

25 **ABSTRACT**

26 Understanding the distribution, habitat preference and social structure of highly migratory
27 species at important life history stages (*e.g.*, breeding and calving) is essential for
28 conservation efforts. We investigated the spatial distribution and habitat preference of
29 humpback whale social groups and singers, in relation to depth categories (<20 m, 20 - 50 m,
30 and >50 m) and substrate type (muddy and mixed) on a coastal southeastern Pacific breeding
31 ground. One hundred and forty-three acoustic stations and 304 visual sightings were made at
32 the breeding ground off the coast of Esmeraldas, Ecuador. Spatial autocorrelation analysis
33 suggested singers were not randomly distributed, and Neu's method and Monte Carlo
34 simulations indicated that singers frequented depths of <20 m and mixed substrate.
35 Singletons, and groups with a calf displayed a preference for shallower waters (0 to 20 m),
36 while pairs and groups with a calf primarily inhabited mixed bottom substrates. In contrast,
37 competitive groups showed no clear habitat preference and exhibited social segregation from
38 other whales. Understanding the habitat preference and distribution of humpback whales on
39 breeding and calving grounds vulnerable to anthropogenic disturbance provides important
40 baseline information that should be incorporated into conservation efforts at a regional scale.

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42 **Key words:** Song, spatial distribution, habitat preference, depth, sea floor substrate,
43 humpback whale, *Megaptera novaeangliae*, Southeastern Pacific.

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INTRODUCTION

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Humpback whales undertake extended transoceanic migrations from high latitude feeding grounds to tropical and subtropical breeding destinations located close to coastal regions (Acevedo *et al.* 2007). In the Southeastern Pacific, humpback whale concentrations are commonly observed in shallow water at the seasonal breeding grounds located in Peru, Ecuador, Colombia, and Panama (IWC Group G: review Flórez-González *et al.* 2007). This population migrates from summer feeding grounds located along the Antarctic Peninsula and Magallanes Channel (IWC 2006; Area I) (Gibbons *et al.* 2003, Acevedo *et al.* 2007, Rasmussen *et al.* 2007) to the breeding grounds, potentially through offshore waters (Félix and Guzmán 2014). The Southeastern Pacific humpback whale population requires additional baseline information (*e.g.*, migration routes and behavioral ecology) to ensure that adequate conservation measures can be implemented (Flórez-González *et al.* 2007, Stimpert *et al.* 2012, Acevedo *et al.* 2013).

Off the coast of Esmeraldas, Ecuador, the Galera-San Francisco marine reserve was established in 2008 to protect part of the breeding grounds for the Southeastern Pacific population of humpback whales (Group G), and the marine biodiversity within it (Denkinger *et al.* 2006). In addition, the Comisión Permanente del Pacífico Sur (Permanent Commission for the Southern Pacific, or CPPS) adopted a marine mammal action plan to protect key habitats for whales (Flórez-González *et al.* 2007). However, sound contamination which is increasing worldwide, is not part of the plan and could impact the vocal communication of whales. Given the suite of anthropogenic pressures faced by whale populations, it is important to understand the acoustic behavior, spatial distribution of social groups, and habitat preference of humpback whales off the Ecuadorian coast. Investigating environmental parameters and underwater sound pollution is crucial to support long-term conservation and management strategies for humpback whales in the region.

75 Different habitat characteristics (*e.g.*, temperature, depth, and bottom structure) can
76 influence the geographical distributions of humpback whales when they migrate or utilize
77 breeding grounds (Rasmussen *et al.* 2007). Recent studies have shown that sea surface
78 temperature (SST) and depth are important indicators in understanding whale spatial
79 distribution and habitat preference, and for predicting the extent of breeding, nursery and
80 calving habitat (Smith *et al.* 2012, Guidino *et al.* 2014). The availability of different substrate
81 types and depth ranges has been used to develop predictive habitat models with the goal of
82 identifying core breeding areas for humpback whales (see Smith *et al.* 2012). Therefore, local
83 geographic, environmental, and oceanographic parameters can assist in explaining habitat
84 preferences and spatial distributions on the breeding grounds of large whales (Hooker *et al.*
85 1999, Rasmussen *et al.* 2007, Smith *et al.* 2012).

86 Acoustic behavior ('song') is recorded primarily on winter breeding grounds (Payne
87 and McVay 1971, Payne and Payne 1985, Smith *et al.* 2008, Garland *et al.* 2011), but song
88 production has also been reported during migration and on summer feeding grounds (Vu *et*
89 *al.* 2012, Stimpert *et al.* 2012, Garland *et al.* 2013*b*). Song is a complex, stereotyped, and
90 repetitive display produced by male humpback whales (Payne and McVay 1971, Payne and
91 Payne 1985, Frankel *et al.* 1995). Although song function still is a subject of debate, the
92 most accepted hypotheses are that song functions as a sexual advertisement to females, and/or
93 is directed at males to mediate male-male interaction or for male social sorting on the
94 breeding grounds (see Tyack 1981; Darling *et al.* 2006, 2012; Smith *et al.* 2008).

