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1 **THE ORGANIC AND THE THIRD PHASE IN THE SYSTEM**  
2 **HNO<sub>3</sub>/TBP/n-DODECANE: NO REVERSE MICELLES**

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13 The composition and speciation of the organic and third phases in the system HNO<sub>3</sub>/TBP/n-dodecane  
14 have been examined by a combination of gravimetry, Karl Fischer analysis, chemical analysis, FTIR  
15 and <sup>31</sup>P NMR spectroscopy, with particular emphasis on the transition from the two-phase to the  
16 three-phase region. Phase densities indicate that third phase formation takes place for initial aqueous  
17 HNO<sub>3</sub> concentrations above 15 M, whilst the results from the stoichiometric analysis imply that the  
18 organic and third phases are characterized by two distinct species, namely the mono-solvate  
19 TBP·HNO<sub>3</sub> and the hemi-solvate TBP·2HNO<sub>3</sub>, respectively. Furthermore, the <sup>31</sup>P-NMR spectra of  
20 organic and third phase show no significant chemical differences at the phosphorus centres,  
21 suggesting that the second HNO<sub>3</sub> molecule in the third phase is bound to HNO<sub>3</sub> rather than TBP. The  
22 third phase FTIR spectra reveal stronger vibrational absorption bands at 1028, 1310, 1653 and 3200-  
23 3500 cm<sup>-1</sup>, reflecting higher concentrations of H<sub>2</sub>O, HNO<sub>3</sub> and TBP. The molecular dynamics  
24 simulation data predict structures in accord with the spectroscopically identified speciation, indicating  
25 inequivalent HNO<sub>3</sub> molecules in the third phase. The predicted structures of the organic and third  
26 phases are more akin to microemulsion networks rather than the distinct, reverse micelles assumed in  
27 previous studies. H<sub>2</sub>O appears to be present as a disordered hydrogen-bonded solvate stabilising the

28 polar TBP/HNO<sub>3</sub> aggregates in the organic matrix, and not as a strongly bound hydrate species in  
29 aggregates with defined stoichiometry.

30

31 Keywords: Third phase formation, PUREX, solvent extraction, nitric acid, tributyl phosphate,  
32 molecular dynamics simulations

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35

## 36 **INTRODUCTION**

37 The principal method for reprocessing of spent nuclear fuel is the PUREX (Plutonium URanium  
38 EXtraction) process, which is based on solvent extraction of the two actinide elements from nitric acid  
39 solutions by tri-n-butyl phosphate (TBP), dissolved in either odourless kerosene or the aliphatic  
40 hydrocarbon n-dodecane.<sup>[1]</sup> Extraction systems built on such organophosphorus ligands dissolved in  
41 aliphatic solvents can suffer from third phase formation, when threshold concentrations of extracted  
42 metal and/or acid are exceeded. Such third phase formation is exhibited also by N-based extractants  
43 such as amides, as well as tetraalkylammonium salts and amines.<sup>[2-5]</sup> Third phase formation is readily  
44 recognisable as a separate, dense organic phase enriched in extractant, acid and metal relative to the  
45 lighter organic phase. Formation of third phase is an undesirable process in the reprocessing of spent  
46 fuel, as it could potentially cause safety and even criticality concerns due to the high metal  
47 concentrations and can lead to phase inversion issues.<sup>[6]</sup> Third phase formation is often considered to  
48 be the result of limited solubility of metal-ligand complexes in the organic medium, so that the  
49 colloidal suspension formed by TBP acting as a surfactant becomes favourable at higher metal  
50 loadings.<sup>[7, 8]</sup> The phase splitting process in a variety of TBP-based systems has for some time been  
51 considered to be associated with the formation of a microemulsion consisting of reverse micellar  
52 structures with a polar water core containing ionic species surrounded by a layer of extractant  
53 molecules.<sup>[9]</sup>

54 A number of studies have investigated the process of third phase formation in terms of phase  
55 boundaries, mainly studying the limiting organic concentration (LOC), defined as the threshold metal  
56 and acid concentrations in the organic phase at which phase splitting occurs. For a more sophisticated  
57 treatment of this phase behaviour, we refer to the research of Bauer et al.<sup>[10]</sup> Third phase formation in  
58 extraction of actinides by neutral organophosphorus extractants, including phase boundaries and  
59 factors affecting the process of phase splitting, has been thoroughly reviewed.<sup>[11]</sup> Investigation of the  
60 organic phase speciation of neptunium and plutonium in relation to the process of third phase  
61 formation has been conducted and the third phase boundaries have been reported.<sup>[12]</sup> The phenomenon  
62 of phase splitting during the extraction of plutonium with TBP in n-dodecane from nitric acid has  
63 been studied using small angle neutron scattering (SANS), which indicated that TBP in contact with  
64 aqueous phase containing nitric acid and plutonium forms small reverse micelles incorporating three  
65 to five TBP molecules.<sup>[6]</sup> The third-phase formation during the extraction of thorium nitrate from  
66 solutions with near-zero free acidity by 1.1M solutions of tri-n-butyl phosphate (TBP) and tri-n-amy  
67 l phosphate (TAP) in n-octane, n-decane, n-dodecane, n-tetradecane, and n-hexadecane have been  
68 studied and it was found the difference in solute concentrations and density between the third phase  
69 and the diluent-rich phase, as well as the ratio of the volume of diluent-rich phase to that of the third  
70 phase, can be treated as indices of the third-phase formation tendency.<sup>[13]</sup>

71 Some mineral acids cause third phase formation with TBP dissolved in aliphatic organic solvents even  
72 in the absence of metal ions. For example, the phase splitting phenomenon has been observed at very  
73 high nitric acid concentrations in the system HNO<sub>3</sub>-TBP in cases when long-chain aliphatic solvents  
74 such as n-dodecane are used as TBP diluents.<sup>[9]</sup> Similarly, the extraction of sulfuric acid by tri-butyl  
75 phosphate-kerosene solutions results in three-phase formation at H<sub>2</sub>SO<sub>4</sub> concentrations from 6.8 to  
76 16 M, independent of the initial TBP concentration.<sup>[14]</sup> The tendency towards third phase formation of  
77 inorganic acids with 0.73 M TBP dissolved in n-octane in terms of decreasing LOC values was found  
78 to decrease in the order: HClO<sub>4</sub> > H<sub>2</sub>SO<sub>4</sub> > HCl > H<sub>3</sub>PO<sub>4</sub> > HNO<sub>3</sub>.<sup>[15]</sup> This ordering has since been put  
79 on a quantitative basis.<sup>[2-4]</sup> A considerable amount of research has been devoted to its relationship  
80 with the Hofmeister series and we refer to references <sup>[11-13]</sup> for recent work in this area. Third phase  
81 formation in the system HCl/TBP/n-octane system was found to involve the simultaneous extraction

