Experimental Physiology

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EP-RP-2017-086532

Title: Acute hypoxemia and vascular function in healthy humans

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Author Conflict: No competing interests declared

Running Title: Acute hypoxic exposure and the NO-vasodilator system

Abstract: Vascular function is impaired at high altitude and following one hour of comparably severe normobaric hypoxia (~FIO₂=0.11). Whether vascular function is impaired during milder hypoxia is unknown. We examined the hypothesis that vascular function would be impaired following acute exposure to mild (74{plus minus}2 mmHg P_{ET}OI) and moderate (50{plus minus}3 mmHg P_{ET}OI) normobaric hypoxia. Brachial endothelium-dependent flow mediated dilation (FMD) was assessed at baseline and following 30-minutes of hypoxia (n=12) or normoxia (time control trial; n=10). Endothelium-independent dilation (via glyceryl trinitrate; GTN) was assessed following the hypoxic FMD test, and in normoxia on a separate control day (n=8). Compared to normoxic baseline, allometrically correcting for baseline diameter and FMD shear rate under the curve, FMD and GTN-induced dilation were reduced following mild hypoxia (FMD: 6.4{plus minus}1.0 vs. 5.9{plus minus}1.0%; GTN: 16.4{plus minus}4.0 vs. 14.3{plus minus}4.0%; P{less than or equal to}0.02). The

normoxic time-control data, however, revealed a ~8% decline in FMD (comparable with the FMD decline during mild hypoxia), indicating that 30 minutes of recovery for repeated FMD assessments is insufficient. Considering the methodological effects of repetitive FMD testing, endothelial dilation is unaltered following mild hypoxia exposure, yet it is significantly impaired during more moderate hypoxia. Graded impairments in smooth muscle function is evident following mild and moderate hypoxia, and this has implications for individuals acutely exposed to hypoxia.

New Findings: Endothelial dilation is impaired following an acute moderate hypoxia stimulus; therefore, the central question of this study is to investigate whether this impairment in endothelial dilation is evident following a mild hypoxic exposure, and if smooth muscle dilation is impaired following acute hypoxic exposure. Vascular smooth muscle cells sensitivity to a NO is impaired following mild and moderate hypoxia equivalent to ~2000m and ~5000m respectively. Unlike following moderate hypoxia exposure, it appears endothelial dysfunction is not impaired following mild hypoxia. These findings have important implications for individuals with pre-exiting medical conditions, especially those who are rapidly exposed to hypoxia.

Dual Publication: No

Funding: Natural Science and Engineering Research Council Discovery Grant: Philip N Ainslie, 2015-0821-01; Canadian Research Chair in Cerebral Physiology: Philip N Ainslie, 950-230970

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10	Running Title: Acute hypox	ic exposure and the NO-vasodilator system					
11							
12	Key Words: Hypoxia, nitric	-oxide vasodilator system, vascular function					
13							
14	Total Number of Words: 7500						
15							
16	Total Number of Reference: 35						
17							
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24 New Findings (100 words)

25 What is the central question of this study?

Endothelial dilation is impaired following an acute moderate hypoxia stimulus; therefore, the central question of this study is to investigate whether this impairment in endothelial dilation is evident following a mild hypoxic exposure, and if smooth muscle dilation is impaired following acute hypoxic exposure.

30 What is the main findings and its importance?

31 Vascular smooth muscle cells sensitivity to a NO is impaired following mild and moderate 32 hypoxia equivalent to ~2000m and ~5000m respectively. Unlike following moderate hypoxia 33 exposure, it appears endothelial dysfunction is not impaired following mild hypoxia. These 34 findings have important implications for individuals with pre-exiting medical conditions, 35 especially those who are rapidly exposed to hypoxia.

48

49 Vascular function is impaired at high altitude and following one hour of comparably severe 50 normobaric hypoxia (\sim F₁O₂=0.11). Whether vascular function is impaired during milder hypoxia 51 is unknown. We examined the hypothesis that vascular function would be impaired following 52 acute exposure to mild (74 \pm 2 mmHg P_{ET}O₂) and moderate (50 \pm 3 mmHg P_{ET}O₂) normobaric 53 hypoxia. Brachial endothelium-dependent flow mediated dilation (FMD) was assessed at 54 baseline and following 30-minutes of hypoxia (n=12) or normoxia (time control trial; n=10). 55 Endothelium-independent dilation (via glyceryl trinitrate; GTN) was assessed following the 56 hypoxic FMD test, and in normoxia on a separate control day (n=8). Compared to normoxic 57 baseline, allometrically correcting for baseline diameter and FMD shear rate under the curve, FMD and GTN-induced dilation were reduced following mild hypoxia (FMD: 6.4±1.0 vs. 58 59 5.9±1.0%; GTN: 16.4±4.0 vs. 14.3±4.0%; P≤0.02) and moderate hypoxia (FMD: 6.6±1.0 vs. 60 4.5 \pm 1.0%; GTN: 16.4 \pm 4.0 vs. 12.9 \pm 4.0%; \leq 0.02). The normoxic time-control data, however, 61 revealed a ~8% decline in FMD (comparable with the FMD decline during mild hypoxia), 62 indicating that 30 minutes of recovery for repeated FMD assessments is insufficient. Considering 63 the methodological effects of repetitive FMD testing, endothelial dilation is unaltered following 64 mild hypoxia exposure, yet it is significantly impaired during more moderate hypoxia. Graded 65 impairments in smooth muscle function is evident following mild and moderate hypoxia, and this 66 has implications for individuals acutely exposed to hypoxia.

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70 Introduction

71 The nitric oxide (NO)-vasodilator system is important in the maintenance of vasoregulation and 72 vascular health and its function is a marker of cardiovascular risk. Endothelium-dependent flow 73 mediated dilation (FMD) assesses conduit artery vasodilatory capacity following a reactive 74 hyperemia stimulus (shear stress). The latter component of the NO-dilator cascade endothelium-75 independent NO-mediated smooth muscle relaxation can be assessed by administering glyceryl 76 trinitrate (GTN)(Corretti et al., 2002). Therefore, the assessment of both FMD and GTN 77 measures within subjects provides complimentary information regarding the locus of change in 78 vascular function in vivo (Celermajer et al., 1992).

