

# The influence of primary $\text{Cu}_6\text{Sn}_5$ size on the shear impact properties of Sn-Cu/Cu BGA joints

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## Abstract

A method is presented to control the size of primary  $\text{Cu}_6\text{Sn}_5$  in ball grid array (BGA) joints while keeping all other microstructural features near-constant, enabling a direct study of the size of primary  $\text{Cu}_6\text{Sn}_5$  on impact properties. For Sn-2Cu/Cu BGA joints, it is shown that larger primary  $\text{Cu}_6\text{Sn}_5$  particles have a clear negative effect on the shear impact properties. Macroscopic fracture occurred by a combination of the brittle fracture of embedded primary  $\text{Cu}_6\text{Sn}_5$  rods and ductile fracture of the matrix  $\beta\text{Sn}$ . Cleavage of the  $\text{Cu}_6\text{Sn}_5$  rods occurred mostly along (0001) or perpendicular to (0001) with some crack deflection between the two. The deterioration of shear impact properties with increasing  $\text{Cu}_6\text{Sn}_5$  size is attributed to (i) the larger microcracks introduced by the brittle fracture of larger embedded  $\text{Cu}_6\text{Sn}_5$  crystals; and (ii) the less numerous and more widely spaced rods when  $\text{Cu}_6\text{Sn}_5$  are larger which makes them poor strengtheners.

**Keywords:** Reliability;  $\text{Cu}_6\text{Sn}_5$ ; Pb-free solder; Electron backscatter diffraction

## 1. Introduction

Most Pb-free solder joints on Cu substrates solidify to contain up to 2 volume percent of primary  $\text{Cu}_6\text{Sn}_5$  crystals in the bulk solder because of the combined influence of Cu substrate dissolution and the relatively large nucleation undercooling for  $\beta\text{Sn}$  [1-5]. For typical peak reflow temperatures and cooling rates, the primary  $\text{Cu}_6\text{Sn}_5$  grow into faceted hexagonal rods [1-3, 6-8], often with a hollow core [1, 2, 8-10], and can span almost the entire diameter of a ball grid array (BGA) solder joint [3, 8].

A large body of research has considered how the interfacial  $\text{Cu}_6\text{Sn}_5$  reaction layer [11-14] affects the performance of solder joints where it has been found that, if fracture occurs through the  $\text{Cu}_6\text{Sn}_5$  layer, the thickness influences the fracture toughness and generally the thicker the interfacial layer, the lower the fracture toughness [15-23]. However, much less research has explored the role of primary  $\text{Cu}_6\text{Sn}_5$  crystals on solder joint performance and has generally focused on the role of primary  $\text{Cu}_6\text{Sn}_5$  in tensile fracture. Tian et al. [24] showed that, during tensile loading, primary  $\text{Cu}_6\text{Sn}_5$  undergo brittle fracture and these internal cracks act as sources of macro-cracks in the solder joint. Kim et al. found that long  $\text{Cu}_6\text{Sn}_5$  rods have no apparent influence on the mechanical properties [25]. Quan et al. came to a similar conclusion for tensile behavior, however, they measured the highest strength from the joints containing these  $\text{Cu}_6\text{Sn}_5$  rods [18]. Frear et al. showed that the role of primary  $\text{Cu}_6\text{Sn}_5$  on the tensile properties depended on the temperature: at  $-196^\circ\text{C}$  decohesion

occurred between  $\text{Cu}_6\text{Sn}_5$  and the Sn matrix during deformation; At room temperature, fracture initiated at cleaved  $\text{Cu}_6\text{Sn}_5$  rods, while at  $125^\circ\text{C}$ , primary  $\text{Cu}_6\text{Sn}_5$  had little effect on tensile fracture [1].

Fundamental studies on the fracture of  $\text{Cu}_6\text{Sn}_5$  have found that cleavage occurs on several planes and strain bursts were reported in the stress-strain curve [26-28]. Yu et al. showed that the fracture strength of  $\text{Cu}_6\text{Sn}_5$  is higher along the c-axis than perpendicular to the c-axis [28]. This is in agreement with micrographs from crack patterns resulting from nanoindentation experiments. Nogita et al. showed that with increased indentation load, primary cracks formed perpendicular to the c-axis first and then secondary cracks were observed parallel to the c-axis of  $\text{Cu}_6\text{Sn}_5$  rods [29-31].

Despite these past studies, the role of  $\text{Cu}_6\text{Sn}_5$  in the bulk solder on the reliability of solder joints is much less clear than in the case of  $\text{Ag}_3\text{Sn}$ . For example, it has been shown that while small ( $<1 \mu\text{m}$ ) eutectic  $\text{Ag}_3\text{Sn}$  particles improve crack resistance, hinder dislocation slip and reduce  $\beta\text{Sn}$  grain boundary sliding [32-34], in contrast, primary  $\text{Ag}_3\text{Sn}$  usually form as large (100s of  $\mu\text{m}$ ) plates (blades) in the bulk solder [35-38] and have been shown to negatively influence reliability. As large  $\text{Ag}_3\text{Sn}$  IMCs are brittle, they often fracture within the soft  $\beta\text{Sn}$  matrix [25, 32, 33], providing an interfacial sliding path or decohesion from the  $\beta\text{Sn}$  [25, 32] and act as secondary crack initiation sites [25]. Additionally, it has been shown that crack propagation can occur along the interface between  $\beta\text{Sn}$  and an  $\text{Ag}_3\text{Sn}$  plate during thermal cycling [35].

While primary  $\text{Ag}_3\text{Sn}$  blades can be avoided in Sn-Ag-Cu/Cu solder joints by reducing the Ag content or suppressing the nucleation undercooling for  $\beta\text{Sn}$  [35, 39], primary  $\text{Cu}_6\text{Sn}_5$  generally cannot be avoided and will form for most solders on Cu substrates. This is because Cu substrate dissolution will bring the liquid to a hypereutectic composition (in the  $\text{Cu}_6\text{Sn}_5$  primary phase field) where primary  $\text{Cu}_6\text{Sn}_5$  forms irrespective of the initial composition or the nucleation undercooling for cooling rates typical of soldering [40].

It has been shown that the primary  $\text{Cu}_6\text{Sn}_5$  size can be reduced either by controlling the heterogeneous nucleation of  $\text{Cu}_6\text{Sn}_5$  (for example, by adding Al to introduce  $\text{Cu}_9\text{Al}_4$  or  $\text{Cu}_{33}\text{Al}_{17}$  which nucleates  $\text{Cu}_6\text{Sn}_5$  [3, 41]) or by dilute Ni additions which strongly reduce the size of primary  $(\text{Cu},\text{Ni})_6\text{Sn}_5$  particles[6]. However, it is unclear whether the size of primary  $\text{Cu}_6\text{Sn}_5$  plays a role in shear impact reliability and whether it is worth controlling their size.

A challenge in measuring the influence of primary  $\text{Cu}_6\text{Sn}_5$  size on the properties of BGA solder joints is that most factors that affect the size of primary  $\text{Cu}_6\text{Sn}_5$ , such as cooling rate, undercooling and microalloying, will also affect the lengthscale of the  $\beta\text{Sn}$  dendrites and the eutectic IMCs which, themselves, have a large effect on properties. In this paper, we develop an experimental method to control the size of primary  $\text{Cu}_6\text{Sn}_5$  while keeping the remaining microstructure near-constant. We then measure the influence of primary  $\text{Cu}_6\text{Sn}_5$  size on the shear impact properties (at

constant volume fraction of  $\text{Cu}_6\text{Sn}_5$ ) and explore their influence on the failure mechanisms during shear impact loading.

