

1 **Nutrient modulation in the management of disease induced**
2 **muscle wasting - evidence from human studies**

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Abstract

Purpose of Review

In addition to being essential for movement, skeletal muscles act as both a store and source of key macronutrients. As such, muscle is an important tissue for whole body homeostasis, undergoing muscle wasting in times of starvation, disease and stress e.g. to provide energy substrates for other tissues. Yet, muscle wasting is also associated with disability, co-morbidities and mortality. Since nutrition is so crucial to maintaining muscle homeostasis “in health”, it has been postulated that muscle wasting in cachexia-syndromes may be alleviated by nutritional interventions. This review will highlight recent work in this area in relation to muscle kinetics, the acute metabolic (e.g. dietary protein), and longer-term effects of dietary interventions.

Recent Findings

Whole-body and skeletal muscle protein synthesis invariably exhibit deranged kinetics (favoring catabolism) in wasting states; further, many of these conditions harbor blunted anabolic responses to protein-nutrition compared to healthy controls. These derangements underlie muscle wasting. Recent trials of essential amino acid (EAA) and protein-based nutrition have shown some potential for therapeutic benefit.

Summary

Nutritional modulation, particularly of dietary-AA, may have benefits to prevent or attenuate disease-induced muscle wasting. Nonetheless, there remains a lack of recent studies exploring these key concepts to make conclusive recommendations.

200 words

59

60 **Introduction**

61

62 Skeletal muscle facilitates locomotion and is metabolically important in
63 providing a large capacity for glucose and intramuscular lipid storage for
64 energy production, as well as the body's largest reservoir of amino acids (AA)
65 [1]. Clinically this makes muscle a vital support mechanism in times of need
66 e.g. i) releasing AA for tissue repair in response to diseases, trauma and
67 starvation, and ii) compensating for failing organs (i.e liver and kidneys). Both
68 communicable infectious and non-communicable diseases are associated
69 with skeletal muscle wasting; collectively known as "cachexia" syndromes
70 these include: cancers [2], metabolic diseases such as diabetes [3], auto-
71 immune/immune-deficiency diseases such as rheumatoid arthritis [4] in
72 addition to organ failures, e.g. cirrhosis [5], chronic obstructive pulmonary
73 disease (COPD) [6] congestive heart failure (CHF) [7]. Cachexia is defined as
74 an involuntary and progressive weight loss primarily due to muscle wasting
75 with or without associated loss of fat mass [8]. The mechanisms underlying
76 muscle wasting include disease-led catabolism, co-morbidities, poly-
77 pharmacy, physical inactivity and malnutrition [9]. Crucially, muscle wasting
78 has been shown to be clinically important as it is a strong predictor of mortality
79 in many clinical conditions [10]. Nonetheless, interventions to mitigate
80 cachexia are limited, since pharmaceutical treatments to increase muscle
81 mass are yet to show efficacy [11]. This has led to the search for nutritional
82 support strategies.

83

84 Skeletal muscle mass is under tight homeostatic regulation with a
85 precise diurnal balance being maintained between muscle protein synthesis
86 (MPS) and muscle protein breakdown (MPB). This equilibrium is dynamic
87 across fasted-fed cycles. The intake of food enhances MPS and suppresses
88 MPB via EAA-mediated stimulation of MPS [12] and insulin mediated
89 suppression of MPB [13]. Yet, a key feature of cachexia is that it cannot be
90 completely reversed with conventional nutritional support [9], suggesting a
91 disturbance in these key homeostatic/proteostatic processes. Ultimately, this
92 results in muscle wasting that *standard* nutritional provision cannot restore -
93 hence, the search for nutritional/nutraceutical strategies. This timely review
94 will summarise new knowledge into the metabolic basis of muscle wasting in
95 diseases (note: where sufficient recent data exists), and associated nutrient
96 therapies that have been trialed – all with a strict focus on clinical studies.