82 of large amounts of water, bringing about organic phase splitting when the equilibrium HCl  
83 concentration in the aqueous phase becomes higher than 7.6 M. <sup>[19]</sup> The extraction by 1.1M tributyl  
84 phosphate in n-dodecane of perchloric and nitric acid was found to result in the formation of third  
85 phase in solutions with initial aqueous acidic concentration exceeding 2M HClO<sub>4</sub> or 15M HNO<sub>3</sub>. <sup>[20]</sup>  
86 Based on small-angle X-ray (SAXS) and SANS evidence, the higher potential of perchloric acid for  
87 phase splitting the formation of third phase was attributed to the higher polarity of the perchlorate  
88 anion, which leads to more effective attractive interaction in the polar cores of the micellar structures.  
89 The phenomenon of phase splitting and formation of third phase occurs not only for TBP but across a  
90 range of neutral organo-phosphorous extractants when dissolved in long-chain non-polar, aliphatic  
91 solvents such as n-dodecane. Such extractants include TBPO, Octyl(Phenyl)-N,N-Diisobutyl  
92 Carbamoyl Methyl Phosphine Oxide (CMPO) and Dihexyl N,N-Diethyl Carbamoyl Methyl  
93 Phosphonate (DHDECMP). The third-phase formation tendency of DHDECMP varies across  
94 inorganic acids in the order HClO<sub>4</sub> > HNO<sub>3</sub> > HCl > H<sub>2</sub>SO<sub>4</sub>. <sup>[21]</sup> SANS studies suggest that the process  
95 of third-phase formation is driven by the formation of DHDECMP·HNO<sub>3</sub> reverse micelles in the  
96 diluent phase. After phase separation the size of the aggregates in the third phase was found to be  
97 significantly smaller compared to those present when approaching the LOC, with the size of the  
98 entities in the heavy phase larger than in the light organic phase. <sup>[21]</sup>  
99 The exact molecular structure and composition of the third phase entities remains unknown. In a  
100 recent study, simulation of the behaviour of TBP in the organic phase was carried out, with results  
101 suggesting that TBP self-assembles into a bi-continuous phase characterised by filamentous chains of  
102 TBP molecules formed by interaction of the oxygen and phosphorous moieties of adjacent TBP  
103 molecules. Formation of a molecular micro-emulsion structure was proposed, in which the filaments  
104 form a network. <sup>[22]</sup> The forcefield used in this study, however, overestimated the polarity of the TBP  
105 molecules <sup>[23]</sup> and simulation results using a higher quality forcefield are presented later in this article.  
106 Suffice it to state here that these later studies also revealed a micro-emulsion structure rather than  
107 reverse micelles. The process of organic phase splitting for several inorganic acids (HNO<sub>3</sub>, HClO<sub>4</sub>,  
108 H<sub>2</sub>SO<sub>4</sub>, and H<sub>3</sub>PO<sub>4</sub>) extracted with TBP in n-octane was investigated by SANS, which provided  
109 evidence for the existence of reverse micelles with diameters from 15 to 22 Å, with polar core

110 diameters ranging from 10 to 15 Å.<sup>[24]</sup> For the extraction of HCl by TBP dissolved in n-octane the  
111 formation of reversed micelles with a maximum TBP aggregation number of 7 and a diameter of 19 Å  
112 has been reported.<sup>[24]</sup> An FTIR spectroscopy study of the system HNO<sub>3</sub>/TBP/octane identified two  
113 structures, TBP·HNO<sub>3</sub> and TBP·2HNO<sub>3</sub>.<sup>[25]</sup> The two nitric acid molecules in the hemi-solvate  
114 structure are spectroscopically inequivalent in the vibrations of the P=O bond, which led to the  
115 conclusion that the predominant structure of TBP·2HNO<sub>3</sub> involved hydrogen-bonded dimers of  
116 HNO<sub>3</sub>, with only one of the HNO<sub>3</sub> molecules attached to TBP.<sup>[25]</sup>  
117 In addition to the SANS and SAXS studies already mentioned, other studies with these techniques  
118 indicated the formation of large aggregates and reversed micelles in the heavy organic layer. <sup>[26-30]</sup>  
119 Specifically for the HNO<sub>3</sub>-TBP system SAXS/SANS indicated that organic phases of TBP in  
120 equilibrium with acid solutions contains reverse micelles containing aggregates of 2 to 5 TBP  
121 molecules assembled around an aqueous polar core.<sup>[31]</sup>  
122 The present gravimetric and spectroscopic study of the HNO<sub>3</sub>/TBP/n-dodecane system was performed  
123 to examine third phase formation in this system more systematically and in more detail, particularly to  
124 generate additional information on the stoichiometry and the structure of the solvates in the third and  
125 organic phases. Shedding light on phase formation in the HNO<sub>3</sub>/TBP/n-dodecane system is essential  
126 for better understanding more complex extraction systems, and is relevant to the industrial scale  
127 reprocessing of spent nuclear fuel.

128

## 129 **EXPERIMENTAL**

### 130 **Materials**

131 All reagents were analytical grade. Tri-n-butyl phosphate (Fisher Scientific, 99% purity, density  
132 0.979 g ml<sup>-1</sup>) was used as supplied without any further treatment. Anhydrous n-dodecane (99%,  
133 Sigma Aldrich) was used as a solvent for TBP solutions. Perchloric acid 70% (Merck) and 1 M NaOH  
134 in methanol (Fisher Scientific) were used for the determination of TBP and HNO<sub>3</sub> concentrations,  
135 respectively. All aqueous acidic solutions were prepared using 65% HNO<sub>3</sub> (Sigma Aldrich), 37% HCl

136 (Acros Organics) and 70% HClO<sub>4</sub> (Merck). Deionised water (18.2 MΩ·cm) was obtained using a  
137 Milli-Q water purification system.

138

## 139 **Methods**

140 Batch extraction experiments were carried out by mixing 5 ml of acidic solution containing HNO<sub>3</sub>,  
141 HCl or HClO<sub>4</sub> at various concentration levels with an equal volume of 1.1 M (30% by volume) TBP  
142 dissolved in n-dodecane. Aqueous and organic samples were placed in 12 ml screw-cap polystyrene  
143 centrifuge tubes and intensively shaken for at least 10 min, then positioned upright for several hours  
144 to obtain equilibrium and allow phases to separate. As a next step, aliquots of the aqueous, organic  
145 and third phases were withdrawn with a 1000 µl Eppendorf pipette (max. error 0.35%) for further  
146 analysis. Mass densities were determined by measuring the weight of 1 ml aliquots on an Ohaus  
147 Galaxy 160D analytical balance with sensitivity of 10<sup>-4</sup> g.

148 HNO<sub>3</sub> equilibrium concentrations in organic and third phase samples were determined by direct  
149 titration of aliquots with standardised 1 M NaOH solution in methanol using 1% phenolphthalein in  
150 ethanol as an indicator. The values reported below represent averages of three consecutive titration  
151 measurements. TBP equilibrium concentrations in third phase aliquots were determined  
152 volumetrically, determining the volume of the third phase formed by equilibrating the sample with an  
153 equal volume of 10 M HClO<sub>4</sub>. The method requires calibration, conducted by measuring the exact  
154 volume of the third phase formed in a number of solutions with known TBP concentration, as  
155 described elsewhere.<sup>[29, 21]</sup> For samples that did not form a third phase, the concentration of TBP in the  
156 organic phase was considered to be equal to the initial one, based the negligible TBP solubility in  
157 aqueous media. Equilibrium water content in the organic and third phase samples was measured by  
158 coulometric titration method using a C20 Compact Karl Fischer coulometric titrator (Mettler-Toledo  
159 Inc.).

160 Infrared spectra of organic and third phase samples were recorded using a Nicolet iS10 FT-IR  
161 spectrometer (Thermo Scientific). The <sup>31</sup>P NMR spectroscopy of organic and third phase samples in 5  
162 mm PTFE NMR tubes was performed using a B400 Bruker AVANCE III NMR spectrometer  
163 operating at 161.91 MHz. All spectroscopic measurements were carried out at room temperature.

164 Molecular Dynamics Simulations were performed for an organic phase and a third phase using the  
165 experimentally determined compositions shown in Table 1. Simulations were performed using the  
166 GROMACS package <sup>[32-34]</sup> at a pressure of 1 bar and a temperature of 298.15 K, using the Parrinello-  
167 Rahman barostat <sup>[35,36]</sup> and Nosé-Hoover thermostat. <sup>[37,38]</sup> The number of TBP, n-dodecane, HNO<sub>3</sub>  
168 and H<sub>2</sub>O molecules were 278, 1267, 255 and 30 respectively for the organic phase, and were 597,  
169 711, 1197 and 293 respectively for the third phase. The time step was 1 fs. The systems were  
170 equilibrated for 10 ns and the results quoted were averaged over runs of 10 ns. The force field used  
171 was the OPLS-2005 <sup>[39]</sup>, where the partial charges on TBP were optimised. <sup>[23]</sup>

172

## 173 **RESULTS AND DISCUSSION**

174

### 175 **Third phase boundaries**

176 In order to study the concentration boundaries of the third phase formation, extraction experiments  
177 were conducted by equilibrating equal volumes of nitric acidic solutions with 1.1 M tri-n-butyl  
178 phosphate dissolved in n-dodecane. Mass densities of the aqueous and both organic phases were  
179 measured as a function of initial HNO<sub>3</sub> concentration, which was in the range between 1.1 and 15.8 M  
180 as shown in Fig. 1.

