



## 35 Abstract

36 Small colony variants (SCVs) of *Staphylococcus aureus* typically lack a functional electron transport chain  
37 and cannot produce virulence factors such as leukocidins, hemolysins or the anti-oxidant staphyloxanthin.  
38 Despite this, SCVs are associated with persistent infections of the bloodstream, bones and prosthetic  
39 devices. The survival of SCVs in the host has been ascribed to intracellular residency, biofilm formation and  
40 resistance to antibiotics. However, the ability of SCVs to resist host defences is largely uncharacterised. To  
41 address this, we measured survival of wild-type and SCV *S. aureus* in whole human blood, which contains  
42 high numbers of neutrophils, the key defense against staphylococcal infection. Despite the loss of  
43 leukocidin production and staphyloxanthin biosynthesis, SCVs defective for heme or menaquinone  
44 biosynthesis were significantly more resistant to the oxidative burst than wild-type bacteria in human blood  
45 or the presence of purified neutrophils. Supplementation of the culture medium of the heme-auxotrophic  
46 SCV with heme, but not iron, restored growth, hemolysin and staphyloxanthin production, and sensitivity  
47 to the oxidative burst. Since *Enterococcus faecalis* is a natural heme auxotroph and cause of bloodstream  
48 infection, we explored whether restoration of the electron transport chain in this organism also affected  
49 survival in blood. Incubation of *E. faecalis* with heme increased growth and restored catalase activity, but  
50 resulted in decreased survival in human blood via increased sensitivity to the oxidative burst. Therefore,  
51 the lack of functional electron transport chains in SCV *S. aureus* and wild-type *E. faecalis* results in reduced  
52 growth rate but provides resistance to a key immune defence mechanism.

53

## 54 Introduction

55 *Staphylococcus aureus* is responsible for a raft of different infections of humans and animals [1-3]. The key  
56 host defence against infection is the neutrophil, which phagocytoses *S. aureus* and exposes it to a cocktail  
57 of reactive oxygen species (ROS) during a process known as the oxidative (or respiratory) burst [4-6]. Whilst  
58 this is often sufficient to clear infection, invasive staphylococcal diseases frequently lead to persistent or  
59 recurrent infections of the bones, joints, heart or implanted devices [1, 7-9]. The development of these  
60 hard to treat infections is often associated with the presence of small colony variants (SCVs) [10-17]. As the  
61 name suggests, SCVs form small colonies on agar plates, typically due to metabolic defects caused by  
62 mutations that abrogate the electron-transport chain or biosynthetic pathways [16-21]. For example,  
63 several clinical studies have isolated SCVs with mutations in genes required for heme or menaquinone  
64 biosynthesis, including from the bloodstream [17-20]. The slow growth of SCVs provides a strong selection  
65 pressure for reversion to the wild-type, either by repair of the causative mutation or the acquisition of a  
66 suppressor mutation [18,19,22]. This presents challenges to their study and so targeted deletion of genes  
67 within the *hem* or *men* operons, which confer a phenotype that is identical to that of clinical SCVs, has been  
68 used to enable their study without the problem of reversion to the wild-type [23-26]. SCVs can also arise in  
69 the absence of mutation, resulting in a very unstable phenotype, although the molecular basis for this is  
70 unknown [27]. The emergence of SCVs is a rare but consistent consequence of *S. aureus* replication, which

71 generates a small sub-population of the variants [22]. However, SCV emergence is significantly increased in  
72 response to diverse environmental stresses including antibiotics, reactive oxygen species, low pH within  
73 host cell vacuoles and exoproducts from *Pseudomonas*, which frequently causes co-infections with *S.*  
74 *aureus* [26-33].

75 Despite their diverse molecular basis, most SCVs have similar phenotypic characteristics. For  
76 example, activity of the Agr quorum-sensing system is weak or absent, and therefore cytolytic toxin  
77 production is negligible whilst surface proteins are strongly expressed [25,34-36]. These properties enable  
78 SCVs to persist in non-immune host cells and form robust biofilms, which has been hypothesised to  
79 contribute to their ability to persist in host tissues [27,37-39]. Furthermore, SCVs are typically resistant to  
80 antibiotics including the aminoglycosides, sulphonamides or fusidic acid and are often less susceptible to  
81 other antibiotics compared to wild-type bacteria [40-44].

82 Whilst these phenotypic properties very likely contribute to staphylococcal persistence in the host,  
83 the ability of SCVs to resist phagocytic cells, the key host defence against *S. aureus*, is poorly understood.  
84 Respiration-defective SCVs are resistant to the ROS H<sub>2</sub>O<sub>2</sub> and suppression of respiration by the  
85 *Pseudomonas* exoproduct HQNO confers ROS resistance upon wild-type bacteria [26]. However, SCV *S.*  
86 *aureus* lacks several defences used by wild-type bacteria to protect against immune cells [26]. For example,  
87 staphyloxanthin pigment, which promotes wild-type survival of both the oxidative burst and antimicrobial  
88 peptides, is absent in SCVs [15,18,45-47]. Furthermore, wild-type bacteria secrete numerous cytolytic  
89 toxins that kill neutrophils and enable bacterial survival, but this is absent in SCVs [15,18,25,34]. SCVs also  
90 exhibit reduced coagulase activity and some isolates lack catalase, both of which have been linked to  
91 survival of wild-type bacteria in the host [15,18,26,48-50]. Therefore, the effect of a defective electron  
92 transport chain on the susceptibility of SCV *S. aureus* to the oxidative burst of neutrophils is unclear.