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80 The effect of acute normobaric or hypobaric hypoxia on basal FMD and GTN-induced dilation is 81 unclear. Some studies have reported a significant decline following hypoxia (Bailey et al., 2013; 82 Lewis et al., 2014), others an absence of change (Frick et al., 2006; Frobert et al., 2008; Bailey 83 et al., 2013). These discrepancies are perhaps not surprising, since studies are often confounded 84 by 1) pathology such as metabolic syndrome (Frick *et al.*, 2006), chronic mountain sickness 85 (Bailey et al., 2013) and cardiovascular disease (Frobert et al., 2008); or 2) methodological 86 limitations e.g., different definition of acute hypoxic exposure (5 minutes vs. 1 hour vs. 3 days), 87 different acute hypoxic stimuli (hypobaric hypoxia vs. normobaric hypoxia) (Frobert et al., 2008; 88 Lewis et al., 2014), different population groups (native highlanders vs. lowlanders; (Bailey et al., 89 2013), and/or inappropriate FMD and GTN data collection and analysis protocols [cuff 90 placement, period of data collection, non-use of edge detection software; (Frobert *et al.*, 2008)].

By employing international guidelines for the assessment of FMD and endothelium-independent 92 93 NO-mediated smooth muscle relaxation (Thijssen et al., 2011), we recently documented a 14% 94 decline in both FMD and GTN-induced dilation following three days of hypotaric hypoxia 95 (5050m) in healthy individuals (Lewis *et al.*, 2014). These findings suggest that endothelial and 96 vascular smooth muscle dysfunction both contribute to a decline in the NO-vasodilator system 97 with hypobaric hypoxia. In a follow-up study, we discovered that a substantial larger and 98 sustained decline in FMD (~28%) occurs as a result of 60-minutes of exposure to normobaric 99 hypoxia (FIO₂=0.11; a hypoxic level stimulating ~5000m). These marked reductions in FMD 100 were abolished following sympathetic nerve activity (SNA) blockade (Lewis et al., 2014). 101 However, it is currently unclear whether GTN-induced dilation is impaired to the same degree 102 within 60-minutes following normobaric hypoxia. Furthermore, it is unknown whether the 103 impairment in FMD and potential decline in GTN-induced dilation following acute (<60-104 minutes) normobaric hypoxia is sensitive to distinct levels of hypoxia.

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106 The primary purpose of this study was to examine the effect of acute (<60 minutes) exposure to mild (end-tidal oxygen $P_{ET}O_2 = 75$ mm Hg; ~2000m) and moderate (end-tidal $P_{ET}O_2 = 50$ mm 107 108 Hg; ~4600m) isocapnic hypoxia on brachial FMD and GTN-induced dilation. We hypothesized 109 that FMD and GTN-induced dilation would be impaired following mild hypoxia and more so 110 following moderate hypoxia. We intentionally chose this mild exposure as a comparable PO₂ to 111 that encountered during commercial air travel (Smith *et al.*, 2012), during trekking, and ski 112 vacation sites in North America. To ensure that there were no repetitive influences of the FMD 113 testing, we conducted a normoxic time-control study to quantify the effect of 30 minutes of 114 supine normoxic rest on FMD. Based on previously published guidelines (Corretti et al., 2002;

Barton *et al.*, 2011), we reasoned that FMD and related hemodynamic variables would beunaltered following 30 minutes of normoxic supine rest.

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118 Materials and Methods

119 **Participants**: Twelve healthy normotensive volunteers (7 men, 5 women; mean \pm SD: age, 26 \pm 6 years; body mass, 71 ± 12 kg; height, 176 ± 8 cm; body mass index, 23 ± 3 kg/m²) participated 120 121 in this randomized counter-balanced experiment. The study was approved by the Human Ethics 122 Committee of the University of British Columbia and conformed to the standards set by the 123 Declaration of Helsinki. All volunteers provided written informed consent. Participants were 124 non-smokers, had no previous history of cardiovascular, cerebrovascular, or respiratory diseases, 125 and were not taking any medications, other than the contraceptive pill. Females were tested 126 during the either the pill withdrawal/placebo phase, or in the earlier follicular phase of the 127 menstrual cycle of consecutive cycles. All experimental testing took place at the University of 128 British Columbia (altitude 344 m).

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130 Study design: Participants attended the laboratory on four occasions (one familiarisation session 131 and three experimental session). The experimental sessions were separated by >7 day and each 132 session commenced between 8:00-9:00 A.M. Experimental testing followed a minimum of 12 h 133 abstinence from alcohol, caffeine, and strenuous exercise, and an overnight fast. Experimental 134 session one and two consisted of 20 minutes of supine rest following which, cardiorespiratory 135 measures were monitored for 5 minutes and the assessment of FMD was undertaken under 136 normoxic conditions. Participants were then rapidly exposed to isocapnic hypoxia. Following 30 137 minutes of isocapnic hypoxia exposure cardiorespiratory measures and the assessment of FMD

were repeated and following 60 minutes of isocapnic hypoxia GTN-induced dilation assessed. The level of isocapnic hypoxia experience in each session was randomized and counterbalanced. Using end-tidal forcing, in the separate visits, the participant's end-tidal oxygen ($P_{ET}O_2$) was rapidly reduced down to 75 mm Hg (mild-hypoxia) or 50 mm Hg (moderatehypoxia) following baseline assessments. End-tidal carbon dioxide ($P_{ET}CO_2$) was clamped as baseline levels.

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During experimental session three, following 20 minutes of supine rest the assessment of GTNinduced dilation was made in normoxic conditions, in eight of the twelve participants who completed experimental sessions one and two. The GTN-dilation assessment was made on a separate day from the two hypoxic tests due to the half-life of GTN being approximately four hours and the potential interference with other measures if conducted at normoxia in experimental sessions one or two.

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152 Experimental Measures and Data Analysis

153 Brachial artery vascular function: A 10 MHz multifrequency linear array probe attached to a 154 high-resolution ultrasound machine (Terason 3000, Teratech) was used to image the brachial 155 artery in the right arm. Blood flow velocity was measured as peak blood flow velocity of the 156 Doppler shift, with the sample gate begin placed in the centre of the lumen.

157 *Endothelium-dependent FMD.* FMD was assessed according to international guidelines 158 (Thijssen *et al.*, 2011). With the occluding cuff placed distal to the ultrasound probe, 1 minute of 159 brachial diameter and blood flow velocity recordings preceded forearm cuff inflation to 220 160 mmHg for 5 minutes. Brachial diameter and blood flow velocity recordings resumed 30 s prior to
 161 cuff deflation and continued for 3 minutes thereafter.

162 *Endothelium-independent FMD (GTN).* Following 20 minutes of rest, brachial diameter and 163 blood flow velocity recordings were made for 1 minute prior to participants receiving a 164 sublingual dose of glyceryl trinitrate (GTN; 400 μ g spray). Brachial diameter and blood flow 165 velocity recordings were taken continuously for a 10-minute period thereafter.

