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1 **Metabolic and neuroprotective effects of dapagliflozin and liraglutide in diabetic mice**

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Abstract

This study assessed the metabolic and neuroprotective actions of the sodium glucose co-transporter-2 inhibitor dapagliflozin in combination with the GLP-1 agonist liraglutide in dietary-induced diabetic mice. Mice administered low-dose streptozotocin (STZ) on a high fat diet received dapagliflozin, liraglutide, dapagliflozin-plus-liraglutide (DAPA-Lira) or vehicle once-daily over 28 days. Energy intake, body weight, glucose and insulin concentrations were measured at regular intervals. Glucose tolerance, insulin sensitivity, hormone and biochemical analysis, dual-energy x-ray absorptiometry densitometry, novel object recognition, islet and brain histology were examined. Once-daily administration of DAPA-Lira resulted in significant decreases in body weight, fat mass, glucose and insulin concentrations, despite no change in energy intake. Similar beneficial metabolic improvements were observed regarding glucose tolerance, insulin sensitivity, HOMA-IR, HOMA- β , HbA1c, and triglycerides. Plasma glucagon, GLP-1 and IL-6 levels were increased and corticosterone concentrations decreased. DAPA-Lira treatment decreased alpha cell area and increased insulin content compared to dapagliflozin monotherapy. Recognition memory was significantly improved in all treatment groups. Brain histology demonstrated increased staining for doublecortin (number of immature neurons) in dentate gyrus and synaptophysin (synaptic density) in stratum oriens and stratum pyramidale. These data demonstrate that combination therapy of dapagliflozin and liraglutide exerts beneficial metabolic and neuroprotective effects in diet-induced diabetic mice. Our results highlight important personalised approach in utilising liraglutide in combination with dapagliflozin, instead of either agent alone, for further clinical evaluation in treatment of diabetes and associated neurodegenerative disorders.

Keywords: dapagliflozin; diabetes; GLP-1; glucagon; liraglutide

48

49 **Introduction**

50 Type 2 diabetes mellitus (T2DM) is a metabolic disorder that arises due to a complex array of
51 molecular defects manifesting in dysregulated insulin secretion, impaired insulin action, or both.
52 Since the pathophysiology of T2DM is multifaceted and involves a range of biochemical
53 mechanisms, there is no single therapy can effectively manage all aspects of the disorder (Zaccardi
54 *et al.* 2016). Moreover, as T2DM and obesity levels are increasing at an alarming rate, more
55 effective therapies and innovative treatment strategies are urgently needed to control glycaemia,
56 reduce body weight and decrease the risk of micro- and macrovascular complications (da Rocha
57 Fernandes *et al.* 2016). The previous two decades have witnessed a surge in the number of new drug
58 classes such as glucagon-like peptide-1 (GLP-1) agonists, dipeptidylpeptidase-4 (DPP4) inhibitors
59 and sodium-glucose-cotransporter-2 (SGLT2) inhibitors (Bailey *et al.* 2016). Although these agents
60 may be used as monotherapies, it is becoming increasingly apparent that successful and cost
61 effective management of T2DM requires development of safe combination therapies with distinct
62 and complementary mechanisms of action.

63 The kidneys play a pivotal role in regulating glucose homeostasis as most of the glucose
64 filtered by the glomerulus is reabsorbed (Gerich *et al.* 2001). In healthy subjects, the high capacity,
65 low-affinity SGLT2, reabsorbs approximately 90% of glucose in S1 segment of proximal tubules
66 (Hediger & Rhoads 1994, Han *et al.* 2008). Under conditions of chronic hyperglycaemic, SGLT2 is
67 up regulated and this enhances glucose reabsorption and worsens glycaemia (Rahmoune *et al.*
68 2005). Dapagliflozin, a highly selective and potent oral inhibitor of SGLT2, reduces reabsorption of
69 filtered glucose leading to increased glucosuria and improvement in glycaemic control (Vivian
70 2015). Although actions of dapagliflozin appear to be independent of insulin secretion, dapagliflozin
71 improves insulin sensitivity, most likely as a result of sustained reduction in hyperglycaemia,
72 alleviation of glucose toxicity and weight reduction through enhanced caloric loss (Macdonald *et al.*

73 2010, Mudaliar *et al.* 2014, Merovci *et al.* 2015, Millar *et al.* 2016). Beneficial actions of
74 dapagliflozin are, to some extent, limited by unrestrained hepatic glucose production (Bonner *et al.*
75 2015). Thus, inhibition of hepatic glucose output by stimulation of insulin secretion as well as
76 inhibition of glucagon secretion may significantly enhance therapeutic efficacy of SGLT2
77 inhibition.

78 GLP-1 agonists are well established as effective agents to treat patients with T2DM due to a
79 range of beneficial actions including weight loss, induction of satiety, inhibition of gastric emptying,
80 stimulation of insulin secretion and inhibition of alpha cell function (Bailey *et al.* 2016). In addition,
81 GLP-1 agonists exert effects at other extra pancreatic sites (Renner *et al.* 2016), with notable
82 neuroprotective actions in animal models of diabetes-obesity, Alzheimer's disease (AD) and
83 Parkinson's disease (PD) (Ashraghi *et al.* 2016, Tramutola *et al.* 2017). Liraglutide (Victoza®) is a
84 highly effective long-acting GLP-1 agonist that shares 97% sequence homology with human GLP-1
85 (Knudsen *et al.* 2000). Structural modifications include amino acid substitution of Lys³⁴ with Arg,
86 and addition of lipophilic C₁₆ acyl moiety at position 26 via gamma-glutamyl linker (Madsen *et al.*
87 2007). These structural changes provide liraglutide with enhanced pharmacokinetic profile and
88 significantly prolonged half-life, thus facilitating once-daily injection (Agersø *et al.* 2002). This
89 prolonged bioactivity has been attributed to non-covalent reversible albumin binding, ability of
90 liraglutide to self-aggregate and form heptamers in solution, and stability to the enzyme DPP4
91 (Knudsen *et al.* 2000, Madsen *et al.* 2007, Li *et al.* 2016).

92 Given the need for more personalised treatment strategies for patients with T2DM and the
93 unique mechanism of action of dapagliflozin and liraglutide, we hypothesised that combining both
94 drugs would provide additive metabolic and neuroprotective outcomes. We chose to administer a
95 GLP-1 agonist rather than DPP-4 inhibitor as DPP-4 inhibitors act to prevent degradation of a
96 number of regulatory peptides including GLP-1 (Bailey *et al.* 2016). As such, HF mice on

97 background low-dose STZ were treated with dapagliflozin or liraglutide as monotherapy and
98 combination therapy for 28 days. Effects on glucose tolerance, insulin sensitivity, body weight,
99 hormones, memory and learning, islet and brain histology were assessed.

100

101 **Materials and methods**

102 **Animals**

103 Male NIH Swiss mice (aged 8-10 weeks) purchased from Harlan (Oxon, UK) were kept at 22±2°C
104 with 12:12 h light/dark cycle. Mice had free access to high fat diet (45% AFE Fat; Product Code
105 824053; Special Diet Services, Witham, UK; total energy 26.15 kJ/g). An additional group of mice
106 had free access to standard rodent chow (Teklad Global 18% Protein Rodent Diet; Product Code
107 2018S; Harlan, UK; total energy 13.0 kJ/g). All animals had free access to drinking water and no
108 adverse effects were observed during the entire experimental study. All experiments were performed
109 according to the *Principles of Laboratory Animal Care* (NIH publication no. 86-23, revised 1985)
110 and UK Home Office Regulations (UK Animals Scientific Procedures Act 1986).

