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Detection and identification of Criegee intermediates from the ozonolysis of biogenic and anthropogenic VOCs: comparison between experimental measurements and theoretical calculations

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Abstract

15 Ozonolysis of alkenes is a key reaction in the atmosphere, playing an important role in determining 16 the oxidising capacity of the atmosphere and acting as a source of compounds that can contribute to 17 local photochemical "smog". The reaction products of the initial step of alkene-ozonolysis are 18 Criegee intermediates (CIs), which have for many decades eluded direct experimental detection 19 because of their very short lifetime. We use an innovative experimental technique, stabilisation of 20 CIs with spin traps and analysis with proton transfer reaction mass spectrometry, to measure the gas 21 phase concentration of a series of CIs formed from ozonolysis of a range of both biogenic and 22 anthropogenic alkenes in flow tube experiments. Density functional theory (DFT) calculations were 23 used to assess the stability of the CI-spin trap adducts and showed that the reaction of the 24 investigated CIs with the spin trap occurs very rapidly except for the large β -pinene CI. Our 25 measurement method was used successfully to measure all the expected CIs, emphasising that this 26 new technique is applicable to a wide range of CIs with different molecular structures previously 27 unidentified experimentally. In addition, for the first time it was possible to study CIs 28 simultaneously in an even more complex reaction system consisting of more than one olefinic 29 precursor. Comparison between our new experimental measurements, calculations of stability of the 30 CI-spin trap adducts and results from numerical modelling, using the master chemical mechanism 31 (MCM), showed that our new method can be used for quantification of CIs produced *in situ* in 32 laboratory experiments. 33

34 Keywords

35 Criegee intermediates, VOC, PTR-MS, spin traps, MCM, DFT

37 1. Introduction

38 The Anthropocene has seen huge changes in the composition of the atmosphere. Gaseous, volatile 39 organic compounds (VOCs) play an important role in determining the overall composition and 40 reactivity of the atmosphere. Many VOC sources significantly changed since the onset of the 41 Anthropocene in strength but new sources and VOCs have also emerged. Oxidative degradation in 42 the atmosphere with oxidants such as ozone is one of the main removal process for VOCs. Olefinic 43 VOCs react strongly with ozone leading to a complex reaction scheme with a large number of stable 44 but also reactive intermediate reaction products. The initial step of alkene-ozone reactions is a 1,3-45 cyclo addition to produce a molozonide, which subsequently decomposes to produce so-called Criegee Intermediates (CI) and a carbonyl product. In the condensed phase a further rearrangement 46 47 is possible, but this is not the case in the gas phase.¹⁻³ 48

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$$RRC = CR'R'' + O_3 -> RRCOOOCR'R'' -> RRCOO + R''C(O)R''$$

The formation of CIs was postulated over 40 years ago⁴ to proceed through the reaction of an 51 alkene functional group with ozone (O_3) but only in the last decade has our understanding of the 52 53 short-lived CIs begun to flourish. The CI that is produced is thermally "hot" (sometimes referred to 54 as an excited CI) and may undergo spontaneous decomposition to form other products⁵ or collisions 55 with other molecules to lead to the stabilised CI (SCI).

56 The majority of recent studies that directly detected and studied SCI in the gas phase used a 57 different route to its formation than the ozonolysis mechanism described above (e.g. Welz et al.,⁶ 58 and references therein). Rather than reaction between O_3 and unsaturated compounds, gem-iodo 59 compounds have been shown to form CIs when photolysed in the presence of air:

 $CH_2I_2 + hv (O_2) -> CH_2OO + I + I$

The formation of SCIs *via* this reaction has enabled new studies to probe the kinetics of bimolecular 63 reactions that the SCIs can undergo in the atmosphere. These studies have made use of a range of 64 65 advanced laboratory techniques including photoionisation mass spectrometry and tunable synchrotron photoionisation mass spectrometry.^{6,7} Those techniques have been applied to the direct 66 67 measurement of formaldehyde oxide, the simplest CI, and later on made possible the discovery of 68 conformer-dependent reactivity of the syn- and anti-acetaldehyde oxides⁸, as these techniques are 69 capable of distinguishing the two conformers from the difference in photoionisation energy. 70 Subsequent studies detected formaldehyde oxide using near-UV cavity ring down spectroscopy⁹, UV-Vis spectroscopy^{10–12} and IR spectroscopy¹³. The latter was used also for direct detection of the 71 72 large β -pinene Criegee from ozonolysis reaction.¹⁴

73 On the other hand, indirect measurements, exploiting the oxidation of SO_2 to H_2SO_4 in the presence 74 of an ·OH scavenger, were used in Hyytiälä (a boreal forest in Finland) to quantify an oxidant "X" tentatively associated with SCIs with concentrations in the order of 5 x 10^4 molecules cm⁻³.^{15,16} 75 76 Other indirect methods exploited more specific reactions of organic reagents with CIs to identify their structure: Horie et al.¹⁷, who found that hexafluoro acetone reacts rapidly with CIs to form 77 78 compounds which are assignable to ozonides, 3,3-di(trifluoro)methyl-1,2,4-trioxolanes, which can 79 be detected in FTIR spectroscopy. Very recently we presented a new cost-effective method to 80 stabilise and detect CIs online in the gas phase by reacting them with spin traps and analysing the 81 adducts that form with proton transfer reaction time of flight mass spectrometry (PTR-ToF-MS)¹⁸. 82 This method was successfully applied for the measurement of CIs from the ozonolysis of α -pinene, 83 the structure of the CI-spin trap adduct was characterised in detail and we showed the potential of this technique to be used for quantification purposes.¹⁸ 84

Here we expand on our previous study¹⁸ by measuring CIs from the ozonolysis of a series of 85 biogenic and anthropogenic VOCs such as β-pinene, limonene, methacrolein, cis-2-hexene, styrene 86 87 and also a mixture of more than one olefinic precursor. Experimentally measured concentrations of 88 CI-spin trap adducts are compared with those which are theoretically expected, and differences 89 explained in terms of stability of the CI-spin trap adducts and instrumental response. We 90 demonstrate that our new technique is uniquely capable of quantifying many different CIs 91 simultaneously and thus provides a significant step towards studying CIs in realistic, complex 92 reaction mixtures.

