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1 **Robust averaging protects decisions from noise in neural computations**

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10 **Abstract**

11 An ideal observer will give equivalent weight to sources of information that are equally reliable.
12 However, when averaging visual information, human observers tend to downweight or discount
13 features that are relatively outlying or deviant ('robust averaging'). Why humans adopt an
14 integration policy that discards important decision information remains unknown. Here, observers
15 were asked to judge the average tilt in a circular array of high-contrast gratings, relative to an
16 orientation boundary defined by a central reference grating. Observers showed robust averaging of
17 orientation, but the extent to which they did so was a positive predictor of their overall
18 performance. Using computational simulations, we show that although robust averaging is
19 suboptimal for a perfect integrator, it paradoxically enhances performance in the presence of "late"
20 noise, i.e. which corrupts decisions during integration. In other words, robust decision strategies
21 increase the brain's resilience to noise arising in neural computations during decision-making.

22

23 **Author Summary**

24 Humans often make decisions by averaging information from multiple sources. When all the sources
25 are equally reliable, they should all have equivalent impact (or weight) on the decisions of an “ideal”
26 observer, i.e. one with perfect memory. However, recent experiments have suggested that humans
27 give unequal weight to sources that are deviant or unusual, a phenomenon called “robust
28 averaging”. Here, we use computer simulations to try to understand why humans do this. Our
29 simulations show that under the assumption that information processing is limited by a source of
30 internal uncertainty that we call “late” noise, robust averaging actually leads to improved
31 performance. Using behavioural testing, we replicate the finding of robust averaging in a cohort of
32 healthy humans, and show that those participants that engage in robust averaging perform better
33 on the task. This study thus provides new information about the limitations on human decision-
34 making.

35 **Introduction**

36 Decisions about the visual world often require observers to integrate information from multiple
37 sources. An ideal observer will give each source a weight that is proportional to its reliability. Thus,
38 where all sources are equally trustworthy, the best policy is simply to average the available features
39 or decision information. For example, a decision about which fruit to buy at the supermarket might
40 involve averaging the estimated size and colour of the produce, or a wager about which football
41 team will win might be made after averaging the speed and skill of all the players on a team [1].

42
43 Previous studies have investigated how humans average perceptual information by presenting
44 participants with array composed of multiple visual elements and asking them to report the mean
45 size, colour or shape of the items displayed [2-6]. Interestingly, recent reports suggest that human
46 averaging judgments do not resemble those of an ideal observer [7-10]. Rather, when averaging,
47 humans tend to downweight or discount visual features that are unusual or outlying with respect
48 to the distribution of features occurring over recent trials (“robust averaging”). Haberman and
49 Whitney first showed that observers discount emotional deviants when averaging the expression in
50 human faces [7]. Subsequently, de Gardelle, Summerfield and colleagues provided evidence that
51 observers discount outlying colour or shape values during averaging of features in a multi-element
52 array [8, 9]. Control analyses ruled out the possibility that the observed effect was an artefact of
53 hardwired nonlinearities in feature space. Together, these studies suggest that humans are “robust
54 averagers”, overweighting inliers relative to outliers rather than giving equal weight to all elements
55 (although see [11] for a failure to replicate this finding using a 2-alternative forced choice averaging
56 task).

57

58 According to a widely-accepted framework with its roots in Bayesian decision theory [1, 12], robust
59 averaging is suboptimal. Intuitively, robust averaging discards information about the stimulus array,
60 and should thus reduce performance relative to a policy that integrates the stimulus feature values
61 evenly. Why, then, do humans give more weight to inliers than outliers during integration of
62 decision information? Here, we tackled this question using psychophysical testing of human
63 observers and computational simulation. We asked participants to average the orientation (tilt) in
64 a circular array of gratings, relative to a central reference grating that either (i) remained the same
65 or (ii) varied in a trial-wise fashion over a block of trials. This latter manipulation allowed us to test
66 whether robust averaging is still observed even when the distribution of sensory information is
67 uniform around the circle and varies randomly from trial. Using this approach, we show that human
68 robust averaging can be conceived of as a policy that rapidly allocates limited resources (gain; see
69 equation 2 below) to items that are closest to the category boundary (or indifference point).
70 Although this policy is suboptimal in the absence of noise, it has a surprising protective effect on
71 decisions that are corrupted by “late” noise arising during or beyond information integration.

72

73 Our manuscript is organised as follows. We begin by describing the behaviour of a cohort of human
74 observers performing the orientation averaging task. Next, we describe a simple psychophysical
75 model in which feature values (tilt, relative to a reference value) are transformed nonlinearly before
76 being averaged to form a decision variable. This variable is corrupted with “late” (post-averaging)
77 noise and then used to determine model choices. This model accounts better for human behaviour
78 (including observed robust averaging) than a rival account, based on an ideal observer, that replaces
79 the initial nonlinear step with a purely linear multiplicative transformation. Next, we use simulations
80 to explore the properties of this model. We show that as we increase late noise, a model that
81 engages in robust averaging comes to outperform the linear model, i.e. achieves higher simulated

82 choice accuracy. Finally, we return to the human data, and show that for both model and humans,
83 the use of a robust averaging strategy is a positive predictor of decision accuracy, in particular under
84 high estimated late noise.

85

86 **Results**

87 Human participants ($N = 24$) took part in two psychophysical testing sessions separated by
88 approximately one week. On each of 2048 trials, they viewed an array of 8 high-contrast gratings
89 presented in a ring around a single central (reference) grating (**Fig. 1**). The grating orientations were
90 drawn from a single Gaussian distribution with mean $\mu \in \{-20^\circ, -10^\circ, 10^\circ, 20^\circ\}$ and standard
91 deviation $\sigma \in \{8^\circ, 16^\circ\}$ relative to the reference. Their task was to report whether the average
92 orientation in the array was clockwise (CW) or counterclockwise (CCW) of the central grating. The
93 reference grating was drawn uniformly and randomly from around the circle, and varied on either
94 a trial-by-trial (variable reference) or block-by-block (fixed reference) fashion. Fixed and variable
95 reference conditions occurred in different sessions whose order was counterbalanced over
96 participants. Fully informative feedback was administered on every trial.

97

98 **Fig. 1. Schematic demonstration of the stimulus array**

99 The task was to report whether the average orientation of the outer ring of gratings fell clockwise
100 or counterclockwise of the orientation of the central (reference) grating.

101

102 **Human behaviour**

103 Mean accuracy and standard errors of mean (S.E.M.) for the human participants (lines) are shown
104 in **Fig. 2**. Participants responded more slowly when the orientation mean approached the reference
105 (main effect of $|\mu|$: $F_{1,20} = 47.14$ $p < 0.0001$) and when the orientation variance increased (main

106 effect of σ : $F_{1,20} = 6.84, p = 0.017$). They also made more errors for lower values of $|\mu|$ ($F_{1,20} = 397.1,$
107 $p < 0.0001$) and higher values of σ ($F_{1,20} = 116.1, p < 0.0001$). Directly comparing the low $|\mu|$ low σ
108 condition ('low-low') to the high $|\mu|$ high σ condition ('high-high'), participants made more errors
109 and are slower under high-high condition (accuracy: $F_{1,20} = 48.53, p < 0.001$; RT: $F_{1,20} = 20.67, p <$
110 0.001) even though the $|\mu|$ to σ ratio is identical in these two conditions. This result replicates
111 previous findings [8].

112

113 **Fig. 2. Model and human data.**

114 Mean accuracy and the standard error of mean of human (grey lines) and model (green dots) for
115 high and low variance conditions, with low mean (i.e. orientation close to the reference; light grey
116 lines) and high mean (dark grey lines). Panel A shows performance in the fixed reference session,
117 and the panel B shows the variable reference condition.