95 Overall, singers appear to be concentrated in relatively shallow coastal waters and
96 over distinct substrate types. Singers typically sing while stationary, but are also capable of
97 singing when they are moving (Frankel *et al.* 1995) and migrating (Clapham and Mattilla,
98 1990, Noad and Cato 2007). Songs have been recorded most often in shallow water (between
99 15 and 55 m depth), and over sandy substrates and flat seafloors (*e.g.*, Noad *et al.* 2004,

100 Cartwright *et al.* 2012). Shallow water may overlay other factors such as seafloor
101 composition; for example, singers in the West Indies are more often encountered over smooth
102 substrates than any other substrate type (Whitehead and Moore 1982). Song occurrence may
103 depend on additional acoustic factors relating to sound transmission and propagation in
104 different habitats (Mercado and Frazer 1999). In northwestern Hawaii and the central
105 American Pacific coast, singers have been recorded in substantially deeper waters (Frankel *et*
106 *al.* 1995, Rasmussen *et al.* 2011).

107 The distribution of social groups may be the result of a number of factors including
108 geographical and oceanographic requirements, social organization, female presence, and
109 human interactions (Ersts and Rosenbaum 2003; Darling *et al.* 2006; Smith *et al.* 2008, 2012;
110 Cartwright *et al.* 2012). For example, in Brazil, Ecuador, and Hawaii, mother-calf pairs
111 commonly prefer shallower waters less than 20 m in depth (Smultea 1994, Martins *et al.*
112 2001, Félix and Haase 2005, Craig *et al.*, 2014), whereas singletons, pairs, competitive
113 groups, and singers have been observed in depths of 10 to 60 m (Martins *et al.* 2001, Oviedo
114 and Solís 2008, Guidino *et al.* 2014). In contrast, at wintering grounds located off the central
115 American Pacific coast and the Hawaiian Islands, mother-calf pairs and singers were
116 commonly observed in offshore waters (*e.g.*, up to 200 m) (Frankel *et al.* 1995, Rasmussen *et*
117 *al.* 2011, Cartwright *et al.* 2012). Here, we investigate the spatial distribution, habitat
118 preference and social stratification of singers (using high quality song) and other whale
119 groups within a western South American breeding ground (Ecuador) that is at risk from
120 expanding port activities and tourism.

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METHODS

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126 *Study area*

127 Northern Ecuador is one of the multiple breeding locations for humpback whales that
128 migrate along the west coast of South America (Group G) (IWC 2006). Our study area off the
129 Esmeraldas coast extends from the Esmeraldas River (N 0°59'54.1'', W 79°38'37.7'') to
130 Punta Galera (N 0°49'10.15', W 80°02'55.67'') (Fig. 1). We surveyed 1,988 km² of the
131 continental shelf to the 200 m contour, approximately 70 km offshore. The study area (Bajos
132 de Atacames) is tropical, due to the influence of the Panama current and Equatorial
133 Countercurrent (Murphy 1938). The seabed structure is composed of areas with hard
134 substrates, mixed bottoms composed of sand and rock, rock walls (mixed substrate 36%), and
135 soft bottoms containing muddy channels (soft bottom 64%), ranging in depths from 10 to 60
136 m, with deeper waters (1,000 m) off the continental shelf (Denkinger *et al.* 2006).

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138 *Data collection*

139 Boat-based humpback whale acoustic surveys were conducted for 32 d, between June
140 and August 2012 (Table 1). During the surveys we travelled at a speed of approximately 20
141 km/h on randomly distributed routes covering the entire research area from South to North
142 and from shallow waters to >50 m depth in the West. We conducted a standardized *ad hoc*
143 acoustic sampling effort every 25 to 30 min ($n = 32$ acoustic recording and visual surveys)
144 (Fig. 1) covering different parts of the study area each day. We sampled at acoustic stations
145 with a minimum of 10 km distance between each other in order to avoid spatial
146 autocorrelation.

147 Songs were recorded when a clear pattern of sound units were produced by a singer.
148 The songs were classified as good to very good (high quality) signal-to-noise ratio (SNR)
149 based on a loud, clear song of a single individual and the ability of an analyst to identify all

150 units present and follow the theme pattern to identify song structure (*e.g.*, Garland *et al.* 2011,
151 2012, 2013*a, b*). When high quality song was present it was recorded for 30 min or more.
152 Other recordings, lasting from 5 to 15 mins, were carried out to confirm recording quality or
153 the absence of song. The locations of recordings with high quality, clear song were included
154 in spatial and habitat preference analysis for singers.