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167 Custom-designed edge-detection and wall-tracking software, which is largely independent of 168 investigator bias, was utilised for the analysis of FMD and GTN (Woodman et al., 2001; Black 169 et al., 2008; Thijssen et al., 2011). This software provides continuous and simultaneous 170 diameter, blood flow velocity at 30Hz. From this synchronized diameter and velocity data, blood 171 flow (the product of lumen cross-sectional area and Doppler velocity and shear rate (SR [4 times 172 velocity divided by diameter]) (Pyke et al., 2004; Pyke & Tschakovsky, 2007) are calculated at 173 30 Hz. This semi-automated software provides higher reproducibility of diameter measurements 174 and reduces both observer error and bias with a reported intra-observer CV for FMD% of 6.7% 175 (Woodman et al., 2001). Baseline diameter, blood flow, and SR patterns were calculated as the 176 mean of data acquired across the minute preceding the cuff inflation period. Peak diameter after 177 cuff deflation was automatically detected according to an algorithm that identified the maximum 178 bracket of data, and FMD% was calculated as the percentage rise of this peak diameter from the 179 preceding baseline diameter. The time to peak diameter (in seconds) was calculated from the 180 point of cuff deflation to the maximum post-deflation diameter and SR area under curve (SR_{AUC}) 181 was calculated for the FMD stimulus up to peak diameter (Black et al., 2008). Recent evidence 182 has highlighted that FMD% can under some circumstances fail to consider the difference in

183 baseline artery diameter following an intervention or between groups (Atkinson & Batterham, 184 2013; Atkinson et al., 2013). Therefore, as outlined in detail (Atkinson & Batterham, 2013; 185 Atkinson *et al.*, 2013), we adopted an allometric scaling approach to adjust for baseline diameter 186 in the calculation of FMD and GTN-induced dilation. Also, where necessary we also adjusted the 187 FMD and GTN dilation for changes in SR_{AUC}. These results are presented as 'allometrically 188 corrected' FMD%. Oscillatory shear index, an indicator of the magnitude of shear oscillation, 189 was defined as: (|retrograde SR|) / (|antegrade SR| + |retrograde SR|). We also calculated 190 FMD/GTN ratio, to correct the FMD for potential differences in GTN-induced dilation.

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192 Cardiorespiratory Measures: Beat-to-beat blood pressure (BP) was measured by finger 193 photoplethysmography (Finometer PRO, Finapress Medical Systems, Amsterdam, Netherlands) 194 and normalized to manual cuff measurements of the brachial artery. Stroke volume (SV) and 195 cardiac output (CO) were calculated from the BP waveform obtained from the finger 196 photoplethysmography using the Modelflow method, incorporating age, sex, height, and weight 197 (BeatScope 1.0 software; TNO TPD; Biomedical Instruments). Heart rate was measured (HR) 198 via three-lead electrocardiogram (ML132; ADInstruments, Colorado Springs CO). All measures 199 were monitored for 5 minutes prior to FMD assessment, where minute 4 to 5 was used as a 200 representation of baseline values. Peripheral oxygen saturation (Sp₀₂; Pulse Oximeter 201 MD300K1; Vacumed, Ventura, CA) was measured immediately prior to the FMD assessment.

202

For measurement of $P_{ET}CO_2$ and $P_{ET}O_2$, subjects breathed through a mouthpiece connected to a two-way non-rebreathing valve. Respired gas pressures were sampled at the mouth by securing a sample line connected to a calibrated online gas analyzer (model ML206, AD Instruments,

206 Colorado Springs, CO) into the mouthpiece. Respiratory flow was measured at the mouth using a 207 pneumotachograph (model HR 800L, HansRudolph, Shawnee, KS). P_{ET}CO₂, P_{ET}O₂ and 208 inspiratory and expiratory tidal volume were determined for each breath online using specifically 209 designed software (LabView, Austin, TX). P_{ET}CO₂ and P_{ET}O₂ were controlled via end-tidal 210 forcing system (Tymko et al., 2015). This system uses independent gas solenoid valves for 211 oxygen, carbon dioxide and nitrogen and controls the volume of each gas delivered to the 212 inspiratory reservoir through a mixing-and-humidification chamber. With use of feedback 213 information regarding P_{ET}CO₂, P_{ET}O₂, and inspiratory and expiratory tidal volume, the system 214 prospectively targets the inspirate to bring end-tidal gas to the desired level. Gas control was 215 fine-tuned using a feedback control and error reduction algorithm. Clamped P_{ET}CO₂ levels were 216 determined as the values measured during the last 5-minutes of normoxic measurements.

217

218 Normoxic Time-Control Study

Participants: Ten healthy normotensive volunteers, (9 men, 1 women; mean \pm SD: age, 27 \pm 2 years; body mass, 77 \pm 8 kg; height, 180 \pm 1 cm; body mass index, 23 \pm 2 kg/m²) participated in this study. All participant pre-experimental considerations were the same as described for the hypoxia studies.

223

Experimental Design and Methods: All participants were familiarised with the FMD protocol, and attended the laboratory for one experimental session. Here, FMD was assessed in normoxic conditions prior to (FMD one) and following 30 minutes of supine rest (FMD two). All methodological and data analysis procedures were performed as outlined for the hypoxia studies.

Statistical Analysis: All data were analysed using SPSS (version 21, IBM, Surrey, UK) and expressed as mean \pm SD. Statistical significance was defined as *P* \leq 0.05 and distribution normality confirmed using repeated Shapiro–Wilk *W* tests.

232 Study 1: To examine the interaction between the experimental intervention (normoxia vs. hypoxic 233 stimuli) and the experimental condition ($P_{ET}O_2$ 75 mmHg (mild-hypoxia) trial vs. $P_{ET}O_2$ 50 234 mmHg (moderate-hypoxia)) a two-way repeated measures ANOVA was used; to further 235 exposure any significant interaction effect, two-tailed paired t tests were used to quantify the 236 effect of the hypoxic stimuli on the measures of interest. For the assessment of GTN-induced 237 dilation and related variables, a one-way repeated measures ANOVA was used to compare the 238 trial difference between normoxia and the two hypoxic stimuli. Pearson's correlation analysis 239 was used to examine the relationship between selected measures. Normoxic time-control study To examine the interaction between normoxic baseline and following 30 minutes of supine rest a 240 241 two-tailed paired t tests were used, unless stated otherwise. Hypoxia and Normoxic time-control 242 studies: A linear mixed model for repeated measures was used to allometrically correct FMD and 243 GTN-dilation for baseline diameter and SR_{AUC} as covariates.