181

182 **Figure 1.** Density of aqueous, organic and third phase in the system HNO<sub>3</sub>-1.1 M TBP / n-dodecane  
183 as a function of the initial HNO<sub>3</sub> concentration in the aqueous phase

184

185 From the results given in Fig. 1, it can be seen that the aqueous phase density depends linearly on the  
186 initial HNO<sub>3</sub> concentration and reaches a maximum value of 1.46 g·ml<sup>-1</sup> for the sample containing  
187 15.8 M initial HNO<sub>3</sub>. A two phase region exists below initial HNO<sub>3</sub> concentration of 15 M. Beyond  
188 that point the organic phase splits forming a three phase region; 15 M initial HNO<sub>3</sub> appears to be the  
189 threshold value for phase splitting. In the two phase region, the organic phase density remains steady  
190 in the range between 0.85 and 0.90 g·ml<sup>-1</sup>. After the threshold concentration, a third phase is formed,



191 causing a decrease in the organic phase density from 0.90 to 0.85 g·ml<sup>-1</sup> while the third phase density  
192 increased from 0.90 to 1.0 g·ml<sup>-1</sup>. The light organic phase after the splitting contains mainly diluent,  
193 while HNO<sub>3</sub> and TBP are concentrated in the heavy organic phase, which explains the differences in  
194 the phase densities. The limiting density of the aqueous phase corresponding to the phase splitting  
195 threshold is approximately 1.43 g·ml<sup>-1</sup> or 15 M initial HNO<sub>3</sub> concentration. These values are  
196 significantly higher compared to the limiting aqueous density of the HNO<sub>3</sub> phase in case of 0.8 M  
197 DHDECMP in n-dodecane, which was found to be 1.03 g·ml<sup>-1</sup>, corresponding to 1.1 M initial aqueous  
198 HNO<sub>3</sub> concentration.<sup>[21]</sup> This indicates that TBP is more resistant towards phase splitting and the  
199 formation of third phase compared to other neutral organophosphorous extractants such as  
200 DHDECMP.

201

### 202 **Effect of different acids**

203 In a separate series of measurements the occurrence of third phase in systems containing HCl, HNO<sub>3</sub>  
204 or HClO<sub>4</sub> mixed with TBP was studied and the phase densities were determined by mixing 5 ml of the  
205 acidic solution with an equal volume of 1.1 M TBP in n-dodecane. In order to investigate the effect of  
206 changing the mineral acids the differences between the densities of the heavy and light organic phases  
207 for these three systems were determined at initial acid concentrations immediately higher than the  
208 LOC (Fig. 2).

209

210 **Figure 2.** Equilibrium density of aqueous, organic and third phase for systems containing HCl, HNO<sub>3</sub>  
211 and HClO<sub>4</sub> with 1.1 M TBP in n-dodecane. The data for each system refer to an initial acid  
212 concentration immediately higher than that corresponding to the LOC.

213

214 The density difference between the light and heavy organic phases decreased in the following order:  
215 HClO<sub>4</sub>/TBP > HCl/TBP > HNO<sub>3</sub>/TBP. Density differences have previously been considered as an  
216 indicator for the tendency to form a third phase.<sup>[40]</sup> Therefore, based on the experimental results  
217 presented in Fig. 2, it can be concluded that HClO<sub>4</sub> has the strongest tendency to form third phase

218 with TBP, followed by HCl and HNO<sub>3</sub>. In line with this, a previous study concluded that the HClO<sub>4</sub>  
219 system is characterised by much stronger water transfer into the organic phase than for H<sub>3</sub>PO<sub>4</sub> and  
220 HNO<sub>3</sub>.<sup>[24]</sup> The potential for the formation of third phase during the extraction of inorganic acids by  
221 TBP appears to be associated with the acidity strength of the acids, with the pK<sub>a</sub> values following the  
222 order HClO<sub>4</sub> > HCl > HNO<sub>3</sub>. This suggests that a better ability to transfer protons may stabilise a  
223 more extensive water network solvating the acid in the organic matrix, and thus stabilising the third  
224 relative to the organic phase. Again we note the similarity of these results with those published for  
225 diamides and it is likely that the same molecular mechanisms are involved.<sup>[41]</sup> Furthermore, it has  
226 been reported that the acid strength order applies only to monoprotic acids. Chiarizia and Briand<sup>[15]</sup>  
227 have demonstrated that if one considers also H<sub>2</sub>SO<sub>4</sub> and H<sub>3</sub>PO<sub>4</sub>, the pK<sub>a</sub> values do not follow the  
228 tendency to phase splitting, which indicates that third phase formation is a more complex  
229 phenomenon.

230

### 231 **Equilibrium TBP, HNO<sub>3</sub> and H<sub>2</sub>O concentrations**

232 The equilibrium nitric acid concentrations in both organic and third phase samples with initial  
233 aqueous HNO<sub>3</sub> concentration ranging from 1.1 to 15.8 M and initial organic TBP concentration of 1.1  
234 M were determined by direct titration of phase aliquots with standard NaOH solution in methanol.  
235 The results reveal an increase of the equilibrium HNO<sub>3</sub> levels in the organic phase (Fig. 3), from  
236 negligible up to 1.1 M as a function of the initial HNO<sub>3</sub> concentration. The equilibrium nitric acid,  
237 water and TBP concentrations, measured in the organic and third phases of systems with highest  
238 initial aqueous nitric acid loading of 15.8 M are summarised in Table 1.

239

240 **Figure 3.** Equilibrium HNO<sub>3</sub>, TBP and H<sub>2</sub>O concentrations in the organic and third phase vs. the  
241 initial aqueous HNO<sub>3</sub> concentration.

242

243 The equilibrium organic HNO<sub>3</sub> concentration sharply decreases when the third phase formation  
244 boundary is crossed (Fig. 3). It is noteworthy that within the two phase region the equilibrium HNO<sub>3</sub>

245 concentration in the organic phase never exceeds the TBP concentration of 1.1 M in the organic  
246 phase. This value is exceeded in the third phase that appears at higher HNO<sub>3</sub> concentrations, in which  
247 a maximum value of 3.2 M is reached (Fig. 3).

248 A similar trend is evident for the equilibrium molar concentration of H<sub>2</sub>O in the organic and third  
249 phase samples as determined by Karl Fischer titration (Table 1 and Fig. 3). It can be seen that the  
250 distribution of H<sub>2</sub>O in the system HNO<sub>3</sub>/TBP/n-dodecane mirrors the extraction behaviour of HNO<sub>3</sub>,  
251 indicating that HNO<sub>3</sub> extraction is accompanied by water transfer from the aqueous to the organic  
252 phase. In the absence of third phase formation, the fraction of H<sub>2</sub>O transferred to the organic phase is  
253 relatively small and increases steadily from 0.17 to 0.24 M as the initial HNO<sub>3</sub> concentration is  
254 increased. Once the LOC threshold value is exceeded the majority of the organic phase H<sub>2</sub>O  
255 molecules are transferred to the third phase, as evident through a decrease of the H<sub>2</sub>O content in the  
256 organic phase by approximately an order of magnitude.

257 Taking into account that TBP solubility is negligible in the aqueous phase, the equilibrium  
258 concentration of TBP in the organic phase of the two-phase systems can be assumed to be equal to the  
259 initial TBP concentration. For the three-phase systems, the concentration of TBP in the light organic  
260 phase was determined by measuring the volume of the heavy organic phase produced by equilibrating  
261 of 5 ml aliquot of the organic phase with an equal volume of 10 M HClO<sub>4</sub>, as described in the  
262 Experimental section. Results indicate that TBP is significantly more concentrated in the third phase  
263 compared to the light organic phase. In summary, our compositional analysis indicates that the third  
264 phase is enriched in H<sub>2</sub>O, HNO<sub>3</sub> and TBP relative to the organic phase, which is depleted in these  
265 compounds and mainly contains solvent.