93 *Enterococcus faecalis*, another major cause of bloodstream infections, shares some of the  
94 phenotypic properties of *S. aureus* SCVs since it is naturally defective for heme production and therefore  
95 lacks a functional electron-transport chain [51-53]. However, *E. faecalis* encodes type *a* and *b* cytochromes,  
96 and the presence of exogenous heme promotes *E. faecalis* growth in air, confirming the presence of an  
97 otherwise intact respiratory chain [51-53]. Exogenous heme also restores catalase activity, which has been  
98 shown to promote H<sub>2</sub>O<sub>2</sub> resistance [54-55]. As such, it is unclear whether *E. faecalis* gains an advantage  
99 from being defective for heme biosynthesis, particularly with respect to host defences that generate  
100 reactive oxygen species such as neutrophils.

101 Therefore, the aim of this work was to determine how the absence of the electron transport chain  
102 affects the survival of *S. aureus* and *E. faecalis* exposed to the oxidative burst of neutrophils.

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**108 Methods**

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**110 Bacterial strains and culture conditions**

111 The bacterial strains used in this study are detailed in table 1. Staphylococci were grown in tryptic soy broth  
112 (TSB) at 37 °C with shaking (180 RPM) for 18 h to late stationary phase. Enterococci were grown in Todd-  
113 Hewitt broth supplemented with 0.5% yeast extract (THY) at 37 °C with shaking (180 RPM) for 18 h to late  
114 stationary phase. For assays involving human blood, bacteria were plated onto Columbia blood agar (CBA)  
115 or THY supplemented with 5% sterile defibrinated sheep's blood to neutralise any remaining oxidants from  
116 the assay. For some experiments iron (and other cations) was removed from TSB (100 ml) by incubation  
117 with Chelex resin (6 g) for 16 h at 4 °C with stirring. The following individual metals were then replaced:  
118 ZnCl<sub>2</sub> (25 µM), CaCl<sub>2</sub> (1 mM), MgCl<sub>2</sub> (1 mM), MnCl<sub>2</sub> (25 µM). Iron was added in the form of FeCl<sub>3</sub> (1 or 10  
119 µM) or heme (10 µM, >97% purity, Sigma).

120

**121 Genetic manipulation of *S. aureus***

122 The construction of  $\Delta menD$ ,  $\Delta hemB$   $\Delta agrA$ ,  $\Delta agrC$  and  $\Delta RNAIII$  mutants was achieved using pIMAY as  
123 described previously [25,26,56]. To construct the double  $\Delta hemB\Delta agr$  mutants, the three *agr* mutants  
124 ( $\Delta agrA$ ,  $\Delta agrC$  and  $\Delta RNAIII$ ) were made electrocompetent and the *hemB* gene deleted using pIMAY as  
125 described previously [25].

126 Mutants lacking  $\Delta hemB$  or  $\Delta menD$  were complemented with pCL55 containing the relevant gene  
127 under the control of the *hem* or *men* operon promoters respectively [26]. To control for pleiotropic effects  
128 of plasmid insertion into *geh*, pCL55 alone was transformed into *hemB* and *menD* mutant strains. The  
129  $\Delta agrC$  mutant was complemented with pCN34 containing a copy of the *agrC* gene under the control of the  
130 *agr* P3 promoter, and pCN34 alone (pEmpty) was used to control for pleiotropic effects of the plasmid. In  
131 addition to wild-type *agrC*, plasmids containing mutated forms of *agrC* which confer a constitutively active  
132 phenotype were also transformed into the  $\Delta agrC$  mutant strain [57].

133

**134 Whole human blood survival assay**

135 The survival of bacteria in whole human blood was done as described previously [58]. Ethical approval for  
136 drawing and using human blood was obtained from the Regional Ethics committee and Imperial NHS trust  
137 tissue bank (REC Wales approval: 12/WA/0196, ICHTB HTA licence: 12275). Blood was drawn from healthy  
138 human donors into tubes containing EDTA and used immediately in assays based on a previously described  
139 protocol [4]. Suspensions of bacteria (10<sup>5</sup> CFU in 10 µl PBS) were mixed with blood (90 µl) and incubated for  
140 up to 6 h at 37 °C with mixing. At indicated time points aliquots were taken, diluted serially in PBS and  
141 plated onto CBA plates to enumerate CFU. In some assays blood was pre-treated (10 min) with  
142 Diphenyleneiodonium (DPI) or an identical volume of DMSO alone to control for solvent effects [4].

143

**144 Neutrophil survival assay**

145 Blood (20 ml) freshly collected in EDTA-treated tubes was layered over 20 ml room temperature Polymorph  
146 prep (Alere Limited). Cells were separated by centrifugation (500 x g, 45 min, brake off) until a clear  
147 separation of blood, peripheral blood mononuclear cells (PBMCs) and polymorphonuclear leukocytes  
148 (PMNs) was seen. The PBMCs were discarded and the PMNs were transferred to a fresh 50 ml  
149 polypropylene tube. Then, 50 ml of Hanks' Balanced Salt Solution (HBSS) without calcium or magnesium  
150 was added to the PMNs and cells were pelleted (400 x g, 10 minutes, brake off). The supernatant was  
151 removed and the PMNs were resuspended in 5 ml of red blood cell lysing buffer (eBioscience) before  
152 incubation at 37 °C for 5 minutes. Next, 50 ml of HBSS (without calcium or magnesium) was added to the  
153 PMNs and cells were pelleted again (400 x g, 10 minutes, brake off). The PMNs were adjusted to  $1 \times 10^7$   
154 cells ml<sup>-1</sup> in HBSS supplemented with calcium and magnesium (1 mM) and 10% human serum. Stationary-  
155 phase bacteria were washed twice in PBS and 10<sup>6</sup> CFU were added to 1 ml of the neutrophil suspension  
156 (MOI 1:10). The bacterial and neutrophil suspension was then incubated at 37 °C with tumbling. At each  
157 time point, 50 µl of the suspension was transferred to a 96 well plate and serially diluted in PBS to enable  
158 enumeration of CFU on CBA plates after 48 h incubation at 37 °C. Survival was calculated as a percentage of  
159 the number of bacteria in the inoculum.

