

1 **Optics-based compressibility parameter for pharmaceutical tablets obtained with the**
2 **aid of the terahertz refractive index**

3 Mousumi Chakraborty^{1*}, Cathy Ridgway², Prince Bawuah¹, Daniel Markl³, Patrick A.C.
4 Gane^{2,4}, Jarkko Ketolainen⁵, J. Axel Zeitler³, Kai-Erik Peiponen¹

5 ¹Institute of Photonics, University of Eastern Finland, P.O. Box 111, FI-80101 Joensuu,
6 Finland.

7 ²Omya International AG, CH-4665 Oftringen, Switzerland.

8 ³Department of Chemical Engineering and Biotechnology, University of Cambridge,
9 Cambridge CB3 0AS, United Kingdom.

10 ⁴Aalto University, School of Chemical Engineering, Department of Bioproducts and
11 Biosystems, FI-00076 Aalto, Helsinki, Finland.

12 ⁵School of Pharmacy, Promis Centre, University of Eastern Finland, P. O. Box 1617, FI-70211,
13 Kuopio, Finland.

14 **Abstract**

15 The objective of this study is to propose a novel optical compressibility parameter for porous
16 pharmaceutical tablets. This parameter is defined with the aid of the effective refractive index
17 of a tablet that is obtained from non-destructive and contactless terahertz (THz) time-delay
18 transmission measurement. The optical compressibility parameter of two training sets of
19 pharmaceutical tablets with a *priori* known porosity and mass fraction of a drug was
20 investigated. Both pharmaceutical sets were compressed with one of the most commonly used
21 excipients, namely microcrystalline cellulose (MCC) and drug Indomethacin. The optical
22 compressibility clearly correlates with the skeletal bulk modulus determined by mercury
23 porosimetry and the recently proposed terahertz lumped structural parameter calculated from
24 terahertz measurements. This lumped structural parameter can be used to analyse the pattern
25 of arrangement of excipient and drug particles in porous pharmaceutical tablets. Therefore, we
26 propose that the optical compressibility can serve as a quality parameter of a pharmaceutical
27 tablet corresponding with the skeletal bulk modulus of the porous tablet, which is related to
28 structural arrangement of the powder particles in the tablet.

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* Corresponding author: Mousumi Chakraborty
Tel: +358466379113, +358505270240,
Email Address: mousumi.chakraborty@uef.fi

37 **Introduction**

38 For pharmaceutical applications tablets are the accepted and most widely used dosage form
39 due to their being cost effective to manufacture, having relative ease of large scale of
40 production, resulting product stability, related to the availability of reliable manufacture
41 processes, and ability to provide correct reproducible dosage of drug from tablet to tablet and
42 the convenience for patients [1, 2]. Critical quality attributes, such as disintegration time or
43 amount of drug dissolved after a certain time, are linked to their physical, mechanical,
44 chemical, biological and also optical properties. During formation of a tablet, the mixture of
45 drug and excipient particles is compacted, usually directly or following a granulation step, into
46 a stable porous solid.

47 Historically, mechanical properties have played an important role in order to assess the
48 functionality of a pharmaceutical tablet following the compaction step. Indentation, elasticity,
49 tensile strength, brittle fracture index, bonding index, strain index, viscoelasticity,
50 compressibility, compatibility, and tabletability are among the various mechanical properties
51 of a tablet that have been explored in depth [3 - 8]. The mechanical properties of pharmaceutical
52 tablets can be described by the relationship between the applied force during the compression
53 and the resulting plastic deformation, and inter-particle bonding within the tablet [9]. These
54 dictate the behaviour of pharmaceutical powder mixtures both during and after compaction.
55 Stress and strain are the basic mechanical properties to describe the relationship between
56 compressive pressure and the resulting deformation [8]. Compressibility (solid fraction as a
57 function of compaction pressure) and compactibility (tensile strength in relation to solid
58 fraction) [10] are terms commonly used to describe the densification and reduction in volume
59 of a powder bed by the application of pressure alone, and both properties are considered to be
60 the major parameters contributing to tabletability, defined as the dependence of tensile strength
61 on compaction pressure [11]. In this study, we propose to establish an optical parameter that is
62 related to the mechanical properties, such as the bulk modulus of pharmaceutical tablets. This
63 topic of high importance in pharmaceutical sciences (see, for example, reference to strain [4 -
64 8, 10] and compressibility [12 – 18]). In this study, the emphasis is on the research of the
65 development of non-contact sensing and data analysis methods to quantify structural and
66 mechanical properties of pharmaceutical tablets using terahertz (THz) time-delay measurement
67 techniques [19].