111

112 **Experimental design**

113 Mice commenced high fat diet on day -28 and subsequently received STZ (Sigma-Aldrich, Dorset,
114 UK) prepared in sodium citrate buffer (pH 4.5) on day -14 (50 mg/kg; i.p.) and day -7 (50 mg/kg;
115 i.p.). Mice that displayed a blood glucose concentration greater than 13 mmol/l were recruited into
116 the study. On day 0, mice commenced drug treatments for 28 days as follows: Group 1 (HF control)
117 – high fat mice administered saline vehicle (0.9% wt/vol; p.o.; o.d.); Group 2 (dapagliflozin) – high
118 fat mice administered dapagliflozin (1 mg/kg; p.o.; o.d.; Selleck Chemicals; Stratech Scientific Ltd.,
119 Suffolk, UK; Catalog number S1548-SEL); Group 3 (DAPA-Lira) – high fat mice administered
120 dapagliflozin (1 mg/kg; p.o.; o.d.) plus liraglutide (25 nmol/kg; i.p.; o.d.; GL Biochem Ltd.,

121 Shanghai, China); Group 4 (Lira) - high fat mice administered liraglutide (25 nmol/kg; i.p.; o.d.);
122 Group 5 (lean control) – lean mice administered saline vehicle (0.9% wt/vol; p.o.; o.d.). All
123 treatments administered at 14:00 h and mice remained on respective diet for study duration. The
124 rationale for choosing 1 mg/kg dapagliflozin (p.o.) and 25 nmol/kg liraglutide (i.p.) in this study was
125 based on previously published literature (Moffett *et al.* 2014, Millar *et al.* 2016). Energy intake,
126 body weight, glucose and insulin concentrations were measured every 3 to 4 days. At the end of the
127 study, glucose tolerance (18 mmol/kg; p.o.; at 10:00 h in 12 h-fasted mice), insulin sensitivity (25
128 U/kg bovine insulin; i.p.; at 10:00 h in non-fasted mice), novel object recognition task, dual-energy
129 x-ray absorptiometry (DEXA) scanning, lipids, hormones/biomarkers, islet and brain histology were
130 performed.

131

132 **Biochemical and DEXA analyses**

133 Blood samples were collected as indicated in Figures from tail vein of conscious mice into chilled
134 fluoride/heparin micro-centrifuge tubes (Sarstedt, Numbrecht, Germany) and centrifuged at 13,000g
135 for 30 s (Beckman Instruments, Galway, Ireland). Glucose concentrations were measured using
136 Ascencia Contour Blood Glucose Meter (Bayer Healthcare, Newbury, UK) and plasma/pancreatic
137 insulin determined using modified dextran-coated charcoal RIA (Flatt & Bailey 1981). HOMA-IR
138 and HOMA- β were determined from calculations as described previously (Gault *et al.* 2015). Lipids
139 (total-cholesterol – CH200; and triglycerides – TR210) and ALT (AL1205) were measured using
140 enzymatic kits from Randox Laboratories (Crumlin, UK). Plasma corticosterone (ab100712) and IL-
141 6 (ab108821) were measured using enzymatic kits from Abcam (Cambridge, UK) and analysed with
142 SOFTMAX PRO Software Version 5.2 on Flexstation 3 (Molecular Devices, Sunnyvale, CA, USA).
143 Glucagon and total GLP-1 were measured by ELISA (EZGLU-30K and EZGLP1T-36K,
144 respectively; Millipore, UK). HbA1c was determined with a commercially available kit (HB-3058;

145 Chirus Limited, Watford, UK). Percentage fat and lean mass were measured using DEXA
146 densitometry (Piximus Densitometer, USA) as described previously (Millar *et al.* 2016).

147

148 **Assessment of learning and memory**

149 Open field and novel recognition tests were performed as described previously (Lennox *et al.* 2014).
150 Briefly, mice were placed in an arena and motor activity (speed and path length), anxiety (grooming
151 events) and exploration (rearing events) recorded over 5 min period. Twenty-four hours later, mice
152 were placed back into the same arena and a novel object recognition task was conducted comprising
153 a 10 min acquisition phase (followed by a 3 h rest in the home cage) followed by test trial where
154 mice could explore familiar and novel object for 10 min. Time spent exploring familiar or novel
155 object was expressed as recognition index (RI) calculated as time (t) spent exploring novel object
156 divided by time spent exploring both objects (A + B) x 10. $RI_B = tB/t(A + B) \times 100$ normalises all
157 data for statistical comparison (Lennox *et al.* 2014).

158

159 **Immunohistochemistry and image analysis**

160 Mice were perfused with PBS transcardially as described previously (Parthasarathy *et al.* 2013).
161 Pancreatic tissue was excised for immunohistochemistry, measurement of insulin/glucagon content
162 and gene expression. For determination of pancreatic insulin and glucagon content, pre-weighed
163 pancreatic tissue was washed thoroughly in ice-cold PBS, homogenised in acid ethanol solution
164 (ethanol/0.7 M HCl; 3:1 ratio) and extracted overnight at 4°C. Insulin content was measured by
165 insulin radioimmunoassay and glucagon content determined by ELISA (EZGLU-30K; Millipore,
166 UK). For histology, pancreatic tissues were fixed in 4% paraformaldehyde for 48 h at 4°C,
167 processed using automated tissue processor (Leica TP1020, Leica Microsystems, Nussloch,
168 Germany) and embedded in paraffin wax. Immunohistochemistry was performed as described

169 previously (Moffett *et al.* 2015). Following primary antibodies used: mouse monoclonal anti-insulin
170 antibody (ab6995, 1:1000; Abcam), guinea-pig anti-glucagon antibody (PCA2/4, 1:400; raised in-
171 house), rabbit polyclonal anti-GLP-1 antibody (XJIC8, 1:200; raised in-house) and mouse
172 polyclonal anti-IL-6 details (PM626, 1:200; ThermoFisher Scientific). Secondary antibodies used as
173 appropriate: Alexa Fluor 488 goat anti-guinea pig IgG – 1:400, Alexa Fluor 594 goat anti-mouse
174 IgG – 1:400. Slides were viewed under FITC filter (488 nm) or TRITC filter (594 nm) using
175 fluorescent microscope (Olympus BX51) and DP70 camera adapter system. Brain processing and
176 immunostaining were performed as described previously (Parthasarathy *et al.* 2013). Briefly, 40
177 micron thick coronal sections of brains at anatomical regions -2 to -3 bregma were stained for young
178 immature neurons (anti-doublecortin, 1:200 dilution, sc-8066, Santa Cruz Biotechnology) and
179 synaptic density (anti-synaptophysin, 1:200 dilution, Abcam, ab-7837).

