

1 **Statistical issues in first-in-human studies on BIA 10-2474: neglected comparison of**
2 **protocol against practice**

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12 **ABSTRACT**

13 By setting the regulatory-approved protocol for a suite of first-in-human studies on BIA 10-
14 2474 against the subsequent French investigations, we highlight six key design and statistical
15 issues which reinforce recommendations by a Royal Statistical Society Working Party which
16 were made in the aftermath of cytokine release storm in six healthy volunteers in the UK in
17 2006.

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19 The six issues are: dose determination; availability of pharmacokinetic results; dosing
20 interval; stopping rules; appraisal by safety committee; clear algorithm required if combining
21 approvals for single and multiple ascending dose studies.

22
23 **KEYWORDS**

24 First-in-human; healthy volunteer; study-design; protocol; combined approvals;
25 recommendations

26
27 **Background**

28 *Cytokine release storm in six healthy volunteers in 2006:* In the United Kingdom (UK), Te
29 Genero's highly novel monoclonal antibody TGN1412 caused a cytokine release storm in all
30 6 healthy male volunteers who received it in an initial first-in-human (FIH) cohort of eight
31 subjects, two of whom were randomized to placebo^[1]. Cytokine release storm was an
32 anticipated serious adverse event but the chance of its occurrence was presumed low. A

33 contract research organization, Parexel, had conducted the TGN1412 study on behalf of
34 Germany's Te Genero. The UK regulator and ethics committee had permitted an inter-
35 administration interval of only 10 minutes between subjects.

36 The Royal Statistical Society's (RSS) Working Party on Statistical Issues in First-in-Man
37 Studies therefore recommended the justification *always* of a proper inter-administration
38 interval between successive subjects, and also specification of the waiting time for
39 laboratory-based results which pertained to subjects' 'safety'^[2], see **BOX 1**. As we shall
40 see, both issues recurred in the suite of FIH studies in France on BIA 10-2474, an inhibitor of
41 fatty acid amide hydrolase (FAAH).

42 The Duff report on TGN1412^[3] led to a revised European guideline on strategies to identify
43 and mitigate risks for FIH trials^[4], but its provisions on inter-administration intervals had
44 been weakened through consultation^[5]. The European Medicines Agency is consulting until
45 February 2017 on its November 2016 revision^[6] which, although substantially improved,
46 remains insufficiently strict in section 8.2 on precautions to apply between treating subjects
47 within a cohort, see below; and between cohorts, see **BOX 2**.

48 *Fatality and four other serious-adverse-event hospitalizations in healthy volunteers in 2016:*
49 France's Agence Nationale de Securite du Medicament et des Produits de Santé (ANSM)
50 gave approval on 26 June 2015 for a contract research organization, Biotrial, to conduct a
51 suite of healthy volunteer FIH studies in Rennes on the Portuguese firm BIAL's FAAH-
52 inhibitor, BIA 10-2474^[7].

53 Seven single ascending dose (SAD) escalations (6 of them doublings from 1.25 mg to 40 mg;
54 then 100 mg) were followed by a shift to multiple ascending doses (MAD), the details of
55 which were unspecified in the protocol but entailed once-daily administration for 10 days.
56 ***Two subjects (one actively treated, one placebo) in only the initial lowest-dose SAD cohort***
57 ***(0.25 mg)*** were administered their assigned medication 24 hours ahead of the remaining six
58 volunteers in the SAD-1 cohort (five actively treated, one placebo). Subsequent SAD and
59 MAD cohorts of eight subjects (six actively treated, two placebo) lacked even a single
60 sentinel-pair, see **BOX 3**.

61 Tragically, on 10 January 2016, the fifth day of daily dosing at 50 mg in the MAD-5 cohort,
62 BIA 10-2474 caused the sudden onset of symptoms (including blurred vision and severe
63 headache; also slurred speech and ataxia, as recently revealed^[8]) and, by evening,
64 hospitalization of a healthy male volunteer who became comatose by late morning on 11
65 January and died on 17 January 2016^[7]. Notwithstanding his hospitalization (and clinical
66 symptoms in a second volunteer on Day 5^[8]), the remainder of the MAD-5 cohort received
67 their sixth dose at around 8 o'clock in the morning of 11 January. Of the five who were
68 actively treated on Day 6, two developed neurological symptoms and were hospitalized that
69 day, two more on 12 January, with the fifth hospitalized on 13 January as a precaution.

