

1     **Efficacy and safety of a non-mineral oil adjuvanted injectable vaccine for the protection**  
2             **of Atlantic salmon (*Salmo salar* L.) against *Flavobacterium psychrophilum***  
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24     Accepted refereed manuscript of: Hoare R, Jung S, Ngo TPH, Bartie K, Baily J, Thompson KD & Adams A (2019) Efficacy and safety of a non-mineral oil adjuvanted injectable vaccine for the protection of Atlantic salmon (*Salmo salar* L.) against *Flavobacterium psychrophilum*. *Fish and Shellfish Immunology*, 85, pp. 44-51. DOI: <https://doi.org/10.1016/j.fsi.2017.10.005>

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25 **Abstract**

26 *Flavobacterium psychrophilum* is the causative agent of Rainbow Trout Fry Syndrome which  
27 has had a major impact on global salmonid aquaculture. Recent outbreaks in Atlantic salmon  
28 in Scotland and Chile have added to the need for a vaccine to protect both salmon and trout.  
29 At present no licensed vaccines are available in Europe, leaving antibiotics as the only course  
30 of action to contain disease outbreaks. Outbreaks generally occur in fry at temperatures  
31 between 10-15 °C. Recently outbreaks in larger fish have given added impetus to the  
32 development of a vaccine which can provide long term protection from this highly  
33 heterogeneous pathogen. Most fish injectable vaccines are formulated with oil emulsion  
34 adjuvants to induce strong and long lasting immunity, but which are known to cause side  
35 effects. Alternative adjuvants are currently sought to minimise these adverse effects.

36 The current study was performed to assess the efficacy of a polyvalent, whole cell vaccine  
37 containing formalin-inactivated *F. psychrophilum* to induce protective immunity in Atlantic  
38 salmon. The vaccine was formulated with an adjuvant containing squalene and aluminium  
39 hydroxide, and was compared to a vaccine formulated with a traditional oil adjuvant,  
40 Montanide ISA 760VG, and a non-adjuvanted vaccine. Duplicate groups of salmon ( $23.5 \pm$   
41  $6.8$  g) were vaccinated with each of the vaccine formulations or phosphate buffered saline by  
42 intraperitoneal injection. Fish were challenged by intramuscular injection with *F.*  
43 *psychrophilum* six weeks post-vaccination to test the efficacy of the vaccines. Cumulative  
44 mortality reached 70% in the control salmon, while the groups of salmon that received  
45 vaccine had significantly lower mortality than the controls ( $p = 0.0001$ ), with no significant  
46 difference in survival between vaccinated groups. The squalene/alum adjuvant was safe, more  
47 readily metabolised by the fish and induced less histopathological changes than the traditional  
48 oil adjuvant.

49 **Keywords:** *Flavobacterium psychrophilum*, RTFS, vaccine, salmon, adjuvant

50

## 51 **1 Introduction**

52 Rainbow trout fry syndrome (RTFS), caused by *Flavobacterium psychrophilum*, is one of the  
53 most significant disease problems facing the salmonid aquaculture industry worldwide [1].

54 Rainbow trout (*Oncorhynchus mykiss*) are the species most affected although there are  
55 increasing problems in Atlantic salmon (*Salmo salar*) hatcheries in Scotland and Chile.

56 Disease episodes tend to occur between 10-15 °C, with necrotic lesions often seen on the skin  
57 surrounding the dorsal fin and tail, while in very small fish no clinical signs are apparent and

58 death occurs due to septicemia. *F. psychrophilum* is a highly heterogeneous pathogen, which

59 makes development of cross-protective vaccines to control this devastating disease

60 problematic [2]. Antibiotic treatment is relied on to treat outbreaks, which has led to increased

61 levels of antibiotic resistance in *F. psychrophilum* isolates [3-5], highlighting the urgent need

62 for prophylactic treatments for RTFS.

63 The majority of inactivated whole cell or sub-unit vaccines available to the aquaculture

64 industry are formulated in oil emulsions [6]. Adjuvanted vaccines are injected

65 intraperitoneally, and provide protection via a prolonged release of antigen from the oil

66 component stimulating primarily local inflammatory reactions followed by a systemic

67 immune response [7]. While oil-based adjuvants have provided increased efficacy of vaccines

68 for aquaculture, problems with side-effects at injection sites have resulted in the down grading

69 of fish at harvest due to adhesions between the body wall and abdominal organs and spinal

70 deformities [8-10] . Therefore, there is a need to develop adjuvants for use in injectable

71 vaccines for salmonids, which balance the efficacy-safety profile. A previous study using an

72 adjuvant containing squalene and aluminium hydroxide to formulate a vaccine for treatment

73 of viral haemorrhagic septicaemia (VHS) in Olive flounder (*Paralichthys olivaceus*), resulted  
74 in an efficacious vaccine inducing long term protection without injection site reactions,  
75 adhesions or pigmentation [11].

76 The current study was performed to assess the efficacy of a polyvalent, whole cell  
77 vaccine containing formalin-inactivated *F. psychrophilum*, with and without different  
78 adjuvants, to induce protective immunity in Atlantic salmon fry. A mixture of  
79 squalene/aluminium hydroxide was tested as an alternative adjuvant to the traditional oil  
80 adjuvant (Montanide) and compared to protection achieved by vaccine without adjuvant.  
81 Immune responses were investigated post-vaccination/pre-challenge by ELISA and western  
82 blot in addition to immune gene expression and histological investigation of the injection site.

83

## 84 **2 Materials and Methods**

### 85 **2.1 Atlantic Salmon Fry**

86 Atlantic salmon eggs were supplied by AquaGen (Norway) and transported on ice to the  
87 aquarium at the Institute of Aquaculture, Stirling. On arrival eggs were subjected to an  
88 iodophor surface disinfectant treatment according to the manufacturer's instructions  
89 (Buffodine, Evans Vanodine, UK). Five replicates of 10 eggs were removed and confirmed to  
90 be *F. psychrophilum* free using a nested PCR for the 16S rRNA gene with modifications  
91 [12,13]. The eggs were maintained in flow-through de-chlorinated tap water at 10 °C until  
92 hatch, and thereafter maintained in a 100 L flow-through tank (5 L min<sup>-1</sup>). The fry were fed to  
93 satiation daily (Inicio feed, 1.1 mm, BioMar, UK). All experimental procedures with live fish  
94 were carried out in accordance with the UK Animals (Scientific Procedures) Act, 1986 and  
95 associated guidelines, EU Directive 2010/63/EU for animal experiments and were approved  
96 by the Ethics Committee of the Institute of Aquaculture, University of Stirling, UK.