97

98 **What's new in cancer nutritional management?**

99

100 Cachexia is prevalent in nearly half of all cancer patients exhibiting ~10%
101 body-weight loss, and accounts for ~20-25% of all cancer deaths [14]. In
102 addition to inactivity and malnutrition, cachexia is driven by disease processes
103 (e.g. inflammation [8]) and disease-modifying treatments e.g. chemotherapy
104 [15]. Insights into the regulation of cancer cachexia have been achieved using
105 protein kinetic measurements. For instance, it was recently shown that
106 pancreatic cancer patients (weight loss >10%) exhibited increased whole
107 body protein synthesis (WBPS) and whole body protein breakdown (WBPB)
108 compared to controls in the fasted state, resulting in no difference in net
109 balance (NB) [16]. In contrast, in non-cachectic advanced non-small cell lung

110 cancer (NSCLC) patients (stage III/IV unresectable), no differences in WBPS
111 or WBPB were observed, yet overall, NSCLC displayed decreased NB [17].
112 Other studies have focused on how the feeding response is affected by
113 cancer burden; one such study illustrated that sip-feeding over 4h (24g
114 casein/86.4g carbohydrate/31.2g fat) had no effect on WBPS in pancreatic
115 cancer patients. Nonetheless, similar improvements in NB were achieved
116 compared to healthy controls through suppressed WBPB [16]. Conversely,
117 14g of leucine-enriched (40%) EAA increased WBPS and NB equally between
118 NSCLC patients vs. healthy controls [17], similar to what was previously
119 shown in NSCLC patients in response to hyperaminoacidemia [2]. Disparities
120 in fasted and fed-state results between van Dijk et al. [16] and Engelen et al.
121 [17] could be due to different type of cancers (pancreatic vs. NSCLC), with
122 pancreatic cancer patients exhibiting greater cachexia as shown by van Dijk
123 et al. (>10% vs. 0 in Engelen). That being said, both groups displayed
124 elevated C-reactive protein (CRP) (8.3mg/ml and 9.8mg/ml respectively),
125 while CRP positively correlated with MPB only in van Dijk et al. [16]. Overall
126 these studies indicate high levels of EAA may provide benefits for increasing
127 WBPS and NB in cancer patients. Yet the effectiveness at increasing muscle
128 protein synthesis is difficult to interpret as measures of whole body protein
129 kinetics include that of all organs that may also display altered protein
130 metabolism.

131 Additional work has been performed looking at MPS via gold-standard
132 direct incorporation methods. In cachectic colorectal cancer patients, fasted-
133 state MPS was unchanged compared to controls, although leg MPB tended to
134 be increased [18]. Moreover, in response to AA infusions over 2.5h

135 (102mg/kg/h), blunted increases in MPS were evident in cancer patients
136 compared to controls [18]. In contrast to these results, a population of mixed
137 advanced non-cachectic cancer patients showed increased MPS in response
138 to a formulated medical food high in protein and free-leucine compared to a
139 control medical food (40g vs. 24g protein, 4.16g vs. 0g free leucine
140 respectively). Nonetheless, in the absence of a healthy control group
141 comparison, whether this was an “overcoming of anabolic resistance” or
142 simply a dose response phenomenon cannot be determined. Recently,
143 cumulative MPS was measured using D₂O in upper gastrointestinal patients
144 over 7-days. Intriguingly, MPS was the same as controls and was further
145 *increased* in weight losing patients [19]; these results could be driven by
146 elevated MPS, matched with an equal or greater increase in MPB (not
147 measured). Further, activity and diet were not monitored, that will affect
148 cumulative MPS [19–21].

149 Together these protein kinetic studies demonstrate that cancer may
150 alter whole body protein kinetics, perhaps to an extent dependent upon
151 cancer type and progression of cachexia. Further, protein synthesis (particular
152 that of muscle) may in some instances exhibit anabolic resistance to protein
153 feeding. This is confirmed by the fact that, 6-weeks after tumor resection,
154 Williams et al. demonstrated the restoration of anabolic sensitivity in these
155 patients [18]. That said, providing EAA enriched protein sources may provide
156 benefits in overcoming anabolic insensitivity in muscle [17,22] – yet whether it
157 can truly restore MPS to the same as controls remains to be confirmed. It is
158 patently clear that larger are more tightly controlled studies are needed.