93

2. Materials and methods 94

95 2.1. Chemicals

96 For the gas phase ozonolysis experiments, the following VOC precursors were used: styrene (≥99.9%, Reagentplus[®], Sigma-Aldrich), R-(+)-limonene (≥99.0%, Sigma-Aldrich), methacrolein 97

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98 (\geq 95%, Aldrich), (-)- β -pinene (\geq 99.0%, Aldrich) and cis-2-hexene (\geq 95%, Aldrich). The spin trap

- 99 5,5-dimethyl-pyrroline N-oxide (DMPO) (\geq 97%, GC grade, Sigma-Aldrich) was used to capture
 - 100 and stabilise CIs in the gas phase.

2.2. Flow tube set-up

102 The experimental technique of using the spin trap DMPO to capture gas phase CIs with subsequent 103 analysis of the adduct formed with PTR-ToF-MS has been described previously in detail.¹⁸ The 104 ozonolysis reaction takes place in a flow tube reaction vessel, which is maintained at ambient 105 temperature (~16-18°C) and pressure, and dry conditions (relative humidity < 2%) as shown in 106 Figure 1. The experimental set-up comprises of a 2.5 L glass flow tube, in which the olefinic 107 precursor reacts with ozone with a reaction time of three seconds (see Figure S1 in Electronic 108 Supplementary Information) before the sample flow is mixed with a N_2 flow containing the gaseous 109 spin trap which scavenges and forms stable adducts with the CIs. A heated PTFE tube in which the 110 spin trap reacts with the CI connects the mixing point with the PTR-ToF-MS for quantification.

111 The olefinic precursors were evaporated from a 25 mL pear-shaped flask filled with 0.5 mL of pure compound and introduced continuously in the flow tube using N_2 (at 175 cm³ min⁻¹, oxygen-free 112 nitrogen, BOC) carrier gas regulated via a 20-2000 cm³ min⁻¹ mass flow controller (MKS 1179A 113 114 Mass-Flo® controller). For experiments with cis-2-hexene and methacrolein, due to the fact that 115 they are more volatile compared to the other VOC precursors, the pear shaped flask was submersed 116 in a dry ice/acetonitrile bath (-41°C) in order to maintain a lower steady-state concentration of these 117 compounds in the flow tube. The other VOCs were maintained at ambient temperature. Ozone was 118 produced by flowing synthetic air (Zero grade, BOC) past a UV lamp (185/254 nm, Appleton 119 Woods®) at 155 cm³ min⁻¹ (20-2000 cm³ min⁻¹ MKS 1179A Mass-Flo® controller). The UV lamp 120 used in this study produced a lower amount of ozone compared with the previous study on α -pinene ozonolysis,¹⁸ reaching a maximum concentration in our system of 18 ppm measured using a UV 121 122 photometric ozone analyser (Thermo Scientific model 49i) and shown in Figure S2. The outlet of 123 the flow tube is mixed into a T-connection (stainless-steel 1/4" (~6.35 mm) T-fitting, Swagelok®) 124 with a 310 cm³ min⁻¹ flow (50-5000 cm³/min MKS 1179A Mass-Flo® controller) of DMPO in N₂ 125 (oxygen-free nitrogen, BOC) evaporated from a 25 mL flask filled with 0.5 mL of DMPO, which is 126 held in a water bath at 40°C. Connecting tubes and the T-connection were kept at 85°C to avoid 127 condensation of DMPO. 128



Figure 1. Schematic of the experimental set up, consisting of; a 2.5 L glass flow tube where an olefinic precursor reacts with ozone, a mixing point (T-fitting) in which the spin trap is mixed with the sample flow from the flow tube, and a heated PTFE tube in which the spin trap reacts with the CI before detection and quantification with PTR-ToF-MS. For the experiments where two VOC precursors were mixed, an additional pear shaped flask was added in-line with the N₂ carrier gas flow. Modified from Giorio et al.¹⁸

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136 2.3. PTR-ToF-MS measurements

137 Online gas phase concentrations of the VOC precursors, DMPO and CI-DMPO adducts were 138 measured using a proton transfer reaction time-of-flight mass spectrometer (PTR-ToF-MS 8000, 139 Ionicon Analytik, Innsbruck, Austria) in the m/z range 10-500, with a time resolution of 10 s and a 140 mass resolution m/ Δm of approximately 5000 (full width at half maximum) at the mass of 141 protonated acetone. Source settings for all experiments were: drift tube pressure ~2.22 mbar, a drift 142 tube voltage of 510 V and a drift tube temperature = 90°C; resulting in an E/N of \sim 127 Td (1 Td = 143 10⁻¹⁷ V cm²). The PTR-ToF-MS inlet (1 m long inert peek tube ID=1 mm, OD=1.59 mm) was kept at 100°C and the sampling flow rate was 100 cm³/min. Data analysis was conducted using PTR-MS 144 145 Viewer 3.2 (Ionicon Analytik). The concentration of the olefinic precursors were estimated on the 146 basis of the rate constant of the proton transfer reaction, which were: styrene $(2.33 \times 10^{-9} \text{ cm}^3)$ molecule⁻¹ s⁻¹), limonene (2.54×10^{-9} cm³ molecule⁻¹ s⁻¹), methacrolein (3.55×10^{-9} cm³ molecule⁻¹ s⁻¹) and β -pinene (2.50×10^{-9} cm³ molecule⁻¹ s⁻¹).¹⁹ The value for cis-2-hexene is unknown, so it was 147 148 149 approximated to be the value for the isomer trans-2-hexene $(2.05 \times 10^{-9} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1})$.¹⁹ For DMPO and the CI-DMPO adducts, ion-polar molecule capture collisions rate constant were 150 calculated as detailed in Section S4 and elsewhere.^{20,21} 151