118

119 As expected, participants were overall faster ($F_{1,20} = 64.4, p < 0.0001$) and more accurate ($F_{1,20} =$
120 $89.95, p < 0.0001$) in the fixed reference than variable reference condition. An interaction between
121 mean and session was observed for both RT ($F_{1,20} = 9.63, p < 0.001$) and accuracy ($F_{1,20} = 5.83, p =$
122 0.025) indicated that the cost incurred by lower values of μ was greater under the fixed than variable
123 reference condition. No interactions between session and feature variance were observed. There
124 was a significant interaction for both accuracy ($F_{1,20} = 4.18, p = 0.41$) and RT ($F_{1,20} = 8.06, p = 0.01$)
125 with sessions for the low-low and the high-high condition, showing that the relative performance
126 cost for the high-high condition was lower under the variable reference condition. These findings
127 indicate that our manipulation of fixed vs. variable reference successfully influenced human
128 categorisation performance, and that μ and σ have comparable impact on accuracy and RT to that

129 described in previous studies [8, 9]. The same results were obtained when this analysis was carried
130 out on d' rather than % correct values (see **Fig. S1**, and **Table S1**).

131

132 Next, to probe for robust averaging, we measured the influence that each feature carried on the
133 decision, as a function of its angle relative to the reference (see methods). **Fig. 3A** shows the average
134 regression coefficient (weight) associated with each of 8 bins of the feature values (i.e. orientations
135 relative to reference) for the session with fixed reference (red line) and the session with variable
136 reference (green line). The shaded area shows the standard error of the mean across observers. We
137 first compared the coefficients with a factorial ANOVA, crossing the factors of session (fixed vs.
138 variable reference) and bin. Consistent with the accuracy data above, this yielded a main effect of
139 session ($F_{1,20} = 59.54, p < 0.001$). However, there was also a main effect of bin ($F_{2.02,40.37} = 6.23, p =$
140 0.004) with no interaction between these factors ($p = 0.31$). Next, for each session, we directly
141 compared the weights associated with (i) the four inlying bins (bin 3, 4, 5, 6] and (ii) the four outlying
142 bins (bin 1, 2, 7, 8]. In both sessions, participants gave more weight to those samples falling in
143 inlying than outlying bins (fixed reference: $t_{20} = 7.8, p < 0.0001$; variable reference: $t_{20} = 6.3, p <$
144 0.0001). In other words, under both fixed and variable reference, participants displayed a pattern
145 of behaviour consistent with a “robust averaging” policy for orientation.

146

147 **Fig. 3. Parameter estimates of orientation of each grating relative to the reference.**

148 The y-axis shows parameter estimates for a probit regression in which the angles of orientation of
149 each grating (relative to the reference) were used to predict choice. Angles were tallied into 8 bins,
150 from most negative to most positive relative to the reference, so that each parameter estimate
151 shows the relative weight given to a particular portion of feature space. The x-axis shows the bin
152 center of each bin. The inverted-U shape of the curve is a signature of robust averaging. Shaded

153 areas are the standard error of mean. (A) Weighting functions estimated using human choices (B)
154 Weighting functions for recreated model choices using the best fitting parameters from the power
155 model using the best fitting parameters from human data. (C) Weighting functions for simulated
156 model choice under a case in which angles are linearly mapped onto DV .

157

158 **Model fitting**

159 We fit our data with a simple psychophysical model (power model; see methods). Each array
160 element i was characterised by a feature value X_i that was proportional to its orientation, recoded
161 to be relative to the reference (in radians, i.e. in the range -0.79^{rad} to 0.79^{rad} corresponding to -45°
162 to $+45^\circ$. The model computes a decision value (DV) by transforming X with a nonlinear function
163 parameterised by an exponent k , and summing the resulting values:

$$164 \quad DV = \sum_{i=1}^8 \text{sign}(X_i) \cdot |X_i|^k$$

165 The functions mapping X onto DV under different levels of k (red to blue lines respectively) are
166 shown in **Fig. 4A**. For the special case $k = 1$, the transfer function is linear, and DV is equivalent to
167 the simple sum of X_i ; this is the rule used by the experimenter to determine feedback.

168

169 **Fig. 4. Mapping sensory inputs to decision values.**

170 (A) Left panel: the different functions that map feature values (angles relative to the reference in
171 radians) to decision values for the power model. Coloured lines represent functions for different
172 values of k from 0.1 to 2, with low values represented by reddish lines and high values represented
173 by bluish lines. Right panel: the equivalent functions for the equivalent gain linear model. In the left
174 and right panels, models with equivalent gain are represented with lines of equivalent colour. (B)

175 The best fitting k values (left panel) and s values (right panel) in human for fixed reference (x-axis)
176 and variable reference session (y-axis).

177

178 Next, we calculated choice probabilities by passing the DV through a sigmoidal choice function with
179 the inverse-slope (s ; see methods). Varying the inverse-slope of the choice function is
180 approximately equivalent to assuming that decision values are corrupted with varying levels of zero-
181 mean Gaussian noise at a post-averaging stage (e.g. “late” noise), with high values of s (shallower
182 slope) implying more late noise and thus lower sensitivity. This model allowed us to obtain best-
183 fitting values of k and s for each participant in both fixed and variable reference conditions, using
184 maximum likelihood estimation. Values of k and s for each participant are plotted in **Fig. 4B**.

185

186 We observed that values for the inverse-slope of the choice function s were steeper in the fixed
187 than variable reference condition ($t_{20} = 4.27, p < 0.001$), consistent with lower performance in the
188 variable reference condition. This is likely to reflect the additional processing cost for recoding raw
189 orientations relative to the reference when the latter changed from trial to trial. Values of k did not
190 differ between the fixed and variable reference conditions ($p = 0.93$), but for both conditions, best-
191 fitting values of k were lower than 1 (fixed: $t_{20} = 9.41, p < 0.0001$; variable: $t_{20} = 3.15, p = 0.005$).
192 This is consistent with a compression of those array elements that were outlying relative to the
193 reference, i.e. a robust averaging policy. To confirm that the model was showing robust averaging,
194 we then created model choices under the best-fitting parameterisation, by randomly simulating
195 binary choices from the estimates of choice probability using the best-fitting model. Using this
196 approach, we were able to recreate the pattern of accuracy (**Fig. 2**, dots) and weighting profile (**Fig.**
197 **3B**) displayed by human participants. In other words, the model displayed comparable costs to
198 humans in each condition, and exhibited the same tendency to engage in robust averaging.

199

200 In the model, robust averaging occurs because of the nonlinear form of the function that maps X ,
201 the feature values, onto DV , the decision values, which is steeper in the centre (near 0) and
202 shallower at the edges (far from 0). As a control, we tested the weighting profile observed when X
203 is linearly mapped onto DV . This confirmed that a linear transformation of feature values did not
204 give rise to robust averaging (**fig. 3C**). Parameter recovery simulation (see methods) confirmed that
205 k and s were fully identifiable for the power model (shown by **Fig. S2** that actual parameters and
206 recovered parameters fall close to the identity line).

207

208 As thus described, our model assumes no noise in the encoding of each individual grating. This
209 assumption follows from the fact that in the experiment, each individual array element (grating)
210 was presented with full contrast and thus the orientation should have been relatively easy to
211 perceive. For example, using a similar stimulus array, one report finds estimates of equivalent
212 encoding noise in the range of $2-6^\circ$ when contrast values exceed about 0.3 [13]. Moreover, although
213 we additionally randomised the latency with which arrays were presented at 4 levels (250, 500, 750
214 or 1000 ms). Long presentation latencies led to longer RT on correct choices ($F_{2,47,56.73} = 8.65$, $p <$
215 0.001), but this factor had no influence on accuracy ($p = 0.42$; **fig. S3**). Nevertheless, to test this
216 explicitly, we fit a variant of the model in which feature values X_i were corrupted by “early” noise
217 alone – a source of variance that arises before any nonlinearity and averaging, that corrupts each
218 tilt independently relative to the reference (see methods). This model failed to capture the robust
219 averaging effect because the introduction of early noise with power transformation would lead to
220 a more stochastic choice pattern. The same feature value that are corrupted by random early noise
221 would sometimes drive the decision to one choice and sometimes to the other choice. We formally
222 compared this “Early noise only” model to our “Late noise only” model, i.e. to that with k and s

223 described above, finding that it fits the conditionwise accuracy worse in both the fixed reference
224 session ($t_{20} = 8.06$, $p < 0.0001$) and the variable reference session ($t_{20} = 7.97$, $p < 0.0001$; **Fig. S4C**).

