Journal of Strength and Conditioning Research Publish Ahead of Print DOI: 10.1519/JSC.000000000001896

#### **Title Page**

#### Title

A comparison of bilateral muscular imbalance ratio calculations using functional tests.

## **Running head**

Muscular imbalance calculations

## Laboratory

Human Performance Laboratory

#### Authors

Theodoros M. Bampouras, PhD

Susan Dewhurst, PhD

#### Department

Department of Medical and Sport Sciences

## Institution

University of Cumbria

#### **Corresponding author**

Theodoros M. Bampouras, University of Cumbria, Department of Medical and Sport Sciences, Bowerham road, Lancaster LA1 3JD, Lancashire, United Kingdom / +44 1524 590837 / theodoros.bampouras@cumbria.ac.uk

## Abstract

Bilateral muscular imbalance can increase the risk of injury and negatively impact sporting performance. Bilateral muscular imbalances are typically calculated as ((side 1-side 2)/reference value) x 100, to provide a percentage value of the difference between limbs. Using different numerator (right-left or strong-weak) or reference values (left, right, strong, weak, average of the two) could mask or inflate the true difference value. The present study aimed to compare the bilateral muscular imbalance ratio calculations, using the absolute difference between limbs as the numerator and the five different options as reference values. 1.5 years, 1.62±0.03 m, 68.0±6.5 kg) performed the one-legged 6m timed test and the onelegged triple hop distance test. The five possible combinations were compared with a 2 (gender) x 2 (functional test) x 5 (calculation method) ANOVA for each test. Significant differences (P<0.05) were found between gender when the right leg was used as the reference value (males:6.1%, females:9.1%), and within calculation methods for males (range:5.9%-6.5%) and females (range: 8.4%-9.4%), with low effect sizes (range: 0.07-0.26). The present findings demonstrate that using a different reference value for calculating bilateral muscular imbalances does not result in a practically significant difference. These findings can be used to inform a more standardised calculation method which will afford conditioning coaches a more correct evaluation and monitoring of training and rehabilitation programmes.

#### **Keywords:**

bilateral difference, injury, isokinetic dynamometry, lower limb asymmetry, muscular balance, performance

# INTRODUCTION

2

3	Substantial deviation from normative data of muscle performance differences between limbs
4	is referred to as bilateral muscular imbalance (21). This bilateral muscular imbalance may be
5	the result of side preference, injury or specific sport demands (14,18), and can consequently
6	increase the risk of injury (6,12,13,16). For example, bilateral muscular imbalances have
7	been associated with higher anterior cruciate ligament injury risk in females (6,13) and elite
8	ski racers (11) as well as increased risk for lower back pain (14). In a prospective study,
9	Croiser et al (3) showed that professional male football players with untreated bilateral
10	muscular imbalances were four times as likely to sustain a hamstring injury.
11	
12	Further, bilateral muscular imbalances could also have an impact on various mechanical
13	aspects and, consequently, on the relevant strength quality of the lower limbs, subsequently
14	affecting performance (4,9,11,22). For example, it was suggested that athletes turned faster in
15	change-of-direction tests when they were pushing off their dominant leg, with this dominance
16	affecting overall performance (22). Further, the weaker leg applied less force during a
17	countermovement jump (9), altering the pattern of force application and reducing the impulse
18	(11), resulting in lower jump height. Such situations can negatively impact on the athlete's
19	performance, due to reduced ability to turn fast or jump high.
20	
21	Muscular imbalances are typically calculated as ((side 1-side 2)/reference value) x 100 [Eq.
22	1], to provide a percentage value of the difference between limbs. However discrepancy
23	occurs with the values that are inserted into the equation (1). When defining side 1 and side 2,
24	for example, researchers have reported using right and left (e.g. 15,17), stronger and weaker
25	(e.g. 10,14), and self-reported preferred and non-preferred, for side 1 and 2, respectively (e.g.