180

181 **Image analysis**

182 Alpha and beta cell area were analysed in a blinded manner using Cell^F image analysis software
183 (Olympus Soft Imaging Solutions, GmbH) and expressed as μm^2 . Briefly, fluorescent images were
184 captured using digital camera and closed polygon tool in Cell^F used to analyse alpha cell and beta
185 cell area. Pixel area was converted to μm^2 and plotted in Prism. To quantify cell proliferation and
186 neurogenesis, DCX-labelled immature neurons were counted in sub granular zone of dentate gyrus.
187 Minimum of seven coronal sections per animal were counted using 40 x objective of bright field
188 microscope (Olympus BX51) and plotted as average number of positive cells per section.
189 Synaptophysin staining was analysed with Image J (NIH, USA) software using corrected O.D.
190 method (McClellan *et al.* 2011). Briefly, following adjustment for optimum resolution, calibration for
191 optical density was performed using Kodak No. 3 step tablet (Tiffen, Kodak) and calibration curve
192 obtained as described in Image J software. Using 10 x magnification objective, image for each area

193 of interest was obtained per section (4-5 sections per mouse brain) with digital camera. Area of
194 interest comprised hippocampus and cortex that included polymorphic layer, granular cell layer,
195 molecular layer, stratum radiatum, stratum pyramidal, stratum oriens, interior and exterior cortical
196 layers. Images were converted to 8-bit grey scale and pixel density obtained from three small
197 randomly selected squares per layer converted to O.D. using calibration curve. Average O.D values
198 for each layer were subtracted from average O.D values of granular cell layer (GCL) and corrected
199 O.D. plotted.

200

201 **Gene expression**

202 mRNA extracted (Tripure Isolation Reagent; Roche Diagnostics, UK), quantified and purity
203 determined using nanophotometer (Implen, Munich, Germany). cDNA synthesized using
204 Transcriptor First Strand cDNA Synthesis Kit (Roche Diagnostics) and gene expression analysis for
205 insulin and glucagon performed on whole pancreas by qPCR using Light Cycler 480 Probes Master
206 (Roche Diagnostics) according to manufacturer's instructions (Gault *et al.* 2015). HPRT and beta-
207 actin were used as internal control for normalisation. PCR conditions were 95°C for 10 min,
208 followed by cDNA amplification for 50 cycles with 95°C denaturation for 10s, 60°C annealing for
209 30 s and 72°C elongation for 10s followed by cooling period of 30 s at 40 °C. Relative quantification
210 using $2^{-\Delta\Delta CT}$ method used to calculate differences between groups (Livak & Schmittgen 2001).

211

212 **Statistical analyses**

213 Results were analysed using Prism (GraphPad Software Inc., USA) and data expressed as mean \pm
214 S.E.M. For metabolic data, statistical analyses were performed using one-way ANOVA followed by
215 Student-Newman-Keuls *post-hoc* test. For novel object recognition and immunohistochemistry,
216 statistical analyses were carried out using unpaired Student's t-test (non-parametric, with two-tailed

217 *p* values and 95% confidence interval) and one-way ANOVA with Bonferroni *post-hoc* test. Groups
218 of data were considered to be significantly different if $p < 0.05$.

219

220 **Results**

221 **Effects of DAPA-Lira on body weight, energy intake, glucose and insulin concentrations**

222 Compared to HF controls, DAPA-Lira treatment resulted in significant time-dependent decrease in
223 body weight ($p < 0.001$; Fig. 1A). Importantly, body weights for DAPA-Lira and dapagliflozin
224 groups were reduced despite no reduction in energy intake (Fig. 1B). Liraglutide-treated mice
225 displayed reduced cumulative energy intake ($p < 0.05$ - $p < 0.001$; Fig. 1B) compared to HF controls.
226 DAPA-Lira treatment resulted in time-dependent decrease (242%; $p < 0.001$) in glucose
227 concentrations compared to HF controls, dapagliflozin or liraglutide alone (157-172%; $p < 0.01$) on
228 day 28 (Fig. 1C). All treatments exhibited progressive time-dependent increase in insulin but no
229 significance was detected between HF groups, except DAPA-Lira treated mice which exhibited
230 lower levels on day 28 ($p < 0.01$; Fig. 1D).

231

232 **Effects of DAPA-Lira on glucose tolerance, insulin response to glucose, insulin sensitivity,** 233 **HbA1c and plasma glucagon**

234 Mice treated with DAPA-Lira for 28 days exhibited significant reduction (37-47% decrease; $p < 0.01$)
235 in glucose concentrations (individual time-course for up to 120 min) compared with dapagliflozin or
236 liraglutide alone (Fig. 2A). This was further corroborated by significantly reduced glucose AUC₁₂₀
237 values (37-52% decrease; $p < 0.001$; Fig. 2B). As shown in Fig. 2C, all treatment groups
238 demonstrated increased insulintropic response, with DAPA-Lira mice exhibiting significantly
239 higher AUC₉₀ values (1.1-1.4-fold increase; $p < 0.01$ - $p < 0.001$) compared to dapagliflozin or
240 liraglutide alone (Fig. 2D). Similarly, all treatment groups displayed marked improvement in insulin

241 sensitivity compared to HF controls following administration of exogenous insulin ($p<0.05$; Fig.
242 3A-B). DAPA-Lira treated mice also displayed marked reduction (73% lower; $p<0.05$) in HOMA-
243 IR compared to dapagliflozin or liraglutide alone (Fig. 3C). Furthermore, mice treated with DAPA-
244 Lira had a significantly improved HOMA- β index compared to dapagliflozin (53% increase;
245 $p<0.01$) or liraglutide (13% increase; $p<0.05$) alone (Fig. 3D). All treatment groups had significantly
246 ($p<0.001$) reduced HbA1c values compared to HF controls with DAPA-Lira treated mice exhibiting
247 improved HbA1c (19-26% reduction; $p<0.001$) compared to dapagliflozin or liraglutide alone (Fig.
248 3E). Dapagliflozin and DAPA-Lira groups had significantly increased plasma glucagon
249 concentrations (24-33%; $p <0.001$) compared to HF controls, whereas liraglutide group exerted a
250 33% reduction in plasma glucagon ($p<0.001$) compared to DAPA-Lira treated mice (Fig. 3F).

251

252 **Effects of DAPA-Lira on body composition and lipids**

253 DEXA analysis revealed that all treatment groups exhibited significant reduction (37-42% decrease;
254 $p<0.05$ - $p<0.001$) in percentage fat mass compared to HF controls (Fig. 4A). No significant
255 differences were noted between DAPA-Lira and liraglutide or dapagliflozin. Similarly, no
256 significant differences were observed in lean mass for any groups tested (Fig. 4B). Compared with
257 HF controls, all treatments significantly decreased triglycerides ($p<0.5$ - $p<0.001$; Fig. 4C). DAPA-
258 Lira reduced triglycerides (71-87% decrease; $p<0.01$ - $p<0.001$; Fig. 4C) to a greater extent than
259 either liraglutide or dapagliflozin alone. No significant differences between HF groups in terms of
260 total cholesterol was observed (Fig. 4D).

