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1   **Thermal performance of calcium-rich alkali-activated materials: A**

2   **microstructural and mechanical study**

3

4   Alireza Kashani[1], Tuan D Ngo[1*], Brant Walkley[2], Priyan Mendis[1]

5   [1] Department of Infrastructure Engineering, The University of Melbourne, Victoria 3010,

6   Australia

7   [2] Department of Materials Science and Engineering, The University of Sheffield, Sheffield S1

8   3JD, United Kingdom

9   * Corresponding author's email: dtngo@unimelb.edu.au

10   **Abstract**

11   The effects of Si/Al, Na/Al and water/solids ratios on thermal performance of alkali-activated

12   materials (AAM) based on fly ash-slag blends are investigated. Higher Na/Al decreased

13   compressive strength but increased post-heated strength retention and mass loss while

14   reducing cracking at 1000°C. Lower Si/Al resulted in lowest initial strength but highest

15   thermal stability, with an increase in strength after exposure to 1000°C, while a high degree

16   of cracking was observed at higher Si/Al ratio. The effect of w/s on thermal performance was

17   subtle. Computed tomography analysis showed for the first time thermally induced expansion

18   of pores which reduced surface cracking via water vapour pressure release. Thermal

19   performance of alkali-activated materials (AAM) is significantly better than Portland cement

20   (PC) of the same compressive strength because of the very low bound water content. The

21   porosity, pore connectivity and number of pores of the AAM were considerably higher than

22   those in the PC binder.

23   **Keywords:** Microstructure; Tomography Analysis; Thermal Analysis; Mechanical

24   Properties; Alkali-activated Materials; Geopolymer; Portland Cement

1. Introduction

Alkali activation of aluminosilicate-based materials such as blast furnace slag, fly ash, or metakaolin (collectively referred to as alkali-activated materials, AAM) can produce a binder with ~80-90% less associated CO_2 emissions and mechanical and durability properties comparable with or exceeding those of Portland cement (PC) [1]. Calcium-free and low-calcium alkali-activated aluminosilicate materials, also known as geopolymers, exhibit excellent resistance to fire and thermal stresses, evidenced by higher strength retention, a reduction in severity of cracking and an absence of spalling at an elevated temperature when compared to traditional PC binders [2]. Some AAM systems, however, exhibit poor thermal performance and fire-resistance [3, 4]. The thermal behaviour of AAM depends primarily on the chemistry and microstructure of the binder and consequently cannot be generalised across different binder systems [5]. Low-calcium AAM showed enhanced thermal stability due to lower bound water content within the gel when compared with PC [6]; bound water forms an essential part of the calcium silicate hydrate (C-S-H) gel and portlandite (CaOH) microstructure in hydrated PC [7] whereas the sodium aluminosilicate hydrate (N-A-S-(H)) gel formed in low-calcium AAM activated with sodium silicate or sodium hydroxide contains unbound water inside the pore network (i.e. pore solution) and hydroxyl groups adsorbed to the gel surface [8-10]. Low-calcium AAM systems, however, generally show lower ambient-cured mechanical strength than high-calcium AAM systems [11]. Inclusion of ground granulated blast furnace slag (GGBFS), which enriches the calcium content of the system and promotes formation of an alkali- and aluminium-substituted calcium silicate hydrate (C-(N)-A-S-H) gel or C-(N)-A-S-H/N-A-S-(H) gel blends upon alkali activation[12], has been shown to improve the performance of metakaolin-based AAM below 800°C [13].

Strong performance at higher temperatures is also attributed to the ability of the binder to form a network of interconnected pores which allow permeation of trapped steam to the

50   surface of the binder. Transport of steam to the surface markedly reduces cracking and

51   spalling by reducing the pressure build-up of water vapour in closed pores [14, 15]. Lower

52   thermal conductivity and sintering at elevated temperature has also been reported as reasons

53   for increased fire-resistance properties of AAM compared to PC binders, which show rapid

54   loss of strength at temperatures above 450°C [2]. With proper AAM formulation and curing,

55   outstanding thermal stability is achieved by retaining the amorphous gel structure at high

56   temperatures [2]. Poorly cured AAM with remnant unreacted alkali and silicates or excess

57   water content, however, exhibit poor thermal stability at elevated temperatures, with gel

58   devitrification above 800°C driving formation of a variety of crystalline phases [6, 16].

59   Bakharev [4] found that the strength of fly ash-based AAM systems deteriorated at

60   temperatures above 800°C, in stark contrast to the observed increase in strength with

61   increasing temperature (up to 800°C). Higher curing pressure was also observed to increase

62   strength at ambient temperature as well as post-heat shrinkage while reducing strength

63   retention at elevated temperatures as a result of reduced porosity [4].

64   At elevated temperatures, thermally-induced shrinkage of alkali-activated fly ash binders

65   coincides with the thermal expansion of aggregate and results in substantial damage and

66   strength loss (with strength loss proportional to aggregate size) [17, 18]. This shrinkage can

67   be reduced, however, by the inclusion of alumina-based inorganic fillers in the binder [19].

68   Thermal shrinkage of alkali-activated metakaolin binders has been shown to increase with

69   Si/Al ratio, and at lower Si/Al ratio is higher when the alkali cation is sodium compared to

70   potassium [20]. At higher Si/Al, however, differences in charge-balancing alkali cations

71   within the binder induce subtle changes in thermal shrinkage behaviour [20]. Thermal

72   shrinkage of AAM has also been shown to increase with increasing water/solids (w/s) ratio

73   and sodium content [4]. Potassium aluminosilicate hydrate- (K-A-S-(H)) based AAM

74   systems exhibit increased post-heat strength up to 1000°C but lower ambient temperature

75 strength when compared to N-A-S-(H)-based systems due to the formation of crystalline

76 phases, increased porosity and cracking at higher temperatures in N-A-S-(H) AAM [4].

77 The performance of alkali-activated fly ash [21] and fibre-reinforced alkali-activated

78 metakaolin [22] samples (exhibiting a range of microstructures from porous to solid) were

79 studied under simulated fire conditions. Despite the lower thermal conductivity of porous

80 samples, the fire rating of solid AAM samples was higher. Phases that do not participate in

81 alkali aluminosilicate gel formation have also been shown to affect performance at higher

82 temperatures. Iron-rich phases which have higher thermal expansion compared to the N-A-S-

83 (H) gel have been shown to cause cracking at elevated temperature, however crystalline silica

84 phases were found to have a negligible effect on the thermal performance of alkali-activated

85 fly ash [23].

86 In addition to thermally-induced chemical and atomic- or nano-structural changes in the gel,

87 thermally-induced microstructural changes dictating porosity and permeability also play a

88 significant role in thermal performance. Neutron pair distribution function (PDF) analysis

89 showed that the alkali aluminosilicate gel ((N,K)-A-S-(H)) structure exhibited only subtle

90 changes after heat exposure up to 1000ºC, and the majority of evaporated water in alkali-

91 activated metakaolin binders results from water present in large pores and associated with

92 hydration of alkali cations [23]. Sintering and densification of the AAM binder at elevated

93 temperatures reduce pore accessibility and limits the ability of traditional gas-adsorption

94 porosimetry (i.e. Brunauer–Emmett–Teller theory) to characterise the pore structure after

95 exposure to elevated temperatures [24]. Micro-computed tomography (µ-CT), however, is

96 able to resolve the majority of the pore structure above 30 µm in equivalent diameter in both

97 AAM and PC binders exposed to elevated temperature [5].

98 Despite an abundance of studies examining the thermal performance of low-calcium AAM

99 (using either metakaolin or low-calcium fly ash precursors), there has been limited previous

100 work examining thermal performance in high-calcium AAM systems based on GGBFS [25-

101 27], and no previous work examining the thermal performance of alkali-activated fly ash-slag

102 blends. Here, we examine the thermal performance of high-calcium AAM systems with fixed

103 CaO content, varying Si/Al, Na/Al and w/s in the reaction mixture. These compositional

104 parameters have been shown to be the main factors dictating the microstructure and

105 mechanical performance of AAM [1], and are varied to investigate the resultant

106 compositional and microstructural (including crystallinity, porosity and permeability)

107 changes and their effects on compressive strength, elastic modulus and other physical

108 properties in these systems at elevated temperature. In particular, a detailed discussion of the

109 influence of porosity, pore connectivity, crack development and pore size on thermal

110 performance within these systems is provided by application of micro computed tomography

111 (μ-CT), thermogravimetric analysis and physical property characterisation. A comparison of

112 the thermal performance of an AAM and a Portland cement binder with the same ambient-

113 cured strength and water to solids ratio was also performed. This study provides for the first

114 time a comprehensive investigation of the effect of precursor chemistry, gel composition and

115 microstructure on thermal performance to provide a novel and enhanced understanding of the

116 fundamental interactions which dictate the structure, strength and performance of AAM

117 systems during exposure to elevated temperatures.

118

119 **2. Materials and methods**

120 GGBFS (hereinafter slag) and a commercially available blend of high-calcium fly ash (based

121 on fly ashes from Gladstone and Callide power stations, Australia) were purchased from

122 Cement Australia (chemical composition displayed in Table 1); X-ray fluorescence (XRF)

123 analysis showed a loss on ignition at 1000°C of both precursors was negligible. A general

124 purpose PC was purchased from Boral Australia.

126 **Table 1. Chemical composition (weight percentage) of the fly ash and slag used, as determined by XRF**

	Na$_2$O	MgO	Al$_2$O$_3$	SiO$_2$	P$_2$O$_5$	SO$_3$	K$_2$O	CaO	TiO$_2$	MnO	Fe$_2$O$_3$	Total
Fly Ash	0.81	1.27	25.13	42.09	1.10	0.41	0.41	13.56	1.44	0.18	13.16	100
Slag	1.51	5.56	14.01	32.83	0.07	2.28	0.35	41.9	0.53	0.22	0.50	100

127 Activating solutions were produced by dissolving solid sodium hydroxide (analytical grade

128 with 99.9% purity, Chem-Supply, Australia) in water and subsequent addition of sodium

129 silicate solution (Grade D, 9.4 wt.% SiO$_2$, 14.7 wt.% Na$_2$O and 55.9 wt.% H$_2$O, molar ratio

130 SiO$_2$/Na$_2$O = 2, density = 1530 kg/m^3, viscosity = 400 cps, PQ Australia). Eight AAM

131 samples of differing composition were produced by dry mixing fly ash and slag in a 4:1 ratio

132 before addition of pre-prepared activating solutions (Table 2). Each sample code starts with G

133 then the next number after it is the Si/Al molar ratio and the number in parenthesis is the

134 Na/Al molar ratio. For instance, G2.1(0.65) has a nominal Si/Al ratio of 2.1 and nominal

135 Na/Al of 0.65. A water to solids (w/s) ratio of 0.35 was used unless it was noted after the

136 sample code (e.g. 37 for w/s of 0.37). A PC sample with w/s of 0.35 was cast for comparison.