## 2. Experimental Methods

Sn-2wt%Cu alloy was prepared by mixing 99.9wt%Sn and Sn-10wt%Cu ingots in a graphite crucible, heating and holding at 450°C for 4 h, and then pouring into a steel mould. The composition of the alloy was analyzed by X-ray fluorescence (XRF) spectroscopy to be 2.1wt% Cu with impurities of Pb, Ag, Sb less than 0.02wt%, and Zn, Fe, Al, As, Cd less than 0.001wt%. The as-cast alloy was then rolled to foil with thickness ~0.03mm, punched into circular discs with diameter ~1.6mm and melted with a ROL1 tacky flux (IPC J-STD- 004) at ~350°C on a hot plate to form solder balls with diameter  $500\pm 25$   $\mu\text{m}$  due to surface tension.

A method was developed to manipulate the primary  $\text{Cu}_6\text{Sn}_5$  size in the initial freestanding solder balls and then largely maintain this primary  $\text{Cu}_6\text{Sn}_5$  size in solder joints. To obtain the ‘smallest’ primary  $\text{Cu}_6\text{Sn}_5$  size, liquid Sn-2Cu solder balls were quenched in ethanol from 350°C. To obtain a ‘small’ primary  $\text{Cu}_6\text{Sn}_5$  size, liquid solder balls were solidified from 350°C under an air extractor. To obtain a ‘medium’ primary  $\text{Cu}_6\text{Sn}_5$  size, balls cooled with an air extractor were aged at 150°C for 2 weeks. To obtain the ‘largest’ primary  $\text{Cu}_6\text{Sn}_5$  size, balls cooled with an air extractor were aged at 210°C for 2 weeks.

Solder balls produced by each of the four procedures were then reflowed on standard FR4 test boards with 500 $\mu\text{m}$  Cu-OSP pads in a LFR400HTX TORNARDO reflow oven (Surface Mount Technology, Isle of Wight, UK) with thermal profile

shown in Fig. 1(a).

From Fig. 1 (b), it can be seen that under this reflow profile, the peak temperature is lower than the  $\text{Cu}_6\text{Sn}_5$  liquidus temperature of Sn-2wt%Cu [42]. Thus, the primary  $\text{Cu}_6\text{Sn}_5$  only partially remelt during reflow and the primary  $\text{Cu}_6\text{Sn}_5$  size in the final joint retain features from the initial primary  $\text{Cu}_6\text{Sn}_5$  in the solder ball. It can be seen from Fig. 1 (c) that partial melting of primary  $\text{Cu}_6\text{Sn}_5$  leaves ~2 mass% primary  $\text{Cu}_6\text{Sn}_5$  remaining at the peak temperature, while cooling to the eutectic temperature increases the amount of primary  $\text{Cu}_6\text{Sn}_5$  to 2.8%, so that most of the  $\text{Cu}_6\text{Sn}_5$  from the freestanding solder ball is not remelted during reflow. With this approach using Sn-2Cu, the primary  $\text{Cu}_6\text{Sn}_5$  microstructures in the initial freestanding balls were largely maintained after soldering.

After reflow, the as-soldered joints were washed in ethanol in an ultrasonic bath to remove residual flux. BGA impact shear testing was performed on a DAGE-4000 following standard procedures in JESD22-B117B [43]. In order to investigate only the influence of primary  $\text{Cu}_6\text{Sn}_5$  on the shear impact properties of solder joints, it is necessary to have solder joints fracture through the bulk solder and not the interfacial  $\text{Cu}_6\text{Sn}_5$ . Therefore, a 10mm/s displacement rate was selected. The hammer height was set at 50 $\mu\text{m}$  and the layout of the impact shear tester is illustrated in Fig. 2. At least 14 joints were tested for each of the four microstructure conditions.

The microstructures of both as-soldered joints and impact shear tested joints were studied. Cross-sections were prepared by mounting joints in Struers VersoCit resin,

grinding with SiC papers and polishing with SiO<sub>2</sub> suspension. Some samples were deep etched in 5% NaOH and 3.5% orthonitrophenol in distilled H<sub>2</sub>O to reveal the 3D morphology of the primary Cu<sub>6</sub>Sn<sub>5</sub>. Fracture surfaces were examined without sample preparation. Analytical scanning electron microscopy (SEM) was conducted in a Zeiss Auriga field emission gun (FEG) SEM equipped with a Bruker e-Flash electron backscatter diffraction (EBSD) detector and EBSD analysis was performed in Bruker Esprit 2.1. Cu<sub>6</sub>Sn<sub>5</sub> was indexed and analysed as the high temperature hexagonal Cu<sub>6</sub>Sn<sub>5</sub> polymorph [44, 45] since this phase is stable at the temperatures shown in Fig. 1(b) and (c).



### 3. Results and Discussion

#### 3.1 Undeformed microstructures

Fig. 3 shows typical micrographs of the primary  $\text{Cu}_6\text{Sn}_5$  in Sn-2wt%Cu/Cu joints produced from the four batches of solder balls containing  $\text{Cu}_6\text{Sn}_5$  of different sizes. The first column are EBSD IPF-y maps of the  $\text{Cu}_6\text{Sn}_5$  phase from typical cross-sections. It can be seen that the primary  $\text{Cu}_6\text{Sn}_5$  have a wide range of orientations in each batch. The second column are BSE SEM images from the same cross-sections where the primary  $\text{Cu}_6\text{Sn}_5$  appear dark grey. The number of primary  $\text{Cu}_6\text{Sn}_5$  particles per unit area of cross-section was measured from EBSD maps of 4 samples per microstructure condition and the results are plotted in Fig. 4 (a). It can be seen that the joints with smallest primary  $\text{Cu}_6\text{Sn}_5$  contain more than 4 times more primary  $\text{Cu}_6\text{Sn}_5$  per unit area than the joints with largest primary  $\text{Cu}_6\text{Sn}_5$ . The number of  $\text{Cu}_6\text{Sn}_5$  crystals were measured in Image J from BSE SEM images with information of the different  $\text{Cu}_6\text{Sn}_5$  orientations from the EBSD maps. The third and fourth columns in Fig. 3 are SE-SEM images after partial dissolution of the matrix  $\beta\text{Sn}$  at two magnifications. It can be seen clearly that the mean size (e.g. width) of the faceted  $\text{Cu}_6\text{Sn}_5$  rods increases from (a) to (d). Combining this with the decreasing number of  $\text{Cu}_6\text{Sn}_5$  particles from (a) to (d) in Fig. 3 and Fig. 4(a), it can be confirmed that the  $\text{Cu}_6\text{Sn}_5$  rods are systematically larger and fewer (less numerous) from (a) to (d) in Fig. 3, and with a similar volume fraction since they have the same composition.

Fig. 5 summarises the  $\beta\text{Sn}$  microstructure and growth texture in un-deformed solder

joints from the four microstructure conditions, with increasing size of primary  $\text{Cu}_6\text{Sn}_5$  from (a) to (d). The left column shows EBSD IPF-y maps of typical cross sections. It can be seen that, in all cases, there are multiple orientations (colours) indicating multiple  $\beta\text{Sn}$  grains. The number of independent  $\beta\text{Sn}$  grains was measured on EBSD IPF maps from 4 joints for each condition, where grains were considered to be independent if they were not related to other grains by twins, other special boundaries or low angle boundaries [46]. The data are plotted in Fig. 4(b) where the error bars are the standard deviations from the 4 samples for each condition. It can be seen that the number of independent  $\beta\text{Sn}$  grains was similar at  $8.5 \pm 0.2$  for each microstructure condition.

