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1 Direct Correlation between Potentiometric and Impedance Biosensing of Antibody-Antigen Interactions
2 using an Integrated System

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1 **Abstract**

2 A fully integrated system that combines extended gate field-effect transistor (EGFET)-based
3 potentiometric biosensors and electrochemical impedance spectroscopy (EIS)-based biosensors has been
4 demonstrated. This integrated configuration enables the sequential measurement of the same
5 immunological binding event on the same sensing surface, and consequently sheds light on the
6 fundamental origins of sensing signals produced by FET and EIS biosensors, as well as the correlation
7 between the two. Detection of both BSA/anti-BSA model system in buffer solution and bovine
8 parainfluenza antibodies in complex blood plasma samples were demonstrated using the integrated
9 biosensors. Comparison of the EGFET and EIS sensor responses reveals similar dynamic ranges, while
10 equivalent circuit modeling of the EIS response shows that the commonly reported total impedance
11 change (ΔZ_{total}) is dominated by the change in charge transfer resistance (R_{ct}) rather than surface
12 capacitance ($C_{surface}$). Using electrochemical kinetics and the Butler-Volmer equation, we unveil that the
13 surface potential and charge transfer resistance, measured by potentiometric and impedance biosensors,
14 respectively, are, in fact, intrinsically linked. This observation suggests that there is no significant gain in
15 using FET/EIS integrated system and leads to the demonstration that low-cost EGFET biosensors are
16 sufficient as a detection tool to resolve the charge information of biomolecules for practical sensing
17 applications.

18

19 **Text**

20 The ability to detect biochemical species rapidly without complicated sample preparations in a centralized
21 laboratory is essential for medical care, disease diagnosis, food safety and environmental monitoring.
22 Optical biosensing that requires secondary markers to label target biomolecules (e.g., fluorescence
23 spectroscopy) is time consuming in sample preparation and response.¹ In contrast, label-free electrical
24 biosensors are particularly promising as point-of-care sensor devices since they can be miniaturized

1 through cost-effective microfabrication. Relying directly on the immunological affinity between
2 immobilized surface probes and targets in an analyte solution, the resulting change of the surface
3 properties is amplified and converted to an electrical output signal by a transducer. Potentiometric and
4 impedance biosensors are two of the most common transducers for label-free biosensing. Potentiometric
5 biosensors detect the change of surface potential under DC operation due to the attachment of charged
6 target biomolecules to the probes on the sensing surface, and are most commonly implemented in the
7 form of ion-sensitive FETs (ISFETs) or in a similar but a simplified configuration - extended gate FETs
8 (EGFETs).²⁻⁵ Alternatively, impedance biosensors use electrochemical impedance spectroscopy (EIS) to
9 measure the change of electrical current and, in turn, the electrical impedance of a biological interface
10 under DC bias and AC oscillation.⁶⁻⁹ Cost-effective potentiometric and impedance sensors are capable of
11 label-free operation that both simplifies the sample preparation steps and enable on-site detection with
12 shorter turnaround time.

13 Although various nanomaterial-based ISFETs have been used for potentiometric biosensors including
14 silicon nanowires,¹⁰ graphene,¹¹ carbon nanotubes,¹² we have reported that the sensitivity of a
15 potentiometric biosensor is independent of the choice of the transducer, and instead relies only on the
16 sensing surface.⁴ Moreover, ISFETs require a sophisticated encapsulation scheme to protect the
17 semiconductor device from exposure to the liquid environment that can hamper reliability and stability.¹³
18 Consequently, if either the sensing surface or the readout component fails, the entire ISFET sensor
19 becomes unusable. EGFET biosensors, by contrast, provide a simpler and a more robust design for liquid
20 phase sensing by separating the sensing chip (an extended gate) from the readout transducer (FET), and
21 the sensitivity is comparable to the conventional ISFET.⁴ Moreover, the EGFET is a promising tool for
22 disease diagnosis with a comparable performance to ELISA and SPR.⁵ Finally, since the transducer is
23 separated from the sensing surface, the EGFET configuration readily enables the integration of
24 potentiometric sensors with other sensor platforms.