225

226 Our model describes the computations that underlie human choices in a simplified fashion, using
227 power-law transducers. However, these functions are intended to describe the output of
228 computations that occur at individual neurons. To demonstrate how transfer functions of this form
229 might arise, we additionally simulated decisions with a population coding model, in which features
230 are processed by a bank of simulated neurons with tuning functions of variable amplitude (see
231 methods). By assuming the height of tuning functions for neurons coding inliers or outliers can vary,
232 we showed in **fig. S5** that we can recreate the family of transfer functions shown in **fig. 4A**. Given
233 that we could recreate the power-law transducer functions using this model, it is unsurprising that
234 the population coding model was also able to recreate the pattern of accuracy (**fig. S6**) and the
235 weighting profile (**fig. S7**) displayed by human participants. However, we chose to model our data
236 with the simpler, psychophysical variant of the model, because it does not require additional
237 assumptions that are not germane to our main points (e.g. the range of tuning widths for the
238 neuronal population).

239

240 **Understanding drivers of model performance**

241 Next, turning to our main point, we used simulation to understand how model performance varied
242 under different levels of late noise and degree of robust averaging by exploring different values of
243 s and k . Model performance (simulated decision accuracy) for the power model under different
244 values of k and s is shown in **Fig. 5A** (left panel). As expected, performance worsens with increasing
245 late noise (bluish lines). However, performance also depends on k . When late noise s is higher, the
246 model performs better with lower values of k (i.e. those that yield robust averaging). Notably,

247 performance is best with values of k that are lower than 1, i.e, under a policy that distorts feature
248 information rather than encoding the feature values linearly.

249

250 **Fig. 5. Model accuracy.**

251 (A) Simulated model accuracy for the power model under different values of exponent k (bottom x-
252 axis, corresponding g is plotted on the top x-axis) and late noise (s ; in a range of 0.05 to 5) in
253 coloured lines with reddish (bluish) lines show simulations with lowest (highest) late noise. The black
254 line is the accuracy of the model when items were allocated with equivalent gain and equally
255 integrated ($k = 1$) (B) After simulating model accuracy of the equivalent gain linear model,
256 performance difference between the power model and the linear model is shown in the coloured
257 surface. Positive values (yellow-red) show parameters where the nonlinear model performance is
258 higher than equivalent linear variants, and negative values (cyan-blue) show the converse. Best
259 fitting k and s for each subject of the fixed (dark grey dots) and variable reference session (light grey
260 dots) were displayed to show the performance gain relative to using linear weighting scheme.

261

262 One trivial reason why model performance might grow as k is reduced relates to the scaling of the
263 decision values DV that are produced when X_i is transformed. After passage through the sigmoidal
264 choice function, larger values of DV will yield choice probabilities that are closer to 0 or 1 and thus
265 increase model performance. To adjust for this, we first calculated the scaling of the decision values
266 that resulted from each transfer function parameterised by a different value of k , as follows:

267

268

$$g = \frac{2}{1 + k}$$

269 This gain normalisation term is proportional to the integral of the absolute value of the curves in **Fig.**
270 **4A**. This normalisation thus adjusts for the expected gain (i.e. proportional increase or decrease in
271 *DV*) that would be incurred by the nonlinear transducer (in the theoretical case in which there is a
272 flat distribution of features). The normalization thus allowed us to compare nonlinear and linear
273 models with equivalent gain. **Fig. S8** shows the resulting value of g for each corresponding k . We
274 then compared the performance of the model under each transfer function with an equivalent
275 linear model, in which decision values were computed under $k = 1$ (no compression) but rescaled
276 by g . This is equivalent to assuming that decisions are limited by a fixed resource (or gain), for
277 example an upper limit on the aggregate firing rates produced by a population of neurons.

278

279 Creating this family of yoked linear and nonlinear models allowed us to directly assess the costs and
280 benefits to performance of different values of k in a way that controlled for the level of gain. This
281 can be seen in **Fig. 5B**, where we plotted the difference in accuracy between the linear model and
282 a power model that is matched for gain. The red areas in lower left show that when late noise is
283 higher, performance benefits when the model engages more strongly in robust averaging ($k < 1$). In
284 other words, a policy of allocating gain to inliers rather than outliers protects decisions against late
285 noise.

286

287 At first glance, this effect might seem counterintuitive. Why should allocating gain preferentially to
288 one portion of feature space prior to averaging benefit performance, if overall gain is equated? One
289 way of thinking about the difference between a power model (with parameter k) and a linear model
290 with equivalent gain g is that whereas linear model allocates gain evenly across feature space (i.e.
291 equivalently to inliers and outliers), the power model with $k < 1$ focusses gain on those items that
292 are closest to the category boundary, where the transfer function is steepest. Because the overall

293 distribution of features across the experiment is Gaussian with a mode close to the boundary, this
294 means that the power model allocates gain more efficiently, i.e. towards those features that are
295 most likely to occur. We have previously described such “adaptive gain” phenomena in other
296 settings [14, 15].

297

298 To verify this contention, we repeated our simulation with a new simulated set of input values X
299 that were drawn from a uniform random distribution with respect to the reference, rather than
300 using the Gaussian distributions of tilt values that were viewed by human observers. This simulation
301 revealed no performance advantage for robust averaging. Rather, under uniformly distributed
302 features the best policy was to avoid the nonlinear step and simply average the feature values, as
303 predicted by the ideal observer framework. This is shown in **Fig. 6**, where best performance under
304 the lowest late noise case occurs when feature values are equally integrated. Under high late noise,
305 values of $k < 1$ lead to relatively better performance than when all features are equally integrated.
306 However, there is no performance gain for robust averaging compared to the equivalent gain linear
307 model, meaning that unlike in **fig. 5**, the performance gain shown in **fig. 6** is purely due to a larger
308 scaling of input to output values under $k < 1$. This is in fact confirmed by a separate sequential
309 number integration experiment with a different class of stimulus - symbolic numbers. The study
310 showed that that the optimal k values under high late noise is greater than 1 since the stimulus
311 were drawn from a uniform distribution [16].

312

313 **Fig. 6. Model accuracy under uniform distributions.**

314 Panels A and B are equivalent to panel A and B for Fig 5. However, here the simulations are
315 performed by drawing feature values from uniform random distributions, rather than those used in
316 the human experiment.

317

318 **Linking decision policy to performance**

319 These explorations allow us to make a new and counterintuitive prediction for the human data. If
320 late noise is high, then rather than hurting decision performance, robust averaging should help. We
321 tested this contention using an analysis approach based on multiple regression. For each
322 participant, we split trials into two groups (even and odd). We first obtained the best-fitting k and s
323 parameters for each participant using even trials. Then, using multiple regression, we estimated
324 multiplicative coefficients that best describe the relationship between the best-fitting parameters
325 for each subject and performance on (left out) odd trials, separately for the fixed and variable
326 reference sessions:

327

$$328 \quad \text{cor} = \beta_0 + \beta_1 k + \beta_2 s + \beta_3 s * k$$

329

330 Where cor is a vector of mean accuracies (one accuracy for each subject per session), and k and s
331 are vectors of corresponding best-fitting parameters. In the variable reference condition, both k
332 and s were significant negative predictors of performance (k : $\beta_1 = -0.14$, $t_{17} = -2.51$, $p = 0.022$, 95%
333 CI [-0.032 -0.26]; s : $\beta_2 = -0.041$, $t_{17} = -7.15$, $p < 0.001$, 95% CI [-0.03 -0.052]). In other words, in the
334 variable reference condition, where late noise is intrinsically higher, low values of k led to enhanced
335 performance across the human cohort. In the fixed reference session, neither k nor s was
336 significant predictors of performance ($p = 0.56$ and $p = 0.16$ respectively), but their interaction was
337 significant ($\beta_3 = -0.13$, $t_{17} = -2.88$, $p = 0.01$, 95% CI [-0.04 -0.21]). In other words, in the fixed
338 reference condition, predicted performance was higher under lower k only for those participants
339 with higher estimated late noise s . These findings confirm that in our experiment, robust averaging
340 conferred a benefit on performance under high late noise.