97

## 98 **2.2 Preparation of formalin inactivated bacteria**

99 Two isolates of *F. psychrophilum* recovered from trout and one recovered from salmon in the  
100 UK in 2013 were used to make the whole cell vaccine (Table 1) as described in [14].

101

102 **Table 1.** Details of the isolates of *F. psychrophilum* included in the experimental vaccine: species,  
103 geographical source and serotype.

ISOLATE	FISH SPECIES	SOURCE	SEROTYPE [15]
AVU-1T/13	Rainbow Trout	England	Th
AVU-2T/13	Rainbow Trout	Scotland	Fd
AVU-3S/13	Atlantic salmon	Scotland	FpT

104

## 105 **2.3 Preparation of Vaccine formulations and Vaccination**

106 The formalin-inactivated vaccine (formalin killed cells: FKC) was emulsified with  
107 squalene/alum adjuvant [(5 (v/v) % squalene (Sigma, Australia), 20 (v/v) % glycerol  
108 (Ameresco, USA), 0.5 (v/v) % Tween 80 (Sigma-Aldrich, USA) and 0.5 (w/v) % aluminium  
109 hydroxide (Sigma, USA)] or with Montanide ISA760VG (Seppic, France) (Montanide 70:  
110 FKC 30). The vaccine formulations were stored at 4 °C and the stability of the emulsion was  
111 examined macro and microscopically following a period of 7 days.

112 Fish ( $23.5 \pm 6.8$  g) were randomly separated into 100 L flow-through tanks with  
113 aeration at 15 °C. The experimental design of the vaccination trial is summarised in Table 2.  
114 Fish were anaesthetised with benzocaine (Sigma, 0.004%) and given one of the vaccine  
115 formulations by intra-peritoneal injection (50 µl per fish). Control groups were injected i.p.  
116 with 50 µl of sterile PBS. Fish were euthanized by an over-dose of benzocaine and sampling  
117 carried out at various time points (Table 2). Tissues (spleen, liver, kidney, intestine and heart)  
118 from three fish per replicate were collected and immediately fixed in formaldehyde in PBS

119 (100 mL 35% formaldehyde and 900 mL DW) for histology. Head-kidney from three fish per  
 120 duplicate group (n=6) was placed immediately in RNA-later (Sigma) and stored at 4 °C  
 121 overnight. RNA-later was removed and tissues stored at -70 °C until RNA extraction. Blood  
 122 was sampled from the caudal vein using a 23 G needle and syringe from three fish per  
 123 duplicate group (n=6) stored overnight at 4 °C, centrifuged at 3000 x g 5 min for collection of  
 124 serum which was stored at -20 °C until analysis.

125

126 **Table 2.** Experimental design of vaccination trial and sampling.

Groups	No. Fish/ replicate	Innoculum (50 µl i.p.)	Challenge (no.CFU/fish)	Sampling point (samples taken)
Control (unvaccinated)	21 x 2	PBS	Homologous (4.0 x 10 <sup>7</sup> )	Day 2 pv (tissues qPCR)
Vaccine (FKC)	21 x 2	FKC		6 wpv (Blood, tissues)
Vaccine + squalene/alum	21 x 2	FKC: Squalene/alum		
Vaccine + Montanide	21 x 2	FKC: Montanide		

127

## 128 **2.4 Experimental infection of vaccinated fish**

129 Vaccinated and control fish were experimentally infected with a homologous isolate of *F.*  
 130 *psychrophilum* (AVU-3S/13) at 4.0 x 10<sup>7</sup> CFU/fish six weeks post-vaccination (wpv) by  
 131 intramuscular injection. The fish were maintained as above and monitored for 21 days post  
 132 infection (dpi). Moribund fish or mortalities were removed and sampled by streaking head  
 133 kidney, spleen and any lesions on Modified Veggietone (MV) medium [veggitones GMO-free  
 134 soya peptone (Oxoid, UK), 5 g L<sup>-1</sup>; yeast extract (Oxoid, UK), 0.5 g L<sup>-1</sup>; magnesium sulphate  
 135 heptahydrate (Fisher chemicals, UK), 0.5 g L<sup>-1</sup>; anhydrous calcium chloride (BHD), 0.2 g L<sup>-1</sup>;  
 136 dextrose (Oxoid, UK), 2 g L<sup>-1</sup>; agar (solid medium; Oxoid, UK), 15 g L<sup>-1</sup>; pH 7.3] to confirm

137 specific mortality. A sub-sample of colonies recovered was examined for the presence of *F.*  
138 *psychrophilum* using a nested PCR method [12,13].

139

## 140 **2.5 ELISA for detection of specific IgM in serum**

141 Enzyme-linked immunosorbent assay (ELISA) was used to assess specific IgM titre to *F.*  
142 *psychrophilum* in serum according to [16] with some modifications. *F. psychrophilum*  
143 vaccine isolates and a heterologous isolate were used to coat the plates at  $1 \times 10^8$ /mL in PBS  
144 and incubated overnight at 4 °C. The dilution of fish serum used was optimised by first  
145 titrating sera from each group (1:32 to 1:1024). Fish serum samples at the optimised dilution  
146 of 1:64 in PBS were added to the wells (100 µl/well) in duplicate and incubated overnight at 4  
147 °C. Specific IgM was detected using anti-trout IgM monoclonal antibody (Aquatic  
148 Diagnostics Ltd., 1/33 in PBS, 1h) followed by incubation with anti-mouse-HRP (1/4000,  
149 Sigma, 1h). The absorbance was read on a BioTek HT Synergy spectrophotometer at 450 nm.

150

## 151 **2.6 SDS-PAGE and Western blotting**

### 152 **2.6.1 Sodium dodecyl sulphate polyacramide gel electrophoresis (SDS-PAGE)**

153 Suspensions of the three vaccine isolates and a heterologous isolate of *F. psychrophilum* were  
154 aliquoted into 1.5 ml microcentrifuge tubes (1 mL of  $2 \times 10^8$  cfu/mL), and centrifuged for 15  
155 min at  $3000 \times g$ . Bacterial pellets were resuspended in 100 µl of DW and 30 µl of 5 X sample  
156 buffer (250mM Tris-HCl, 30% glycerol, 10% SDS, 0.5M dithiothreitol, 0.2% bromophenol  
157 blue) and boiled for 15 min. Finally, the samples were centrifuged at  $10,000 \times g$  for 10 min  
158 prior to analysis of the supernatants. A preparation of broad-range molecular weight markers  
159 (5 µl) (Bio-Rad) were added to the first well of a 12% polyacrylamide gel (Bio-Rad) and 15  
160 µl of each sample were added to the remaining wells. The gel was run at 130 V for

161 approximately 90 min. The gel was stained in 50 mL of Coomassie (QC Colloidal Coomassie  
162 Stain, Bio-Rad) according to the manufacturer's instructions.