155 During each song recording and when whales were sighted, information on sea state,
156 geographic position, group size, presence of calves, underwater sounds, and behavior was
157 noted. Acoustic recordings were made with an H2a-XLR omnidirectional hydrophone
158 (sensitivity of -180 dBV/uPa +4 dB, from 20 Hz to 100 kHz) and a Tascam DR-40 tape
159 recorder (WAV files, 16 bit, 44.1 kHz). Songs were recognized from the distinctive species-
160 typical harmonic sounds, long vocalization times, and repeating patterns (Payne and McVay
161 1971).

162 Social groups and group membership were identified through synchronized behavior
163 and individuals within two body lengths of each other (Whitehead 1983, Weinrich 1991). The
164 groups were identified as: singleton, pairs, mother-calf pair, mother-calf-escort group, or
165 competitive group (see Tyack and Whitehead 1983). Singers were presumed to be male, and
166 the closest animal to a calf was presumed to be its mother, thus female (*e.g.*, Darling *et al.*
167 2006).

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169 *Spatial analyses*

170 Recording locations with high quality song and visual whale sightings were mapped
171 and displayed in ArcMap software on a chart with information on depth ranges and bottom
172 structure (see Denkinger *et al.* 2006). We grouped depth values, which were used to explore
173 the spatial distribution and habitat preference of each whale group. Depth was divided into
174 three categories: <20 m, 20 - 50 m, and >50 m, while substrates were classified as mixed

175 substrate (composed of sand and rock, rock walls) and soft bottom (muddy channels).
176 Recordings with high quality song and group locations sighted within 100 m of the boat were
177 considered as independent events (MacLeod *et al.* 2007). The GPS position was used as a
178 proxy for animal position for all spatial analyses ($n = 154$ social groups matched to depth
179 categories, and $n = 137$ to substrate categories). All spatial analyses and distribution maps
180 were analyzed using the Spatial Statistics toolbox of ArcMap, GIS 10.0.

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182 *Singer locations*

183 To analyze spatial distribution and habitat preference of singers, the locations of
184 recordings with clear, high quality songs were included in spatial analysis. The majority of
185 potential singers in this study were not visually identified (2 of 33 were identified during
186 recording); however, intense and low frequency sounds (“moans”) that were present in all
187 recordings, together with the presence of whales close by (within a radius of 800 m), allowed
188 us to empirically estimate their position (see Cato *et al.* 2001). Therefore, we assumed that
189 locations of recordings from singers with high quality song were likely to be within 1 km of
190 the boat in order to estimate a potential location for spatial analysis (Fig. 2). We analyzed the
191 overall spatial autocorrelation of high quality song recordings using a global Moran’s Index
192 to determine a clustered, dispersed, or random spatial distribution (Lloyd 2007). We used
193 song location and song quality to analyze the broad spatial patterns of singers within the
194 study area (Getis and Ord 1992). In addition, a basic Monte Carlo Model simulation was
195 carried out to evaluate the probability of high quality song occurrence at each depth level and
196 substrate (Table 2). From our model, 1,000 random iterations and ten sample repetitions were
197 carried out for each discrete variable (Table 3) (Raychaudhuri 2008), while Neu’s Index
198 analysis was used to explore the possibility of habitat preferences.

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201 *Social group distribution*

202 Data from mother-calf and mother-calf-escort groups were combined into a single
203 category, called groups with a calf, due to data constraints (small sample size). An
204 exploratory Nearest Neighbor Analysis (NNA) using the cumulative spatial distribution of all
205 humpback whale group compositions and within social groups was carried out to explore the
206 distributions of social groups (uniform, random or clustered) within the study area (Table 4).
207 The NNA is expressed as a ratio of the observed distance divided by the expected distance
208 (based on a random distribution with the same number of data points) (Johnston *et al.* 2001,
209 Manly *et al.* 2002, Mitchell 2005).

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211 *Habitat Preference*

212 Neu's method was used to detect habitat preference by singers and different social
213 groups for particular depth ranges (0 - 20 m, 20 - 50 m, >50 m) and substrate types (muddy or
214 mixed substrate). We used a chi-squared goodness-of-fit test of numbers of high quality
215 songs (singers) obtained by a random Monte Carlo model and social group crude data to
216 determine whether the utilization (frequencies) of depth and substrate type was proportional
217 to their availability (Neu *et al.* 1974; Randall and Steinhorst 1984). We then created
218 Bonferroni confidence intervals to calculate the true proportion of utilization and expected
219 values for recording song from singers and social groups. We used confidence intervals (CI
220 95%) to determine whether whales exhibited "no preference" (the expected value was above
221 the confidence intervals), "neutral" (the expected value was inside the confidence intervals)
222 or "preference" (the expected value was below the confidence intervals) (see Cartwright *et al.*
223 2012, Guidino *et al.* 2014).

