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Engaging Cold to Upregulate Cell Proliferation in Alginate Encapsulated Liver Spheroids

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Abstract:	<p>For many years, the impact of hyper- and hypo-thermia on mammalian cells has been examined. With the exception of short, low temperature storage, which has uses in areas such as preservation for transplantation or regenerative medicine, advantages for the use of low temperature treatment in hepatocytes have not been previously reported. We have observed that alginate encapsulated HepG2 liver spheroids which are cryopreserved or experience a cold reduction in temperature ($\leq 10^{\circ}\text{C}$) for periods between 1 and 90 minutes display an enhanced cell proliferation during culture 7-16 days post-treatment compared with untreated samples.</p> <p>Following 8-12 days post-treatment, alginate encapsulated liver spheroids experienced a cell density of 1.71 ± 0.35 times that of control samples ($p < 0.001$). This effect occurred in samples with a variety of cold treatments.</p>

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Engaging Cold to Upregulate Cell Proliferation in Alginate Encapsulated Liver Spheroids

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Abstract

For many years, the impact of hyper- and hypo-thermia on mammalian cells has been examined. With the exception of short, low temperature storage, which has uses in areas such as preservation for transplantation or regenerative medicine, advantages for the use of low temperature treatment in hepatocytes have not been previously reported.

We have observed that alginate encapsulated HepG2 liver spheroids which are cryopreserved or experience a cold reduction in temperature ($\leq 10^{\circ}\text{C}$) for periods between 1 and 90 minutes display an enhanced cell proliferation during culture 7-16 days post-treatment compared with untreated samples. ~~We have termed this effect cryoanaptiksi (cryo – cold; anaptiksi – growth).~~

Following 8-12 days post-treatment, alginate encapsulated liver spheroids experienced a cell density of 1.71 ± 0.35 times that of control samples ($p < 0.001$). This effect occurred in samples with a variety of cold treatments.

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2
3 ~~Cryonaptiki~~ This low temperature treatment offers a simple method to rapidly increase cell
4
5 proliferation rates for extended culture systems, such as bioartificial liver devices. This would allow
6
7 for the manufacture of required biomass more rapidly, and to a higher cell density, reducing final
8
9 required biomass volume. This could enable bioartificial liver devices to be prepared more cheaply,
10
11 making them a more cost effective treatment.
12

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23 of Cambridge and Asymptote Ltd.
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Introduction

Alginate encapsulation of cells is exploited for several purposes across a range of cell types (1-5). The encapsulation of the HepG2 cell line to form alginate encapsulated liver spheroids (ELS) allows the HepG2 cells to proliferate using the alginate cross-linked with calcium (3, 6) as a 3-dimensional scaffold, increasing per-cell function compared to a cell monolayer (2, 7). These ELS can be cultured in a fluidised bed bioreactor for potential use in an extracorporeal bioartificial liver (6). Culture in a fluidised bed bioreactor is time, cost, and labour intensive, adding significantly to the overall cost of the device. Methods that reduce the culture time necessary for biomass production are highly desirable.

The cryopreservation of these ELS has been studied previously (8, 9), and groups have examined the cryopreservation of other alginate encapsulated cell lines (7, 10, 11). This has been done either to preserve ELS once a sufficient cell density has been achieved, or to explore the possibility that alginate encapsulation may offer some cryoprotection. It was in the course of our work that we observed a stimulatory effect on cell proliferation within ELS following freezing and thawing (9, 12-14). In this study, the potential for low temperatures to induce proliferation of alginate encapsulated cells upon return to culture conditions has been examined in detail. We studied both the impact of chilling (low temperature exposure without freezing) and freezing (ultralow temperature in the presence of ice) in the presence of protective agents such as dimethyl sulfoxide (Me₂SO) or those found in Viaspan (16, 21, 23-26).

Materials and Methods

Cell Culture and Encapsulation

Cell Culture – Static ELS Culture

ELS were added to T175 flasks filled with warmed culture medium of modified alpha-MEM, supplemented with 50 U/ml penicillin, 50µg/ml streptomycin (all Invitrogen, Paisley, UK) 0.5% 1M

CaCl₂ (v/v), (Sigma, Gillingham, UK) and, 10% human blood plasma (National Blood Transfusion Service) at an ELS medium volumetric ratio of 1:32. The ELS were cultured in a 37°C, 5% CO₂ humidified incubator. 100% medium changes were carried out every 2-3 days.

Encapsulation

HepG2 cells were cultured in monolayer (ECACC, Wiltshire, UK). Each experiment used a fresh aliquot from our Working Cell Bank at our GMP cryobanking facility (Fisher Bioservices). These cells were expanded and passaged twice. At reaching 80-90% confluence after the second passage, cells were detached and passaged a third time for encapsulation. An aqueous solution containing 2% (w/v) alginate (Manugel, FMC BioPolymers, Philadelphia, PA, USA) and 1.5% (w/v) 10-50µm glass beads acting as a buoyancy regulator (Kisker BioTech, Steinfurt, Germany) was mixed volumetrically 1:1 in culture medium containing 4 million cells/mL. This gave an approximate final solution of 1% alginate, 0.75% glass beads and 2 million cells/mL (6).

This mix was passed through an encapsulation system (Genialab Jetcutter, Braunschweig, Germany), producing spherical droplets with a targeted 500µm radius which was cross-linked in 0.204M CaCl₂ solution. This resulted in spheroids with individual cells distributed internally. The actual achieved alginate bead size and cell density on encapsulation is shown below in table 1, which varied due to factors such as alginate viscosity, solution surface tension, and cells being lost in washing steps. These individual cells develop into spheroids, although the number of ultimate spheroids is lower due some initial cell death and separate spheroids merging. Figure 1 shows microscopy of spheroids inside beads as cells proliferated over 12 days.

Run	Initial Cell Density (millions/ml)	Alginate Bead Diameter	Average Cells per Bead
A	1.94	681 µm	428
B	1.5	573 µm	197
C	1.76	677 µm	381

D	1.77	753 μm	527
E	1.65	673 μm	360
F	2.13	689 μm	486

The encapsulation method has been described previously (6). The entire process is carried out at room temperature.

Cooling/Warming Methods

Chilling

Unless otherwise stated, ~~cryoanaptiksi~~ low temperatures ~~wasere~~ induced by plunging the samples (1mL solutions of ELS with 1mL excess medium in 2mL cryovials) into the centre of an ice bucket. Care was taken to ensure the whole sample was covered in ice and samples were not agitated during the chilling test. Upon completion of the test time (1 – 90 minutes), samples were removed from the ice bucket and transferred into 37°C culture medium prior to re-culture.

For cooling rate studies, samples were cooled as above, or in an EF600 freezer (Asymptote, Cambridge, UK) at 0.3°C min⁻¹ from 20°C to 0°C. Rapid cooling was achieved by pipetting 1mL samples of ELS directly into 9mL culture medium precooled at 0°C, to induce classical cold-shock (15-17). To test a 10°C chilling condition, samples were placed into an EF600 sample holder which had been pre-cooled and maintained at 10°C.

To determine temperatures in cryovials, a dummy solution containing 10% glycerol (G5516, Sigma) in 0.15M NaCl was prepared and added to cryovials with an inserted thermocouple (Picotechnology, St Neots, UK). This was attached to a Picologger (Picotechnology) and recorded using a computer. This solution has previously been determined to have the same thermal properties as ELS in culture medium (9).

