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Hydrological Processes

Unraveling complex hydrogeological processes in Andean basins in south-central Chile: An integrated assessment to understand hydrological dissimilarity

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Complete List of Authors:	Muñoz, Enrique; Universidad Católica de la Santísima Concepción, Department of Civil Engineering; University of Bristol, Water and Environment Engineering Research Group; Centro de Investigación en Biodiversidad y Ambientes Sustentables (CIBAS), Department of Civil Engineering Arumi, Jose Luis; Universidad de Concepcion, Recursos Hidricos Wagener, Thorsten; University of Bristol, Department of Civil Engineering; University of Bristol, Cabot Institute Oyarzún, Ricardo; Universidad de la Serena, Departamento Ingeniería de Minas; Centro de Estudios Avanzados en Zonas Aridas, Parra, Victor; Universidad Católica de la Santísima Concepción, Department of Civil Engineering
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3 1 **Unraveling complex hydrogeological processes in Andean basins in south-central**
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5 2 **Chile: An integrated assessment to understand hydrological dissimilarity**
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10 4 Enrique Muñoz*, Department of Civil Engineering, Universidad Católica de la Santísima
11
12 5 Concepción, Alonso de Ribera 2850, Concepción, Chile. Centro de Investigación en
13
14 6 Biodiversidad y Ambientes Sustentables (CIBAS), Universidad Católica de la Santísima
15
16 7 Concepción, Chile. Research Associate, Water and Environment Engineering Research
17
18 8 Group, Department of Civil Engineering, University of Bristol.
19
20

21
22 9 José Luis Arumí, CRHIAM Center, Department of Water Resources, Universidad de
23
24 10 Concepción, Vicente Méndez 595, Chillán, Chile.
25

26
27 11 Thorsten Wagener, Department of Civil Engineering, Queens Building, University of
28
29 12 Bristol, Bristol, UK. Cabot Institute, University of Bristol, Bristol, UK.
30

31
32 13 Ricardo Oyarzún, Departamento Ingeniería de Minas, Universidad de La Serena,
33
34 14 Benavente 980, La Serena, Chile. Centro de Estudios Avanzados en Zonas Áridas, Av.
35
36 15 Raúl Bitrán 1305, La Serena, Chile.
37

38
39 16 Victor Parra, Department of Civil Engineering, Universidad Católica de la Santísima
40
41 17 Concepción, Alonso de Ribera 2850, Concepción, Chile.
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46 19 Key words: Mountain hydrology, Water balance, Hydrological similarity
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48 20 * Corresponding author. Phone: +56 41 2345355, email: emunozo@ucsc.cl
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3 **22 Abstract**
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6 23 Groundwater storage, drainage and interbasin water exchange are common hydrological
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8 24 processes, but often difficult to quantify due to a lack of local observations. We present a
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10 25 study of three volcanic mountainous watersheds located in south-central Chile ($\sim 36.9^\circ$ S) in
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12 26 the Chillán volcanic complex (Chillán, Renegado and Diguillín river basins). These are
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14 27 neighboring basins that are similar with respect to the metrics normally available for
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16 28 characterization everywhere (e.g., precipitation, temperature and land cover). In a
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18 29 hydrological sense, similar (proportional) behavior would be expected if these catchments
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20 30 would be characterized with this general information. However, these watersheds show
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22 31 dissimilar behavior when analyzed in detail. The surface water balance does not fit for any
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24 32 of these watersheds individually; however, the water balance of the whole system can be
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26 33 explained by likely interbasin water exchanges. The Renegado River basin has an average
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28 34 annual runoff per unit of area on the order of 60 to 65% less than those of the Diguillín and
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30 35 Chillán rivers, which is contradictory to the hydrological similarity among the basins. To
31
32 36 understand the main processes that control streamflow generation, two analyses were
33
34 37 performed: i) basin metrics (land cover, geologic, topographic and climatological maps)
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36 38 and hydro-meteorological data analyses and ii) a water balance model approach. The
37
38 39 analyses contribute to a plausible explanation for the hydrogeological processes in the
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40 40 system. The soils, topography and geology of the Chillán-Renegado-Diguillín system favor
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42 41 the infiltration and groundwater movements from the Renegado River basin, mainly to the
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44 42 neighboring Diguillín basin. The interbasin water exchanges affect hydrological similarity
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46 43 and explain the differences observed in the hydrological processes of these three apparently
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48 44 similar volcanic basins. The results highlight the complexity of hydrological processes in
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50 45 volcanic mountainous systems and suggest that a simple watershed classification approach
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4 46 based on widely available data is insufficient. Simple local analyses such as specific flow
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6 47 analysis with a review of the geology and morphology can contribute to a better
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8 48 understanding of the hydrology of volcanic mountainous areas.
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10 11 12 49 **1.- Introduction** 13 14

15
16 50 Mountainous watersheds are complex hydrological systems that contribute runoff to
17
18 51 lowland areas, provide a favorable temporal redistribution of winter precipitation to spring
19
20 52 and summer runoff, and reduce the variability of flows in the adjacent lowlands (Viviroli et
21
22 53 al., 2011). This redistribution function of mountainous watersheds is critical for both the
23
24 54 ecosystem and the main economic activities in south-central Chile (e.g., hydropower,
25
26 55 agriculture, industrial activities and water supply). These activities are highly dependent on
27
28 56 water storage in snow, glaciers or groundwater, and therefore on the related water
29
30 57 availability during spring and summer (Meza et al., 2012).
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35 58 Despite the hydrological importance of mountainous watersheds in providing freshwater
36
37 59 resources (40% of the world population depends on mountainous regions for water supply,
38
39 60 Beniston, 2003), little is known about key hydrological processes in these systems.
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42 61 Processes such as mountain block recharge (Viviroli et al., 2007), surface and groundwater
43
44 62 connections (Hughes, 2004) and interbasin groundwater transfer (Zanon et al., 2014) are
45
46 63 still rather poorly understood in most mountainous areas around the globe. The intrinsic
47
48 64 complexity of recharge processes and the fact that such processes are extremely difficult to
49
50 65 observe further contributes to this problem (Ajami et al., 2011; Hartmann et al., 2014).
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54 66 Genereux and Jordan (2006) discuss how documenting and quantifying the long-distance
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56 67 (interbasin) subsurface movement of water between different groundwater systems and the
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3 68 influence of groundwater on surface water quantity and quality are of fundamental
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5 69 significance in hydrogeology and hydrology. Such processes have not been sufficiently
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8 70 studied in high-elevation basins (Cortés et al., 2011) and are often poorly understood in
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10 71 places, mainly because they often remain hidden from our current measurement methods
11
12 72 (Wagener et al., 2007) and are often costly to quantify (Zanon et al., 2014). In south-central
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14 73 Chile, the Chillán-Renegado-Diguillín system (Figure 1) has been studied very little. A first
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16 74 attempt was recently carried out by Arumí et al. (2014), who used recession flow analysis
17
18 75 and stable isotope analysis to estimate groundwater storage trends in the upper part of the
19
20 76 Diguillín basin. They concluded that the Diguillín River is supported by two main groups
21
22 77 of springs, one at the headwaters, connected to a volcanic aquifer, and one downstream of
23
24 78 the junction with its main tributary (the Renegado River). Complementarily, Naranjo et al.
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26 79 (2008) described that the Chillán volcanic complex presents several small thermal and cold
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28 80 springs distributed along the perimeter of the volcanic complex, such as those described by
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30 81 Arumí et al. (2014).