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72 **Chronology, disclosures and investigations in France**

73 Biotrial/BIAL suspended the MAD-5 cohort on 11 January 2016 after the condition of the
74 first hospitalized volunteer worsened and symptom onset in two others; ANSM was informed
75 on 14 January; the Biotrial protocol^[9] was published on 22 January 2016, after Le Figaro had
76 leaked it^[10]; preliminary and final reports by Inspection Generale des Affaires Sociales
77 (IGAS) were made on 4 February and 23 May^[7 11 12]; and by France's Temporary Specialist
78 Scientific Committee (TSSC) on 7 March and 19 April^[13]. The TSSC had access to the
79 Investigator Brochure (IB) which describes dose-related adverse events in four animal
80 species^[13]. The IB has also been leaked but, even 11 months after the fatality on 17 January
81 2016, BIAL has failed to publish the IB despite repeated calls for its publication ^[7 14-16]. The
82 French press ^[17-20] has made important disclosures at the behest of volunteers and in defence
83 of Biotrial's duty-doctor, some of which conflict with the investigatory accounts.

84 The TSSC strongly suspected that an off-target effect of BIA 10-2474 was responsible ^[13]. If
85 BIA 10-2474's mode of action was solely FAAH-inhibition, TSSC questioned the exposure
86 of healthy volunteers to doses higher than 5 mg, as FAAH inhibition had already occurred
87 although extrapolation from pre-clinical studies had suggested 10-40mg could be needed for
88 FAAH-inhibition. Pharmacodynamic (PD) analyses showing 100% FAAH inhibition by 5mg
89 should have been available to inform dose escalation decisions in subsequent SAD cohorts,
90 let alone in MAD cohorts ^[16]. The testing of very high non-pharmacological doses to
91 establish a Maximum Tolerated Dose is ill-advised in healthy volunteers ^[6].

92 The TSSC noted steepness in the dose-escalation curve and apparent lengthening of the half-
93 life so that dose-escalation should have been moderated and informed by the preceding
94 cohort's PK results, see **BOX 3**. The TSSC also cautioned that individual variation in
95 pharmacokinetic (PK) parameters, not just means, matters: see "Bayesian methods in
96 pharmaceutical practice" ^[21].

97 *Lacking from the investigatory accounts:* As statisticians, we had expected critical
98 examination of the ANSM-approved BIAL/Biotrial protocol including comparison of what
99 was written in the protocol with what was done; an audit-trail of dates for the receipt at
100 BIAL/Biotrial of each cohort's analysed PK and/or PD results; clear documentation of the
101 data (PK and/or PD, adverse events, external) that were appraised by the BIAL/Biotrial safety
102 committee at each dose-escalation decision – especially the decision to administer 50 mg
103 daily for 10 days when the approved protocol had made no explicit mention of a 50 mg dose;
104 and an unambiguous account (by assigned treatment, volunteer code, and ideally with
105 consent) of the adverse events experienced. In extremis in FIH studies, as here, medical
106 confidentiality should be balanced by the wider public good, as some volunteers and families
107 have demonstrated.

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110 **Focus on key statistical issues**

111 By setting the ANSM-approved protocol against the subsequent investigations, we highlight
112 six key design and statistical issues which reinforce recommendations by the RSS working
113 party, see **BOX 1**. The six issues are: dose determination; availability of PK results; dosing
114 interval; stopping rules; appraisal by safety committee; clear algorithm if combining
115 SAD/MAD approvals.

116 *Dose determination – rationale and in practice:* No dose was pre-specified in the ANSM-
117 approved protocol for any MAD cohort: if the maximum tolerated dose was not reached after
118 completing MAD-4, ANSM permitted that up to four additional MAD cohorts could be
119 added. The Ethics Committee, which gave approval on 3 July 2015, had queried what
120 information would be given to MAD volunteers about the scheme for determining which
121 doses to administer. Re-assurance was given to the Ethics Committee that volunteers would
122 be told the assigned dose ^[7], but this is not the same as explaining the rationale for how that
123 dose was determined.