Reagent Studies

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3 In experimental conditions where the impact of reagents on cooling was explored, reagent solutions
4
5 were prepared at 2x concentration in 1mL of culture medium, before addition to 1mL sample
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7 resulting in a 2mL final volume. Samples immediately underwent testing after mixing.
8
9

10 *Cryopreservation Protocol – Me₂SO with Viaspan*

11
12
13 Cryopreservation–Low temperature treatment induced by cryopreservation was examined through
14
15 cooling ELS to 4°C, and mixed volumetrically 1:1 at 4°C with a solution containing 24% Me₂SO
16
17 (D4540, Sigma) in a 76% Viaspan solution (v/v, Bridge-to-Life, Columbia, SC, USA), containing 0.2%
18
19 IceStart (Asymptote, Cambridge, UK) as a nucleating agent, giving a final concentration of 50% ELS,
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21 12% Me₂SO, 38% Viaspan, and 0.1% Icestart.
22
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24 This solution was allowed to equilibrate for 5 minutes, before being filled into 5 separate cryovials,
25
26 to a 2mL final volume. The cryovials were then cooled from 4°C to -100°C at 0.3°C min⁻¹ in an EF600
27
28 controlled rate freezer.
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31 Upon completion of the cooling run, cryovials were transferred to liquid nitrogen storage.
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34 *Cryopreservation Thawing Protocol*

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37 Samples were removed from liquid nitrogen storage and thawed in a 37°C water bath until the last
38
39 ice crystal had melted. This process took 330 seconds. The freezing mix was washed out in a
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41 stepwise manner using culture medium chilled to 4°C (9). Warm culture medium (37°C) was added,
42
43 and the ELS placed in culture at 37°C, in a 5% CO₂ humidified incubator.
44
45

46 Post-thaw Functional Assessments

47 *Viability*

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50 At designated time points, ELS were removed from culture and stained with 20µl propidium iodine
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52 solution (PI, 1mg/mL, Sigma) and 10µl fluorescein diacetate solution (FDA 1mg/mL, Sigma) to view
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54 under a fluorescent microscope.
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3 PI (red) only stains the nucleus of cells with a non-functional membrane, indicating dead cells, while
4
5 FDA (green) only stains metabolically active cells. By comparing the intensities of PI and FDA
6
7 emission using a calibrated macro on a phase-contrast microscope; the cell viability can be
8
9 quantified by a method that has previously been outlined (9, 12).
10

11 *Cell Counts*

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15 Total cell number was determined using an NC-200 automated counting system (Chemometric,
16
17 Allerod, Denmark). The ELS were liberated from alginate using a 16mM EDTA solution (Applichem,
18
19 Darmstadt, Germany) before being washed in phosphate buffered saline (PBS, Sigma) and
20
21 disaggregated by vigorous syringing through a 21G needle.
22

23
24 All cells were lysed in solution and the nucleolus stained with PI. This solution was drawn into the
25
26 automated counter and the stained nuclei counted. As HepG2 cells are mono-nuclear, this was
27
28 converted to a cell density for the total ELS present.
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30 *Metabolic Activity Assay*

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34 A tetrazolium salt (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) assay known as
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36 MTT (Invitrogen) was used to determine cell viability. 0.5 mL of a 0.75% (w/v) MTT solution was
37
38 added to a small volume of ELS in vials with lids porous to gas. The vials were transferred to a 37°C,
39
40 5% CO₂ humidified incubator for 3 h to allow crystal formation. After incubation, 0.5mL acidified
41
42 (4 mM HCl) iso-propanol (W292907, Sigma) solution was added to stop the reaction and dissolve the
43
44 crystals. This solution was added to a 96-well plate, 100µl per well, and the absorbance at 570nm of
45
46 each well was determined with an ANTHOS III plate reader (Biochrom, Cambourn, UK). The
47
48 absorbance values were normalised against a control sample.
49

50 *Glucose Consumption*

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55 Healthy HepG2 cells consume glucose during normal cell function. Samples of the culture medium
56
57 were taken throughout the culture process, and the remaining glucose concentration measured with
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3 a GM7 reader (Analox, Stourbridge, UK) using oxidase enzyme reactions. This was then related to
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5 glucose consumption per sample.
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8 *Enzyme-linked Immunosorbent Assay*

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10 Enzyme-linked immunosorbent assays (ELISAs) to determine alpha-1-fetoprotein (AFP) production in
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12 ELS was carried out on culture medium samples removed during the culture process. A sandwich
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14 ELISA using mouse monoclonal antibodies ab10071 and ab10072 (Abcam, Cambridge, UK) as a
15
16 capture, and as a horse radish peroxidase linked antibody respectively, with Applichem fetoprotein
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18 (A6935) used for a standard curve. The values were normalized either to cell density or ELS volume.
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22 *Imaging*

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24 A phase contrast microscope was used to visually inspect ELS, with images recorded using Nikon
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26 Imaging Software.
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30 *Statistics*

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32 Unless otherwise stated, figures are presented as an average of 5 replicates, ± 1 standard deviation.
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34 p values were determined through an appropriate t-test, with significance determined at $p < 0.001$
35
36 unless otherwise stated. p values for raw data are stated in figure legends.
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40 **Results**

41

42 **Cold Treatment in Culture Medium**

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45 Figure 2 shows a summary of 6 different experiments, each with 5 replicates per condition (except C
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47 where 10 were carried out). For ELS experiencing chilling or cryopreservation on the day of
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49 encapsulation, viable cell number after 8 days (set C), or 12 days (sets A,B,D,E,F) of culture is on
50
51 average $171\% \pm 35\%$ of controls $p < 0.001$. Comparing doubling time for the culture process, the cell
52
53 number density in control samples doubled every 3.3 ± 0.8 days on average, while the chilled or
54
55 cryopreserved samples cell numbers doubled every 2.5 ± 0.5 days on average. Inter-experimental
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3 variation in cell density occurs due to natural variation in the system, explaining the variations seen
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5 in control values, which has also been noted previously (6). An increase in cell number was apparent
6
7 following both cryopreservation and chilling for 45 minutes.
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10 **Impact of Duration and Temperature of Cold Exposure**

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12 Figure 3 demonstrates that increasing exposure of cells to low temperatures from 30 to 90 minutes
13
14 does not significantly change the outcome between the sets. All sets achieved significantly improved
15
16 cell numbers over the unchilled control ($p < 0.001$). Cell numbers after 8 days of culture were
17
18 19.1 ± 1.6 , 30.7 ± 4.0 , 34.6 ± 3.2 , and 38.3 ± 3.5 million cells/mL for ELS samples experiencing no low
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20 temperatures, a 30 minute hold at 0°C , a 45 minute hold at 0°C , and a 90 minute hold at 0°C
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22 respectively.
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26 When placed into an ice bucket, the sample temperature fell below 10°C after approximately 80
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28 seconds, and below 4°C after 3 minutes.
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32 Cell viability was recorded as $96.5 \pm 0.7\%$, $96.1 \pm 0.5\%$, $95.9 \pm 1.1\%$, and $94.3 \pm 1.4\%$ for ELS samples
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34 experiencing no low temperatures, 30 minute hold at 0°C , 45 minutes at 0°C , and 90 minutes in an
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36 ice bucket, resulting in a viable cell density of 18.5 ± 1.6 , 29.5 ± 3.8 , 33.2 ± 3.2 , and
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38 36.1 ± 3.5 million cells/mL respectively.
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42 While the encapsulation process takes place at room temperature, Figure 3 also demonstrates that
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44 cooling samples to only 10°C for 45 minutes is sufficient to induce rapid cell growth, with a day 8 cell
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46 density of 19.1 ± 1.6 and 41.6 ± 4.3 million cells/mL for the control and cooled sample. This
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48 translates to a viable cell number 2.13 ± 0.22 times that of the control ($p < 0.001$).
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50 **Cooling Rate and Time Dependency of Low Temperature Treatment**