For quantification of the initial concentrations of β-pinene and limonene both the protonated molecular ion $C_{10}H_{17}^{+}$ and the fragment $C_6H_9^{+}$ were used, for cis-2-hexene both the protonated molecular ion $C_6H_{13}^{+}$ and the main fragment $C_3H_7^{+}$, for methacrolein the protonated molecular ion $C_4H_7O^+$ and the fragments $C_3H_7^{+}$ and $C_3H_5^{+}$ while for styrene only the protonated molecular ion $C_8H_9^{+}$ was used for quantification.

157 DMPO and VOC signals are often in saturation during the experiments and therefore the 158 corresponding ¹³C isotopes were used for quantification. Initial concentration of VOCs and DMPO 159 was also evaluated by diluting the sample flow with pure N_2 in a ratio 1:10 as detailed in Giorio et 160 al.¹⁸

2.4. DFT calculations

Geometry optimizations and energy calculations have been carried out in the density functional 162 theory (DFT) framework with the TURBOMOLE 6.4 suite of programs²² by using the BP86^{23,24} 163 and B3LYP²⁵⁻²⁷ functionals, and a valence triple- ζ basis set with polarization functions on all 164 atoms (TZVP).²⁸ For the BP86 functional the resolution-of-the-identity (RI) technique is applied.²⁹ 165 As the geometries and the energy differences calculated by the two functionals are qualitatively 166 167 similar and give the same interpretation of the results in the section 3.2 only B3LYP calculations 168 will be discussed (see Figure 3 and Table S1 for the comparison of the BP86 and B3LYP results). 169 Stationary points of the energy hypersurface have been located by means of energy gradient 170 techniques and full vibrational analysis has been carried out to further characterise each stationary 171 point and for the calculation of the thermochemical corrections for determining enthalpies at 298 K. 172 The optimization of transition state structures has been carried out according to a procedure based 173 on a pseudo Newton-Raphson method. The search of the transition state structure is carried out 174 using an eigenvector-following algorithm in which, the search is performed by choosing the critical 175 eigenvector with a maximum overlap criterion, which is based on the dot product with the 176 eigenvector followed at the previous step. Finally, the analytical Hessian matrix is calculated to 177 carry out the vibrational analysis of the stationary point. Energies of the van der Waals complexes have been corrected for the basis set superposition error using the procedure of Boys and 178 Bernardi.³⁰ Average static polarisabilities have been calculated at the B3LYP/def-TZVP level of 179 theory by using the first-order response theory as implemented in TURBOMOLE 6.4.^{31,32} 180

181 **2.5. MCM modelling**

182 We compare our experimental results with modelled time evolutions of SCIs using the AtChem 183 (https://atchem.leeds.ac.uk/) numerical box-model. AtChem is developed for use with the Master

184 Chemical Mechanism (MCM)³³. Model input parameters used in all simulations are included in

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Table 1. In total, we performed six simulations using initial conditions from Table 1 and the mixing ratios of O_3 and the VOCs as measured in our flow tube experiments. AtChem was run on-line, making use of the most recent version (v1.5). The numerical model makes use of the Fortran CVODE library for the integration of the stiff ODEs that are represented by the MCM reaction mechanism. For each AtChem simulation we downloaded a unique MCM input file. This input file contained all the relevant inorganic and organic chemical reactions that were integrated forward in time by AtChem.

1/5 I able 1.1 af afficter's and then values used in the Ateneni box-model simulations of our new tube experime

Parameter	Value (units)
Temperature	289.15 K
Number Density (M)	2.60×10^{19} (molecules cm ⁻³)
[H ₂ O]	1.23×10^{14} (molecules cm ⁻³)
[O ₃]	4.41×10^{14} (molecules cm ⁻³)
Number Density (M) [H ₂ O] [O ₃]	$\begin{array}{c} 2.60 \times 10^{19} \text{ (molecules cm}^{-3}) \\ 1.23 \times 10^{14} \text{ (molecules cm}^{-3}) \\ 4.41 \times 10^{14} \text{ (molecules cm}^{-3}) \end{array}$

194

195 **3. Results and discussion**

196 We used stabilisation with the spin trap DMPO and analysis with PTR-ToF-MS to quantify the CIs 197 produced from the ozonolysis of five VOCs (Figure 2). The VOCs under study have been chosen as 198 representative of different classes of compounds of interest in atmospheric chemistry, including 199 biogenic VOCs, such as β -pinene, limonene, methacrolein, and anthropogenic VOCs, such as cis-2-200 hexene and styrene. Among these, methacrolein also represents oxidised VOCs and styrene 201 represents aromatic-olefins. The objective of this study is to assess the quantification capability of 202 our new measurement technique. To do so, we used theoretical calculations to investigate the 203 mechanism of formation of the CI-DMPO adducts, the energy barriers of these reactions and assess 204 the stability of the adducts. Subsequently, we performed experiments of ozonolysis of the VOCs in 205 a flow tube to detect and quantify the CI-DMPO adducts from the five individual VOCs and from a 206 mixture of two different VOCs (namely β -pinene and cis-2-hexene). Additionally, we compared the 207 experimentally measured concentrations of CI-DMPO adducts with those expected from numerical 208 modelling, using the AtChem/MCM model. 209



Figure 2. Molecular structures and acronyms of the CIs detected from the ozonolysis of cis-2-hexene, methacrolein, β-pinene, styrene and limonene.