26 4,18). In addition to the definition of side 1 and side 2, the selection of the reference value 27 (right or left, strong or weak, preferred or non-preferred limb or simply an average between 28 the two limbs) might also impact on the results (23). It is worth pointing out that 'strong' and 29 'weak' have been used to refer to the limb with the better (strong) or worse (weak) 30 performance; the actual performance might be a power-based and not a strength-based per se 31 (e.g. 10). Concernedly, use of different values in the calculations could mask or inflate the true bilateral muscular imbalance value, potentially making it difficult for practitioners to 32 determine whether an athlete is at a higher injury risk, or whether their rehabilitation or 33 training programme is working to reduce the strength deficit (1). 34 35 36 Thus, it is important to determine experimentally whether different calculations can produce significantly different results. Hence, the aim of the present study was to compare five 37 38 different muscular imbalance ratio calculations (numerator: absolute difference between limbs, denominator: right, left, strong, weak, average of the two) using two functional tests. 39 Although literature has previously also used preferred side (e.g. 4,18), no calculation was 40 specifically used for those values in the present study, as non / preferred will be either on the 41 right / left or strong / weak limb, and the exclusion of non / preferred selection prevents 42 repetition. Functional tests were chosen over isokinetic dynamometry assessment, due to 43 their practicality and affordability in testing larger groups as well as kinematic resemblance 44 45 to sporting movements (10). 46 47 48 49 50

# 51 **METHODS**

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# 53 Experimental approach to the problem

54

55	The study was designed to compare the different bilateral muscular imbalance calculations
56	obtained by using the absolute difference value between limbs as the numerator and right,
57	left, weak, strong, or average of the two limbs as the reference value in the bilateral muscular
58	imbalance calculation ((side 1-side 2)/reference value) x 100. This was done for two
59	functional tests, the triple hop and the 6m timed hop, as the two tests place different
60	performance focus on the lower extremity (minimum time v maximum distance) (19).
61	Bilateral muscular imbalances (as per the equation above) were calculated in all possible 5
62	combinations, which were then compared for differences between sexs and functional tests.
63	
64	Subjects
65	
66	Twenty three males (mean $\pm$ SD: age 21.6 $\pm$ 1.9 years (range 19 – 24 years), height 1.80 $\pm$
67	
67	0.06 m, body mass 80.5 $\pm$ 13.8 kg) and eleven females (mean $\pm$ SD: age 20.8 $\pm$ 1.5 years
67 68	0.06 m, body mass $80.5 \pm 13.8$ kg) and eleven females (mean $\pm$ SD: age $20.8 \pm 1.5$ years (range 19 – 23 years), height $1.62 \pm 0.03$ m, body mass $68.0 \pm 6.5$ kg) took part in the study.
68	(range 19 – 23 years), height $1.62 \pm 0.03$ m, body mass $68.0 \pm 6.5$ kg) took part in the study.
68 69	(range 19 – 23 years), height $1.62 \pm 0.03$ m, body mass $68.0 \pm 6.5$ kg) took part in the study. They were all competitive, team game players and free of any injuries for at least 6 months
68 69 70	(range 19 – 23 years), height $1.62 \pm 0.03$ m, body mass $68.0 \pm 6.5$ kg) took part in the study. They were all competitive, team game players and free of any injuries for at least 6 months prior to testing. The sports the subjects participated in were, for males, football (n = 12),
68 69 70 71	(range $19 - 23$ years), height $1.62 \pm 0.03$ m, body mass $68.0 \pm 6.5$ kg) took part in the study. They were all competitive, team game players and free of any injuries for at least 6 months prior to testing. The sports the subjects participated in were, for males, football (n = 12), rugby union (n = 9), basketball (n = 2) and for females hockey (n=6) and netball (n = 5). The
68 69 70 71 72	(range $19 - 23$ years), height $1.62 \pm 0.03$ m, body mass $68.0 \pm 6.5$ kg) took part in the study. They were all competitive, team game players and free of any injuries for at least 6 months prior to testing. The sports the subjects participated in were, for males, football (n = 12), rugby union (n = 9), basketball (n = 2) and for females hockey (n=6) and netball (n = 5). The study was approved by the Institutional Ethics Committee and written informed consent was

#### 76 **Procedures**

77 All participants were familiarised with the testing procedures on a session prior to testing (2). 78 Testing took place on a single occasion at the same time for all participants. Participants were 79 asked to refrain from strenuous exercise forty eight hours prior to testing and to avoid food or caffeine intake for two hours prior to testing. For all tests, two trials were performed on each 80 81 limb and if the coefficient of variation was above 5% (8), a third test was performed; this only happened on three occasions. To reduce order bias, the order of which limb was used to 82 perform each test and the test executed was counterbalanced. The average score of the two 83 trials (or the closest two trials, in case of more than two trials) was used for subsequent 84 85 analysis.