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53 To determine whether the rate of initial cooling was critical for the eryoanaptiksi-increased
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55 proliferation to become apparenteffect, five conditions were tested:
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- (i) Plunging 2 mL samples within cryovials into an ice bucket, and holding for 30 minutes prior to re-warming.
- (ii) Plunging 2 mL samples within cryovials into an ice bucket, and holding for 60 minutes prior to re-warming.
- (iii) Pipetting 1 mL ELS into 9ml pre-cooled culture medium (at 0°C) to induce immediate cooling, and re-warming after 1 minute.
- (iv) Pipetting 1 mL ELS into pre-cooled culture medium (at 0°C) to induce immediate cooling, and re-warming after 30 minutes.
- (v) Cooling samples linearly from 20°C to 0°C at 0.3°C min⁻¹ in cryovials in an EF600 controlled rate freezer.

Cell viabilities for each of the experimental conditions tested were 98.5 ± 0.4%, 98.8 ± 0.2%, 98.0 ± 1.5%, 98.9 ± 0.2%, and 98.4 ± 1.0% for (i) through (v) respectively (figure 3). 12 days post thaw a viable cell number of 27.3 ± 1.8, 24.6 ± 1.8, 31.9 ± 2.4, 29.0 ± 0.9, and 30.9 ± 2.2 million cells/mL was recorded for (i) through (v) respectively, as is shown in Figure 4. Untreated controls had 96.5 ± 0.7% viability and 15.6 ± 1.6 million cells/mL 12 days post-thaw.

Effect of Chilling Versus Cryopreservation

Experiments were carried out to determine if the different stresses, either chilling or cryopreservation followed by rapid thaw, have a different effect on the magnitude of cell proliferation. Figure 5 shows a representative effect from 12 days of re-culture, for two separate experiments (Sets A and B).

Set A showed chilled and cryopreserved samples with a viable cell number of 35.1 ± 1.3 (p<0.001 vs control) and 32.9 ± 1.6 million cells/mL (p<0.005 vs control) respectively compared with 25.6 ± 5.4 million cells/mL for the control cells.

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3 Set B showed chilled and cryopreserved samples with a viable cell number of 25.5 ± 2.3 ($p < 0.001$ vs
4 control) and 29.3 ± 0.5 million cells/mL ($p < 0.001$ vs control) respectively compared with 13.7 ± 1.1
5 million cells/mL for the control condition.
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10 **Effect of Cryopreservation Reagents**

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12 Cryopreservation, but not chilling, includes the additional experimental variable of exposure to
13 cryoprotectants. Thus the effect of chilling with and without these reagents was investigated.
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15 Furthermore, the addition of Viaspan with no chilling was studied using 45 minutes culture at 37°C .
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18 At 12 days post-treatment, viable cell density was determined to be significantly improved ($p < 0.001$)
19 over the control set for samples ELS chilled in both 12% DMSO and 38% Viaspan, ELS chilled in only
20 culture medium, and ELS incubated in 38% Viaspan at 37°C or chilled in Viaspan. Samples thawed
21 after cryopreservation showed significant improvement over control ($p < 0.005$) as seen in Figure 6.
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28 ELS incubated in Viaspan at 37°C showed no significant improvement in cell density over the control
29 samples. All chilled and cryopreserved samples apart from when ELS was chilled with only DMSO
30 were significantly better than the control ($p < 0.001$). For the Viaspan sets, chilled samples showed
31 significant improvement over control samples ($p < 0.001$).
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38 **Time-course of Cell Proliferation**

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40 Figure 7 shows the impact of cryopreservation on cell number over a period of 12 days post-thaw
41 culture. Cells were initially encapsulated at a density of 1.92 ± 0.2 million cells mL^{-1} .
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43
44

45 For the first 7 days of culture, the cryopreserved samples had a lower viable cell number compared
46 to control samples. On day 8, the cryopreserved samples began to show signs of **cryoanaptiksi**
47 **increased proliferation** and overtook the control samples in terms of viable cell numbers. Viable cell
48 numbers were 9.0 ± 1.6 million cells mL^{-1} for the control versus 6.9 ± 1.0 million cells mL^{-1} for
49 cryopreserved samples at day 5. Viable cell numbers were 11.5 ± 1.0 million cells mL^{-1} for the control
50 versus 12.8 ± 1.0 million cells mL^{-1} for cryopreserved samples at day 8, and 17.9 ± 2.5 million cells
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3 mL⁻¹ for the control versus 37.3 ± 1.5 million cells mL⁻¹ for cryopreserved samples at day 12 showing
4
5 a 2.1 fold increase over the control sample by day 12 (p<0.001).
6
7

8 A separate experiment considered waiting until 12 days post-encapsulation to chill. After 12 days of
9
10 culture, ELS had a density of 20.2 ± 1.1 million cells mL⁻¹ at which point one set was chilled for 45
11
12 minutes while the other remained in culture not experiencing a change in temperature. 120 h after
13
14 treatment no significant difference was observed between the groups with control samples having a
15
16 density of 74.9 ± 7.8 million cells mL⁻¹ versus 83.2 ± 7.5 million cells mL⁻¹ for chilled samples.
17
18 Significant break-out of spheroids from the alginate was observed above around 50 million cells mL⁻¹
19
20 ¹, which likely affected growth above this cell density.
21
22

23 **Unencapsulated Cells**

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25
26 A study was undertaken where a cell suspension in a cryovial was chilled and then returned to T175
27
28 flask culture. Confluence was measured for 7 days after which point the flasks became fully
29
30 confluent. No significant difference was seen between a chilled samples and an unchilled control.
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32

33 **Cold Impact on Cell Function and Extended Culture**

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36 The metabolic changes of the ELS following chilling or cryopreservation, measured by MTT assay,
37
38 was significantly improved over control samples at days 5, 7, and 11 post-treatment (Figure 8A).
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40

41
42 Glucose consumption per mL biomass over 24 h is indicative of cell metabolism. There was no
43
44 significant difference between the rate of glucose consumption in the ELS at any measured
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46 timepoint (days 7, 8, and 12) in line with their increase in cell numbers (Figure 8B). However, alpha-
47
48 fetoprotein (AFP) production per mL biomass over 24 h was significantly higher in fresh samples over
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50 cryopreserved samples.
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53 Viable cell number and cell performance of samples examined days 13-15 post-treatment are shown
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55 in Figures 8D-F. Chilled and cryopreserved samples had significantly higher viable cell numbers at
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57 all measured time points (p<0.001). Glucose consumption per mL ELS per 24 h (Image E) was
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1
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3 significantly higher ($p < 0.001$) between day 13 and day 15 for samples experiencing ~~cryoanaptiksi~~
4 cold treatment over the control.
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6