1 EIS-based impedimetric biosensors measure change of impedance when species attach to the sensing
2 surface. Under faradaic operation involving redox couples, the measured impedance spectrum can be
3 further analyzed using an equivalent circuit model. Two of the most important parameters, charge transfer
4 resistance (R_{ct}) and surface capacitance ($C_{surface}$) can be resolved in this way. The change of surface
5 potential and the formation of the biomolecular layer will prevent the redox species from approaching the
6 working electrode due to electrostatic repulsion and steric hindrance, respectively.⁷ The phenomenon is
7 often reflected in an increase of the R_{ct} which dominates the overall measured impedance.

8 In this letter, we demonstrate a fully integrated system that combines both two-terminal EGFET-based
9 potentiometric and three-terminal EIS-based impedance biosensing on a shared active sensing surface.
10 This system enables the sequential measurement of the same binding event on the same sensing surface
11 using two different sensing techniques. Consequently, we are able to perform a fundamental investigation
12 into the origins of the sensing signal produced by FET and EIS biosensors. A bovine serum albumin
13 (BSA) versus antibody-BSA (anti-BSA) prototype system was used as the test vehicle for the integrated
14 biosensors. In addition, detection of bovine parainfluenza antibodies in a complex blood system with
15 hemagglutinin-neuraminidase (HN) has also been demonstrated. Comparison of the EGFET and EIS
16 sensor responses reveals similar dynamic ranges, and motivates our study of the relationship between
17 surface potential and impedance, which is explained by electrochemical kinetics and the Butler-Volmer
18 equation.

19 All the chemicals and proteins were purchased from Sigma-Aldrich and used as received. The sensor chip
20 was fabricated on a borofloat wafer. The metallic structures including Au micro-strip working electrode
21 (WE), Au (Ti/Au 10/90 nm) counter electrode (CE) and Pt (Ti/Pt 10/90 nm) on-chip pseudo-reference
22 electrode (RE) were deposited and patterned using e-beam evaporation and photolithography, respectively.
23 A commercial n-MOSFET (VN0104, Supertex) was used as the transducer for EGFET biosensors and the
24 I-V characterization was measured using a Keithley 4200-SCS semiconductor analyzer. EIS biosensors
25 were characterized using a Gamry Interface 1000 potentiostat. The WE was coated with o-aminobenzoic

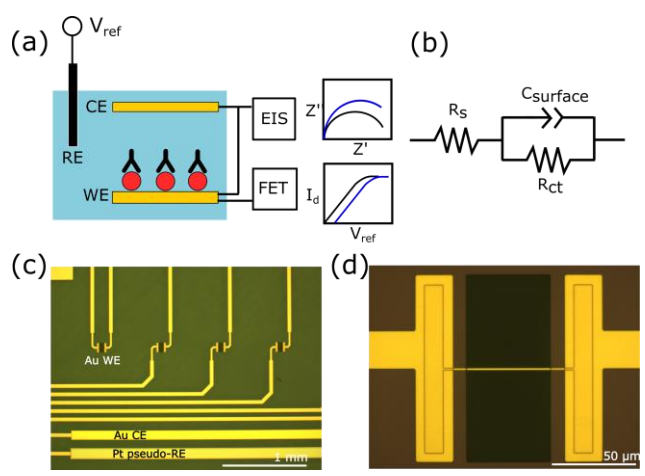
1 acid (o-ABA, 50 mM in H₂SO₄) carboxylated film through 10 cyclic voltammetry scans (0 to 0.8 V, 50
2 mV/s). The BSA proteins (1% in PBS) were immobilized onto the sensing surface through amine
3 coupling using NHS/EDC. The contact time for BSA protein immobilization is 30 minutes. The sensing
4 surface was later deactivated and blocked using 1M ethanolamine-HCl solution for 30 minutes. This
5 sensing surface was exposed to anti-BSA dilutions in PBS with 20 min contact time. The detailed
6 experimental methods of HN/BPIV3 sensing can be found in the Supplementary Information. A 10 mM
7 hexaammineruthenium (III) chloride in PBS was used as the redox couple. In the EGFET sensor, the Au
8 active sensing surface serves as the WE, i.e. extended gate of a MOSFET. A DC voltage sweep is applied
9 to the liquid through a commercial Ag/AgCl RE. For EIS sensor, the aforementioned Au WE is the active
10 sensing surface. On-chip Pt pseudo-RE and Au CE are used. The signal, i.e. surface potential, of
11 potentiometric sensors was extracted from the I_d - V_{ref} curve as the V_{ref} value when $I_d = 1 \mu A$. The EIS
12 spectra were modeled using ZView (Scribner Associates Inc.) by minimizing the chi square value. The
13 detailed methods and parameters for the electrical measurement can be found in Supplementary
14 Information.