In Fig. 5, the middle column shows  $\langle 001 \rangle$  pole figures for  $\beta\text{Sn}$  grains in all joints for each condition. These pole figures were made by combining all EBSD datasets (at least 4) for each microstructure condition, ensuring that the y-direction was perpendicular to the substrate in all cases. Note that, since  $\beta\text{Sn}$  is tetragonal, it has only one  $\langle 001 \rangle$  direction in the northern hemisphere and, therefore, each  $\beta\text{Sn}$  grain contributes one point to the combined  $\langle 001 \rangle$  pole figure. While the relatively small number of grains from 4-7 samples with  $\sim 8$  grains per sample precludes a meaningful study of the statistics, it can be seen that in all microstructure conditions, the grains have their c-axis oriented in a wide range directions and there is no clear texture.

The right column in Fig. 5 shows misorientation (MO) histograms which have been compiled from all datasets for each microstructure condition. This was done

by summing the bins from each dataset so as to consider only misorientations within each joint and not consider misorientations between grains in different joints. Each plot also contains a Mackenzie curve which is the expected random misorientation distribution for tetragonal crystals. Each plot has the same following features: there is a peak at  $<10^\circ$  corresponding to low angle boundaries, there are more misorientations with  $10-50^\circ$  than in a random distribution, and there are fewer misorientations with  $50-100^\circ$  than in a random distribution. It can be seen also that there are peaks in some of the MO plots, such as the  $42-48^\circ$  peak in Fig. 5(b); these occur because some joints had two large grains in a cross-section which gives a large MO peak in one sample. It is expected that these would not be present in the summed distributions if many more joints were added into these combined MO distributions.

By combining the number of independent grains in Fig. 4(b) with the textures and misorientation distributions in Fig. 5, it can be concluded that the number of  $\beta\text{Sn}$  grains and the  $\beta\text{Sn}$  growth texture is similar for all microstructure conditions. This confirms the success of the method developed to generate different primary  $\text{Cu}_6\text{Sn}_5$  sizes while keeping the  $\beta\text{Sn}$  microstructure near-constant. Additionally, the number of eutectic  $\text{Cu}_6\text{Sn}_5$  particles per unit area was measured on BSE-SEM images for each condition and the results are plotted on Figure 4(b), where it can be seen that the number density of eutectic  $\text{Cu}_6\text{Sn}_5$  particles is similar at each microstructure condition.

Note that the  $\beta\text{Sn}$  texture in these Sn-2Cu/Cu joints (Fig. 5) is markedly different to

that in SnAg/Cu or SnAgCu/Cu BGA joints where there are usually only 1-3  $\beta$ Sn orientations that are all related by solidification twinning [4, 5, 47-49] (i.e. only one independent grain). It is also different to the texture of Sn-0.7Cu/Cu BGA joints which usually solidify with multiple columnar grains growing from the substrate[50] which leads to a strong  $\langle 110 \rangle$  fibre texture in the y-direction [50, 51]. Examining the EBSD map in Fig. 5(d), it can be seen that there are some columnar  $\beta$ Sn grains emanating from the substrate and that these have been blocked by near-equiaxed grains above. This suggests that  $\beta$ Sn nucleation events have occurred ahead at the columnar front. This might indicate that the higher volume fraction of primary  $\text{Cu}_6\text{Sn}_5$  has promoted  $\beta$ Sn nucleation in the bulk solder in Sn-2Cu/Cu. Further work is required to prove the mechanism and explore whether this phenomenon can be used to usefully generate more  $\beta$ Sn orientations in joints.

### 3.2 Impact shear properties

Typical examples of force-displacement curves from each microstructure condition are shown in Fig. 6. The examples shown are the median curve from at least 14 force-displacement curves obtained for each microstructure condition. It can be seen that the impact shear curves have two peaks. One initial small peak at a displacement of  $\sim 60\mu\text{m}$ , followed by a serrated increase in force up to the main peak. The shape of the curve is similar to past work at this displacement rate [52, 53].

Fig. 7 summarises the impact shear properties for joints with the four sizes of primary  $\text{Cu}_6\text{Sn}_5$ . It can be seen that both the maximum force and the energy absorbed decrease with increasing primary  $\text{Cu}_6\text{Sn}_5$  size. The error bars represent the standard deviations from at least 14 samples for each condition. Note that the error bars for the smallest and small  $\text{Cu}_6\text{Sn}_5$  joints do not overlap with the largest  $\text{Cu}_6\text{Sn}_5$  joints, which highlights the significance of the result. From Fig. 3-7, it can be seen that, for equivalent  $\beta\text{Sn}$  and eutectic microstructures and primary  $\text{Cu}_6\text{Sn}_5$  volume fraction, increasing the size of primary  $\text{Cu}_6\text{Sn}_5$  decreased the maximum force by 28% and decreased the energy absorbed by 39%. That is to say, when other microstructural variables are held near-constant, there is a clear negative effect of larger primary  $\text{Cu}_6\text{Sn}_5$  particles on the shear impact properties of Sn-Cu/Cu BGA joints.

The width of primary  $\text{Cu}_6\text{Sn}_5$  rods was measured on SE-SEM images of the fracture surfaces and a statistical overview of the measurements is given in Fig. 8 (a) and (b). In Fig. 8 (a), it can be seen that the standard deviation of the primary  $\text{Cu}_6\text{Sn}_5$

width increased as the mean  $\text{Cu}_6\text{Sn}_5$  width increased. This is thought to be because, during cooling at the end of reflow soldering, new primary  $\text{Cu}_6\text{Sn}_5$  nucleate and grow in addition to the growth of incompletely melted primary  $\text{Cu}_6\text{Sn}_5$ , which gives a large range of primary  $\text{Cu}_6\text{Sn}_5$  sizes in samples where the incompletely melted primary  $\text{Cu}_6\text{Sn}_5$  are large. Fig. 8 (b) presents box plots of the  $\text{Cu}_6\text{Sn}_5$  width data; it can be seen that the lowest width, 25<sup>th</sup> percentile, 50<sup>th</sup> percentile, 75<sup>th</sup> percentile and highest width all increase as the mean  $\text{Cu}_6\text{Sn}_5$  width increases.

Fig. 7 and Fig. 8 (a) have been combined into Fig. 8 (c) and (d) which shows the change in impact shear properties versus primary  $\text{Cu}_6\text{Sn}_5$  width. There is a significant decrease in shear impact properties with increasing width of the primary  $\text{Cu}_6\text{Sn}_5$ . Fig. 8(e) and (f) show that the shear impact properties versus the number of primary IMCs within the joints (from Fig. 4(a)) for the four conditions. With increasing number of primary IMCs, both the maximum force and energy absorbed increase significantly.

Note that this result is specific to shear impact conditions where fracture is through the bulk solder and that different results might be expected at higher rate (e.g. 2000mm/s) where fracture typically involves the  $\text{Cu}_6\text{Sn}_5$  layer.

### 3.3 Deformed microstructures

Typical cross sectioned micrographs of fractured solder joints are given in Fig. 9. It can be seen that the fracture path for all joints was through the bulk solder, and that macroscopic fracture was by a combination of ductile fracture of the soft  $\beta$ Sn matrix and brittle fracture of the hard embedded  $\text{Cu}_6\text{Sn}_5$  rods. Thus, the  $\text{Cu}_6\text{Sn}_5$  IMC layers did not strongly influence the fracture of the joints in this work.