68 Recently, we have introduced a novel structural parameter (S), which describes the pattern of
69 arrangement of different constituents in porous pharmaceutical tablets [20]. By pattern
70 arrangement we mean the arrangement of drug and excipient constituting the skeletal-pore
71 elements (solid phase) in series, parallel or a mix of both patterns. This structural parameter is
72 assumed to play an important role both in the compressibility of a tablet, and in the description
73 of the ingress and permeation of liquids in pharmaceutical tablets. In addition to developing
74 the optical compressibility parameter, we consider in more detail the structural parameter S in
75 respect to the explicit dependence of S on a range of various tablet properties, and analyse the
76 correlation of the optical compressibility parameter with S .

77 This study continues our work to retrieve physical parameters, which directly affect critical
78 quality attributes of a tablet, from non-destructive and contactless terahertz measurements. So

79 far, we have established correlation between the effective THz refractive index and porosity
 80 [21], surface roughness [21], lumped structural parameter [20], and Young's modulus [8].
 81 Here, we suggest a new optical compressibility parameter and compare it with the measured
 82 bulk modulus of tablets.

83 **Theory**

84 The data analysis in this study is based on the measurement of time delay (Δt) of a terahertz
 85 pulse. The time delay is caused by the more optically dense tablet compared to the undisturbed
 86 propagation of the pulse through nitrogen gas, which is typically used as a reference medium
 87 in laboratory terahertz measurements. Hence, we assume the validity of the following equation

$$88 \quad (n_{\text{eff}} - 1)H = c\Delta t \quad (1)$$

89 where n_{eff} is the effective refractive index of the tablet, H is the height of the round flat-faced
 90 tablet, corresponding in direction to the normal of incidence, and c is the velocity of light in
 91 vacuum. The refractive index of nitrogen is assumed to be equal to unity.

92 In the derivation of the structural parameter S of a porous pharmaceutical tablet we exploited
 93 the concept of effective permittivity of the tablet and Wiener bounds that define the boundary
 94 range for the effective permittivity in the absence of scattering of the terahertz waves. Aspnes
 95 [22] provides a nice description of Wiener bounds for composite materials by considering two
 96 limiting cases, namely no screening and maximum screening of microstructures in the direction
 97 of the external electric field. This means that, for example, a needle-shaped particle orientated
 98 parallel to the external electric field (in our case direction of propagation of the THz pulse)
 99 would develop little screening, whereas a disc-shaped particle of the same volume would yield
 100 strong screening. The effective permittivity of a porous pharmaceutical tablet can be assumed
 101 to be constructed from parallel and series connections of the internal solid structures as follows
 102 [20]:

$$103 \quad \epsilon_{\text{eff}} = \frac{1}{\frac{1-S}{\epsilon_U} + \frac{S}{\epsilon_L}} \quad (2)$$

104 where ϵ_U and ϵ_L are the upper and lower Wiener bounds of the permittivity, respectively, and
 105 S is the structural parameter. S is a measure of that fractional part of the randomly distributed
 106 structures in a porous medium that can be lumped together in parallel and in series coordination,
 107 respectively. Since the true value of the effective permittivity of the tablet is always confined
 108 between the upper and lower values of the effective permittivity, the structural parameter S is
 109 a number that ranges from zero (all constituents in parallel) to one (all constituents in series).
 110 The definition of S holds equally for multiphase systems. In our study, we will only deal with
 111 a three-phase system, air and two solid phases, respectively. Eq. (2) was originally defined for
 112 effective heat conductivity [23] of porous media, such as coated paper products, but for the
 113 sake of analogy we have modified the concept for this analogous case, namely to represent the
 114 effective permittivity of porous media.