163

### 164 **2.6.2 Western blot analysis**

165 Bacterial components separated by SDS-PAGE as described above were transferred onto  
166 nitrocellulose membranes by semi-dry transfer (Pierce™ Power Blotter, ThermoFischer  
167 Scientific) applying 25 V (1.3A) for 7 min. The nitrocellulose membranes were then  
168 incubated overnight at 4 °C in 5 % (w/v) casein in distilled water (DW). After washing 3  
169 times with Tris buffered saline with Tween (TBS: 10 mM Tris base, 0.5 M NaCl pH 7.5 with  
170 0.1% [v/v] Tween 20) for 5 min at each wash, the membranes were incubated for 3 h at 22 °C  
171 with a 1/20 dilution of fish serum in TBS (serum was a pool from 2 fish from each treatment  
172 group, with a titre of 1/512, taken six wpv as described in Section 2.6). The membranes were  
173 washed as previously described and incubated for 1 h at 22 °C with a 1/20 dilution of anti-  
174 trout IgM monoclonal antibody in TBS (ADL). The membranes were again washed and  
175 incubated for 1 h at 22 °C with a 1/200 dilution of anti-mouse horse radish peroxidase  
176 (Sigma) in TBS. After washing, bands were visualised by adding chromogen and substrate  
177 (ImmPACT™ DAB Peroxidase substrate kit). The reaction was stopped by soaking the  
178 membranes in DW for 5 min.

179

### 180 **2.7 Histology**

181 Formalin fixed tissues were embedded in paraffin and sectioned using a Microtome (Shandon  
182 Finesse). Tissue sections were de-waxed and dehydrated in xylene (2 x 3 min), 100% ethanol  
183 (2 min), methylated spirit (1.5 min) and stained with haematoxylin and eosin. Slides were



184 examined using an Olympus BX40 microscope for signs of inflammation or adverse reactions  
185 to the vaccine/adjuvants and scored for inflammation and lipid droplets at the injection site.

186

## 187 **2.8 Isolation of total RNA and cDNA synthesis**

188 RNA was extracted from 30 - 40 mg of each head-kidney sample using TRI Reagent (Applied  
189 Biosystems) following the manufacturer's protocol. The resultant RNA pellet was re-  
190 suspended in 30 µL of nuclease-free water. Following spectrophotometric quantification  
191 (Nanodrop ND-1000, Thermo Fisher, Leicestershire, UK) and quality checking by gel  
192 electrophoresis (1% agarose gel stained with ethidium bromide), samples were stored at -70  
193 °C until required. RNA was reverse transcribed to construct cDNA using a high-capacity  
194 cDNA Reverse Transcription kit (Applied Biosystems, USA) according to the manufacturer's  
195 instructions. Briefly, 10 µl of RNA was added to 10 µl of 2X RT master mix (10X RT buffer,  
196 25X dNTP Mix 100 mM, 10XRT Random Primers and oligo-dT mix, Reverse Transcriptase,  
197 RNase Inhibitor, nuclease-free water). The thermal cycle conditions consisted of 25 °C for 10  
198 min, 37 °C for 120 min and 85 °C for 5 min. The cDNA was aliquoted and stored at -20 °C  
199 prior to use.

200

## 201 **2.9 Quantitative Real Time PCR (qRT-PCR)**

202 Head-kidney samples were analysed by qRT-PCR for the expression of cytokines (*IL-1β*, *IL-*  
203 *8*, *IL-10*, *IFN-γ*) and immune genes (*CD4*, *CD8*). Real time PCR was performed on first  
204 strand cDNA using the Eppendorf® RealPlex<sup>2</sup> Mastercycler gradient S instrument with  
205 SYBR® Green I (Thermo Scientific) master mix and primers as shown in Table 3.

206

207 **Table 3.** Primers used for qPCR including product size and sequences.

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Gene	Primers	Product size	Reference	Efficiency (E)
IL-1 $\beta$	F: GCTGGAGAGTGCTGTGGAAGA R: TGCTTCCCTCCTGCTCGTAG	73	[17]	0.90
IL-10	F: CTGTTGGACGAAGGCATTCTAC R: GTGGTTGTTCTGCGTTCTGTTG	129	[18]	0.95
IFN $\gamma$	F: CTAAAGAAGGACAACCGCAG R: CACCGTTAGAGGGAGAAATG	159	[19]	0.96
CD8 $\alpha$	F: AATCAATGGTAACGCGCTTG R: TGGCTGTGGTCATTGGTGTA	101	[20]	0.97
CD4	F: GAGTACACCTGCGCTGTGGAAT R:GGTTGACCTCCTGACCTACAAAGG	121	[19]	0.85
IL-8	F: ATTGAGACGAAAAGCAGACG R: CGCTGACATCCAGACAAATCT	136	[21]	0.85
Elongation factor 1 $\alpha$	F:CGGCAAGTCCACCACCAC R:GTAGTACCTGCCAGTCTCAAAC	205	[21]	0.94
B-actin	F: ACTGGGACGACATGGAGAAG R: GGGGTGTTGAAGGTCTCAA	157	[21]	0.91

216 Briefly the 20  $\mu$ l reaction consisted of 5  $\mu$ l of cDNA and 15  $\mu$ l of master mix prepared using 1  
217  $\mu$ l of the forward and reverse primers (0.3  $\mu$ M), 10  $\mu$ l SYBR® Green I and 3  $\mu$ l of nuclease  
218 free water. The cycling conditions consisted of 95°C initial denaturing for 15 s, followed by  
219 40 cycles of 15 s denaturing at 95 °C, 30 s annealing at 58 °C and 30 s extension at 72 °C.  
220 RT-minus and non-template controls were included on every plate. Melting curve analysis  
221 was performed from 60 °C to 95 °C in 0.1 °C/s increments to assess the specificity of the RT-  
222 PCR products. Serial 10-fold dilutions of the cDNA were prepared in nuclease free water  
223 starting and the Ct values were used to generate a standard curve plot of cycle number versus  
224 log concentration in the *realplex* software V2.2 (Eppendorf). The quality of the standard  
225 curve was judged by the slope of the curve and the correlation coefficient (r). The slope of the  
226 line was used to estimate the estimate the efficiency of the target amplification using the  
227 equation  $E = (10^{-1/\text{slope}}) - 1$ . Elongation factor- $\alpha$  and  $\beta$ -actin were used as reference genes to  
228 correlate for potentially different loading amounts of RNA and for variation in cDNA  
229 synthesis efficiencies [22]. The threshold cycle (Ct) was determined at the linear slope in a  
230 log fluorescence/Ct plot. The expression results were analysed using the  $2^{-\Delta\Delta}$  Ct method [23].