To gain some insights into the initiation of fracture, interrupted impact tests were performed where the hammer was stopped at a certain displacement so that the microstructure could be studied and the events that occur early during impact testing could be deduced. Caution is required when interpreting interrupted tests as it was not possible to instantaneously halt the hammer motion and there may have been some  $\beta$ Sn microstructure evolution between the time of impact and the time of EBSD measurements due to the high dislocation accumulation coupled with the high homologous temperature of  $\sim 0.6T_m$  at room temperature. Nevertheless, interrupted impact experiments provide useful information. Fig. 10 shows the typical results for an example where the hammer displacement was  $\sim 150 \mu\text{m}$  ( $\sim 30\%$  of the displacement of fully fractured joints) and where the impact direction was from left to right. Fig. 10(a) is a BSE-SEM image of a cross-section and Fig. 10(b) is an EBSD IPF-y map of  $\beta$ Sn from the same area. In (b) it can be seen that  $\beta$ Sn deformation is localized ahead of the hammer where the grains contain gradual changes in colour revealing a gradient of in-grain misorientation created by plasticity. Fig. 10(c)-(e) show typical regions where  $\text{Cu}_6\text{Sn}_5$  particles have influenced the initiation and propagation of

microcracks. In Fig. 10(c), decohesion has occurred between a  $\text{Cu}_6\text{Sn}_5$  rod and the  $\beta\text{Sn}$  matrix and propagated as a crack into the  $\beta\text{Sn}$  matrix. In Fig. 10(d), brittle fracture of a  $\text{Cu}_6\text{Sn}_5$  rod followed by the opening displacement of this crack has created a void in the ductile  $\beta\text{Sn}$  matrix. In Fig. 10(e), a similar crack is beginning to propagate from a cracked  $\text{Cu}_6\text{Sn}_5$  rod into the  $\beta\text{Sn}$  matrix. Thus, it can be seen that brittle fracture of primary  $\text{Cu}_6\text{Sn}_5$  crystals and decohesion between primary  $\text{Cu}_6\text{Sn}_5$  and the  $\beta\text{Sn}$  matrix both introduce internal microcracks early during shear impact.

Similar phenomena were observed after complete fracture. In Fig. 9 (e)-(h), it can be deduced that brittle fracture of primary  $\text{Cu}_6\text{Sn}_5$  crystals created microcracks which opened (e.g. Fig. 9 (f)) and propagated in the  $\beta\text{Sn}$  matrix. This is one factor behind the deterioration of impact properties with increasing primary  $\text{Cu}_6\text{Sn}_5$  size: The brittle fracture of larger primary  $\text{Cu}_6\text{Sn}_5$  rods creates a larger microcrack and, therefore, the energy needed to open it and extend into the Sn matrix is smaller. Another factor is that  $\beta\text{Sn}$  plastic flow around larger  $\text{Cu}_6\text{Sn}_5$  will open larger voids around the  $\text{Cu}_6\text{Sn}_5$  which promotes  $\beta\text{Sn}$  ductile tearing.

Fig. 11(a)-(d) presents typical fracture surfaces from the four microstructure conditions. For all joints, the fracture surface is rough with the characteristics of ductile tearing of  $\beta\text{Sn}$ . Comparing Fig. 11 (a)-(d), it can be seen that the surfaces have increasingly complex roughness from (a) to (d), corresponding to the larger and fewer primary  $\text{Cu}_6\text{Sn}_5$  from (a) to (d) as shown in the higher magnification images in Fig. 11 (e)- (h). It was found that each fracture surface has two regions with



differently fractured primary  $\text{Cu}_6\text{Sn}_5$ . The region first touching the hammer is shown in Fig. 11 (i). In this region, primary  $\text{Cu}_6\text{Sn}_5$  are more vertical and brittle fracture surfaces of numerous  $\text{Cu}_6\text{Sn}_5$  grains can be seen, indicating that the embedded primary  $\text{Cu}_6\text{Sn}_5$  near the impact location underwent cleavage fracture. There is also evidence of decohesion between the  $\text{Cu}_6\text{Sn}_5$  and  $\beta\text{Sn}$  in some regions of Fig. 11 (i). As the hammer moves on, the fractured BGA surfaces become rougher and fragments of fractured primary  $\text{Cu}_6\text{Sn}_5$  rods are forced to be near parallel to the hammer direction and plastically gouge trenches along the  $\beta\text{Sn}$  fracture surface (Fig. 11 (j)). Therefore, the plastic deformation of the  $\beta\text{Sn}$  by gouging  $\text{Cu}_6\text{Sn}_5$  fragments contributes to the plastic work of ductile fracture in these joints. Comparing rougher parts of solder joints made with each microstructure condition, it was found that the fragments in the smaller primary  $\text{Cu}_6\text{Sn}_5$  microstructure conditions (e.g. smallest and small) tended to align better with the hammer direction (Fig. 11 (k)) and appeared to gouge the  $\beta\text{Sn}$  fractured surface more. This extra interaction between  $\text{Cu}_6\text{Sn}_5$  fragments and the  $\beta\text{Sn}$  may be a further reason for the higher energy absorbed in samples with smaller primary  $\text{Cu}_6\text{Sn}_5$ .

The fracture surfaces of individual primary  $\text{Cu}_6\text{Sn}_5$  crystals were explored to gain further insights in to the failure mechanisms. Primary  $\text{Cu}_6\text{Sn}_5$  fracture surfaces which were relatively parallel to the macroscopic fracture surface were chosen for EBSD analysis.

Fig. 12(a)-(b) are SE-SEM images of typical fractured  $\text{Cu}_6\text{Sn}_5$  rods from joints

containing ‘medium’ size primary  $\text{Cu}_6\text{Sn}_5$  and Fig. 12(c) is a combined EBSD IPF-z map of 11 fractured  $\text{Cu}_6\text{Sn}_5$  where the fracture surface is near-perpendicular with the z-direction in all cases (i.e. the normal to the fracture surfaces is approximately in the z-direction). Note that the 11 fractured  $\text{Cu}_6\text{Sn}_5$  come from different samples and have been compiled into a single IPF-z map. The colours correspond to the hexagonal colour legend in Fig. 12(d). It can be seen that 10 out of 11 fracture surfaces have a green/blue colour and one has a red colour which corresponds to cleavage approximately along prism planes and the basal plane respectively. This result is plotted as an inverse pole figure with respect to the z-direction in Fig. 12(e). The scatter in the data is largely because the  $\text{Cu}_6\text{Sn}_5$  fracture surfaces are not co-planar with the macroscopic fracture surface. Although only one basal fracture is shown in Fig. 12 (c) (the red grain), this cleavage plane was widespread in the samples. For example, inspection of the  $\text{Cu}_6\text{Sn}_5$  rod fragments in Fig. 11(e)-(h) and (j)-(k) shows that most fragments in these images fractured along their basal plane (noting that  $\text{Cu}_6\text{Sn}_5$  hexagonal rods are bounded by  $\{10\text{-}10\}$  facets with  $[0001]$  along the rod  $[2, 40, 54]$ ). Examples of prism fracture surfaces are shown in Fig. 12(a) and (b).

As illustrated in reference [40], the  $\{11\text{-}20\}$  and  $\{1\text{-}100\}$  (the 2<sup>nd</sup> and 1<sup>st</sup> order prism planes) are the closest-packed flat planes in hexagonal  $\text{Cu}_6\text{Sn}_5$ , and the basal plane is the next closest packed, which agrees with the theory that the closest packed planes are the preferred cleavage planes. The large number of basal fractures may

also be due to the shape of the long  $\text{Cu}_6\text{Sn}_5$  rods (i.e. bending of a prismatic rod will favour basal fracture).

In some cases, it was found that the fracture path deflected as it propagated through a  $\text{Cu}_6\text{Sn}_5$  crystal; either from a basal fracture to a prism fracture, from a prism fracture to a basal fracture or, sometimes, from one to the other and then back to the original fracture plane. For example, in Fig. 12(f) and (g), the crystal orientation can be determined from the  $\{1-100\}$  growth facets and  $[0001]$  growth direction of the  $\text{Cu}_6\text{Sn}_5$  rods and deflection from basal to prism fracture can be inferred. This shows more than one cleavage plane is active in  $\text{Cu}_6\text{Sn}_5$  fracture, which agrees with [30, 55]. Crack deflections are likely to be due to the combined effects of the crystallography of  $\text{Cu}_6\text{Sn}_5$  (e.g. close-packedness of planes) and the orientation of the crystal relative to the hammer impact direction.