115 In the case of a three-phase system, such as air (or nitrogen gas), micro-crystalline cellulose
 116 (MCC) and the drug in this study, the equations for the upper and lower Wiener bounds of the
 117 effective refractive index are as follows:

$$118 \quad n_U^2 = f_{\text{air}} + f_{\text{MCC}}n_{\text{MCC}}^2 + f_{\text{drug}}n_{\text{drug}}^2 \quad (3)$$

119

120 and

$$121 \quad \frac{1}{n_L^2} = f_{\text{air}} + \frac{f_{\text{MCC}}}{n_{\text{MCC}}^2} + \frac{f_{\text{drug}}}{n_{\text{drug}}^2} \quad (4)$$

122 where f_{air} , f_{MCC} and f_{drug} are the volume fractions of air (i.e. the pores constituting the tablet
 123 porosity), MCC and drug, respectively. The symbols n_{MCC} and n_{drug} denote the intrinsic
 124 refractive indices of MCC and drug. If we apply the well-known relation from optics for the
 125 real relative permittivity and the refractive index of a non-absorbing insulating medium,
 126 namely, $n = \sqrt{\epsilon}$, we get from Eqs. (2)-(4) the expression

$$127 \quad S = \frac{1}{n_U^2 - n_L^2} \left[\frac{n_U^2 n_L^2}{n_{\text{eff}}^2} - n_L^2 \right] \quad (5)$$

128 In the pharmaceutical industry, the compressibility of pharmaceutical tablet formulations is an
 129 important factor which determines the required applied force on the composition of powder
 130 mixture to turn it into a structurally stable porous tablet. It greatly affects a range of tablet
 131 properties such as disintegration, dissolution, structural integrity, bioavailability and
 132 absorption as well as the mechanical properties, such as hardness and friability. The
 133 compressibility is defined as a mechanical property, which describes the relationship between
 134 the resulting compact density or strength (hardness / friability) and the compaction pressure
 135 [24].

136 We propose an “optical compressibility” parameter to estimate the mechanical compressibility
 137 of an excipient or complex formulation based on a simple analysis of the transmitted terahertz
 138 pulse. This “optical compressibility” is defined by using Eq. (1) as an optical state equation in
 139 analogy to the equation of state of a medium in thermodynamics. For the sake of clarity, we
 140 first consider the simple thermodynamic equation of state of an ideal gas, which is defined with
 141 the aid of the pressure (p), volume (V), absolute temperature (T), the number of gas molecules
 142 (ν) and the gas constant (R) as $pV = \nu RT$. The optical state equation, namely Eq. (1), resembles
 143 the mathematical form of the thermodynamic state equation of an ideal gas, but obviously has
 144 different variables. The compressibility β , of an ideal gas is defined using the concept of a
 145 partial derivative as follows:

$$146 \quad \beta = -\frac{1}{V} \left(\frac{\partial V}{\partial p} \right)_T = \frac{1}{p} \quad (6)$$

147 The unit of this compressibility is Pa^{-1} . A definition similar to Eq. (6) can be exploited also for
 148 the compressibility of liquids and solids in the field of thermodynamics, but usually the state
 149 equation is more complicated than that of an ideal gas. The interpretation of Eq. (6) states that

150 the higher the pressure, the lower is the value of the compressibility. In an analogous manner
 151 to Eq. (6), we define with the aid of Eq. (1) the optical compressibility parameter as

$$152 \quad \beta_{THz} = -\frac{1}{H} \left(\frac{\partial H}{\partial n_{\text{eff}}} \right)_{\Delta t} = \frac{1}{n_{\text{eff}}-1} \quad (7)$$

153 The dimensionless optical compressibility defined in this way shows inverse dependence of
 154 the compressibility on the effective refractive index, which in turn is linearly correlated to the
 155 density/porosity of the tablet. The interpretation of Eq. (7) is that the denser the medium (i.e.
 156 higher compaction pressure) the higher the effective refractive index, since the density of a
 157 medium is correlating with the refractive index of the medium, and, hence, the lower the optical
 158 compressibility parameter.