159 Recent guidelines on nutrition in cancer suggest that malnutrition
160 should be taken into consideration and avoided by providing/advising on
161 adequate nutritional intake. While optimal protein intake has not been
162 determined in cancer patients, a minimum of 1g/kg/day is suggested with a
163 target of 1.2-2g/kg/d [23]. Recently, colorectal cancer patients with weight loss
164 >1kg in the past 3-6 months received pre-operative oral supplementation of
165 24g protein/d (5-15 days), although this did not prevent further losses in fat
166 free mass index (FFMI) -0.345 kg.m^2 [24]. In another trial, newly diagnosed
167 oesophageal cancer patients were randomized to receive placebo or a
168 specially formulated medical food similar to that previously described [22].
169 Patients consumed 2x200ml; consisting of 9.9 g protein, 1.1 g free leucine,
170 0.6 g eicosapentaenoic acid (EPA), 0.3 g docosahexaenoic acid (DHA) and a
171 balanced mix of vitamins, minerals, and trace elements per 100ml for 4-
172 weeks. After supplementation, the specially formulated medical food resulted
173 in a significant increase in body weight (approximately 1.25kg) and functional
174 performance [25]. Energy dense high protein oral nutritional supplementation
175 or parental nutrition have shown efficacy at increasing weight, although this is
176 not always the case [26]. With cachectic patients having greater protein
177 needs, increased provision is likely to be beneficial. Variability between
178 studies is introduced by diverse individual cancer phenotypes rendering
179 interpretations difficult.

180 Further to protein, there are other nutraceutical interventions that may
181 herald benefits for increasing body weight in cancer. The primary anabolic
182 effects of protein arise from the EAA and leucine content, along with the
183 metabolite β -hydroxy- β -methylbutyrate (HMB). Nonetheless, supplementing

184 mixtures of HMB/arginine/glutamine in muscle wasting conditions has shown
185 both increases, or no effect on body weight [27,28]. Fish oil derived fatty
186 acids, particularly N-3 fatty acids, have many health benefits in both health
187 and disease. A recent review investigating the effect of purified EPA, or EPA
188 and DHA combined on body composition in cancer highlights studies
189 reporting an increase or stabilization of lean body mass and weight, along
190 with decreasing inflammation [23,29]. Nutritional support to increase energy
191 and protein intake, through nutritional counselling or supplementation is
192 recommended in cancer patients [23]. However, there is currently a lack of
193 strong consistent evidence that long term supplementation of e.g. protein, AA
194 (or metabolites of), or long chain N-3 fatty acids robustly improve lean mass
195 [23,28]. Nonetheless, there are multiple studies reporting increases in weight
196 when utilizing high EAA and high EPA interventions. Combined with the
197 promising results of EAA/protein on whole body and MPS, oral nutritional
198 support (ONS) strategies may hold clinical benefits in cancer patients [26].

199

200 **What's new in immune and metabolic disease nutritional management?**

201

202 Rheumatoid arthritis is an idiopathic autoimmune disease affecting synovial
203 joints. A complex network of chemokines and cytokines (particular TNF α and
204 IL-6) promote an inflammatory response that attracts immune cells to the
205 synovial fluid- stimulating osteoclast regeneration, bone and cartilage
206 degradation by matrix metalloproteinase and a perpetuation of inflammation
207 [30]. RA is commonly accompanied by muscle wasting of poorly defined
208 etiology, although chronic inflammation has been suggested to contribute [4].
209 Muscle protein kinetics have recently been investigated in non-cachectic RA

210 patients. In the fasted state there was no difference between MPS and MPB
211 in RA patients vs. healthy age-matched controls [31]. Moreover, in response
212 to whey protein (0.5g/kg/LBM) there was an equal increase in MPS and
213 suppression in MPB. This group of individuals were described to be 'well
214 functioning' and did not display reductions in muscle strength or mass. Further
215 these patients were receiving disease modifying antirheumatic drug
216 (DMARD), methotrexate and although exhibiting inflammation (TNF α , IL6,
217 CRP) this was less than previous studies [31]. Overall this suggests anabolic
218 resistance is not present in RA, although there are no studies to make
219 comparisons to, and this may be different where overt cachexia is present.