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214 **3.1. Mechanism of formation and stability of CI-DMPO adducts**

The measurement method used in this study, presented for the first time in Giorio et al.,¹⁸ is based on the stabilisation of the highly reactive CIs using the spin trap DMPO. Assessing the quantification capability can be challenging as the kinetics of formation of the CI-DMPO adducts and the stability of such adducts are unknown. To support and aid interpretation of our experimental results, the stability of the CI-DMPO adducts generated by the ozonolysis of β -pinene, cis-2-hexene and methacrolein, and the mechanism and energy barriers of their formation have been investigated by DFT calculations (see Figure 2 for the CIs).

222 An extensive search on the potential energy surface (PES) of these CIs was carried out to identify 223 the relevant minimum energy conformations. It turns out that for CI_{C2} , CI_{C4} and CIC_{3A1} the syn 224 conformation is more stable than the *anti* one by 1.8, 1.5 and 5.8 kcal/mol, respectively, whereas in 225 CI_{C9Bpin} the anti conformer is more stable than the syn conformer by about 2 kcal/mol (n.b. hereafter 226 we will refer to the syn conformation as that in which the outer oxygen points toward the alkyl 227 group in CI_{C2}, CI_{C4} and CIC_{3Al}, and toward the less H-rich C(CH₃)₂ group in CI_{C96pin}; see Figure 3 for the definition of syn and anti conformations). It is worth noting that these results are in good 228 agreement with previous calculations carried out using ab initio highly correlated methods.^{34–37} The 229 230 addition of CIs with DMPO have been investigated by taking into account both anti and syn 231 conformers to inspect potential differences in the reactivity of the two species.

232 The cycloaddition of the CIs to the spin-trap DMPO can occur through the attack of the carbon 233 atom of the CI to either the nitrogen or the oxygen atoms of the DMPO nitrone group leading to the 234 formation of a 5-membered or a 6-membered ring respectively. DFT calculations show that for all 235 of the compounds investigated the 6-membered ring species is much more stable than the 236 corresponding 5-membered ring species (see Table S1), in agreement with the results obtained from the investigation of the formation of CI-DMPO adducts from the ozonolysis of α -pinene.¹⁸ 237 238 Therefore, in the following only the addition of CIs to DMPO to give 6-membered ring adducts will 239 be considered.

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241 242 Figure 3. Schematic representation of the reaction of CIs with DMPO with values of reaction and activation 243 enthalpies. The first step for each reaction is the formation of the van der Waals adduct (vdw-CI-DMPO), the 244 second step is the formation of the transition state (TS-CI-DMPO) and the last step is the conversion to the final 245adduct (CI-DMPO). All values refer to enthalpy differences calculated with respect to the separated reactants 246 using the B3LYP functional. In parenthesis are the corresponding values calculated using the BP86 functional. 247 The molecular structures define the anti (black terminal oxygen) and the syn (red terminal oxygen) conformers. 248 The values over (in black) and under (in red) the arrows refer to the reaction of the anti and syn conformers, 249 respectively.

The first step in the reaction of the CIs with DMPO is the formation of pre-reactive van der Waals adducts in which the carbonyl oxide approaches the nitrone group of DMPO (see Figures S9-S13). The interaction energies of such van der Waals complexes are within -3.6 and -7.2 kcal/mol, with the two extremes given by CI_{C3Al} in the *syn* and *anti* conformations (see Figure 3).

254 The reactivity of the CIs strongly depends on the number of the substituents attached to the carbon 255 atom of the carbonyl oxide, and on the initial conformation of the CI reactants (see Figures 5 and 6). 256 The reaction of the parent formaldehyde oxide CI_{C1} with DMPO is barrierless as the activation enthalpy is lower than the enthalpy of the separated reactants ($\Delta H^{\#} = -5.8$ kcal/mol), and only 0.5 257 258 kcal/mol higher than that of the van der Waals adduct. This reaction is also strongly exothermic 259 with the value of the reaction enthalpy (ΔH_r) as low as -41 kcal/mol. The energy barriers for the 260 addition to DMPO of CI_{C2} and CI_{C4}, featuring one alkyl substituent bounded to the carbon atom of 261 the carbonyl oxide, are slightly larger than that calculated for the parent CI_{CI} , and the reactions are 262 slightly less exothermic. Indeed, when considering as reactants the most stable syn conformers 263 $(CI_{C2(syn)})$ and $CI_{C4(syn)})$ the energy barriers for both species are about 2 kcal/mol with respect to the 264 separated reactants, and about 7 kcal/mol with respect to the van der Waals complexes. The reaction 265 enthalpies of these two cycloadditions (ΔH_r) are also very similar and equals to about -32 kcal/mol. 266 The reaction is still more favoured when starting from the less stable *anti* conformers ($CI_{C2(anti)}$ and $CI_{C4(anti)}$). In this case the $\Delta H^{\#}$ is negative by 3 kcal/mol with respect to the separated reactants, and 267 268 only 2 kcal/mol higher than the van der Waals complexes. The ΔH_r is lower than that of the syn

- 269 conformer ($\Delta H_r = -38$ kcal/mol) due to the fact that the reactants are higher in energy, and that the 270 ring closure of CI_{C2(anti)} and CI_{C4(anti)} yield the RR/SS diasteroisomers which are more stable than 271 the PS/SP ones formed by the ring closure of CI and CI
- 271 the RS/SR ones formed by the ring closure of $CI_{C2(syn)}$ and $CI_{C4(syn)}$.