124 On 24 April 2016, De Pracontal reported that volunteer 2508 (who subsequently died) had
125 recounted to his partner that the team at Biotrial had decided to increase the administered
126 dose in MAD-5 from 40 mg to 50 mg “because they had estimated that there would not be
127 enough of effects at 40 mg” ^[18]. For this dose-escalation in particular, investigatory reports
128 should have clearly specified: i) the PK (and, see **BOX 2**, PD ^[6]) analyses from previous
129 SAD and MAD cohorts that were actually considered by the safety committee, ii) the adverse
130 events from previous SAD and MAD cohorts that were appraised by the safety committee,
131 iii) pertinent other information considered and iv) the written final rationale by which the
132 safety committee authorized escalation from 20 mg daily for 10 days in MAD-4 to 50 mg
133 daily for 10 days in the MAD-5 cohort.

134 *Safety precautions – PK results, per-protocol versus in practice:* The ANSM-approved
135 protocol had clearly stated that the dose levels for the first 4 MAD cohorts would be
136 determined: “*after evaluation of the safety, tolerability and available pharmacokinetic (PK)*
137 *results of previous SAD and MAD (when applicable) dose groups.*” As the interval between
138 SAD and MAD cohorts was 7 to 14 days except for the SAD-2 cohort (31 days) and MAD-5
139 cohort (18 days), Eddleston et al. ^[22] concluded: “Except for the second cohort, the delay
140 between cohorts did not allow the previous cohort’s pharmacokinetics to be considered
141 before starting another, something recommended in the RSS report”. The planned last study
142 in the FIH suite of four was for PD analyses.

143 Collection schedules (for blood and urine samples) and a data analysis plan were set out. But
144 there was no schedule for Biotrial’s receipt of PK results. And despite calling for a debate on
145 open data from FIH studies ^[13], the TSSC did not disclose the actual PK results from SAD-
146 cohorts at 20 mg, 40 mg and 100 mg; nor from MAD-cohorts at 10 mg and 20 mg; *nor*
147 *precisely when* the latter results were received at Biotrial ⁷ for review by its safety committee
148 as, *per-protocol for the MAD cohorts* (see **BOX 3: PRECAUTION**), they should have been
149 before determining that MAD cohort-5 would receive 50 mg daily for 10 days.

150 Divergence from what was written in the protocol for MAD versus SAD cohorts (see **BOX 3**)
151 was not highlighted when the TSSC reported that, in practice, from the MAD-3 cohort (10
152 mg), administration to MAD-n cohort was based on the PK information from the MAD-(n-2)
153 cohort. For the MAD-5 cohort (50 mg), this delay was 40 days but, as Eddleston et al. ^[22]
154 have pointed out, the delay was only 18 days between the end-date of the MAD-4 (20 mg)
155 and initiation of MAD- 5: too short for the PK information from the MAD-4 cohort to have
156 been taken into account ^[2,3].

157 *Safety precautions – dosing interval and escalation stopping rules, per-protocol versus in*
158 *practice:* The protocol stated that, if there were drug safety concerns for MAD-cohorts, the
159 subjects’ dosing would be staggered (a maximum of 4 subjects dosed on the same day and 24
160 hours of follow-up necessary before dosing the remaining subjects). This did not happen and
161 so we may infer that the safety committee had no such concerns.

162 Stopping rules for safety, given as a guideline only in the protocol, stated that the dose should
163 not be escalated further if one of four circumstances occurred in subjects *within the same*
164 *cohort* (our italics), unless it was obvious that the occurrence was not related to the
165 administration of the treatment. First of these four circumstances was: drug-related severe
166 adverse event of the same character in *4 or more subjects*. The other three (laboratory
167 abnormalities; changes in vital signs; confirmed changes in ECG) required clinically
168 significant drug-related occurrence in *6 or more subjects – despite each cohort having only 6*
169 *actively treated subjects*.

170 Biotrial claimed that its FIH designs were in line with current regulatory guidance. If so,
171 stopping rules for safety in FIH studies need to be reviewed since the approved protocol
172 permitted drug-related severe adverse events to be observed in half the healthy volunteers
173 without necessitating a stay on dose-escalation. By contrast, several published designs use
174 dose-response models to curb the adoption of dangerously high doses by predicting safety
175 outcomes for future cohorts ^[23-25].

176 *Appraisal by safety committee– per-protocol versus in practice:* As is required in Phase I
177 studies, dose-escalation in the MAD stage was also conditional on the absence of toxic
178 effects in volunteers at the preceding dose-level upon appraisal by an advisory committee.
179 Unlike in Phase II/III studies, there is no requirement for independent membership of Phase I
180 safety committees. The BIAL/Biotrial advisory committee judged that double-vision, later
181 described by TSSC as blurred vision ^[13] (compare page 18 in second report versus page 10 in
182 first), on two separate occasions in each of two volunteers in MAD-3 (10 mg) was unrelated
183 to the study drug and so permitted MAD-4 (20 mg) to proceed.