160

**161 Measurement of bacterial growth**

162 Stationary-phase bacteria were diluted 1:50 into a final volume of 200 µl TSB in microtitre plates (Corning)  
163 before incubation at 37 °C with shaking (500 RPM) in a POLARstar Omega multiwell plate reader. Bacterial  
164 growth was measured using OD<sub>600</sub> measurements every 30 min for a total of 17 h [57].

165

**166 Hemolysin production**

167 The hemolytic activity of bacterial culture supernatants was determined as described previously [25].  
168 Briefly, culture supernatants were recovered by centrifugation (13,000 X g, 10 min) of stationary-phase  
169 cultures. The supernatant was then diluted in 2-fold steps using fresh TSB. Aliquots from each dilution (100  
170 µl) were mixed with an equal volume of 2 % sheep blood suspension in PBS and incubated at 37 °C for 1 h  
171 in a static incubator. Subsequently, unlysed blood cells were removed by centrifugation and the  
172 supernatant containing lysed erythrocytes transferred to a new microtitre plate. The degree of erythrocyte  
173 lysis was quantified by measuring the absorbance of the supernatant at A<sub>450</sub> and reference to controls.  
174 Erythrocytes incubated with TSB alone or TSB containing 1 % TX-100 served as negative and positive  
175 controls respectively.

176

**177 Whole blood hemolysis assay**

178 To determine whether the presence of bacteria in whole blood resulted in hemolysis, human blood was  
179 incubated with *S. aureus* strains for 6 h at 37 °C as described above for survival assays. The serum was then  
180 recovered by centrifugation of blood at 1000 × *g* for 5 min and the presence of heme detected by  
181 measuring A<sub>450</sub> as described above for hemolysin production assays. Blood lysed with 1% TX-100 acted as a  
182 positive control, whilst blood incubated without bacteria served as a negative control.

183

#### 184 **Measurement of phagocytosis and immune cell viability**

185 Phagocytosis of bacteria in whole human blood was determined using a protocol based on that described  
186 previously [59]. Stationary-phase bacteria (1 ml) were pelleted (17,000 × *g*, 3 min) and washed twice with  
187 PBS. The pellet was then resuspended in 200 µl of 1.5 mM Fluorescein isothiocyanate (FITC) dissolved in  
188 freshly prepared carbonate buffer (0.05 M NaCO<sub>3</sub> and 0.1 M NaCl). Bacteria were then incubated for 60 min  
189 (room-temperature with tumbling) in the dark. FITC-labelled bacteria were then washed three times in  
190 carbonate buffer and adjusted to 1 × 10<sup>6</sup> CFU ml<sup>-1</sup> in PBS. FITC-labelled bacteria (10 µl, 1 × 10<sup>4</sup> CFU) were  
191 added to 96-well plates prior to the addition of 90 µl of freshly isolated blood, as described for the whole  
192 blood killing assay. At each time point (0, 2, 4 and 6 h), the blood/bacteria mixture (100 µl) was added to  
193 900 µl red blood cell lysis solution (eBioscience) and incubated at room temperature in the dark for 10 min.  
194 Samples were then centrifuged (500 × *g*, 10 min) and the resulting pellet washed once in PBS (1 ml) before  
195 a final centrifugation step (500 × *g*, 10 min) and then the pellet containing immune cells and bacteria was  
196 resuspended in 100 µl PBS or 1% paraformaldehyde (PFA; Affymetrix) if no further staining was required.  
197 Where samples were to be analysed for host cell death, samples were incubated in PBS containing the  
198 Zombie Violet live-dead dye (Biolegend) at a 1:500 dilution in the dark. Free primary amine groups were  
199 quenched using 1.4 ml 1% bovine serum albumin (BSA) and samples were centrifuged (500 × *g*, 10 min)  
200 before resuspension in 100 µl 1% PFA. Positive controls were generated by heat-killing host cells (100 °C, 10  
201 min) prior to Zombie staining. Samples were then fixed overnight (12-16 h) in 1% paraformaldehyde at 4 °C.  
202 Immune cell/bacteria samples were analysed on a Fortessa flow cytometer (BD) and at least 10,000 events  
203 were captured. Green (FITC-bacteria) and violet (Zombie-labelled host cells) fluorescence were detected at  
204 488/530 (30) nm and 404/450 nm, respectively. Based on preliminary analyses and using the methodology  
205 of Surewaard *et al.* (2013) [60], free bacteria (i.e. bacteria not phagocytosed) were identified as events with  
206 a side scatter of < 50K. By contrast, host cells were identified as events with a side scatter of > 50K. Samples  
207 were analysed alongside controls, which consisted of bacteria without FITC labelling, host cells with or  
208 without Zombie stain, uninfected host cells and heat-killed host cells as appropriate. Data were analysed  
209 using FlowJo software (Version 10). Compensation was not necessary as the spectra of the fluorescent  
210 signals did not overlap.

211

#### 212 **Catalase assay**

213 Catalase activity of bacterial cells was determined as described previously [26]. Overnight bacterial cultures  
214 (1 ml) were washed three times in PBS and  $10^7$  CFU added to 100  $\mu$ M H<sub>2</sub>O<sub>2</sub> in PBS (1 ml). Bacteria were  
215 incubated in the H<sub>2</sub>O<sub>2</sub> in the dark at 37 °C. At the start of the assay and every 15 min, 200  $\mu$ l of sample was  
216 pelleted (17,000 x g) and 20  $\mu$ l added to a 96 well microtitre plate. The concentration of remaining H<sub>2</sub>O<sub>2</sub> was  
217 determined using a Pierce Quantitative Peroxide Assay (Aqueous Compatible) kit.