231 The gene expression data were normalised to the reference genes and expressed as a  
232 comparison of vaccinated fish compared to control fish using REST 2009™ software [24].

233

## 234 **2.10 Statistical Analysis**

235 Minitab software version 16 (Minitab Inc., Pennsylvania) was used to perform basic  
236 descriptive statistics and SPSS™ for survival analysis. Relative percentage survival (RPS)  
237 was calculated at the time point corresponding to when mortality had ceased in the control  
238 group (3 consecutive days of no mortality). Kaplan-Meier survival curves were generated and  
239 the log-rank test was used to compare the survival curves for the vaccinated fish and  
240 unvaccinated fish [25,26]. The relative percent survival (RPS) of this trial was calculated  
241 using the following equation [27]:

242

$$\text{RPS} = \left[ 1 - \frac{\text{average \% mortality of vaccinated fish}}{\text{average \% mortality of unvaccinated fish}} \right] \times 100$$

243

244 Specific antibody levels were analysed by one-way ANOVA followed by Welch's test.

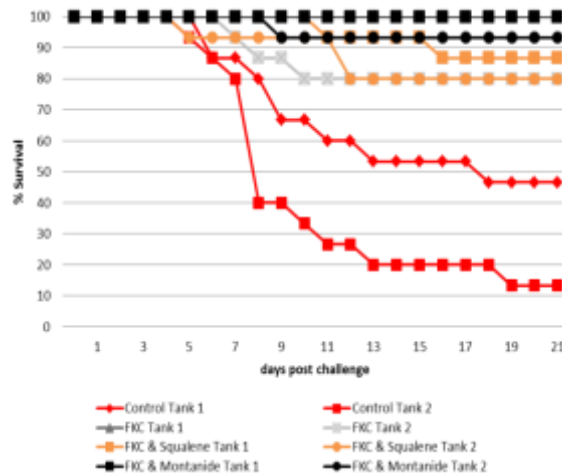
245

## 246 **3. Results**

### 247 **3.1 Vaccine Efficacy**

248 All vaccinated groups showed significant protection to disease challenge compared with the  
249 controls when average percentage survival was calculated ( $p = 0.0001$ , Fig 1). Pairwise  
250 comparisons of individual tanks are given in supplementary table 1. Average cumulative  
251 mortality reached 70% in the control salmon. The vaccine formulation of formalin-killed cells  
252 (FKC) combined with Montanide ISA 760VG gave the highest protection (RPS of 95.2%),  
253 vaccine (FKC) without adjuvant and vaccine formulated with squalene/alum adjuvant also

254 induced good protection with RPS values of 85.71% and 75.17% respectively. No significant  
 255 difference in survival was found between vaccinated groups. DNA samples extracted from  
 256 selected bacterial colonies recovered from fish that had died post-challenge were positive for  
 257 *F. psychrophilum* by nested PCR.



258  
 259 **Figure 1.** Cumulative percentage survival of salmon vaccinated by intraperitoneal injection with *Flavobacterium*  
 260 *psychrophilum* formalin killed bacterin with and without adjuvant and challenged 630 degree days post-  
 261 vaccination by intramuscular injection with one of *F. psychrophilum* vaccine strains (AVU-3S/13). Survival of  
 262 each duplicate tank is shown. Average Relative percent survival (RPS): FKC: formalin-killed cells (85.71%);  
 263 FKC & Squalene: formalin-killed cells emulsified with squalene and alum adjuvant (RPS 75.17%); FKC &  
 264 Montanide: formalin-killed cells emulsified with Montanide ISA 760VG (RPS 95.24%). Controls were given  
 265 sterile phosphate buffered saline by intraperitoneal injection.

266

### 267 3.2 Nested PCR for detection of *F. psychrophilum*

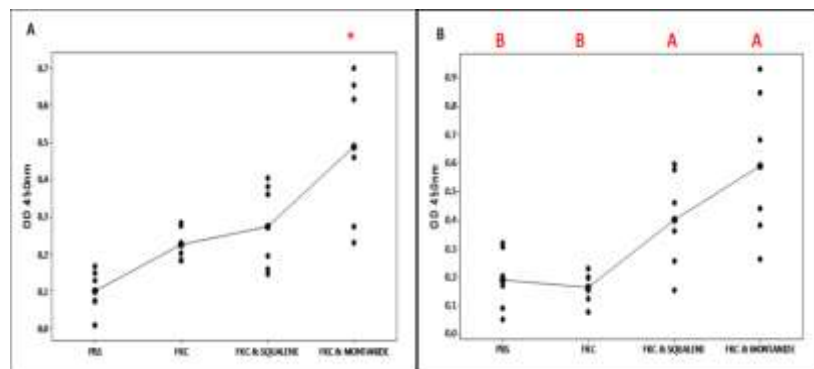
268 The eggs were free of *F. psychrophilum* (Fig. S1a) and *F. psychrophilum* was detected in  
 269 moribund and dead fish sampled during the challenge (Fig. S1b).

270

### 271 3.3 Specific antibody response

272 Antibody levels (IgM) were measured at a 1:64 dilution as this gave the best resolution  
 273 between groups. Antibody levels of vaccinated fish screened against a *F. psychrophilum*  
 274 vaccine isolate (AVU-3S/13, serotype FpT) 6 wpv were significantly elevated in the group

275 which received the Montanide adjuvanted vaccine ( $p = 0.002$ ) when compared to fish that  
 276 received either PBS, unadjuvanted vaccine or vaccine emulsified with squalene/alum (Fig. 2  
 277 A). The levels of IgM to a heterologous isolate of *F. psychrophilum* (AVU-1T/07, serotype  
 278 Th) were also significantly elevated in both groups of fish given the vaccine emulsified with  
 279 adjuvants compared to fish injected with PBS or the unadjuvanted vaccine ( $p = 0.010$ ) (Fig. 2  
 280 B).