#### 4. Conclusions

The influence of primary  $\text{Cu}_6\text{Sn}_5$  size on shear impact properties has been investigated in 500 $\mu\text{m}$  BGA-type Sn-Cu/Cu joints. An effective method for manipulating the primary  $\text{Cu}_6\text{Sn}_5$  size while keeping the remaining microstructure near-constant has been developed in which a high Cu content is used (2wt% Cu), the primary  $\text{Cu}_6\text{Sn}_5$  size is manipulated in the initial freestanding solder balls (either by quenching during solder ball manufacture or by aging balls after manufacture), and this primary  $\text{Cu}_6\text{Sn}_5$  size is largely maintained in solder joints because the peak reflow temperature (250 °C) is less than the  $\text{Cu}_6\text{Sn}_5$  liquidus temperature so that the primary  $\text{Cu}_6\text{Sn}_5$  only partially remelt. This method was used to vary the  $\text{Cu}_6\text{Sn}_5$  rod width from 3 - 12  $\mu\text{m}$  and vary the number of primary  $\text{Cu}_6\text{Sn}_5$  crystals per unit area of cross-section by a factor of 4. BGA shear tests were performed on a DAGE-4000 bond-tester with 10mm/s hammer speed to ensure that fracture occurred through the bulk solder.

It has been found that the shear impact properties (maximum force and energy absorbed) decrease as the size of the primary  $\text{Cu}_6\text{Sn}_5$  increases. That is to say, when other microstructural variables are held near-constant, there is a clear negative effect of larger primary  $\text{Cu}_6\text{Sn}_5$  particles on the shear impact properties of Sn-Cu/Cu BGA joints.

Microstructure analysis revealed that brittle fracture of primary  $\text{Cu}_6\text{Sn}_5$  and decohesion between primary  $\text{Cu}_6\text{Sn}_5$  and the  $\beta\text{Sn}$  matrix both introduce microcracks

early during shear impact. These microcracks then propagated into the soft  $\beta$ Sn matrix by ductile tearing. The embedded primary  $\text{Cu}_6\text{Sn}_5$  cleaved along (0001) and perpendicular to (0001), and  $\text{Cu}_6\text{Sn}_5$  fragments then reoriented parallel with the hammer direction and continued to influence ductile fracture by gouging trenches into the  $\beta$ Sn surface.

The deterioration of shear impact properties as the primary  $\text{Cu}_6\text{Sn}_5$  rod size increases is attributed to (i) the larger microcracks introduced by cleavage of larger  $\text{Cu}_6\text{Sn}_5$  rods and (ii) the smaller number and wider spaced  $\text{Cu}_6\text{Sn}_5$  rods when the rods are larger.

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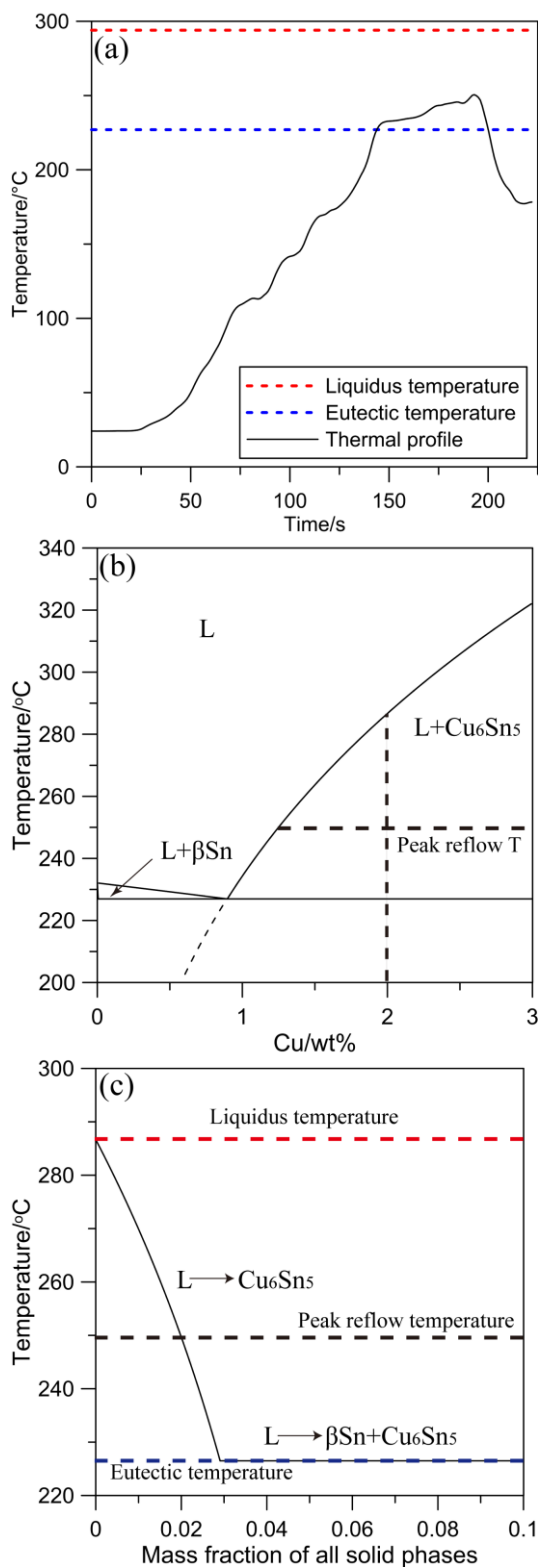
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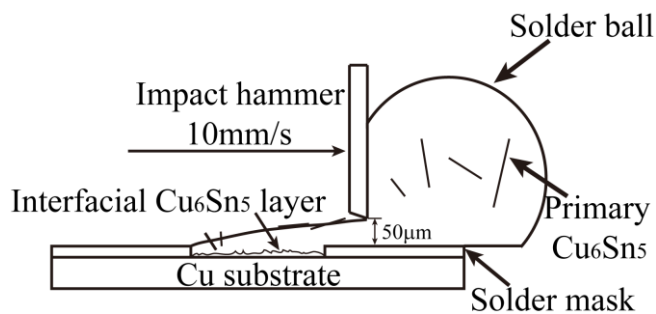
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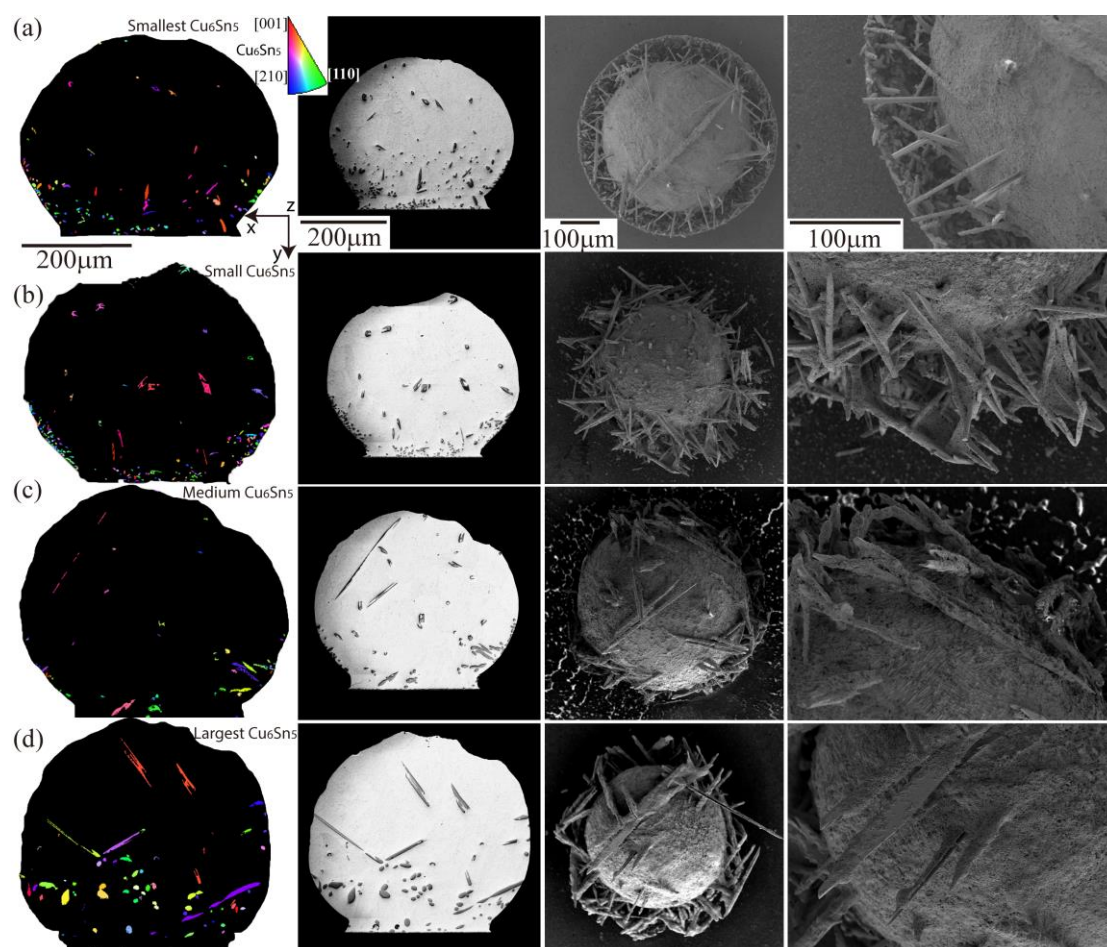
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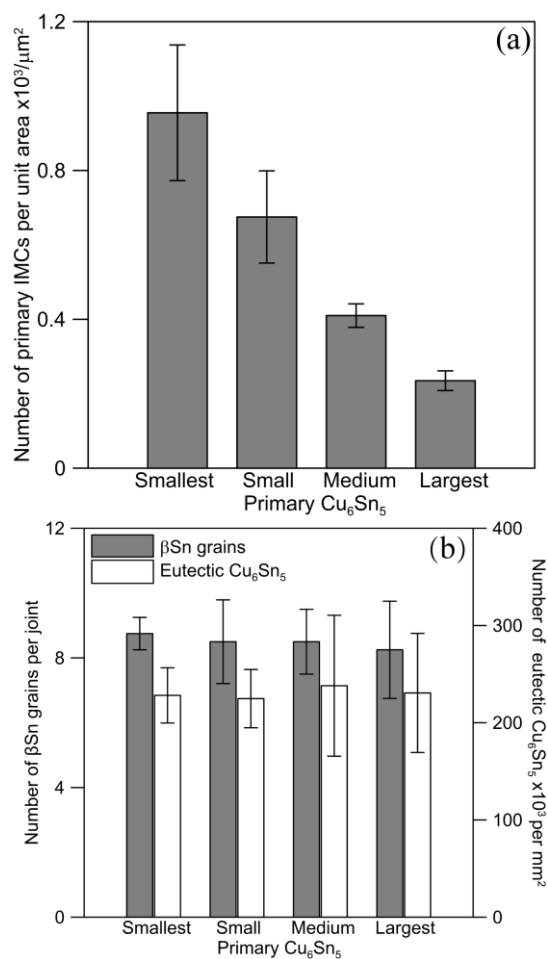
**Fig. 1:** (a) Thermal profile used for reflow soldering. (b) Sn-Cu phase diagram [42] highlighting the initial solder composition and the peak reflow temperature (c) Mass fraction of primary Cu<sub>6</sub>Sn<sub>5</sub> as a function of temperature in Sn-2Cu [42].