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272 The activation enthalpy calculated for the cycloaddition of $CI_{C9\beta pin}$ in the most stable syn 273 conformation is equal to 11.7 kcal/mol, a value significantly larger than that calculated for the CIs 274 discussed above. Correspondingly, the ΔH_r is equal to about -24 kcal/mol, more than 10 kcal/mol 275 higher than that calculated for the other CIs. The reaction of the less stable anti conformer has an energy profile similar to that of the syn conformer with $\Delta H^{\#}$ and ΔH_{r} equal to -8 and -26 kcal/mol, 276 277 respectively. The difference in reactivity of CI_{C96pin} compared to the other CIs may be due to the 278 connectivity of the carbon atom of the carbonyl oxide, which in CI_{C96pin} is bound to two other 279 carbons. It is worth noting that the same trend in activation and reaction energies energies was 280 observed for the addition of DMPO to the two CIs generated by the ozonolysis of α -pinene that we have previously investigated.¹⁸ The two adducts have one and two alkyl substituents attached to the 281 282 carbon atom of the carbonyl oxide, and feature energy barriers and reaction energies that differ by 283 more than 10 kcal/mol in favour of the less substituted species.

CI_{C3Al} is the species featuring the largest difference in the reactivity of the *syn* and *anti* conformers. Considering the most stable *syn* conformer, it turns out that $\Delta H^{\#}$ and ΔH_{r} are equal to 8.0 and -25 kcal/mol, which are values similar to those calculated for CI_{C9βpin}. On the other hand, considering the less stable *anti* conformer, the energy profile is much more favourable as the barrier is equal to about -2 kcal/mol with respect to the separated reactants (+5.2 kcal/mol with respect to the van der Waals complex) and the ΔH_{r} is equal to -33 kcal/mol, values that fit better those calculated for CI_{C2} and CI_{C4}.

291 Figure 4 summarizes the results presented above showing the reaction energy profiles for the 292 formation of the CI-DMPO adducts starting from the CIs in the more stable (Figure 4a) and less 293 stable (Figure 4b) conformations. These results show that the reaction of the investigated CIs with 294 DMPO occurs very rapidly, with the exception of CI_{C96pin} for which, in both conformations the 295 activation energies are larger than those calculated for the other CIs. In addition, all reactions are 296 strongly exothermic, but with the ΔH_r value that becomes significantly less negative with increasing 297 the number of substituents of the carbonyl oxide carbon atom (i.e. the ΔH_r of the CI_{C96pin}-DMPO 298 adduct is more than 15 kcal/mol higher than that of CI_{C1}-DMPO). 299

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Reaction coordinate

Figure 4. Reaction energy profiles of the CI + DMPO \rightarrow CI-DMPO reactions of CI_{C1}, CI_{C2}, C_{IC4}, C_{9βpin} and CI_{C3Ab}, calculated starting from the more stable (a) and the less stable (b) conformation of each adduct.

It also worth noting that for all CIs investigated the less stable conformer has a more favourable energy profile. In particular, CI_{C3Al} , which is characterized by the larger difference in stability of the conformers, also features the larger change in the reactivity of such conformers. A similar behaviour has been observed experimentally by Taatjes et al.⁸ who reported that *anti* (less stable) conformer of acetaldehyde oxides is more reactive than the *syn* one with both H₂O and SO₂.

3.2. Detection of CIs in the gas phase from biogenic and anthropogenic VOCs

309 The adduct formed between the spin trap DMPO and the β -pinene CIs, with the elemental formulas 310 $C_{15}H_{26}NO_3^+$ (m/z=268.1907) and $C_7H_{14}NO_3^+$ (m/z=160.0968), were detected by the PTR-ToF-MS (Figure 5a) using the optimised conditions described above. This is consistent with previous studies 311 using IR detection of CIs¹⁴ and our previous work on a-pinene¹⁸ in which the CI-DMPO with 312 elemental formula $C_{16}H_{28}NO_4^+$, was detected at m/z 298.2013 (the two expected α -pinene CIs are 313 314 indistinguishable in MS as the double bond is in endo position and the two CIs have the same mass). The reaction mechanism of the formation of the CI-DMPO adduct was elucidated in our 315 previous study¹⁸ and theoretical calculations were used to assess CI-specific stability of the spin trap 316 317 adducts (Section 3.1).

318 The observed concentrations of the two CI-DMPO adducts are about three to four orders of 319 magnitude lower compared with the initial concentration of the reagents, which were 18, 83 and 320 110 ppm for O_3 , β -pinene and DMPO respectively. The concentrations of CI-DMPO adducts are 321 also about three and four orders of magnitude lower compared with the steady-state concentration 322 of β -pinene (Table 2) which is in excess with respect to ozone. Notably, ozone can react not only 323 with alkenes but also with the spin trap DMPO, therefore decreasing its concentration and 324 decreasing the efficiency of the spin trapping reaction. For this reason, in the series of experiments 325 we report here, ozone concentration was lower than in the previous experiments performed with α pinene¹⁸ and in most of the cases was the limiting reagent, in order to minimise losses of DMPO 326 327 (see VOC concentrations in Table 2).

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328 The concentrations of the CI-DMPO adducts obtained are stable over time scales of one hour or 329 more in the steady-state flow tube set up used here (Figure 5 and Figure 6) and well reproducible in 330 this system, with a variation of $\pm 25\%$ on average observed in multiple repeats. The slow initial 331 increase in CI-DMPO concentration is likely associated with a varying amount of O₃ produced from 332 the UV lamp. In fact, the UV lamp has a warm up time of about 20-30 minutes in which ozone 333 concentration exponentially increases before reaching a plateau (Figure S2). After the UV lamp is 334 switched off, the concentration of CI-DMPO adducts decreases slowly to zero, probably due to 335 memory effects as the DMPO and its adducts can condense on the walls of the tubings.