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RESULTS

227 *Song recordings*

228 Song was common in the study area and routinely recorded (5 of 143 recordings did
229 not detect song) through sampling in the three distinct depth categories <20 m, 20 - 50 m, and
230 >50 m. Moran's Index spatial autocorrelation analyses suggested that the location of high
231 quality song recordings ($n = 33$) and thus singers, were not randomly distributed in our study
232 area (Moran's Index = -0.0231, expected Index = -0.0312, Z - Score = 0.2388, $P < 0.8113$,
233 IC = 90%); singers displayed a dispersed distribution. Accordingly, the Monte Carlo
234 simulation and Neu's method (Table 5, 6; Fig. 3) indicated that high quality song was more
235 likely to occur in depths of <20 m and over a mixed substrate. For depths between 20 and 50
236 m, singers showed a neutral or 'no preference' pattern; however, taking into account the
237 availability of habitat on this breeding ground, singers do not appear to prefer depths
238 exceeding 50 m (Table 5, 6).

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240 *Visual sightings*

241 A total of 579 whales were observed in 304 sightings with a group size ranging
242 between one and eight individuals (mean group size = 1.90, SD = 1.12). Of the 304
243 observations, only groups sighted within 100 m of the boat ($n = 154$) were included in the
244 spatial and habitat preference analyses. Singletons (42 %) and pairs (33 %) were the most
245 commonly observed groups, followed by groups with a calf (13%) and competitive groups
246 (12 %).

247 Within the study area, the overall distribution of humpback whales (among all social
248 groups) was clustered over certain depth and substrate composition ranges (NNA index value
249 = 0.72, Z -Score = -6.55, $P < 0.01$). However, within social groups, competitive groups

250 showed a random distribution, whereas singletons, pairs, and groups with a calf showed a
251 clustered distribution over particular depths and substrate types (Table 4; Fig. 2). The
252 clustered distribution within groups was not statistically significant ($P > 0.05$), except for
253 pairs ($P < 0.01$, index value = 1.026) (Table 4). Spatial analysis indicated a clustered
254 distribution with a slight segregation of social group types (*i.e.*, groups with a calf, pairs, and
255 singletons) across the study area (Fig. 2).

256 All social groups (singletons, pairs, groups with a calf, and competitive groups) were
257 sighted in depths of less than 20 m, and the majority of sightings for each social group were
258 over a mixed bottom type (Fig. 2). Neu's method indicated that expected depth values were
259 significantly different from observed values for singletons and groups with a calf ($P < 0.05$).
260 Singletons and groups with a calf showed a significant preference for shallower water (<20
261 m), while pairs appear to present a neutral or no particular preference to depth (Table 5).
262 Pairs and groups with a calf showed a particular preference for mixed bottom substrates,
263 supported by the significant difference in expected and observed values for substrate type
264 ($P < 0.05$; Table 6). In comparison, the chi-squared goodness-of-fit test showed competitive
265 groups displayed no preference towards any particular substrate or depth (Table 5, 6).

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DISCUSSION

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The prevalence of song, young calves, pairs, and competitive groups indicates that the coast of Esmeraldas represents an important breeding ground for the Southeastern Pacific population (Group G). Little is known about the behavioral ecology of humpback whales at breeding grounds within the region. The spatial distribution and habitat preference information of humpback whales on this important breeding and calving ground, provides important baseline information that should be incorporated into conservation efforts for mitigating anthropogenic disturbance at a regional scale.

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Little is known of the distribution and acoustic behavior of singers in the Southeastern Pacific. The present study routinely recorded song throughout the study area. Singers are typically stationary while singing on the breeding grounds, although they are clearly capable of singing while moving (such as on migration) (Noad and Cato 2007). Most singers were not accurately geo-referenced in our study; therefore, we estimated a range of possible locations, based on the audibility of the intense song (moans: clear low-frequency sounds heard often) (Cato *et al.* 2001). Moran's Index indicated that singers displayed a tendency towards a dispersed distribution. Previous studies suggest that humpback whale singers can be found spaced between other singers, with a higher density of singers in nearshore waters (*e.g.*, Tyack 1981, Frankel *et al.* 1995). The explorative spatial analysis detected similar patterns in our study. Singers displayed a significant habitat preference to mixed substrates and shallow water <20 m (Table 5, 6). This may be the result of uneven sampling effort as most effort was focused in shallower water. However, 40% of the acoustic sampling effort ($n = 143$ samples) was in deeper water yielding sufficient opportunity to record high quality song from singers throughout the Esmeraldas study area including deeper waters.

299 At wintering grounds off the coasts of Central America, singing humpback whales
300 have showed a different distribution pattern. Singers have been more commonly found in
301 deeper depths of 30 to 50 m, but also occur further offshore at 50 to 100 m depth (Rasmussen
302 *et al.*, 2011). Further, singers and other social groups (*e.g.*, pairs, singletons, mother-calf
303 pairs, and competitive groups) may present an overlapped and clustered distribution, as
304 observed in Osa Peninsula, Costa Rica (Oviedo and Solís 2008).