184 In combination, a lack of transparent audit by BIAL/Biotrial and inconsistent documentation
185 by TSSC about adverse events necessitated recourse to newspaper reports. In May 2016, Le
186 Figaro reported that magnetic resonance imaging (MRI) in 2016 for volunteers in the suite of
187 BIA 10-2474 FIH studies had revealed that an actively-treated volunteer 2305, one of the two
188 with visual disturbances in MAD-3 (10 mg), had had a cerebral vascular accident which may
189 have occurred proximal to his participation in MAD-3. *Le Figaro*, citing an unpublished

190 ANSM report, also claimed prolonged headache for one volunteer in each of MAD-cohorts
191 10 mg or 20 mg, which TSSC classed as non-severe^[13]. The neurological symptoms on 10
192 January presented by the volunteer who subsequently died included double-vision and
193 headache among others^[8], as confirmed by Mediapart's publication of correspondence by the
194 duty-doctor at Biotrial who referred this volunteer to hospital. On referral, the duty-doctor
195 asked whether the patient's condition might be related to the study drug^[20]. The IB was made
196 available to the intensivists during their treatment of the hospitalized volunteers but how
197 quickly remains to be established.

198 To date, there is no properly-dated, consistent account of which PK evaluation reports were
199 received when, and which of them - alongside which adverse-event reports - were considered
200 by the BIAL/Biotrial safety committee prior to approving the next dose escalation. Press
201 reporting of volunteers' experience of adverse events (blurred vision or double-vision;
202 duration; severity of headaches) can appear at odds with the investigatory-teams on what
203 transpired in terms of the evolution of adverse events - including on the morning, afternoon
204 and evening of 10 January 2016^[8] - which led to the hospitalization of a volunteer who had
205 received five 50 mg daily doses of BIA 10-2474.

206 *Combined-approval of SAD and MAD stages needs clear algorithm:* The suite of FIH studies
207 on BIA 10-2474 combined SAD and MAD stages. Had the latter been independently
208 presented for regulatory and ethical approval, the SAD results would need to have been
209 presented to justify the conduct of the MAD stage. By putting these two stages together, the
210 sponsor made such a review impossible. It thus behoved the sponsor to make sure that a clear
211 algorithm for proceeding to, and through, the MAD stage - based on previous results - was
212 provided.

213

214 Flexible trials^[26], in which the information gained early on is used to modify subsequent
215 conduct, have received much theoretical attention in recent years. Regulators do not permit
216 their use in Phase II/III without explicit rules covering modification and the provision of
217 stringent safeguards. Similar safeguards ought to apply in Phase I.

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220 **Discussion**

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222 A common expectation in multiple dose studies is that, based on the available PK
223 information, the steady state concentration that a chosen regimen is expected to reach should
224 not be higher than that already tested in single dose studies. Given that the highest SAD dose
225 had been 100 mg, a 50 mg daily dose over 10 days would be hard to justify unless it were
226 known that elimination of the drug was fairly rapid (say, linear with a half-life of at most one
227 day). Instead, according to TSSC, BIA 10-2474 had a long half-life which extended with
228 increased doses^[13] but the actual PK results were not disclosed.

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230 To enable others to do better, it is important that information on the design and conduct of the
231 BIAL/Biotrial trial, and its results, are shared widely.

232 *Conclusions:* If there is high inter-volunteer variation in susceptibility to risk, a single
233 sentinel-pair {active; placebo}, treated 24 hours ahead of other volunteers in the lowest dose
234 FIH cohort only, as in BIA 10-2474, will be generally insufficient: in some or all cohorts
235 multiple sentinel-pairs, each at 24 hour (or longer) intervals, may be necessary.
236 Implementation of the current^[4] (and future draft^[6]) European guideline on risk mitigation
237 needs to be more thoughtful: both between volunteers within a cohort; and in determining
238 dose-level per-cohort. Regulators should specifically assess how well safeguarding is
239 justified *per-cohort* (eg reliance on single or multiple sentinel-pairs, each at 24 hour
240 intervals); and should appraise the principles (eg on inhibition; maximum occupancy) and
241 precautionary practice by which the dose-level per-cohort will be decided in the light of
242 pharmacological effects at preceding dose-levels. Guidelines serve to assist, not abrogate,
243 thoughtfulness.