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245 Results

246 Effect of hypoxia on cardiorespiratory variables

Per the study design, a significant interaction between the experimental intervention (normoxia vs. hypoxia) and experimental condition ($P_{ET}O_2$ 75 mmHg trial vs. $P_{ET}O_2$ 50 mmHg trial) was evident for $P_{ET}O_2$ (P<0.01). No difference in baseline (normoxia) $P_{ET}O_2$ was evident between experimental conditions; however, as desired, $P_{ET}O_2$ was lower following hypoxia exposure in the $P_{ET}O_2$ 50 mmHg (50 ± 3 mm Hg) versus the $P_{ET}O_2$ 75 mmHg trial (74 ± 2 mm Hg; P<0.01; Table 1). There were no differences in P_{ET}CO₂ during the experimental intervention or condition
(Table 1).

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255 A significant interaction between the experimental intervention and experimental condition was 256 evident for ventilation (P<0.01; Table 1). Compared to normoxia, ventilation was increased 257 following exposure to $P_{ET}O_2$ 75 mmHg (+3.1 ± 3.5 %; P=0.01) and $P_{ET}O_2$ 50 mmHg (+10.0 ± 5.8 %; P<0.01); the increase in ventilation was greater following exposure to $P_{ET}O_2$ 50 mmHg 258 259 (P<0.01). Likewise, a significant interaction between the experimental intervention and 260 experimental condition was evident for SaO₂ (P=0.001; Table 1). Compared to normoxia, the 261 reductions in SaO₂ were greater at $P_{ET}O_2$ 50 mm Hg compared with 75 mmHg (-15 ± 3 % vs -5 ± 262 2 %; P<0.01).

263

264 A main effect for the experimental intervention was evident for mean arterial blood pressure 265 (MAP) independent of hypoxic stimulus; compared to normoxia, MAP increased in both hypoxic trials by 6 ± 2 mmHg (P=0.001, Table 1), respectively. An interaction between experimental 266 267 intervention and experimental condition was evident for HR (P=0.01) and CO (P=0.03; Table 1). The increase in HR was greater (6 \pm 4 beats min⁻¹; P<0.01; Table 1) in the P_{ET}O 50 mmHg trial 268 269 compared with the 75 mmHg trial. Likewise, the increase in CO was greater in the P_{ET}O₂ 50 mmHg trial ($0.6 \pm 0.7 \text{ L} \cdot \text{min}^{-1}$; P=0.03; Table 1). No difference was evident in the SV response 270 271 following exposure to hypoxia (n=10).

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A significant interaction between the experimental intervention (normoxia vs. hypoxia) and experimental condition ($P_{ET}O_2$ 75 mmHg trial vs. $P_{ET}O_2$ 50 mmHg trial) was evident for baseline brachial arterial diameter (P=0.01). Following exposure to $P_{ET}O_2$ 50 mmHg, arterial diameter increased by 0.02 ± 0.02 cm (relative 4%; P=0.01). In contrast, no diameter changes were evident following exposure to $P_{ET}O_2$ of 75 mmHg (P=0.80; Table 2).

279

280 Compared to normoxia, independent of the level of hypoxic stimulus, significant main effects were evident for reductions in baseline peak blood velocity $(-3.6 \pm 2.9 \text{ cm} \cdot \text{s}^{-1})$; [relative $\sim -42\%$] 281 P<0.01), baseline peak blood flow (-13.2 \pm 9.3 ml·min⁻¹ [relative, ~-39%; P=0.01], baseline 282 283 mean SR (-37 \pm 12 s [relative, ~-43%; P<0.01], baseline antegrade SR (-24 \pm 7 s [relative, ~ -284 21%; P=0.01], and an increase in baseline retrograde SR (+ -13 ± 5 s [relative, ~ +48%; P=0.01) 285 and oscillatory shear index (+ 0.1 ± 0.0 [relative, ~+54%; P=0.01; Table 2 and Figure 1). A 286 significant interaction between intervention (normoxia vs. hypoxia) and experimental condition (P_{ET}O₂ 75 mmHg trial vs. P_{ET}O₂ 50 mmHg trial) was evident for baseline retrograde SR and 287 288 oscillatory shear index (P ≤ 0.01); the intervention change following exposure to P_{ET}O₂ 50 mmHg 289 was greater (retrograde SR: $+18 \pm 9$ s; oscillatory shear index $+0.12 \pm 0.0$) than exposure to 290 $P_{ET}O_2$ 75 mm Hg (retrograde SR: +-8 ± 2 s; oscillatory shear index: 0.07 ± 0.0; P \leq 0.03; Figure 291 1).

292

293 Effect of hypoxia on brachial artery FMD (n=12)

A significant interaction between the experimental intervention and experimental condition was evident for FMD (P<0.01; Figure 2 A). Compared to normoxia, FMD was significantly reduced 296 following exposure to $P_{ET}O_2$ 75 mmHg (-1.1 ±1.1% [relative, ~ -17%]); P=0.005) and $P_{ET}O_2$ 50 297 mmHg $(-3.1 \pm 1.7 \%$ [relative 45%]; P<0.01); the decline in FMD was greater following exposure 298 to $P_{ET}O_2$ 50 mmHg by 2 ±1 % [relative 63%; P<0.01]. A significant main effect for intervention 299 was evident for SR_{AUC} (P=0.01). Here, compared to normoxia, SR_{AUC} (25855 \pm 9699 AUC) was 300 reduced following hypoxia exposure (19441 \pm 10386 AUC) independent of hypoxic stimulus 301 (Table 2). Following allometric scaling of FMD and accounting for the decline in SR_{AUC} as a 302 covariate, a significant interaction between experimental intervention and experimental condition 303 was evident (P<0.01). Compared to normoxia, FMD was significantly reduced following 304 exposure to P_{ET}O₂ 75 mmHg (-0.5% [relative -8%]), but the decline following the 30 minutes 305 exposure to P_{ET}O₂ 50 mmHg was greater (-2.1% [relative, ~-32%]; Figure 2B).