305 Whitehead and Moore (1982) reported that singers in the West Indies were generally
306 found over smooth bottoms and shallow, flat bottom substrates. The location and the
307 undertaking of singing may be influenced by a number of factors including social, temporal,
308 spatial, and acoustic requirements (*e.g.*, sound transmission and propagation in different
309 habitats). For example, smoother substrates may be more absorptive to sound energy (song),
310 while sandy substrates are more reflective potentially improving sound propagation in this
311 habitat (Mercado and Frazer 1999). Singers in our study displayed a preference for shallow
312 water and mixed substrates. Similar trends have been observed at North Stradbroke Islands
313 on the east coast of Australia (Cato *et al.* 2001, Noad *et al.* 2004) and off the northwestern
314 coast of the 'Big Island' of Hawaii, where singers display a slight preference for flat and
315 sandy bottoms (Cartwright *et al.* 2012). However, singers are also found in deeper water
316 (Frankel *et al.* 1995, Rasmussen *et al.* 2011). These oceanographic and topographic features
317 may influence singer distribution and this preference may vary geographically among
318 breeding grounds.

319 In addition, interactions of singers with surrounding social groups are likely to affect
320 their location (Whitehead and Moore 1982, Smith *et al.* 2008). Singers may simply be
321 broadcasting their songs in areas of higher whale density, using these core areas to increase
322 the probability of being heard. This aggregative behavior in higher density areas may explain
323 their wider distribution throughout the breeding ground in our study, whereas at a finer scale

324 singers are located in the mid-depth range (10 - 50 m) and over mixed substrate frequented by
325 females with or without a calf. Smith *et al.* (2008) found that singers could join a female with
326 a calf, supporting an intersexual function to song. However, singers could also attract rival
327 male competitors, potentially placing the singer at a disadvantage if this yielded competitive
328 interactions or hampered the biological effectiveness of each singer.

329 The spatial distribution and habitat preference of humpback whales on other wintering
330 grounds indicates that social group stratification and clustering occurs based on geographic
331 parameters (Rasmussen *et al.* 2007, Bruce *et al.* 2014). From our limited data, groups with a
332 calf (mother-calf pairs and mother-calf-escort groups) displayed a clustered distribution, and
333 showed a preference for shallow water less than 20 m (79%), and mixed substrates (70%),
334 which may provide additional shelter and protection of their young from prospecting males
335 (*e.g.*, competitive groups). Off West Maui, Hawaii, females with a dependent calf occurred
336 most often in shallow water to avoid unwanted male presence, suggesting a maternal strategy
337 (Craig *et al.* 2014). In Jervis Bay, southeastern Australia, mother-calf pairs are found in areas
338 with a gentle slope and calm water (from 15 to 20 m in depth and up to 20 km from shore)
339 (Bruce *et al.* 2014). However, at Au'au Channel, Hawaii, groups of adults appear to avoid
340 water depths of less than 40 m and more than 80 m, while mother-calf pairs prefer depths
341 between 40 and 60 m, and rugged topography (Cartwright *et al.* 2012). It is possible that
342 other factors such as human activities (*e.g.*, recreational fishing, level of navigation, whale
343 watching, and shipping traffic) are impacting the distribution of humpback whales.

344 Pairs are associations commonly formed between sexually mature males and females
345 with the intention of mating (Tyack and Whitehead 1983, Mobley and Herman 1985,
346 Clapham 1996). They have been frequently reported at important breeding grounds on the
347 eastern coast of Australia (*e.g.*, Brown *et al.* 1995, Burns 2010) and recently, at a breeding
348 ground in northern Peru, Southeastern Pacific (Guidino *et al.* 2014). These mating pairs may

349 be dynamic during the breeding season; other males may join the pair (Andriolo *et al.* 2014),
350 which could explain why they didn't show any depth preference but a clear preference to
351 mixed bottoms, where high frequencies of singleton whales occurred on this breeding ground.

352 Competitive groups displayed a more dispersed pattern and, according to Neu's index,
353 this group indicated no preference for a specific substrate type or depth. Males within
354 competitive groups are attempting to gain mating access to a female (Mobley and Herman
355 1985) and are unlikely to be selectively focused on a certain habitat type. Females within
356 these groups, with or without a calf, are likely to be actively attempting to dislodge escorts
357 and may be moving erratically with little regard for their location. Competitive groups were
358 also commonly observed in offshore waters in our study (>50 m), where it may be easier for
359 the female to maneuver, and males to engage in agonistic interactions, than in shallow water
360 (Erst and Rosenbaum, 2003), where movements may be constrained by seabed structures
361 such as coral heads and large rocks (Whitehead and Moore 1982).