261

262 **Effects of DAPA-Lira on terminal organ weight, hormones and biomarkers**

263 Administration of DAPA-Lira and dapagliflozin resulted in a significant reduction in inguinal
264 adipose weight ($p<0.05$; Fig. 5A). Liver and pancreatic weights were not significantly different in

265 any of the HF groups (Fig. 5B and 5C). Total plasma GLP-1 concentrations were significantly
266 increased in all treatment groups ($p<0.001$) compared to HF controls with DAPA-Lira group
267 displaying increased levels of total GLP-1 (12-18% increase; $p<0.01$ - $p<0.001$) compared to
268 liraglutide or dapagliflozin alone (Fig. 5D). No significant differences were noted in ALT levels in
269 HF mice (Fig. 5E). Both dapagliflozin and DAPA-Lira treatment groups displayed significantly
270 elevated (1.0-fold; $p<0.01$ - $p<0.001$) plasma IL-6 levels compared to HF controls (Fig. 5F).
271 Liraglutide only treated mice displayed reduced (48% decrease; $p<0.001$) IL-6 levels compared to
272 DAPA-Lira treatment (Fig. 5F). All treatment groups resulted in significant reduction (33-43%
273 decrease; $p<0.05$) in corticosterone concentrations compared to HF controls (Fig. 5G).

274

275 **Effects of DAPA-Lira in novel object recognition task**

276 During test trial, no significant difference was noted in the recognition index (RI) for the HF group
277 indicating that they could not discriminate between novel and familiar object thereby exhibiting
278 impaired cognition (Fig. 6A). All treatment groups and lean control group displayed significantly
279 increased RI (1.1-1.3-fold; $p<0.01$ - $p<0.001$) when exposed to novel object compared to HF controls,
280 thus highlighting preference to explore novel *versus* familiar object (Fig. 6B-6F). Open field
281 assessment revealed no effect of any treatments on motor activity (speed and path length), anxiety
282 (grooming events) and exploration (rearing events) (data not shown).

283

284 **Effects of DAPA-Lira on islet morphology, pancreatic hormone content and mRNA gene** 285 **expression**

286 As shown in Fig. 7A, beta cell area was significantly increased ($p<0.05$) in liraglutide treated mice.
287 Mice treated with dapagliflozin alone exhibited marked increase ($p<0.01$) in alpha cell area
288 compared to HF controls (Fig. 7D). In contrast, DAPA-Lira or liraglutide alone did not affect alpha

289 cell area (Fig. 7D). Islets of HF mice exhibited substantial staining for IL-6 in beta cells and GLP-1
290 in alpha cells with no appreciable differences between various treatment groups (images not shown).
291 Both DAPA-Lira and liraglutide markedly increased (1.4-1.6 fold; $p<0.05$) pancreatic insulin
292 content compared to HF controls (Fig. 7B). Liraglutide treatment also significantly decreased (23%
293 reduction; $p<0.001$) glucagon content while both DAPA-Lira and dapagliflozin led to significant
294 increases compared to HF controls (21-28% increase; $p<0.01$ - $p<0.001$; Fig. 7E). A similar pattern to
295 changes in pancreatic insulin and glucagon content were observed in pancreatic mRNA expression
296 of insulin and glucagon (Fig. 7C and 7F).

297

298 **Effects of DAPA-Lira on neurogenesis and synaptic density**

299 Representative micrographs of doublecortin and synaptophysin staining are shown in Fig. 8A-8E.
300 Mice treated with DAPA-Lira, dapagliflozin or liraglutide displayed increased number of immature
301 neurons in the dentate gyrus (44-69% increase; $p<0.01$ - $p<0.001$; Fig. 8F) compared to HF controls
302 as indicated by increased number of DCX-positive cells. Significantly higher levels of
303 synaptophysin expression were demonstrated in all treatment groups in stratum oriens layer (88-
304 113% increase; $p<0.01$; Fig. 8J) compared to HF controls. DAPA-Lira treatment also improved
305 synaptophysin expression in stratum pyramidale layer (50% increase; $p<0.05$; Fig. 8I), though no
306 differences in polymorph layer (Fig. 8G) and stratum radiatum (Fig. 8H) were observed.

307

308 **Discussion**

309 Given the increase and diversity of new antidiabetic drugs in the clinic, there is now a great
310 opportunity to offer a more patient-centered tailored or personalized approach to therapeutic
311 intervention. In this study, we examined the efficacy of combination therapy using the SGLT2
312 inhibitor dapagliflozin and the long-acting GLP-1 agonist liraglutide. In addition to assessing

313 metabolic outcomes, we also examined potential neuroprotective benefits of combination therapy on
314 learning and memory, especially since recent evidence has shown that GLP-1 agonists may reduce
315 cognitive decline in diabetes-obesity (Gault *et al.* 2010, Porter *et al.* 2013).

316 We chose to use a rodent model of diabetes combining low-dose STZ and high fat feeding to
317 promote obesity, insulin resistance and hyperglycaemia (Srinivasan *et al.* 2005, Islam & Wilson
318 2012). This rodent model has been used previously and serves as a suitable means to evaluate
319 potential drug intervention (Bhat *et al.* 2013, Millar *et al.* 2016). A small dose of STZ was combined
320 with high fat feeding to inflict sub-lethal damage to beta cells which when combined with high fat
321 feeding gave a more rapid and pronounced diabetes phenotype with elevation in blood glucose
322 concentrations. SGLT2 inhibitors act by reabsorbing glucose so it is useful to study therapeutic
323 effects when glucose levels are significantly raised. In contrast to liraglutide, DAPA-Lira
324 combination therapy over 28 days did not affect energy intake. This is an important observation as
325 several studies suggested that energy intake is increased following SGLT2 inhibitor therapy
326 (Devenny *et al.* 2012, Nagata *et al.* 2013). As expected, all treatments resulted in reduced body
327 weight, which in the case of dapagliflozin most presumably reflects energy loss via urinary glucose
328 excretion (Scheen & Paquot 2014). SGLT2 inhibitors induce a significant and durable weight loss in
329 patients with T2DM (Bailey *et al.* 2015).

330 Consistent with previous studies, monotherapy with dapagliflozin or liraglutide decreased
331 glucose concentrations both in terms of non-fasting concentrations and following an oral glucose
332 challenge. Moreover, DAPA-Lira combination therapy resulted in a more pronounced glucose-
333 lowering effect that is most likely achieved through increased urinary glucose excretion (Bailey *et*
334 *al.* 2016) and enhanced beta cell function. Interestingly, dapagliflozin monotherapy was also
335 associated with enhanced glucose-induced insulin secretion and HOMA- β , which could be due to
336 improved metabolic control and reversal of beta cell glucotoxicity, a potential direct effect on beta

337 cells and possible involvement of effects on other hormones such as GLP-1 as observed with less
338 selective SGLT2 inhibitors (Zambrowicz *et al.* 2013). Indeed, plasma GLP-1 concentrations were
339 significantly increased in all treatment groups at the end of the study but most notably in the group
340 receiving DAPA-Lira combination therapy. This may well point to enhanced alpha cell GLP-1
341 production, which has been observed previously in pregnancy and situations of beta cell stress
342 (Moffett *et al.* 2014, Vasu *et al.* 2014). Interestingly, IL-6 which has been implicated in islet
343 processing of proglucagon to GLP-1 via increased expression of PC1/3 (Ellingsgaard *et al.* 2011)
344 was markedly increased in beta cells of all HF groups.