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36 82 Within this context, this paper goes further in analyzing and integrating different sources of
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38 83 information to i) understand the groundwater connection and storage-runoff process and ii)
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40 84 estimate the interbasin flow exchange between Andean basins in south-central Chile, taking
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42 85 as a case study the system of the Chillán-Renegado-Diguillín river basins. In order to
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44 86 achieve these goals, we used an approach based on i) a basin metrics (land cover, geologic,
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46 87 topographic and climatological maps) and hydro-meteorological data analyses and ii) a
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48 88 water balance model analysis.
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89 2.- Study Area and Data

90 The study area includes three neighboring volcanic mountainous watersheds located in
91 south-central Chile at the hillslope of the Chillán volcanic complex: the Diguillín River
92 (207 km²), Renegado River (127 km²) and Chillán River (210 km²) basins (Figure 1.b).

93 To derive the basin metrics, climatological, land cover, morphological and geologic maps
94 and an aerial picture of the study area were constructed. The land cover map (Figure 1.a)
95 was constructed based on the 300 m resolution map presented by Bontemps et al. (2013).

96 The Bontemps et al. (2013) land cover map is derived from global time series acquired by
97 the Envisat MERIS Full and Reduced Resolution dataset (FR and RR, respectively) and
98 from SPOT-Vegetation (SPOT-VGT) sensors. The spatial resolution of the source data
99 varies from 300 to 1000 m and the time periods available lie within the years 1998 to 2012.

100 Complementarily, an aerial picture of the watersheds based on ESRI World Imagery
101 (Figure 1.b), a terrain map based on the Advanced Spaceborne Thermal Emission and
102 Reflection Radiometer (ASTER) of 1 arc-second satellite stereo images (Figure 1.c) and a
103 geologic map (Figure 1.d) extracted from Sernageomin (2003) (scale 1:1.000.000) were
104 constructed. The climatological maps constructed use mean annual precipitation (Figure
105 1.e) and temperature (Figure 1.f). Additionally, several metrics were included in Figure 1 to
106 further characteristics the basins.

107 Within and close to the study area there are only three rain gauges (Figure 1.b), all of them
108 located at low altitudes. Therefore, to estimate precipitation and temperature values for
109 each basin and better represent its spatial distribution within the study area, AgMERRA
110 datasets of 0.25° resolution (~25 km) for the 1980-2010 period were obtained (Ruane et al.,
111 2015). AgMERRA datasets provide daily, high-resolution and continuous meteorological

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3 112 series over the 1980-2010 period. These datasets combine daily resolution data from
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5 113 retrospective analyses (the Modern-Era Retrospective Analysis for Research and
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7 114 Applications) from NASA (Rienecker et al., 2011) with in situ and remotely-sensed
8
9 115 observational datasets for temperature, precipitation, and solar radiation (Ruane et al.,
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11 116 2015). The AgMERRA datasets exhibited negative bias in precipitation (~30 to 50% less)
12
13 117 for the study area (Ruane et al., 2015). To address this issue, they were amplified to achieve
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15 118 the mean annual total estimated for the study area using the isohyets method and annual
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17 119 precipitation isohyets published by DGA (1987). Finally, in order to analyze the runoff
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19 120 generation processes in the basins, monthly streamflow data were obtained from the
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21 121 Renegado at Invernada (RI), Diguillín at San Lorenzo (DSL) and Chillán at Esperanza
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23 122 stations (Figure 1.b). Although RI and DSL have more recent records, CE does not have
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25 123 data beyond 1994, since the gauging station was destroyed by a flood in 1995. Therefore,
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27 124 the common period of 1980-1994 was used in order to use a comparative period for the
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29 125 analyses.
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36 126 Figure 1.a shows that the land cover of the three basins is very similar (cover distribution
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38 127 percentages are included in the map). The three basins are mostly covered by evergreen and
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40 128 semi-deciduous forests (Figuroa et al., 2007) (~78% in Chillán and ~65% in Diguillín and
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42 129 Renegado) and to a lesser extent by forest-shrubland-grassland (~17% in Chillán and ~30%
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44 130 in Diguillín and Renegado). In addition, a small portion of the Chillán River basin (~1.5
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46 131 km²) is covered by permanent snow and ice associated with the glacier documented by
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48 132 Zenteno (2009) and Rivera and Bown (2013).
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53 133 The elevation map (Figure 1.c) shows that the three basins have the same maximum
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55 134 elevation, but that their elevation distributions differ. The elevations of the Renegado River
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3 135 basin (median elevation of ~1550 masl) are concentrated slightly above those of the
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5 136 Diguillín (median elevation of ~1450 masl), while both are above those of the Chillán
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7 137 River basin (median elevation of ~1291 masl). The elevation ranges of the Diguillín
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9 138 (min/max 700/3171 masl) and Renegado (min/max 825/3180 masl) river basins are similar,
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11 139 while that of the Chillán River basin, which has a lower minimum elevation, is slightly
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13 140 wider (min/max 423/3188 masl). In addition, all of them present the highest elevations at
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15 141 their eastern limits (Chillán Volcano) and slopes in a W-E direction.
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19 142 Most of the area of the watersheds is composed of units of volcanic origin with the
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21 143 common characteristic of having been formed by lavas cooled outside of the volcano,
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23 144 resulting in highly fractured soil layers deposited among the basins. The geologic map
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25 145 (Figure 1.d) shows six main deposits. Four of them are volcanic deposits related to the
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27 146 Chillán volcanic complex, which cover 84% of the total area of the system. The remaining
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29 147 surface is covered by granodiorites and diorites. The Renegado River basin has the lowest
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31 148 proportion of volcanic deposits coverage (75% of its total area) and the Diguillín River
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33 149 basin has the highest (90% of its total area). In the upper third of the Renegado River basin,
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35 150 at its southern edge, a granodiorite-diorite deposit (commonly an impermeable layer) is
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37 151 found. Such deposits are also found at the headwaters of the Diguillín River.
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42 152 Frontal systems produce most of the precipitation from deep stratiform clouds that develop
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44 153 along warm and cold fronts, covering large areas (usually larger than the study area)
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46 154 (Garreaud et al., 2009). Additionally, winds and frontal systems move in a W-E direction.
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48 155 Therefore, the spatial variability of precipitation within the study area (Figure 1.e) is highly
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50 156 longitudinal (in terms of amounts) due to the increase in precipitation caused by orography
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3 157 (Garreaud et al., 2009), while latitudinal variations are mostly noticed at larger (e.g.,
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5 158 regional) scales.
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9 159 According to the corrected AgMERRA datasets, the mean annual precipitation of the
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11 160 system is around 2283 mm while the mean annual air temperature is 10.5 °C, ranging from
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13 161 4.4 °C in the coldest month of winter (June) to 18.0 °C in the hottest month of summer
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15 162 (January). The Chillán River basin shows the lowest annual precipitation (2207 mm against
16
17 163 2371 and 2308 mm for Renegado and Diguillín river basins, respectively) although
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19 164 precipitation is practically the same across the system. Regarding the temperature
20
21 165 distribution, the Chillán, Renegado and Diguillín river basins present mean annual
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23 166 temperatures of 10.2, 9.4 and 9.2 °C, respectively.
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28 167 After an initial review of basin maps and metrics (Figure 1), the basins of the Chillán-
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30 168 Renegado-Diguillín system would typically be classified as similar and, therefore, in a
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32 169 hydrological sense, it is reasonable to expect that they would “behave similarly” (Winter,
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34 170 2001) and that main hydrological processes would be equivalent or proportional.
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38 171 Complementarily, to further investigate the behavior of the basins, the monthly runoff per
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40 172 unit of area for each basin (q), so-called specific flow were calculated and compared among
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42 173 basins. Results related are shown in section 4.1.
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47 174 **3.- Model and Methods**