15 Comparing EGFET and EIS sensors, the Au working electrode plays a common role: an active sensing
16 surface and WE. As a result, a fully integrated sensing system combining EGFET potentiometric and EIS
17 impedance biosensors can be achieved by sharing a common Au WE as schematically shown in Figure 1a.
18 Using this integrated system, the steps of chemical functionalization and biomolecular attachment can be
19 achieved for both sensors concurrently. Furthermore, this design offers a direct comparison between
20 potentiometric and impedance sensors because the same binding events will be measured on a shared
21 sensing surface.

22 The optical image of the sensor chip is shown in Figure 1c and the enlarged view of Au micro-strip WE in
23 Figure 1d. The dimension of the Au micro-strip is 50 μm long and 0.8 μm wide ($4 \times 10^{-7} \text{ cm}^2$). The use of a
24 microscale electrode provides the benefit of minimizing the mass transfer limited behaviors in EIS at low
25 frequency, due to the decreased diffusion length around the miniaturized working electrode.^{9,14,15} The

1 conventional Randle circuit model comprises of series resistance (R_s), surface capacitance (C_{surface} usually
 2 modeled using constant phase elements, CPE), Warburg resistance (W) and charge transfer resistance
 3 (R_{ct}). When the nominal size of the working electrode decreases, the diffusion layer thickness decreases,
 4 and the current is less dependent on the diffusion of reacting species toward the WE.¹⁶ As a result, the
 5 mass transfer dominated W becomes negligible and the Randle circuit can be simplified to a simple RC
 6 circuit as shown in Figure 1b.^{17,18} The measured impedance spectra will be modeled using the simplified
 7 circuit model to extract the circuit elements, including R_{ct} . Using an n-channel MOSFET as the transducer,
 8 a positive voltage is applied to the solution for FET biosensor measurement and, therefore, a redox probe
 9 that has negative redox potential is desired. As a result, hexaammineruthenium (III) chloride, which has
 10 both negative reduction and oxidation potentials, qualifies for the application in this study.

11



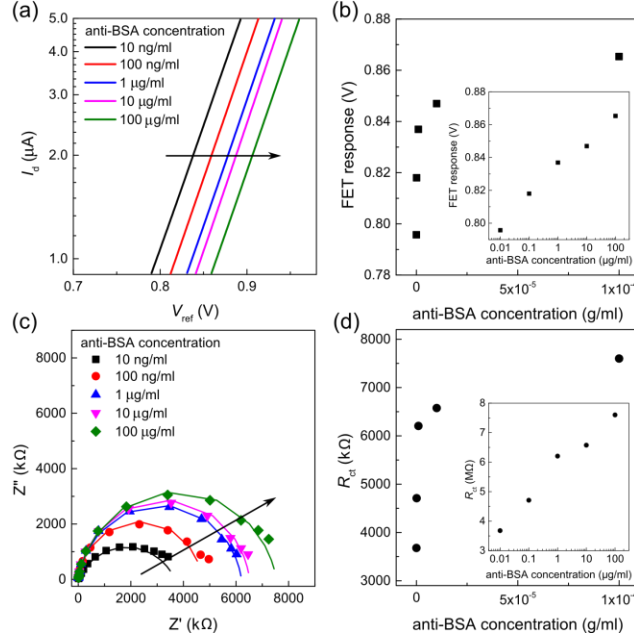
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13 **Figure 1.** The scheme and the optical image of the integrated FET/EIS biosensor system. (a) The
 14 experimental setup of the integrated system. (b) The equivalent circuit model for the impedance
 15 spectroscopy. (c) - (d) The optical images of the biosensor chip and the enlarged view of the Au micro-
 16 strip working electrode.