345 All treatment groups exhibited improved insulin sensitivity and improved HOMA-IR. These
346 changes in insulin sensitivity may be ascribed to weight reduction and alleviation of glucose toxicity
347 (Macdonald *et al.* 2010). Of particular note is the observation that DAPA-Lira combination therapy
348 markedly reduced HbA1c, which was significantly lower than either dapagliflozin or liraglutide
349 alone. Importantly, no episodes of hypoglycaemia were observed in treatment groups following
350 fasting for OGTT or during the insulin tolerance test, however measurement of circulating ketones
351 would have been informative. Taken together, DAPA-Lira combination therapy was associated with
352 improved glucose-lowering and greater reduction in body weight (compared to liraglutide alone),
353 without observable effects on energy intake, suggesting that combination of dapagliflozin and
354 liraglutide is a very powerful approach to management of glycaemia.

355 Consistent with previous studies, high fat fed mice exhibited dyslipidaemia and obesity
356 (Podrini *et al.* 2013). DEXA scanning revealed that fat mass was significantly reduced in all
357 treatment groups with tendency to be lower in groups treated with dapagliflozin and this was further
358 corroborated by significantly decreased adipose tissue mass. In T2DM patients, body weight loss
359 induced by dapagliflozin is mainly due to reduction in visceral and subcutaneous fat mass (Bolinder
360 *et al.* 2012). Importantly, decreases in fat mass were not associated with changes in lean mass. High

361 fat mice displayed elevated triglyceride concentrations that were significantly improved in all
362 treatment groups but especially in DAPA-Lira combination group. This could be due to function of
363 improved glycaemia and greater weight reduction in this group. No significant changes in plasma
364 total cholesterol were noted in any of the treatment groups. Although not measured in this study,
365 relatively small clinically insignificant increases in both LDL- and HDL-cholesterol have been
366 observed in patients on dapagliflozin therapy (Matthaei *et al.* 2015). As expected, HF mice exhibited
367 increased liver weight and ALT concentrations characteristic of non-alcoholic fatty liver disease
368 (Ganz *et al.* 2014). Whilst all treatments tended to reverse negative effects towards that of healthy
369 controls, more detailed analyses investigating effects on hepatic triglyceride content, plasma and
370 liver oxidative stress would be useful.

371 Mice treated with dapagliflozin, either alone or in combination with liraglutide, displayed
372 elevated levels of IL-6. IL-6 has been shown to stimulate insulin from beta cells, glucagon from
373 alpha cells and GLP-1 secretion from both intestinal L and pancreatic alpha cells (Ehse *et al.* 2007,
374 Ellingsgaard *et al.* 2008, 2011). Increase in GLP-1 production is thought to occur via differentiation
375 of the alpha cell through proglucagon transcription and enhanced PC1/3 expression (Ellingsgaard *et al.*
376 *et al.* 2008, 2011). Furthermore, SGLT2 inhibition promotes glucagon secretion from alpha cells in
377 healthy mice (Bonner *et al.* 2015) and increases GLP-1 concentrations in patients (Ferrannini *et al.*
378 2014). More recent studies have suggested that dapagliflozin stimulates GLP-1 and IL6 secretion
379 from pancreatic islets (Timper *et al.* 2016). In the present study, dapagliflozin increased plasma
380 GLP-1, glucagon and IL-6, perhaps pointing to an important role for IL6 in beneficial action of
381 dapagliflozin. Indeed, we have recently demonstrated increased expression of PC1/3 in α TC1.9 cells
382 treated with dapagliflozin (unpublished observations). Measures of additional circulating cytokines
383 (e.g. TNF α and IL-1 β) would provide insight as to whether this reflects a specific effect on IL-6, or
384 a more generalized heightened inflammatory state. The increase in plasma IL-6 following

385 dapagliflozin therapy is particularly interesting and further studies exploring its role are clearly
386 warranted. Importantly, mice treated with liraglutide alone displayed decreased plasma glucagon
387 with no change in IL-6 concentrations.

388 Pancreatic immunochemical staining revealed that mice treated with dapagliflozin exhibited
389 a significant increase in alpha cell area. This was accompanied by reduction in pancreatic insulin
390 content and increases in both proglucagon gene expression and pancreatic glucagon content. These
391 observations are broadly in line with metabolic insulin and glucagon data and further confirm an
392 important effect of dapagliflozin on the alpha cell (Bonner *et al.* 2015). As expected, liraglutide
393 treatment was associated with enhanced beta cell area and increased insulin content and this was
394 accompanied by significant decrease in gene expression and pancreatic glucagon content
395 (Schwasinger-Schmidt *et al.* 2013). Interestingly, alpha cell area was not affected in mice receiving
396 DAPA-Lira combination therapy with both insulin gene expression and hormone content increased,
397 suggesting that the liraglutide component countered some of the alpha cell promoting properties of
398 dapagliflozin, which would be beneficial in a longer-term treatment regimen. We did not see tight
399 correlation between the various parameters (percentage islet cells, hormone content and basal
400 hormone levels) because many different factors influence these parameters. For example, if cell
401 synthesizes hormone it is not just leaked out into the blood but is stored in vesicles that are regulated
402 on minute-to-minute basis by prevailing blood glucose plus myriad of other factors.

403 We and others have previously shown that high fat feeding in rodents causes detrimental
404 effects in brain regions associated with learning and memory (Greenwood & Winocur 2005,
405 Stranahan *et al.* 2008a, Gault *et al.* 2010). Furthermore, growing evidence indicates that diabetes
406 and obesity increase the risk of developing neurodegenerative disorders, such as Alzheimer's
407 disease (Rani *et al.* 2016). More recently, GLP-1 agonists (and DPP4 inhibitors) have been shown to
408 reduce cognitive decline associated with diabetes-obesity (Groeneveld *et al.* 2016). The present

409 study evaluated learning and memory using well-established novel object recognition task, which
410 exploits ability of a rodent to explore a novel object over a familiar object (Abbas *et al.* 2009). As
411 expected, HF mice could not discriminate between familiar and novel object when compared to
412 healthy controls (Gault *et al.* 2015). However, all HF treated mice displayed significantly improved
413 recognition memory, which was not attributable to effects on anxiety or motor activity, as indicated
414 in open field assessment. Future studies using additional behavioural tests such as Morris Water
415 Maze would also be useful.

416 Corticosterone concentrations were markedly raised following high fat feeding but treatment
417 with dapagliflozin or liraglutide (both alone and in combination) reversed this effect. Raised
418 glucocorticoid concentrations not only induce insulin resistance but also contribute to deficits in
419 hippocampal function (Stranahan *et al.* 2008b). Indeed, reducing corticosterone concentrations can
420 prevent diabetes-induced impairment of hippocampus-dependent learning (Stranahan *et al.* 2008c).
421 Immunohistochemical staining revealed that HF treated mice displayed significantly enhanced
422 doublecortin and synaptophysin expression indicating possible role of drug treatment to promote
423 recovery of neurogenesis and synaptic density. It is possible that combination therapy over a longer
424 time period may have resulted in superior neurogenesis and cognitive function compared to
425 monotherapy. To our knowledge, this is the first study to report beneficial effects of dapagliflozin on
426 cognitive function, neurogenesis, and synaptic density. Whilst positive neuroprotective and growth
427 factor like effects of dapagliflozin on learning and memory are unlikely to occur as direct effect of
428 SGLT2 inhibition in the brain itself, SGLT2 inhibitors are lipid-soluble and should cross the blood-
429 brain-barrier (Bakris *et al.* 2009). However, similar to DPP4 inhibitors, it is plausible that
430 neuroprotective effects observed for dapagliflozin could be attributed to increased GLP-1
431 concentrations which can then cross the blood-brain-barrier and/or actions of dapagliflozin to lower
432 corticosterone concentrations. Whilst we cannot rule out that part of the neuroprotective effects may

433 be dependent on improved peripheral glycaemia, further detailed studies to delineate mechanism for
434 this improvement in cognitive function with dapagliflozin would be useful.