341

342 **Discussion**

343 Human observers have previously been shown to be “robust averagers” of low-level visual features
344 such as shape and colour [8, 9], and even of high-dimensional stimuli such as faces [7]. Here, we
345 add to these earlier findings, describing robust averaging of the tilt of a circular array of gratings.
346 However, the focus of the current experiment was to use computational simulations to understand
347 why humans engage in robust averaging. We describe a simple psychophysical model in which
348 features values are transformed nonlinearly prior to averaging. This model assumes the decisions
349 are limited by a fixed resource, and that gain is allocated differentially across feature space, giving
350 priority to inliers – those features that fall close to the category boundary. Through simulations, we
351 find that in our experiment, this relative discounting of outliers gives a boost to performance when
352 decisions are additionally corrupted by “late” noise, i.e. noise arising during, or beyond, the
353 integration of information.

354

355 Previously, robust averaging has been considered a suboptimal policy that incurs an unnecessary
356 loss by discarding relevant decision information [17]. The current work offers a new perspective,
357 suggesting that robust averaging is a form of bounded rationality. If we consider an observer whose
358 neural computations are not corrupted by late noise, it is true that robust averaging incurs a cost
359 relative to perfect averaging. However, here we consider decisions as being constrained not just by
360 sources of noise that are external to the observer, or that arise during sensory transduction, but
361 also capacity limits in human information processing. Processing capacity allows a multiplicative
362 gain to be applied to feature values, with higher gain ensuring that feature values are converted to
363 cumulative decision values that fall further from the category boundary (here, the reference
364 orientation). When decision values are further from the category boundary, they are more resilient

365 to “late” noise, which might otherwise drive them to the incorrect side of the category boundary,
366 thereby forcing an error. However, when gain is limited, it must be allocated judiciously. Our
367 simulations show that allocating gain to stimuli that are most likely to occur confers a benefit on
368 performance, and suggest that humans may adopt a robust averaging policy in order to maximise
369 their accuracy on the task.

370

371 One longstanding hypothesis states that neural systems will maximise the efficiency of information
372 encoding by allocating the highest resources (e.g. neurons) to those features that are most likely to
373 occur [18]. For example, enhanced human sensitivity to cardinal angles of orientation (those close
374 to 0° and 90°) may reflect the prevalence of contours with this angle in natural scenes [19]. Indeed,
375 neural systems learning via unsupervised methods will naturally learn to represent features in
376 proportion to the frequency with which they occur. Here, we make a related argument for neural
377 gain control. The efficiency of gain control allocation depends on the distribution of features that
378 occurs in the local environment. Allocating gain to features that are rare or unexpected, even when
379 they are more diagnostic of the category, is inefficient, as resources are “wasted” in feature values
380 that are highly unlikely to occur; whereas allocating gain to those features that occur most
381 frequently will confer the greatest benefit. This benefit, however, is only observable when decisions
382 are corrupted by “late” noise, i.e. that arising beyond information averaging. This finding has
383 important implications for our understanding of what may be the “optimal” policy for performing a
384 categorisation task. The ideal observer framework allows us to write down a decision policy that will
385 maximise accuracy for an observer that is limited not by capacity but by noise arising in the external
386 environment. Here, we show an example where the policy that is optimal for an unbiased, noiseless
387 observer is not the one that maximises accuracy for healthy humans.

388

389 The current study adds to an emerging body of work that the human brain may have evolved
390 perceptual processing steps that squash, compress or discretise feature information in order to
391 make decisions robust to noise [15]. In another recent line of work, participants were asked to
392 compare the average height of two simultaneously-occurring streams of bars [20] or average value
393 of two streams of numbers [21]. Human choices were best described by a model which discarded
394 information about the locally weaker item, but this “selective integration” policy paradoxically
395 increased simulated performance under higher late noise. As described here, participants seemed
396 to adjust their decision policy to account for their own internal late noise: participants with higher
397 estimated late noise were more likely to engage in robust averaging. Like selective integration, thus,
398 robust averaging is a decision policy that discards decision information but paradoxically confers a
399 benefit on choice.

400

401 Additionally, the design of our study allows us to draw conclusions about the timescale over which
402 gain allocation occurs. In previous work, robust averaging was found to vary with the overall
403 distribution of features present in a block of trials. For example, when averaging Gaussian-
404 distributed features in a red-to-purple colour space, purple features were relatively downweighted,
405 but when averaging in a red-to-blue colour space, purple features were relatively upweighted [8].
406 In other words, the allocation of gain to features depended on the overall distribution of features
407 in the block of trials, with the most frequently-occurring (i.e. expected) items enjoying preferential
408 processing. Here, we saw no difference in robust averaging between a fixed reference condition (in
409 which the Gaussian distribution of orientations remained stable over a prolonged block of trials)
410 and a variable reference condition (in which the Gaussian distribution of orientations changed from
411 trials to trial, and was uniform over the entire session). In other words, any adaptive gain control
412 was set by the reference, and thus occurred very rapidly, i.e. within the timescale of a single trial.

413 Evidence for remarkably rapid adaptive gain control has been described before. Indeed, short-lag
414 repetition priming may be considered a form of gain control [22], in which the prime dictates which
415 features should be processed preferentially [10]. During sequential averaging, the behavioural
416 weight and neural gain applied to a feature depend on its distance from the cumulative average
417 information viewed thus far, as if features pass through an adaptive filter with nonlinear form [14].
418 These observations are consistent with the theoretical framework that we propose here.

419

420 Finally, we discuss some limitations of our approach. Firstly, our model uses a simple power function
421 to describe the nonlinear transformation of inputs prior to averaging. We chose this function for
422 mathematical convenience – it provides a simple means of parameterizing the mapping function
423 feature to decision information in a way that privileges inliers ($k < 1$) or outliers ($k > 1$). However,
424 other forms of nonlinear transformation that are not tested here may also account for the data.
425 Secondly, our best-fitting model assumes zero sensory encoding noise (or ‘early’ noise). Adding early
426 noise to the model did not change qualitatively the benefit of robust averaging under higher late
427 noise, unless it becomes performance-limiting in itself. However, in other settings, early noise will
428 be an important limiting factor on performance. Although we found that our “late noise only” model
429 fit better than an “early noise only” model, we do not wish to claim that there is no early noise in
430 our task. Since the current experiment was not designed to estimate the level of early noise, it may
431 be of interest to directly manipulate both early and late noise in future experiments.

432

433 **Methods**

434 **Ethics statement**

435 The study was approved by the Medical Science Interdivisional Research Ethics Committee (MS
436 IDREC) of the Central University Research Ethics Committee from the University of Oxford.

437 Participants provided written consent before the experiment in accordance with local ethical
438 guidelines.

439

440 **Participants**

441 24 healthy human observers (9 males, 15 females; age 23.4 ± 4.7) participated in two testing
442 sessions that occurred one week apart. The order of testing sessions was counterbalanced across
443 participants. The task was performed whilst seated comfortably in front of a computer monitor in a
444 darkened room. Participants received £25 in compensation.

445

446 **Task and procedure**

447 All stimuli were created using the Raphaël JavaScript library and presented with the web browser
448 – Chrome Version 49.0.2623.87 on desktop PC computers. The monitor screen refresh rate was
449 60Hz. Each session consisted of 8 blocks of 128 trials each. On each trial, following a fixation cross
450 of 1000ms duration, participants viewed an array of 8 square-wave gratings with random phase
451 (2.33 cycles/degree, 0.33 RMS contrast, 1.72 degrees visual angle per grating) arranged in a ring
452 7.82 degrees from the center of the screen (**Fig. 1**). The array was presented for a fixed duration
453 against a grey background in each block (250ms, 500ms, 750ms or 1000ms; this manipulation had
454 little impact on accuracy, and we collapsed across it for all analyses). A single Gabor patch was
455 presented in the centre of the ring contiguous with the array elements (3.49 cycles/degree, 0.33
456 RMS contrast, 1.15 degrees visual angle). Participants were asked to judge as rapidly and accurately
457 as possible whether the mean orientation of the array of 8 peripheral gratings fell clockwise (CW)
458 or counterclockwise (CCW) of the orientation of the central grating. Feedback was provided
459 immediately following each response: the fixation cross turned green on correct trials for 500ms,
460 and red on incorrect trials for 2500ms. Participants received instructions and completed a training

461 block of 32 trials prior to commencing each session. During the training block, the central grating
462 patch and the array of grating patches remained on the screen for 1 minute or until participants
463 made a response.