159 Next, we wish to have a more detailed picture regarding the behaviour of the optical
 160 compressibility parameter defined in Eq. (7). For this purpose, we consider an estimate for the
 161 explicit dependence of the optical compressibility on porosity, intrinsic refractive index of the
 162 excipient and the drug, and also drug mass fraction. An expression for the linear two-variable
 163 (f_{air}, x) approximate effective refractive index of the tablet training sets of this study was given
 164 in [25] as follows:

$$165 \quad n_{\text{eff}} = n_{\text{MCC}} - (n_{\text{MCC}} - 1)f_{\text{air}} - (n_{\text{MCC}} - n_{\text{drug}})x \quad , \quad (8)$$

166
 167 where x is the dimensionless mass fraction (different from f_{drug}) of the drug. By substituting
 168 Eq. (8) into Eq. (7), the optical compressibility can be re-expressed as,

$$169 \quad \beta_{THz} = \frac{1}{(n_{\text{MCC}}-1)(1-f_{\text{air}})-(n_{\text{MCC}}-n_{\text{drug}})x} \quad (9)$$

170 Since n_{MCC} and n_{drug} are constants, it is evident from Eq. (9) that β_{THz} is inversely dependent
 171 (hyperbolic dependence) on the porosity f_{air} or mass fraction x only when one of them is
 172 constant. In a general case, both porosity and the dimensionless mass fraction are considered
 173 to vary.

174 The optical compressibility β_{THz} depends on S via Eq. (5). If we compare Eqs. (6) and (7), the
 175 message is pretty much similar. The thermodynamic compressibility β becomes less as the
 176 pressure increases. In the case of increasing compression pressure in the tableting process the
 177 porosity of the tablet is decreasing and the effective refractive index is increasing, thus resulting
 178 in the decrease of β_{THz} . The optical compressibility parameter β_{THz} and its connection to the S
 179 structure parameter was studied for the training set of pharmaceutical tablets, and the results
 180 obtained will be shown below.

181 **Materials and methods**

182 Two sets of round flat-faced pharmaceutical tablets were compressed from the defined
 183 mixtures of pharmaceutical excipient MCC (Avicel PH101, FMC BioPolymer, Philadelphia,
 184 USA) and drug Indomethacin (Hangzhou Dayangchem Co. Ltd., Hangzhou, China). The
 185 widely used MCC is a typical hydrophilic excipient [21], the nominal particle size and true
 186 density of the particulate Avicel PH101 are 50 μm and 1.55 g cm^{-3} , respectively. The true

187 density of the crystalline gamma polymorph of Indomethacin used in this study is 1.37 g cm^{-3} .
 188 Two training sets of flat-faced tablets of constant diameter 13 mm were compacted using a
 189 compaction simulator (PuuMan, Kuopio, Finland). More details on the sample preparation of
 190 the tablets were described previously [26-28]. In Tables 1 and 2, various properties of the
 191 training tablet sets are presented. In tablet Set 1, porosity and drug mass fractions were kept
 192 constant at ca. 36 % and 10 wt%, respectively, whereas both were varied for the case of the
 193 tablet Set 2. For both tablet sets, five tablets were compressed for each sample number and the
 194 given values in Tables 1 and 2 are the average values of 5 tablets belonging to a given tablet
 195 number. For each sample, statistical errors in the calculations made for the nominal porosities
 196 are as follows: diameter $\pm 0.008 \text{ mm}$, height $\pm 0.005 \text{ mm}$ (standard deviation of the sample
 197 mean), weight $\pm 0.01 \text{ mg}$ (readability and sensitivity of the scale), effective refractive index
 198 ± 0.002 (by assuming a temporal resolution of 0.02 ps) and porosity $\pm 0.2 \%$ (calculated using
 199 the error propagation law).

200 Here we report on two case studies related to the lumped structural S parameter and the optical
 201 compressibility as follows: Case 1; fixed porosity and fixed drug mass fraction but variable
 202 height, and Case 2; varied porosity, drug mass fraction and height. To calculate the S parameter,
 203 presented in Tables 1 and 2, we have to utilise Eqs. (1) - (5). In order to solve Eqs. (3) and (4),
 204 we have to know the zero porosity refractive indices of n_{MCC} and n_{drug} , namely, $n_{\text{MCC}}=1.86$ and
 205 $n_{\text{drug}}=1.73$. The latter value of zero porosity estimate of the refractive index of n_{drug} is a better
 206 estimate than the one given in [25]. The zero porosity estimates of the refractive index of MCC
 207 and drug were obtained by the linear extrapolation technique method as used in [20, 25, 28].
 208 The density of the samples was calculated from the average dimensions and the average
 209 measured weight of the tablet. The tablet porosity was calculated by forming a ratio between
 210 the tablet density and the true density of MCC and Indomethacin, and the S parameter was
 211 calculated by using the equations given in the theory section. In Table 1, we have numbered
 212 the samples according to the order of the increase of the tablet height, not the order of increase
 213 of the effective refractive index.