7
8 Day 13 AFP production (Image F) per ml ELS per 24 h was significantly worse in samples experiencing
9 ~~cryoanaptiksi-cold treatment~~ over the control ($p < 0.002$). ~~Cryoanaptiksi-experiencing-s~~ samples
10 experiencing cold treatment exhibited significantly improved performance per ml ELS per 24 at days
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14 and 15 ($p < 0.001$).
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17 Discussion

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19 In this paper we have demonstrated that alginate encapsulated liver spheroids that are
20 cryopreserved or experience a reduction in temperature ($\leq 10^\circ\text{C}$) for periods between 1 and 90
21 minutes display a greatly enhanced cell proliferation during culture 7-16 days post-treatment
22 compared with untreated samples.
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29 There is an extensive literature of the effects of low temperatures on mammalian cells and tissues,
30 but we are not aware of any instances where an increase in cell proliferation was reported. ~~We have~~
31 termed this new phenomenon cryoanaptiksi (cryo-cold; anaptiksi-growth).
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37 The majority of studies examining low temperature exposure on cells report negative consequences
38 of low temperatures. The extent of these reports range from slower cell proliferation through to
39 apoptosis and necrosis (16, 18-25). Specific stages of the cell cycle can be disproportionately
40 affected (26), the G1 of the cell cycle seems particularly sensitive to the impact of low temperatures,
41 with cells being observed to accumulate in this phase (16, 24, 27). The only field in which low
42 temperatures are regularly used on mammalian tissues without freezing are in cell/tissue
43 preservation and surgery (16, 22, 28, 29).
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52 To our knowledge, no studies have reported the effects of cold culture temperatures to induce rapid
53 cell proliferation; indeed, the consensus is that low temperatures are to be strenuously avoided.
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3 We have not investigated the underlying mechanisms of ~~cryoanaptiksi~~ this effect in this study. They
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5 may be related to cold-shock proteins, or recovery mechanisms remaining active when the initial
6
7 insult of temperature reduction has passed. A previous study found no differences in alginate
8
9 measured by FTIR between cryopreserved and non-cryopreserved (or chilled) control samples (30).
10
11 The effect was not seen in cell suspensions, however as rapid growth may have only been apparent
12
13 around 7 days post-treatment, the effect may have been masked as culture beyond this point in
14
15 T175 flasks results in cell detachment in the HepG2 system.
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18
19 Accelerated cell growth was seen at all initial cell densities tested, varying between 1.5 million
20
21 cells/ml and 2.13 million cells/ml. A 45 minute chill test carried out at a higher cell density of 20
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23 million cells/ml (12 days after encapsulation) did not result in increased proliferation, however this
24
25 may have been a consequence of cell break-out from the cell beads, resulting in an upper cap on ELS
26
27 cell density.
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30 ~~Cryoanaptiksi~~ Low temperature treatment has little time dependence, with samples cooled and
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32 warmed over the space of a minute still displaying increased cell proliferation compared to the
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34 uncooled control, indicating that the mechanism for inducing increased growth ~~eryoanaptiksi~~ is
35
36 triggered very rapidly. While many cell types cannot survive rapid temperature fluctuations (12, 19),
37
38 encapsulated HepG2 cells seem largely immune to above zero temperature fluctuations. This,
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40 combined with observed ~~eryoanaptiksi~~ for cold storage times between 1 and 90 minutes all inducing
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42 increased proliferation will allow this method to be used robustly in large volumes, where consistent
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44 cooling and warming times and rates are difficult to obtain across a sample. The biophysical
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46 mechanism response for this phenomenon is unclear but could be related to phase changes in
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48 membrane lipids, alteration in the cytoskeleton coupled with the unique physical environment that
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50 the cells encounter within the cross-linked alginate.
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54 ~~Inducing cryoanaptiksi~~ Cold treatment reduces cell number relative to the control for several days,
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56 consistent with previous literature (9, 31, 32). It can take up to 7 days for the cell spheroids to
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3 recover from cold induced damage and reduction in cell numbers, and to overtake the control
4 values. This makes the technique it a potentially useful manufacturing step for systems such as
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7 bioartificial livers. The observation that cryopreserved samples display eryoanaptiksi-increased
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10 proliferation to the same degree as chilled-only samples is encouraging. Manufacturing a large
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12 quantity of ELS followed by cryopreservation allows for rapid delivery on demand. Cryopreservation
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14 is necessary for most BAL or tissue engineered constructs to be viable treatments (8, 23, 33).

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16 Alpha-1-fetoprotein (AFP) production tends to be reduced during rapid proliferation. In these data,
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18 the overall performance was slightly reduced in the cryopreserved samples. As cell density was
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20 higher in the eryoanaptiksi-cold-experienced sample, this indicates a large reduction of around 50%
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22 in per-cell performance during the 12-day growth period. In this system, protein production tends to
23
24 be inversely proportional to cell proliferation, as during the growth cycle cellular resources are
25
26 directed to proliferation and not protein production. Studies examining the effects of low
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28 temperatures on hepatocytes found that when holding the cells for 30 minutes at 4°C, albumin
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30 production was reduced up until around 7 days post-thaw, agreeing with observations in this study
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32 around the decreased AFP production. This decrease could be mitigated with addition of
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34 polyethylene glycol (34). Albumin quantification was not undertaken in this study owing to the
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36 presence of human plasma in our culture medium, thus AFP was chosen as the indicative protein.
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41 MTT and glucose consumption was maintained after eryoanaptiksi-cold treatment indicating that the
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43 cell spheroids were healthy. Viability tends to be slightly lower after cold treatment, this is due to
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45 the higher cell density making nutrient transfer more difficult. In most cases the effect was <3%, and
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47 was taken into account in viable cell numbers. Viability remained suitably high throughout, above
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49 90% in all test conditions.
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52 The BAL is intended for use after a 12 day initial growth period, between approximately 12-15 days
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54 post-encapsulation (6). Cell function in this study shows increased protein production as cell growth
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56 rate slows. For effective delivery of these treatments, it would be beneficial to develop a method to
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3 arrest cell proliferation once a desired cell number is achieved to increase protein production. This
4
5 would also eliminate the risk of spheroid break-out observed in 50 million cells/ml and above.
6
7 Methods such as adding a small extra layer to the outside of alginate beads have been shown to stop
8
9 cell proliferation when the alginate bead is full and prevent cell break-out (3).
10

11
12 A major consideration when developing bioartificial organs is the prolonged time required for cell
13
14 culture to achieve sufficient cell number for therapeutic use. By employing low temperature
15
16 treatment to ELS, we have shown that cell proliferation can be greatly upregulated, allowing a more
17
18 economical cell-growth regime, reducing biomass volume required for treatment, and so
19
20 substantially reducing costs. In summary, making these devices cheaper to culture, quicker to
21
22 prepare, and more practical.
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25 Cold treatment could also allow for the possibility of very large volumes of ELS being prepared at the
26
27 same encapsulation, with cryopreservation and thaw on demand and treatment commencing within
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29 8-9 days as opposed to 26 days which is the current set-up (6, 8, 9, 30). Exact volumes dependant on
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31 patient needs could also be determined prior to thaw, removing the one-size-fits-all of many BAL
32
33 systems, and instead tailoring to specific patient needs without the requirement of an additional
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35 thaw.
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39 Optimal growth has been observed in this system when the ELS have an initial cell density of 2
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41 million cells/mL at the start of the culture period. For cell types that experience a reduced viable cell
42
43 number post-thaw, it would be feasible to have a pre-freeze cell density proportionally above the
44
45 optimal level, which reduces to the optimum on thaw, resulting in ideal cell growth conditions.
46
47