220 Generally RA patients exhibit energy and protein requirements similar
221 to age-matched controls [4]. Nevertheless, it was shown that mixtures of non-
222 EAA (alanine, glutamic acid, glycine, and serine) vs. HMB, glutamine and
223 arginine supplements were equally effective at increasing muscle mass in RA
224 patients [4,32]. However, recent studies into the effects of nutritional
225 supplementation on lean mass in RA patients are lacking. Interestingly 12-
226 weeks of creatine supplementation was shown to increase lean mass in RA
227 patients [33] potentially offering an effective way to restore muscle mass.
228 Furthermore, with the preserved anabolic sensitivity, increased protein
229 supplementation may help prevent or restore muscle mass losses, although
230 again, larger and more controlled and detailed studies are needed.

231 Type I Diabetes (T1DM) is an auto-immune condition resulting in a lack
232 of insulin production due to destruction of pancreatic beta cells and has a
233 major negative impact on skeletal muscle [34]. A primary action of insulin on
234 human muscle is the suppression of MPB [13]; as such reduced insulin action

235 on muscle may also exacerbate muscle wasting [3]. In support of this, without
236 treatment most T1D individuals display dramatic weight loss, while weight loss
237 and muscle mass can be much improved with insulin therapy [3]. Overall,
238 T1DM results in an increase in both WBPS and WBPB. Increases in WBPB
239 are greater than WBPS such that negative net balance occurs, with the
240 majority of this coming from muscle protein sources [3]. With regard to
241 feeding, supplementary leucine increased whole-body protein accretion in
242 T1DM via suppression of protein breakdown [35].

243 T2D is primarily characterized by tissue insulin resistance (IR). Initially,
244 insulin secretion increases, yet over time insulin secretion is inadequate to
245 overcome IR [3]. T2D is a result of genetic and environmental factors, the risk
246 being increased with obesity and physical inactivity. T2D is associated with a
247 greater decline in muscle mass especially with ageing [36]. Nonetheless,
248 WBPS, WBPB and NB were shown to be comparable between controls and
249 T2DM patients and with no difference in MPS [37]. Additionally, obese T2D
250 patients, with a lower percentile of appendicular lean mass, displayed no
251 difference in fasted MPS [38]. Both of these studies further showed equal
252 response to feeding as controls, with 20g casein [37] and 10/20g of EAA with
253 maximal stimulation at 10g [38]. This suggests anabolic resistance is not the
254 mechanism of muscle loss in T2D. Additionally, while there appears to be no
255 major differences in protein kinetics, people with T2D maintain higher levels of
256 insulin; whether this is needed to maintain equivalent WBPB is unclear [3].

257

258 **What's new in organ failure nutritional management?**

259

260 Chronic obstructive pulmonary disease (COPD) is characterized by long-term
261 airflow limitation (“lung failure”) mainly caused by chronic exposure to
262 cigarette smoke and air born pollutants [39]. Many COPD patients display
263 cachexia with underlying hyper-metabolism, inflammation and reduced
264 appetite [40]. COPD patients in the postabsorptive state have shown both
265 increased or unchanged whole body protein turnover [6,41], yet the effect on
266 MPS is unknown. Further, the effect of protein feeding has illustrated equal
267 anabolic responses to healthy controls, with greater responses when a
268 mixture of leucine enriched EAA (13g-40% leucine) was used compared to a
269 mixture of total AA (13g-12% leucine) [42]. Overall, nutritional
270 supplementation in COPD patients has shown increased body weight, with
271 the use of EAA supplements showing greatest benefits at increasing fat free
272 mass (FFM) [39].

273 Chronic kidney disease (CKD) describes the progressive loss of kidney
274 function that results in end stage renal disease. This is accompanied by a
275 progressive loss of muscle mass often referred to as protein energy wasting
276 (PEW), although it has no obvious distinction from cachexia. Muscle loss is
277 associated with many metabolic abnormalities in CKD including inflammation,
278 insulin resistance, decreased nutrient intake and dietary restrictions, with
279 muscle loss further enhanced by dialysis [43]. Whole body protein kinetics
280 have been shown to be similar between CKD and healthy subjects, however
281 in the fasted state specifically mixed MPS was lower [44]. The biggest effect
282 of CKD on muscle kinetics is that through dialysis; resulting in rapid protein
283 losses through increases in MPB that may persist for several hours after
284 treatment [44]. In non-dialysis CKD patients, a protein diet of 0.6-0.8g/kg/day