48 49 50 175 3.1 Water Balance Model

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53 176 To complement the previous analyses, an approach based on a water balance was used.
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55 177 Each basin independently and the whole system of three basins were analyzed in order to
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3 178 estimate how much water is “gained” or “lost” by each basin. Considering that the
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5 179 modeling approach seeks to identify potential interbasin groundwater flows and that the
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7 180 study area, like most of the Andes, is a data-sparse area (Viviroli et al., 2011), the lumped
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9 181 water balance model presented in Muñoz (2010) and Muñoz et al. (2014) was used (Figure
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11 182 2).
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15 183 The model includes a rainfall-runoff component that considers the watershed as a double
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17 184 storage system: unsaturated (US) and saturated (SS). US represents the water stored in the
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19 185 unsaturated soil layer as soil moisture and SS represents the water that covers the saturated
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21 186 soil layer. The model needs two inputs: precipitation (PM) and potential evapotranspiration
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23 187 (PET). The model output is the total runoff (ETOT) at the watershed outlet, and includes
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25 188 both the groundwater contribution (ES) and surface runoff (EI), the amounts of which are
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27 189 calculated through six calibration parameters, plus one for the precipitation modification.
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29 190 Additionally, the model includes a snowmelt-runoff component that calculates the snowfall
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31 191 (P_{snow}) based on precipitation above the 0 degree (base temperature at which liquid
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33 192 precipitation starts) isotherm falling as snow. P_{snow} is stored in the snow storage system
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35 193 (SN), from which the melting calculations are performed based on the concept of the
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37 194 degree-day method (Rango and Martinec, 1995). Thus, the potential melting (PSP) is
38
39 195 estimated, and then based on the snow stored, the actual melting (PS) is calculated. Then
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41 196 PS is distributed into the rainfall-runoff model through the factor of snowmelt transference
42
43 197 F. Additionally, to consider the sub-monthly variability of the air temperature in the basin,
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45 198 a factor of minimum snowmelt (DM), which is defined as a fraction of the snow stored in
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47 199 the basin, is incorporated into the model. Table 1 presents a brief description of the model
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49 200 parameters, their influence on the model and the regular range considered for parameter
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3 201 estimation. A further description of model design and equations can be found in Muñoz
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5 202 (2010) and Muñoz et al. (2014).
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8 203 As forcing, the model requires precipitation and potential evapotranspiration. The corrected
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10 204 AgMERRA datasets were used to estimate basin precipitation and the Thornthwaite method
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12 205 (Thornthwaite, 1948) and AgMERRA temperature series were used to estimate basin
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14 206 potential evapotranspiration. The Inverse Distance Weighting method was used to estimate
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16 207 representative values for each basin.
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19 208 3.2 Monte Carlo Framework

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21 209 To ensure the closure of the water balance, the model includes a scale factor (A) that
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23 210 permits the inputs of water (precipitation) in the Muñoz (2010) model to be increased or
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25 211 decreased. In order to estimate potential interbasin water exchanges, factor A was estimated
26
27 212 for each basin and for the system. Considering that around 0.75% of the area of the Chillán
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29 213 basin is covered by permanent snow (Figure 1.c) and, moreover, the lack of measurements
30
31 214 and knowledge of the characteristics of the glacier located at the headwaters of the Chillán
32
33 215 River basin, the water balance approach did not include the glacier melting contributions
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35 216 for the modeling stage of either the system or the Chillán River basin model.
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38 217 An approach based on Monte Carlo simulations and regional sensitivity analysis (Wagener
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40 218 et al., 2001) was first used to estimate values of A. A was defined as the median of the best
41
42 219 10% models according to a predefined objective function (the Runoff Coefficient Error –
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44 220 ROCE). Based on prior experiences (Muñoz et al., 2014, Pinto, 2014, Toledo et al., 2015),
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46 221 10,000 simulations were performed using randomly selected parameter values (sampled
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48 222 according to a uniform distribution) within the initial range defined in Table 1.
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3 223 Aimed at first ensuring the closure of the water balance, ROCE was used as objective
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5 224 function (Eq.1). ROCE captures the overall accuracy of the water balance i) by combining
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8 225 the flows into one characteristic hydrological descriptor, the mean annual runoff coefficient
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10 226 (defined as Q/P), and ii) by minimizing the absolute difference between total measured and
11
12 227 simulated runoff. The absolute error in the runoff coefficient is then calculated as follows,
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14
15 228 where the mean annual flow \bar{Q} and the mean annual precipitation \bar{P} are used for the
16
17 229 simulated (s) or observed (o) flows (van Werkhoven et al., 2009),

$$20 \quad 230 \quad \text{ROCE} = \text{abs} \left(\frac{\bar{Q}_s}{\bar{P}} - \frac{\bar{Q}_o}{\bar{P}} \right) \quad [Eq.1]$$

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24 231 After defining A (using ROCE), 10,000 new simulations were performed and parameter
25
26 232 values were estimated as the median of the best 10% behavioral models. To define the
27
28 233 behavioral models, the Nash-Sutcliffe Efficiency (NSE) was used, where models with NSE
29
30 234 values greater than 0.75 were considered as behavioral (Van Liew et al. (2005) classified
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32 235 models with NSE over 0.75 as “good”).

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36 236 In order to demonstrate the representativeness of the models and results, two periods with
37
38 237 available common data among basins were considered to carry out the analyses. Period 1,
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40 238 from 1980 to 1987 (P1), and Period 2, from 1988 to 1994 (P2), were defined. In addition, a
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42 239 cross validation was performed, i.e., P1 was used for calibration and P2 for validation, and
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44 240 then P2 was used for calibration and P1 for validation.