244 In the UK, clinical research organizations are registered by the regulator. European regulators
245 should be able to de-register contract research organizations if the safety precautions that
246 were written into approved protocols are weakened in practice.

247 Regulators should be extremely wary of stopping rules for dose-escalation in FIH studies
248 which require at least two-thirds of the actively-treated healthy volunteers to experience
249 severe adverse events before stopping is invoked. The occurrence of possibly related events
250 in preceding cohorts should be taken into consideration^[2]. Consideration might be given to
251 whether having a written charter^[27], which sets out the independent membership, role and
252 responsibilities of safety committees for FIH studies, would assist them.

253 By offering staged approvals, regulators could enable pharmaceutical companies to invoke
254 adaptive designs for FIH studies which use Bayesian methods formally to incorporate PK
255 information from all preceding cohorts. Properly used, and with explicit assumptions, these
256 designs hope to optimize both the number of subjects and the active: placebo ratio for the
257 next cohort of healthy volunteers exposed to higher doses^[2].

258 Latitude in approved protocols should never extend to wholly unspecified dose-levels^[6]. A
259 mechanism is needed for an approved protocol-variation if later dose levels are to be
260 escalated exceptionally (for example, supra-pharmacologically) in the light of data from
261 earlier cohorts; or for another reason.

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264 **Conflicts of Interest:** All authors were members of Royal Statistical Society's Working
265 Party on Statistical Issues in First-in-Man Studies, which SS chaired.

266 SMB holds GSK shares.

267 APG is a statistician working for a CRO providing services for pharmaceutical sponsors, is a
268 past-chairperson of Statisticians in the Pharmaceutical Industry and a past-president of the
269 Royal Statistical Society. APG holds shares in ICON plc.

270 SS holds shares in Novartis and regularly consults for the pharmaceutical industry.

271 **Funding:** SS's work was funded by the European Union's FP7 programme grant number
272 602552 for the IDEAL project.

273

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393 **BOX 1: Royal Statistical Society’s Working Party on Statistical Issues in First-in-Man**
394 **Studies** ^[2] **made 21 recommendations, of which we list 11 below.**

395 *R4.* Before proceeding to a first-in-man study, there should be:

396 (a) quantitative justification of the starting dose—based on suitable preclinical studies and
397 relevant calculations;

398 (b) *a priori* assessment of the risk level for the recommended study dose(s);

399 (c) appraisal of the uncertainty about these recommendations.

400 *R9.* Unless arguments have been provided that the risk is so low that simultaneous treatments
401 are acceptable, in order to allow early evidence of toxicity to halt the trial without risk to
402 subsequent subjects, a proper, or sufficient, inter-administration interval needs to be proposed
403 and observed.

404 *R10.* First-in-man study protocols should provide:

405 (a) justification of the proper interval between administration to successive subjects;

406 (b) justification of the dose steps the trial will use;

407 (c) operational definition of ‘safety’ if investigating safety and tolerability;

408 (d) delay between receiving biomarker or other laboratory results which determine ‘safety’
409 and having obtained the relevant biological sample;

410 (e) prior estimates of the expected number (or rate) of adverse reactions by dose, especially
411 those serious enough to raise questions about ‘safety’.

412 *R11.* Appropriate sample sizes for first-in-man studies can be better justified statistically—
413 rather than by mere custom and practice—when ‘safety’ has been given an operational
414 definition.

415 *R12.* First-in-man study protocols should discuss their chosen design and its limitations
416 together with the implications for analysis. For example, if an unequal allocation between
417 treatment and placebo per dose step is chosen, this affects the ability of the data safety
418 monitors to assess tolerability most efficiently before proceeding to a further dose escalation
419 step.

420 *R13.* First-in-man study protocols should describe their intended analysis in sufficient detail
421 to allow protocol reviewers (and the independent research ethics committee) to determine
422 whether the objectives, design and proposed analyses are compatible.

423 *R14.* The design of first-in-man trials and the analysis of the data should reflect realistic
424 models of the pharmacokinetic data.

425 R16. For first-in-man studies, the standard of informed consent to be observed is ‘open
426 protocol, hidden allocation’—i.e. all aspects of the trial design shall be shared with subjects
427 to be recruited.