48 **Disclosure Statement**

49
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51 No competing financial interests exist.
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3 Dear Prof Jansen and Reviewer,
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5 Thank you again for the time you have taken to consider and review this paper. We agree with the
6 reviewer that the term cryoanaptiksi is premature until we have demonstrated more mechanical
7 insight to the effect, and as such we have removed it from the manuscript replacing it with a
8 descriptive term.
9

10 We have revised the manuscript before the June 1st data as requested and hope this will help with
11 the expedited publication.
12

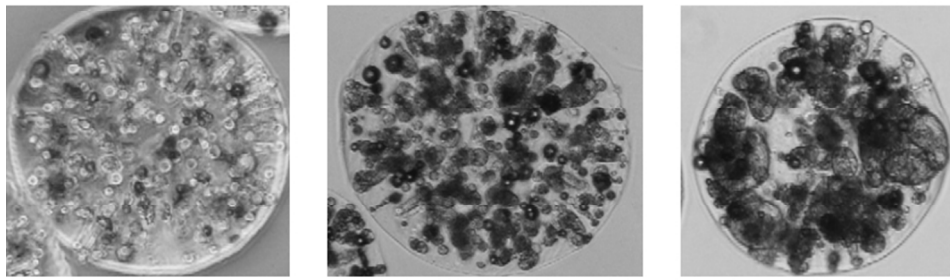
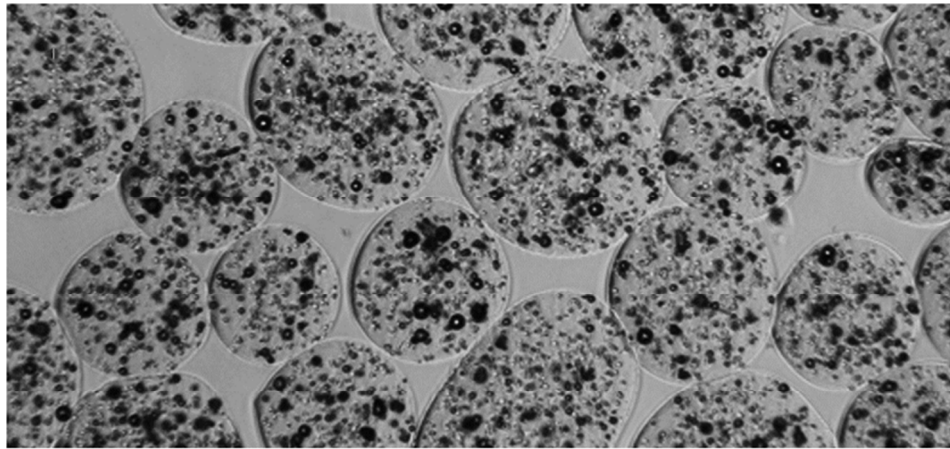
13
14 Best Wishes,

15
16 Peter and authors
17
18
19

20 Reviewer Comments:
21

22 I am satisfied with the corrections and recommend this manuscript is accepted with one minor
23 revision, to remove the newly minted term "cryoanaptiksi" from the manuscript. I don't think the
24 authors have demonstrated enough mechanistic insights to bridge correlation and causation, even
25 though the authors do intend to do it in the future.
26

27 Having said this, the paper is relevant and original for the tissue engineering field and should be
28 accepted after the minor correction.
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Day 1

Day 7

Day 12

Figure 1 - Top, an image of ELS immediately following encapsulation, showing the size and shape range of alginate encapsulated HepG2 cells. The lower images show a single alginate bead at 1 day post-encapsulation (1.92 million cells/mL), 7 days post-encapsulation (8.9 million cells/mL), and 12 days post-encapsulation (17.9 million cells/mL). The proliferation of single cells into spheroids is apparent.

145x127mm (144 x 144 DPI)

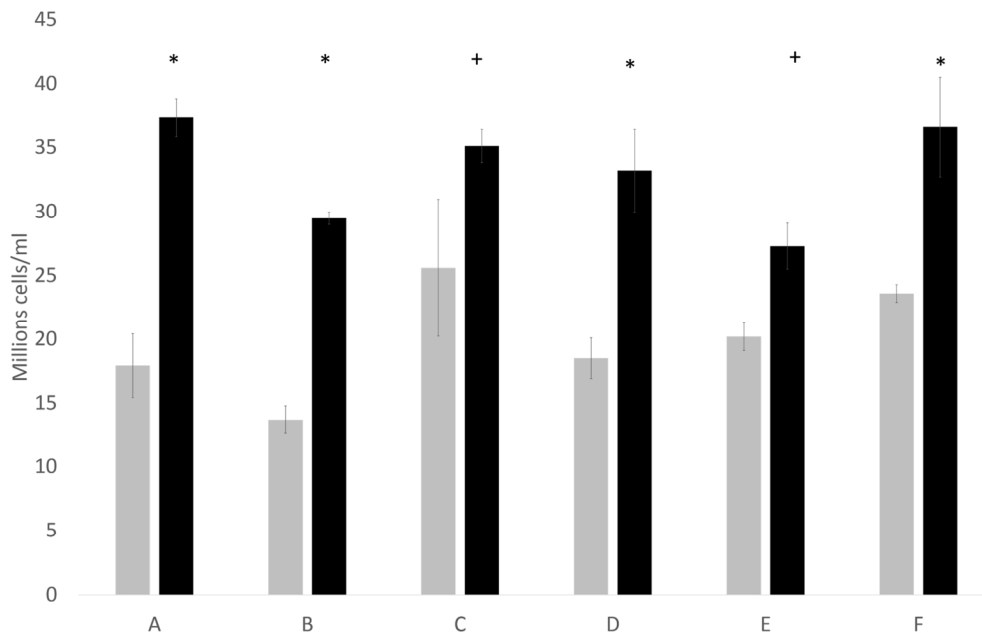


Figure 2 - Comparison in viable cell number between samples following chilling or cryopreservation (black), and untreated control samples (grey). Data is presented as average of 5 samples \pm one SD, except set C where the control is average of $n=10$. Set A, B, and F experienced cryopreservation immediately post encapsulation, while sets C, D, and E were chilled to 0°C for 45 minutes immediately following encapsulation. All data is 12 days after treatment, except for set C which is 8 days. Initial cell concentration can be found in table 1. All sets experience a significant improvement in performance, * indicated $p<0.001$, + denotes $p<0.005$. Combination of all sets A-F is significant at $p<0.001$ using a 2 tailed unpaired student's t-test.