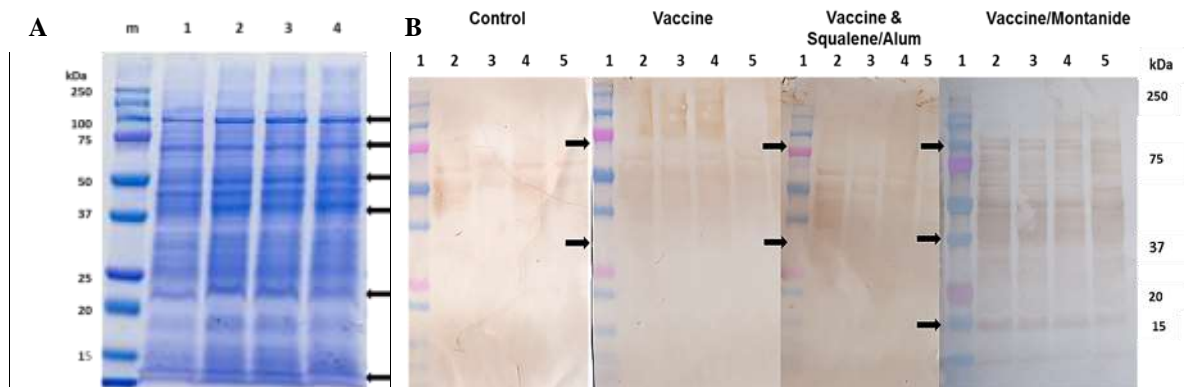
281  
 282 **Figure 2.** Specific antibody (IgM) levels to *F. psychrophilum* in vaccinated salmon 6 weeks post vaccination (A:  
 283 to homologous isolate *F. psychrophilum* \*denotes significantly different to other groups  $p=0.002$ ; B: to a  
 284 heterologous isolate *F. psychrophilum*; groups that do not share a letter are significantly different ( $p=0.01$ ). The  
 285 line denotes the mean antibody level of each group,  $n=6$ , 1:64 serum dilution.

286

### 287 3.4 SDS-PAGE and Western blot

288 Distinct bands ranging from 10-250 kDa were evident in the SDS-PAGE profiles of the *F.*  
 289 *psychrophilum* isolates used to prepare the polyvalent vaccine (and a heterologous isolate  
 290 AVU-1T/07) following staining with Coomassie (Fig 3A). Banding profiles of the isolates  
 291 were similar, with the exception of a slight difference in the band between 20-25kDa in the  
 292 heterologous isolate. When blots of these isolates were incubated with immune sera sampled  
 293 6 wpv (pooled sera with a titre of 512 by ELISA), the strongest staining was seen with serum  
 294 from fish vaccinated with the Montanide and squalene/alum vaccine preparations (Fig. 3B)  
 295 reflecting the results obtained by ELISA. Bands ranging from 15 to 250 kDa were recognised

296 by the Montanide group, whereas the serum from fish given unadjuvanted vaccine recognised  
 297 bands between 37-250 kDa with much weaker staining. This was also the case with serum  
 298 from fish given vaccine emulsified with squalene/alum adjuvant, with bands recognised  
 299 between 37-75 kDa. These bands also stained weakly in control fish administered PBS.  
 300



301  
 302  
 303 **Figure 3.** SDS-PAGE and western blotting of *F. psychrophilum* isolates. (A) Whole cell lysates from a  
 304 heterologous isolate and vaccine isolates Lanes: (1) molecular weight markers (2) AVU171/07, (3) AVU-1T/13,  
 305 (4) AVU-2T/13, (5) AVU-3S/13) were separated by SDS-PAGE and stained with Coomassie stain. Arrows  
 306 indicate high intensity bands at 10-15, 20, 37-50, 75, 100 kDa. (B) Western blot analysis of the whole cell  
 307 lysates (as shown in A) with serum from vaccinated or unvaccinated (control) fish. Serum used was a pool from  
 308 2 fish from each treatment group (titre 1/512, six wpv). Arrows indicate high intensity bands at 15kDa and  
 309 between 37-75kDa. Molecular mass standards (kDa) are indicated.

310  
 311 **3.5 Histology**

312 Internal organs of spleen, kidney, liver, heart and digestive tract were examined histologically  
 313 for signs of inflammation or adverse reactions to the vaccine/adjuvants six weeks post-  
 314 vaccination. No histological changes were observed in the PBS injected fish. In fish  
 315 administered the unadjuvanted vaccine, inflammatory cell accumulation was observed at the  
 316 injection site and around the spleen, intestine and pancreatic tissue in one of the six fish  
 317 sampled (Fig. 4 A, B). Another two fish had very few inflammatory cells in normal adipose

318 tissue around the pancreas. Vaccine emulsified with squalene and alum induced inflammatory  
 319 cell infiltration higher than the FKC group but distantly less than the groups given vaccine  
 320 formulated with Montanide ISA760VG adjuvant (Table 4). Lipid droplets were observed  
 321 among the inflammatory cells, which originated from the squalene component of the adjuvant  
 322 (Fig. 4 C, D). All six fish vaccinated with Montanide ISA760VG adjuvant showed  
 323 inflammatory cell responses (Fig. 4 E, F). Three fish had severe inflammatory cell  
 324 accumulations in a wide area of injection site around pancreas, intestine, liver and spleen. In 2  
 325 fish, the capsule of the spleen and liver was not obvious due to infiltrated inflammatory cells  
 326 accompanied by newly produced fibrous tissue in the capsule area, and these changes may  
 327 lead to adhesions of internal organs. Scoring of histological changes in the different groups is  
 328 shown in Table 4.