336 Similarly, for cis-2-hexene the two expected CI-DMPO adducts with molecular formulas 337 $C_{10}H_{20}NO_3^+$ and $C_8H_{16}NO_3^+$ have been detected at m/z 202.1438 and 174.1125 respectively and, 338 likewise, they are stable over time in the steady-state reaction system (Figure 5b). Additional 339 experiments in which both β -pinene and cis-2-hexene have been concurrently injected in the flow 340 tube have been carried out. Also in this case, all four expected CIs from both VOCs have been 341 detected with good repeatability as shown in Figure 5c. To the authors' knowledge, this is the first 342 time in which detection and identification of CIs from multiple VOC precursors is achieved, clearly 343 demonstrating the capability of this technique to characterise CIs in complex, atmospherically 344 relevant VOC mixtures. Concentrations of CIs from cis-2-hexene are higher than the concentrations 345 of CIs from β -pinene (Table 2) which is consistent with the higher initial concentration of cis-2-346 hexene (153 ppm) than that of β -pinene (96 ppm).

Furthermore, the study has been additionally extended to other VOCs with different chemical properties and volatilities. Methacrolein, a first-generation oxidation product from isoprene, has been ozonolysed in the flow tube and the two expected CIs have been detected, the CI_{C1} -DMPO and the aldehydic CI_{C3AI} -DMPO (Figure 6a). Also for styrene, an aromatic olefin, both the CI_{C1} -DMPO and the aromatic CI_{C7Ar} -DMPO have been detected (Figure 6b).

352 Concerning limonene, a diene monoterpene, all CIs from the reaction of ozone with both the endo-353 and exo- double bond have been detected (Figure 6c). From the comparison between the rate of the 354 reaction of ozone with limonene and that of ozone with limononaldehyde, and the low yields of 355 limona ketone, the ozonolysis of limonene should occur predominantly at the endo-double bond (95:5).³⁸ However, ozone was in excess in our conditions (18 ppm of ozone and 6 ppm of limonene) 356 357 which can explain the high concentration of CIs detected from the less favoured reaction channel. 358 No second generation CIs were detected from reaction of ozone with an olefinic first-generation 359 oxidation product, but these CIs are likely to be very low-volatility compounds and they probably 360 partition quickly into the condensed phase.

361 In general, detected mixing ratios of CIs are between three and five orders of magnitude lower than 362 the initial concentrations of the olefinic precursor and between two to four orders of magnitude 363 lower than the measured concentration of olefinic precursor at the steady state (see Table 2). The 364 concentration of olefinic precursors is generally in excess with respect to ozone, except for 365 limonene. During the three seconds reaction time in the flow tube, CIs can decompose to form a 366 wide range of further products, including dioxiranes and vinylhydroperoxides which retain the same molecular mass as the CIs. According to the reaction mechanism proposed by Adam et al.³⁹ the 367 368 reaction of dioxirane with DMPO yields a product with a mass different to the CI-DMPO adducts. 369 As pointed out by Liu et al.⁴⁰ the vinylhydroperoxide forms with a significant excess energy and 370 rapidly undergoes O–O bond fission to form ·OH. Nevertheless, the presence of organic acids may 371 help to dissipate the excess energy and stabilise this species so it has to be assessed in future studies 372 whether the vinylhydroperoxide may interfere to some degree with the measurement.

Because of the high VOC concentrations used here, their instrumental signals are likely outside of the linear range of the instrument and therefore the steady-state concentrations derived may be lower limits of their actual concentrations in the flow tube. Other factors should be optimised and characterised for an improved quantification of the CI-adducts, including the effect of secondary organic aerosol formation in the flow tube, wall losses throughout the system, the unknown kinetics Page 11 of 18

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- 378 of the CI-spin trap reactions, and unknown fragmentation patterns of the CI-DMPO adducts in the
- 379 mass spectrometer.
- 380 To the authors' knowledge, this is the first time in which detection of CIs from methacrolein,
- 381 limonene, styrene and cis-2-hexene is achieved, and the first time in which four CIs from a mixture
- 382 of two olefinic precursors were simultaneously detected.
- 383 384

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Figure 5. Time-series of CIs formed from the ozonolysis of β-pinene (a), cis-2-hexene (b) and a mixture of βpinene and cis-2-hexene (c) in a steady-state flow tube reaction system.

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Time (min) Figure 6. Time-series of CIs formed from the ozonolysis of methacrolein (a), styrene (b) and limonene (c) in a steady-state flow tube reaction system. For limonene ozonolysis, the CI_{C10AI} and CI_{C10K} have the same exact mass, therefore are indistinguishable in MS and reported here as one time trace (CI_{C10}).

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395 3.3. Comparison between experimental measurements and MCM modelling

396 To compare our experimental results and test the quantification capability of the technique in our 397 experimental conditions, experimental results have been compared with MCM model simulations. 398 The complexity of the entire ozonolysis reaction scheme is vast, and a plethora of compounds 399 produced in this system can scavenge CIs, including the carbonyl compound produced in a 1:1 ratio 400 during the first steps of the reaction. Whilst not a fully explicit chemical mechanism, the MCM can 401 help in understanding the complexity of the system and evaluate the amount of both excited and 402 stabilised CIs available at the outlet of the flow tube (3 seconds reaction time) in the experiments 403 performed.

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404 The results of the AtChem/MCM modelling simulating the experiment of ozonolysis of the VOC 405 mixture containing β -pinene and cis-2-hexene are reported in Figure 7. The results show the decay 406 of β -pinene, cis-2-hexene and ozone with a time resolution of one second (Figure 7a) in which it 407 can be seen that after a three seconds reaction time in the flow tube, concentrations of β -pinene and 408 cis-2-hexene are still very high as ozone is not in excess and its concentration in turns rapidly 409 decreases. It can also be seen in Figure 7c that excited CIs decompose quickly in the flow tube and 410their concentrations in our steady-state reaction system is lower than the detection limits (~30 411 ppt)¹⁸. Conversely, detectable amounts in the ppb range of SCIs are still present at the end of the 412 flow tube (Figure 7d) and can therefore be detected by our technique.