86

Participants were required to complete both the one-legged 6m timed test (6m hop) and the 87 88 one-legged triple hop distance test (3hop) (19). The 6m hop test requires participants to stand with their toes just behind a starting line and hop as quickly as possible (on the same leg) 89 over a marked distance of 6m with large, forceful pushes. Participants were allowed to start 90 on their own time and time taken to cover that distance was recorded. Time was measured 91 using infrared timing gates (Brower Timing, Utah) aligned at the starting and finishing lines, 92 93 set at hip height. The 3hop test requires the participants to perform three consecutive hops on 94 the same leg aiming for maximum distance. Participants' toes were immediately behind the 95 zero mark of a measuring tape and the distance covered was measured as the distance from the zero mark to the point their heels touched the ground following the third hop. 96

97

Bilateral muscular imbalance difference was calculated with five different calculations as the
absolute difference between the two limbs divided by right, left, weak, strong, or average of
the limbs and expressed as a percentage.

101

#### 102 **Statistical analyses**

103

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104 Normality of data was examined using the Kolmogorov-Smirnov test and confirmed for all variables. A 2 (sex) x 2 (functional test) x 5 (calculation method) ANOVA was used to 105 106 examine for differences. Homogeneity of variances was examined using Levene's test and confirmed for all variables. Where differences were found between groups, an independent t-107 test was carried out, while for differences between tests or ratios, dependent t-tests were 108 carried out; all pairwise comparisons were adjusted using the Holm-Bonferroni correction 109 110 (7). Effect sizes (ES) were calculated for all significant differences, with 0.2, 0.5 and 0.8 111 representing small, moderate and large effect, respectively (5). All statistical analysis was performed in IBM SPSSv22 (Chicago, Illinois). Significance level was set at  $P \le 0.05$ . All 112 data is presented as mean  $\pm$  SD unless otherwise stated. 113 114 115 **RESULTS** 116 The left leg was stronger in 60.9% of the males and 63.6% of the females for the 6m hop, 117 118 while the left leg was weaker for 47.8% of the males and 45.5% of the females for the 3hop. All descriptive statistics for all tests and calculations for both sexes can be seen in Table 1. 119 120 121 TABLE 1 ABOUT HERE 122 123 There was no significant interaction for sex, test and calculation method, test and calculation method, test and sex (P > 0.05), but there was a significant interaction of sex and calculation 124 method (P = 0.002, partial  $\eta^2$  = 0.124). Follow-up analysis revealed that when the calculation 126 method using the right leg as the denominator was used, bilateral muscular imbalance was 127 significantly lower (P = 0.039, ES = 0.76) in males (6.1  $\pm$  3.5%, averaged across the two 128 functional tests) compared to females  $(9.1 \pm 4.6\%)$ , averaged across the two functional tests). 129 Finally, significant differences were found between the calculation methods for males (averaged across the two functional tests; Figure 1) and females (averaged across the two 130 131 functional tests; Figure 2), with small ES however (range: 0.07 - 025). 132 FIGURE 1 ABOUT HERE 133 134 135 FIGURE 2 ABOUT HERE 136 137 DISCUSSION 138 The aim of the study was to examine the different bilateral muscle imbalance calculations 139 used and, subsequently, the effect they may have on inferences made about an athlete's, 140 141 patient's or client's bilateral muscular imbalance. The results suggest that, although some 142 differences exist between the bilateral muscular imbalances calculations using different 143 denominator, the small effect sizes and small mean differences (all <1.5%) suggest that these have little practically significant impact. These findings, along with recommendations on 144 145 which bilateral muscle imbalance calculation methods to use, are discussed further to enable 146 strength and conditioning coaches looking to utilise bilateral muscular imbalance assessment 147 for monitoring purposes to be confident in the results obtained. 148 149 Although there is agreement in the literature on the way bilateral muscular imbalances can be 150 calculated, there is a discrepancy on what values are used in that equation (1). For example, studies have previously used left and right (e.g. 15,17) or strong and weak sides (e.g. 10,14) 151

152 to calculate bilateral muscular imbalances. The present study suggests that results between

153 studies are comparable, as selection of different reference value did not substantially

154 influence the results as suggested by the low effect sizes.