218

## 219 Results

### 220 The loss of the electron transport chain promotes survival of *S. aureus* in human blood

221 To study the susceptibility of electron transport chain-deficient SCVs to the oxidative burst, we employed  
222 the well-established *ex vivo* whole human blood model of infection. This model is appropriate because *S.*  
223 *aureus* is a major cause of bacteraemia and blood contains a high density of neutrophils, as well as the  
224 required opsonins and other relevant immune factors such as platelets [4,61,62]. In this model system, *S.*  
225 *aureus* is rapidly phagocytosed by neutrophils and exposed to the oxidative burst [4,61,62].

226 Freshly-drawn human blood containing anti-coagulant (EDTA) was incubated with wild-type *S.*  
227 *aureus* USA300, or mutants with deletions of *hemB* or *menD*, and survival determined over time by CFU  
228 counts. Preliminary experiments determined that individual donors had slightly different anti-  
229 staphylococcal activity and so at least 3 different donors were used for each experiment (Fig. 1A). However,  
230 for each of the 5 donors we observed a consistent decrease in CFU counts of wild-type bacteria over time  
231 with just 1-5% of the inoculum surviving after 6 h (Fig. 1A). By contrast, SCVs defective for heme- or  
232 menaquinone-biosynthesis survived at much higher levels than the wild type over the entire duration of  
233 the assay with 70% of the  $\Delta$ *hemB* mutant inoculum and 69% of  $\Delta$ *menD* viable after 6 h incubation in blood  
234 (Fig. 1B,C). To ensure that the presence of EDTA did not affect bacterial viability, each of the strains  
235 described above were incubated in PBS containing an identical concentration of the cation chelator for 6 h  
236 and viability determined. In each case, bacterial viability was unchanged by the presence of EDTA (data not  
237 shown).

238 Complementation of the *hemB* or *menD* mutations conferring the SCV phenotype restored the  
239 wild-type phenotype for growth and staphyloxanthin production, and resulted in significantly decreased  
240 survival in blood (Fig. 1B,C,D,E,F). This confirmed that enhanced SCV survival in blood was due to the loss of  
241 heme or menaquinone biosynthesis, rather than the acquisition of adventitious mutations during genetic  
242 manipulation. Therefore, despite the lack of staphyloxanthin pigment and cytolysin production, loss of the  
243 electron transport chain confers a survival advantage to *S. aureus* in blood.

244

### 245 Wild-type *S. aureus* is more sensitive to the oxidative burst than SCVs

246 Having demonstrated that survival of SCVs in blood is greater than that of the wild-type, we sought to  
247 understand why. Firstly, to confirm that the survival of SCVs in whole blood was due to resistance to killing  
248 by neutrophils, each of the staphylococcal strains were incubated with PMNs purified from blood. As for

249 whole human blood, the survival of wild-type *S. aureus* (9%) was lower than that of the  $\Delta hemB$  mutant  
250 (36%) and the  $\Delta menD$  mutant (46%) after 3 h incubation (Fig. 2A). Assays could not be extended beyond  
251 this point due to extensive formation of neutrophil extracellular traps that made accurate CFU  
252 determination difficult.

253 Although *S. aureus* encodes several immune evasins, several previous studies have shown rapid  
254 phagocytic uptake of the bacterium by polymorphonuclear leukocytes (PMNs) [4,61,62]. We confirmed  
255 those findings and found no differences in the phagocytosis of wild-type,  $\Delta hemB$  or  $\Delta menD$  mutants in  
256 whole blood (Fig. 2B). We also demonstrated that the viability of neutrophils that phagocytosed *S. aureus*  
257 did not vary between wild-type and SCVs (Fig. 2C). Therefore, both immune evasion and killing of immune  
258 cells by SCVs were ruled out as an explanation for their ability to survive in human blood.

259 The principle mechanism by which neutrophils kill *S. aureus* is the oxidative burst [4-6]. To confirm  
260 that this was the case in our model system we measured bacterial viability in human blood treated with  
261 diphenyleneiodonium (DPI), which blocks the oxidative burst, or the DMSO solvent alone. Suppression of  
262 NADPH with DPI, but not DMSO alone, resulted in significantly elevated survival of wild-type *S. aureus*,  
263 confirming that the oxidative burst is the key defence against *S. aureus* in human blood (Fig. 2D) [4-6]. The  
264 addition of DPI to blood did not significantly alter SCV CFU counts, since survival was already very high (Fig.  
265 2C). Therefore, SCV *S. aureus* appears to be significantly less susceptible to the oxidative burst than wild-  
266 type bacteria. This is in agreement with our previously reported finding that both the  $\Delta hemB$  and  $\Delta menD$   
267 SCVs were more resistant to H<sub>2</sub>O<sub>2</sub> than wild-type bacteria, and provides an explanation for the increased  
268 survival of SCVs in blood [26].

#### 270 **Agr activity promotes the survival of wild-type but not SCV *S. aureus* in blood**

271 Although Agr-regulated toxins have been shown to kill neutrophils, several clinical studies have shown an  
272 association of Agr dysfunction with persistent bacteremia [63]. Therefore, we considered the possibility  
273 that the weak Agr activity of SCVs contributed to their survival in blood.