413 The results of the AtChem/MCM modelling for all other VOCs are reported in Figure S3 to S7, 414 showing the time-series of VOCs and ozone consumption, and excited and stabilised CIs 415 production. In general, the results of the AtChem/MCM model show that the ozonolysis reaction is 416 very fast in our experimental conditions and the excited CIs decompose quickly in the flow tube so 417 that their concentrations (mostly below ppb levels) are estimated to be far below detection limits at 418 the mixing point with DMPO (after 3 seconds reaction time) for all experiments. On the contrary, 419 detectable amounts in the ppb range of SCIs are still present at the end of the flow tube and they can 420 therefore be trapped by the DMPO. Our results show that the method used here it is suitable for 421 detection of SCIs in laboratory experiments. Further studies are needed to investigate the possibility 422 of detecting excited CIs. 423





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Figure 7. Time evolution of precursors (a), structures and acronyms of CIs (b) and time evolution of excited CIs

(c) and stabilised CIs (d) in the ozonolysis of a VOC mixture of β -pinene and cis-2-hexene determined by the

MCM model simulating our experimental conditions. Dashed vertical bars indicate reaction time (3 seconds) in

our steady-state flow tube experiments during which ozone reacts with the olefinic precursors producing CIs

before they are mixed with the DMPO.

433 The comparison between theoretically expected concentration of SCIs and experimental 434 measurements of CI-DMPO adducts is reported in Table 2. Results show that measured 435 concentrations of CI-DMPO adducts are at least one order of magnitude lower than the modelled 436 concentrations of SCIs from the AtChem/MCM model. This may be explained with wall losses in 437 the systems, which were not estimated. Efficiency of the spin trapping reaction should be good as 438 ozone was generally the limiting reagent, to minimise reaction with DMPO, and DMPO was at least 439 4 orders of magnitude in excess with respect to the CIs. Nevertheless, reaction kinetics of SCIs with 440 DMPO are unknown and this could also partly explain the discrepancy between experimental 441 measurements and modelling results. The discrepancy is larger for the CI_{C96pin} for which the 442 reaction with DMPO has a larger energy barrier decreasing the adduct formation rate (Figure 6). In 443 addition, the fragmentation pattern of CIs-DMPO adducts in the PTR-ToF-MS is unknown which 444 can lead to an underestimation of CIs-DMPO concentration. Nevertheless, MCM is not a fully 445 explicit mechanism and, for example, does not include self-reaction of SCIs, overestimating SCI 446 concentrations.41

447 The measured ratios of CIs produced from the different precursors do not match well the 448 theoretically calculated distribution from the AtChem/MCM model. For example, for the ozonolysis 449 of β -pinene, the MCM model predicts a distribution of 59% of SCI_{C1} and 41% of SCI_{C2600in} while the 450 experimentally measured distribution is 80% of CI_{C1} -DMPO and 20% of CI_{C96pin} -DMPO. This large 451 discrepancy can be explained by considering the stability of the CI-DMPO adducts. The results of 452 the DFT calculations show that the CI_{CI} -DMPO is more stable than the CI_{C96pin} -DMPO. In addition, 453 larger CIs, like CI_{C9Bpin} and CI_{C7Ar}, were generally measured at lower concentrations than expected 454 from the modelling which might be because of the low volatility of these large CIs resulting 455 potentially in wall losses. However, the temperature of the line after the DMPO mixing point was 456 kept at 85°C to minimise condensation on the walls. Volatility-related artefacts could help 457 explaining why there is a better match between measurements and modelling results for smaller CIs 458 compared to the large β -pinene CI_{C96pin} and styrene CI_{C7Ar}.

In future studies, experimental strategies to improve quantification could aim to account for both stability of the CI-DMPO adducts, as adducts with lower stability tends to be more underestimated, and their volatility because some of the adducts have rather high molecular weights, and partitioning into the condensed phase may be non-negligible. This seems to be suggested also by the memory effects in the system (i.e., the slow decrease of signal after the production of ozone in the flow tube is turned off, Figure 5 and Figure 6).

In the case of limonene, the MCM reaction scheme considers only the addition of ozone to the double bond in endo position as the the endo-double bond is more reactive than the exo-double bond (95:5).³⁸ However, in our experiments, all CIs from the reaction of ozone with both the endoand exo-double bond have been detected, with ozone being in excess compared with limonene. However, surprisingly the CI-DMPO from the reaction of ozone with the exo-double bond were detected at higher concentrations than the CI-DMPO from reaction at the endo-double bond. This may be explained by different stabilities and volatilities of the CI-DMPO adducts.

472 Second generation CIs from the ozonolysis of the olefinic oxidation products from limonene were 473 not detected in this series of experiments which is consistent with theoretical calculations (Figure 474 S8), which predict concentrations in the orders of magnitude below detection limits. However, 475 second generation CIs may be produced in the condensed phase as the oxidation products from 476 limonene ozonolysis are likely to partition efficiently into the particle phase.

Simulations of the AtChem/MCM model in which ozone concentration was changed according to
the output of the UV lamp (Figure S2) show that the initial increase of the concentrations of CIsDMPO adducts before reaching a plateau it is mainly due to the warming up time of the UV lamp
before it reaches a constant ozone output (Figure 8).

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Figure 8. Time evolution of CIs-DMPO adducts (experimental) and SCIs (modelled) in the ozonolysis of a VOC mixture of β-pinene and cis-2-hexene. AtChem/MCM model simulation was run at different initial concentrations of ozone to simulate the warming-up time of the UV lamp used to generate ozone.

Table 2. Initial and steady-state concentrations of VOCs in our experiments and comparison between experimental measurement and expected concentration of CIs from MCM modelling for all VOCs examined in this study and the VOC mixture.