155

Statistical difference was revealed between sexes for the calculation using the right leg as the 156 157 denominator. This is somewhat surprising, as no other calculation revealed any sex differences. Further, the patterns of stronger and weaker leg in our sample between the sexes 158 159 were very similar for both functional tests, thus excluding the possibility of a substantially higher percentage of stronger right leg in one group compared to the other as a potential 160 161 reason. As no explanation for this finding can be currently offered, it may be a 162 recommendation that the right leg is used as a denominator in studies that want to compare between sex bilateral muscular imbalances, as it was the only one that was able to distinguish 163 between each group's bilateral muscular imbalance. 164

165

166 Further, some statistical differences were found between comparisons, both for males and females. However, these comparisons had low effect sizes, suggesting a potentially low 167 practical significance. Indeed, when one examined the values in Table 1, the differences in 168 169 bilateral muscular imbalances range from 0.4% - 1.2%. Although what constitutes 170 'substantial deviation' from normative data is difficult to determine (21), studies have 171 reported a difference of 15% in countermovement jumping (9) performances, as a threshold 172 for substantial deviation between limbs. With this threshold in mind, consider a female athlete performing the 3hop test and having the bilateral imbalance calculated as 9.2% using 173 174 the strong leg as denominator. By using the weak leg as a denominator, this bilateral 175 muscular imbalance would only increase to 10.4%; given the inherent measurement error it is unlikely the difference in these values would lead to different interpretation of the athlete 176

being 'at risk'. This contradicts our hypothesis that the reference value used in Eq. 1, could
impact on the results. Although for standardisation purposes, the same reference value should
be used, comparisons between results that have used different numerator (i.e. right, left,
weak, strong, or average of the two) should be possible, as little difference would be present
from the use of a different reference value.

182

Using two different tests, 6m hop and 3hop, that had the same overall aim (power, speed, 183 184 balance, lower limb control) but different emphasis (time v distance) produced comparable results, suggesting that the ultimate aim of each test had no effect on the measured outcome 185 186 and they assess the same muscle qualities (10). As both are suggested as tests of bilateral 187 muscular imbalance, the results of the present study suggest that using one of them is sufficient to provide bilateral muscular imbalance ratios, thus increasing testing efficiency of 188 189 large groups. As the 6m hop test is more prone to measurement errors with a stopwatch (2) but more difficult to conduct with timing gates, the use of the triple hop test is recommended. 190 191

Functional tests are a practical and easy way to assess bilateral muscular imbalances, with the 192 193 advantage that they mimic sporting movements, thus providing assessment in a more-sport specific manner, compared to dynamometry (10). However, this type of assessment prevents 194 195 the identification of specific individual muscle or muscle groups imbalances (10,15). In 196 addition, an element of postural balance is inevitably included in the assessment, as the 197 participant has to balance themselves on their foot before they are able to hurl themselves 198 towards the next hop. As such, and although a large muscular component is included, the 199 results represent more of a 'movement imbalance'. A potential solution can perhaps be the 200 use of functional tests for large group assessment, with the participants recording higher 201 percentage differences undergoing a more thorough dynamometry assessment.