137 After 10 minutes mixing using a Hobart mixer, samples were cast into moulds. A vibrating

138 table was used for 5 minutes in order to compact the paste and release any entrained air.

139 Samples were sealed in plastic bags and cured at ambient temperature (25±2 °C) until the

140 time of testing i.e. 14 days. 50 mm and 10 mm cubic samples were cast for compression tests

141 and μ-CT scans, respectively.

142 **Table 2. Reaction mixture composition for each sample**

	G2.1(0.65)	G2.1(0.65)-33	G2.1(0.65)-37	G2.1(0.85)	G2.1(1)	G2.1(1.15)	G1.85(1)	G2.35(1)
Si/Al	2.10	2.10	2.10	2.10	2.10	2.10	1.85	2.35
Na/Al	0.65	0.65	0.65	0.85	1.00	1.15	1.00	1.00
w/s	0.35	0.33	0.37	0.35	0.35	0.35	0.35	0.35

143

144 Crystalline phases in the slag and fly ash precursors were neglected when calculating the mix

145 proportions. Quartz, mullite and various iron rich crystalline phases (e.g. hematite) have been

146 shown to be insoluble during alkali-activation over the time scale examined in this study, and

147 consequently, only the amorphous component is considered reactive [28]. Quantitative X-ray

148 diffraction (Q-XRD) analysis was used to calculate the amorphous portion of each phase

149 (Table 3). The amorphous portion of the precursors as determined by Q-XRD does not

150 necessarily indicate the total amount of reactive material (e.g. Ca present in crystalline phases

151 such as gypsum can be reactive, and entrapment of amorphous material within insoluble

152 crystalline phases can prevent reaction of it [29]), rather it provides an indication of the

153 reactive precursor content. Diffraction data were collected using a Bruker D8 Advance

154 instrument with Ni-filtered Co kα radiation (1.79 Å). Data were collected between 5 - 85° 2θ,

155 with a step size of 0.02°, the scan rate of 1.0 s/step and spinning at 15 rpm. An anti-scatter

156 blade was used to reduce the diffracted background intensity at low angles and an incident

157 beam divergence of 1.0mm and 2.5° soller slit in the diffracted beam were used. Phase

158 identification was completed using Diffrac. EVA V4.1 software with the ICDD PDF4+ 2015

159 database and Phase Quantification and Relative crystallinity was carried out using Bruker

160 Diffracplus Topas software between 13° and 85° 2θ. The background was calculated using

161 Chebychev method [30] with a single coefficient. Crystalline peaks were those identified as

162 crystalline phases. The amorphous phase was determined using a single peak initially set with

163 a Lorentzian crystallite size of 1ηm, with position and intensity refined to calculate the

164 amorphous area.

165

166

167

168

Table 3. Chemical composition of crystalline phases in fly ash and slag (weight percentage with

uncertainty of ± 2%), as determined by Q-XRD

Phase	Chemical Composition	Fly Ash	Slag
Calcite	$CaCO_3$	2.7	-
Hatruite	$Ca_3(SiO_4)O$	3.5	5.0
Brushite	$CaHPO_4 \cdot 2H_2O$	-	2.0
Gypsum	$CaSO_4 \cdot 2H_2O$	0.8	6.0
Hematite	Fe_2O_3	2.6	-
Magnesium Iron Oxide	$MgFe_2O_4$	2.4	-
Mullite	$Al_6Si_2O_{13}$	9.6	-
Quartz	SiO_2	2.9	-
Amorphous		75.5	87.0
Total		100	100

Compressive strength was measured using a universal testing instrument (ELE-international)

with a displacement rate of 1 mm/min. Two parallel surfaces of the cubic samples were

flattened using sand paper before applying the load. The maximum force that resulted in the

failure of the sample was divided by the surface area to calculate compressive strength. Six

50mm cubes from each formulation were tested at 14 days of age and the results averaged.

A supplementary compressive test using an Instron 5569A machine for assessment of the

elastic modulus was used on 10 mm cubes (the smaller sample was used due to the maximum

force limits of the instrument). The elastic modulus was calculated from the slope of the

linear relationship (R^2>99.8%) between compressive stress and strain in the plastic region

before sample failure. Three cubes from each sample were tested and the results averaged.

Pieces of the crushed samples after the compression tests were ground using a pestle and

mortar immediately after the test to form a fine powder (approximately 10 g) which was

washed with acetone and filtered to remove free and loosely bound water and halt the

185   reaction (this method does not induce any significant changes in gel microstructure [31]). The

186   dried powder was stored in sealed plastic tubes and stored in a desiccator to be used for

187   thermogravimetric analysis (TGA). TGA was performed on powdered samples in an alumina

188   crucible using a Perkin Elmer Diamond instrument and a heating rate of 6.60°C/min. Samples

189   were heated from 20°C to 110°C and held at this temperature for 60 minutes to allow

190   equilibration, before being heated to 1000°C, held at this temperature for 60 minutes to allow

191   equilibration and then cooled to ambient temperature.

192   An electric furnace was used to heat the samples to 1000°C to evaluate the appearance and

193   mechanical properties of the samples after exposure to elevated temperatures. Six 50 mm

194   cubic samples cured for 14 days were placed in the furnace so that only the bottom surface

195   was contacted and heat exposure to the other surfaces was through air convection. The

196   samples were heated using a similar regime to TGA experiments; heating at 6.6°C/min to

197   1000°C, holding at this temperature for one hour before cooling at 6.6°C/min to ambient

198   temperature. Compressive strength tests were performed on heated samples immediately after

199   cooling to room temperature using the same instrument and parameters as for ambient-cured

200   samples.

201   Micro-computed tomography (μCT) data were obtained using a Phoenix Nanotom m (GE

202   Sensing & Inspection Technologies GmbH, Wunstorf, Germany) operated using xs control

203   and Phoenix datos|x acquisition software. Cubic samples of 1 cm^3 volume were mounted on

204   glass rods and positioned on the micro-CT stage as close to the X-ray source as possible to

205   achieve 2.67 micrometer resolution on a small subsection (6.36 × 6.36 × 6.36 mm) at the

206   centre of the cubes. Samples were scanned for 60 minutes (timing = 750 mS, av = 2, skip =0)

207   at 70kV and 160μA, collecting 2000 x-ray projections of each sample through 360° of

208   rotation. Volume reconstruction of the micro-CT data was performed using Phoenix datos|x

209   reconstruction software (GE Sensing & Inspection Technologies) and data was exported as

210 32-bit float volume files. This allowed for direct comparison of greyscale values in the

211 histograms between data sets. A region of 1750×1750×1750 voxels in the centre of the

212 sample was reconstructed, which was further cropped down to 1500×1500×1500 voxels after

213 roughly registering the reconstructed data in order to match the same spot before and after

214 exposure of the cubes to elevated temperature. Thus, the final dimensions used for analysis

215 were 4×4×4 mm. Volume data was processed using Avizo (FEI). Porosity was segmented

216 using the same region of the 32-bit grayscale histogram (-1 to 0.1) to produce binary images

217 of the porosity in the sample. The volume fraction of segmented pore space was then

218 computed by x-y slice through every x-y plane (1500 slices). Spherical pore size analysis and

219 3D restructuring of pores were conducted in order to measure pore size distribution and to

220 calculate number of pores. Porosity and pore size have been shown to reduce as alkali-

221 activated fly ash systems age [32] and consequently, samples of the same age were used for

222 µ-CT scanning to avoid any age-induced variations.

223

224 **3. Results and discussion**

225 3.1. Effect of Na/Al ratio on AAM thermal performance

226 After exposure of all AAM samples to 1000°C, cracking and a colour change (from dark grey

227 to light brown) was observed on the surface of each binder (Figure 1). When AAM samples

228 were exposed to high temperature in a nitrogen atmosphere, no colour change was observed

229 (Figure 2). The change of colour is consequently attributed to oxidation of iron species

230 contained within fly ash particles during heating [3]. The content of iron species in the AAM

231 mixture used in this study was approximately 10.4 wt. % (13 wt. % of fly ash precursor).

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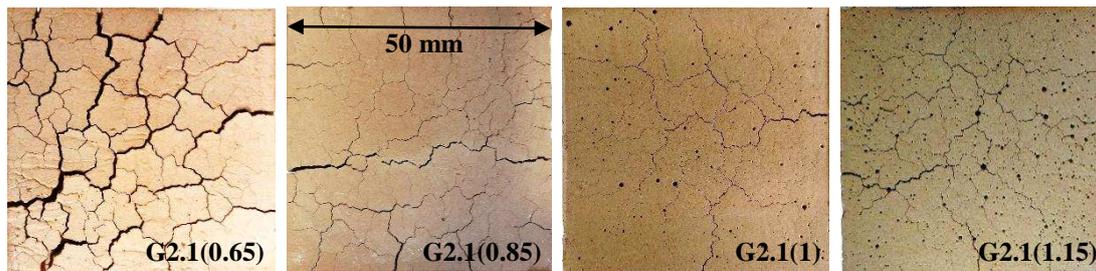
Figure 1. Surface of AAM cubes exposed to 1000°C (constant Si/Al of 2.1 and Na/Al is increased from 0.65 to 1.15)

235

236

Figure 2. Photos of G2.35(1) (highest Si/Al ratio of all AAM samples) after exposure to 1000°C; under nitrogen exposure (left) and under air exposure (right)

239

The intensity and depth of cracks were severe for the lowest Na/Al ratio of 0.65 but it was reduced at higher Na/Al ratios (Figure 1). Thermally-induced cracking occurs via a number of mechanisms. Water vapour in the AAM pore network has less chance of escape if surrounded by a dense matrix that has low permeability, and the consequent high pressure at higher temperatures results in cracking at the surface of the material [33]. Incongruent thermal shrinkage (e.g. of the gel) or expansion (e.g. of heterogeneous phases) caused by devitrification, sintering and other microstructural changes at higher temperatures also result in crack development and propagation [21]. It is likely that all three of these mechanisms are occurring here.