435 In summary, compared with either agent alone, DAPA-Lira combination therapy was
436 associated with superior glucose-lowering and significant reduction in body weight, indicating
437 powerful and complementary approach to effectively manage hyperglycaemia. Part of this benefit
438 appears to derive from the ability of liraglutide to decrease islet alpha cells. Other prominent effects
439 included normalisation of hypertriglyceridaemia and enhancements of both insulin secretion and
440 action. Furthermore, DAPA-Lira combination therapy, and indeed dapagliflozin monotherapy, were
441 effective in reversing memory impairment in diabetic mice. Moreover, changes in glucagon and
442 GLP-1 following dapagliflozin treatment were associated with changes in IL-6, suggesting possible
443 role of IL-6 in mediating some of the actions of SGLT2 inhibition. This study supports recent papers
444 showing clinical effectiveness of combination therapy with SGLT2 inhibition and stable GLP-1
445 mimetics in T2DM patients (DeFronzo 2017). Taken together, our results highlight an important
446 personalised approach in utilising liraglutide in combination with dapagliflozin for further clinical
447 evaluation in the treatment of diabetes and associated neurodegenerative disorders.

448

449 **Declaration of interest**

450 The authors declare that there is no conflict of interest that could be perceived as prejudicing the
451 impartiality of the research reported.

452

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458

459 **Author contributions**

460 PJBM contributed to study design, conduct/data collection, data analysis and writing of the
461 manuscript. VP, RCM, VParth and NMP contributed to conduct/data collection and data analysis.
462 AJB and MO'K reviewed the manuscript. VAG and PRF contributed to study design, analysis and
463 writing of the manuscript. All authors approved the final version of the manuscript.

464

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1 **Figure legends**

2 **Figure 1:** Effects of once-daily administration of DAPA-Lira on (A) body weight, (B) cumulative
3 energy intake, (C) glucose and (D) insulin concentrations. HF mice received saline vehicle (0.9%
4 wt/vol; p.o.), dapagliflozin (1 mg/kg; p.o.), dapagliflozin (1 mg/kg; p.o.) plus liraglutide (25
5 nmol/kg; i.p) or liraglutide alone (25 nmol/kg; i.p) over 28 days. Lean control mice received saline
6 vehicle once-daily. Metabolic parameters were measured every 3 to 4 days. Values are means \pm
7 SEM for groups of 8-10 mice. * p <0.05, ** p <0.01 and *** p <0.001 compared to HF controls.
8 $\Delta\Delta p$ <0.01 compared to DAPA-Lira.

9
10 **Figure 2:** Effects of once-daily administration of DAPA-Lira on (A and B) glucose tolerance and (C
11 and D) insulin response to glucose. HF mice received saline vehicle (0.9% wt/vol; p.o.),
12 dapagliflozin (1 mg/kg; p.o.), dapagliflozin (1 mg/kg; p.o.) plus liraglutide (25 nmol/kg; i.p) or
13 liraglutide alone (25 nmol/kg; i.p) over 28 days. Lean control mice received saline vehicle once-
14 daily. Glucose and insulin concentrations were measured prior to and after oral administration of
15 glucose (18 mmol/kg) in 12-hour fasted mice. Glucose and insulin AUC values post-injection are
16 also shown. Values are means \pm SEM for groups of 8-10 mice. * p <0.05, ** p <0.01 and *** p <0.001
17 compared to HF controls. $\Delta\Delta p$ <0.01 and $\Delta\Delta\Delta p$ <0.001 compared to DAPA-Lira.

18
19 **Figure 3:** Effects of once-daily administration of DAPA-Lira on (A and B) insulin sensitivity, (C)
20 HOMA-IR, (D) HOMA- β , (E) HbA1c and (F) plasma glucagon. HF mice received saline vehicle
21 (0.9% wt/vol; p.o.), dapagliflozin (1 mg/kg; p.o.), dapagliflozin (1 mg/kg; p.o.) plus liraglutide (25
22 nmol/kg; i.p) or liraglutide alone (25 nmol/kg; i.p) over 28 days. Lean control mice received saline
23 vehicle once-daily. Parameters were measured at the end of the study period. For insulin sensitivity,
24 glucose concentrations were measured prior to and after injection of insulin (25 U/kg; i.p.) in non-

25 fasted mice. Glucose AAC values post-injection are also shown. Values are means \pm SEM for
26 groups of 8-10 mice. $**p<0.01$ and $***p<0.001$ compared to HF controls. $^{\Delta}p<0.05$, $^{\Delta\Delta}p<0.01$ and
27 $^{\Delta\Delta\Delta}p<0.001$ compared to DAPA-Lira.

28

29 **Figure 4:** Effects of once-daily administration of DAPA-Lira on (A) fat mass, (B) lean mass, (C)
30 triglycerides and (D) total-cholesterol. HF mice received saline vehicle (0.9% wt/vol; p.o.),
31 dapagliflozin (1 mg/kg; p.o.), dapagliflozin (1 mg/kg; p.o.) plus liraglutide (25 nmol/kg; i.p) or
32 liraglutide alone (25 nmol/kg; i.p) over 28 days. Lean control mice received saline vehicle once-
33 daily. Parameters were measured at the end of the study period. Values are means \pm SEM for groups
34 of 8-10 mice. $*p<0.05$ and $***p<0.001$ compared to HF controls. $^{\Delta\Delta}p<0.01$ and $^{\Delta\Delta\Delta}p<0.001$
35 compared to DAPA-Lira.

36

37 **Figure 5:** Effects of once-daily administration of DAPA-Lira on (A) adipose weight, (B) liver
38 weight, (C) pancreatic weight, (D) total GLP-1, (E) ALT, (F) corticosterone, and (G) IL-6
39 concentrations. HF mice received saline vehicle (0.9% wt/vol; p.o.), dapagliflozin (1 mg/kg; p.o.),
40 dapagliflozin (1 mg/kg; p.o.) plus liraglutide (25 nmol/kg; i.p) or liraglutide alone (25 nmol/kg; i.p)
41 over 28 days. Lean control mice received saline vehicle once-daily. Parameters were measured at
42 the end of the study period. Values are means \pm SEM for groups of 8-10 mice. $*p<0.05$, $**p<0.01$
43 and $***p<0.001$ compared to HF controls. $^{\Delta\Delta}p<0.01$ and $^{\Delta\Delta\Delta}p<0.001$ compared to DAPA-Lira.