464

465 **Design**

466 Orientations were sampled from Gaussian distributions with means of $R+\mu$ where R is the reference
467 grating orientation, and variances of σ^2 on each trial. We crossed μ and σ as orthogonal factors in
468 the design, drawing the orientation mean (in degrees) from $\mu \in \{-20^\circ, -10^\circ, 10^\circ, 20^\circ\}$ and orientation
469 standard deviation $\sigma \in \{8, 16\}$. Levels of μ and σ are counterbalanced and the order of presentation
470 is randomised across trials in every block. To ensure that the sampled orientations matched the
471 expected distribution with the given μ and σ , resampling of orientation values occurred until the
472 mean and standard deviation of orientation values fell within 1° tolerance of the desired μ and σ .
473 We refer to each of the 8 gratings in the array as a “sample” of feature values. Reference
474 orientations were drawn randomly and uniformly from around the circle. There was a total of 8
475 blocks per session, leading to a total of 1024 trials per session. In the fixed-reference session, the
476 reference orientation remained fixed over each block of 128 trials. In the variable-reference session,
477 the reference orientation changed from trial to trial. Our experiment thus had a 2 (fixed vs. variable
478 reference) \times 2 ($\mu = 10, \mu = 20$) \times 2 ($\sigma = 8, \sigma = 16$) factorial design.

479

480 **Analysis**

481 3 subjects were excluded from all analyses due to lower than 60% accuracy performance in either of
482 the reference condition. Data were analysed using ANOVAs and regressions at the between-subjects
483 (group) level. A threshold of $p < 0.05$ was imposed for all analyses, and we used a Greenhouse-
484 Geisser correction for sphericity where appropriate, so that some degrees of freedom (d.f.) are no

485 longer integers. We first compared accuracy and reaction times for different levels of μ and σ in
486 each session. Next, we used probit regression to estimate the weight with which each sample
487 influenced choices, as a function of its position relative to the reference angle in both fixed and
488 variable reference session. For all analyses, we excluded 13% of trials ('wraparound' trials) that
489 contained one or more orientations that were $>0.79^{\text{rad}}$ or $< 0.79^{\text{rad}}$ (equivalent to $>45^\circ$ or $<-45^\circ$)
490 relative to the reference, thereby ensuring that we were working within a space in which feature
491 values X were approximately linearly related to angle of orientation. A further 0.2% of trials on
492 which no response was registered were also excluded.

493

494 For each sample i on trial t , we assumed that orientations in the sensory space were being recoded
495 as orientations relative to reference in the decision space, and thus refer to the feature values X as
496 the orientation relative to the reference. After excluding 'wraparound' orientations, all orientations
497 fell within the range of -0.79^{rad} to 0.79^{rad} (equivalent to $\pm 45^\circ$). To compute weighting functions, we
498 created for each participant a predictor matrix by tallying values of X within each of 8 equally spaced
499 bins (in feature space) with centres between -0.75^{rad} and 0.75^{rad} on a trial-by-trial basis. Values from
500 each bin were entered competitive regressors to regressed against participants' choices using probit
501 regression. **Fig. 3** is showing the beta weights associated with each bin modulated by the sum of
502 feature values (X) within that bin.

503

504 **Modelling**

505 **Power model.** Each element i was characterised by a feature value X_i in radians (in the range -
506 0.79^{rad} to 0.79^{rad}) that was proportional to its orientation relative to the reference. Our model
507 assumes that the decision value (DV) that determined choice on each trial was computed by

508 transforming orientations relative to reference using a power-law transducer parameterised by an
509 exponent k .

$$510 \quad DV = \sum_{i=1}^8 \text{sign}(X_i) \cdot |X_i|^k$$

511 (1)

512 The functions that map feature value X onto decision values DV for low and high values of k . For
513 the special case $k = 1$, the DV is equivalent to the simple sum of X_i ; this is the rule used by the
514 experimenter to determine feedback. Next, we calculated choice probabilities by passing the DV
515 through a sigmoidal choice function (see choice probability function and equation 5) with the
516 inverse-slope s . Higher values of s imply shallower slopes and thus greater “late” noise The sign of
517 sum of X_i always reflect the sign of the mean of the distribution in which X_i was being drawn from,
518 which we used for providing feedback.

519

520 **Equivalent gain factor.** Different levels of the exponent k vary the convexity or the concavity of the
521 functions shown in Fig. 4a. By considering the integral of the absolute of these functions, it is easy
522 to see that k in turn varies the overall scaling of any hypothetically occurring feature values onto
523 DV . When $k < 1$, average (absolute) values of DV are inflated, and thus pushed away from the
524 category boundary, increasing simulated performance. We wished to ensure that model
525 comparisons cannot be trivially explained by this unequal scaling of feature values to decision
526 variable under different levels of k . To correct for this, we thus computed the equivalent gain factor
527 (g) that quantifies the average increase in absolute DV under different levels of k :

$$528 \quad g = \frac{2}{1+k}$$

529

530 (2)

531 The quantity g is equal to $\frac{\sum F^k}{\sum F}$ where F is a hypothetical space of features (here, positive only for
532 convenience) that could occur in the experiment. Multiplying equivalent linear models by g thus
533 corrects for the inflation that would occur under differing values of k . We implemented this
534 correction when comparing equivalent linear and nonlinear models with parameter k , either by
535 multiplying the input features of the linear model by g , or equivalently, by dividing the output of
536 the nonlinear model by g . Importantly, this correction was applied over the features that could
537 occur, not the features that did occur under our mixture of Gaussian-distributed categories. It is for
538 this reason that the nonlinear model leads to improved predicted performance in the experiment
539 we conducted, but not in a simulated experiment in which features were uniformly drawn from
540 across feature space (**Fig. 6**).

541

542 **Equivalent gain linear model.** For each nonlinear model variant k in the power model, we
543 compute DV using a linear model with equivalent gain factor, i.e. a model with the following form:

$$545 \quad DV_{linear} = \sum_{i=1}^8 X_i \cdot g$$

544 (3)

546 Where DV_{linear} refers to the cumulative decision value of all feature value X_i after applied with
547 equivalent gain – g . This ensures that each nonlinear power model is compared to a linear model
548 with an equivalent total input-to-output scaling of decision values. Using this approach, we could
549 thus compare the benefits of allocating gain preferentially to inliers ($k < 1$) or outliers ($k > 1$) to
550 allocating gain evenly across feature space ($k = 1$), under the assumption that neural resources were
551 limited to a fixed value defined by g , for example the total number of spikes across population of
552 neurons sensitive to orientations. The model comparison of power model against the equivalent

553 gain model is mathematically identical to comparing model performance for $k < 1$ or $k > 1$ against
554 $k = 1$ of a power model which is normalised by g in this form:

$$555 \quad DV_{constant} = \frac{DV}{g}$$

556 (4)

557 Where $DV_{constant}$ refers to the decision variable with constant gain across different levels of k .
558 Under a $k < 1$ case, inlying items will be allocated with more resources at the expense of depriving
559 resources from outlying items, while under a $k > 1$ case, outlying items will be allocated with more
560 resources at the expense of inlying items. Any difference in simulated model performance of
561 nonlinear transformation of feature values across different values of k are not due to differential
562 resources in a linear model.

563
564 **Choice probability function.** A choice function with a noise-term s was used to transform DV of
565 each model into choice probabilities. These choice probabilities are then used for maximum
566 likelihood estimation. We used a choice function of the following form:

$$568 \quad CP = \frac{1}{1 + e^{-\frac{DV}{s}}}$$

569 (5)

570 We ensured via visual inspection that the resulting fits were convex over this search space. We then
571 used parametric tests to assess whether the resulting best-fitting parameters differed positively
572 (indicating upweighting of outliers) or negatively (indicating downweighting of outliers) from 1. For
573 each participant, we searched exhaustively over values of k (in the range 0.02 to 2) and s (in the
574 range 0.05 to 10) that minimised the negative log likelihood of the model.