Fe_2O_3-containing phases do not participate in the alkali-activation reaction and are present in the binders in remnant unreacted fly ash particles [34]. These species have higher thermal coefficients of expansion compared to AAM paste and oxidation of these species at elevated

252 temperatures results in an increase in volume, formation of cracks at the interface between

253 iron-rich spots and AAM gel, and a consequent reduction in mechanical strength [29].

254 Swelling and cracking after exposure to elevated temperatures has also been observed for fly

255 ashes containing significant quantities of crystalline quartz phases [29, 35]. The amount of

256 quartz in the system studied here, however, is very low and it is consequently unlikely that

257 the cracks observed are due to the expansion of quartz. As such, the observed colour change

258 (discussed above) and cracking attributed to oxidation of iron within the sample and/or

259 incongruent shrinkage-expansion of different phases of the binder at high temperature [29,

260 36, 37].

261 The compressive strength of all samples decreased after exposure to 1000°C (Figure 3).

262 Despite higher ambient-temperature compressive strength for samples with lower Na/Al

263 ratio, the strength of these samples after heat treatment decreased significantly, with the

264 magnitude the strength loss inversely proportional to the Na/Al ratio (and correlating with the

265 extent of cracking discussed). Post-heated strength decreased (relative to ambient temperature

266 strength) in samples with Na/Al > 1 (~10% strength reduction) and was very low compared

267 with samples with Na/Al = 0.65 (~60% strength reduction). The compressive strength of

268 ambient temperature samples also decreased with increasing Na/Al ratio from 0.65 to 1.15

269 (Figure 3). Lower alkalinity has been shown to drive formation of the C-(N)-(A)-S-H type gel

270 in addition to the N-A-S-(H) gel and increase the mechanical performance of blended

271 metakaolin/slag-based AAMs [38] and is likely to be contributing here.

272 In a low-calcium AAM systems (e.g alkali-activated low-calcium fly ash or metakaolin), a

273 Na/Al molar ratio of 1 is required to completely counter-balance the negative charge of

274 tetrahedral Al^{3+} atoms in the N-A-S-(H) gel. However, in calcium-rich AAM systems, high

275 calcium content drives the formation of C-(N)-A-S-H at the expense of N-A-S-(H),

276 increasing the compressive strength of the system. Ca-rich AAM exhibit lower thermal

277 stability compared to low-calcium AAM, which has a highly polymerised, three-dimensional

278 gel framework and low content of bound water, as well as an absence of the water-rich phase

279 Portlandite (CaOH) [6]. As a consequence of the increased water content in Ca-rich AAM, a

280 significant drop in strength is observed after exposure to elevated temperature, contrasting

281 with the higher ambient temperature compressive strength associated with these materials

282 [38]. The thermal performance of the AAM samples investigated here is also dependent on

283 porosity and pore size distribution (in turn affected by the Na/Al ratio of the binder); this will

284 be discussed below in Section 3.4.

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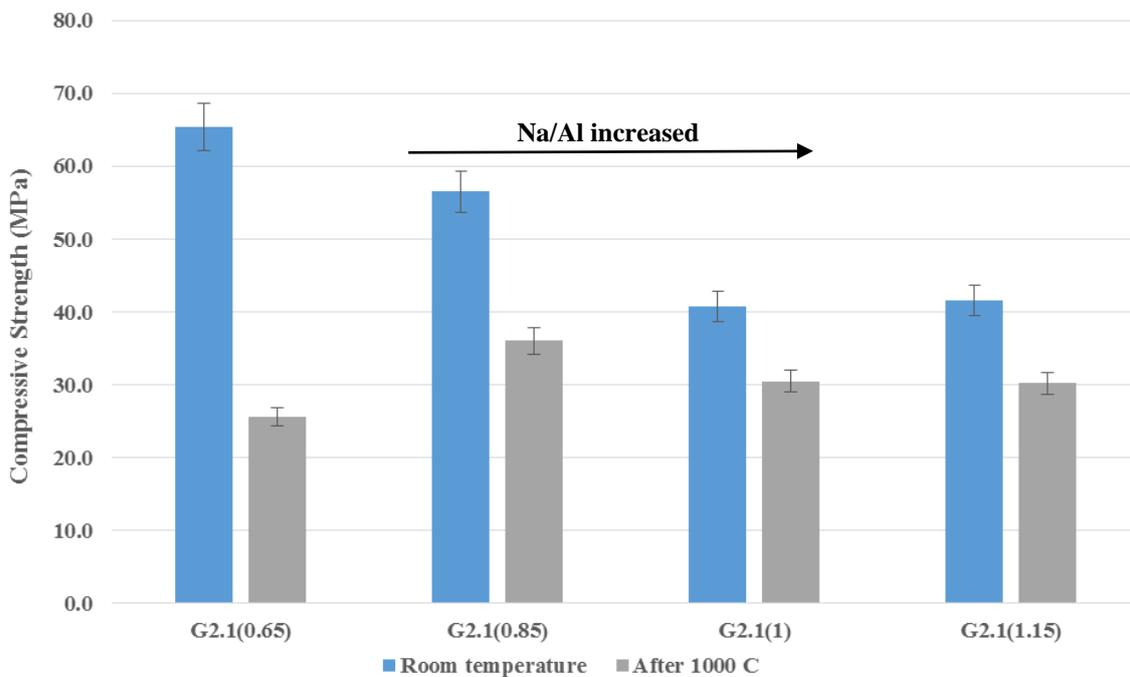
286

287 **Figure 3. Compressive strength of AAM samples before and after exposure to 1000°C (constant Si/Al of**

288 **2.1 and Na/Al is increased from 0.65 to 1.15)**

289

290 The total mass loss of each AAM sample during each heating segment as determined by TGA

291 is shown in Figure 4. Between ambient temperature and 100 °C (Step 1 in Figure 4) mass loss

292 occurs due to the removal of water adsorbed to the surface of the binder, moisture within the

293 pore network and water loosely bound to the gel network [13, 39]. Higher amounts of

294 adsorbed, pore and loosely bound water are evident in samples with higher Na/Al ratio (end

295 of Step 2 in Figure 4), consistent with the reduced bound water content of the N-A-S-(H) gel

296 likely to have formed in these systems. As the same w/s ratio was used for all samples it can

297 be concluded that amount of water that participated in the formation of hydrated products

298 (e.g. N-A-S-(H) and C-(N)-A-S-H) was less compared to the sample with lower Na/Al In

299 Step 3 (from 100 °C to 1000 °C) any remaining pore water will be removed, and as the

300 temperature is increased dehydration and dehydroxylation of the reaction products (most

301 likely N-A-S-(H) and C-(N)-A-S-H gels) will cause further mass loss [33, 40]. Bound water

302 in the form of terminal hydroxyl groups on the N-A-S-(H) gel typically accounts for less than

303 5 wt. % of the total water content in metakaolin-based AAM [40]. Mass loss gradually

304 increases with increasing alkali content (Figure 4), with G210115 exhibiting the highest mass

305 loss after exposure to 1000°C, attributed to increased water molecules associated with

306 hydration of Na^+ ions in the gels formed here [40].
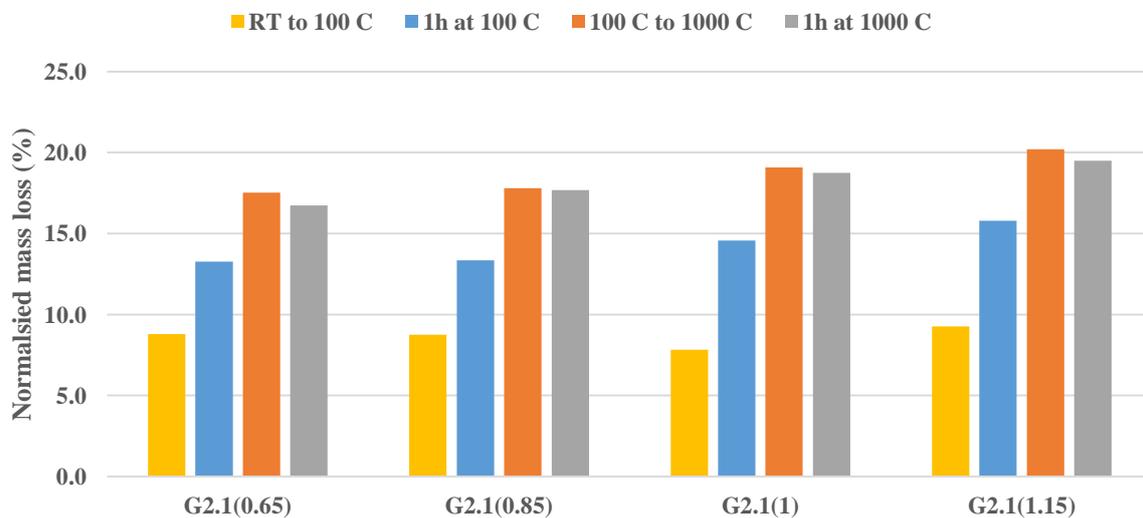
308 **Figure 4. TGA mass loss of AAM samples (constant Si/Al of 2.1 and Na/Al is increased from 0.65 to 1.15)**

312 3.2. Effect of Si/Al ratio on AAM thermal performance

14

313 The severity of cracks in AAM samples exposed to elevated temperature increased with Si/Al

314 ratio (Figure 5). Residual silica provided by the activator which has not been incorporated

315 into the reaction products (most likely N-A-S-(H) or C-(N)-A-S-H gels) may cause swelling