49 50 241 **4.- Results**

51 52 53 242 4.1 Specific Flow Analysis

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3 243 To analyze the behavior of the basins, the annual precipitation distribution over the study
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5 244 area and the monthly runoff per unit of area for each basin (q), so-called specific flow, were
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8 245 plotted (Figure 3). Figure 3 shows that the Renegado River basin, which is located between
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10 246 the Chillán and Diguillín rivers, yields much less specific flow (about 2 to 4 times less)
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12 247 than its neighboring basins, even though they are in close proximity, appear to be similar
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15 248 and, moreover, are driven by similar climatic patterns (Garreaud et al., 2009, Pinto, 2014).
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17 249 Additionally, the Diguillín River shows larger q values than the Chillán River for most of
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19 250 the year (about 1 to 1.4 times more between April and November), although this
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21 251 relationship is inverted in summer, when the Chillán River basin shows larger q values (1.1
22
23 252 to 1.4 times) than the Diguillín River basin (Figure 3.b).
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27 253 We expect basins with similar metrics to have similar runoff generation processes (Reed et
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29 254 al., 2006, Wagener et al., 2007); and therefore, similar specific discharge curves should be
30
31 255 expected. However, significant differences among basins can be noticed here. The specific
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33 256 discharge curves provide insights into the main characteristics and the dominating
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35 257 hydrological processes of the basins (and the system) that allow us to obtain and analyze
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37 258 complementary information in order to redefine our conceptual model of the system.
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42 259 A first line of evidence that may explain the observed behavior is related to aspects of
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44 260 geology and morphology. The geology of the upper section of the Diguillín watershed is
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46 261 described in detail by Dixon et al. (1999), Sernageomin (2003) and Naranjo et al. (2008).
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48 262 They explain the strong influence of the volcanic processes associated with the Chillán
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50 263 volcanic complex, which is composed of several types of structures created by different
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52 264 processes that have occurred over approximately 650 million years. They found mostly
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3 265 lavas of high permeability caused by fast cooling processes with consequent fracturing in
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5 266 rocks.
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9 267 Naranjo et al., (2008) described most of the lavas that filled the Renegado basin valley,
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11 268 highlighting three formations: i) Los Pincheira lavas: deposited in Middle Pleistocene,
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13 269 these lavas covered the Renegado valley by crossing a glacier and thereby forming large
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15 270 walls (very steep hills over 100 m tall), which give the valley a U-shape. At the lower
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17 271 border of the glacier, the lava flow opened and the walls disappeared; ii) Diguillín Lavas:
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19 272 deposited in Middle Pleistocene after the Los Pincheira lavas, these lavas descended
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21 273 through the Renegado valley but were blocked by the former and forced to deviate to the
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23 274 Diguillín River, forming the surface connection with that river and defining the western
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25 275 edge of the Renegado River basin; and iii) Atacalco lavas: these lavas were deposited in the
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27 276 Middle-Upper Pleistocene and filled the Renegado valley. They accumulated in the
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29 277 Atacalco area (upper north Diguillín basin) and laterally covered both the Los Pincheira
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31 278 and Diguillín lavas. All these lavas are characterized by a dense jointing, favoring the fast
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33 279 movement of groundwater.
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39 280 The volcanic structures that cover most of the system area were found to be highly
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41 281 permeable. Navarro (2015) measured an infiltration capacity of the soil of 200 mm/hr at the
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43 282 headwaters of the Renegado River basin. In addition, the described deposition sequence
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45 283 caused the Renegado valley to be developed at higher altitudes than the Diguillín, and at
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47 284 elevations similar to those of the Chillán River basin (Figure 4). This situation, combined
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49 285 with permeable and fractured soil layers composed of lava deposits, favors the rapid
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51 286 infiltration process but also gravitational movements of groundwater. Therefore,
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53 287 groundwater likely moves from the Renegado to the Diguillín River basin gravitationally.
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3 288 These fast infiltration and interbasin groundwater movement processes would explain both
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5 289 why the Renegado River basin does not have significant surface runoff and the results
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8 290 observed from the specific flow analysis.
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11 291 Complementarily, Masiokas et al. (2009) described that the glacier located at the
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13 292 headwaters of the Chillán River basin has been reduced over the last ~150 years from 30 to
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15 293 6 km². This reduction might explain the higher specific flows (in comparison with the
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17 294 Diguillín and Renegado) observed during summer (Figure 3).
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20 295 4.2 Watershed Model Analysis

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23 296 Table 2 shows the results of the modeling approach (model parameters) for each basin
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25 297 modeled independently and for the system as a whole. Table 2 shows that factor A, which
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27 298 ensures the closure of the water balance, is markedly different among the basins. Analyzing
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29 299 each basin as an independent system, it is observed that for the Chillán and Diguillín river
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31 300 basins, precipitation must be amplified by ~24–32% in order to close the water balance. On
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33 301 the other hand, for the Renegado River basin, the results are the opposite, as precipitation
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35 302 must be reduced by ~35–40% to achieve closure of the water balance. Additionally, if the
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37 303 Chillán-Renegado-Diguillín system is assumed as a closed unit, precipitation must be
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39 304 increased by ~14–20% (Table 2).
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45 305 To estimate interbasin groundwater flows, the mean annual precipitation of each basin and
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47 306 of the system was multiplied by the mean value of A (considering the two calibration
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49 307 periods). As result, a total of 2661 mm is received by the system while totals of 2802, 1487
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51 308 and 3015 mm are received by the Chillán, Renegado and Diguillín basins, respectively.
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53 309 Based on the differences with the system, and considering the proportions of volume to
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55 310 basin area, the Diguillín and Chillán basins receive 355 and 141 mm, respectively, from the
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3 311 Renegado River basin. Meanwhile, the Renegado River basin loses this amount of water
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5 312 plus 363 mm that goes to neither the Chillán nor the Diguillín River (upstream of the gauge
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7 313 station). This water probably goes downstream to the junction of the Renegado and
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9 314 Diguillín rivers to the springs documented by Arumí et al. (2014).

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13 315 It is important to point out that the modeling stage did not include glacier melt
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15 316 contributions; therefore, the interbasin groundwater flows should actually be slightly
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17 317 smaller for the Chillán River basin and higher for the Diguillín River downstream of the
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19 318 junction with the Renegado River.

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23 319 Table 2 shows that the highest C_k values were obtained for the Renegado River basin,
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25 320 suggesting that its groundwater system empties faster and thus varies more throughout the
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27 321 year than those of the Diguillín and Chillán basins. On the other hand, the lowest C_k values
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29 322 were obtained for the Chillán basin, indicating that it is the most stable groundwater system
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31 323 among the basins studied. C_k values of the Renegado River basin indicate that its
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33 324 groundwater system empties nearly twice as fast as the whole system. In addition, the C_k
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35 325 values for the Diguillín basin suggest that it empties slightly faster (1.2 to 1.3 times) than
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37 326 the whole system, but that it also has more variability, which may be influenced by an
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39 327 interbasin groundwater flow from the Renegado to the Diguillín basin.