306

307 Effect of hypoxia on brachial artery GTN (*n*=8; Table 3)

308 One-way ANOVA revealed that GTN-induced dilation was reduced following hypoxic exposure 309 (P=0.01); Figure 3A). Compared with normoxia, GTN-induced dilation was significantly 310 decreased following exposure to $P_{ET}O_2$ 75 mmHg (-2.1 ± 2.5 % [relative -12%] and $P_{ET}O_2$ 50 311 mmHg (-4.2 \pm 4.0 % [relative -25%]). The decline with P_{ET}O₂ 50 mmHg was greater than the 312 decline observed with $P_{ET}O_2$ 75 mmHg by 2.1 ± 2.6 %; [relative 14%; P=0.06; Figure 3). 313 Following allometric scaling for baseline diameter, GTN-dilation was still significantly 314 decreased following exposure to P_{ET}O₂ 75 mmHg (-2.1% [relative -13%] and even more so 315 following P_{ET}O₂ 50 mmHg (-3.5% [relative -22%]; P=0.02; Figure 3B). Compared to normoxia, 316 the FMD:GTN ratio was significantly decreased following exposure to $P_{ET}O_2$ 75 mmHg (-0.05 ± 317 0.03 % [relative 11%] and $P_{ET}O_2$ 50 mmHg (-0.16 ± 0.04 % [relative 35%] P<0.01). The decline 318 in the FMD:GTN ratio was significantly greater following exposure to $P_{ET}O_2$ 50 mmHg than 319 $P_{ET}O_2$ 75 mmHg (P=0.02; Table 3).

320

321 Normoxic time-control study

Baseline MAP, HR, and SaO₂ % were 80 \pm 6 mmHg, 56 \pm 8 beats min⁻¹, 98 \pm 1 %. No 322 323 significant difference in baseline diameter was evident following 30 minutes of supine rest 324 (Table 4). Compared to baseline (pre-FMD one), however, reductions in baseline peak blood flow velocity $(-5.8 \pm 1.2 \text{ cm} \cdot \text{s}^{-1})$; [relative ~36%] P=0.01), peak blood flow $(-0.98 \pm 0.32 \text{ ml} \cdot \text{min}^{-1})$ 325 326 [relative, $\sim 37\%$; <0.01]), were evident following 30 minutes of supine rest (Table 4). Reduction 327 in baseline mean SR (~-37 \pm 8 s [relative, ~-34%]; P<0.04) and baseline anterograde SR (-32 \pm 8 328 s [relative, $\sim -27\%$]; P=0.06) were evident following FMD one and 30 minutes of supine rest, 329 retrograde SR and oscillatory SR index were not significantly changed (P=0.19; Table 4). 330 Compared to FMD one, FMD was significantly reduced following 30 minutes of supine rest by -331 0.62 ± 0.28 % (relative, ~ -8.4%; P=0.02). No significant difference in FMD SR_{AUC} was evident 332 between the two FMDs (P=0.13; Table 4). Following allometric scaling of FMD, where baseline 333 diameter and SR_{AUC} were considered as a covariate, the decline in FMD following 30 minutes of 334 supine rest still evident (P=0.05, Figure 4).

335

336 Discussion

The primary aim of study one was to examine the acute effects (<60 minutes) of a mild ($P_{ET}O_2$ 75 mm Hg; ~2000m) and moderate ($P_{ET}O_2$ 50 mm Hg; ~5000m) isocapnic normobaric hypoxic stimulus on the NO-vasodilator system via the assessment of FMD and GTN-induced dilation in the brachial artery. The novel findings were: 1) Compared to normoxia, FMD and GTN-induced 341 dilation were reduced following moderate hypoxia and, to a lesser extent, following mild 342 hypoxia. 2) FMD SR_{AUC} was reduced during both moderate and mild hypoxic conditions; 343 however, when the decline in FMD was corrected for the decline in SRAUC, the decline in FMD 344 with mild and moderate hypoxia were attenuated. 3) Following exposure to both mild and 345 moderate hypoxia there was a decline in baseline blood flow and anterograde SR, and an 346 increase in retrograde SR. The increase in retrograde SR was greater during moderate hypoxia. 347 The main findings of the normoxic time-control study were that baseline blood flow and blood 348 flow velocity along with FMD were all reduced following 30 minutes of supine normoxic rest. 349 Such findings indicate that 30 minutes of recovery time for repeated FMD assessments is 350 insufficient. Based on these findings, the following discussion outlines putative mechanisms that 351 likely underpin hypoxia-induced declines in vascular function, including: 1) methodological 352 considerations of repetitive FMD testing and data interpretation; 2) hypoxic-induced declines in 353 FMD SR_{AUC}; 3) an increase in oscillatory shear; and 4) impaired endothelial function and 354 smooth muscle vasodilation.

355

356 Methodological considerations of repetitive FMD testing: The initial finding of this study 357 revealed an acute decline in FMD following 30-minutes of isocapnic hypoxia, which appears to 358 be dependent on the severity of the hypoxic stimulus. FMD was reduced by (relative) ~17% and 359 ~45% following 30 minutes of mild (P_{ET}O₂ 75 mm Hg, SaO₂ 93%) and moderate (P_{ET}O₂ 50 mm 360 Hg, Sa0₂ 83%) hypoxia, respectively. The SR_{AUC} component of the FMD provides an estimation 361 of the shear stress stimulus created upon cuff release, which ultimately provokes the production 362 and release of NO from the endothelium. In both hypoxic trials, FMD SRAUC decreased by ~25%, a finding which was not evident in our normoxic time-control trial. Although not 363

364 statistically significant, SRA_{UC} has previously been reported to be reduced by ~21% following 365 60-minutes of hypoxia, and appears to recover to pre-hypoxic levels following ~6-hours of 366 hypoxic exposure (Lewis *et al.*, 2014). When we accounted for the decline in FMD SR_{AUC} in our 367 covariate analyses, we found the relative decline in FMD with mild hypoxia (-17% to -8%) and 368 moderate hypoxia (-45% to -32%) was attenuated by \sim 9%. These results suggest the decline in 369 FMD with hypoxia is partly due to a decline in FMD SR_{AUC}. Although the mechanisms 370 influencing the decline in FMD SR_{AUC} with acute hypoxia are unknown, we speculate the 371 possibility that forearm sympathetic constraint following hypoxic (Weisbrod et al., 2001) 372 exposure may have hindered the ischemic response to FMD cuff occlusion, and resulted in a 373 lower reactive hyperemic response on cuff release. Nevertheless, as discussed next, other 374 mechanism(s) also appear to affect the decline in FMD with moderate hypoxia.