316 and thermal instability at elevated temperatures [33, 36], and is the likely cause of the severe

317 cracking observed in samples with high Si/Al ratio (Figure 5) [24]. As densification and

318 viscous sintering occur during heating the AAM gel around the pores soften and collapse,

319 resulting in cracking of the sample [41]. It follows that the highest degree of cracking was

320 observed for the sample with the highest Si/Al ratio i.e. G235100, consistent with this

321 mechanism.
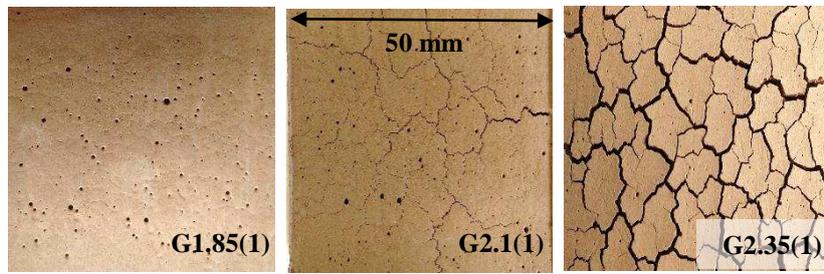
322

323 **Figure 5. Surface of AAM cubes exposed to 1000ºC (constant Na/Al ratio of 1 and Si/Al is increased from**

324 **1.85 to 2.35)**

325 The compressive strength of ambient-cured AAM samples increases with increasing Si/Al

326 ratio (Figure 6). This is consistent with a previous study in metakaolin-slag blends which

327 showed increasing Si/Al ratio resulted in the formation of a calcium aluminium silicate

328 hydrate (C-A-S-H gel) that coexists with the N-A-S-(H) (AAM) gel [38]. This increase in

329 compressive strength in the samples investigated here is attributed to the formation of this C-

330 A-S-H gel, in turn, driven by the presence of calcium-rich slag and higher availability of

331 silica (higher Si/Al). It is also expected that a higher Si/Al ratio would increase the number of

332 $Si-O-Si$ bonds in the N-A-S-(H) gel (relative to $Si-O-Al$ which are thermodynamically

333 more susceptible to dissolution) [42]. Duxson, Provis, Lukey, Mallicoat, Kriven and van

334 Deventer [42] showed the strength of a metakaolin-based AAM is maximised at Si/Al = 1.9

335 with Na/Al of unity. However, in the study, we present here the compressive strength

336 increased with increasing Si/Al from Si/Al = 1.85 to 2.35, with Na/Al = 1. The metakaolin

337 used in that study has the Si/Al ratio of 1.15 while here the Si/Al ratio of the mixture of

338 precursors (fly ash and slag, 4:1) without activator is 1.7. The difference between Si/Al ratio

339 of the highest strength mixture and metakaolin precursors in that study was 0.75 and in the

340 current study is 0.65. Therefore, a possibility arises that the amount of silica added via the

341 activator is more important than the Si/Al ratio of the final AAM mixture in the formation of

342 high-strength AAM, particularly in nucleation of AAM reaction products [42]. This is

343 consistent with previous observations that it is the dissolved Si which is freely available for

344 the reaction that dictates gel structure, and therefore binder physical properties, in AAM [43,

345 44].In the presence of high Ca content, it is also possible that Si is being incorporated into C-

346 (N)-A-S-H-type gel products which are likely to have formed here [38].
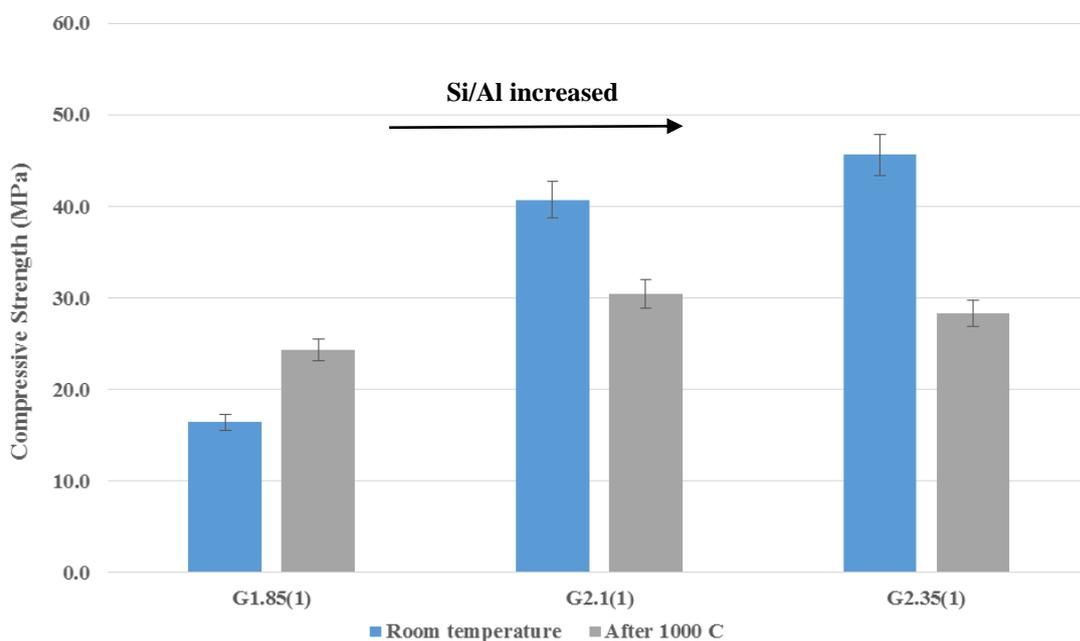
347

348 **Figure 6. Compressive strength of AAM samples before and after exposure to 1000°C (constant Na/Al**

349 **ratio of 1 and Si/Al is increased from 1.85 to 2.35)**

350

351 Ambient temperature (before exposure to 1000°C) and post-heated (after exposure to 1000°C)

352 compressive strength data for AAM samples of varying Si/Al ratio are shown in Figure 6.

353 AAM sample G185100 (with the lowest Si/Al ratio) exhibited the best thermal stability, with

354 substantial post-heated strength gain observed despite the very low ambient-cured strength

355 for this sample. Previous work has shown that AAM samples that exhibiting significant

356 increase in compressive strength after exposure to elevated temperatures have considerably

357 lower ambient-cured strength, and vice versa [18, 19, 29, 36]. AAMs with less dense binder

358 structures can resist volumetric changes during exposure to a high temperature without being

359 damaged as the result of thermal shocks and dehydration, and this characteristic combined

360 with gel devitrification at high temperature results in a significant increase in compressive

361 strength [3]. Despite the higher ambient temperature strength exhibited by sample G235100

362 (which has the highest Si/Al ratio of all samples), post-heated strength retention in this

363 sample was very low compared to samples with lower Si/Al, due to the high degree of

364 thermal cracking which occurred in the dense binder structure.

365 Figure 7 shows a negligible difference in mass loss up to 1000°C (as determined by TGA) for

366 AAM samples with varying Si/Al ratios, consistent with observations by Duxson, Lukey and

367 van Deventer [24]. Interestingly, there is a substantial mass increase for sample G235100

368 after being held at 1000°C for an hour. By the end of this isothermal period, this sample had

369 gained more than half the original mass loss. This mass gain does not occur when the same

370 sample heated to 1000°C under the same temperature profile but within a nitrogen

371 atmosphere purge (Figure 7), suggesting sample oxidation may be occurring during heating

372 in air, which would be consistent the change of colour (from grey to light brown) observed

373 for all samples and discussed in Section 3.1. However, the amount of Fe_2O_3 present in each

374 sample was identical and if the mass gain was the cause of iron oxidation in air, it would be

375 expected to occur for all samples, rather than just sample G2.35(1). Therefore, oxidation of

376 <mark>iron is unlikely to be the cause of this mass gain.</mark> Regardless of the actual cause, this mass

377 gain is deemed responsible for the extensive post-heated cracking of sample G235100,

378 consistent with previous observations of swelling and binder cracking due to excess silica in

379 AAM systems [33, 36].

380
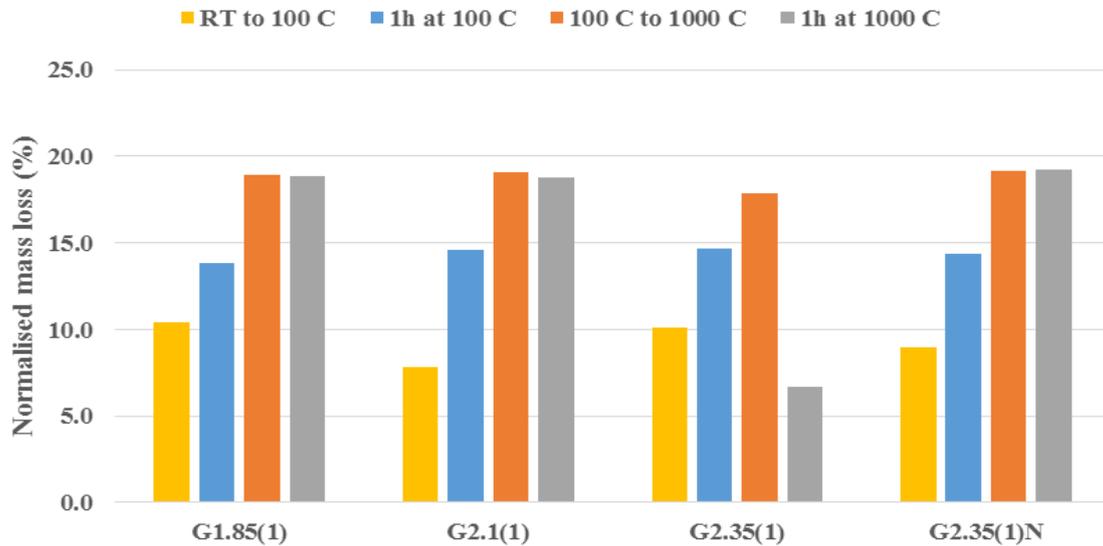
Figure 7. TGA mass loss of AAM samples <mark>(constant Na/Al ratio of 1 and Si/Al is increased from 1.85 to 2.35</mark>). N annotation indicates mass loss under nitrogen blanket)