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45 328 Regarding the maximum surface runoff coefficient (C_{max}), the Diguillín basin shows the
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47 329 highest values and the Renegado basin the lowest. These results suggest that the Diguillín
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49 330 River basin tends to be more saturated and therefore higher runoff rates can be achieved.
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51 331 Moreover, they suggest that the Renegado River basin tends to infiltrate more water; as a
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53 332 consequence, the surface runoff is reduced. For the Chillán basin, C_{max} values slightly
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55 333 lower than those of the total system are observed. This result may be influenced by the
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3 334 morphology of the basin, where the lower third of the Chillán basin is flatter (in comparison
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5 335 to the rest of the study area), favoring infiltration over surface runoff. In addition, the
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8 336 Diguillín and Renegado basins show higher and lower C_{\max} values than the total system
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10 337 respectively, suggesting that i) the Diguillín/Renegado basin generates more/less surface
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12 338 runoff than the average of the study area (the system), and ii) in the Renegado River basin,
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15 339 the infiltration process predominates in streamflow generation.
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18 19 340 **5.- Discussion**

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23 341 An initial (broad) review of the metrics of the three basins suggests that they are likely to
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25 342 be similar. They are neighboring basins with similar climatic patterns and land cover and
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27 343 the aerial view showed similar qualitative characteristics. In addition, the geology, from a
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29 344 broad view can also be considered to be similar for the three basins, because it is dominated
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31 345 by permeable volcanic material. Moreover, the effect of the glacier flows in summer can be
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33 346 assumed to be negligible due to the small size observed in the land cover map (~1.5 km²,
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35 347 which is around 0.75% of the area of the Chillán basin). Therefore, the three basins should
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37 348 behave similarly and their hydrological processes are expected to be equivalent.
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42 349 However, the review of the hydrological data showed that the basins behaved dissimilarly.
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44 350 The Renegado River basin exhibited less specific flow than its neighboring basins,
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46 351 suggesting interbasin water exchanges (Renegado→Chillán and Renegado→Diguillín). In
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48 352 addition, a glacier melting process might be considered for the Chillán River basin after
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50 353 observing the specific flows in summer plus the glacier reductions documented by Zenteno
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52 354 (2009). Such reductions would explain the high specific flows observed in summer for the
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54 355 Chillán River basin, while larger contributions from the Renegado to the Diguillín would
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3 356 explain the higher q values observed for the Diguillín River basin during the course of the
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6 357 year.

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9 358 The foregoing is in agreement with the modeling approach results. Since the orography
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11 359 produces an increase in precipitation with altitude in the Andes (Garreaud et al., 2009), and
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13 360 considering that the AgMERRA datasets include data from rain gauges (which are only
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15 361 located at low altitudes), values of A higher than one might be expected. However, for the
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17 362 Renegado River basin, contradictory results were obtained.

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21 363 Although these results may initially be opposite to expectations, they suggest that the
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23 364 Renegado River basin exchanges water with both the Diguillín and Chillán basins. As the
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25 365 Renegado River basin “loses” water, the Diguillín and Chillán basins “gain” it, which
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27 366 explains the higher and lower values for each basin than those estimated for the combined
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29 367 system. These results are consistent with the springs described by Arumí et al. (2014) in the
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31 368 upper third of the Diguillín River basin and downstream of the junction with the Renegado
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33 369 River. The geologic map indicates that soil layers in the Renegado River basin are highly
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35 370 permeable, and the morphologic map shows differences in elevations among rivers (Figure
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37 371 4). Therefore, gravitational movement of groundwater from the Renegado River to both the
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39 372 Chillán and Diguillín river basins is plausible.

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45 373 The connections described are in agreement with the geomorphological information and are
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47 374 consistent with the water balance approach. Regarding the geology, permeable and
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49 375 fractured soil layers favor rapid infiltration and gravitational water movements from the
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51 376 Renegado River basin. Moreover, the deposition sequence caused the Renegado valley to
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53 377 be formed at higher altitudes than the Diguillín and at elevations similar to the Chillán
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3 378 River basin (see Figure 4). Therefore, it would be expected that more water is transferred to
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5 379 the Diguillín River in comparison to the Chillán River basin.
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8 380 Tóth (1963; 1999) and Sophocleous (2002) have described the importance of topographic
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10 381 relief for local groundwater flows, highlighting that the higher the topographic relief, the
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12 382 greater the importance of local groundwater systems. Interbasin flow can occur even
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14 383 without geological heterogeneity in such circumstances. Therefore, in the Chillán-
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16 384 Renegado-Diguillín system, topographic relief could play an important role in water
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18 385 redistribution, as has been suggested by the specific flow analysis.
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23 386 Besides the Renegado to Diguillín interbasin water exchanges identified upstream of the
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25 387 Diguillín at San Lorenzo stream gauge station, another exchange of similar magnitude
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27 388 downstream of the station was identified during the modeling stage, reinforcing the
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29 389 observations by Naranjo et al. (2008) and Arumí et al. (2014). A modified conceptual
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31 390 representation of the complete system after including such connections and the main basin
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33 391 processes is shown in Figure 5.
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38 392 The finding of interbasin groundwater transfer has important implications for hydrology,
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40 393 ecology and land-water management. For the Chillán-Renegado-Diguillín system, for
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42 394 example, volcanic processes conditioned the geology and morphology, forming fractured
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44 395 and permeable soil layers, but also forming valleys at different elevations within a few
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46 396 kilometers. These conditions favor interbasin exchange and help to explain the differences
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48 397 observed in the hydrology in three apparently similar basins. Interbasin groundwater flow
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50 398 diminishes surface water discharge from basins in which interbasin groundwater flow
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52 399 originates and increases discharge from those receiving this water (Genereux and Jordan,
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54 400 2006). This phenomenon coincides with the observed behavior in the Chillán-Renegado-
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3 401 Diguillín system. Along the same lines, Zanon et al. (2014), Montgomery et al. (2003) and
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5 402 Langman and Ellis (2013) have found similar patterns in different sites around the globe,
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7 403 all of which were located in volcanic mountainous areas.
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10 404 Zanon et al. (2014) showed that two nearly identical or at least very similar neighboring
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12 405 basins (similar in precipitation, temperature, vegetation, soils, geology and topography) in
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14 406 the Central Cordillera of Costa Rica proved to have markedly different behavior. They
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16 407 found interbasin groundwater flow in which one basin (Arboleda River basin) was
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18 408 receiving a large input of groundwater from a neighboring basin (Toscanazo River basin).
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20 409 In addition, the authors describe that these basins are located in a volcanic area with a
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22 410 combination of high-permeability lava beds and lower-permeability ignimbrites and
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24 411 pyroclastics. Montgomery et al. (2003) studied the interbasin groundwater movement in
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26 412 basins of the Chilean Altiplano (in northern Chile), attributing the interbasin water
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28 413 movements to the geological formations and fractured volcanic rock aquifers. In the same
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30 414 way, Langman and Ellis (2013) found in the southern Rio Grande Valley in the
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32 415 southwestern USA that in a volcanic area with permeable layers and geological faults, deep
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34 416 groundwater interbasin connections would be allowed. Larned et al. (2015) stated that
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36 417 streams in tectonically active volcanic landscapes are characterized by complex
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38 418 groundwater-surface water interactions that include interbasin transfers of groundwater.
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40 419 Similar to our study, the investigations described above attributed the interbasin water
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42 420 exchanges and basin dissimilarities in runoff to the volcanic deposits and geological (and
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44 421 relief-related) formations. Therefore, for volcanic mountainous watersheds, complex
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46 422 groundwater interactions across neighboring basins need to be expected.
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3 423 Hydrological similarity is a concept widely used by hydrological practitioners for
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5 424 estimating available water resources or the probability for hydrological extremes. In
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7 425 particular, it is the basis for runoff predictions in ungauged basins, to assist in the
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9 426 understanding of hydrological processes or to make hydrological predictions (Blöschl et al.,
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11 427 2013; Wagener et al., 2007). In volcanic mountainous watersheds, geology and relief have
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13 428 been shown to be key aspects to consider before assuming hydrological similarity. A good
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15 429 understanding of groundwater movement in adjacent small basins makes possible an
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17 430 accurate representation of the motion of groundwater within the large basin that they form
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19 431 (Tóth, 1963). Therefore, identifying such movements could lead to better water
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21 432 management and planning at basin scale. However, most volcanic mountainous watersheds
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23 433 are difficult to access; therefore, hydrological, climatic and geological information is
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25 434 usually non-existent or not as detailed as desired. Mountain watersheds are essential for
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27 435 supplying and supporting the water needs of adjacent lowlands (Viviroli et al., 2007);
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29 436 additional efforts are therefore needed to adequately estimate complex hydrological
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31 437 processes in such watersheds. Based on the research presented here, a comparison of the
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33 438 specific discharge of neighboring basins would provide key insights into potential
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35 439 interbasin exchanges, contributing to a better understanding of complex mountainous
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37 440 hydrological systems.
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441 **6.- Conclusions**