362 The spatial distribution and habitat preference of humpback whales on wintering
363 grounds in the Southeastern Pacific is sparingly reported. Our results indicate that singers,
364 groups with a calf, and singletons showed a significant preference for shallow waters (<20
365 m), while singers, pairs and groups with a calf preferred mixed substrates. Therefore,
366 nearshore waters along the coast of Esmeraldas (similar to other breeding and migratory
367 locations in the Southeastern Pacific and central American Pacific) (Félix and Haase 2005,
368 Oviedo and Solís 2008, Guidino *et al.* 2014) are particularly important to mothers and calves.
369 Information on the acoustic behavior, distribution of social groups and natural habitat
370 preferences in relation to environmental characteristics of humpback whales from long-term
371 surveys and acoustic monitoring will allow definition of key habitats for this population, and
372 help develop efficient conservation management of humpback whales in this marine
373 sanctuary.

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CONCLUSIONS

Spatial analyses revealed singers displayed a dispersed distribution and a preference for shallow waters and a mixed substrate. Singers, singletons, pairs, and groups with a calf had a preference for shallow waters, unlike competitive groups, which showed a slight social segregation within this reproductive area. All behavioral and acoustic data indicated the coast of Esmeraldas is an important breeding ground through the presence of song, the formation of competitive groups actively engaged in antagonistic behaviors in pursuit of a female, and finally, the presence of young calves. This study provides important baseline information on the spatial distribution and habitat preference of humpback whales using social structure and acoustic behavior at this breeding ground of the Southeastern Pacific population (Group G). Results from this study should be incorporated into policy to establish priority areas for protection, management, and conservation measures for Ecuador's waters.

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ACKNOWLEDGEMENTS

We thank Pablo Cabrera for advice on spatial analysis, captain Jose Mojarango and the crew of *Alambrito*, Ludmila Gladek, Laura Recoder, Sara Carrasco, and all the volunteers and local assistants involved in this work. The study was supported by a Rufford Small Grant, and the UniGIS laboratory (USFQ) provided their facilities to carry out spatial analysis. In-kind support came from Acantilado Beach Hotel in the form of field site accommodation. We thank Colin McLeod and Miguel A. Llapapasca for helpful comments on the manuscript and analysis. Research was conducted under permit number N° 008-2012-IC-FLO-FAU-DPE-MA. E.C.G. is currently funded by a Royal Society Newton International Fellowship; part of this work was completed while E.C.G. was funded by a National Research Council (National Academy of Sciences) Postdoctoral Fellowship at the National Marine Mammal Laboratory, AFSC, NMFS, NOAA.

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TABLES AND FIGURES

573 *Table 1.* Survey effort (km²) by depth ranges and substrate composition.

Categories	Study area (km ²)	June (5)	July (18)	August (9)	% covered	Area covered (km ²)
< 20	743.96	102.08	447.54	257.49	8.07	807.11
20-50	452.89	67.61	174.8	130.02	3.72	372.43
> 50	790.83	108.69	130.58	48.11	2.87	287.38
Mixed	324904.89	50.18	254.12	175.22	4.80	479.52
Muddy	687090.29	118.78	412.83	223.23	7.55	754.84

574 () number of days research trips were carried out each month

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578 *Table 2.* Basic Monte Carlo Model simulation with 1000

579 random iterations of song occurrence rates for depth and

580 substrate.

	Depth	Substrate
Sample mean	1.342	1.413
Standard deviation	0.604	0.493
Value MIN	1	1
Value MAX	3	2
Significance level	0.050	0.050
Amplitude C.I.	0.037	0.031
C.I. mean to level (1-alpha)%	1.305	1.382

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587 *Table 3.* Mean, standard deviation (SE), and standard error of the mean humpback whale
 588 song probability (ten sample runs) for each discrete variable
 589 (depth vs. substrate). C.I. 95%.

Depth	mean (sample runs)	N	SE	SEM
< 20	727	10	0.393	0.124
20-50	211.6	10	0.121	0.030
> 50	61.4	10	0.271	0.085
Substrate				
mixed	616.3	10	0.116	0.036
muddy	383.7	10	0.116	0.036

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592 *Table 4.* Average Nearest Neighbor analysis (NNA) within humpback whale social groups.

593 Index values above 1 represent a uniform or ordered distribution, a value of 1 indicates a

594 random distribution, and a value less than 1 represents a clustered distribution.