274 To test this, we compared the survival of wild-type and Agr-defective strains in whole human blood.  
275 Previous work has shown that these USA300  $\Delta agrA$  and  $\Delta agrC$  mutants are completely defective for  
276 hemolysin production, whilst the  $\Delta RNAIII$  mutant retains a low level of haemolytic activity due to the  
277 production of Phenol soluble modulins [60,64]. Incubation of *agr* mutants in blood revealed a significantly  
278 greater loss of viability of Agr-defective strains compared with the wild-type (Fig. 3A). In particular, mutants  
279 lacking quorum-sensing components of Agr ( $\Delta agrA$  or  $\Delta agrC$ ) were approximately 4-fold more susceptible  
280 to immune cells in blood than the wild-type, whilst the RNAIII mutant was 2-fold more susceptible than the  
281 wild-type (Fig. 3A). This finding is in keeping with previous work that showed that AgrA-regulated PSMs  
282 contribute to survival of *S. aureus* within the phagocytic vacuole of neutrophils, in addition to RNAIII-  
283 regulated toxins [60].



284 Complementation of the  $\Delta agrC$  mutant with a wild-type copy of the gene increased survival in  
285 blood (Fig. 3B). However, complementation of  $\Delta agrC$  with mutant copies of  $agrC$  which confer constitutive  
286 Agr activity, even in the presence of serum [57], did not promote bacterial survival above that of the wild-  
287 type gene (Fig. 3B).

288 Although Agr activity is extremely weak in SCVs, we explored whether this contributed to their  
289 survival by generating  $\Delta hemB$  mutants defective for  $agrA$ ,  $agrC$  or RNAIII, and measuring their survival in  
290 blood (Fig. 3C). This revealed that survival of each of the  $\Delta hemB\Delta agr$ -mutants was as high as for the  $\Delta hemB$   
291 mutant with an intact  $agr$  operon. Therefore, whilst loss of Agr activity in the wild-type reduces survival in  
292 human blood, the lack of Agr activity in SCVs is not detrimental for their survival. This indicates that toxin  
293 production is an important mechanism by which wild-type *S. aureus* survives phagocytosis. By contrast,  
294 since SCVs can survive the oxidative burst they do not need toxins to survive phagocytosis.

295

#### 296 **Restoration of the electron transport chain with heme results in decreased survival of SCVs in blood**

297 During infection, *S. aureus* acquires iron from the host, predominantly via the acquisition of heme liberated  
298 from erythrocytes via hemolytic toxins [65]. In addition to acting as an iron source, heme can also be  
299 utilised by heme-auxotrophic SCVs to restore the electron transport chain [18,26]. To determine how heme  
300 influenced the phenotype of heme- and menquinone-defective SCVs, and their susceptibility to the  
301 oxidative burst, we grew wild-type or SCV *S. aureus* in media deficient for heme and containing minimal  
302 free iron (1  $\mu$ M FeCl<sub>3</sub>), abundant iron (10  $\mu$ M FeCl<sub>3</sub>), or in the presence of heme (10  $\mu$ M).

303 The growth rate of wild-type *S. aureus* was not significantly affected by the presence of the higher  
304 concentration of FeCl<sub>3</sub> or heme, although the latter led to a slight increase in the length of the lag phase  
305 (Fig. 4A). Similarly, abundant iron did not affect growth of the  $\Delta menD$  SCV, but heme caused slight growth  
306 retardation (Fig. 4A). By contrast, abundant iron slightly promoted the growth rate of the  $\Delta hemB$  SCV,  
307 whilst heme enhanced the growth almost to wild-type levels (Fig. 4A). In addition to the growth rate, heme  
308 supplementation restored hemolytic activity and pigmentation to the  $\Delta hemB$  mutant (Fig. 4B). However,  
309 heme supplementation of the  $\Delta hemB$  mutant also resulted in significantly increased susceptibility to the  
310 oxidative burst of neutrophils in blood (Fig. 4C), which is in keeping with our previous finding that heme  
311 supplementation renders heme-auxotrophic SCVs sensitive to H<sub>2</sub>O<sub>2</sub> [26]. By contrast, supplementation of  
312 the medium with iron had no effect on susceptibility of the  $\Delta hemB$  mutant to the oxidative burst or H<sub>2</sub>O<sub>2</sub>  
313 (Fig. 4C). This is in agreement with previous work showing that iron-loading of *S. aureus* does not alter  
314 susceptibility to the oxidative burst of neutrophils [66,67].

315 To ensure that experiments in whole human blood were not confounded by the presence of free  
316 heme from lysed erythrocytes, we examined serum recovered from blood incubated with bacteria as  
317 described for survival assays. We were unable to detect hemolysis in blood incubated with either the wild-  
318 type or SCVs. Although the wild-type is haemolytic, the suppression of Agr activity by serum likely explains  
319 why we failed to detect hemolysis in whole human blood assays [57,68].

320 By contrast to the  $\Delta hemB$  mutant, the susceptibility of both the wild-type and  $\Delta menD$  mutant to  
321 the oxidative burst was unchanged by growth in the presence of heme. Therefore, at the concentration  
322 used (10  $\mu\text{M}$ ), heme does not directly sensitise *S. aureus* to the oxidative burst. Rather, it appeared that the  
323 restoration of the electron-transport chain in the  $\Delta hemB$  mutant that confers sensitivity to the oxidative  
324 burst. To confirm this, we restored the electron-transport chain in the  $\Delta menD$  mutant by supplementing  
325 the growth medium with menadione (1  $\mu\text{g ml}^{-1}$ ), which resulted in a drop in survival of the SCV from  
326  $86\pm 10\%$  to just  $4\pm 3\%$ .