442 This study addressed the issue of hydrological dissimilarity in neighboring volcanic
443 mountainous basins located in the Andean region of south-central Chile. Although the
444 basins had apparently similar characteristics, their hydrological behavior proved to be

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3 445 markedly different. This is explained by the special geological and topography-related
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5 446 characteristics of the volcanic complex where the basins are located, which determine the
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7 447 transfer of water from the Renegado River basin to Chillán and Diguillín river basins.
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9 448 These results highlight the complexity of hydrological processes in volcanic basins of
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11 449 mountainous areas, making it necessary to consider additional efforts to understand the
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13 450 main processes in such systems. Further analyses, such as specific flow analysis, would
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15 451 help us better understand and, moreover, identify potential interactions. It also suggests that
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17 452 practical approaches for hydrologic predictions based on similarity principles as currently
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19 453 applied in the region are insufficient, and that deeper hydrological understanding than
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21 454 currently utilized needs to be embedded to achieve robust estimates of likely hydrological
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23 455 behavior.
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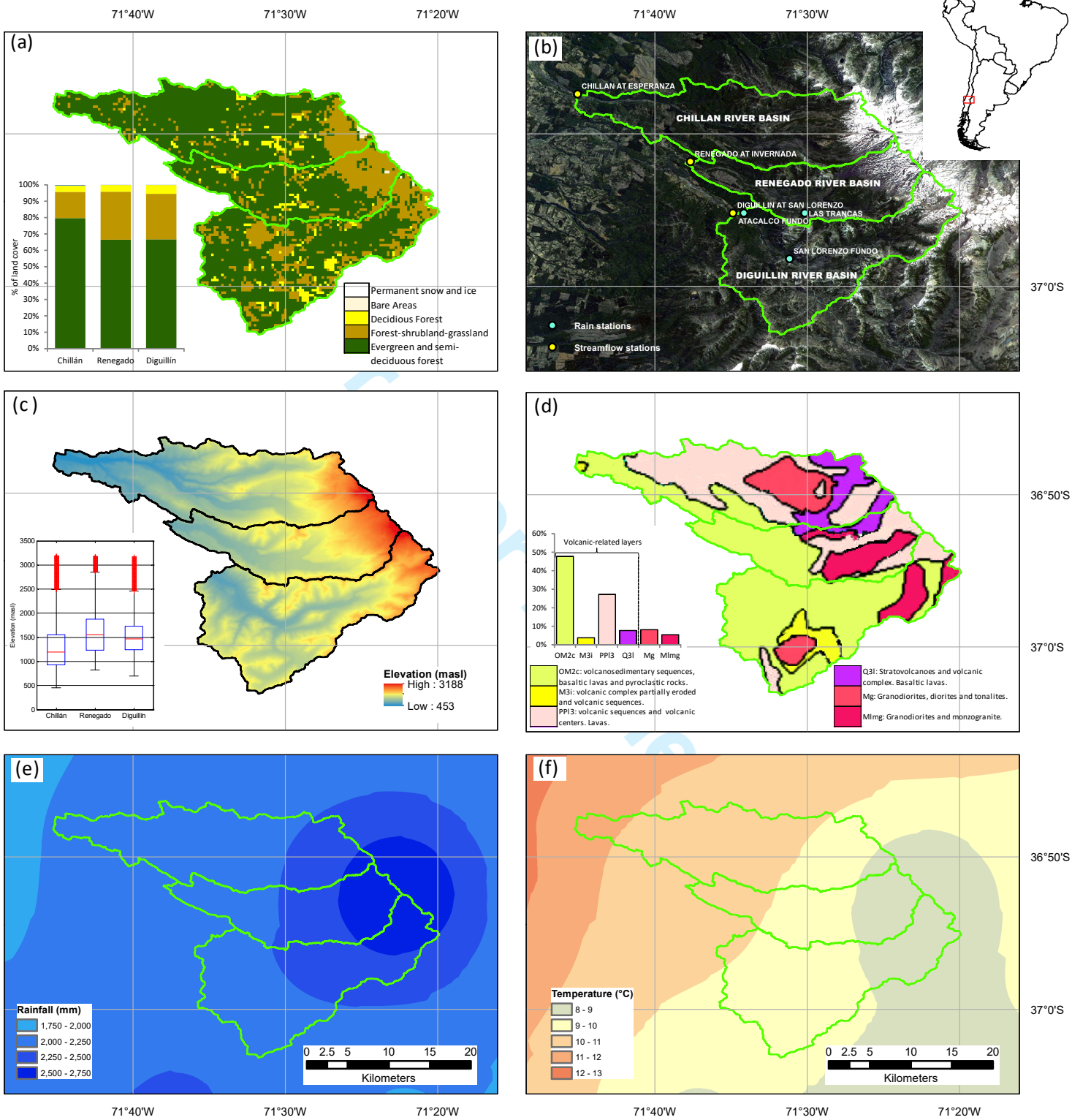


Figure 1. Study area and hydrological similarity maps, including land cover map (a), aerial view (b), terrain map (c), geologic map (d), and mean annual rainfall (e) and temperature (f) climatological maps.