383

384 3.3. Effect of water/solids ratio on AAM thermal performance
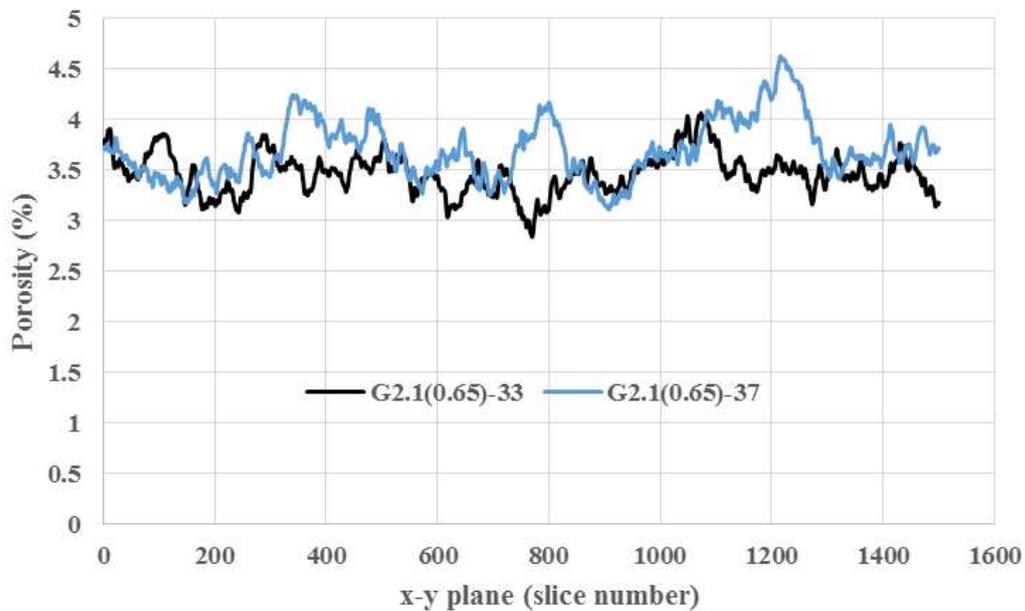
385 For AAM samples with different w/s ratios the difference in observed cracking was

386 negligible (Figure 8), contrasting with previous work which showed increasing water content

387 increased shrinkage and cracking in the AAM [4]. Increased w/s ratio for PC-based concrete,

388 however, was shown to have negligible effects on post-heated mass loss and strength [45]. It

389 can be concluded that regardless of the amount of water in the reaction mixture, the only

390 water that is directly involved in gel formation (i.e. physically bound) or remains within the

391 pore network will impact the elevated temperature performance. Very similar cross-sectional

392 porosity distributions for w/s ratios of 0.33 and 0.37 (sample G210065-33 vs G210065-37)

393 were observed using μ-CT analysis (Figure 9). It is possible that excess water in these

394   samples has come to the surface during sample vibration and drained, resulting in similar

395   particle packing and pore networks which result in negligible differences in thermal

396   performance. Consequently, the difference in water to solids ratio in the work presented here

397   appears to allow improved workability (at higher w/s ratio) and ambient-cured strength (at

398   lower w/s ratio) while having a negligible effect on thermal performance (Figure 10).

399
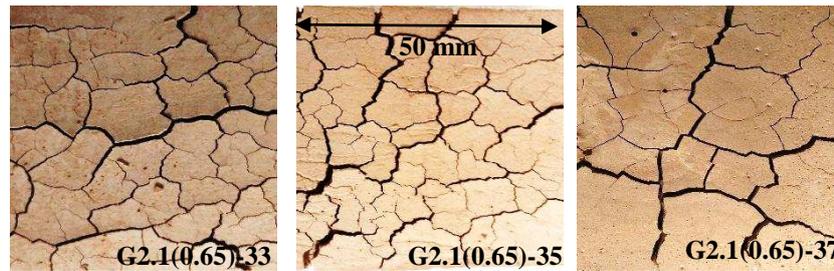
400 **Figure 8. Surface of AAM cubes exposed to 1000°C (constant Si/Al of 2.1 and Na/Al of 0.65; w/s is**

401 **increased from 0.33 to 0.37)**

402

403 **Figure 9. Porosity distribution of AAM samples with Si/Al of 2.1, Na/Al of 0.65 and different w/s ratios**

404 **through 1500 slices of micro-CT data (x-y planes in 2.67 µm steps) along z-axis (height of the sample) at**

405 **ambient temperature**
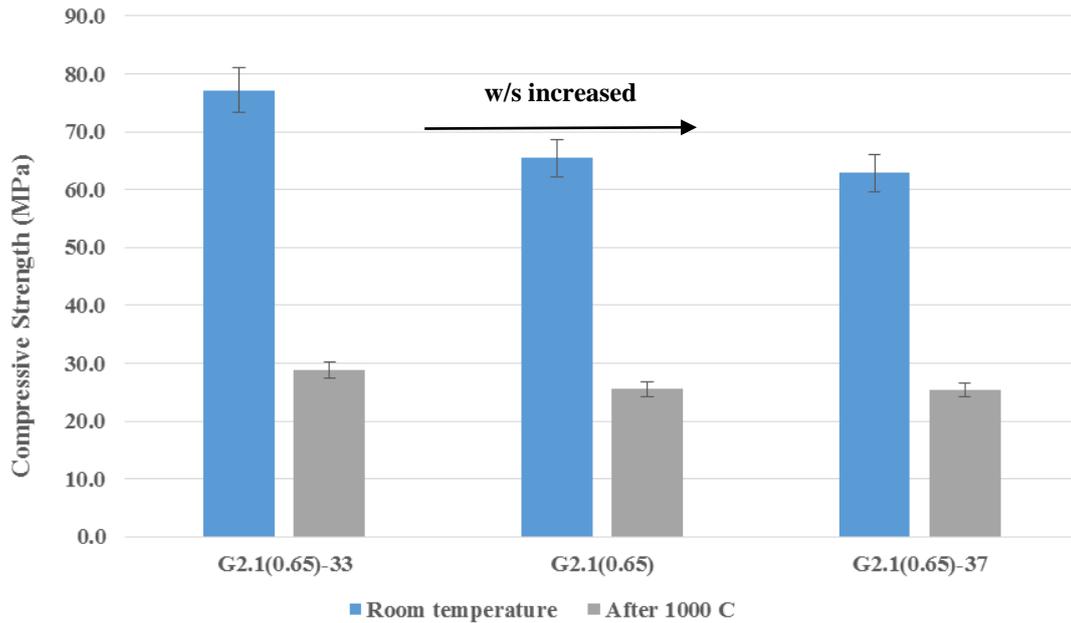
Figure 10. Compressive strength of AAM samples with Si/Al of 2.1 and Na/Al of 0.65 before and after

exposure to 1000°C (w/s is increased from left to right)

3.4. Effect of porosity and pore size distribution on AAM thermal performance

At elevated temperature, high porosity has been shown to facilitate the release of water

vapour from the AAM binder network, avoiding pressure build up and resisting against

spalling [3, 15, 18]. Sample G185100 exhibited the highest initial porosity (Table 4), with no

post-heated surface cracking or spalling observed in this sample. The high porosity and lower

stiffness of this sample appear to allow the water vapour to escape the sample easily during

heating by expanding the pores into thin pathways (~200 micrometres diameter) inside the

sample (Figure 11). These pathways reduce the chance of spalling by releasing the water

vapour before pressure build-up within the confined pores, which can damage the structure.

No cracks were found on the surface of sample G185100 (Figure 5); instead, a number of

holes can be observed on the surface; these are likely to be the ends of these pathways which

allow the release of the water vapour. It has been shown that fly ash-based AAM samples

with lower strength, less compact structure and higher permeability, similar to G185100 in

422 this study, are more resistant to dehydration damage compared to high-strength AAM

423 samples with less permeability [3] consistent with observations here.

424 The temperature gradient between the surface and inner section of an AAM contributes

425 substantially to cracking and damage at the binder surface [22]. These pathways observed

426 here provide a mechanism to reduce this temperature gradient via convection of hot air, with

427 severe cracking observed on the surface of other samples that did not exhibit these post-

428 heated internal pathways.

429

430 **Table 4. Porosity, mean and median diameter and number of pores of PC and AAM samples with varying**

431 **Na/Al and Si/Al ratios from µ-CT data before and after exposure to 1000°C (ET: elevated temperature)**

|	Cement	Cement-ET	G2.1(0.65)	G2.1(0.65)-ET	G2.1(1)	G2.1(1)-ET	G1.85(1)	G1.85(1)-ET
Porosity (%)	0.6	0.6	3.7	10.5	1.8	4.3	4.3	12.3
Mean diameter (µm)	4.7	11.0	5.6	6.3	5.7	6.9	5.6	7.5
Median diameter (µm)	3.3	4.8	4.1	4.7	4.2	4.2	4.2	4.7
Total number of pores (x1000)	134	17	5,373	5,623	1,588	1,083	2,113	879
Volume fraction of pores under 50 µm (%)	4	6	61	21	44	16	48	6

432

433 The overall volume of the samples also plays a significant role in dictating cracking, with

434 larger samples exhibiting more severe cracking due to restricted heat flow away from the

435 sample and a resultant increased temperature gradient [18]. The 50 mm cubes used for

436 compressive strength tests in this study developed larger post-heated cracks compared to the

437 smaller 10 mm cubes used for µ-CT scan analysis. The same layer (x-y plane of µ-CT scan)

438 inside the cube was monitored for selected samples before and after exposure to 1000°C

439 (Figure 11). This shows the formation of micro-cracks is initiated from the surface of the

440 sample, with less cracking observed in the sample interior. Because of the higher stiffness of

441   these samples, the micro-cracks cannot propagate through the sample interior and form

442   pathways. Instead, the cracks get larger and propagate in the outer layer of the sample as

443   shown in Figure 5. It must be noted that the region of interest for μ-CT images is a cube of

444   length 4 mm inside the sample (which itself is a cube of length 10mm) that was exposed to

445   elevated temperature. Therefore, the sides of the μ-CT images (Figure 11) do not align with

446   the surfaces shown in Figure 5. The micro-cracks on the sides of μ-CT images are therefore

447   only the internal ends of the larger surface cracks observed in Figure 5. Binders which were

448   stiffer and less permeable are more prone to develop high internal tension at elevated

449   temperatures due to the large water vapour pressure build-up. Permeability is related to the

450   pore connectivity, and higher porosity does not always result in higher permeability. For

451   instance, a sample with lower porosity with many isolated pores can result in more structural

452   damage at elevated temperature compared to a sample with higher porosity but

453   interconnected pores (higher permeability).