327

### 328 **The absence of an electron-transport chain enables survival of *Enterococcus faecalis* in human blood.**

329 The elevated survival of the *S. aureus*  $\Delta hemB$  mutant, relative to wild-type, led us to consider whether a  
330 similar phenomenon occurred with *Enterococcus faecalis*, which despite producing cytochromes lacks a  
331 functional electron transport chain due to an inability to synthesise heme [51-53]. However, *E. faecalis*  
332 employs heme uptake systems to scavenge heme from the environment and therefore supplementation of  
333 the culture medium with heme results in increased growth under aerobic conditions. We confirmed this in  
334 two different *E. faecalis* strains (Fig. 5A,B), which grew to a higher optical density in the presence of heme.  
335 In addition, *E. faecalis* grown in the presence of heme produce a functional catalase, which we observed in  
336 both of the strains examined (Fig. 5C,D). However, as observed for the  $\Delta hemB$  SCV, growth of *E. faecalis* in  
337 the presence of heme led to significantly diminished survival in human blood by increasing sensitivity to the  
338 oxidative burst (Fig. 5E,F). Therefore, as for SCV *S. aureus*, the absence of the electron-transport chain in *E.*  
339 *faecalis* promotes survival in the bloodstream by reducing sensitivity to oxidative stress generated by host  
340 immune cells.

341

### 342 **Discussion**

343 During infection *S. aureus* faces two major threats: host defences and antibiotic therapy. Previous work has  
344 shown that SCVs of *S. aureus* are less susceptible to antibiotics than wild-type bacteria. Our data  
345 demonstrate that the SCV *S. aureus* is also less susceptible to host immune defences. These data fit with a  
346 previous study that revealed that SCVs are less sensitive than wild-type to host-derived antimicrobial  
347 peptides [69]. However, the resistance of SCVs to both the oxidative burst and AMPs is surprising given the  
348 lack of staphyloxanthin pigment, which contributes to resistance of wild-type *S. aureus* to both ROS and  
349 AMPs [4,70].

350 We do not currently understand the molecular basis of ROS resistance in SCVs. However, the  
351 damaging effects of ROS are proposed to occur via the Fenton reaction, which involves the reaction of  $\text{H}_2\text{O}_2$   
352 with free iron leading to the generation of highly-reactive hydroxyl radicals [71,72]. The lack of an electron-  
353 transport chain, together with the associated decreased TCA activity (which utilises iron-containing  
354 enzymes such as aconitase) in SCVs is therefore hypothesised to result in decreased iron content relative to  
355 wild-type bacteria. Furthermore, there is evidence that the electron transport chain generates superoxide

356 radicals that liberate iron from iron-sulphur clusters, making it available for the Fenton reaction [73].  
357 However, the role of iron in susceptibility to the oxidative burst is far from clear since previous work  
358 revealed that iron-loading *S. aureus* resulted in increased susceptibility to H<sub>2</sub>O<sub>2</sub> but not killing by  
359 neutrophils [66,67].

360 What is clear, is that the ability of *S. aureus* SCVs to survive the oxidative burst comes at a cost. The  
361 electron-transport chain enables aerobic respiration, rapid bacterial growth and toxin production. These  
362 toxins include hemolysins that enable *S. aureus* to access heme, the bacterium's primary source of iron  
363 during infection [65]. Therefore, the absence of hemolysin production by the  $\Delta$ *hemB* mutant enables  
364 maintenance of the SCV phenotype in the presence of red blood cells. The menaquinone-defective SCV  
365 cannot restore the wild-type phenotype using host-derived materials and therefore maintains its  
366 phenotype regardless of hemolysin production.

367 *E. faecalis* lacks the necessary biosynthetic machinery to synthesise heme making it a heme  
368 auxotroph [51-53]. However, some strains secrete a cytolysin with hemolytic activity that provides a  
369 mechanism of heme acquisition [74,75]. The liberation of heme from erythrocytes would be expected to  
370 promote growth and restore catalase activity, but would also increase susceptibility to host defences. The  
371 maintenance of cytochromes and catalase that are restored by exogenous heme suggests that heme  
372 acquisition is a consistent and beneficial event during colonisation and/or infection. What is not clear  
373 however, is when and where heme acquisition occurs. For example, isolates recovered from patients with  
374 infective endocarditis, an infection of the heart valves that persists despite a robust immune response, are  
375 typically defective for hemolysin production [74,75]. This may indicate that hemolysin production, and thus  
376 heme acquisition, is undesirable at this site. By contrast, 30-40% of *E. faecalis* isolates carried in the gut or  
377 isolated from urinary-tract infections are hemolytic [75]. However, further work is needed to understand  
378 the basis for this observation and whether heme-mediated susceptibility to the oxidative burst plays a role.

379 Previous work reported that heme supplementation enabled *E. faecalis* to survive H<sub>2</sub>O<sub>2</sub> challenge  
380 by restoring catalase activity [54-55]. However, whilst we also observed restoration of catalase activity in *E.*  
381 *faecalis* supplied with heme, this did not correlate with increased resistance to the oxidative burst.

382 In summary, SCV *S. aureus* sacrifices fast growth and toxin production for enhanced resistance to  
383 host defences and antibiotics. This dramatic change in phenotype may enable the transition from highly-  
384 damaging, acute infection to a less pathogenic but persistent infection type. Our data indicate that the lack  
385 of heme production in *E. faecalis* also promotes survival in human blood, suggesting a common survival  
386 mechanism between these two pathogens.