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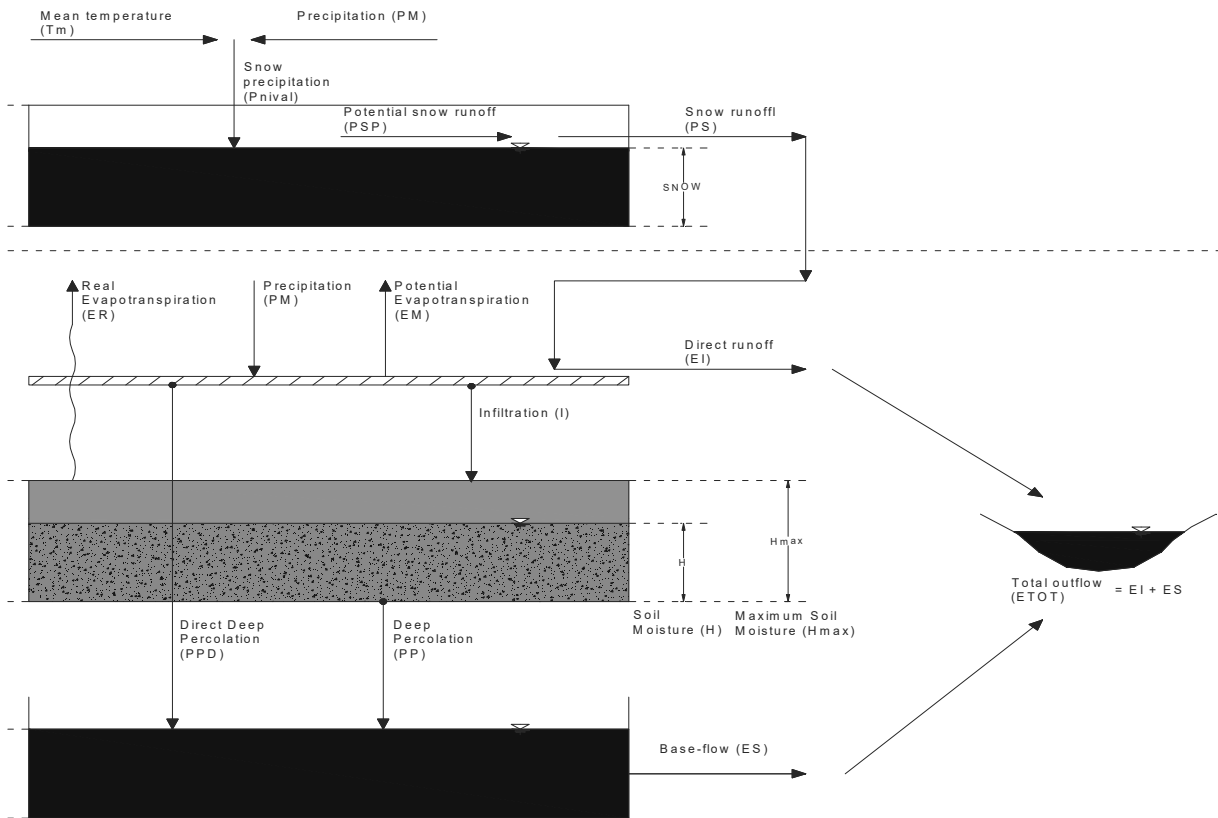


Figure 2. Diagram of the Muñoz (2010) lumped water balance model.

Review

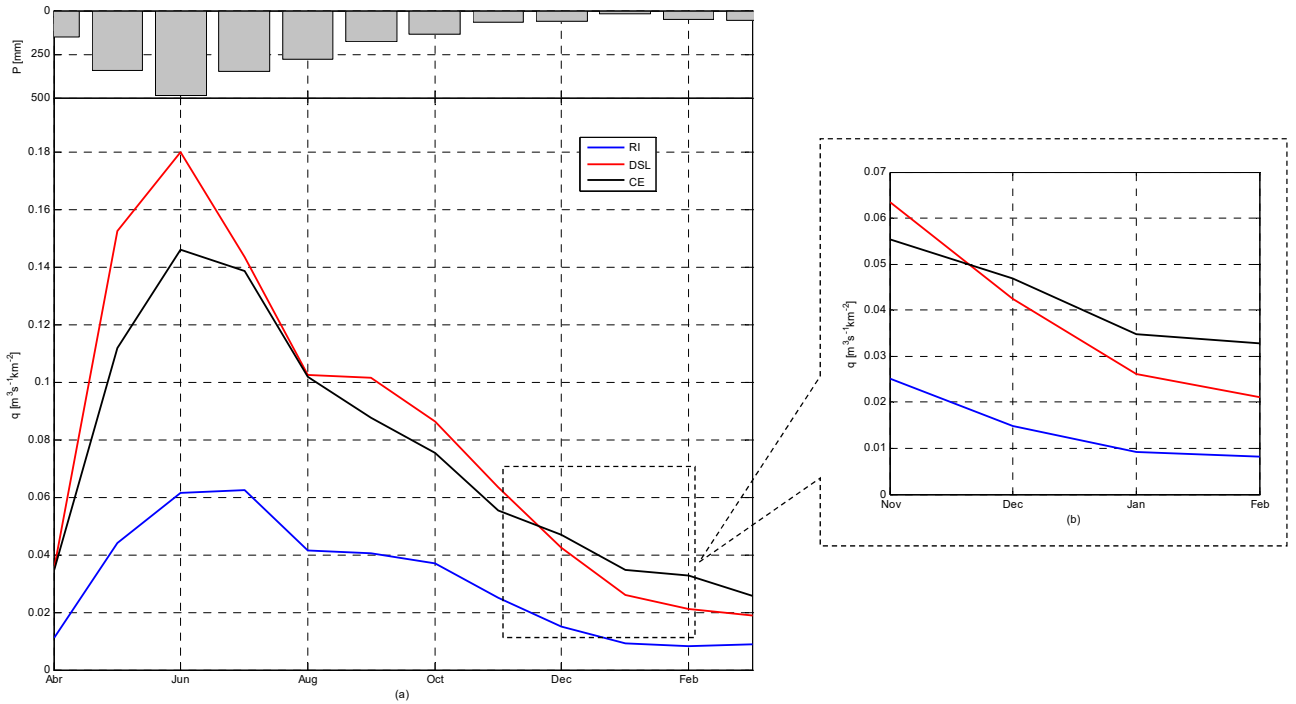


Figure 3. Seasonal precipitation and seasonal variation curves of flow per unit of area (q) for the Renegado at Invernada (RI, blue line), Diguillín at San Lorenzo (DSL, red line) and Chillán at Esperanza (CE, black line) River basins (panel a). Panel b shows a detailed view for the summer season between December and March.