266

267 **Table 1.** Equilibrium concentrations of H<sub>2</sub>O, HNO<sub>3</sub> and TBP in the organic and third phases with  
268 initial aqueous HNO<sub>3</sub> concentration of 15.8 M

269

270 Based on the stoichiometric data given in Table 1, the equilibrium concentration of TBP in the  
271 organic phase appears to be approximately equal to the sum of nitric acid and water equilibrium  
272 concentrations:

$$273 \quad [TBP]_{org} = [HNO_3]_{org} + [H_2O]_{org}$$

274  
275 The water concentration is a fraction (approximately 12%) of the HNO<sub>3</sub> concentration. This suggests  
276 that the main species in the organic phase is the mono-solvate TBP·HNO<sub>3</sub>, perhaps accompanied by  
277 monohydrate TBP·H<sub>2</sub>O or the ternary species TBP·HNO<sub>3</sub>·H<sub>2</sub>O.

278 The equilibrium concentration of HNO<sub>3</sub> in the third phase was twice higher than the equilibrium TBP  
279 concentration:

$$280 \quad [HNO_3]_{3rd} = 2[TBP]_{3rd}$$

281  
282  
283 This stoichiometric ratio suggests that the predominant species in the third phase is the hemi-solvate  
284 TBP·2HNO<sub>3</sub>. Adding a second HNO<sub>3</sub> molecule to the mono-solvate TBP·HNO<sub>3</sub> would increase the  
285 polar character of the assemblies, thus decreasing solubility of the species in neutral organic solvent  
286 and facilitating the formation of the third phase, in which a higher concentration of water can stabilise

287

### 288 **<sup>31</sup>P NMR spectroscopy**

289 Two structurally different models for the third phase TBP·2HNO<sub>3</sub> hemi-solvates have been suggested  
290 in the literature with the main being the exact location where the second HNO<sub>3</sub> molecule is bound to  
291 the existing HNO<sub>3</sub>·TBP mono-solvate molecule. The second HNO<sub>3</sub> molecule could be directly  
292 attached to the P=O group of the tributyl phosphate forming a parallel HNO<sub>3</sub> structure.<sup>[42]</sup> Other  
293 studies indicated that the second HNO<sub>3</sub> molecule is linked to the solvate by a hydrogen bond between  
294 the two HNO<sub>3</sub> molecules, forming a chain TBP·HNO<sub>3</sub>·HNO<sub>3</sub> structure.<sup>[25]</sup> In an attempt to shed  
295 additional light on this issue and to determine the structures of TBP·HNO<sub>3</sub> solvates, a <sup>31</sup>P-NMR  
296 characterisation of both organic and third phase samples was performed (Fig. 4).

297

298 **Figure 4.**  $^{31}\text{P}$ -NMR spectra of organic (left) and third phase samples (right) of 16 M  $\text{HNO}_3$ -1.1 M  
299 TBP/n-dodecane system

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301 The formation of chemical and hydrogen bonds as well as rearrangements and modifications of the  
302 partial chemical structure around the phosphorus centres could lead to changes in the electron density  
303 and hence in chemical shifts in the  $^{31}\text{P}$ -NMR spectrum. <sup>[43]</sup> Attaching a second  $\text{HNO}_3$  molecule  
304 directly to the TBP phosphate group should result in a downfield  $^{31}\text{P}$  chemical shift relative to the  
305 organic phase mono-solvate. However, experimental organic and third phase  $^{31}\text{P}$ -NMR spectra (Fig.  
306 4) reveal no strong difference, as both spectra consist of a single narrow signal with chemical shifts of  
307 -1.64 and -1.54 ppm, respectively. The relatively narrow peak widths of less than 10 ppm suggest that  
308 the composition of TBP species is rather uniform, with no distribution of chemically distinct  
309 species.<sup>[44]</sup>

310

311 **Fig. 5.** Proposed structure of the third phase  $\text{TBP}\cdot 2\text{HNO}_3$  hemi-solvates

312

313 The lack of significant chemical shift in the third phase  $^{31}\text{P}$ -NMR spectrum compared to the spectrum  
314 of the organic phase provides a strong indication that the third phase formation and the addition of an  
315 extra  $\text{HNO}_3$  molecule to the organic  $\text{TBP}\cdot\text{HNO}_3$  mono-solvates is not associated with the immediate  
316 chemical surroundings of phosphorus moieties in TBP. Therefore, it appears likely that the third phase  
317 solvate structure involves two  $\text{HNO}_3$  molecules hydrogen-bonded to each other forming a chain  
318  $\text{TBP}\cdot\text{HNO}_3\cdot\text{HNO}_3$  structure as shown in Fig. 5.

319

320 **Figure 6.** IR spectra of organic phase samples with initial aqueous  $\text{HNO}_3$  concentration of 2, 4, 6, 8,  
321 10, 12 and 14 M (from bottom to top)

322

323 **Infrared spectroscopy**

324 Organic samples with various initial aqueous HNO<sub>3</sub> concentrations were also analysed using infrared  
325 spectroscopy (Figure 6). There is a sharp increase in the intensity of the peaks at 1653 and 1310 cm<sup>-1</sup>  
326 with increasing initial aqueous HNO<sub>3</sub> concentration. These peaks stem from the asymmetric NOO  
327 stretching (1700-1620 cm<sup>-1</sup>) and the symmetric NOO stretching (1330-1280 cm<sup>-1</sup>).<sup>[25, 43]</sup> The increase  
328 in the intensities of these bands with an increase in aqueous HNO<sub>3</sub> concentration despite the decrease  
329 in HNO<sub>3</sub> concentration quantified (figure 3) is due to the increase in mono-solvate TBP·HNO<sub>3</sub>  
330 concentration in the organic phase. The broad peak at 3500-3200 cm<sup>-1</sup> also increased in intensity  
331 which corresponds to the O-H stretching band of HNO<sub>3</sub>, in line with the higher HNO<sub>3</sub> concentrations  
332 in the organic phase (see fig. 3) as a function of aqueous HNO<sub>3</sub> concentration. The intensity of the  
333 vibrational bands at 1028 cm<sup>-1</sup> (P-O-C stretch),<sup>[28]</sup> related to the TBP concentration together with the  
334 triplet at 3000-2800 cm<sup>-1</sup> (C-H stretch), showed no significant differences indicating that the  
335 equilibrium concentrations of TBP and n-dodecane remain constant.

336

337 **Table 2.** Major vibrational bands relevant to the HNO<sub>3</sub>-TBP system <sup>[25]</sup>

338

339 The infrared spectra of both organic and third phases with an initial aqueous HNO<sub>3</sub> concentration of  
340 15.1 M were also measured (Fig. 7). Both spectra include identical sets of vibrational bands. The main  
341 differences between the organic and third phase IR spectra are in the intensities of some vibrational  
342 bands. The third phase spectrum shows higher peak intensities at 1028, 1310, 1653 and 3200-3500  
343 cm<sup>-1</sup> compared to the organic phase. These higher intensities are in line with the higher concentrations  
344 of H<sub>2</sub>O, HNO<sub>3</sub> and TBP in the third phase. The intensity of the 3000–2850 cm<sup>-1</sup> band (C-H stretch)  
345 decreases in the third phase spectra, reflecting the fact that the n-dodecane concentration is lower than  
346 in the organic phase.

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348 **Figure 7.** IR spectra of organic vs. third phase samples with initial aqueous HNO<sub>3</sub> concentration of  
349 15.1 M

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### Molecular Dynamics Simulations

In order to obtain structure models for the organic and third phases molecular dynamics simulations<sup>[45]</sup> were performed for an organic phase and a third phase using the experimentally determined compositions shown in Table 1. Simulation snapshots of the two phases, where for clarity only 2 nm slices are shown, are shown in Figures 8 and 9. While the structures shown are mobile and will flex and bend, the basic topology does not alter with time. We see no evidence of reverse micelles. Instead the structure resembles a bi-continuous emulsion on the molecular scale, with the TBP molecules acting as surfactants. The butyl groups of the TBP are in contact with the dodecane diluent, while polar molecules, such as nitric acid and water, are associated with the polar phosphate groups.