Social groups	n	Observed Mean Distance (km)	Expected Mean Distance (km)	Z-Score	P-Value	Index Value	Pattern
Singletons	40	0.023	0.023	-0.179	0.857	0.985	Clustered
Pairs	51	0.014	0.018	-3.395	0.000	0.768	Clustered
Groups with a calf	27	0.020	0.021	-0.534	0.593	0.947	Clustered
Competitive groups	19	0.030	0.029	0.250	0.802	1.026	Random

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Social groups	Depths	Available habitat (km ²)	Expected groups (E=np _i **)	Expected groups proportion s	Observed groups (O _i)	Usage or observed groups (P _i)	Bonferroni 95 % C.I. range	Neu's Index	Inference	Chi-square test goodness-of-fit test
Singers	<20	743.96	374.29	0.37	727	0.727	0.541-0.913	0.642	Preferred*	$P < 0.05$, $X^2 = 731.22$, df=2 *
	20-50	452.89	227.85	0.23	211.6	0.212	0.041-0.382	0.307	Neutral	
	>50	790.83	397.87	0.40	61.4	0.061	-0.039-0.162	0.051	No preference	
Total			1000.00		1000					
Singletons	<20	743.96	16.09	0.37	29	0.674	0.486-0.863	0.581	Preferred*	$P < 0.05$, $X^2 = 24.75$, df=2*
	20-50	452.89	9.80	0.23	11	0.256	0.080-2.012	0.362	Neutral	
	>50	790.83	17.11	0.40	3	0.070	-0.033-0.172	0.057	No preference	
Total			43.00		43					
Pairs	<20	743.96	22.08	0.37	31	0.525	0.354-0.697	0.439	Neutral	$P < 0.05$, $X^2 = 12.34$, df=2*
	20-50	452.89	13.44	0.23	19	0.322	0.161-0.483	0.442	Neutral	
	>50	790.83	23.47	0.40	9	0.153	0.029-0.276	0.120	No preference	
Total			59.00		59					
Groups with a calf	<20	743.96	10.48	0.37	22	0.786	0.581-0.990	0.706	Preferred*	$P < 0.05$, $X^2 = 26.64$, df=2*
	20-50	452.89	6.38	0.23	5	0.179	-0.013-0.370	0.264	Neutral	
	>50	790.83	11.14	0.40	1	0.036	-0.057-0.128	0.030	No preference	
Total			28.00		28					
Competitive groups	<20	743.96	8.98	0.37	13	0.542	0.273-0.810	0.472	No preference	$P > 0.05$, $X^2 = 4.75$, df=2
	20-50	452.89	5.47	0.23	6	0.250	0.017-0.483	0.358		
	>50	790.83	9.55	0.40	5	0.208	-0.011-0.427	0.171		
Total			24.00		24					

602 (*) Bonferroni confidence intervals were used to determine habitat preference, detecting significant differences between availability and usage.

603 (**) np_i = expected proportion.

604 Depths are used in proportion to their availability (no preference) as tested by Chi-square goodness-of-fit test.

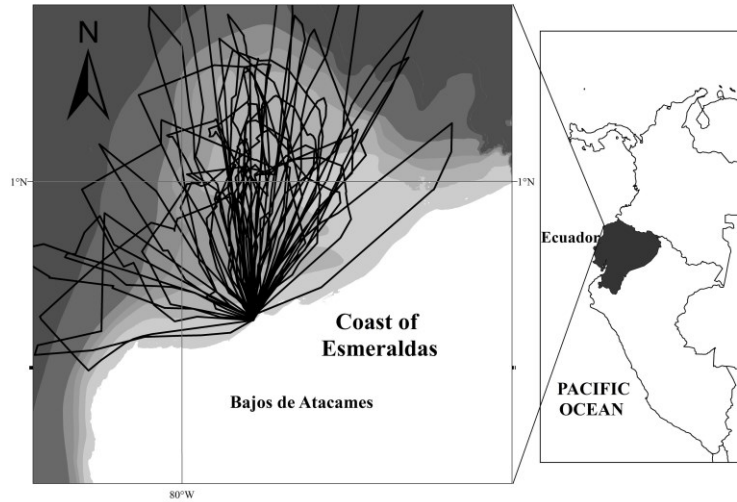
605 *Table 6.* Habitat preference (substrate) of singers and social groups of humpback whales along the north coast of Ecuador (Esmeraldas).

Social groups	Substrates	Available habitat (km ²)	Expected groups (E= $n\pi^{**}$)	Expected proportions	Observed groups (O _i)	Usage or observed groups (P _i)	Bonferroni 95 % C.I. range	Neu's Index	Inference	Chi-square test goodness-of-fit test
Singers	Mixed	32404.89	45.04	0.045	616.3	0.616	0.520-0.712	0.971	Preferred* No preference	$P < 0.05$, $X^2 = 54.10$, df=1*
	Soft bottom	687090.29	954.96	0.955	383.7	0.384	0.288-0.480	0.029		
Total			1000.00		1000					
Singletons	Mixed	32404.89	1.80	0.045	24	0.600	0.515-0.685	0.970	No preference	$P > 0.05$, $X^2 = 1.60$, df=1
	Soft bottom	687090.29	38.20	0.955	16	0.400	0.315-0.485	0.030		
Total			40.00		40					
Pairs	Mixed	32404.89	2.30	0.045	35	0.686	0.615-0.758	0.979	Preferred* No preference	$P < 0.05$, $X^2 = 7.08$, df=1*
	Soft bottom	687090.29	48.70	0.955	16	0.314	0.242-0.385	0.021		
Total			51.00		51					
Groups with a calf	Mixed	32404.89	1.22	0.045	19	0.704	0.607-0.800	0.981	Preferred* No preference	$P < 0.05$, $X^2 = 4.48$, df=1*
	Soft bottom	687090.29	25.78	0.955	8	0.296	0.200-0.393	0.019		
Total			27.00		27					
Competitive groups	Mixed	32404.89	0.86	0.045	11	0.579	0.454-0.704	0.967	No preference	$P > 0.05$, $X^2 = 0.47$, df=1
	Soft bottom	687090.29	18.14	0.955	8	0.421	0.296-0.670	0.033		
Total			19.00		19					