214 Table 1: Data of tablet Set 1. The mean values of the diameter d , height H , weight W , porosity
 215 f_{air} , effective refractive index n_{eff} , drug mass fraction wt% (x) and calculated S parameter for
 216 four samples are shown. Since the porosity for all of the tablet samples is known, it is possible
 217 to calculate the volume fractions of MCC and drug, as was discussed in [20].

Sample number (Set 1)	d (mm)	H (mm)	W (mg)	f_{air} (%)	n_{eff}	x (wt%)	S
1	13.097	2.742	361.47	36	1.529	10	0.220
2	13.078	3.333	438.73	36	1.533	10	0.206
3	13.066	3.626	476.45	36	1.537	10	0.194
4	13.062	3.927	514.70	36	1.535	10	0.198

219 Table 2: Data of tablet Set 2. The values of the diameter d , height H , weight W , porosity f_{air} ,
 220 effective refractive index n_{eff} , drug mass fraction wt% (x) and calculated S parameter for five
 221 pharmaceutical tablets are shown.

Sample number (Set 2)	d (mm)	H (mm)	W (mg)	f_{air} (%)	n_{eff}	x (wt%)	S
1	13.076	3.955	404.02	50	1.405	11.00	0.271
2	13.075	3.642	403.64	46	1.441	10.50	0.253
3	13.094	3.273	405.67	40	1.498	10.00	0.219
4	13.093	2.971	404.23	34	1.551	9.50	0.201
5	13.081	2.734	406.20	28	1.602	9.00	0.194

222

223 Skeletal bulk modulus determination

224 Mercury intrusion measurements were conducted using an Autopore V mercury porosimeter
 225 (Micromeritics Instrument Corporation, Norcross, GA, U.S.A.). The maximum applied
 226 pressure of mercury is 414 MPa, equivalent to a Laplace throat diameter of 4 nm. The
 227 equilibration time at each of the increasing applied pressures of mercury is set to 20 s. The
 228 tablets are measured as supplied.

229 By observing the behaviour under intrusion and extrusion at the highest pressures it is possible
 230 to ascertain whether the sample displays the typical pore retention hysteresis or whether
 231 mercury is extruded initially at equal volume to that during intrusion as a function of pressure.
 232 If the latter occurs, then it is possible to conclude that the skeletal material is being elastically
 233 compressed, and the gradient of the elastic response to pressure provides a measure of the
 234 elastic bulk modulus of the skeletal material, i.e. the material bulk modulus of the pore wall
 235 when compressed equally from all directions. If the extrusion, however, exceeds the intrusion
 236 then the skeletal material is partially undergoing strong plastic deformation. The plastic
 237 deformation, however, is generally impossible to quantify as it is convoluted with the usual
 238 mercury retention hysteresis due to necking and filament snapping, and ink bottle behaviour.
 239 Thus, correcting for the elastic behaviour in the data can be included in the overall data
 240 correction during the mercury intrusion comprising the more commonly known effects of
 241 compression of mercury and expansion of the penetrometer [1]. This is performed conveniently
 242 using the software Pore-Comp (a software program developed by and obtainable from the
 243 Environmental and Fluids Modelling Group, University of Plymouth, U.K.), in which the
 244 following equation is applied:

$$245 \quad V_{\text{int}} = V_{\text{obs}} - \delta V_{\text{blank}} + \left[0.175(V_{\text{bulk}}^1) \log_{10} \left(1 + \frac{P}{1820} \right) \right] - V_{\text{bulk}}^1 (1 - \Phi^1) \left(1 - \exp \left[\frac{(P^1 - P)}{M_{\text{ss}}} \right] \right) \quad (10)$$

246 where V_{int} is the volume of intrusion into the sample, V_{obs} the intruded mercury volume reading,
247 δV_{blank} the change in the blank run volume reading, V_{bulk}^1 the sample bulk volume at
248 atmospheric pressure, P the applied pressure, Φ^1 the porosity at atmospheric pressure, P^1 the
249 atmospheric pressure and M_{ss} the bulk modulus of the solid sample [29].