17

18 The FET/EIS integrated sensor's responses to the BSA versus anti-BSA system are shown in Figure 2.
 19 The I_d - V_{ref} curve of the transistor will shift horizontally depending on the magnitude and the polarity of

1 the change of surface potential on the Au sensing surface. This change of surface potential due to the
2 attachment of charged antibodies to the WE, i.e. extended gate, was obtained using the change of the
3 FET's threshold voltage (V_{th}). Figure 2a depicts the shift of I_d - V_{ref} curves of the potentiometric sensor in
4 the positive direction in response to the increasing concentration of anti-BSA in the PBS, indicating that
5 the anti-BSA (isoelectric point 4.8 – 5.2) is negatively charged in the PBS buffer (pH 7.4).¹⁹ Figure 2b
6 shows the change of surface potential measured by FET potentiometric sensor versus anti-BSA
7 concentration in linear scale and logarithmic scale (inset of Figure 2b). A typical Langmuir adsorption
8 response with a gradual saturation toward high antibody concentration was observed.⁴ Measuring the
9 same binding event at the working electrode, the change of EIS spectra with respect to anti-BSA
10 concentration is presented in a Nyquist plot (Figure 2c). Taking advantage of the miniaturized working
11 electrode, no obvious mass transfer limited characteristics were observed in the recorded impedance
12 spectra, except a slight deviation from the semi-circle under low frequency (< 5 Hz) and a further
13 optimization of the WE may be needed. As a result, the change of surface properties on the sensing
14 surface is mainly reflected through the change of R_{ct} . As the anti-BSA concentration increases, the overall
15 size of the semicircle increases, indicating an increase of R_{ct} and total impedance. By fitting the
16 impedance spectra with the equivalent circuit model (Figure 1b), biomolecular binding-related circuit
17 elements, R_{ct} and $C_{surface}$, could be extracted. However, $C_{surface}$ is relatively insensitive to the attachment of
18 antibodies to the semipermeable biolayer (Figure S1). Figure 2d shows the relationship between R_{ct} and
19 anti-BSA concentration. A similar Langmuir adsorption behavior with saturation at high anti-BSA
20 concentration was again observed, showing integrated operation of a FET/EIS biosensor system.
21 Furthermore, the similar response obtained from both sensing platforms suggests a possible correlation
22 between surface potential and the impedance, which is explored in the following.



1

2 **Figure 2.** The responses of FET potentiometric and EIS impedance biosensors to anti-BSA concentrations.
 3 (a) The shift of I_d - V_{ref} curve measured with FET and (b) the FET signal (surface potential) in response to
 4 anti-BSA concentrations in linear scale and logarithmic scale (inset). (c) Nyquist plots of impedance
 5 spectra measured with EIS and (d) the R_{ct} change in response to anti-BSA concentrations in linear scale
 6 and logarithmic scale (inset).

7

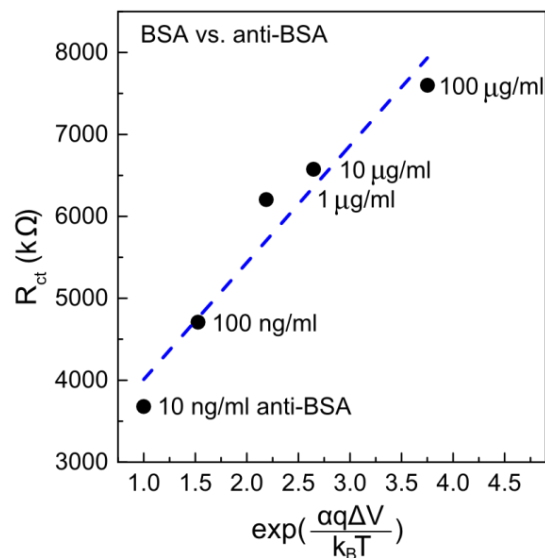
8 According to classical electrochemical kinetics, the electrical current that flows through the electrode is
 9 governed by the effective potential on the electrode surface.²⁰ This relationship is expressed by the Butler-
 10 Volmer equation. Under bias conditions that deviate from equilibrium, i.e. Tafel behavior, the Butler-
 11 Volmer equation that links the charge transfer resistance and the surface potential can be simplified to:^{20,21}

$$12 \quad R_{ct} = R_0 \exp\left(\frac{\alpha q \Delta V}{k_B T}\right) \quad (1)$$