285 has been recommended, as a low protein diet may slow the progression to
286 renal failure. In CKD patients undergoing dialysis a much higher protein intake
287 of >1.2g/kg/day is recommended [43], and to try and attenuate protein losses,
288 many studies have provided intradialysis supplementation. Enteral nutritional
289 support has previously shown effectiveness at attenuating catabolism [45].
290 However recently, CKD patients receiving a meal containing 30g of protein
291 90-min after the start of each treatment for 6-months did not prevent losses in
292 lean mass [46]. Furthermore, consumption of either 27g whey protein, soy
293 protein or placebo 15 minutes prior to the start of dialysis for 6 months had no
294 effect on lean mass [47]. Similarly consumption of 3g of calcium-HMB per day
295 for 6-months had no effects on lean body mass [27,48]. However, in both
296 these studies lean mass remained stable in control and treatment groups. An
297 additional option is the use of intradialytic parental nutrition, utilizing mixtures
298 high in amino acids, glucose and lipids. Although showing benefits on nitrogen
299 balance and body weight, recent studies focusing on muscle outcomes are
300 limited, with intra-dialytic parenteral nutrition (IDPN) further seen as a short-
301 term nutritional approach [45]. Both enteral and parental intradialytic
302 supplementations offer a safe means to increase nutritional intake. However
303 nutritional modulation in CKD should take individual characteristics and
304 clinical condition into consideration [45].

305 Congestive heart failure (CHF) is impaired ventricular ejection and or
306 filling capacity caused by structural or functional abnormalities. Accompanying
307 heart failure is progressive involuntary weight loss, often referred to as cardiac
308 cachexia [49]. Skeletal muscle loss is always the result of an imbalance
309 between anabolic and catabolic factors, yet there is a lack of studies looking

310 at protein kinetics in heart failure, with only one study demonstrating that
311 generally whole body protein turnover is unaffected [50]. As such the
312 presence of anabolic resistance in HF is unknown. Malnutrition is often
313 present in these patients and so nutritional support is recommended; yet there
314 are no specific guidelines for protein and energy intake. The use of protein
315 rich high calorie supplementation, and similarly EAA, have previously shown
316 benefits in body weight in most patients [51].

317 Finally, acute multiple organ failure through the onset of acute illness
318 and/or trauma is an often overlooked area of clinical nutrition. The accelerated
319 loss of muscle in ICU patients (estimated at a striking 1-2%/d; [52]) through
320 increased MPB and decreased MPS has devastating consequences on
321 recovery, morbidity and mortality, even following discharge [53]. Due to the
322 multifaceted causes of critical illness, alongside the extended periods of
323 bedrest, nutritional management can be complicated. Of the few studies that
324 have been performed, potential dietary manipulation with the EAA leucine and
325 in particular its metabolite HMB have shown efficacy, improving nitrogen
326 balance in trauma ICU patients [52]. Other anti-catabolic drugs and
327 nutraceuticals (e.g. N-3 fatty acids, metformin) that have been tested in acute
328 patients are discussed in detail in a recent review for this journal [54],
329 however large RCT's are still lacking. Yet it is unlikely that any one nutritional
330 intervention will be the "magic bullet" for preventing wasting in ICU patients,
331 and nutritional therapies should be carefully individualized to each patient
332 dependent on cause of admission.

333

334 **Conclusions**

335
336 Many chronic diseases described herein are associated with a significant and
337 progressive wasting of muscle mass that increases the risk of mortality. There
338 are common underlying abnormalities e.g. inflammation, hyper-metabolism,
339 insulin/anabolic resistance - all contributing the irreversible nature of cachexia
340 to standard nutrition. Despite the trialing of nutritional interventions, there is
341 considerable inconsistencies and variability among results- assumably due to
342 the type of disease. Acutely, protein feeding high in EAA content has shown
343 to be effective at promoting a full anabolic response on the whole body and
344 muscle level. Fulfilling energy requirements through high calorie/high protein
345 nutritional approaches is therefore icily to be beneficial in many situations of
346 disease-induced muscle wasting. However, recommendations should be
347 specialized, as nutritional requirements and route of administration may vary
348 considerably across disease state and progression. This review also
349 highlights areas where lack of clinical progress is being made; including a
350 number of the topics we cover herein, in addition to those with little-to no new
351 data not covered e.g. chronic liver disease.