606 (*) Bonferroni confidence intervals were used to determine habitat preference, detecting significant differences between availability and usage.

607 (**) $n\pi$ = expected proportion.

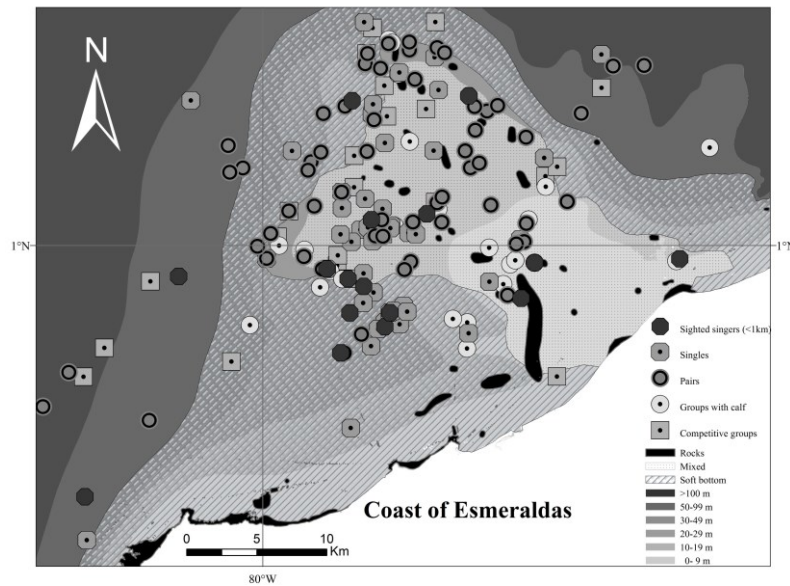
608 Depths are used in proportion to their availability (no preference) as tested by Chi-square goodness-of-fit test.



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611 *Figure 1.* Humpback whale survey transects, the eastern South Pacific region and the study area located
 612 along the coast of Esmeraldas, Ecuador.



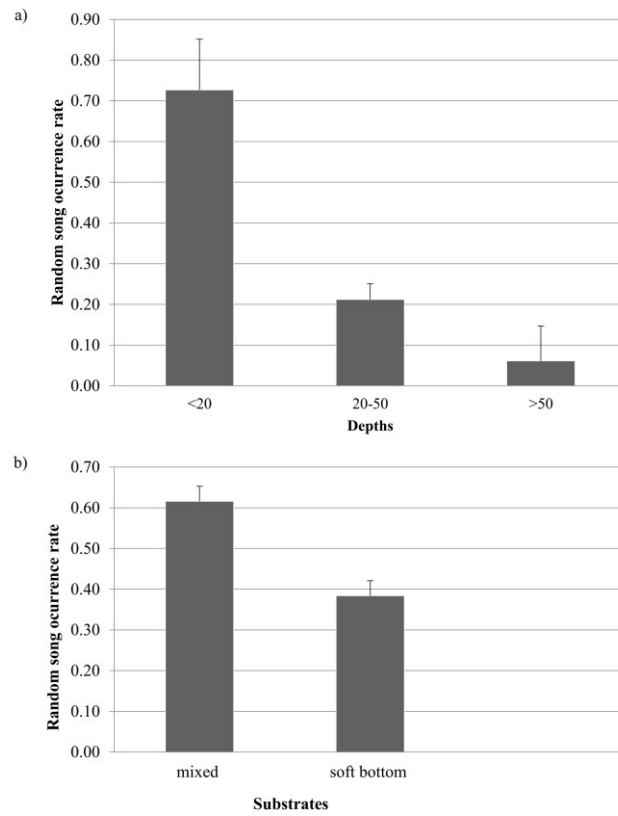
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616 *Figure 2.* Occurrence of songs and whale social groups distribution according to bathymetry (0 to >100
 617 m) and bottom composition (mixed and soft bottom). High quality song (sighted singers < 1 km) are
 618 presented where potential singers were singing.

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622 Figure 3. Random song occurrence rate (mean and error standard) from a Monte Carlo model simulation
 623 with 1000 random iterations for each depth (a) and substrate (b) and tested on ten sample runs (N=10).

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