454   The porosity of the cement sample (w/s = 0.35) is considerably lower than that of all AAM

455   systems investigated in this study (Table 4). This contrasts with observations by Rivera,

456   Long, Weiss Jr, Moser, Williams, Torres-Cancel, Gore and Allison [5] who observed lower

457   porosity for ambient-cured alkali-activated fly ash AAMs compared to PC using similar μ-

458   CT analysis. It is possible that the difference in observed porosity is a result of differences in

459   particle packing, which in turn is a result of variations in the particle size distributions of the

460   precursors. It should also be noted that a system containing 100% fly ash has better particle

461   packing than that of slag/fly-ash blends (due to the spherical nature of fly ash particles) [46].

462   Despite the differences in overall porosity, the number of pores in the AAM examined here

463   were considerably higher than PC, consistent with observations by Rivera, Long, Weiss Jr,

464   Moser, Williams, Torres-Cancel, Gore and Allison [5]. Therefore, the overall porosity and

465   pore size distribution appear dependent on particle size distribution and packing and

466 consequently varies between not only PC and AAM but also between different AAM

467 systems.

468
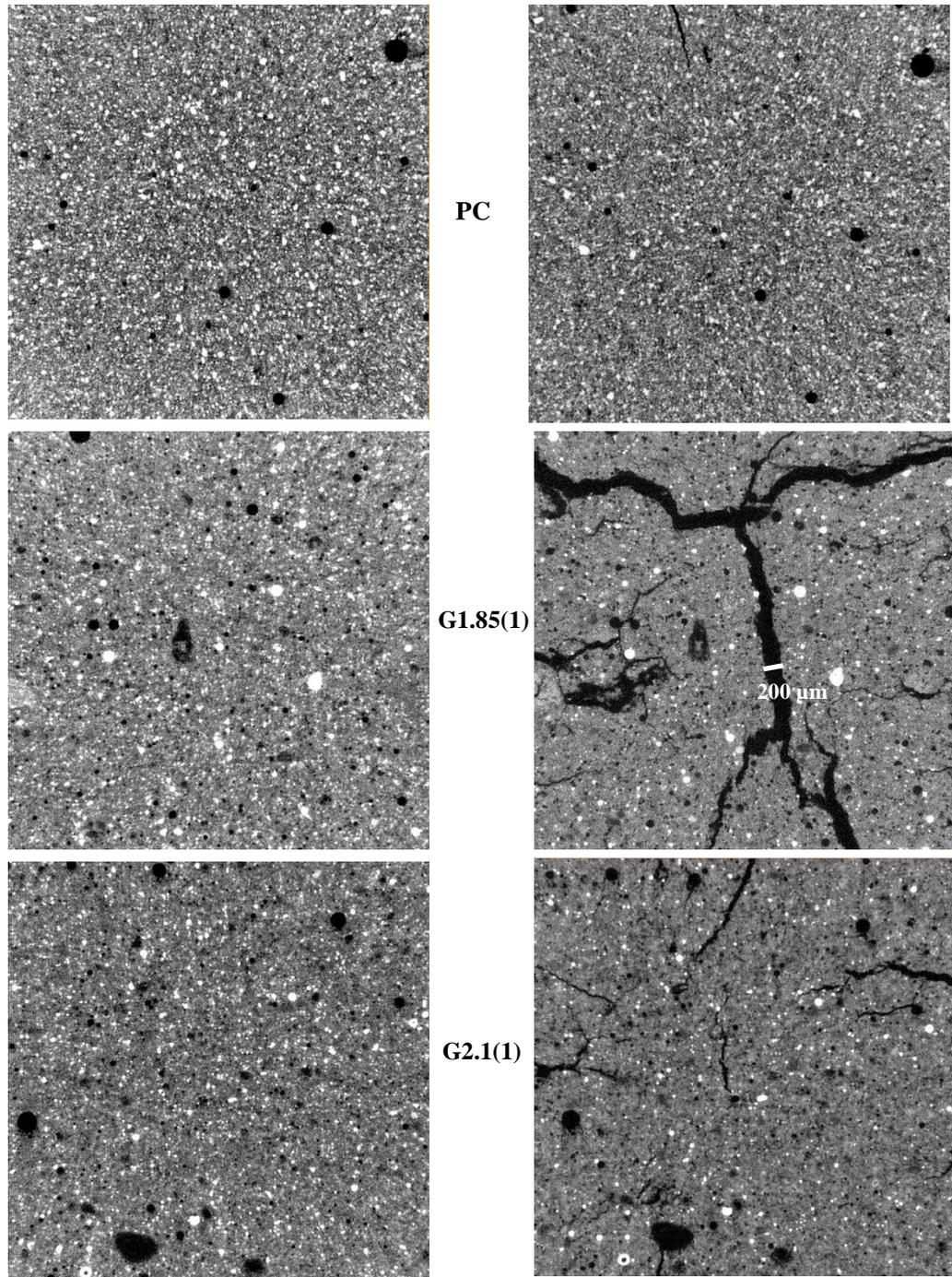
470 **Figure 11. 2D µCT scan images of PC and AAM samples (with constant Na/Al of 1 and Si/Al of 1.85 and**

471 **2.1) before (left) and after (right) exposure to 1000°C (each photo represent 4 x 4 mm); pores are shown in**

472 **black, dense phases in white and binder in grey**

473

474   Changes in the mean and median diameters of pores in ambient temperature and post-heated

475   AAM samples are shown in Table 4. In all systems, a large increase in pore size (pore

476   coarsening) and total porosity is observed in samples exposed to 1000°C. The increase in pore

477   diameter is more pronounced in PC compared to the AAM samples. This phenomenon is the

478   primary cause of damage to PC binders exposed to elevated temperatures [47]. Pore

479   coarsening at high temperature also results in a decrease in the total number of pores (where

480   some pores expand and combine to form larger diameter pores). This is evident for the PC

481   sample, where an increasing in the mean diameter of pores from 4.7µm to 11µm after heating

482   resulted in a reduction in the number of pores in the scanned region by more than 90% (Table

483   4). The difference between ambient temperature and post-heated mean and median diameters

484   of AAM samples was negligible, and this difference did not vary significantly with varying

485   Si/Al or Na/Al ratio.

486   The number of pores, however, decreased substantially as Na/Al ratio was increased i.e. from

487   0.65 (sample G210065) to 1 (sample G210100). Despite these two samples having similar

488   mean and median pore diameters, the higher number of pores in sample G210065 (lower

489   Na/Al) results in a porosity approximately twice that of G210100 (higher Na/Al). An increase

490   in the number of pores and porosity was also observed when decreasing Si/Al ratio from 2.1

491   to 1.85 (G210100 compared to G185100) as shown in Table 4. Higher alkali and higher silica

492   content in alkali-activated fly ash systems have both been shown to result in a greater extent

493   of reaction, resulting in finer pores and lower porosity [2, 32, 42]. The porosity of the sample

494   with lower Si/Al ratio (G185100) is more than double of the sample with higher silica

495   (G210100) at ambient temperature. An AAM system with a high content of unreacted

496   precursor fly ash particles results in a porous structure due to a lower extent of reaction,

497   therefore, lower amount of AAM gel which can fill pores between particles. This facilitates

498   the escape of water vapour at elevated temperatures and reduces cracking compared to AAMs

499   with greater reaction product formation [36].

500   Figure 12 shows the distribution of pores with an equivalent diameter of greater than 50

501   micrometres within the region of interest (4mm cube) for selected samples at ambient

502   temperature and after being exposed to 1000°C. The number of pores present in the PC

503   sample is less than that for AAM samples. Also, pore connectivity in PC appears

504   substantially lower than that in AAM systems, similar to previous observations [15]. The

505   volume fraction of pores under 50 micrometre for PC is less than 5% (Table 4) and does not

506   change after firing. This contrasts with the post-firing volume fraction for the selected AAM

507   systems, which is almost half of pre-heated total pore volume. The size of smaller pores

508   grows and some pores propagate and develop micro-cracks and larger diameter pores after

509   exposure to 1000°C (Figure 12).

510   Figure 13 shows the porosity distribution along the length of each sample (derived from μ-

511   CT) analysis of the PC and the selected AAM samples before and after exposure to 1000°C.

512   For the PC sample, porosity remains low and similar pre- and post-heated porosity

513   distributions were observed. The samples with the lowest Na/Al (G210065) and Si/Al

514   (G185100) have the highest initial porosity, and this increased substantially after exposure to

515   elevated temperature. However, after exposure to elevated temperature substantial loss of

516   strength and cracks were observed for sample G210065, contrasting with the strength gain

517   and absence of severe cracking observed for G185100 and demonstrating the effect of sample

518   stiffness on thermal behaviour. Despite a similar porous structure, the higher strength in

519   sample G210065 prevented an increase in pore diameter to release water vapour and avoid

520   structure damages, as was observed with the very low-strength sample G185100. Indifference

521   between pre- and post-heated porosity of sample G210100 (Si/Al of 2.1 and Na/Al of 1) were

522   more subtle after compared to samples with lower Na/Al (G210065) and Si/Al (G185100).

523 G210100 also showed moderate strength loss and surface cracking compared to the other

524 samples. Crack development after heat exposure for samples with higher strength is also

525 indicated by sharp peaks in porosity distribution, as observed for G210065 in Figure 13.