250 **Results and discussion**

251 The values for the optical compressibility parameter, β_{THz} , for the case of Set 1 are shown in
252 Fig. 1 as a function of S . The porosity and drug mass fraction were kept constant and only the
253 height of the tablets was increased in this Set 1, which causes an increase in the volume of the
254 tablet. The increase of the volume can be a probable reason for differences in arrangement of
255 the particles in the direction of the THz pulse propagation, and hence different values of the
256 lumped structural parameter S . From Table 1 it is evident that the refractive index of the tablets
257 vary only slightly, whereas much stronger variations can be observed for their structural
258 parameter S , sensitive to the series-parallel arrangement of constituents in the tablets.

259 Assuming that all the tablets of Set 1 have the same porosity and drug wt%, the conclusion can
260 be drawn that a different share of series and parallel arrangement of the skeleton structure of
261 the tablets contributes to slightly different values of the effective refractive index, and that this
262 is manifested by a rather big change in the value of S . Therefore, S has a descriptor role
263 regarding also the compressibility of a tablet. Actually, the different heights of the nominally
264 similar tablets of Set 1 generate essentially different shares of series and parallel structures and,
265 hence, different values of S . In other words, the packing of drug and MCC is different according
266 to the different tablet heights, and thus, compression.

267 For the samples in the case of Set 2 we repeated the same analysis procedure as above. Note
268 that in this case the samples follow a different numbering rule, to the extent that when the tablet
269 height is decreasing (the volume of the tablet becomes smaller) the effective refractive index
270 is increasing, as shown in Table 2. In Fig. 2 we plot the structural parameter as a function of
271 the porosity for Set 2. Here, dependence of the structural parameter S on the porosity suggests
272 a nonlinear relationship, which can be mathematically deduced from Eqs. (3) - (5). The range
273 of variation of S in this case of Set 2 is ca. 0.194 - 0.271, which is much wider than for the case
274 of Set 1, ca. 0.198 - 0.220. In the case of Set 2, porosity and drug are both subject to being
275 varied. A wider range of porosity change suggests also a wider range of the magnitude of the
276 structural parameter S .

277 The optical compressibility parameter β_{THz} as a function of S for tablet Set 2 is shown in Fig.
278 3. The optical compressibility β_{THz} is increasing with increasing S . The optical compressibility
279 range of Set 2 is ca 1.66 - 2.47, which is wider than that of Set 1 ca. 1.86 -1.89. Since both the
280 porosity and the drug mass fraction have been changing in the situation of Set 2, it is necessarily
281 more complex than in the case of Set 1.

282 Besides the correlation of β_{THz} with the parameter S we also studied the explicit dependence of
283 β_{THz} on f_{air} and x (shown in Figs. 4 and 5). Fig. 4 suggests a nonlinear, hyperbolic dependence
284 of β_{THz} on f_{air} . This is consistent with the estimate given in Eq. (9), namely a hyperbolic
285 dependence of β_{THz} on f_{air} . The data of Fig. 5 show apparently a weaker nonlinearity in respect

286 to the dependence on x . However, if the mass fraction x would have a wider scale of variation,
287 hyperbolic dependence of β_{THz} on x would also be expected.

288 Fig 6 shows the calculated optical compressibility parameter as a function of the measured
289 mechanical parameter, namely skeletal bulk modulus. It is obvious that there is a correlation
290 between the optical compressibility and the skeletal bulk modulus. The change of the optical
291 compressibility is relatively strong as a function of the skeletal bulk modulus if we compare
292 the samples 3-5 of this set 2, which present low porosity tablets with the lowest drug loadings
293 amongst the present samples.