13 where $R_0 = E_{app}/FAk^0C$, F is Faraday constant, A is the area of the working electrode, k^0 is the standard
 14 rate constant, C is the concentration of the redox couple species at the electrolyte-electrode interface,
 15 transfer coefficient α is commonly assumed to be 0.5 for a symmetrical energy barrier, E_{app} is the bias
 16 applied to the system, ΔV is the change of surface potential on the working electrode, q is the elementary

1 charge of an electron, k_B is Boltzmann's constant and T is the temperature. It is reported that k^0 exhibits a
2 wide range from 10^{-9} to several hundred cm/s depending on the choice of the redox couple, the surface
3 morphology of the WE, the formation of the bilayer, different charge transfer mechanisms, buffer
4 concentration, etc.^{20,22,23} In effect, the Butler-Volmer equation predicts that R_{ct} is exponentially related to
5 the change of surface potential (ΔV). Figure 3 shows the relationship between R_{ct} , measured with EIS, and
6 the exponentiation of ΔV , i.e. $\exp(\alpha q \Delta V / k_B T)$, obtained from the FET. A high linearity is observed which
7 agrees well with the prediction of Butler-Volmer theory. The fitted R_0 is $1.43 \times 10^6 \Omega$ and is comparable
8 to the calculated value of $1.04 \times 10^6 \Omega$, where $A = 4 \times 10^{-7} \text{ cm}^2$, k^0 is 1 cm/s for a simple electron
9 transfer,²³ and C is 10^{-5} mol/cm^3 . In the case of affinity sensors, the ΔV results from the binding of
10 charged biomolecules to the surface, i.e. WE. EGFET sensors directly measure this surface potential
11 change, which concurrently influences the electrical current and the resulting impedance measured by EIS
12 sensors by increasing the hindrance for redox species from reaching the WE. This finding confirms that
13 the origin of the sensing signals for both potentiometric biosensors and impedance biosensors is the
14 change of surface potential. Also, the signal output from potentiometric biosensors can be linked to that of
15 impedance biosensors using the Butler-Volmer equation.

16 Beyond the BSA/anti-BSA system, a complex serological system of bovine hemagglutinin-neuraminidase
17 (HN) versus antibodies of bovine parainfluenza virus protein type-3 (BPIV3) in blood plasma samples
18 was also tested.²⁴ HN protein was immobilized on the shared WE via amine coupling as the probe against
19 the BPIV3 proteins in the analyte solution. Similar to BSA/aBSA system, similar trends of the dynamic
20 range of FET and EIS sensors were observed. Again, the relationship between signals from potentiometric
21 and impedance sensors, ΔV and R_{ct} , respectively, are linked and agrees well with Butler-Volmer theory
22 (see Supplementary Information and Figure S2). The results further confirm the correlation between
23 potentiometric and impedance sensors and suggest that the electrical sensor is a promising tool for the
24 disease diagnostic applications. The details of HN protein immobilization, sensing surface blocking and
25 detection of antibodies of BPIV3 can be found in Supplementary Information.

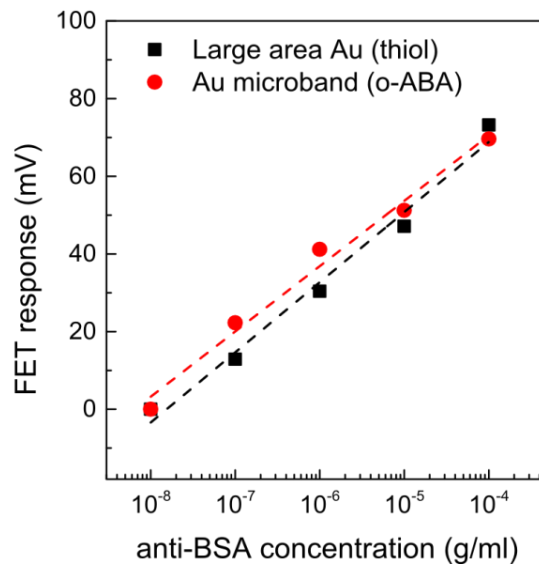


1
2 **Figure 3.** The relationship between the exponentiation of change of surface potential ($\exp(\alpha q \Delta V / k_B T)$)
3 and the charge transfer resistance (R_{ct}), measured with potentiometric and impedemetric biosensors,
4 respectively. The linear relationship fits well into Butler-Volmer equation and confirms that both
5 potentiometric and impedance biosensors are charge sensitive.