375

376 A strength of our study was that we conducted a normoxic time-control trial to rule out any 377 influence of repetitive FMD testing. Had we not have done this control, we would have falsely 378 concluded a major influence of mild hypoxia on FMD. Our data indicates that a repeated 379 assessment of FMD following 30 minutes of supine rest is reduced by 8%. Although not 380 mentioned in the recent FMD guidelines (Thijssen et al., 2011), the original International 381 Brachial Artery Reactivity Task Force (Corretti et al., 2002) states that at least 10 minutes of 382 supine rest is needed after reactive hyperemia before another assessment is conducted. More 383 recently, it was reported that repeated measures of FMD in the brachial artery may be taken after 384 a minimum of 5 minutes or as soon as the vessel has returned to its baseline diameter (Barton et 385 al., 2011). In light of these studies, 30 minutes of supine rest between FMD assessment in the 386 current studies should have been conservative recovery period, especially since baseline arterial

diameter was unchanged in the time-control study or following mild hypoxia exposure. Arterial diameter was larger following moderate hypoxia exposure; however, this was likely due to the effect of moderate hypoxia and has been accounted for in our interpretations of the data (allometric scaling). In summary, at least in our experimental study with a highly experienced (>1000 FMD tests and established high reducibility) vascular scanner it appears 30 minutes of recovery for repeated FMD assessments is insufficient, and should be considered in future research.

394

395 Given that the relative decline in FMD following mild hypoxia exposure (-8%) was comparable 396 to that seen in the time-control study it is likely that the decline in FMD following acute mild 397 exposure was due to lasting effect of the baseline (normoxic) FMD assessment. Nevertheless, 398 even if we consider the 8% decline in FMD due to the negative impact of repeated measures, a 399 relative decline of 24% in FMD is still present following moderate hypoxia. We have previously 400 (Lewis *et al.*, 2014) documented a \sim 28% decline in FMD following 60-minutes of normobaric 401 hypoxia (FIO₂=0.11; SaO₂ 79%), supporting the current findings of an acute impairment in FMD 402 following moderate hypoxia.

403

Alterations in baseline blood flow and oscillatory shear patterns: Pre-FMD baseline blood flow velocity and blood flow were reduced by ~42% and ~39%, respectively, following hypoxic exposure. Declines in brachial artery blood flow (-11%) and blood flow velocity (-2%) have previously been reported following 10 minutes of moderate hypoxia (FIO₂ 0.12) (Iwamoto *et al.*, 2015). Given that the decline in blood flow velocity / blood flow was comparably reduced following hypoxic exposure (39-42%) and in our normoxic time control trial (~36-37%)), it is 410 possible long lasting effects of forearm ischemia from the baseline FMD may have altered blood 411 velocity hemodynamics and explain this decline in blood flow prior to repeated assessment of 412 FMD. The topics require further investigation as it clearly have important methodological 413 considerations in the design of related vascular function experiments.

414

415 Significant change in baseline SR patterns were evident with hypoxia, with a decrease in 416 antegrade SR (~21%) and an increase in retrograde SR (~48%), and oscillatory shear index 417 (~54%). No significant changes in SR patterns were evident in the normoxic time-control study, 418 therefore, it appears the alteration in SR were an effect of hypoxia. This is supported by others 419 who have reported an increase in retrograde SR (>39%) and oscillatory shear index (>35%)420 following 10 minutes of hypoxia (FIO₂ 0.12) (Iwamoto et al., 2015; Katayama et al., 2016). 421 Although hypoxia causes net vasodilation (Heistad & Wheeler, 1970), sympathetic excitation 422 within 5-10 minutes of isocapnic hypoxia (SaO₂ 85%) exposure has been shown to mask the 423 vasodilation effects of hypoxia in the resistant vessels of the forearm (Weisbrod *et al.*, 2001; 424 Weisbrod *et al.*, 2004). Additionally, acute excitation and elimination of sympathetic nerve 425 activity on forearm vascular resistant has been shown to increase and reduce retrograde and 426 oscillatory SR patterns respectively, in the brachial artery (Thijssen et al., 2009; Padilla et al., 427 2010; Casey et al., 2012; Padilla et al., 2014). Therefore, it is possible that heightened 428 sympathetic vasoconstrictor activity with acute hypoxia (Dinenno *et al.*, 2003) and subsequently 429 hypoxic vasodilation constraint in the forearm (Weisbrod et al., 2001) could have increased 430 downstream resistance vessel tone, and altered SR blood flow patters.

432 The increase in retrograde and oscillatory SR patterns in the current study was significantly 433 larger following exposure to moderate vs. mild hypoxic exposure. Acute and progressive 434 increases in baseline retrograde and oscillatory SR patterns in the brachial artery have been 435 shown to elicit a dose-dependent impairment in brachial FMD (Thijssen et al., 2009). 436 Furthermore, graded reductions in hypoxia have been shown to elicit a graded increase in MSNA 437 (Rowell et al., 1989), and graded increase in MSNA have been was associated with an 438 incremental increase in retrograde and oscillatory SR patterns (Padilla et al., 2010). Therefore, it 439 is possible that a greater increase in SNA with moderate hypoxic exposure possibly explains the 440 larger increase in retrograde and oscillatory SR patterns in this condition and the significant 441 impairment in FMD, this concept warrant future investigation.

442

Impaired vascular smooth muscle and endothelial vasodilation: The GTN-induced dilation in 443 444 the current study was reduced by (relative) ~13% and ~22% following 60-minutes of mild and 445 moderate hypoxia, respectively. We have previously reported a decline (relative: $\sim 14\%$) in GTN-446 induced dilation following 3-days at 5050m (Lewis et al., 2014); however, as far as we are 447 aware, this is the first report of acute effects of hypoxia on GTN-induced vasodilation. Given 448 that the assessment GTN-induced dilation represents vascular smooth muscle cell sensitivity to 449 NO (Corretti et al., 2002; Maruhashi et al., 2013), the findings of the current study supports the 450 notion of impairment in vascular smooth muscle function following hypoxic exposure (Lewis et 451 al., 2014). This reduction in GTN-induced dilation undoubtedly influence the impairment 452 observed in FMD responses, especially following moderate hypoxia. However, currently what 453 level of impairment in smooth muscle function is required to hinder upon FMD measures is 454 currently unknown.

455 The acute decline in FMD following 60-minutes of normobaric hypoxia has previously been 456 shown to be partially reversed following an α 1-adrenoreceptor blockade, suggesting sympathoexcitation is one of the mechanisms by which FMD is impaired following acute 457 458 hypoxic exposure (Weisbrod et al., 2004; Lewis et al., 2014). Although the effect of hypoxic-459 induced sympathoexcitation on the acute impairment in GTN-induced dilation has not been 460 reported, it is likely a key mechanism for reductions in FMD (Saito et al., 1988; Rowell et al., 461 1989) i.e., via increasing vascular smooth muscle tone and impairing vascular smooth muscle 462 cell ability to relax in response to NO.

