, with pigmentation outlining five basal cells followed by a poorly 176 177 differentiated pennulum lacking discernible nodes or nodal protrusions (Figure 3E-H). Close 178 spacing between barbules, combined with the orientation of their flattened surfaces (parallel to 179 the feather's long axis), yields open-vaned feathers that are largely pennaceous.

The weakly developed rachis and contiguous barbule branching in DIP-V-15103 represents a novel combination among theropods. Within the evolutionary developmental model of feathers [5], DIP-V-15103 appears intermediate between stages IIIa (rachis with naked barbs) and IIIb (barbs with barbules, lacking a rachis), but does not exactly fit Stage IIIa+b (rachis with barbs bearing barbules) (Figure 4C). In DIP-V-15103, barbs exhibit an alternating arrangement along a

poorly defined rachis, with nearly dichotomous branching apically, and barbules continue along 185 the surface of the rachis and barbs. The weakly developed rachis appears to have formed through 186 fusion of individual barbs that already possessed barbules (Stage IIIb), instead of fusion of naked 187 barbs (Stage IIIa) [5]. The barb branching pattern continues largely uninterrupted toward the 188 follicle, as do the pervasive, undifferentiated barbules. Unless the condition observed in DIP-V-189 15103 represents a secondary reduction of the rachis, the evolutionary pathway for feathers in 190 this coelurosaur may have been through Stage IIIb (barbs with barbules), not Stage IIIa (fusion 191 192 of naked barbs). Cytological observations of barbule development along the barb vane ridge support the evolutionary coupling of barbs and barbules [19,30]. Feather morphology of DIP-V-193 15103 contrasts with the reduced rachis and long, naked, filamentous barbs in the branched 194 caudal plumage of the dromaeosaurid *Sinornithosaurus* [6,8] and the therizinosauroid 195 196 Beipiaosaurus [31]. This either suggests a greater diversity of tail plumage in coelurosaurians than previously suspected, or a simplified form of more derived pennaceous feathers in DIP-V-197 15103. 198

The unusual barbule configuration in DIP-V-15103 suggests that barbules were primitively 199 200 distributed evenly throughout the length of the feather and only later became restricted to the barbs and proximal rachis and oriented so that their edges face the feather surfaces, as in modern 201 202 avians. In modern birds, barbule cells originate in the subperiderm and merge into a syncytium on either side of the barb vane ridge [32,33]. The symmetrical arrangement of barbules along the 203 204 barbs in DIP-V-15103 implies symmetry of barbule cells across the barb vane ridge. The contiguous barbule branching along the rachis probably occurs along the barb vane ridge leading 205 to the apicalmost barb. In the lineage leading to birds, the barbules became spatially restricted to 206 the barbs and the proximal portion of the rachis, presumably to accommodate increasing barb 207 208 number and density related to rigid pennaceous feathers (Stage IIIa+b and/or IV) [5]. 209 Alternatively, the barbule pattern in DIP-V-15103 may represent a highly derived and potentially experimental character state unrelated to the avian lineage. Whichever the case, DIP-V-15103 210 suggests that non-avialan theropods had a greater variety of feather forms than predicted from 211 developmental phenotypes in modern feathers [4,5,10]. 212

Traces of pigmentation exist within the entombed plumage. Discrete bands corresponding to basal cells within each barbule are visible due to loosely confined pigments (Figure 3C–H). Pigmentation is more pronounced within apical portions of each barbule, and in the barb rami

and rachis of dorsal feathers (Figures 1C, S4H). Coloration varies little within individual 216 217 feathers, but dorsal plumage is significantly darker than ventral plumage. Preserved coloration suggests a chestnut brown dorsal surface, contrasting against pale or almost white ventral 218 plumage (Figures 1A-C, S4A-D); however, taphonomic impacts on visible colors are unclear. A 219 small section of the pale ventral plumage was available for SEM observations. No melanosomes 220 were observed, suggesting ventral plumage was either unpigmented or pigmented through 221 alternative means, such as carotenoids [34]. Keratin sheets are visible within the feather layer, 222 223 displaying the distinctive, porous, laminar structure also observed in modern avian barbules under SEM (Figure S2A,B). 224

225 The theropod tail reported here is an astonishing fossil, highlighting the unique preservation potential of amber. Importantly, in the context of bird origins, feathers and flight are key 226 227 elements contributing to the success of the clade. Recent finds from Asia [1-4,6,8-11] have revealed unexpected diversity in feather morphologies and flight modes among the proliferation 228 229 of small Jurassic–Cretaceous theropods near the origin of birds with powered flight. DIP-V-15103 adds another morphotype to this diversity. The integration of developmental studies 230 [5,7,33] and paleontology yields enriched models of morphological character evolution that help 231 explain major evolutionary transitions in key clades such as theropods, including birds. With 232 233 preservation in amber, the finest details of feathers are visible in three-dimensions, providing concrete evidence for feather morphologies and arrangement upon the tail, and supporting an 234 important role for barbs and barbules in feather evolution. 235