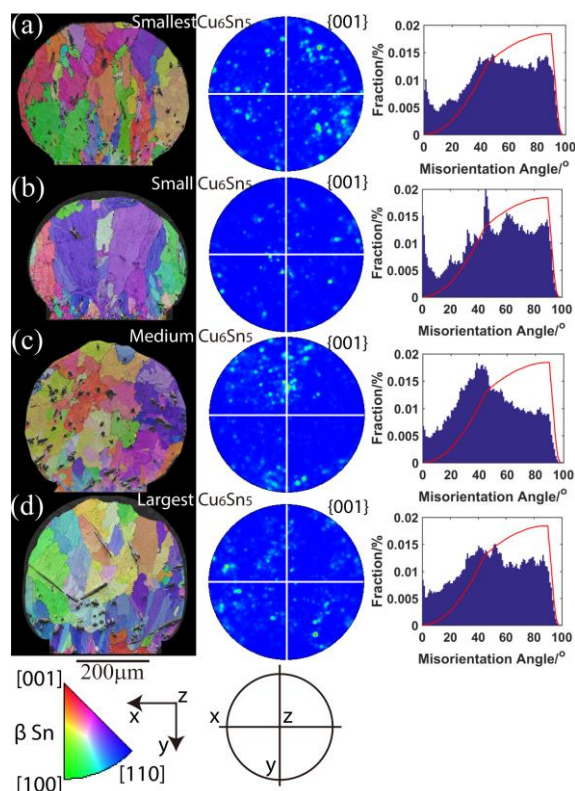
**Fig. 2:** Set up of shear impact testing on a DAGE4000.



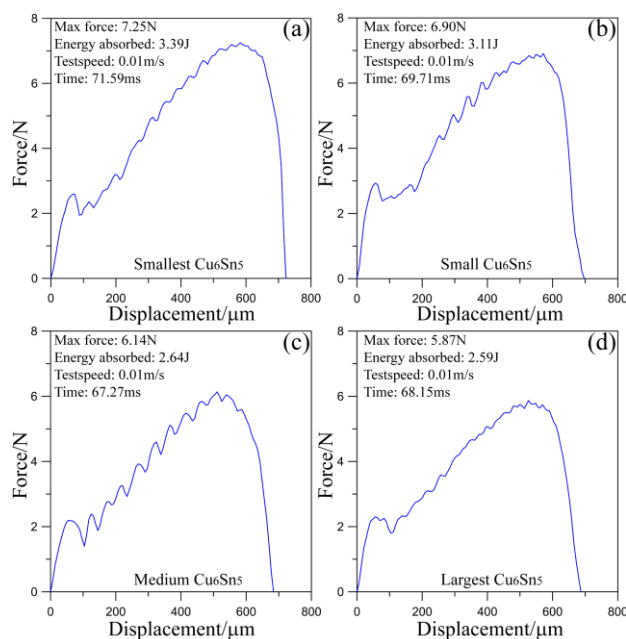
**Fig. 3:** Overview of primary  $\text{Cu}_6\text{Sn}_5$  in Sn-2Cu/Cu joints made with four sizes of primary  $\text{Cu}_6\text{Sn}_5$ , with increasing size of primary  $\text{Cu}_6\text{Sn}_5$  from (a) to (d). The first and second columns are EBSD IPF-y maps and BSE-SEM images. The third and fourth columns are deep etched SE-SEM images of the primary  $\text{Cu}_6\text{Sn}_5$  at two magnifications. Each column has a common scale bar.