463

464 Previous work has reported a $\sim 20\%$ increase in muscle sympathetic nerve activity (MSNA) 465 following 5-minutes of isocapnic hypoxia (FIO₂=0.10; SaO₂ 82%) (Somers et al., 1988). 466 Moreover, Rowell et al., (1989) reported an inverse relationship between graded reductions in 467 FIO₂ and elevations in MSNA. For example, after 20-minutes of hypoxia at FIO₂ 0.12 and FIO₂ 468 0.10, MSNA was elevated by ~90% and 250%, respectively (Rowell et al., 1989). The duration 469 that MSNA remains elevated during an acute hypoxic insult, and its potential effect on GTN-470 induced dilation and FMD are currently unknown and warrant investigation. Furthermore, since 471 the magnitude of hypoxic-induced elevations in MSNA seems to be dependent on the severity of 472 the hypoxic stimulus, this could also explain why the degree of FMD and GTN-induced dilation 473 impairment were larger following the moderate hypoxic exposure compared to the mild hypoxic 474 exposure in the current study. Future studies combining MSNA measures with and without SNA 475 blockade are needed to clearly test this hypothesis.

When we corrected our assessments of FMD with the changes in GTN induced dilation with hypoxia, we found that FMD-to-GTN% was decreased by $\sim 35\%$ from normoxia moderate hypoxic exposure. The FMD-to-GTN ratio represents global NO-dependent vasodilator function (Spence *et al.*, 2013; Lewis *et al.*, 2014); thus, following 30-minutes of moderate hypoxia it appears the decline in FMD is partly due to endothelial dysfunction in addition to vascular smooth muscle dysfunction.

483

484 Implications

485 It has been estimated that a 1% absolute reduction in FMD is associated with a 9% increase in 486 cardiovascular disease risk (Green et al., 2012); thus, 2% absolute decline in FMD with 487 moderate isocapnic Keephypoxia in the current study is potentially associated with an elevation 488 in cardiovascular disease risk. This may potentially have some health implications for 489 individuals acutely exposed to moderate hypoxia, such as Heli hikes / skiing activities. Acute 490 impairment in smooth muscle dilation may potentially have implications for individuals exposed 491 to mild and moderate hypoxia during air travel. Medical issues during air travel are estimated at 492 about 350 per day worldwide, and currently aircraft carrying passengers are pressurized and 493 maintain a cabin altitude between 1525m to 2438 m (Sohail & Fischer, 2005). One study 494 investigated the change in SpO₂ levels in healthy flight-crew members during 22 scheduled 495 flights, and found mean SpO₂ nadir levels fell from 97% (preflight) to 88.6% at cruising altitude 496 (Cottrell et al., 1995). Therefore, air travel has the potential to exacerbate risk for passengers 497 with underlying cardiovascular conditions, and increase the risk of medical events. Furthermore, 498 although factors in addition to the mild hypoxemia likely also play a role (e.g. diet, shift work,

sleep patterns) flight attendants have a 3.5 fold increase risk of developing cardiovascular disease
compared to the general public (McNeely *et al.*, 2014).

501

502 Conclusion

503 In light of the methodological effects of repetitive FMD testing, there does not appear to be an 504 impairment in endothelial function following mild hypoxia exposure. However, there is 505 significant endothelial impairment following moderate hypoxic exposure and our data indicate 506 that this impairment is potentially influenced by adverse changes in SR patters and increase in 507 oscillatory SR with graded increases in hypoxia. Graded impairments in smooth muscle cell 508 sensitivity to NO is evident following mild and moderate hypoxia, and has important 509 implications for individuals acutely exposed to mild and moderate hypoxia, especially those with 510 cardiovascular risk factors.

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647	Additionally Information
648	Author Contribution: 1) Conceived and designed research; 2) Performed experiments,
649	Analyzed data, Interpreted results of experiment; 3) Drafted manuscript, Edited and revised
650	manuscript for important intellectual content; 4) Approved final version of Manuscript. 5)
651	Agreed to be accountable for all aspects of the work. 6) Qualify for authorship.
652	
653	Lewis NCS: 1,2,3,4,5,6; Bain AR: 2,3,4,5,6; Wildfong K: 2,3,4,5,6; Green DJ: 3,4,5,6 Ainslie
654	PN : 1,2,3,4,5,6
655	

Grants: Philip N. Ainslie was supported by a Canadian Research Chair in Cerebrovascular
Physiology and a Natural Sciences and Engineering Research Council Discovery Grant. Nia C.S
Lewis is a postdoctoral research fellow supported by Philip N. Ainslie Canadian Research Chair.
Anthony. R. Bain was funded by the Natural Sciences and Engineering Research Council of
Canada.

- 662 **Disclosures & Competing Interests:** There is no competing of interests or disclosures.
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666 Figure Captions

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Figure 1: Effect of normoxia (baseline) and acute isocapnic hypoxia ($P_{ET}O_2$ 75 mmHg and P_{ET}O₂ 50 mmHg) on pre-FMD baseline shear rate (SR) patterns and oscillatory shear index. * Significant main effect for intervention (normoxia vs hypoxia), P=0.01. † Significant interaction between intervention and condition, P=0.04, the increase from normoxic baseline in retrograde SR and oscillatory shear index with hypoxia was greater in the P_{ET}O₂ 50 mmHg trial compared to P_{ET}O₂ 75 mmHg.