279x177mm (150 x 150 DPI)

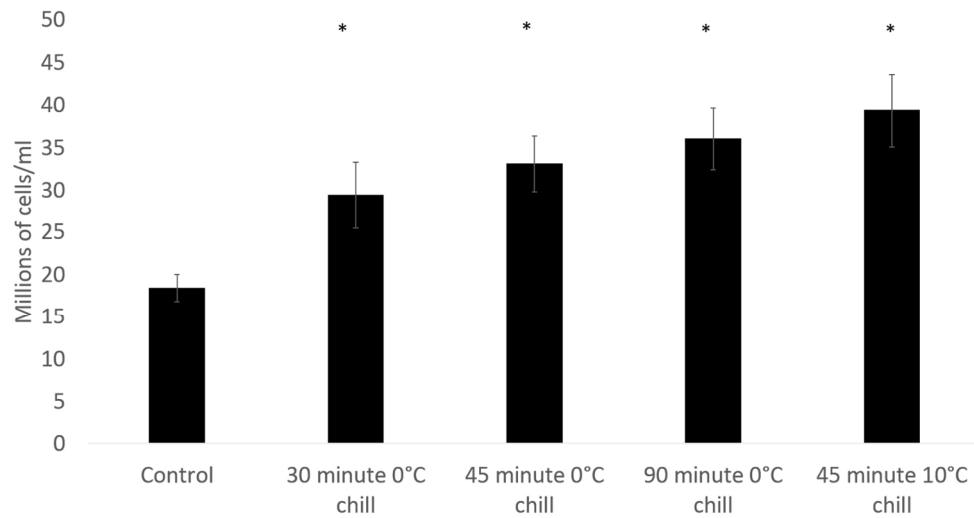


Figure 3 - Viable cell number of samples experiencing various chilling times and temperatures, 12 days after treatment. Samples within 2ml cryovials were either plunged into an ice bucket (0°C samples), or held at 10°C in an Asymptote EF600 controlled rate freezer immediately following encapsulation. All conditions (*) showed significantly higher viable cell number over the control at $p < 0.001$, using a 2 tailed unpaired student's t-test, though no significant difference between sets was observed at that threshold. The initial cell concentration was 1.77 million cells/mL Data is displayed as average of $5 \pm SD$.

279x147mm (150 x 150 DPI)

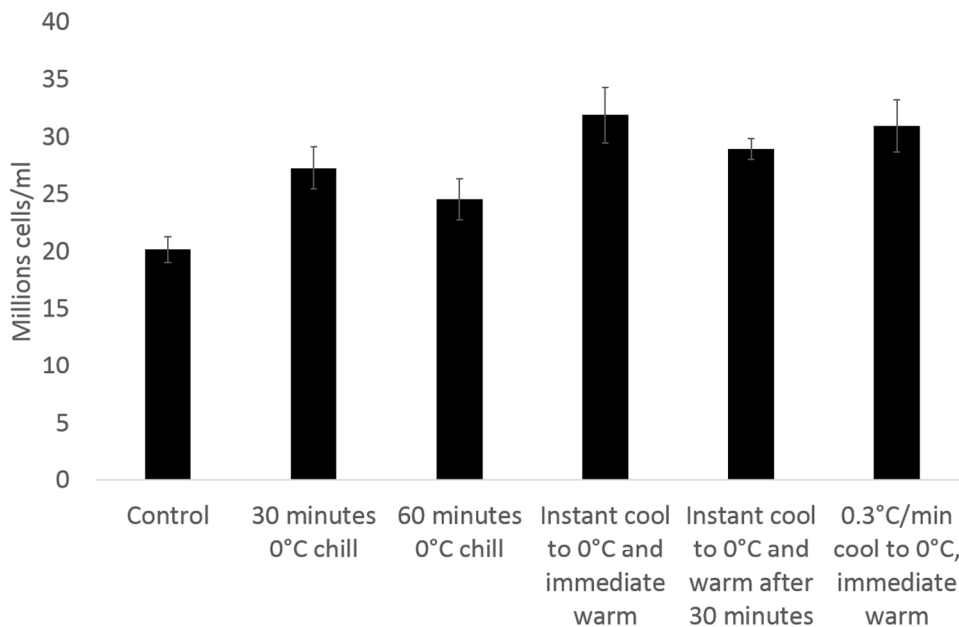


Figure 4 - Viable cell number after 12 days of culture following various cooling conditions. Samples marked 30 and 60 minutes 0°C chill were 2ml samples containing 1ml ELS in cryovials that were plunged directly into an ice bucket. All 5 tested conditions show significant increase in viable cell numbers over control at $p=0.002$ (*), using a 2 tailed unpaired student's t-test. The initial cell concentration was 1.65 million cells/mL. All data is average of $n=5 \pm SD$.

231x149mm (150 x 150 DPI)

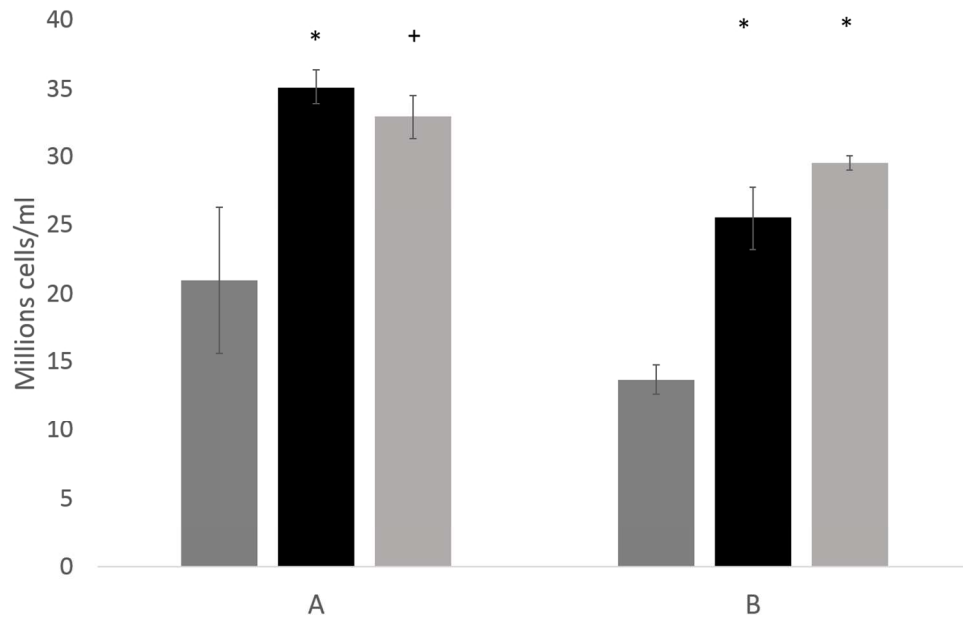


Figure 5 - Comparison between viable cell number 12 days after either simple chilling through plunging vials into an ice bucket (centre, black), or cryopreservation (light grey, right) of two separate tests compared with control (darker grey, left). All tested conditions show significant improvement over control (Set A, chilled $p < 0.001$ (*), cryopreserved $p < 0.003$ (+); Set B chilled $p < 0.001$ both sets). No significant difference was seen between samples which were chilled or cryopreserved at $p < 0.01$ level. Set A had an initial cell density of 1.76 million cells/mL while set B had an initial cell density of 1.5 million cells/mL. All data is average of $n = 5 \pm SD$ except set A where the control is average of $n = 10$, comparisons done using an unpaired student's t-test.