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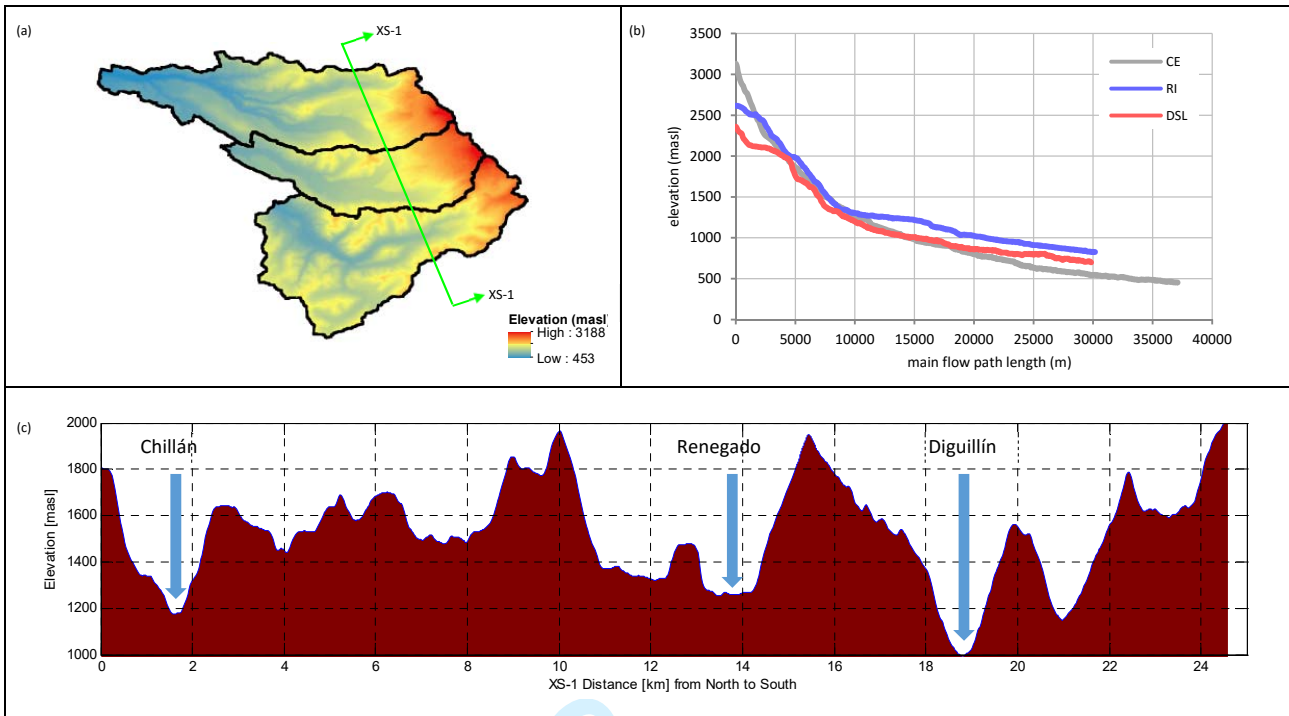
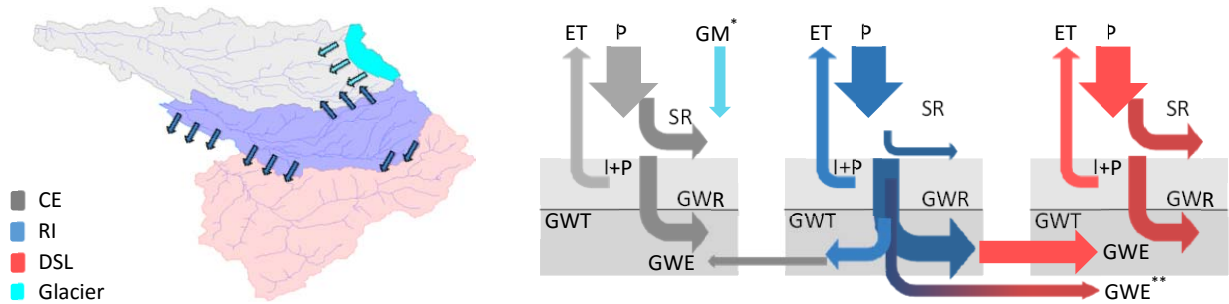


Figure 4. Cross section of the Chillán-Renegado-Diguillín hydrological system at the piedmont of the Chillán volcano.



CE: Chillán at Esperanza River basin (gray shaded area); RI: Renegado at Invernada River basin (blue shaded area); DSL: Diguillín at San Lorenzo River basin (light red shaded area).

ET: Evapotranspiration; P: Precipitation; SR: Surface Runoff; I+P: Infiltration and Percolation; GWR: Groundwater Runoff; GWT: Groundwater Table; GWE: Groundwater Exchange; GM: Glacier-melting.

* Indicates that the GM process is only occurring in summer.

** Indicates that the GWE process is also occurring downstream of the Diguillín at San Lorenzo streamflow station.

Figure 5. Conceptual interpretation of the hydrological processes and connections on the system after a review of the basins' metrics (maps), hydro-meteorological data and a water balance approach.

Table 1. Description of the model parameters, adjustment factors and range for the rainfall- and snowmelt-runoff model.

	Parameter	Description	Influence on	Range
Rainfall module parameters	C_{max}	- Maximum runoff coefficient when the sub-surface layer is saturated.	- EI	0.05 – 0.85
	P_{Lim} (mm)	- Limit of rainfall over which PPD exists.	- PPD	0- 500
	D	- Percentage of rainfall over P_{Lim} transformed into PPD.	- PPD	0 – 100
	H_{max} (mm)	- Maximum capacity of the soil layer to retain water.	- C_{max} and ER	180 - 500
	PORC	- Fraction of H_{max} that defines the soil water content restricting the evaporation processes.	- H_{crit} and ER	0 - 100
	C_k	- Subterranean runoff coefficient.	- ES	0.05 – 0.85
	A	- Adjustment factor of the precipitation data.	- PM	0.80* – 2.50
Snow module parameters	M (mm °C ⁻¹)	- Parameter of the Degree-day method that defines the fraction of the snow storage which is melted. The method also considers a base temperature ($T_b=0$ °C) at which melting starts.	- PSP, PS	1 – 12
	DM	- Minimum rate of melting when $T_m < T_b$.	- PSP, PS	0.00 – 0.50
	F	- Fraction of the real snowmelt that goes to EI.	- EI	0.00 – 1.00

EI: Direct runoff; PPD: Direct deep percolation; ER; Real Evapotranspiration; ES: Groundwater runoff; PM: Precipitation; PET: Potential evapotranspiration; PSP: Potential snowmelt; PS: Actual snowmelt.

* For the Renegado River basin the initial range of factor A was changed to 0.50 – 2.50 because it was found to be identifiable for values close to 0.60.

Table 2. Calibration values of the input modification factor (A) and parameter, and NSE-related values for the median of the 10% best behavioral models after fixing A.

		ROCE	NSE						NSE calibration	NSE validation
		A	Cmax	Hmax	D	Plim	PORC	Ck		
Chillán	P.1	1.240	0.364	393	34.2	113.5	42.5	0.284	0.87	0.86
	P.2	1.299	0.362	388	33.9	94.5	43.1	0.280	0.87	0.87
Renegado	P.1	0.655	0.290	417	41.0	117.4	31.9	0.534	0.85	0.81
	P.2	0.599	0.360	390	24.4	128.2	33.5	0.635	0.82	0.84
Diguillín	P.1	1.298	0.417	398	21.0	97.3	54.6	0.402	0.90	0.88
	P.2	1.315	0.479	402	14.9	91.3	42.9	0.333	0.89	0.90
System	P.1	1.135	0.408	368	28.5	110.1	43.7	0.317	0.89	0.90
	P.2	1.196	0.415	388	22.5	80.8	45.6	0.287	0.91	0.91

P.1: Period between 1964 and 1979.

P.2: Period between 1980 and 1994.