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Figure 2: The effect of normoxia and acute isocapnic hypoxia ($P_{ET}O_2$ 75 mmHg and $P_{ET}O_2$ 50 mmHg) on FMD. A) Uncorrected and B) corrected for significant changes in baseline arterial diameter and shear rate area under the curve. * Significant main effect for intervention

678	(normoxia vs hypoxia), P<0.01. † Significant main effect for condition ($P_{ET}O_2$ 75 mmHg vs					
679	$P_{ET}O_2$ 50 mmHg), P<0.01. ‡ Significant interaction between intervention and condition, P<0.01.					
680						
681	Figure 3: The effect of normoxia and acute isocapnic hypoxia ($P_{ET}O_2$ 75 mmHg and $P_{ET}O_2$ 50					
682	mmHg) on GTN dilation; A) uncorrected and B) corrected for significant changes in pre-GTN					
683	arterial diameter. * Significant main effect for intervention (normoxia vs hypoxia), P=0.05. †					
684	Significant main effect for condition (P _{ET} O ₂ 75 mmHg vs P _{ET} O ₂ 50 mmHg), P<0.01. ‡					
685	Significant interaction between intervention and condition, P=0.01.					
686						
687	Figure 4: Mean and SD uncorrected and corrected (for baseline arterial diameter and shear rate					
688	area under the curve). * (paired t-test) † (linear mix model) Post 30-min significantly different					
689	from baseline; $P \leq 0.05$.					
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705 Tables

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707	Table 1: Effect of normoxia and acute isocapnic hypoxia (P _{ET} O ₂ 75 mmHg and P _{ET} O ₂ 50
708	mmHg) on cardiorespiratory variables.
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Experimental Condition	P _{ET} O ₂ 75 mmHg		$P_{ET}O_2$ 50 mmHg		
Experimental Intervention	Normoxia	Нурохіа	Normoxia	Нурохіа	
Ventilation (L·min)	12.4 ± 2.7	15.1 ± 3.8	12.8 ± 2.1	22.3 ± 6.7	* † ‡
$P_{ET}O_2(mmHg)$	92.0 ± 4.7	74.0±1.6	92.6 ± 5.8	50.0 ± 2.8	* † ‡
P _{ET} CO ₂ (mmHg)	40.8 ± 2.2	40.9±2.0	41.3 ± 2.4	41.0 ± 2.4	
MAP (mmHg)	85 ± 14	93 ± 16	85 ± 13	90 ± 15	Ť
SBP (mmHg)	106 ± 22	108 ± 27	107 ± 27	117 ± 25	Ť
DBP (mmHg)	64 ± 8	69 ± 8	64 ± 9	65 ± 11	1
HR (beats min ⁻¹)	58 ± 13	57 ± 12	64 ± 13	64 ± 11	* † †
SV (ml)	103 ± 27	106 ± 30	107 ± 21	106 ± 23	
CO (L·min)	5.5 ± 1.1	6.0 ± 1.3	5.6 ± 1.1	6.7 ± 1.4	† ‡
SaO2 (%)	97 ± 1	93 ± 2	97 ± 1	83 ± 3	* * *

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711 Values expressed as mean \pm SD: End-tidal oxygen (P_{ET}O₂), end-tidal carbon dioxide (P_{ET}CO₂),

712 mean arterial blood pressure (MAP), systolic blood pressure (SBP), diastolic blood pressure

713 (DBP), heart rate (HR), stroke volume (SV, n=10), cardiac output (CO, n=10), oxygen saturation 714 (SaO₂). * Significant main effect for intervention (normoxia vs hypoxia), P \leq 0.04. † Significant

main effect for condition ($P_{ET}O_2$ 75 mmHg vs. $P_{ET}O_2$ 50 mmHg), P \leq 0.04. ‡ Significant

716 interaction between intervention and condition, $P \leq 0.02$.

Experimental Condition	P _{ET} O ₂ 75 mmHg		P _{ET} O ₂ 50 mmHg		
Experimental Intervention	Normoxia	Нурохіа	Normoxia	Нурохіа	
FMD					
Baseline diameter (mm)	3.86 ± 0.73	3.85 ± 0.73	3.94 ± 0.70	4.12 ± 0.77	†‡
Baseline peak blood flow velocity (cm·s ⁻¹)	8.4 ± 4.4	5.5 ± 2.8	8.6 ± 4.9	4.4 ± 2.6	*
Baseline peak blood flow (ml·min)	66.2 ± 52.9	43.5 ± 32.5	70.7 ± 57.1	40.5 ± 34.4	*
Peak diameter (mm)	4.12 ± 0.73	4.07 ± 0.75	4.21 ± 0.71	4.25 ± 0.78	
Time to peak diameter (s)	62 ± 27	46 ± 13	57 ± 27	56 ± 31	
SR _{AUC} (AUC)	26546 ± 10249	20199 ± 9886	25163 ± 11096	18683 ± 11947	*

Table 2: Effect of normoxia (baseline) and acute isocapnic hypoxia on FMD related variables.

Values expressed as mean \pm SD: Shear rate area under the curve (SR_{AUC}); Flow mediated dilation (FMD). * Significant main effect for intervention (normoxia vs hypoxia), P<0.01. † Significant main effect for hypoxic condition (75 mm Hg vs. 50 mm Hg), P=0.01. ‡ Significant interaction between intervention and condition, P<0.001.

Experimental Condition	Normoxia	P _{ET} O ₂ 75 mmHg	P _{ET} O ₂ 50 mmHg	
GTN				
Baseline diameter (mm)	3.95 ± 0.69	3.99 ± 0.77	4.13 ± 0.72	*
Peak diameter (mm)	4.61 ± 0.73	4.54 ± 0.77	4.63 ± 0.72	
Time to peak diameter (s)	450 ± 95	472 ± 82	453 ± 62	
FMD:GTN ratio	0.45 ± 0.20	0.40 ± 0.18	0.29 ± 0.17	*

Table 3: Effect of normoxia and acute isocapnic hypoxia on GTN related variables

Values expressed as mean \pm SD: Shear rate area under the curve (SR_{AUC}); Flow mediated dilation (FMD); Endothelium-independent FMD (GTN). * Significant main effect for intervention (normoxia vs hypoxia), P<0.0

Table 4: FMD related variables prior to and following 30-minutes of supine normoxic rest.

	Baseline	Post 30-min	
Baseline diameter (mm)	4.46 ± 0.42	4.39 ± 0.39	
Baseline blood flow velocity $(cm \cdot s^{-1})$	16.0 ± 7.1	10.2 ± 5.9	*
Baseline peak blood flow (ml·min)	162 ± 106	96 ± 70	*
Baseline Mean SR (s)	111 ± 49	74 ± 42	†
Baseline Anterograde SR (s)	120 ± 46	87 ± 38	
Baseline Retrograde SR (s)	-8 ± 8	-13 ± 13	
Baseline Oscillatory SR Index	0.08 ± 0.09	0.13 ± 0.12	
Peak diameter (cm)	4.79 ± 0.35	4.69 ± 0.33	*
Time to peak diameter (s)	61 ± 22	64 ± 30	
SR _{AUC} (AUC)	30948 ± 10303	277 68± 11846	

Values expressed as mean \pm SD: Shear rate area under the curve (SR_{AUC}); Flow mediated dilation (FMD); Shear rate (SR). * †(Wilcoxon test) Post 30-min significantly different from baseline; P<0.03.