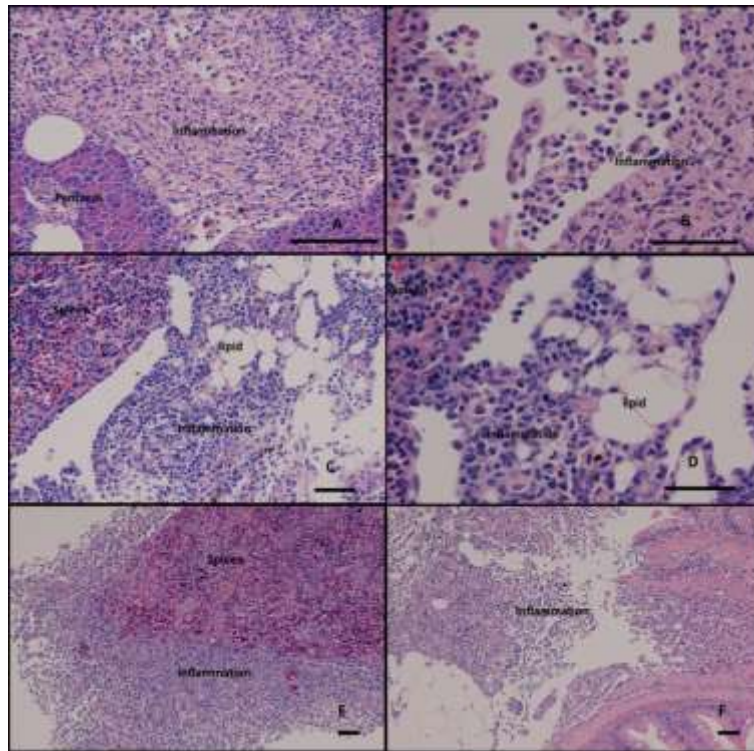
329 **Table 4.** Scoring of histological reactions to injection with PBS: Phosphate buffered saline, FKC: Group  
 330 vaccinated with formalin-killed cells of *F. psychrophilum*; FKC & squalene/alum: group vaccinated with  
 331 formalin killed cells of *F. psychrophilum* mixed with squalene and alum adjuvant and FKC and Montanide:  
 332 group vaccinated with formalin killed cells of *F. psychrophilum* mixed with Montanide ISA760VG adjuvant;  
 333 (n=6).

Treatment Group	Injection site inflammation	Injection site lipid droplets	Tissue adhesion
PBS	- (0/6)	-	0/6
FKC	++ (1/6)	-	0/6
	± (2/6)		
FKC & Squalene/alum	++ (1/6)		
	+ (2/6)		
	± (1/6)	+	0/6
FKC & Montanide	+++ (3/6)		
	+ (2/6)		
	± (1/6)	+	2/6

334

335

-Absent, + Minimal, ++ Mild, +++ Moderate



336

337 **Figure 4.** Atlantic salmon vaccinated with *F. psychrophilum* formalin killed cells (FKC) (A) inflammatory cell  
 338 infiltrations near outer pancreas (B) Basophilic and polymorphic inflammatory cells outer spleen (bar = 50 μm).  
 339 Atlantic salmon vaccinated with formalin killed cell (FKC) of *F. psychrophilum* mixed with squalene and alum  
 340 adjuvant. (C) Inflammatory cell infiltrations near outer spleen (D) Basophilic and polymorphic inflammatory  
 341 cells outer spleen (bar = 50 μm). Atlantic salmon vaccinated with formalin killed *F. psychrophilum* mixed with  
 342 Montanide adjuvant. (E) Inflammatory cell infiltrations in injection site near outer spleen (E) and intestine (F)  
 343 were observed from all 6 fish observed (bar = 50 μm).

344

### 345 **3.6 Gene Expression (RT-qPCR)**

346 The expression of cytokine genes (*IL-1β*, *IL-8*, *IL-10*, *IFN-γ*) and cell marker genes (*CD4*,  
 347 *CD8*) was examined in the head-kidney 2 dpv. There was a significant up-regulation of the  
 348 cytokines *IFN-γ* and *IL-10* in fish vaccinated with FKC alone or with FKC in combination  
 349 with squalene and aluminium hydroxide adjuvant when compared to control fish injected with  
 350 PBS ( $p < 0.01$ ) Table 5. There were no significant differences in any of the genes examined in  
 351 fish vaccinated with FKC and Montanide when compared to control fish.

352



353 **Table 5. Quantitative PCR (qPCR) expression of genes in the head kidney of salmon day 2 post-**  
 354 **vaccination with the *F. psychrophilum* vaccines.**

355 Fold change of genes in vaccinated groups compared to controls  $\pm$  SE. (n=6). Expression was compared to  
 356 controls injected with PBS, and \* indicates significant up-regulation relative to control ( $p < 0.05$ ), \*\*( $p < 0.01$ ).  
 357 FKC: Group vaccinated with formalin-killed cells of *F. psychrophilum*; FKC & squalene/alum: group vaccinated  
 358 with formalin killed cells of *F. psychrophilum* mixed with squalene/alum adjuvant and FKC and Montanide:  
 359 group vaccinated with formalin killed cells of *F. psychrophilum* mixed with Montanide ISA760VG adjuvant.

Gene	FKC	FKC & Squalene/Alum	FKC & Montanide
<i>IL 10</i>	22.22** $\pm$ 4.05	24.25** $\pm$ 6.22	1.90 $\pm$ 0.35
<i>IFN<math>\gamma</math></i>	6.02* $\pm$ 2.39	4.10** $\pm$ 1.84	0.90 $\pm$ 0.54
<i>IL 1b</i>	3.82 $\pm$ 0.93	2.31 $\pm$ 0.69	1.29 $\pm$ 0.31
<i>IL 8</i>	1.41 $\pm$ 0.61	1.21 $\pm$ 0.56	1.06 $\pm$ 0.44
<i>CD4</i>	1.86 $\pm$ 0.68	1.37 $\pm$ 0.85	1.61 $\pm$ 0.80
<i>CD8</i>	1.87 $\pm$ 0.74	1.28 $\pm$ 0.57	1.13 $\pm$ 0.53

360

361

#### 362 4. Discussion

363 The success of many injectable vaccines for aquaculture has been attributed to the inclusion  
 364 of adjuvants [6]. Five modes of action of vaccine adjuvants have been proposed: (1)  
 365 immunomodulation: the ability of many adjuvants to modify the cytokine network. (2)  
 366 Presentation: the ability of an adjuvant to preserve the conformational integrity of an antigen  
 367 and to present the antigen to appropriate immune effector cells. (3) CTL induction: induction  
 368 of CD8+ cytotoxic T-lymphocyte (CTL) responses. (4) Targeting: the ability of an adjuvant to  
 369 deliver an immunogen to immune effector cells, generally via antigen presentation cells  
 370 (APCs). (5) Depot generation: generation of a short-term or long-term depot to give a

371 continuous or pulsed release [28]. The use of vaccine adjuvants allows for a reduction in the  
372 number of immunisations or the amount of antigen needed for immunisation.