428 R17. Public debate and research are needed about the maximum acceptable level of risk for
429 first-in-man studies in healthy volunteers, and about whether there should be risk-adjusted
430 remuneration of healthy volunteers.

431 R18. Competent drug regulatory authorities should provide a mechanism for the
432 pharmaceutical industry to collect and share data on serious adverse reactions in first-in-man
433 studies—to improve *a priori* risk assessment.

434 (a) For example, separate syntheses of study designs and of the occurrences of predicted,
435 theoretical and unprecedented harms—either as serious adverse events or distributional
436 changes in biomarkers—should be considered for healthy volunteers and for patients, by type
437 and novelty of compound, and by *a priori* assessed level of risk.

438 (b) In particular, for the UK, the MHRA should report annually on the designs of, and
439 serious adverse events (whether for the first exposed cohort or at a dose escalation step) in,
440 first-in-man studies in healthy volunteers (*versus* patients) that involved administration of a
441 biological or biotechnology, and for those that involved a chemical compound.

442 (c) The MHRA should also take responsibility for maintaining a central registry of
443 participating volunteers in the UK.

444 R19. Statistical reporting of preclinical studies should be improved to be comparable with the
445 requirements by the International Conference on Harmonisation for the reporting of clinical
446 trials.

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452 **BOX 2: European guidelines on strategies to identify and mitigate risks for First-in-**
453 **Human trials.**

454 **2.1** Draft for consultation ^[5], page 9 (*our italics*):

455 “For trials with high-risk medicinal products, an initial sequential dose administration design
456 should be employed *within each cohort* in order to minimise any risks. Any non-sequential
457 dose administration within each cohort should be justified . . . “

458 **2.2** As finalized in 2009^[4], page 10 (*our italics*):

459 “*It will usually be appropriate to design the administration of the first dose so that a single*
460 *subject receives a single dose of the active IMP.* Further dose administration should be
461 sequential within each cohort to mitigate the risk. Any non-sequential dose administration
462 within each cohort should be justified . . .”

463 **2.3.1** European Medicines Agency November 2016 draft Guideline on strategies to identify
464 and mitigate risks for first-in-human and early clinical trials with investigational medicinal
465 products (IMPs) ^[6], section 8.2.6 on Precautions to apply between treating subjects within a
466 cohort (*our italics*):

467 “*It is considered appropriate to design the administration of the first dose in any cohort so*
468 *that a single subject receives a single dose of the active IMP.* When the study design
469 includes the use of placebo it would be appropriate to allow for one subject on active and one
470 on placebo to be dosed simultaneously *prior to dosing the remaining subjects in the cohort.*

471 There should be an adequate period of time between the administration of treatment to these
472 first subjects in a cohort and the remaining subjects in the cohort to observe any reactions and
473 adverse events. The duration of the interval of observation should be justified and will
474 depend on the properties of the IMP and the interpretation of the available data, including
475 non-clinical PK and PD. Experience and . . . “

476 **2.3.2** European Medicines Agency November 2016 draft Guideline on strategies to identify
477 and mitigate risks for first-in-human and early clinical trials with investigational medicinal
478 products (IMPs) ^[6], section 8.2.7 on Precautions to apply between cohorts (*our italics*):

479 “Administration in the next cohort should not occur before participants in the previous cohort
480 have been treated and PK data, *where available*, or possible adverse events from those
481 participants are reviewed in accordance with the protocol. Thus all relevant data from cohort
482 “n” should be reviewed prior to allowing dosing of cohort “n+1”. *Review of all previous*
483 *cohorts’ data in a cumulative manner is preferred.* Late emerging safety issues that may
484 have occurred after the time-point for the dose escalation decision (*for example, 48 hour*
485 *safety data for each subject set as the minimum data required* but significant event(s)
486 happening at 7 days post dose) can then be considered.

487 All emerging PD, PK and safety data should be critically reviewed against the pre-defined
488 stopping criteria (see section 8.2.10), including exposure limits that are not to be exceeded.

489 Account should be taken of any signs related to potential PD or toxicity targets identified in
490 non-clinical studies. While there can be no delay for safety data, a lack of PD information or
491 a reduced PK data set could be justifiable in some cases, such as a short duration of the PD
492 effect.

493 *The review should include comparison of PK, PD or PK/PD data from any previous*
494 *cohorts with known non-clinical data and safety information to inform the decision, as*
495 *well as . . . “*

BOX 3: Suite of four First-in-Human studies on BIA 10-2474 approved by France's Agence Nationale de Securite du Medicaments et des Produits de Sante (ANSM).