352

353 **Key Points**

354

- 355 - Many diseases are accompanied with a significant and progressive
356 muscle wasting known as cachexia, which is a strong predictor of
357 mortality. The specific underlying mechanisms to muscle wasting in
358 disease are incompletely defined, yet many conditions display
359 inflammation, increased energy expenditure and malnutrition.

360

- 361 - Protein loss occurs through an imbalance between protein synthesis
362 and protein breakdown. Using stable isotope techniques to study
363 protein kinetics, the mechanism of protein loss can be studied and
364 effective therapeutics devised.
- 365
- 366 - These techniques have revealed altered protein kinetics that favour
367 catabolism and have identified the presence of anabolic resistance in
368 many disease states. Currently, protein high in EAA has shown
369 effectiveness at promoting anabolism.
- 370
- 371 - There are considerable inconsistencies among the efficacy of
372 nutritional interventions in disease induced muscle wasting. Currently
373 high calorie high protein (EAA) supplementation has shown to be most
374 effective at attenuating muscle loss.

375

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393 There are no conflicts of interest

394

395 **Figure Legend**

396 **Figure 1 an overview of disease induced muscle wasting.** Cachexia is a
397 complex syndrome that is associated with many disease states. The
398 development of muscle wasting with disease is multifactorial, with chronic
399 disease often resulting in changes in habitual behavior such as malnutrition
400 and inactivity; along with many adverse effects from drug treatments. These
401 factors are themselves associated with muscle loss and can exacerbate
402 negative disease outcomes. The underlying mechanisms of cachexia across
403 disease states are unclear, although share common characteristics such as
404 inflammation, increased REE and insulin resistance. Loss of muscle mass
405 must occur through an overall imbalance between protein synthesis and
406 protein breakdown. Protein kinetics has shown to be frequently altered,
407 generally favoring a catabolic environment. Further, impaired anabolic
408 responses to nutrition are often present likely contributing to the irreversible
409 nature of cachexia through standard nutritional provision. Many nutritional
410 interventions have been tried to promote anabolism and attenuate muscle
411 wasting. Currently protein high in EAA has shown promising affects, yet many
412 other nutraceutical interventions have shown positive but overall inconsistent
413 results. REE, resting energy expenditure. PS, protein synthesis. PB, protein
414 breakdown. EAA, essential amino acids. HMB, β -hydroxy- β -methylbutyrate.
415 MPS, muscle protein synthesis.

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419

420 * Engelen et al 2015 - Demonstrated an essential amino acid mixture is more
421 effective at increasing whole body protein synthesis in cancer patients than
422 that of total amino acids. Further this response was equal to healthy controls
423 suggesting EAA may be effective in preventing muscle mass loss

424 * Mikkelsen et al 2015 - First study in rheumatoid arthritis patients to
425 demonstrate equal responses in muscle protein synthesis and muscle protein
426 breakdown to whey protein. Indicating that in well-treated rheumatoid arthritis
427 patients anabolic sensitivity is maintained

428 * Faber et al 2017 - Showed increased body weight and performance status in
429 cancer patients using a specially formulated medical food high in EAA, fish oil
430 and vitamins. Previously, deutz et al 2011 demonstrated this medical food
431 was effective at increasing acute MPS in cancer patients. Together these
432 studies show the power of devising anabolic interventions on a acute basis
433 and implementing them on a long term basis.

434 * Macdonald et al 2015 - The first study to use D₂O to measure long term
435 muscle protein synthesis in patients with upper GI cancer. This revealed
436 increased muscle protein synthesis in cachectic cancer patients, seemingly
437 contradicting the theory of anabolic resistance in muscle wasting. These
438 techniques are less invasive to acute tracer studies and will undoubtedly
439 unravel disease induced alterations in kinetics on a long term 'free living'
440 basis

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