**Figure 8.** A snapshot of the organic phase system. TBP, HNO<sub>3</sub> and H<sub>2</sub>O molecules are represented in yellow, red and blue, respectively; n-dodecane molecules are not shown for clarity

If we consider the organic phase with a relatively low nitric acid concentration, we observed predominantly monodentate TBP-HNO<sub>3</sub> complexes. At higher nitric acid concentrations, corresponding to the observed third phase composition, we see TBP-HNO<sub>3</sub>-HNO<sub>3</sub> complexes, in agreement with the conclusion drawn above from the <sup>31</sup>P NMR data. The water molecules are somewhat randomly distributed around the system and while one can occasionally find TBP-HNO<sub>3</sub>-HNO<sub>3</sub>-H<sub>2</sub>O-HNO<sub>3</sub>-HNO<sub>3</sub>-TBP chains, they are not common. Our belief is that the experimentally observed stoichiometry does not stem from a significant presence of such extended structures.

**Figure 9.** A snapshot of the third phase system. TBP, HNO<sub>3</sub> and H<sub>2</sub>O molecules are represented in yellow, red and blue, respectively; n-dodecane molecules are not shown for clarity

375 In addition, the average number of hydrogen bonds between molecule pairs was analysed. The  
376 criterion for determining the presence of a hydrogen bond was that the donor-acceptor distance should  
377 be no more than 0.35 nm and the acceptor-donor-hydrogen angle should be no more than 30°. The  
378 oxygen atoms that have covalent bonds with the hydrogen atoms in the H<sub>2</sub>O and HNO<sub>3</sub> molecules  
379 were regarded as potential donors and the electronegative atoms that possess a lone electron pair were  
380 regarded as potential acceptors. The average numbers of hydrogen bonds between pairs of TBP,  
381 HNO<sub>3</sub> or H<sub>2</sub>O molecules are shown in Table 3.

382

383 **Table 3.** Average numbers of hydrogen bonds between molecule pairs

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385 To recap, the numbers of TBP, n-dodecane, HNO<sub>3</sub> and H<sub>2</sub>O molecules used in the MD simulations  
386 were 278, 1267, 255 and 30 respectively for the organic phase, and 597, 711, 1197 and 293  
387 respectively for the third phase.

388 In the light organic phase, the number of TBP·HNO<sub>3</sub> hydrogen bonds is 231 (Table 3), which  
389 accounts for 91% of HNO<sub>3</sub> and 83% of TBP molecules. The number of TBP·H<sub>2</sub>O hydrogen bonds is  
390 33, which accounts for 111% of H<sub>2</sub>O and 12% of TBP molecules. Note the 111% here indicates that  
391 100% of H<sub>2</sub>O molecules are connected with TBP and 11% of these are connected with two TBP  
392 molecules. The number of HNO<sub>3</sub>·HNO<sub>3</sub> hydrogen bonds is only 4. These results indicate that there  
393 are large numbers of TBP·HNO<sub>3</sub> dimers and only a small number of HNO<sub>3</sub>·HNO<sub>3</sub> dimers. Hence  
394 TBP·HNO<sub>3</sub> is the predominant structure in the light organic phase, in line with the experimental  
395 results. In addition, it is very likely that each H<sub>2</sub>O molecule forms at least one hydrogen bond with a  
396 TBP molecule in the light organic phase.

397 In the third phase, however, the number of TBP·HNO<sub>3</sub> hydrogen bonds is 619, which corresponds to  
398 52% of HNO<sub>3</sub> and 104% of TBP molecules. Note the 104% here indicates that 100% of TBP  
399 molecules are connected with HNO<sub>3</sub> and 4% of these are likely bidentate species linked to two  
400 monodentate HNO<sub>3</sub> molecules. The number of HNO<sub>3</sub>·HNO<sub>3</sub> hydrogen bonds is 284, which involves  
401 47% of the HNO<sub>3</sub>. The number of TBP·H<sub>2</sub>O hydrogen bonds only accounts for 31% of H<sub>2</sub>O, which is  
402 noticeably lower than in the light organic phase. These results could be explained by the formation of



403 bidentate  $\text{TBP}\cdot 2\text{HNO}_3$  complexes in the third phase, predominantly  $\text{TBP}\cdot\text{HNO}_3\text{-HNO}_3$  aggregates,  
404 again in line with the  $^{31}\text{P}$  NMR results. In addition, the number of  $\text{HNO}_3\text{-H}_2\text{O}$  hydrogen bonds is  
405 almost twice the number of  $\text{H}_2\text{O}$  molecules, which indicates that one  $\text{H}_2\text{O}$  is typically shared between  
406 two  $\text{HNO}_3$  molecules. Hence,  $\text{HNO}_3\text{-H}_2\text{O}\text{-HNO}_3$  aggregates may be a common structure in the third  
407 phase. A very recent paper <sup>[46]</sup> also presents the results of molecular dynamics simulations of this  
408 system and the hydrogen bonding analysis is broadly in agreement with that given above.  
409 Overall, the observed behaviour of the  $\text{TPB}/\text{HNO}_3/\text{H}_2\text{O}/\text{dodecane}$  system has much in common with  
410 oil/water/surfactant systems. The phases we report have similarities with the Winsor-III classification  
411 of surfactant micro-emulsion systems <sup>[47]</sup>, where bi-continuous micro-emulsion phases are found.  
412 What differentiates our system from most surfactant systems, however, is that we believe we have  
413 phase co-existence between two bi-continuous micro-emulsion phases, both of isotropic symmetry.  
414 Most Winsor III phase diagram show, instead, co-existence between a bi-continuous and a micellar  
415 phase (or a phase of different symmetry). A recent review of surfactant phase behaviour is given by  
416 Hyde et al <sup>[48]</sup> and we note the work of Erlinger <sup>[5]</sup>, which describes the transition of reverse micelles  
417 to a bi-continuous structure. It is interesting to note in this context the two level-cut Gaussian random  
418 wave representations of the micro-structure of bi-continuous structures <sup>[49,50]</sup>, which show a striking  
419 resemblance to the molecular dynamics snapshots shown in Figures 8 and 9. The fact that the majority  
420 of water-poor microemulsion systems exhibit bicontinuous structures rather than reverse micelles  
421 gives, arguably, extra credence to the results we have presented.

422

## 423 **CONCLUSIONS**

424 Third phase boundaries of the system the system  $\text{HNO}_3\text{-1.1 M TBP}/\text{n-dodecane}$  were determined by  
425 measuring the phase densities. The formation of third phase occurred when the initial aqueous nitric  
426 acid concentration is higher than 15 M. Based on stoichiometric ratio analysis, two different species  
427 have been identified in the organic and third phase, mono-solvate  $\text{TBP}\cdot\text{HNO}_3$  and the hemi-solvate  
428  $\text{TBP}\cdot 2\text{HNO}_3$ , respectively. The  $^{31}\text{P}$ -NMR spectra of both organic and third phase have been obtained  
429 and no significant differences have been observed, suggesting that the attachment of a second  $\text{HNO}_3$