VOCs	Measured [VOC] ₀ (ppm) ^a	Measured [VOC]ss (ppm) ^b	CIs-DMPO	Measured [CIs- DMPO]ss (ppb) ^c	Measured ratios [CIs- DMPO]:[V OC] ₀	Measured fraction (%) of CIs- DMPO ^c	Modelle d [SCIs] (ppb)	Modelled fraction (%) of SCIs
β-pinene	83	65±2	CI _{C1} -DMPO	14.8±3.6	$2 \cdot 10^{-04}$	79.6±33.5	250	59.1
			CI _{C9βpin} -DMPO	3.8±2.8	$5 \cdot 10^{-05}$	20.4±16.6	173	40.9
cis-2-hexene	120	24±1	CI _{C2} -DMPO	14.5±1.9	$1 \cdot 10^{-04}$	80.6±22.7	875	50
			CI _{C4} -DMPO	3.5±2.6	$3 \cdot 10^{-05}$	19.4±15.2	875	50
mixVOCs:								
β-pinene	96	55±13	CI _{C1} -DMPO	6.8±1.1	$7 \cdot 10^{-05}$	24.3±7.8	101	5.1
			CI _{C9βpin} -DMPO	2.6±2.4	$3 \cdot 10^{-05}$	9.3±9.0	69	3.5
cis-2-hexene	153	88±6	CI _{C2} -DMPO	11.8±1.6	$8 \cdot 10^{-05}$	42.1±13.1	897	45.7
			CI _{C4} -DMPO	4.9±2.2	$3 \cdot 10^{-05}$	17.5±9.3	897	45.7
methacrolein	838	369±419	CI _{C1} -DMPO	8.7±1.3	$1 \cdot 10^{-05}$	84.5±19.4	334	93.8
			CI _{C3Al} -DMPO	1.6±0.5	$2 \cdot 10^{-06}$	15.5±5.6	22	6.2
limonene	6.3	1.4±0.3	CI _{C1} -DMPO	7.8±0.6	$1 \cdot 10^{-03}$	42.2±10.1	0.5	0.3
			CI _{C9Lim} -DMPO	7.2±2.8	$1 \cdot 10^{-03}$	38.9±17.5	0	0
			CI _{C10K/C10Al} -DMPO	3.5±0.8	$6 \cdot 10^{-04}$	18.9±6.1	169 ^d	99.7
styrene	78	8±3	CI _{C1} -DMPO	18.6±5.2	$2 \cdot 10^{-04}$	93.5±38.4	191	50
			CICTAT-DMPO	1.3 ± 0.8	$2 \cdot 10^{-05}$	6.5±4.5	191	50

^a Concentration measured in dilution experiments. ^b Experimental uncertainty expressed as standard deviation between 2-3 repeated experiments. Larger uncertainties affect the most volatile VOCs for difficulties in maintaining a constant gas phase concentration in our experimental set-up. ^c Experimental uncertainty expressed as standard deviation between 2-3 repeated experiments. It does not take into account systematic errors due to unknown fragmentation pattern. ^d Referred to SCI_{C10K}

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492 **4.** Conclusions

We identified and estimated concentrations of a series of SCIs from the ozonolysis of both biogenic and anthropogenic VOCs by using stabilisation with the spin trap DMPO and analysis with PTR- 495 ToF-MS. This method proved to be applicable to SCIs with a wide range of structures and allowed 496 us to measure SCIs that were otherwise out of reach for techniques used in previous studies. In 497 addition, for the first time, it was possible to study an even more complex reaction system 498 consisting of more than one olefinic precursor with the simultaneous detection of four SCIs.

499 The method has great potential to be used for quantification of SCIs in laboratory experiments 500 although specific calibration procedures need to be developed to improve accuracy, including 501 assessment of instrumental response at high VOC concentration and estimating fragmentation 502 patterns of CI-DMPO adducts and reaction kinetics between CIs and spin traps. The integrated 503 approach used in this study combining DFT calculations to determine the stability of the CI-DMPO 504 adducts, experimental measurements and MCM modelling revealed the importance of assessing the 505 stability of the adducts to aid interpretation of measurement results but also volatility in the case of 506 larger SCIs. In this context, synthesis of more volatile nitrone spin traps may help to overcome this 507 weakness. The suitability of the technique to characterise excited CIs will need to be determined in 508 future studies.

According to recent estimates, ambient SCI concentration in Hyytiälä (Finland) in the summer of 2010 was about 5 x 10^4 molecules cm⁻³ with an order of magnitude uncertainty.¹⁶ Such concentration levels are about four to five orders of magnitude lower than the detection limits of our instrument¹⁸ and extremely challenging for any instrumental technique currently available even with an *ad hoc* pre-concentration method. Nevertheless, our new technique is uniquely capable of quantifying many different SCIs simultaneously and thus provides a significant step towards studying SCIs in realistic, complex reaction mixtures in the laboratory.

The method proposed here can be used for direct kinetic measurements however the reactivity of the spin trap toward ozone represents a limiting factor on the range of reaction conditions that can be tested. Generation of CIs in ozone-free conditions, e.g. via diiodoalkane photolysis method,⁶ would allow to perform kinetic experiments and compare our method with other measurement methods like PIMS and IR/UV spectroscopy.

521 Recently, extremely low-volatile organic compounds (ELVOC) have been discovered, which 522 irreversibly condense into the particle phase enhancing, and in some cases dominating, the early 523 stage of atmospheric aerosol formation (nucleation), constituting a crucial link between new particle formation and cloud condensation nuclei formation.^{42,43} The suggested formation pathway of 524 525 ELVOC from biogenic VOCs relies on initiation via ozonolysis of terpenes, and therefore CI 526 formation, followed by an autoxidation process involving molecular oxygen (vinylhydroperoxide 527 pathway).^{42,44} Measurement of terpenes derived CIs using spin traps as CI scavengers may help in 528 mechanistic studies to elucidate ELVOC formation mechanism, and their role in particle nucleation. 529

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