236

237 Experimental Procedures:

DIP-V-15103 was imaged and observed using propagation phase contrast Synchrotron Radiation
X-ray microtomography (PPC-SR X-ray µCT); standard microscopy, micro- and
macrophotography (including transmitted, incident, dark field, and UV lighting); and scanning
electron microscopy (SEM). Chemical composition was analyzed using Synchrotron Radiation
micro-X-ray fluorescence imaging (µ-XFI), and X-ray absorption spectroscopy (XAS). Full
details of experimental procedures for imaging and chemical analyses are provided in
Supplemental Experimental Procedures. Feather morphological terms follow [5] and [35], while

pigmentation terminology follows [36]. Institutional abbreviations include DIP (Dexu Institute of

246 Palaeontology, Chaozhou, China); RSM (Royal Saskatchewan Museum, Regina, Canada).

Specimen measurements are based on ocular micrometer readings, or 3-D reconstructions (withcommentary).

249

250 Author Contributions:

L.X., R.M.: project design, leadership, funding, visualization, writing; X.X., W.P., T.M., P.C.:
morphological analysis, editing; G.L., M.B., Q.Y.: 3D modeling, elemental analysis, editing;
K.T.: taphonomic analysis; M.J.B., H.R.: data and CT model analysis, editing; J.Z.: geological
background; A.W.: SEM analysis, editing.

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350

- **Figure 1.** Photomicrographs and SR X-ray μCT reconstructions of DIP-V-15103. (A)
- 352 Dorsolateral overview. (B) Ventrolateral overview with decay products (bubbles in foreground,
- staining to lower right). (C) Caudal exposure of tail, showing darker dorsal plumage (top), milky
- amber, exposed carbon film around vertebrae (center). (D–H) Reconstructions focussing on
- dorsolateral, detailed dorsal, ventrolateral, detailed ventral, and detailed dorsal aspects of tail,
- respectively. Arrowheads in (A), (D) mark rachis of feather featured in Figure 4A. Asterisks in
- 357 (A) and (C) indicate carbonized film (soft tissue) exposure. Arrows in (B), (E–G) indicate shared
- 358 landmark, plus
- 359 bubbles
- 360 exaggerating rachis
- 361 dimensions;
- 362 brackets in (G) and
- 363 (H) delineate two
- 364 vertebrae with
- 365 clear transverse
- 366 expansion and
- 367 curvature of tail at
- 368 articulation.
- 369 Abbreviations for
- 370 feather rachises: d,
- dorsal; dl,
- 372 dorsalmost lateral;
- 373 vl, ventralmost
- 374 lateral; v, ventral.
- 375 Scale bars 5 mm in
- 376 A,B,D,F; 2 mm in
- 377 C,E,G,H. See also
- Figure S2.

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Figure 2. SR μ-XFI maps and scanning electron micrographs of DIP-V-15103. (A) Elemental
maps and ROI image for exposed soft tissue preservation in DIP-V-15103; black carbon film
surrounds clay minerals infilling void between vertebrae or partially replacing them; milky
amber related to decay surrounds vertebrae and plumage (ROI prior to clay flake removal better
visible in Figure S3H). (B) Patchy keratin preservation with traces of fibrous structure in DIP-V15103 ventral feather. (C) Fibrous keratin sheets and isolated melanosomes from barb of modern
Indian peafowl (*Pavo cristatus*; Galliformes). Scale bars = 2 mm in A; 1 μm in B,C. See also
Figure S3.



- **Figure 3.** Photomicrographs of DIP-V-15103 plumage. (A) Pale ventral feather in transmitted
- light (arrow indicates rachis apex). **(B)** Dark field image of (A), highlighting structure and visible
- color. (C) Dark dorsal feather in transmitted light, apex toward bottom of image. (D) Base of
- ventral feather (arrow) with weakly developed rachis. (E) Pigment distribution and
- 393 microstructure of barbules in (C), with white lines pointing to pigmented regions of barbules. (F-
- **H)** Barbule structure variation and pigmentation, among barbs, and 'rachis' with rachidial
- barbules (near arrows); images from apical, mid-feather, and basal positions respectively. Scale
- bars = 1 mm in A, 0.5 mm in B–E, 0.25 mm in F-H. See also Figure S4.



Figure 4. DIP-V-15103 structural overview, and feather evolutionary-developmental model fit.
(A,B) Overview of largest and most planar feather on tail (dorsal series, anterior end), with
matching interpretive diagram of barbs and barbules. Barbules omitted on upper side and on one
barb section (near black arrow) to show rachidial barbules and structure; white arrow indicates
follicle. (C) Evolutionary-developmental model and placement of new amber specimen. Brown
acalamus, blue = barb ramus, red = barbule, purple = rachis [after 5, 12]. Scale bars = 1 mm in
A,B.



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