430 molecule to the TBP·HNO<sub>3</sub> mono-solvate does not affect the chemical state of the phosphorus centres.  
431 This indicates that the TBP·2HNO<sub>3</sub> solvate structure involves two HNO<sub>3</sub> molecules, linked together in  
432 a chain of HNO<sub>3</sub> dimers in the form of TBP·HNO<sub>3</sub>·HNO<sub>3</sub>. Infrared spectra of the organic phase show  
433 that an increase in the intensity of the vibrational bands, assigned to O-H (3200-3500 cm<sup>-1</sup>),  
434 asymmetric NOO (1620-1700 cm<sup>-1</sup>) and symmetric NOO stretches (1280-1330 cm<sup>-1</sup>) with increasing  
435 initial aqueous nitric acid concentration. The intensity of the vibrational bands associated with C-H  
436 stretch (2800-3000 cm<sup>-1</sup>) and P-O-C stretch (1028 cm<sup>-1</sup>) remain constant. Compared with the organic  
437 phase with identical initial aqueous HNO<sub>3</sub> concentration, the third phase spectrum shows stronger  
438 absorption at 1028, 1310, 1653 and 3200-3500 cm<sup>-1</sup>, reflecting the fact that the third phase is enriched  
439 in H<sub>2</sub>O, HNO<sub>3</sub> and TBP. Molecular dynamics simulation predict structures in accord with the  
440 experimentally observed spectroscopic data, indicating inequivalent HNO<sub>3</sub> molecules in the third  
441 phase. The structures of the organic and third phases are more akin to micro-emulsion networks than  
442 distinct, reverse micelles. Similarities with bi-continuous micro-emulsion phases in the Winsor-III  
443 classification of surfactant micro-emulsion systems are evident, although the current system appears  
444 to be characterised by phase co-existence between two isotropic bi-continuous micro-emulsion  
445 phases. The results of our molecular dynamics analysis of the microstructure are in line with previous  
446 Gaussian random wave representations of the micro-structure of bi-continuous structures.<sup>[49,50]</sup>

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575 **CAPTIONS**

576 **Table 1.** Equilibrium concentrations of H<sub>2</sub>O, HNO<sub>3</sub> and TBP in the organic and third phases with  
577 initial aqueous HNO<sub>3</sub> concentration of 15.8 M

578 **Table 2.** Major vibrational bands relevant to the HNO<sub>3</sub>-TBP system

579 **Table 3.** Average numbers of hydrogen bonds between molecule pairs

580 **Figure 1.** Density of aqueous, organic and third phase in the system HNO<sub>3</sub>-1.1 M TBP / n-dodecane  
581 as a function of the initial aqueous HNO<sub>3</sub> concentration

582 **Figure 2.** Equilibrium density of aqueous, organic and third phase for systems containing HCl, HNO<sub>3</sub>  
583 and HClO<sub>4</sub> with 1.1 M TBP in n-dodecane. The data for each system refer to an initial acid  
584 concentration immediately higher than that corresponding to the LOC.

585 **Figure 3.** Equilibrium HNO<sub>3</sub>, TBP and H<sub>2</sub>O concentrations in the organic and third phase vs. the  
586 initial aqueous HNO<sub>3</sub> concentration

587 **Figure 4.** <sup>31</sup>P-NMR spectra of organic (left) and third phase samples (right) of 16 M HNO<sub>3</sub>-1.1 M  
588 TBP/n-dodecane system

589

590 **Figure 5.** Suggested structure of the third phase TBP·2HNO<sub>3</sub> hemi-solvates

591 **Figure 6.** IR spectra of organic phase samples with initial aqueous HNO<sub>3</sub> concentration of 2, 4, 6, 8,  
592 10, 12 and 14 M (from bottom to top)

593 **Figure 7.** IR spectra of organic vs. third phase samples with initial aqueous HNO<sub>3</sub> concentration of  
594 15.1 M

595 **Figure 8.** The snapshot of the organic phase system. TBP, HNO<sub>3</sub> and H<sub>2</sub>O molecules are represented  
596 in yellow, red and blue, respectively; n-dodecane molecules are not shown for clarity



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598 yellow, red and blue, respectively; n-dodecane molecules are not shown for clarity

599 **FIGURES AND TABLES**

600

601 **Table 1.** Equilibrium concentrations of H<sub>2</sub>O, HNO<sub>3</sub> and TBP in the organic and third phases with  
602 initial aqueous HNO<sub>3</sub> concentration of 15.8 M

Compound	Concentration in the organic phase, M	Concentration in the third phase, M
H <sub>2</sub> O	0.08	0.77
HNO <sub>3</sub>	0.68	3.15
TBP	0.74	1.57

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605 **Table 2.** Major vibrational bands relevant to the HNO<sub>3</sub>-TBP system <sup>[25]</sup>

Assignment	Frequency, cm <sup>-1</sup>
P-O-C stretch	1028
P=O stretch	1282
NOO symmetric stretch	1304
NOO asymmetric stretch	1627

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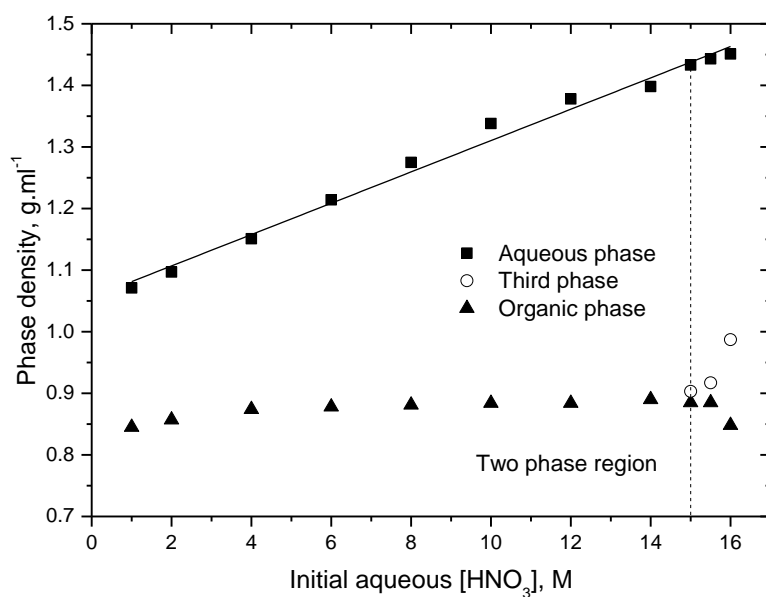
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609 **Table 3.** Average numbers of hydrogen bonds between molecule pairs

Molecule pair	The light organic phase	The third phase
TBP – HNO <sub>3</sub>	231	619
TBP – H <sub>2</sub> O	33	89
HNO <sub>3</sub> – HNO <sub>3</sub>	4	284
HNO <sub>3</sub> – H <sub>2</sub> O	28	584
H <sub>2</sub> O – H <sub>2</sub> O	4	20

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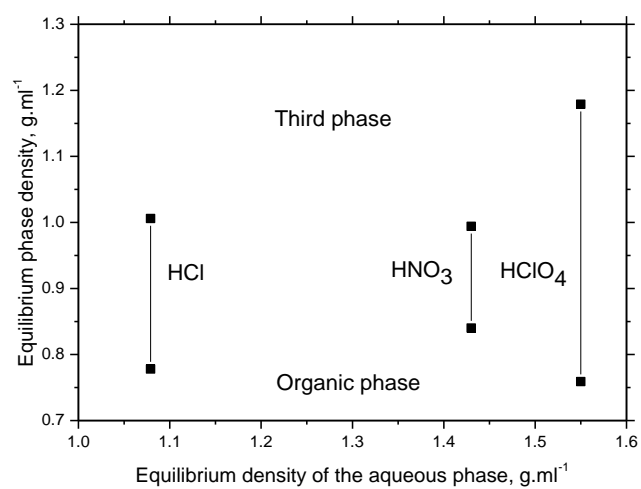
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613 **Figure 1.** Density of the aqueous, organic and third phases in the system: HNO<sub>3</sub>-1.1 M TBP / n-

614 dodecane as a function of the initial HNO<sub>3</sub> concentration in the aqueous phase