387

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#### 615 **Figure legends**

616

617 **Figure 1. Survival of SCV *S. aureus* in blood is greater than that of wild-type bacteria.** (A) Survival of wild-  
618 type *S. aureus* USA300 in blood from individual donors. Data represent the mean survival from 3  
619 independent experiments from each donor. (B, C) Survival of wild-type *S. aureus* USA300 and  $\Delta hemB$  (B) or  
620  $\Delta menD$  (C), and complemented strains, in human blood. Data represent the mean of 4 independent  
621 experiments using blood from at least 3 different donors. (D) Images of pelleted stationary-phase *S. aureus*  
622 strains highlighting differences in pigmentation. Images are representative of 3 independent assays. (E, F)  
623 Growth profiles of *S. aureus* wild-type and  $\Delta hemB$  (E) or  $\Delta menD$  (F), and complemented strains. Data  
624 represent the mean of 3 independent experiments. Where shown, error bars represent the standard  
625 deviation of the mean. Data in B and C were analysed by 2-way repeated measures ANOVA and Sidak's  
626 post-hoc test. \*represents  $p = <0.01$  compared with wild-type. Since data points overlap in (E) and (F) error  
627 bars were omitted for clarity but standard deviations were within 5% of the mean.

628

629 **Figure 2. SCVs survive the oxidative burst better than wild-type *S. aureus*.** (A) Survival of wild-type *S.*  
630 *aureus* USA300 (WT),  $\Delta hemB$  or  $\Delta menD$  in the presence of PMNs purified from human blood. (B) The  
631 percentage of *S. aureus* wild-type,  $\Delta hemB$ , or  $\Delta menD$  *S. aureus* USA300 internalised into phagocytic cells 2  
632 h after inoculation into whole human blood. (C) The percentage of phagocytic cells that contained *S. aureus*  
633 strains, and had impaired membrane integrity, as determined using the Zombie Violet reagent after 6 h in  
634 whole human blood. (D) Survival of *S. aureus* wild-type,  $\Delta hemB$ , or  $\Delta menD$  *S. aureus* USA300 after 6 h in  
635 blood pre-treated with the NADPH oxidase inhibitor diphenyleneiodonium (DPI) or an identical volume of  
636 DMSO solvent alone (DMSO).

636

637 In all cases, data represent the mean of 4 independent experiments using blood from at least 3 different  
638 donors. Data in (A) were analysed by 2-way repeated measures ANOVA and Sidak's post-hoc test.  
639 \*represents  $p = < 0.01$  compared with wild-type. For (B), (C) and (D) data were analysed via a one-way  
640 ANOVA with Tukey's post hoc test. This revealed no significant differences between values in (A) or (B). In  
641 (C), \* indicates  $p = < 0.01$ , NS indicates  $p = > 0.05$  when the indicated comparisons were made.

642

643 **Figure 3. Survival of wild-type but not SCV *S. aureus* is enhanced by Agr.** (A) Survival of wild-type (WT),  
644  $\Delta agrA$ ,  $\Delta agrC$  or  $\Delta RNAIII$  *S. aureus* USA300 in whole human blood over 6 h. (B) Survival of *S. aureus* USA300  
645  $\Delta agrC$  mutant transformed with pCL55 (CTL), pCL55 containing the wild-type *agrC* gene (WT), or 3 mutated  
646 variants of *agrC* that result in Q305H, M234L or R238H substitutions conferring a constitutively-active  
647 phenotype. (C) Survival of wild-type (WT),  $\Delta hemB$ ,  $\Delta hemB\Delta agrA$ ,  $\Delta hemB\Delta agrC$  or  $\Delta hemB\Delta RNAIII$  *S. aureus*  
648 USA300 in whole human blood over 6 h. For all panels, data represent the mean of 4 independent  
649 experiments using blood from at least 3 different donors. Data were analysed by 2-way repeated measures  
650 ANOVA with Dunnett's post-hoc test to compare strains to WT (A), CTL (B) or to  $\Delta hemB$  (C). \* indicates  $p = <$   
651  $0.01$ . In panel A, all mutants were significantly more susceptible to immune defences than the wild-type at  
652 4 and 6 h. In panel B, all strains expressing *agrC* (wild-type or mutated) survived better than the  $\Delta agrC$   
653 mutant at the 4 and 6 h time points. In panel C, all  $\Delta hemB$  mutants (+/- *agr*) survived equally well and  
654 significantly better than the wild-type.

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656 **Figure 4. Heme promotes growth and virulence factor production of the  $\Delta hemB$  mutant but decreases**  
657 **survival in blood.** (A) growth profiles (as determined by  $OD_{600}$  readings) of WT,  $\Delta hemB$  and  $\Delta menD$  in  
658 metal-adjusted TSB containing iron in the form of 1 or 10  $\mu M$   $FeCl_3$  or 10  $\mu M$  heme. Please note that the  
659 open triangles are largely obscured by the filled triangles. (B) the graph shows hemolytic activity of WT,  
660  $\Delta hemB$  and  $\Delta menD$  grown in the presence of 1 or 10  $\mu M$   $FeCl_3$  or 10  $\mu M$  heme. The panel illustrates the  
661 pigmentation of the  $\Delta hemB$  mutant grown in the absence or presence of 10  $\mu M$  heme. WT is shown for  
662 comparison. There was no effect of heme on the pigmentation of the WT or  $\Delta menD$  strain. (C) Survival of  
663 WT,  $\Delta hemB$  and  $\Delta menD$ , grown in the presence of 1 or 10  $\mu M$   $FeCl_3$  or 10  $\mu M$  heme, after 6 h incubation in  
664 whole human blood. Data (B) and (C) were analysed via a one-way ANOVA with Tukey's post hoc test. For  
665 each strain, comparisons were made between 1  $\mu M$   $FeCl_3$  and 10  $\mu M$   $FeCl_3$  or 10  $\mu M$  heme. \* indicates  $p =$   
666  $< 0.01$ .