373 Adjuvants are substances which enhance the immune response to an antigen [29] and  
374 one of the most effective used in aquaculture is mineral oil [30,31]. However, the traditional  
375 oil based adjuvants, such as Montanide, can cause adverse effects [8,32,33]. Therefore, there  
376 is a need to develop adjuvants for use in injectable vaccines for salmonids, which balance the  
377 efficacy-safety profile. This study compared the efficacy and safety of a novel adjuvant for  
378 salmonid aquaculture (Squalene/aluminium hydroxide) with that of the traditional water in  
379 polymer emulsion adjuvant Montanide ISA 760VG. Alum salts have a depot effect allowing  
380 the antigen to persist and the immune system to react and facilitate uptake into antigen-  
381 presenting cells (APCs)[34]. MF59, an adjuvant used for humans for over 14 years, is safe  
382 and contains a low content of squalene (4.3% w/w), a biodegradable oil naturally found in  
383 plants and animals including humans. MF59 induces low injection site reactions and is able to  
384 induce fast priming of antigen-specific CD4+ T-cell responses to induce strong and long-  
385 lasting memory T- and B-cell responses [35].

386

387 The polyvalent vaccine formulated with squalene/aluminium hydroxide against *F.*  
388 *psychrophilum* in this study provided significant protection to Atlantic salmon fry when  
389 administered by intraperitoneal injection with less severe side effects observed histologically  
390 as to those observed with a traditional oil-based adjuvant. The un-adjuvanted vaccine has  
391 previously been shown to provide cross-protection to trout fry against a heterologous isolate  
392 of *F. psychrophilum* by immersion vaccination [14].

393 The vaccine formulated without adjuvant resulted in a high level of protection (RPS  
394 85.7%), second only to the group given vaccine combined with the traditional water in

395 polymer emulsion adjuvant Montanide ISA 760VG (RPS of 95.2%). The vaccine formulated  
396 with the novel squalene/alum adjuvant also gave good protection with an RPS of 75.2%. The  
397 group administered vaccine with Montanide had significantly higher specific antibody (IgM)  
398 levels (by ELISA and western blotting) to a homologous vaccine isolate six weeks post-  
399 vaccination compared with the other vaccine groups. This finding was in agreement with  
400 previous studies whereby the inclusion of oil-based adjuvants in vaccines developed for  
401 bacterial diseases of salmonids have been shown to stimulate a strong humoral response  
402 probably due to the retention of the antigen in the oil component of the vaccine and its  
403 subsequent slow release [7,32,36-38]. In the present study, specific antibody levels of the  
404 other vaccinated groups to this isolate were not significantly different to those of the control  
405 fish. These groups still had relatively high levels of protection perhaps due to even low levels  
406 of specific antibodies that are highly potent in conferring protection against *F. psychrophilum*.  
407 Future studies should include a group given adjuvant alone to further dissect the protective  
408 mechanisms behind these vaccines.

409         Recent studies have revealed the importance of the link between induction of the innate  
410 and adaptive immune response [39]. The type and strength of the signals recognised by the  
411 innate receptors, such as PRRs and cytokines, following vaccination affect the type of  
412 adaptive immune response induced [40]. When specific antibody was measured to an isolate  
413 of *F. psychrophilum* (AVU-1T/07) that was not present in the vaccine (a heterologous isolate)  
414 significant antibody levels were induced in both the groups given adjuvanted vaccines  
415 compared with controls or vaccine alone. The cross reaction was also observed by western  
416 blot with the strongest staining observed in the groups vaccinated with adjuvants  
417 (Squalene/Alum; Montanide). The capacity of the adjuvanted vaccine to produce a specific  
418 humoral response to a heterologous isolate is a promising indication that the combination of

419 all three serotypes and genetic variants in the vaccine may provide cross protection against  
420 other strains of *F. psychrophilum* in Atlantic salmon. Further studies using a number of  
421 heterologous isolates for challenge and adjuvant alone groups are warranted to further  
422 determine the cross-protective capacity of the vaccine for salmon.

423 Immune gene expression in head-kidney measured in the current study revealed a  
424 significant up-regulation of interferon gamma and interleukin-10 cytokines in all the  
425 vaccinated groups, except for those administered vaccine with Montanide. A similar pattern  
426 was observed when Atlantic salmon fry were experimentally infected with Salmonid  
427 alphavirus (SAV) with up-regulation of IFN- $\gamma$  and IL-10 two to four weeks post-infection in  
428 head-kidney indicating a pro-inflammatory response [19]. IFN- $\gamma$  is a type II IFN and has  
429 regulatory roles in both innate and adaptive immunity, including activating macrophages,  
430 enhancing antigen presentation and promoting the Th1 T cell responses. The involvement of  
431 IFN- $\gamma$  at such an early stage post-vaccination (day two) suggests the stimulation of antigen  
432 presenting cells such as macrophages. IFN- $\gamma$  is a powerful immunopotentiator and therefore  
433 needs to be under tight control (IL-10) as shown in studies of higher vertebrates [41]. Similar  
434 responses were seen in Atlantic salmon given oil-adjuvanted vaccines i.p. for *Aeromonas*  
435 *salmonicida* and infectious pancreatic necrosis virus, where gene expression profiling was  
436 used to investigate the T cell mediated immune response in spleen and head kidney from 1 to  
437 28 dpv [18]. Expression of IFN- $\gamma$  and IL-10 increased 2 dpv in spleen and head kidney in the  
438 group vaccinated with the bacterial vaccine (*A. salmonicida*), suggesting the importance of  
439 these cytokines and their interaction following vaccine delivery. In contrast to these studies  
440 the group given the *F. psychrophilum* vaccine formulated with Montanide adjuvant in the  
441 present study had no significant up-regulation of gene expression 2 dpv. Gene expression may  
442 have been delayed in this group due to the retention of the antigen compared with the other

443 groups as indicated by the inflammatory response observed histologically six wpv in this  
444 group.

445 Moderate inflammatory reactions were observed histologically in the fish  
446 administered the vaccine in conjunction with Montanide, whereas fish administered vaccine  
447 without adjuvant or the novel squalene/alum adjuvant had less inflammatory cell  
448 accumulations at the injection site as was observed when squalene based vaccines were used  
449 in humans[35]. This could be an indication of the differing mode of action of the adjuvants as  
450 the squalene/alum adjuvant (oil in water adjuvant) may have been more readily metabolised  
451 by the fish resulting in less chronic inflammation.