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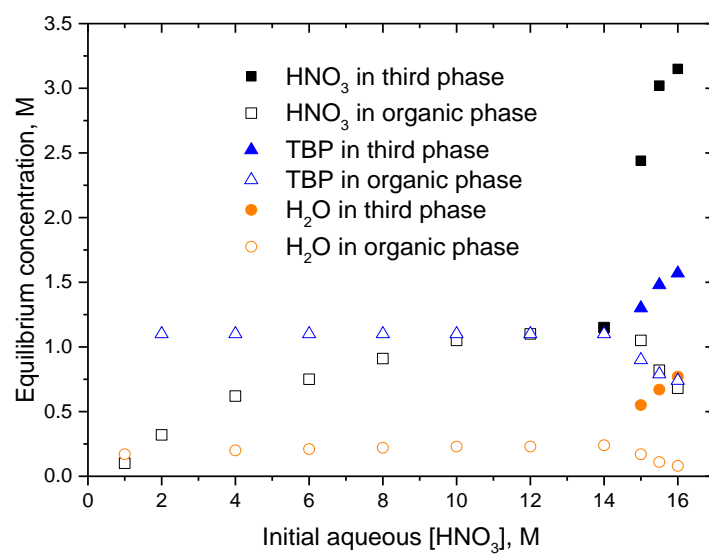


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618 **Figure 2.** Equilibrium density of aqueous, organic and third phase for systems containing HCl, HNO<sub>3</sub>  
 619 and HClO<sub>4</sub> with 1.1 M TBP in n-dodecane. The data for each system refer to an initial acid  
 620 concentration immediately higher than that corresponding to the LOC.

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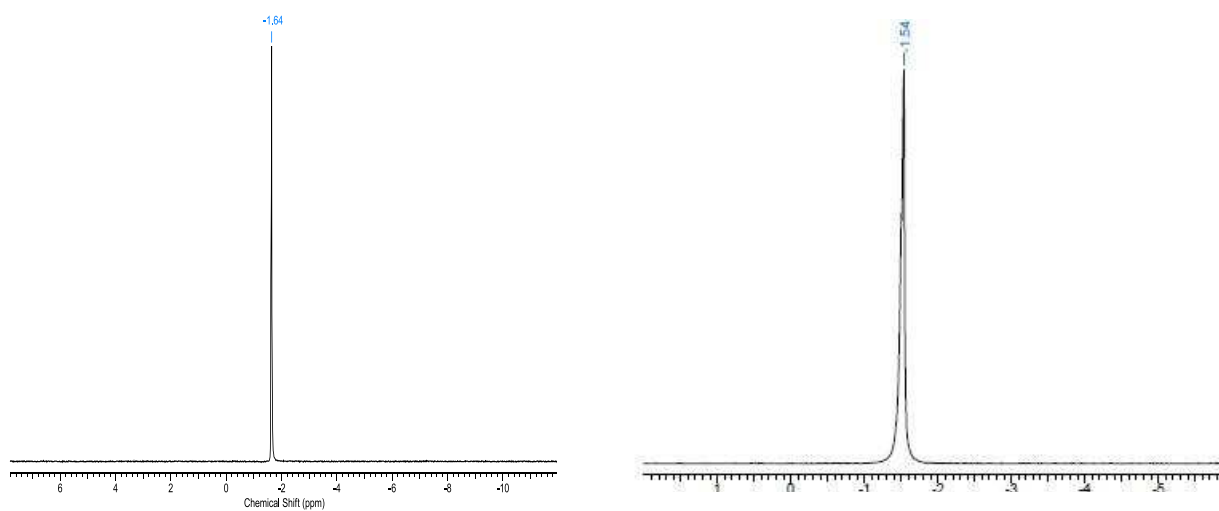
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624 **Figure 3.** Equilibrium HNO<sub>3</sub>, TBP and H<sub>2</sub>O concentrations in the organic and third phase vs. the  
 625 initial aqueous HNO<sub>3</sub> concentration

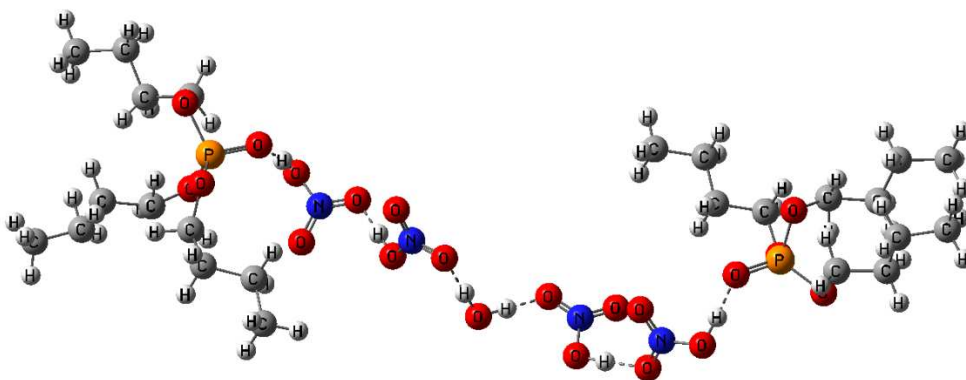
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**Figure 4.**  $^{31}\text{P}$ -NMR spectra of organic (left) and third phase samples (right) of 16 M  $\text{HNO}_3$ -1.1 M TBP/n-dodecane system



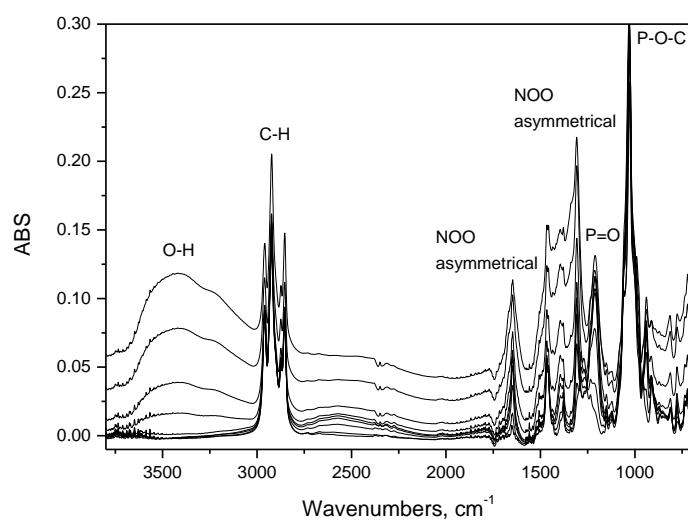
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649 **Fig. 5.** Suggested structure of the third phase TBP·2HNO<sub>3</sub> hemi-solvates

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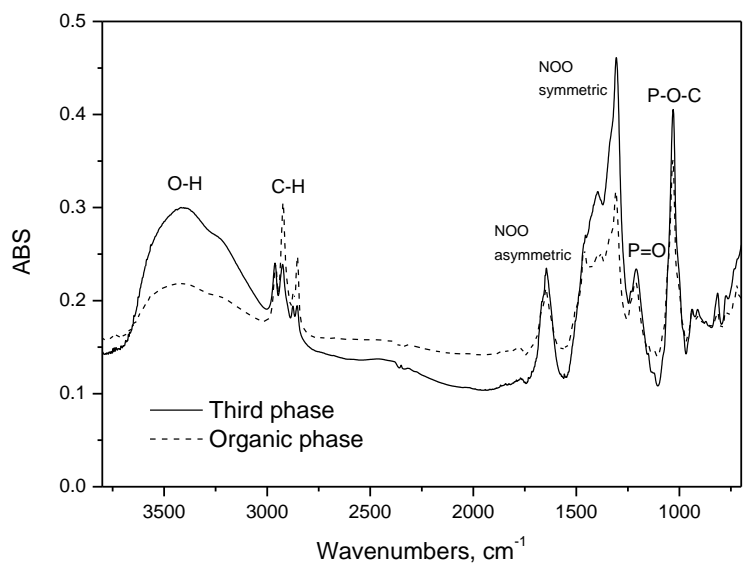


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653 **Figure 6.** IR spectra of organic phase samples with initial aqueous HNO<sub>3</sub> concentration of 2, 4, 6, 8,  
654 10, 12 and 14 M (from bottom to top)

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658 **Figure 7.** IR spectra of organic vs. third phase samples with initial aqueous HNO<sub>3</sub> concentration of