PC

G1.85(1)

G2.1(1)

G2.1(0.65)
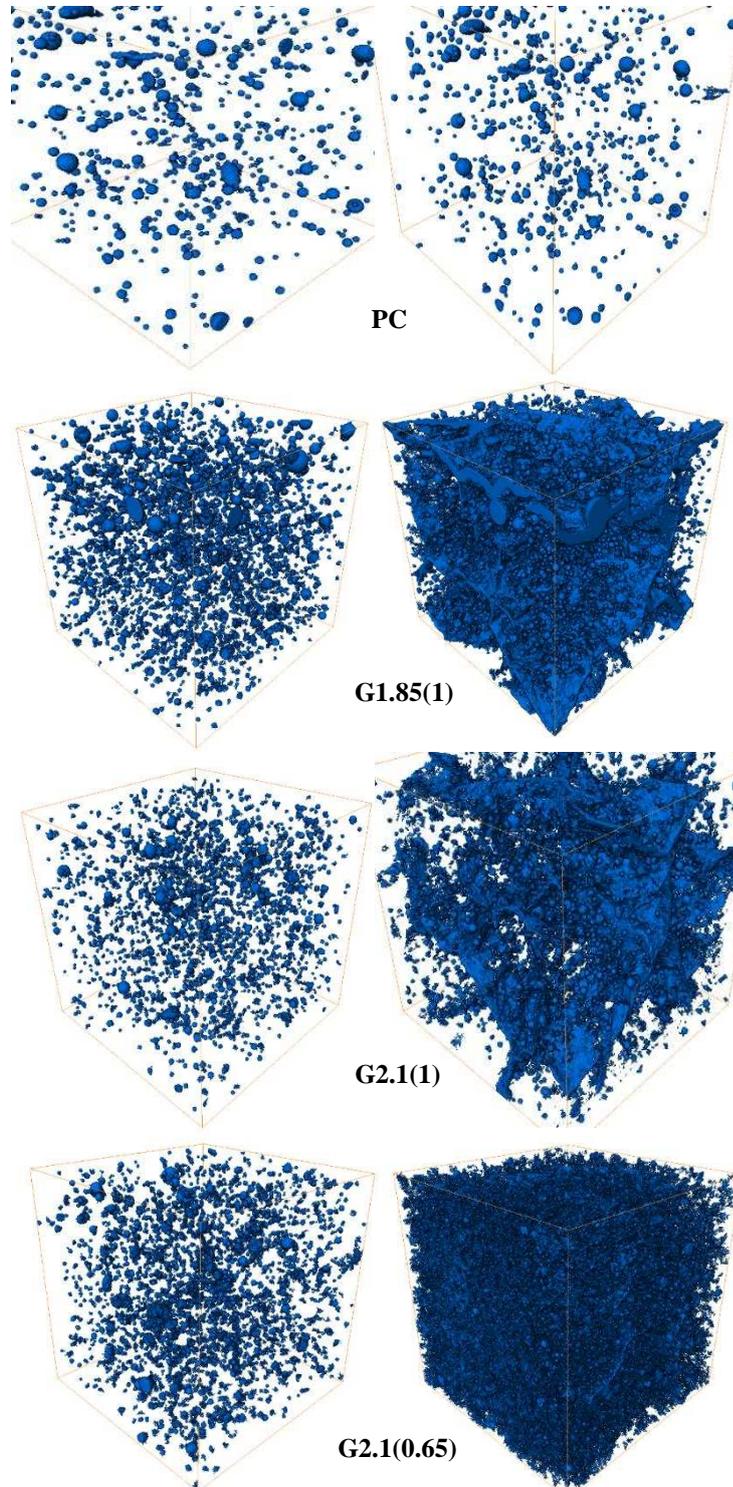
526

527 **Figure 12. 3D µCT scan of pores in PC and AAM samples with different Na/Al and Si/Al ratios before**

528 **(left) and after (right) exposure to 1000ᵒC (each cube represent 4 x 4 x 4 mm);**

26
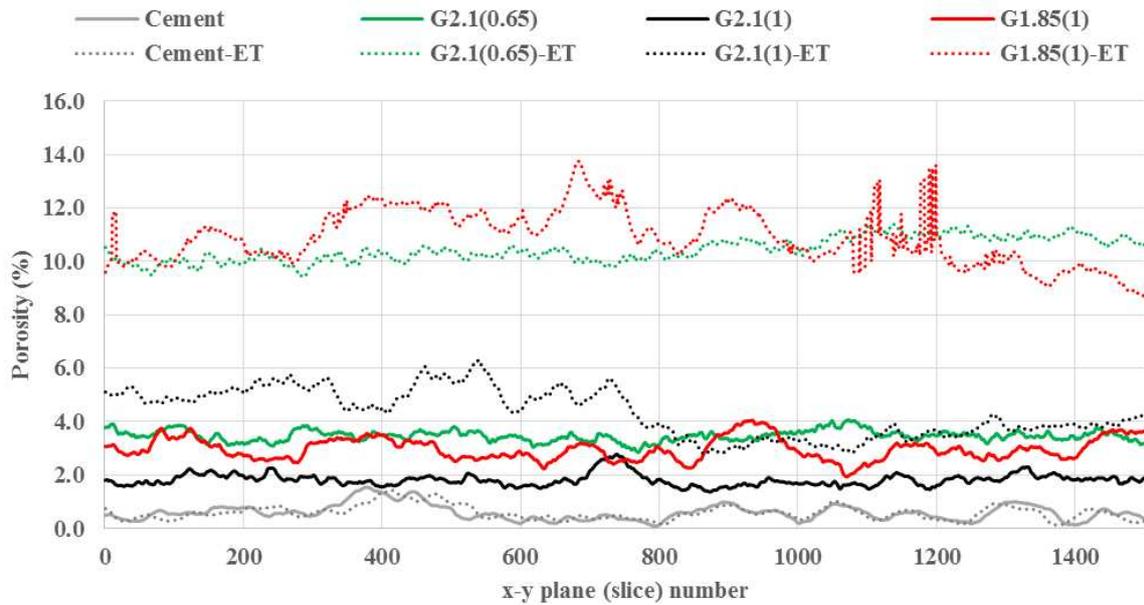
Figure 13. Porosity distribution of PC and AAM samples with different Na/Al and Si/Al ratios through 1500 slices of micro-CT data (x-y planes in 2.67 μm steps) along z-axis (height of the sample) before (solid line) and after (dotted line) exposure to 1000°C

3.5. Effect of composition on elastic modulus (stiffness) of AAM

No distinguishable trend was found between the elastic modulus of the samples with different compositions (Si/Al or Na/Al) (Table 5), consistent with previous findings that the elastic modulus of an AAM system is dictated by microstructural homogeneity rather than atomic composition and strength [42]. This contrasts with previous work on PC systems, which has shown that the elastic modulus decreases with increasing porosity of PC [47]. Samples with a higher elastic modulus showed lower strength retention and larger crack development at elevated temperature. The larger AAM binder volume allows stress to spread during compression and results in higher elastic modulus. The lower elastic modulus and compressive strength of G185100 compared to other samples is attributed to a lower rate of gel formation, in turn, due to lower dissolved silicate species provided by activator which is

545 required for nucleation and gel formation (due to the thermodynamic preference for Al

546 dissolution from the precursor) [42].

547 **Table 5. Elastic modulus of PC and AAM samples with different Na/Al and Si/Al ratios**

| Sample	Elastic modulus (MPa)
Effect of Na/Al	
G2.1(0.65)	3545
G2.1(0.85)	3985
G2.1(1)	2974
G2.1(1.15)	3120
Effect of Si/Al	
G1.85(1)	1928
G2.1(1)	2974
G2.3(1)	2935
PC	2942

548

549 3.6. A comparison between thermal performance cement and AAM mortars

550 For PC, water evaporation and dehydration of hydrated cement products (i.e. calcium silicate

551 hydrate, Portlandite and ettringite), as well as higher binder stiffness, can cause cracking due

552 to volumetric expansion induced stress within the binder [5, 45, 47, 48]. In contrast, White,

553 Provis, Gordon, Riley, Proffen and van Deventer [40] showed firing above 800 °C has a

554 minor impact on the AAM gel framework structure, with the elimination of terminal hydroxyl

555 groups attached to the AAM gel framework being the only observable change. Higher

556 temperature can result in densification and devitrification of the AAM gel which can improve

557 the mechanical properties [2]. Thermal degradation of PC hydration products can cause

558 structural damage and negatively affect post-heated mechanical properties [49]. Severe

559 surface cracking was observed for sample G210065 (Figure 14 shows), which exhibited the

560 same ambient temperature compressive strength as the PC sample. The compressive strength

561 of the PC sample decreased by approximately 90% after exposure to 1000 °C (Figure 15)

562 compared to sample G210065 (Si/Al of 2.1 and Na/Al of 0.65) which had the considerably

563 higher post-heated strength. This indicates that thermal degradation of PC hydration products

564 causes significantly more damage to the mechanical properties compared to AAMs system

565 with the same compressive strength, despite the limited thermally induced cracking and

566 changes in porosity in PC samples.
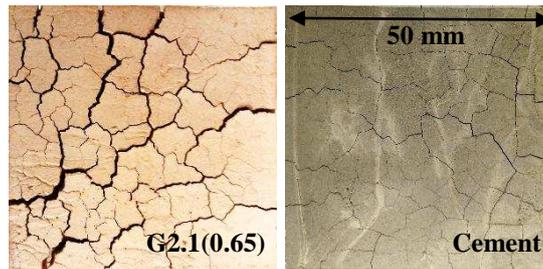
567

568 **Figure 14. Surface of AAM (with Si/Al of 2.1 and Na/Al of 0.65) and cement cubes with the same w/s**