239x152mm (150 x 150 DPI)

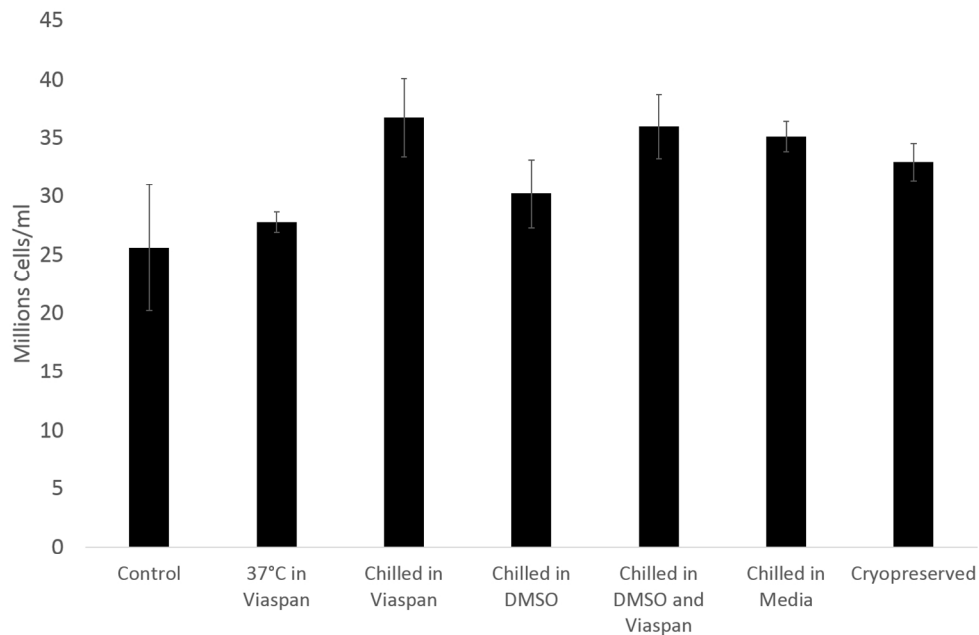


Figure 6 - Viable cell number of samples exposed to different reagents when undergoing chilling in an ice bucket or cryopreservation. All samples' viable cell number are significantly increased over the uncooled control at $p < 0.001$ (*) levels except the cryopreserved sample which improved at $p < 0.02$ and those experiencing only DMSO or Viaspan at 37°C where no significant difference was noted. Samples experiencing Viaspan at 37°C and Viaspan when chilled in a water bath are significantly different to each other. Initial cell density was 1.76 million cells/mL. All data is average of $n = 5 \pm SD$ except the control which is average of $n = 10$, and significance determined through unpaired student's t-tests.

268x173mm (150 x 150 DPI)

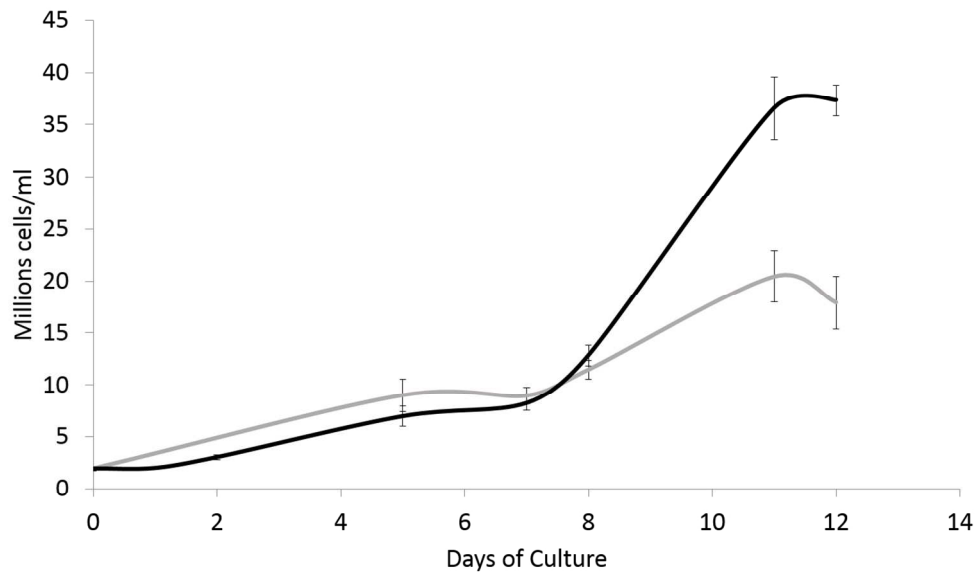


Figure 7 - Comparing temporal cell growth between samples following cryopreservation (black) and an untreated control (grey). Cryopreserved samples show a lower viable cell number for the first 7 days of culture, a consequence of damage induced during the cryopreservation cycle, however by the end of the 12 day culture the cell count is significantly higher ($p < 0.001$) in the cryopreserved samples. $N = 5 \pm SD$, $p < 0.001$, using a two-tailed unpaired student's t-test.

243x144mm (150 x 150 DPI)