**Fig. 4:** Summary of microstructural measurements for each batch of Sn-2Cu/Cu joints. Bars are mean values, error bars are standard deviations. (a) Number of primary  $\text{Cu}_6\text{Sn}_5$  per unit area. (b) Number of eutectic  $\text{Cu}_6\text{Sn}_5$  particles per unit area, and number of  $\beta\text{Sn}$  orientations per joint.

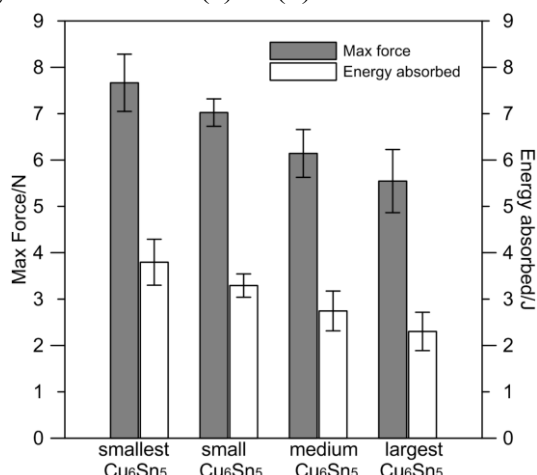


**Fig. 5:** Overview of  $\beta$ Sn in Sn-2Cu/Cu joints, with increasing size of primary Cu<sub>6</sub>Sn<sub>5</sub> from (a) to (d). The first column are EBSD IPF-y maps of typical joints. The middle column are {001} pole figures made by combining  $\geq 4$  EBSD maps for each microstructure condition. The third column are misorientation (MO) histograms, compiled from  $\geq 4$  joints for each microstructure condition. Mackenzie curves are superimposed on the MO distributions.

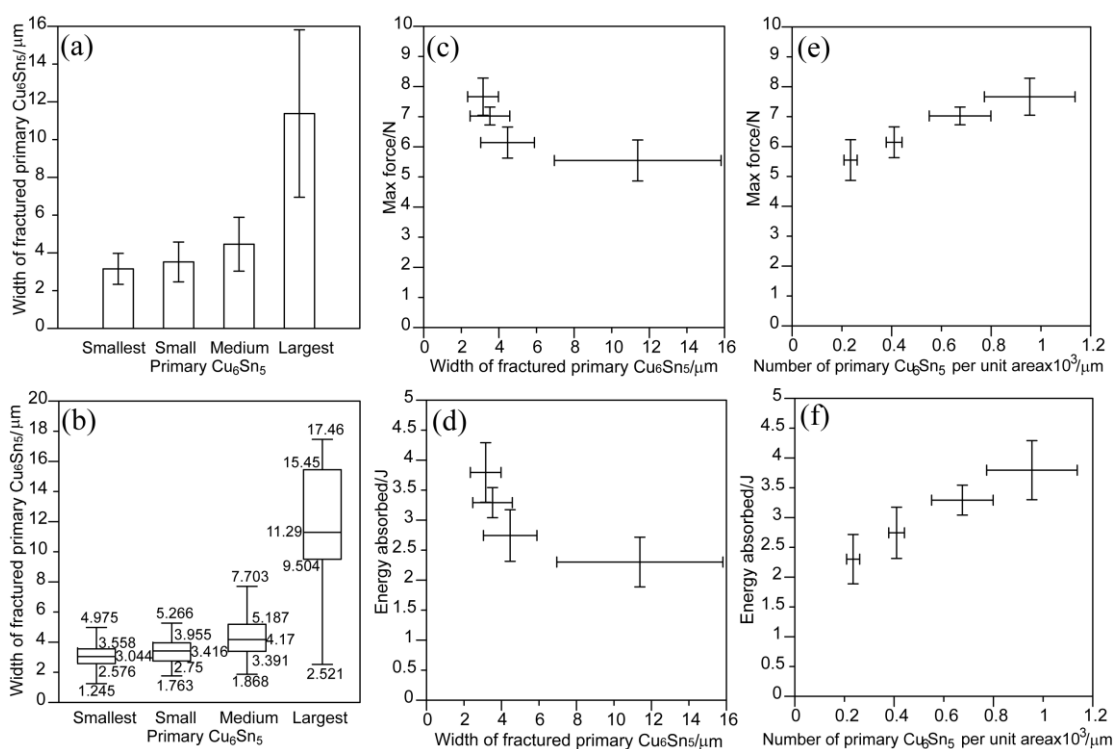


**Fig. 6.** Typical force-displacement curves from shear impact testing, with increasing

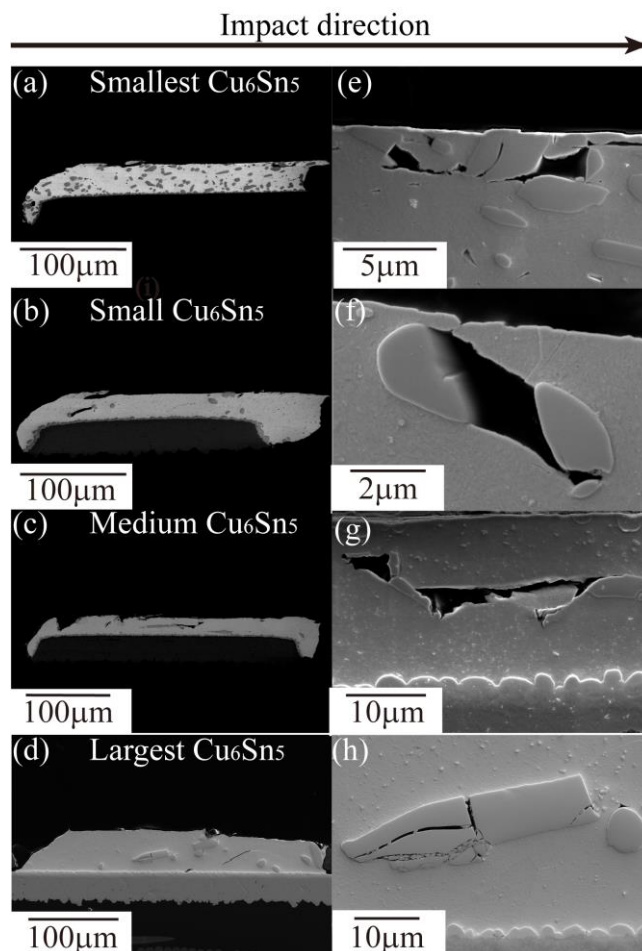
size of primary Cu<sub>6</sub>Sn<sub>5</sub> from (a) to (d).



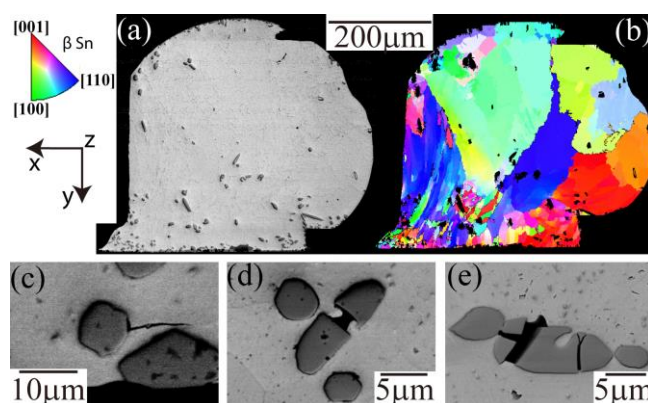
**Fig. 7:** Impact shear properties (maximum force and energy absorbed) of Sn-2wt%Cu/Cu with different size of primary Cu<sub>6</sub>Sn<sub>5</sub>.