575

576 **Early noise only model.** To test our assumption that early sensory noise (noise arise prior to
577 averaging) alone cannot explain subjects' choice behaviour, we created a model where each feature
578 value X_i was corrupted by ε_i , a sample of noise drawn independently from a Gaussian distribution
579 zero mean and standard deviation ξ :

$$x_i = X_i + \varepsilon_i \tag{6}$$

582 After transforming x with exponent k using equation 1, we converted the summed of x values into
583 a choice probability of 0 or 1 depending of its sign (i.e. via a step function) on a trial-by-trial basis.
584 We fit this model to psychometric functions, by computing the conditional probability of a clockwise
585 response $p(CW)$ given the presence of a feature X_i (sorted in to 9 equally spaced bins between -
586 0.75^{rad} to 0.75^{rad}). We did this separately for the fixed reference session and variable reference
587 session in humans. Using a grid search method, we identified best-fitting for ξ among 20 linearly
588 spaced values from 0 to 3 for each subject and reference condition (fixed, variable) by minimising
589 the MSE between the predicted and observed psychometric functions. **Fig. S4A** shows both human
590 psychometric functions and those predicted by this early noise only model, as well as late noise only
591 model described above, which is parameterised by k and s (and thus has an equivalent number of
592 free parameters).

593 Having identified the best-fitting parameters, we used these to predict accuracy for each level of
594 mean and variance, and the weighting function in the fixed and variable reference conditions. The
595 weighting function obtained from best fitting parameterisation of the model is shown on **Fig. S4B**
596 and model fits of accuracies can be seen in **Fig. S4C**. The early noise only model failed to predict the
597 presence of robust averaging and incorrectly predicted that accuracy would not vary as a function
598 of the variance in the stimulus array, and was thus unable to account for human data.

599

600 **Population coding power model.** As with the power model, we assume that feature values were
601 recoded from presented orientations relative to the reference into a linear space spanning between
602 -3 and 3 (e.g. radians) where 0 is the value of the reference. We assumed a population of 600
603 neurons ($M = 600$) whose tuning curves are linearly spaced across the feature space. The tuning
604 curve for any neuron, j , is defined as a Gaussian probability density function centred at the neuron's
605 preferred feature value, f_j , and with a tuning width fixed across the population, ε , specified by an
606 additional free parameter. The amplitude of each neuron's tuning curve (i.e. its maximum firing
607 rate) was controlled by a gain factor which is a function of the neuron's preferred feature value, f_j ,
608 and the power law:

$$609 \quad G_j = |f_j|^{k-1} \quad (7)$$

611 Where G_j represents the gain, G , applied to neuron, j , whose preferred feature value is f_j , and a
612 free parameter, k , controls the gain applied across the feature space in the neural population. The
613 firing rate, R_{ji} , for each neuron j given a particular stimulus, X_i , is computed as:

$$614 \quad R_{ji} = N(X_i, f_j, \varepsilon) \cdot G_j \cdot \frac{\rho}{M} \quad (8)$$

616 Where $N(X_i, f_j, \varepsilon)$ correspond to the probability density of a Gaussian with mean, f_j , and variance,
617 ε , evaluated at point, X_i . To adjust for the scaling of output values, the product of the Gaussian
618 density function and gain function is additionally scaled by $\frac{\rho}{M}$, which is the ratio of range of the
619 linear space in radians (ρ) to the number of neurons (M). This ensures that the output of the
620 population activity R will remained invariant to these factors of no interest in our model. Lastly, the
621 model's estimate of a stimulus, X_i , is a computed from the population of neurons as follows:

622

$$\Theta_i = \sum_{j=1}^{600} R_{ji} \cdot f_j$$

623

(9)

624 Where R is the population activity vector for X_i . Firing rate (R_{ji}) of each neuron j is weighted by the
625 corresponding neuron's preferred feature value (f_j) before summation to get the model estimate
626 for stimulus (Θ_i). This is then used for computing the cumulative decision values (summation of
627 model estimated angles) on a trial by trial basis for computing choice probability using equation 5
628 and negative log-likelihood for model fitting.

629

630 **Parameter recovery.** To test the ability of the fitting procedure to accurately identify the parameters
631 of the best-fitting power model. We sampled 20 equally-spaced values of k (in the range of 0.02 to
632 2) and s (in the range of 0.05 to 10). For each k and s combination, we transformed a set of
633 orientations presented to subjects in the experiment using the given k and computed the choice
634 probability of the DV with the given s . Then we compared the trial-to-trial estimated choice
635 probability against a random probability drawn from a uniform distribution with a range of 0 to 1 to
636 generate model choices. We then used these artificial choices to recover best-fitting values of k and
637 s via maximum likelihood estimation.

638

639 **Model performance simulation.** We simulated model performance (decision accuracy) under
640 different k in a range of 0.02 to 2 and s in a range of 0.05 to 5 for the power model. For each
641 combination of k and s , trial-to-trial estimate of DV was computed and transformed into choice
642 probability using equation 5. Model choices were created by comparing the choice probability
643 against a probability drawn randomly from a uniform distribution. Model accuracy was computed

644 as the proportion of model choices that were the same as the pre-defined correct choice, which is
645 simply determined by the sign of the sum of X .

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709

711 **Supporting Information Legends**

712 **Fig. S1. d' analysis**

713 d' for each level of $|\mu|$ (mean) and σ (variance) conditions were computed separately for fixed
714 reference (Left panel) and variable reference session (Right panel). The grey lines correspond to
715 human's average d' for low mean (light grey) and high mean conditions (dark grey). The green dots
716 correspond to the model fits for each condition (low mean in light green dots and high mean in dark
717 green dots).

718

719 **S1 table. ANOVA results on the d' analysis.**

720

721 **Fig. S2. Parameter recovery**

722 Recovered parameters (y-axis) plotted against the actual parameters (x-axis) for k (left panel) and
723 s (right panel). Black line is the identity line.

724

725 **Fig. S3. Performance under different presentation duration conditions**

726 Mean and standard error of mean for $|\mu|$ on accuracy (left panel) and reaction times (right panel)
727 under different presentation durations (x-axis) in fixed (dark grey line) and variable reference
728 session (light grey line).

729

730 **Fig. S4. Model comparison of Early noise only model and Late noise only model**

731 (A) Model psychometric functions (dotted line for "EN only" model and thin solid line for "LN only"
732 model) were plotted against humans (darker coloured dots). Both models successfully capture
733 human psychometric functions of the fixed reference and the variable reference sessions (red vs.
734 green). (B) Recreation of the weighting function under simulated choices from the best fitting

735 parameterisation of the early noise model. This model failed to replicate human robust averaging
736 as shown in **Fig. 3A**. (C) Condition-wise mean accuracy and standard error of mean of the “EN only”
737 model (pinkish dots) and the “LN only” model (bluish dots) superimposed on human accuracies (grey
738 lines). Left panel shows the performance in the fixed reference session, and the right panel shows
739 that of the variable reference condition.

740

741 **Fig. S5. Feature values and decision values generated by a population coding power model**

742 Transfer functions that showed feature values were being transformed into decision values in
743 nonlinear ways under different values of k (coloured lines, in a range of 0.02 to 2), similar to transfer
744 functions shown in fig. 4A, which were generated by a simple power model. Tuning width of neurons
745 (ε) was assumed to be 0.5 in this illustration.

746

747 **Fig. S6. Simulated accuracy under best-fitting parameterisation of population coding**

748 Similar figure shown in fig. 2, this figure is showing the mean (and standard error of mean) accuracy
749 of human (grey lines). Green dots represent the simulated mean accuracy (and standard error of
750 mean) using best-fitting parameters yield from humans with the population coding power model.

751

752 **Fig. S7. Recreation of parameter estimates using the population coding model**

753 This figure is the same as fig. 3B, but instead of using the simple power model, model choices were
754 simulated using the population coding power model under best-fitting parameterisation of 3
755 parameters (ε, k, s).