452 Squalene/alum adjuvants have seldom been incorporated into vaccines for  
453 aquaculture. Where it has been used the results have been impressive. Squalene/alum adjuvant  
454 was used in a vaccine for prevention of *F. psychrophilum* in Ayu (*Plecoglossus altivelis*)  
455 where it induced specific antibody titres and protection similar to that achieved with  
456 Montanide [42]. In addition it has been used to produce an effective vaccine with minimal  
457 side effects against VHS in Olive flounder [11]. The inclusion of this adjuvant in the present  
458 study produced significant protection in salmon against RTFS with less severe side effects  
459 observed histologically as to those observed with a traditional oil-based adjuvant and as such  
460 may hold promise for developing future vaccines for aquaculture, although length of  
461 protection still needs to be established. Future trials incorporating this adjuvant should  
462 therefore include long term efficacy studies and studies on protection in rainbow trout.  
463 Alternative methods of vaccine administration should also be tested (*e.g.* immersion  
464 vaccination) to enable vaccination of Atlantic salmon fry.

465

466 **Competing interests**

467 Conflicts of interest: the authors declare no conflict of interest.

468

469 **Acknowledgements** This work was supported by the EU project TARGETFISH, Targeted  
470 Disease Prophylaxis in European Fish Farming, under FP7 (grant no. 311993). AquaGen™  
471 supplied the trout and salmon eggs used in this study.

472

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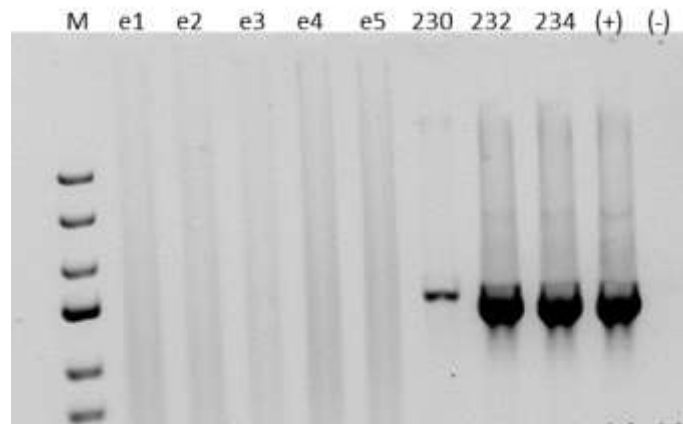
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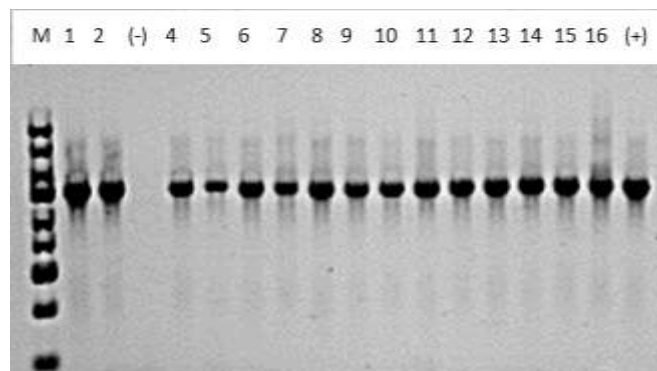
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580  
 581  
 582  
 583 Figure S1 a. Nested PCR for detection of *F. psychrophilum* in DNA extracted from eggs. 1% agarose gel  
 584 showing second round PCR products. Lane 1: (M) Ladder, Lane 2-6: Trout egg DNA, Lane 7-10: positive *F.*  
 585 *psychrophilum* DNA, Lane 11: negative water.



586  
 587 Figure S1b. Nested PCR for detection of *F. psychrophilum* in colonies recovered from moribund/mortalities  
 588 post-challenge. 1% agarose gel showing second round PCR products. M: Ladder, Lane1-16: bacterial DNA  
 589 recovered from fish, (-) negative control, (+): positive control.

590  
 591  
 592 **Table S1.** Survival analysis of different treatment groups showing results for individual tanks. **Treatment 1:**  
 593 Control tank 1; 2: Control tank 2; 3: FKC tank 1; 4: FKC tank 2; 5: FKC & squalene tank 1; 6: FKC & Squalene  
 594 tank 2; 7: FKC & Montanide tank 1; 8: FKC & Montanide tank 2.

595

Overall Comparisons <sup>a</sup>		
Wilcoxon (Gehan) Statistic	df	Sig.

37.930	7	.000
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a. Comparisons are exact.

596  
597

**Pairwise Comparisons<sup>a</sup>**

(I) treatment	(J) treatment	Wilcoxon (Gehan) Statistic	df	Sig.
1	2	2.770	1	.096
	3	8.339	1	.004
	4	1.493	1	.222
	5	3.973	1	.046
	6	2.633	1	.105
	7	8.339	1	.004
	8	5.299	1	.021
2	1	2.770	1	.096
	3	16.143	1	.000
	4	7.067	1	.008
	5	11.654	1	.001
	6	8.468	1	.004
	7	16.143	1	.000
	8	12.604	1	.000
3	1	8.339	1	.004
	2	16.143	1	.000
	4	3.212	1	.073
	5	2.069	1	.150
	6	2.219	1	.136
	8	1.000	1	.317
4	1	1.493	1	.222
	2	7.067	1	.008
	3	3.212	1	.073
	5	.450	1	.502
	6	.158	1	.691
	7	3.212	1	.073
	8	1.183	1	.277
5	1	3.973	1	.046
	2	11.654	1	.001
	3	2.069	1	.150
	4	.450	1	.502

	6	.021	1	.884
	7	2.069	1	.150
	8	.268	1	.605
6	1	2.633	1	.105
	2	8.468	1	.004
	3	2.219	1	.136
	4	.158	1	.691
	5	.021	1	.884
	7	2.219	1	.136
	8	.436	1	.509
7	1	8.339	1	.004
	2	16.143	1	.000
	4	3.212	1	.073
	5	2.069	1	.150
	6	2.219	1	.136
	8	1.000	1	.317
8	1	5.299	1	.021
	2	12.604	1	.000
	3	1.000	1	.317
	4	1.183	1	.277
	5	.268	1	.605
	6	.436	1	.509
	7	1.000	1	.317

a. Comparisons are exact.

598  
599  
600