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668 **Figure 5. Heme promotes susceptibility of *E. faecalis* to host defences.** (A,B) growth profiles (as  
669 determined by  $OD_{600}$  readings) of *E. faecalis* JH2-2 (A) or OG1X (B) grown in the absence or presence of 10  
670  $\mu M$  heme. (C,D) Catalase activity (expressed as mM  $H_2O_2$  degraded in 1 hr by  $10^7$  CFU) of *E. faecalis* JH2-2  
671 (C) or OG1X (D) grown in the absence or presence of 10  $\mu M$  heme. (E,F) Survival of *E. faecalis* JH2-2 (E) or  
672 OG1X (F) after 6 h in blood pre-treated with DPI or an identical volume of DMSO solvent alone. In each

673 case, data represent the mean of 4 experiments in duplicate. For (E) and (F) three different blood donors  
 674 were used. Error bars represent the standard deviation of the mean. Data were analysed by one-way  
 675 ANOVA with Tukey's post hoc test, which revealed no significant differences ( $p = < 0.01$ ) in (A) and (B)  
 676 between bacteria grown in the absence or presence of heme. In (E) and (F), \* indicates  $p = < 0.01$ , NS  
 677 indicates  $p = > 0.05$  when the indicated comparisons were made.

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686 **Table 1. Bacterial strains and plasmids used in this study.**

Bacterial strain	Relevant characteristics	Source/ reference
<b><i>S. aureus</i></b>		
USA300 LAC	LAC strain of the USA300 CA-MRSA lineage	
USA300 $\Delta hemB$	USA300 in which <i>hemB</i> has been deleted. Heme-auxotroph, SCV phenotype.	25
USA300 $\Delta hemB$ <i>geh</i> ::pCL55	USA300 <i>hemB</i> mutant with pCL55 integrated into the <i>geh</i> locus. Heme-auxotroph, SCV phenotype.	26
USA300 $\Delta hemB$ <i>geh</i> :: <i>phemB</i>	USA300 <i>hemB</i> mutant with <i>phemB</i> integrated into the <i>geh</i> locus, restoring wild-type phenotype	26
USA300 $\Delta hemB$ $\Delta agrA$	USA300 in which <i>hemB</i> and <i>agrA</i> have been deleted. Agr-defective, SCV phenotype.	This study
USA300 $\Delta hemB$ $\Delta agrC$	USA300 in which <i>hemB</i> and <i>agrC</i> have been deleted. Agr-defective, SCV phenotype.	This study
USA300 $\Delta hemB$ $\Delta RNAIII$	USA300 in which <i>hemB</i> and RNAIII have been deleted. SCV phenotype and defective for most secreted cytolysins.	This study
USA300 $\Delta menD$	USA300 in which <i>menD</i> has been deleted. Menadione-auxotroph, SCV phenotype.	25
USA300 $\Delta menD$ <i>geh</i> ::pCL55	USA300 <i>menD</i> mutant with pCL55 integrated into the <i>geh</i> locus. Menadione-auxotroph, SCV phenotype.	26
USA300 $\Delta menD$ <i>geh</i> :: <i>pmenD</i>	USA300 <i>menD</i> mutant with <i>pmenD</i> integrated into the <i>geh</i> locus, restoring wild-type phenotype.	26
USA300 $\Delta agrA$	USA300 in which <i>agrA</i> has been deleted. Agr-defective phenotype.	64
USA300 $\Delta agrC$	USA300 in which <i>agrC</i> has been deleted. Agr-defective phenotype.	64
USA300 $\Delta agrC$ pCN34	USA300 in which <i>agrC</i> has been deleted, transformed with pCN34.	64
USA300 $\Delta agrC$ <i>pagrCWT</i>	USA300 $\Delta agrC$ transformed with <i>pagrCWT</i>	64
USA300 $\Delta agrC$ <i>pagrCM234L</i>	USA300 $\Delta agrC$ transformed with <i>pagrCM234L</i>	This study
USA300 $\Delta agrC$ <i>pagrCR238H</i>	USA300 $\Delta agrC$ transformed with <i>pagrCR238H</i>	This study
USA300 $\Delta agrC$ <i>pagrCQ305H</i>	USA300 $\Delta agrC$ transformed with <i>pagrCQ305H</i>	This study
<b><i>E. faecalis</i></b>		
JH2-2	Gelatinase-deficient.	77
OG1X	Gelatinase-deficient.	78

**Plasmids**

pEmpty	pCL55 <i>E. coli</i> - <i>S. aureus</i> shuttle vector that inserts as a single copy at the staphylococcal <i>geh</i> locus. Amp <sup>r</sup> Chl <sup>r</sup> .	78
<i>phemB</i>	pCL55 containing the <i>hemB</i> gene under the control of the <i>hem</i> operon promoter.	26
<i>pmenD</i>	pCL55 containing the <i>menD</i> gene under the control of the <i>men</i> operon promoter.	26
pCN34	<i>E. coli</i> - <i>S. aureus</i> shuttle vector Amp <sup>r</sup> Kan <sup>r</sup> .	
<i>pagrCWT</i>	pCN34 containing a wild-type copy of <i>agrC</i> under the control of the P3 promoter, restoring wild-type Agr phenotype.	57
<i>pagrCM234L</i>	pCN34 containing a mutated copy of <i>agrC</i> resulting in M234L substitution, under the control of the P3 promoter, conferring constitutive Agr phenotype.	57
<i>pagrCR238H</i>	pCN34 containing a mutated copy of <i>agrC</i> resulting in R238H substitution, under the control of the P3 promoter, conferring constitutive Agr phenotype.	57
<i>pagrCQ305H</i>	pCN34 containing a mutated copy of <i>agrC</i> resulting in Q305H substitution, under the control of the P3 promoter, conferring constitutive Agr phenotype.	57

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