756

757 **Fig. S8. exponent k and gain (g)**

758 Lower values k (darker dots) have higher multiplicative gain, therefore the corresponding g is
759 higher for low value of k

760

761 **Supporting Information File- PLOS_CB_data.mat.**

762 Data that supports the findings of this study. It requires MATLAB to access.

Figure 1

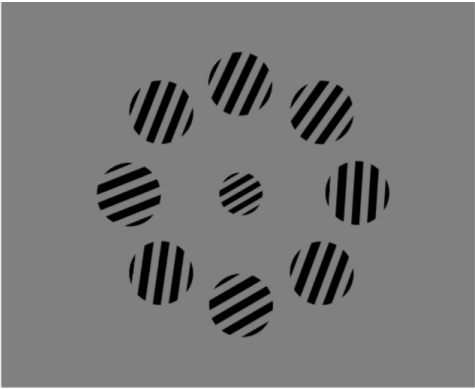


Figure 2

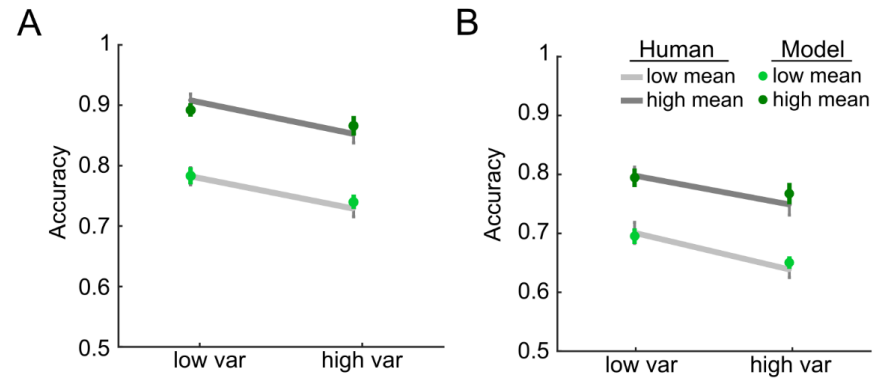


Figure 3

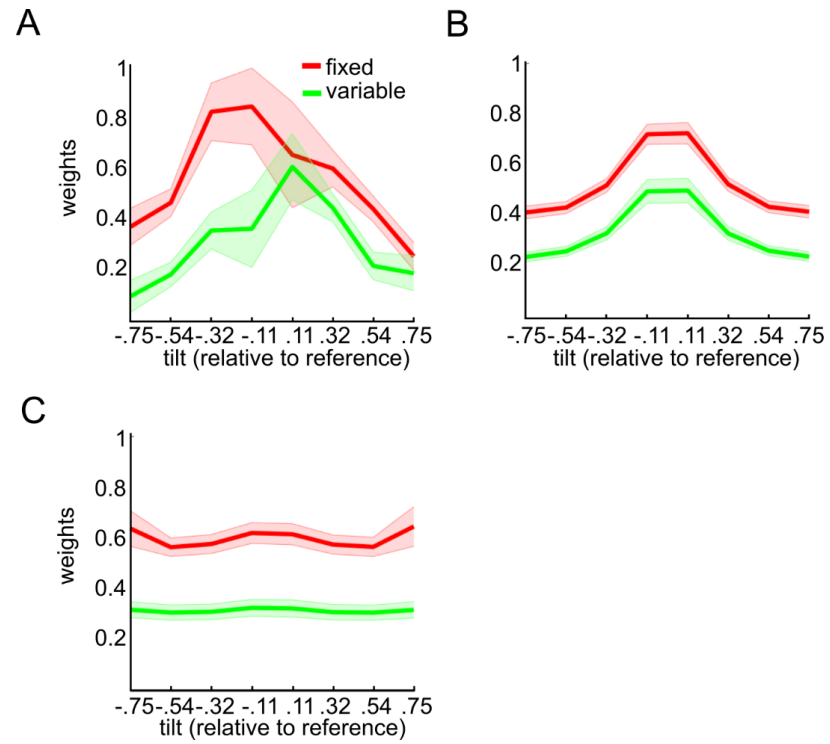


Figure 4

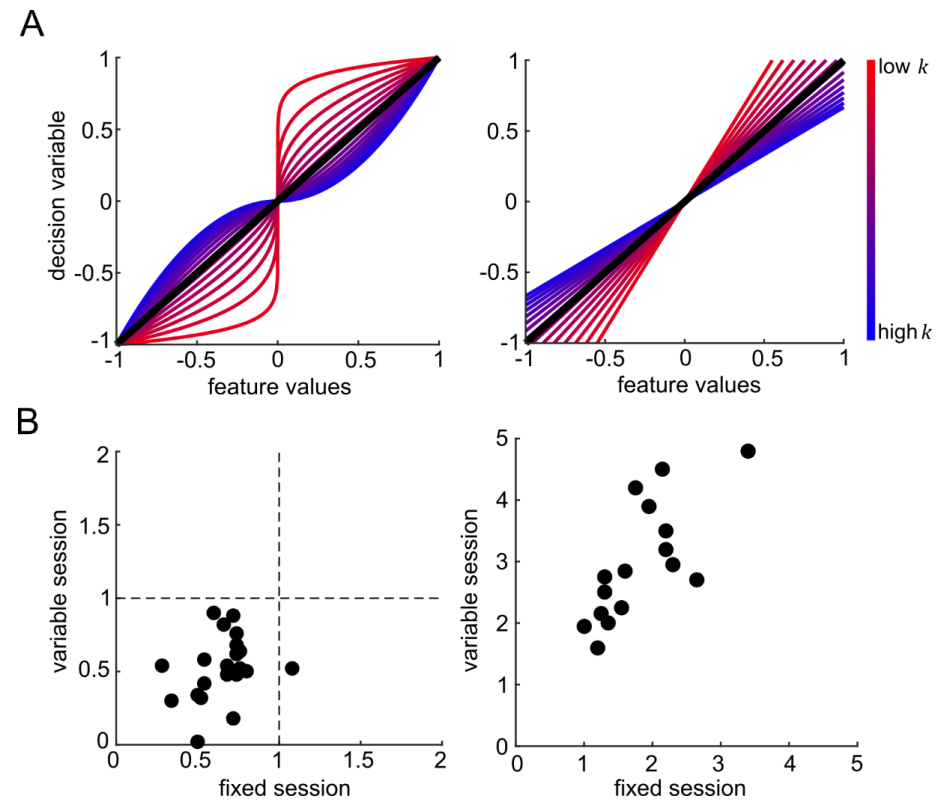