6
7 Impedance spectroscopy is a powerful tool to analyze the interfacial properties for corrosion, coatings,
8 batteries, solar cells, etc. through complex analysis of the frequency responses.²⁵⁻²⁹ Intuitively, surface
9 impedance measured in EIS biosensors is expected to respond to both charge and mass of the
10 biomolecules. However, for biosensor applications, the frequency response of surface capacitance is
11 insensitive to the formation of the biomolecular layer on the sensing surface because: (1) the bilayer is
12 semipermeable and is not a good capacitor; and, (2) the double layer capacitance dominates.^{6,7,18} As a
13 result, it is difficult to resolve the mass-related properties of biomolecules alongside their charge
14 information using impedance biosensors. The surface potential related R_{ct} remains the dominating
15 contribution for the sensing signal. In other words, the FET/EIS integrated sensing system provides little
16 extra value in monitoring the charge information of biomolecules since the origin of the signal is linked.

1 In this way, simple FET-based potentiometric biosensors provide sufficient information for practical
2 biosensing applications.

3 To further illustrate their suitability for cost-effective and rapid point-of-care detection, large area Au
4 extended gate sensor chips (0.1 cm^2) were also prepared without the use of photolithography steps,
5 instead using e-beam metal evaporation and patterning with shadow masks. The DC operation of the
6 EGFET at steady state is not limited by the diffusion and, therefore, alleviates the constraints of using
7 microscale electrodes. The BSA proteins were immobilized onto widely used thiol SAM through amine
8 coupling. The sensor response of the large area Au chips is compared to the micro-strip sensors in Figure
9 4. In both cases, the BSA/anti-BSA sensitivities are around 17 - 18 mV/decade change of anti-BSA
10 concentration. The results indicate no sensitivity advantage to the micro-strip design in EGFET biosensor
11 at steady state.



12
13 **Figure 4.** The comparison between EGFET biosensors using large area Au sensing surface (black square)
14 and Au micro-strip (red circle). The BSA/anti-BSA sensitivities using either approach are comparable.

15

1 In conclusion, biosensing using an integrated two-sensor system that combines EGFET-based
2 potentiometric biosensors and EIS-based impedimetric biosensors has been demonstrated. This integrated
3 two-sensor system enables the direct comparison between two sensing techniques by monitoring the same
4 biological layers on the sensing surface. The correlation between sensor signals – that is, surface potential
5 and R_{ct} from FET and EIS, respectively – was linked using the Butler-Volmer equation. The results
6 suggest that both FET and EIS biosensors are sensitive to the change of surface potential due to the
7 attachment of charged biomolecules to the sensing surface, whereas the mass-dependent value of $C_{surface}$
8 does not vary significantly. For the detection of charge properties of biomolecules, the EIS/FET
9 integrated sensing system provides little extra advantage. Therefore, EGFET biosensors are inherently
10 sufficient as electrical transducers for label-free biomolecule detection, while providing the added
11 benefits of rapid response and low-cost scalability. For scenarios where detailed information about both
12 charge and mass properties of biomolecular targets is required, the FET/EIS integrated sensing system
13 that we have proposed may be deployed to great effect. Future studies involving complex biofluids and
14 advanced modeling will help to identify how the mass-related properties extracted from EIS can be
15 leveraged.

16

17 **Supplementary Material**

18 The Supplementary Materials include (1) details of electrical measurement and the integrated sensor
19 operation; (2) the extracted capacitance response against different anti-BSA concentrations from EIS
20 spectra; (3) the detailed description and results of HN/BPIV3 animal disease sensing.

21

22

23

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