44

45 **Figure 6:** Effects of once-daily administration of DAPA-Lira on recognition index for (A) HF, (B)
46 DAPA, (C) DAPA-Lira, (D) Lira, (E) lean mice and (F) difference score. HF mice received saline
47 vehicle (0.9% wt/vol; p.o.), dapagliflozin (1 mg/kg; p.o.), dapagliflozin (1 mg/kg; p.o.) plus
48 liraglutide (25 nmol/kg; i.p) or liraglutide alone (25 nmol/kg; i.p) over 28 days. Lean control mice

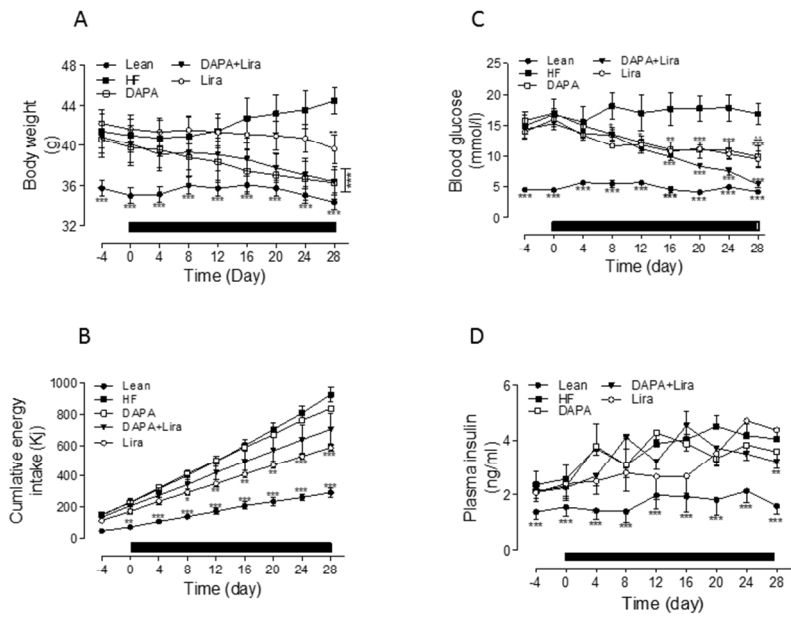
49 received saline vehicle once-daily. Parameters were measured at the end of the study period. Values
50 are means \pm SEM for groups of 8-10 mice. * p <0.05, ** p <0.01 and *** p <0.001 compared to HF
51 controls. $^{\Delta}p$ <0.05 compared to DAPA-Lira.

52
53 **Figure 7:** Effects of once-daily administration of DAPA-Lira on (A) beta cell area, (B) insulin
54 content, (C) insulin mRNA expression, (D) alpha cell area, (E) glucagon content and (F) glucagon
55 mRNA expression. HF mice received saline vehicle (0.9% wt/vol; p.o.), dapagliflozin (1 mg/kg;
56 p.o.), dapagliflozin (1 mg/kg; p.o.) plus liraglutide (25 nmol/kg; i.p) or liraglutide alone (25
57 nmol/kg; i.p) over 28 days. Lean control mice received saline vehicle once-daily. Parameters were
58 measured at the end of the study period. Values are means \pm SEM for groups of 8-10 mice. * p <0.05,
59 ** p <0.01 and *** p <0.001 compared to HF controls. $^{\Delta\Delta}p$ <0.01 and $^{\Delta\Delta\Delta}p$ <0.001 compared to DAPA-
60 Lira.

61
62 **Figure 8:** Effects of once-daily administration of DAPA-Lira on (A-E) brain
63 immunohistochemistry, (F) doublecortin neuroblast, and quantification levels of synaptophysin
64 expression in (G) polymorph layer, (H) stratum radiatum, (I) stratum pyramidale and (J) stratum
65 oriens layer. HF mice received saline vehicle (0.9% wt/vol; p.o.), dapagliflozin (1 mg/kg; p.o.),
66 dapagliflozin (1 mg/kg; p.o.) plus liraglutide (25 nmol/kg; i.p) or liraglutide alone (25 nmol/kg; i.p)
67 over 28 days. Lean control mice received saline vehicle once-daily. Parameters were measured at
68 the end of the study period. Values are means \pm SEM for groups of 6 mice. * p <0.05, ** p <0.01 and
69 *** p <0.001 compared to HF controls.

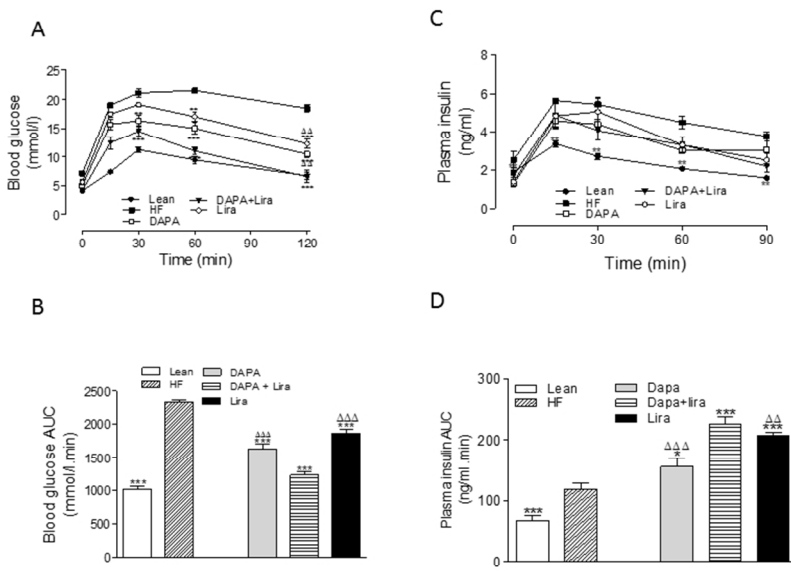
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Figure 1



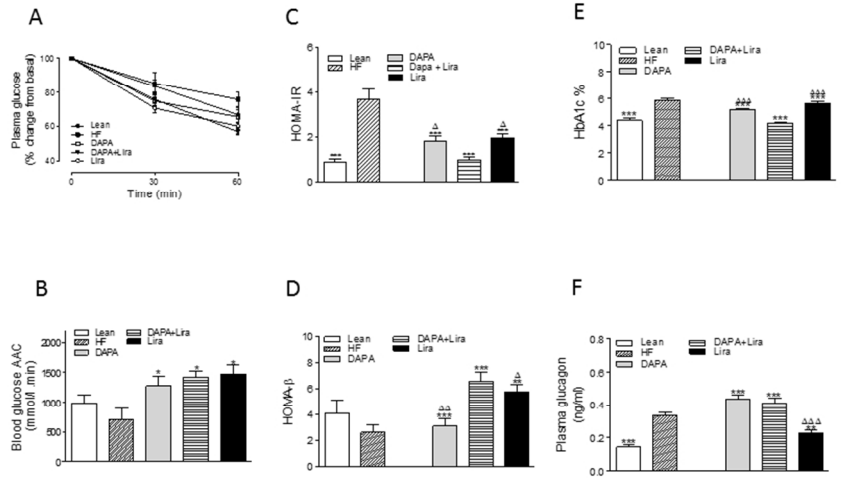
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Figure 2