Phase and Cohort	Design {randomly assigned; with between-subject interval of 10-minutes}	Dose	Neurological Adverse Events: according to investigatory reports, press or volunteer accounts
Single Ascending Dose (SAD) Cohorts: 8 SAD cohorts, & approval for 4 more . . . Pharmacokinetic (PK) PRECAUTION: PK results for SAD cohort (n-2) must be available for review before the start of SAD cohort n ^[9] .			
SAD- 1 <i>Begun on 9th July 2015</i>	{ 1 active; 1 placebo } 24-hours' delay, then { 5 active; 1 placebo }	0.25 mg, 1/400 th no-observed- adverse-effect- level (NOAEL) in rats	<i>None reported as far as we know</i>
SAD- 2	{ 6 active; 2 placebo }	1.25 mg	
SAD- 3	{ 6 active; 2 placebo }	2.5 mg	
SAD- 4	{ 6 active; 2 placebo }	5 mg	
SAD-5	{ 6 active; 2 placebo }	10 mg	
SAD-6	{ 6 active; 2 placebo }	20 mg	
SAD-7	{ 6 active; 2 placebo }	40 mg	
SAD-8	{ 6 active; 2 placebo }	100 mg, the human equivalent of NOAEL in rats	
SAD-9 <i>Not done</i>	{ 6 active; 2 placebo }	150 mg, maximally	<i>Not done</i>
SAD-10 <i>Not done</i>	{ 6 active; 2 placebo }	225 mg, maximally	
SAD-11 <i>Not done</i>	{ 6 active; 2 placebo }	337 mg, maximally	
SAD-12 <i>Not done</i>	{ 6 active; 2 placebo }	505 mg, maximally	
Food Interaction (FI) Cohort			
FI-cohort <i>Begun on 12th September 2015</i>	12 healthy volunteers: Study-day & condition (fasted/not fasted) were confounded.	Not pre-specified In practice, dosed at 40 mg on each of two study-days	<i>None reported as far as we know</i>
Multiple Ascending Dose (MAD) Cohorts with daily dosing for 10 days: 4 MAD cohorts but with conditional approval for 4 more ^[9] . . . Pharmacokinetic (PK) PRECAUTION: Protocol stated that the dose levels for the first four MAD cohorts would be determined “ after the evaluation of safety, tolerability and available PK results of previous SAD and MAD (when applicable) dose groups ”.			
MAD-1 <i>Begun on 6th October 2015</i>	{ 6 active; 2 placebo }	<i>Not pre-specified</i> but 2.5 mg	<i>None reported as far as we know</i>
MAD-2	{ 6 active; 2 placebo }	<i>Not pre-specified</i> but 5 mg	
MAD-3	{ 6 active; 2 placebo }	<i>Not pre-specified</i>	Volunteer 2305, who

Begun on 17th November 2015		but 10 mg	received BIA 10-2474 had blurred vision twice, also headache by press-account, and subsequently had cerebral vascular accident diagnosed by MRI. Another volunteer had blurred vision twice.
MAD-4	{6 active; 2 placebo}	Not pre-specified but 20 mg	One or two volunteers each had headache twice.
<i>The ANSM-approved protocol^[9] stated that, if the maximum tolerated dose was not reached after completing the fourth MAD cohort, up to 4 additional MAD cohorts could be added.</i>			
MAD-5 Begun on 6th January 2016. Suspended on 11th January 2016 after the remaining seven volunteers had received their Day 6 dose.	{6 active; 2 placebo}	Not pre-specified but 50 mg	Onset of neurological symptoms, including diplopia and headache, in volunteer 2508 after dosing on Day 5. This volunteer was hospitalized in the evening of 10 th January 2016, became comatose in the morning of 11 th and died on 17 th January 2016. Four other volunteers who each received a sixth 50 mg dose of BIA 10-2474 became symptomatic and were hospitalized. The fifth was not symptomatic but was hospitalized as a precaution ^[8] .
MAD-6 Not done	{6 active; 2 placebo}	Not pre-specified	Not done
MAD-7 Not done	{6 active; 2 placebo}	Not pre-specified	
MAD-8 Not done	{6 active; 2 placebo}	Not pre-specified	
Pharmacodynamic study on 20 healthy volunteers: Not done			