202

203	It has been previously reported that different sports yield different bilateral muscle
204	imbalances (e.g. American football (24) and soccer (20)). The convenience sample utilised in
205	the present study did not allow to separate for different sports or positions. However, as the
206	same functional test performance was used for all the difference calculations, this effect
207	should have been minimal and not impacted on the results.
208	
209	Finally, suggestions have been made (1) to utilise the symmetry angle, proposed by Zifchock
210	et al (23), as a means of achieving a bilateral muscular imbalance score without the need for a
211	reference value (23). The present paper adds to the choices available in bilateral muscular
212	imbalances calculation by offering some practical recommendations for those strength and
213	conditioning coaches, sport therapists or athletic trainers that prefer to continue using more
214	conventional bilateral muscular imbalance calculation methods for e.g. simplicity.
215	
216	PRACTICAL APPLICATIONS
217	
218	The present study examined the different bilateral calculation methods by utilising two
219	different functional tests. The results suggest that a) for comparisons between sex, the right
220	leg should be used as the reference value (denominator) in calculations, b) the calculation
221	method (i.e. the different reference value used for the denominator) makes little practical
222	difference when calculating bilateral muscle imbalances, and c) the two different functional
223	tests used in the study (i.e. the triple single leg hop and the 6m timed single leg hop) provide
224	the same information when bilateral muscular imbalances are concerned. Strength and
225	conditioning coaches can utilise these findings when they are assessing their own athletes as

226 well as when comparisons between studies are made.

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Table 1. Descriptive statistics of the bilateral muscle imbalance difference (%) for both genders, and all tests and calculations. Data is presented as mean ± SD.

on Absolute difference between limbs  Right	Absolute difference between limbs  Left	Absolute difference between limbs 	Absolute difference between limbs Strong	Absolute difference between limbs Average
$5.3 \pm 4.4$	$5.3 \pm 4.5$	5.5 ± 4.8	5.1 ± 4.1	$5.3 \pm 4.4$
$8.5\pm7.3$	$8.1\pm 6.4$	8.8 ± 7.5	$7.7\pm6.7$	$8.2\pm6.7$
$7.3 \pm 4.4$	7.5 ± 4.7	$7.8 \pm 4.9$	$7.0 \pm 4.2$	$7.4 \pm 4.5$
$10.1 \pm 5.6$	$9.6 \pm 4.5$	$10.4 \pm 5.5$	$9.2 \pm 4.5$	$9.8 \pm 5.0$
- -	between limbs Right $5.3 \pm 4.4$ $8.5 \pm 7.3$ $7.3 \pm 4.4$ $10.1 \pm 5.6$	between limbsbetween limbsRightLeft $5.3 \pm 4.4$ $5.3 \pm 4.5$ $8.5 \pm 7.3$ $8.1 \pm 6.4$ $7.3 \pm 4.4$ $7.5 \pm 4.7$	between limbsbetween limbsbetween limbsRightLeftWeak $5.3 \pm 4.4$ $5.3 \pm 4.5$ $5.5 \pm 4.8$ $8.5 \pm 7.3$ $8.1 \pm 6.4$ $8.8 \pm 7.5$ $7.3 \pm 4.4$ $7.5 \pm 4.7$ $7.8 \pm 4.9$ $10.1 \pm 5.6$ $9.6 \pm 4.5$ $10.4 \pm 5.5$	between limbsbetween limbsbetween limbsbetween limbsRightLeftWeakStrong $5.3 \pm 4.4$ $5.3 \pm 4.5$ $5.5 \pm 4.8$ $5.1 \pm 4.1$ $8.5 \pm 7.3$ $8.1 \pm 6.4$ $8.8 \pm 7.5$ $7.7 \pm 6.7$ $7.3 \pm 4.4$ $7.5 \pm 4.7$ $7.8 \pm 4.9$ $7.0 \pm 4.2$ $10.1 \pm 5.6$ $9.6 \pm 4.5$ $10.4 \pm 5.5$ $9.2 \pm 4.5$

#### **FIGURES AND CAPTIONS**

Figure 1. Bilateral muscular imbalances (%) for males for all five different calculation methods (absolute difference between limbs / either right, left, strong, weak or average of the two), averaged across the two functional tests. Data is presented as mean (solid bars) and SD (vertical lines). X axis labels denote the limb used as denominator in the calculation. Significant differences in pairwise comparisons between calculation methods are indicated with the square brackets, including the effect size for each comparison.

Figure 2. Bilateral muscular imbalances (%) for females for all five different calculation methods (absolute difference between limbs / either right, left, strong, weak or average of the two), averaged across the two functional tests. Data is presented as mean (solid bars) and SD (vertical lines). X axis labels denote the limb used as denominator in the calculation Significant differences in pairwise comparisons between calculation methods are indicated with the square brackets, including the effect size for each comparison.