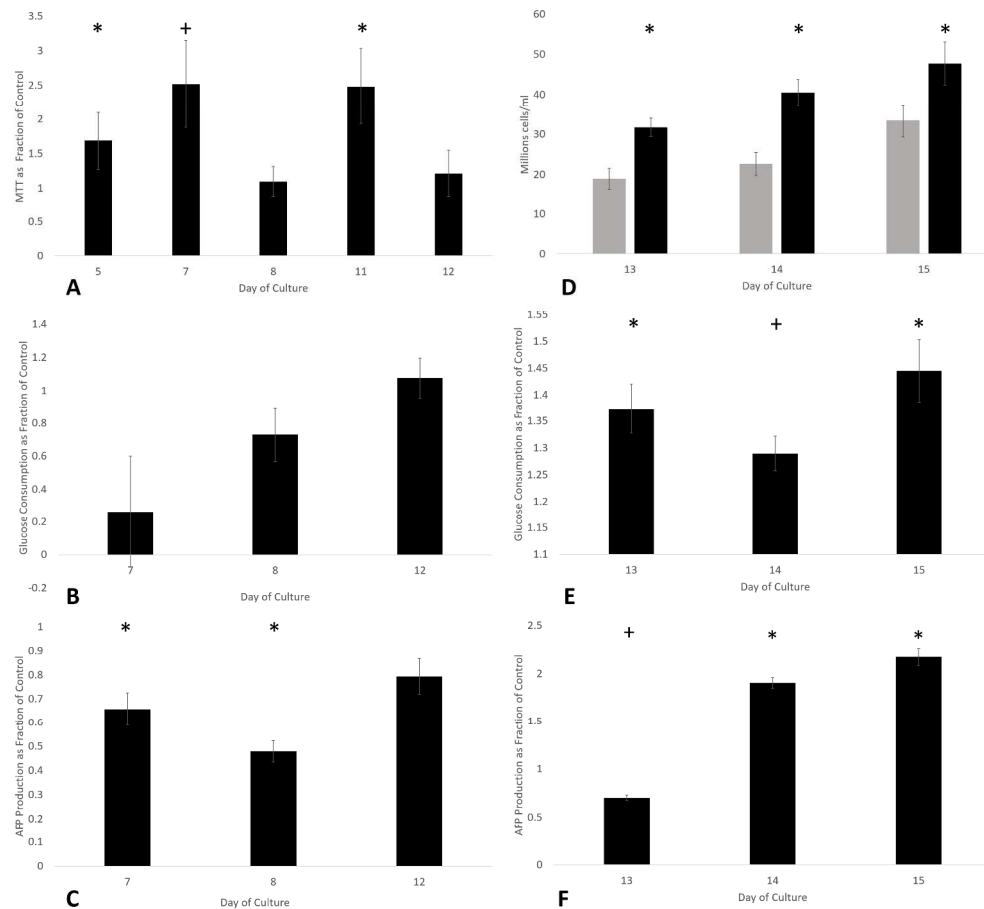


Figure 8 – Functional assays of ELS having undergone cold treatment. Cell number for images A-C is shown in Figure 7. Image A shows MTT viability of ELS having experienced low temperature as a fraction of uncooled control samples as chosen time points post treatment ($p=0.008$, 0.002 , and 0.004 left to right where significance is indicated). Image B shows relative glucose consumption per ml ELS per 24 h (Control values of 0.06 ± 0.01 , 0.12 ± 0.03 and 0.10 ± 0.01 mmol glucose/ml ELS/24 h for D7, D8 and D11 respectively). Image C shows alpha-1-fetoprotein production per ml ELS per 24 h as a fraction of control ($p < 0.001$, left to right where significance is indicated, control values were 301.2 ± 15.1 , 402.3 ± 12.3 , and 667.1 ± 18.9 $\mu\text{g AFP/mL ELS/24 h}$ for D7, 8, and 11 respectively). Images D-F show functionality for ELS days 13-15 post treatment (potential BAL usage time). Image D shows viable cell number of chilled samples (black) compared to an untreated control (grey) $p < 0.001$ where significance is indicated. Image E shows relative glucose consumption ($p < 0.001$ where significance is indicated, control value of 0.15 ± 0.02 , 0.18 ± 0.02 , and 0.22 ± 0.05 mmol glucose/ml ELS/24 h for D13, 14, and 15 respectively) and F relative alpha-1-fetoprotein production ($p < 0.001$ where significance is indicated, control values of 323.0 ± 17.1 , 362.6 ± 16.0 , and 462.7 ± 13.9 $\mu\text{g AFP/mL ELS/24 h}$ for D13, 14, and 15 respectively). Significance from control is indicated as * for $p < 0.001$, and + for $p < 0.02$. All data points are average of $n=5 \pm$ one combined SD and statistics defined through 2-tailed unpaired students t-tests.

551x510mm (144 x 144 DPI)

Figure Legends

Table 1 – The initial cell density achieved after each alginate encapsulation. This, combined with the measured average bead size has been used to determine the initial number of cells per alginate bead at the start of the culture period.

Figure 1 – Top, an image of ELS immediately following encapsulation, showing the size and shape range of alginate encapsulated HepG2 cells. The lower images show a single alginate bead at 1 day post-encapsulation (1.92 million cells/mL), 7 days post-encapsulation (8.9 million cells/mL), and 12 days post encapsulation (17.9 million cells/mL). The proliferation of single cells into spheroids is apparent.

Figure 2 - Comparison in viable cell number between samples following chilling or cryopreservation (black), and untreated control samples (grey). Data is presented as average of 5 samples \pm one SD, except set C where the control is average of n=10. Set A, B, and F experienced cryopreservation immediately post encapsulation, while sets C, D, and E were chilled to 0°C for 45 minutes immediately following encapsulation. All data is 12 days after treatment, except for set C which is 8 days. Initial cell concentration can be found in table 1. All sets experience a significant improvement in performance, * indicated $p < 0.001$, + denotes $p < 0.005$. Combination of all sets A-F is significant at $p < 0.001$ using a 2 tailed unpaired student's t-test.

Figure 3 - Viable cell number of samples experiencing various chilling times and temperatures, 12 days after treatment. Samples within 2ml cryovials were either plunged into an ice bucket (0°C samples), or held at 10°C in an Asymptote EF600 controlled rate freezer immediately following encapsulation. All conditions (*) showed significantly higher viable cell number over the control at $p < 0.001$, using a 2 tailed unpaired student's t-test, though no significant difference between sets was observed at that threshold. The initial cell concentration was 1.77 million cells/mL Data is displayed as average of 5 \pm SD.

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3 Figure 4 - Viable cell number after 12 days of culture following ~~cryoanaptiksi-induced through~~
4 various cooling conditions. Samples marked 30 and 60 minutes 0°C chill were 2ml samples
5 containing 1ml ELS in cryovials that were plunged directly into an ice bucket. All 5 tested conditions
6 show significant increase in viable cell numbers over control at $p=0.002$ (*), using a 2 tailed unpaired
7 student's t-test. The initial cell concentration was 1.65 million cells/mL. All data is average of $n=5 \pm$
8 SD.
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17 Figure 5 - Comparison between viable cell number 12 days after either simple chilling through
18 plunging vials into an ice bucket (centre, black), or cryopreservation (light grey, right) of two
19 separate tests compared with control (darker grey, left). All tested conditions show significant
20 improvement over control (Set A, chilled $p<0.001$ (*), cryopreserved $p<0.003$ (+); Set B chilled
21 $p<0.001$ both sets). No significant difference was seen between samples which were chilled or
22 cryopreserved at $p<0.01$ level. Set A had an initial cell density of 1.76 million cells/mL while set B
23 had an initial cell density of 1.5 million cells/mL. All data is average of $n=5 \pm$ SD except set A where
24 the control is average of $n=10$, comparisons done using an unpaired student's t-test.
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35 Figure 6 - Viable cell number of samples exposed to different reagents when undergoing chilling in
36 an ice bucket or cryopreservation. All samples' viable cell number are significantly increased over the
37 uncooled control at $p<0.001$ (*) levels except the cryopreserved sample which improved at $p<0.02$
38 and those experiencing only DMSO or Viaspan at 37°C where no significant difference was noted.
39 Samples experiencing Viaspan at 37°C and Viaspan when chilled in a water bath are significantly
40 different to each other. Initial cell density was 1.76 million cells/mL. All data is average of $n=5 \pm$ SD
41 except the control which is average of $n=10$, and significance determined through unpaired
42 student's t-tests.
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53 Figure 7 - Comparing temporal cell growth between samples following cryopreservation (black) and
54 an untreated control (grey). Cryopreserved samples show a lower viable cell number for the first 7
55 days of culture, a consequence of damage induced during the cryopreservation cycle, however by
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8 Figure 8 – Functional assays of ELS having undergone eryoanaptiksi cold treatment. Cell number for
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12 eryoanaptiksi low temperature as a fraction of uncooled control samples as chosen time points post
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18 ± 0.01 mmol glucose/ml ELS/24 h for D7, D8 and D11 respectively). Image C shows alpha-1-
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20 fetoprotein production per ml ELS per 24 h as a fraction of control ($p < 0.001$, left to right where
21
22 significance is indicated, control values were 301.2 ± 15.1 , 402.3 ± 12.3 , and 667.1 ± 18.9 $\mu\text{g AFP/mL}$
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24 ELS/24 h for D7, 8, and 11 respectively). Images D-F show functionality for ELS days 13-15 post
25
26 treatment (potential BAL usage time). Image D shows viable cell number of eryoanaptiksi chilled
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28 samples (black) compared to an untreated control (grey) $p < 0.001$ where significance is indicated.
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30 Image E shows relative glucose consumption ($p < 0.001$ where significance is indicated, control value
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32 of 0.15 ± 0.02 , 0.18 ± 0.02 , and 0.22 ± 0.05 mmol glucose/ml ELS/24 h for D13, 14, and 15
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34 respectively) and F relative alpha-1-fetoprotein production ($p < 0.001$ where significance is indicated,
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36 control values of 323.0 ± 17.1 , 362.6 ± 16.0 , and 462.7 ± 13.9 $\mu\text{g AFP/mL ELS/24 h}$ for D13, 14, and
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38 15 respectively). Significance from control is indicated as * for $p < 0.001$, and + for $p < 0.02$. All data
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40 points are average of $n = 5 \pm$ one combined SD and statistics defined through 2-tailed unpaired
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42 students t-tests.
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