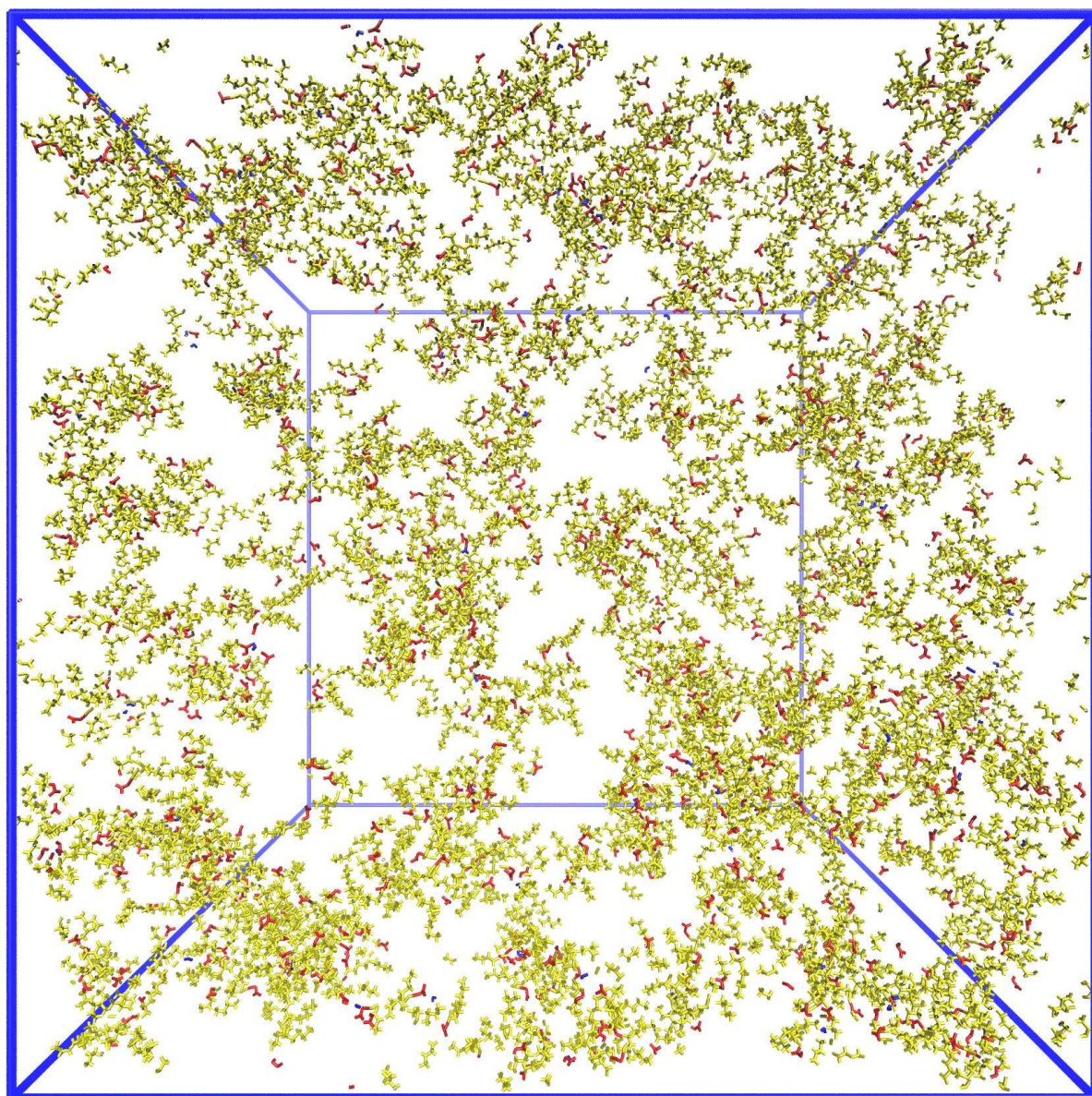
659 15.1 M

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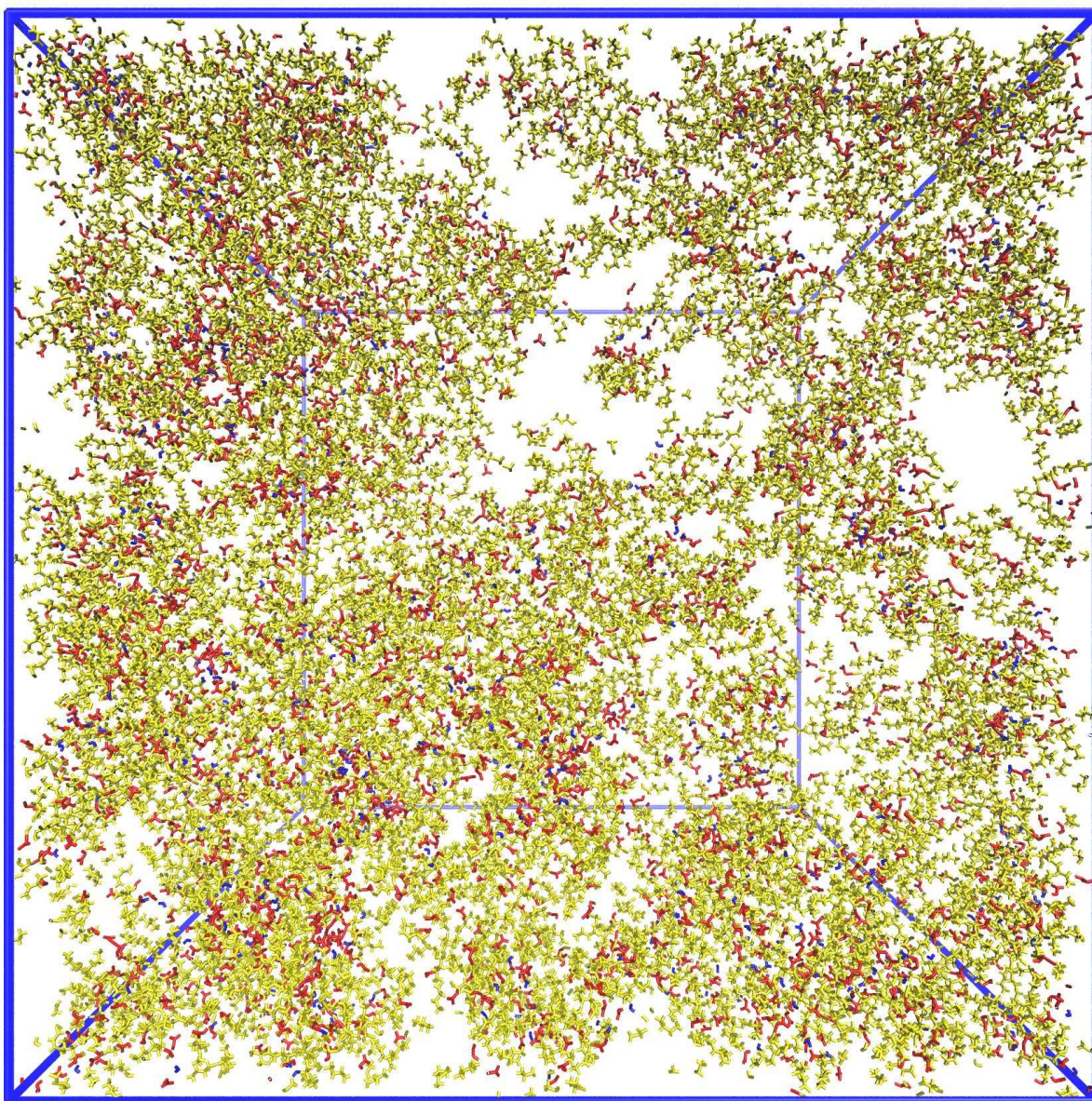
664

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671 **Figure 9.** The snapshot of the third phase system. TBP, HNO<sub>3</sub> and H<sub>2</sub>O molecules are represented in  
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