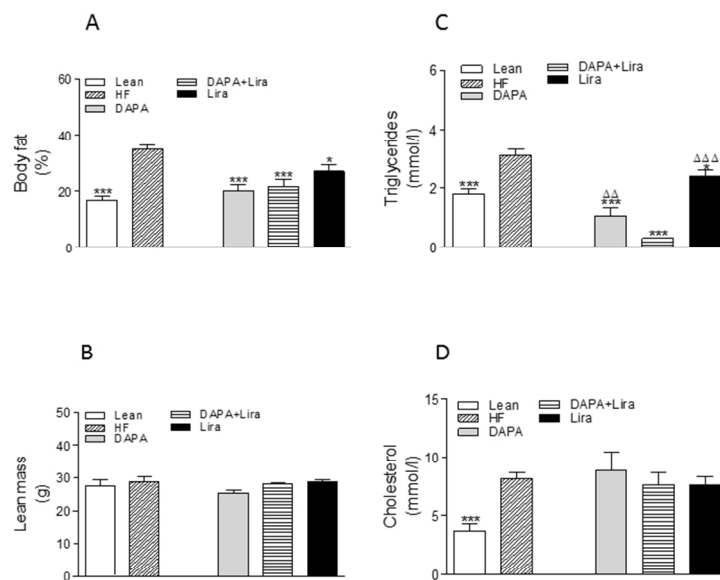
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Figure 3



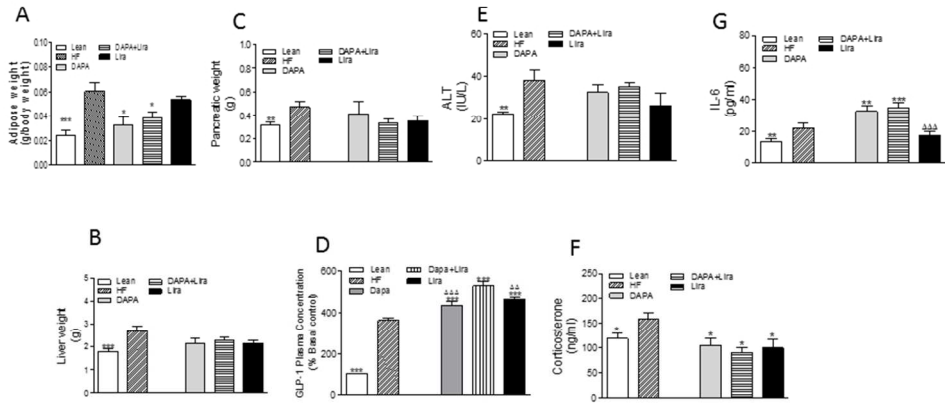
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Figure 4



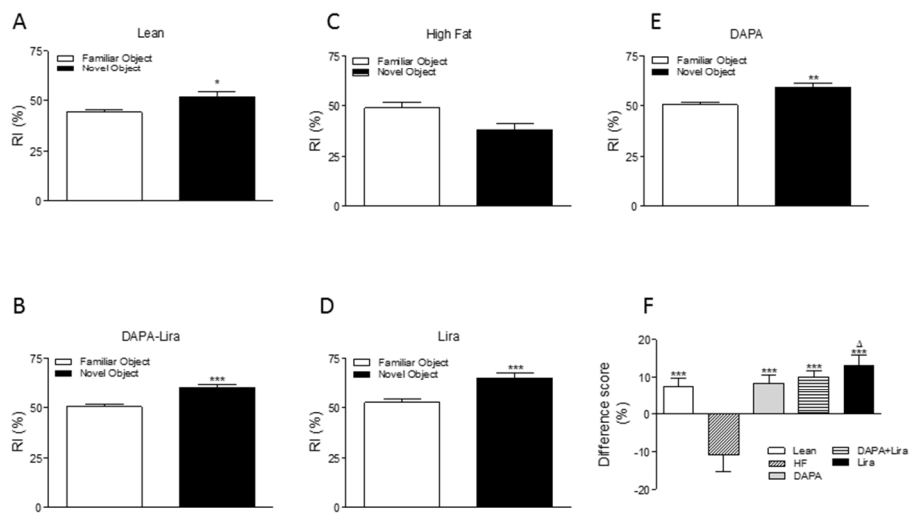
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Figure 5



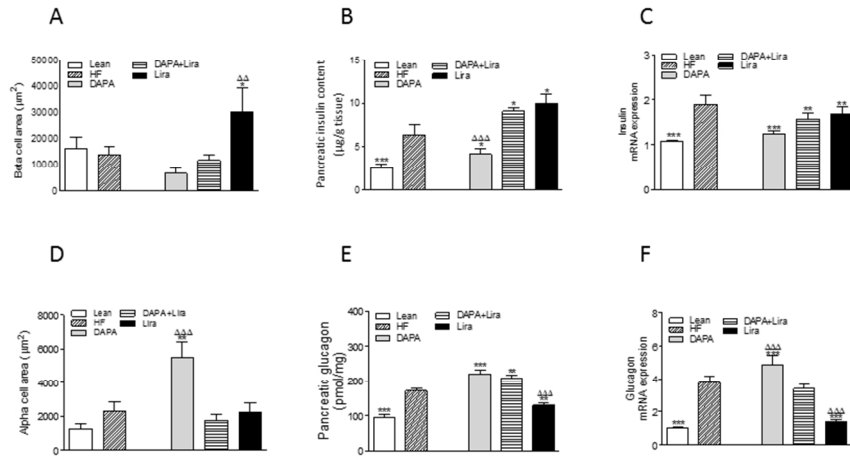
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Figure 6



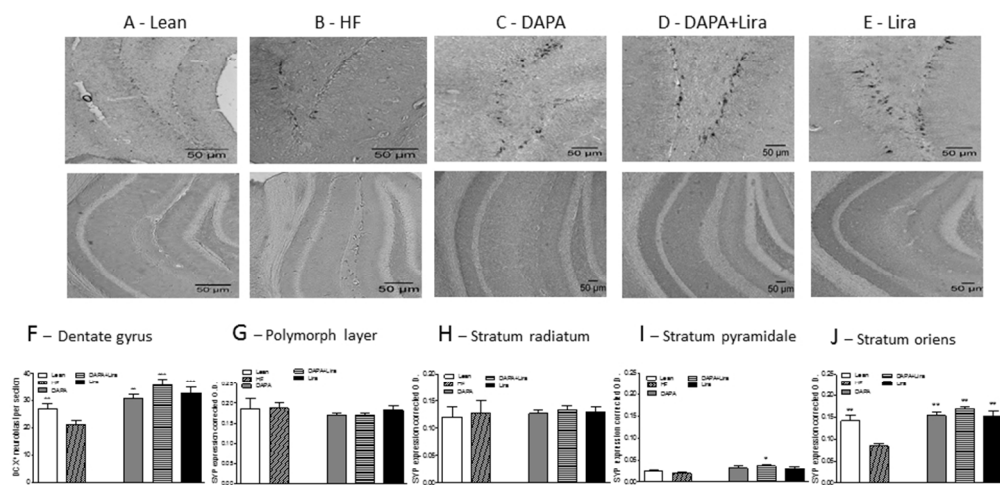
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Figure 7



254x190mm (96 x 96 DPI)

Figure 8



254x190mm (96 x 96 DPI)