294 The skeletal bulk modulus of the sample number 1 of Set 2 (Table 2) has the highest value and
295 so the least compressible skeletal solid material of the five samples. This sample has the highest
296 drug loading, and so the ratio of drug to compressible excipient is the highest. Sample number
297 5 of Set 2 (Table 2) in turn has the most compressible skeletal material corresponding with the
298 lowest drug loading. The drug, therefore, has a high material bulk modulus, and in ratio with
299 the more compressible excipient determines the observed compressibility of the tablet
300 structure.

301 In this study, we have introduced the concept of an optical compressibility parameter at the
302 example of a specific formulation of MCC and drug. Our experiments were limited to this
303 system due to the experimental constraint of having to prepare, measure and analyse the
304 samples between a number of sites. However, the measurement principle is universally
305 applicable and we are planning to continue similar investigations of the optical compressibility
306 for a range of different excipients, such as functionalised calcium carbonate (FCC), which we
307 have recently studied with the aid of terahertz techniques [30]. Before developing the concept
308 further, it will be important to better understand the relationship between the mechanical
309 properties of the powder compact, liquid mass transport in the tablet matrix and disintegration,
310 which we are pursuing with the samples introduced in this paper by means of in situ terahertz
311 imaging during disintegration as outlined in [31].

312

313 **Conclusions**

314 Compressibility of a pharmaceutical tablet is an important tablet property. The problem of
315 measuring compressibility of a tablet is challenging because one needs to detect the change in
316 volume of a tablet as a function of the compression pressure. This means, typically, that special
317 measurement arrangements have to be realised under well-controlled laboratory conditions.
318 Our idea outlined in this paper is to retrieve information on compressibility and, hence,
319 mechanical properties of a tablet using a non-destructive method based on the THz pulse delay
320 detection. In this article, we have introduced the concept of optical compressibility of
321 pharmaceutical tablets.

322 The optical compressibility was studied for two training tablet sets. A theoretical model that
323 gives explicit dependence of the optical compressibility of porosity and drug mass fraction was
324 given. The tablets of two differently compressed sets consisted of one excipient, MCC, and one
325 drug, Indomethacin. For the purpose of describing their compressibility, we derive the concept

326 of optical compressibility based on the effective refractive index of a tablet. The difference
327 between the conventional and optical compressibility is that in the latter case there is, in
328 principle, no longer a need to evaluate physical compressibility by detection of any pressure-
329 induced volume change of the tablet. However, there is a valuable subtlety arising from the
330 change in packing structure as a function of unidirectional compression. This is seen in a
331 change of the parallel to series coordination of the skeletal material as monitored by the lumped
332 parameter structure factor S . Thus, it is possible to derive a compressibility using the optical
333 approach, and that this optical compressibility is unique to the excipient-drug formulation ratio
334 in that the compression of the tablet leads to a change in effective refractive index together
335 with a unique packing change. Thus, the combination of n_{eff} and S as a function of compressive
336 force provides a quality control tool for both tablet compression and formulation consistency.
337 The transmitted terahertz signal, therefore, gives volumetric and structural information on the
338 tablet as it stands without using any external disturbance. In other words, the optical
339 compressibility is an intrinsic property of each tablet and its formulation.

340 Relatively regular behaviour of the optical compressibility as a function of the structural
341 parameter, S , porosity f_{air} , and drug mass fraction x , was obtained for both tablet Sets 1 and 2.
342 Using the data of skeletal bulk modulus of Set 2 we found a correlation between the optical
343 compressibility and bulk modulus. The bulk modulus relates only to the direct compressibility
344 of the material itself making up the skeleton but not that of the skeleton structure.

345 The study of the structural parameter, as well as the optical compressibility provides a more
346 comprehensive picture of the properties of a pharmaceutical tablet, and in principle can be used
347 to understand better the mechanical properties such as strain, Young's modulus, Poisson's ratio
348 etc. of a tablet.

349 Finally, we wish to remark that both the lumped structural parameter as well as the optical
350 compressibility are suggested to be proportional to the surface roughness of a tablet [21]. It
351 was demonstrated previously that the effective refractive index of tablets is proportional to the
352 measured average surface roughness. This observation may open new ways to predict various
353 properties of tablets by terahertz measurements in reflection setting, i.e. reflection of the THz
354 pulse. Such a concept would be particularly beneficial when the drug or excipient strongly
355 absorbs THz radiation, rendering transmission measurements unfeasible.

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