569 **ratios exposed to 1000°C**
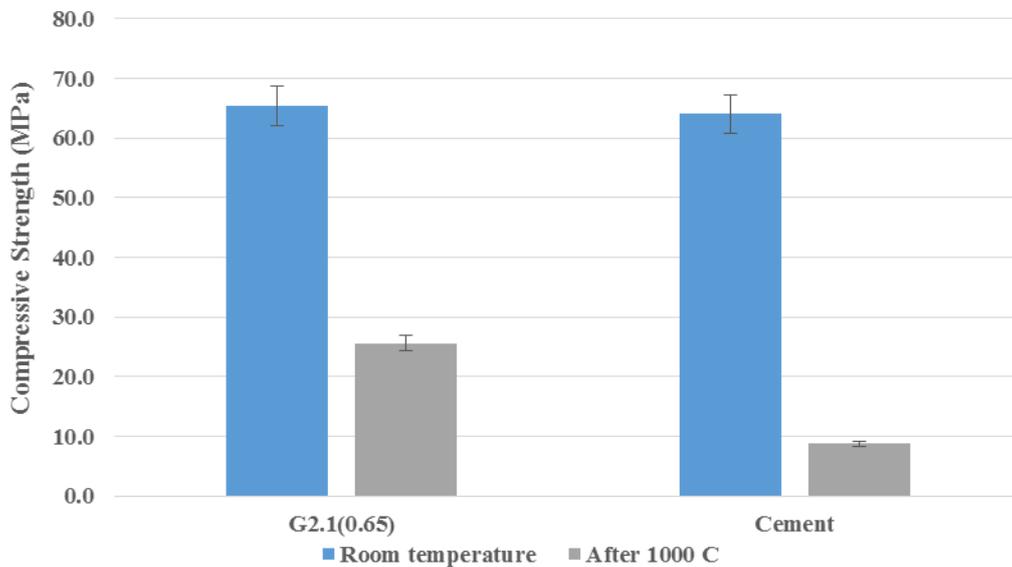
570

571 **Figure 15. Compressive strength of AAM (with Si/Al of 2.1 and Na/Al of 0.65) and cement samples before**

572 **and after exposure to 1000°C**

573 Figure 16 shows a comparison of TGA data for the PC sample and AAM sample G210065.

574 Both samples have the same nominal water content (w/s = 0.35). The mass loss associated

575 with evaporation of water at low temperatures is very similar in both samples. The higher

576   mass loss above 100°C for the PC sample (compared to the AAM sample) is associated with

577   the loss of free water and dehydration of ettringite, followed by dehydration of C-S-H

578   between 200°C to 400°C and dehydration of Portlandite around 450°C [5, 47, 49]. For the

579   AAM system, the mass loss above 100°C can be attributed to dehydration of C-(N)-A-S-H-

580   and N-A-S-(H)-type products which are likely to have formed in the AAM as well as

581   dehydroxylation of terminal hydroxyl groups in the AAM gel. No mass loss peak is observed

582   in the region of 450°C confirming the expected absence of Portlandite, $Ca(OH)_2$, in the AAM

583   gel. The mass loss associated with the presence of Portlandite was not found in TGA curves

584   for all other AAM samples (data not shown). Therefore $Ca(OH)_2$ was not produced during

585   alkali activation of the Ca-rich blended system despite very high alkalinity in some of the

586   AAMs. No mass loss is observed beyond 700°C, consistent with previous studies of fly ash-

587   based and fly ash/slag blended AAMs at elevated temperature [18, 38]. Higher mass loss after

588   exposure to 1000°C reveals the existence of more chemically bonded water in the structure of

589   hydrated PC compared to the Ca-rich AAM samples with the same strength and w/s ratio.

590   This structural damage that results from thermal degradation of PC hydration products is the

591   main reason for the inferior thermal performance of PC compared to the AAM binders [5].

592
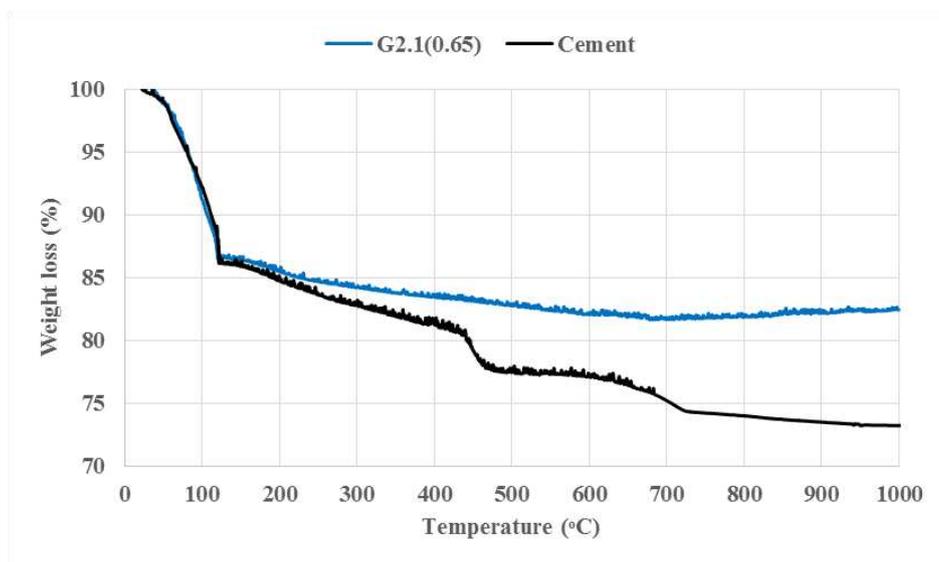
593   **Figure 16. TGA mass loss of AAM (with Si/Al of 2.1 and Na/Al of 0.65) and cement samples as**

594   **temperature increased up to 1000°C**

30

595 3.7. The effect of density on AAM strength reduction at elevated temperature

596 A general trend was observed between density of concrete cubes and their post-heated

597 strength reduction. G185100 exhibited the lowest ambient temperature and has the lowest

598 density and post-heated strength gain. In contrast, the PC sample and AAM sample G210065

599 exhibited higher density and ambient temperature strength and showed a substantial decrease

600 in post-heated strength. The dense structure and higher strength of these samples resulted in

601 higher internal water vapour pressure which caused substantial damage to the structure. In

602 addition, structural damage as a result of dehydration of PC hydration phases resulted in a

603 90% strength loss after firing, much higher than that observed in all AAM samples.
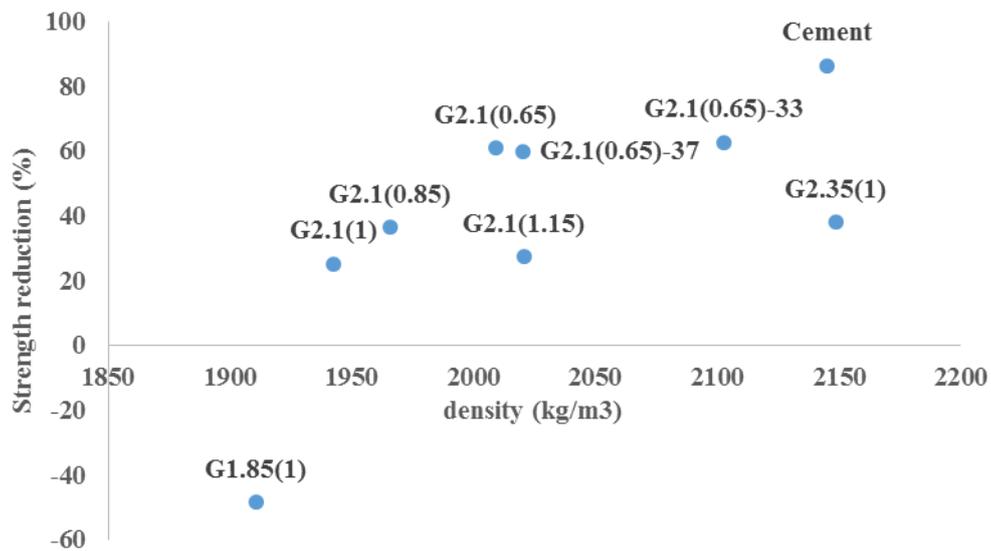
604

605 **Figure 17. Strength reduction after exposure to 1000ºC versus average density of AAM <mark>with different</mark>**

606 **<mark>Na/Al and Si/Al ratios</mark> and PC samples (negative values mean strength gain)**

607

608 **4. Conclusions**

609 The effects reaction mixture composition and water content on the mechanical,

610 microstructural and thermal properties of a series of AAM were investigated. AAM was

611 produced by alkali-activation of a blend of 80 wt. % fly ash and 20 wt% slag precursors

612 activated with different sodium silicate solutions and cured for 14 days at ambient

613 temperature.

614 Post-heated surface cracking decreased with increased reaction mixture Na/Al ratio. Despite

615 higher ambient temperature compressive strength for samples with lower Na/Al ratio, post-

616 heated compressive strength was significantly reduced compared to samples with higher

617 Na/Al ratio. Compressive strength increased with increasing Si/Al from 1.85 to 2.35 and

618 Na/Al of unity. Lower AAM Si/Al ratio exhibited the best thermal stability, while a high

619 degree of surface cracking was observed at higher Si/Al ratio. A negligible difference in

620 mass loss up to 1000°C for AAM samples with different Si/Al ratios was observed, however

621 a substantial mass gain due to possible oxidation reaction was observed for high Si/Al AAM

622 during an isothermal period at 1000°C. W/s ratio did not affect post-heated surface cracking

623 or porosity in AAM samples.

624 µ-CT analysis showed for the first time thermally induced expansion of pores into water-

625 vapour pathways and pore coarsening, which substantially reduced post-heated surface

626 cracking via water vapour pressure release and temperature gradient minimisation during

627 heating. Less permeable and stiffer binders are more prone to develop higher internal tension

628 because of the great extent of the built-up pressure of internal pore water at higher

629 temperatures.

630 Variation in Si/Al or Na/Al ratio did not appear to affect mean and median pore diameters in

631 the AAM. However, lower Na/Al and Si/Al increased both initial and post-heated porosity.

632 The porosity, pore connectivity and number of pores (<50µm) of the AAM were considerably

633 higher than those in the hydrated PC binder at the same w/s ratio. In all systems, an increase

634 in porosity and pore size was observed after exposure to 1000°C.

635 Thermal performance of AAM binders is significantly better than that of PC binders of the

636 same compressive strength. This is due to the very low content of bound water within the

637 AAM gel framework, which does not experience the same extent of structural damage as PC

638 systems exposed to high temperatures, where structural damage occurs via dehydration of PC

639 hydration products e.g Portlandite.

640

652

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