Figure 5

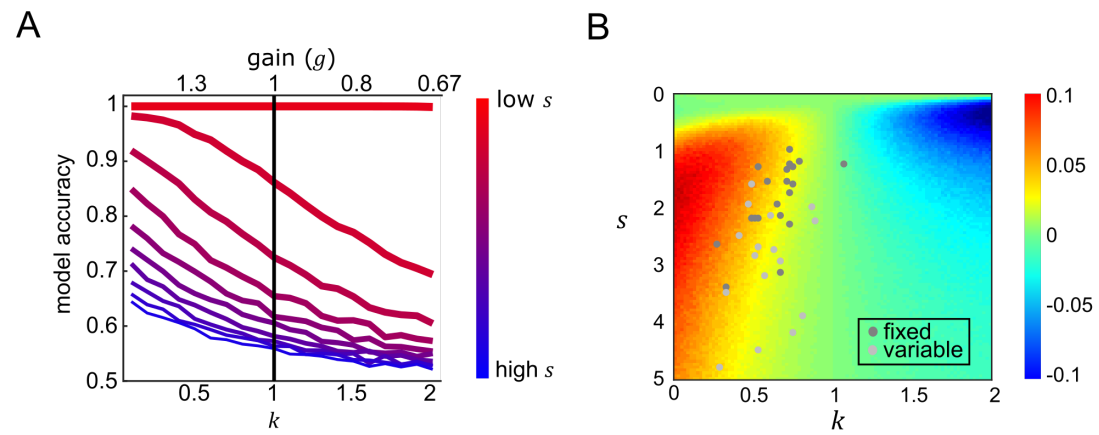


Figure 6

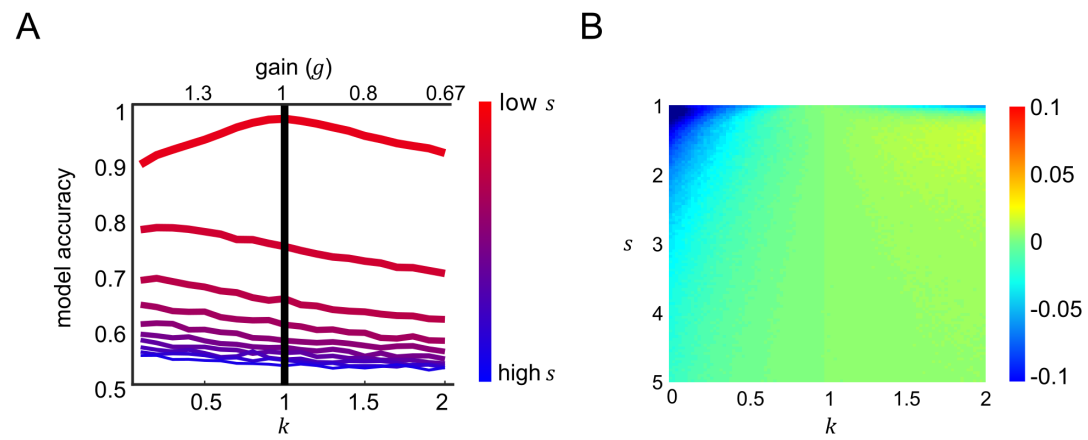


Figure S1

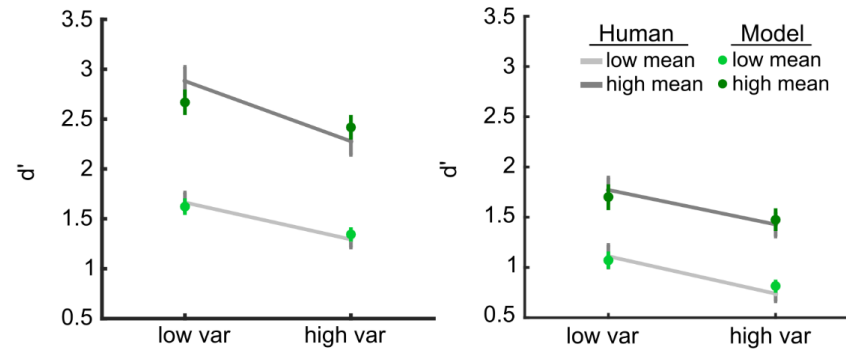


Figure S2

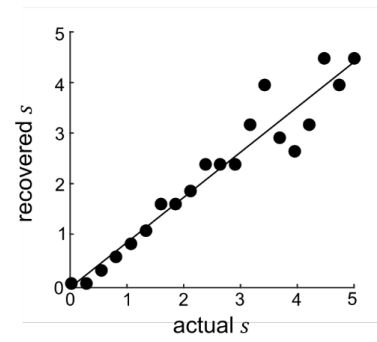
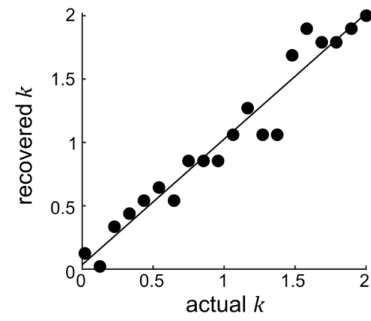


Figure S3

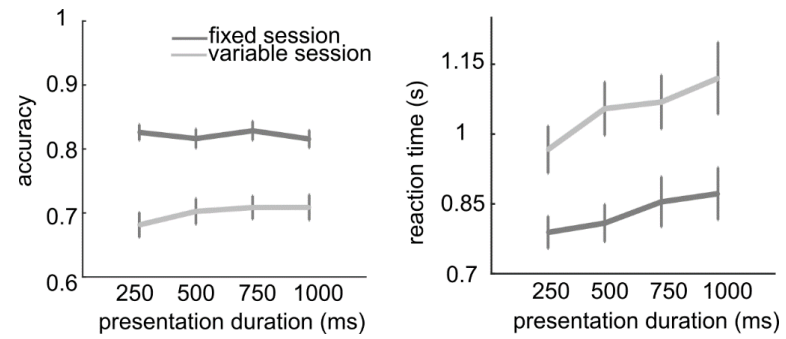


Figure S4

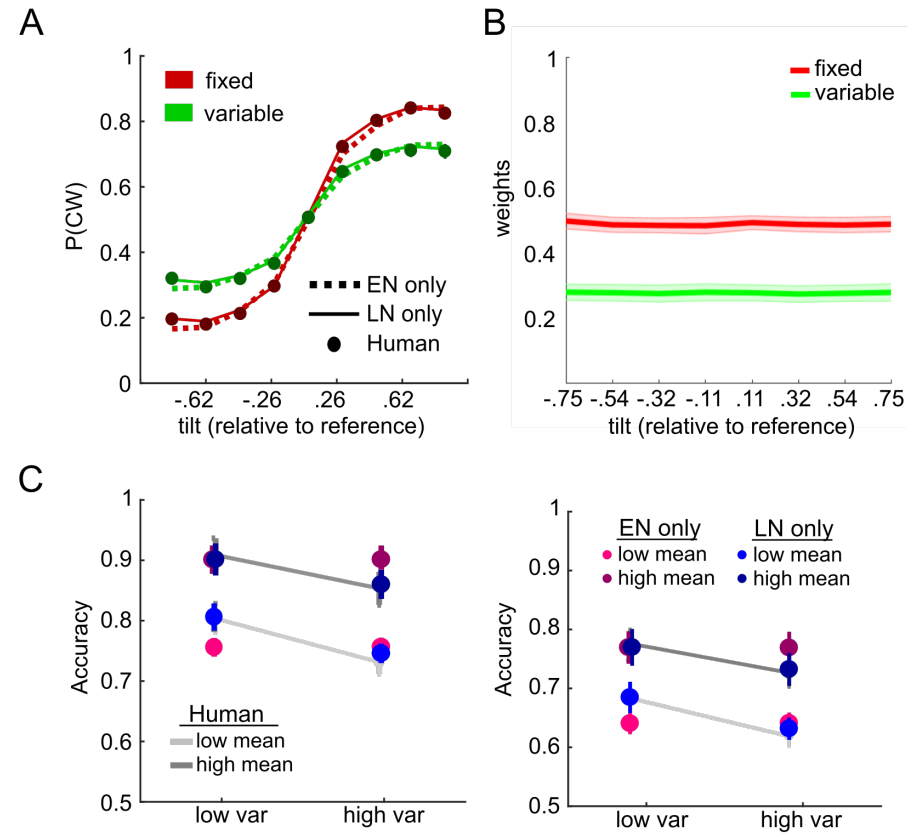


Figure S5

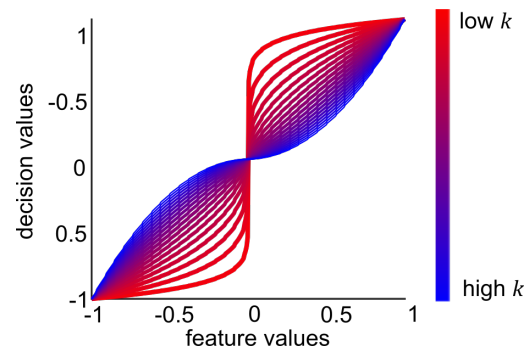


Figure S6

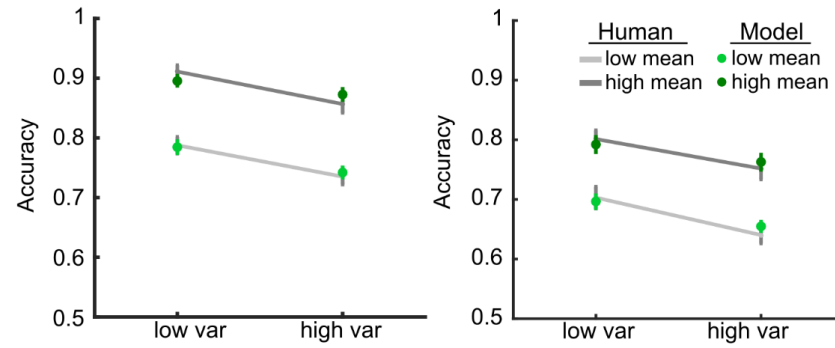


Figure S7

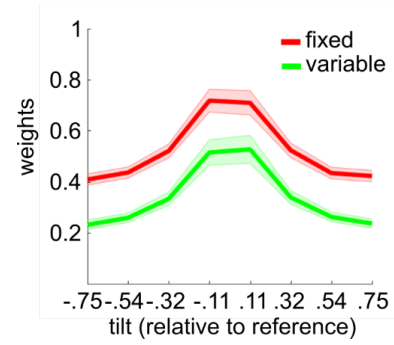


Figure S8