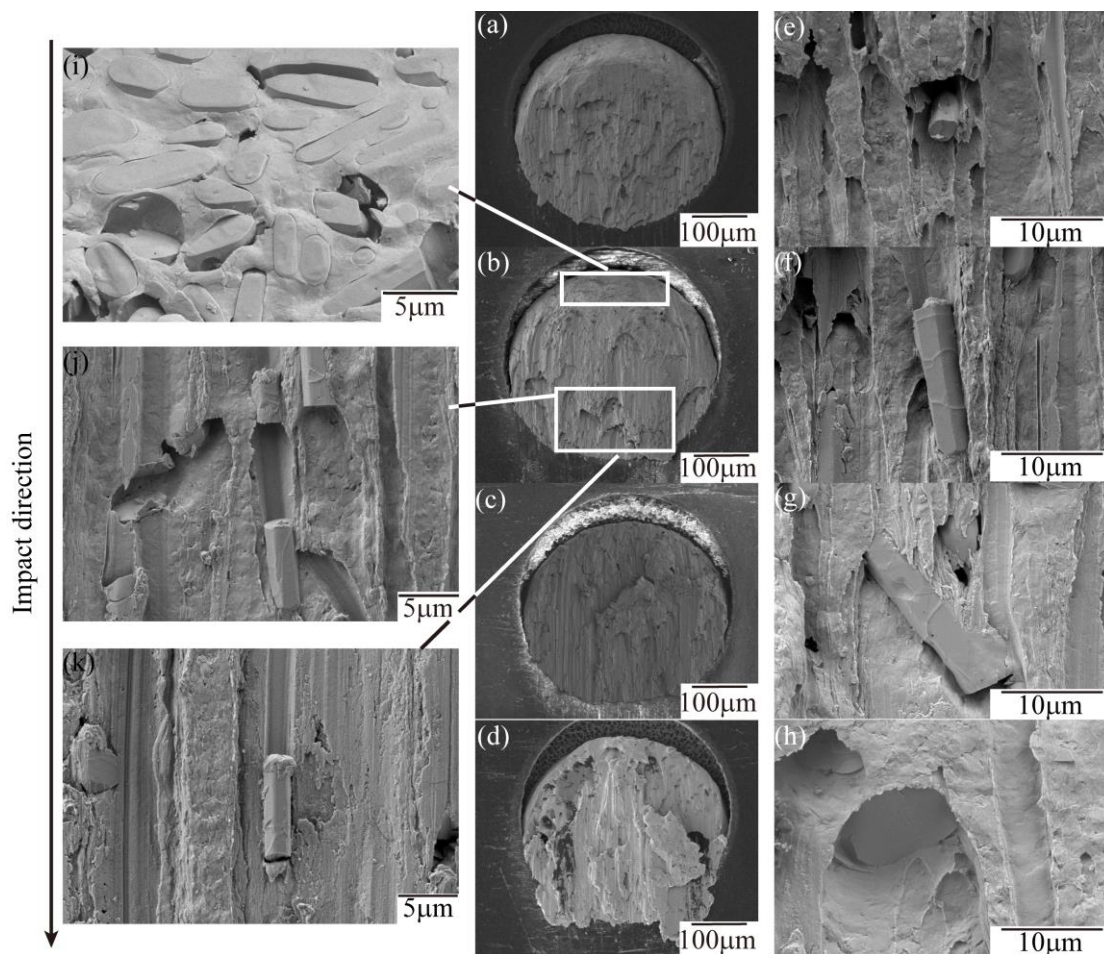
**Fig. 8:** (a) Width of fractured primary Cu<sub>6</sub>Sn<sub>5</sub> on fracture surfaces for each microstructure condition. Bars are mean values, error bars are standard deviations. (b) The same data summarised in box plots. (c) Maximum force versus the width of fractured primary Cu<sub>6</sub>Sn<sub>5</sub>. (d) Energy absorbed versus the width of fractured primary Cu<sub>6</sub>Sn<sub>5</sub>. (e) Maximum force versus the number density of primary Cu<sub>6</sub>Sn<sub>5</sub>. (f) Energy absorbed versus the number density of primary Cu<sub>6</sub>Sn<sub>5</sub>.



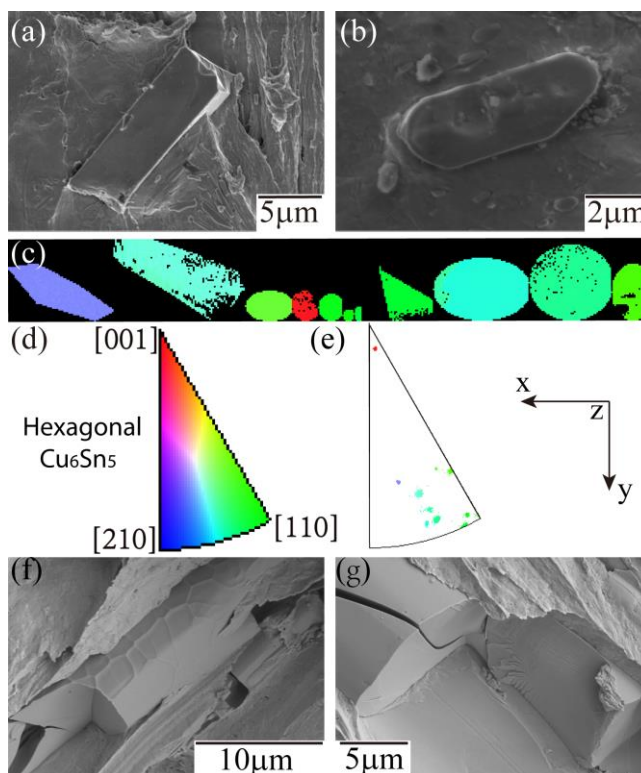
**Fig. 9:** Cross sections of fractured joints, with increasing size of primary  $\text{Cu}_6\text{Sn}_5$  from top to bottom. (a)-(d) typical examples of the whole cross-section. (e)-(h): higher magnification images of primary  $\text{Cu}_6\text{Sn}_5$  on and beneath the fracture surfaces.



**Fig. 10:** Shear impact test interrupted at  $\sim 150\mu\text{m}$ . (a) BSE-SEM image of a cross-section. (b) EBSD IPF-y map of the same cross-section. (c)-(e) examples of decohesion between  $\text{Cu}_6\text{Sn}_5$  and the  $\beta\text{Sn}$  matrix, brittle fracture of primary  $\text{Cu}_6\text{Sn}_5$ , and the propagation of microcracks into the  $\beta\text{Sn}$ .



**Fig. 11:** Fracture surfaces of solder joints from batches with increasing size of primary Cu<sub>6</sub>Sn<sub>5</sub> from (a) to (d). Typical examples of the varying Cu<sub>6</sub>Sn<sub>5</sub> size are given in (e)-(h). (i)-(k) show typical features found at different locations of the fracture surfaces: (i) brittle fractured primary Cu<sub>6</sub>Sn<sub>5</sub> at the ‘front part’ of the surface near to the initial hammer impact, (j)-(k) primary Cu<sub>6</sub>Sn<sub>5</sub> fragments at the ‘bottom part’ of the surface.



**Fig. 12:** (a)-(b) Typical  $\text{Cu}_6\text{Sn}_5$  brittle fracture surfaces that are close to the plane of the macroscopic fracture surface. (c) EBSD IPF-z map of 11  $\text{Cu}_6\text{Sn}_5$  fracture surfaces from different samples compiled into a single map. (d) hexagonal IPF colour scale. (e) IPF-z for the 11  $\text{Cu}_6\text{Sn}_5$  crystals in (c). (f) and (g) examples of crack deflection from basal to prism fracture paths.