

Differences in throughfall and net precipitation between soybean and transitional tropical forest in the southern Amazon, Brazil.

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Abstract

The expansion of soybean cultivation into the Amazon in Brazil has potential hydrological effects at local to regional scales. To determine the impacts of soybean agriculture on hydrology, a comparison of net precipitation (throughfall, stemflow) in undisturbed tropical forest and soybean fields on the southern edge of the Amazon Basin in the state of Mato Grosso is needed. This study measured throughfall with troughs and stemflow with collar collectors during two rainy seasons. The results showed that in forest 91.6% of rainfall was collected as throughfall and 0.3% as stemflow, while in soybean fields with two-month old plants, 46.2% of rainfall was collected as throughfall and 9.0% as stemflow. Hence, interception of precipitation in soybean fields was far greater than in intact forests. Differences in throughfall, stemflow and net precipitation were found to be mainly associated with differences in plant structure and stem density in transitional forest and soybean cropland. Because rainfall interception in soybean fields is higher than previously believed and because both the area of cropland and the frequency of crop cycles (double cropping) are increasing rapidly, interception needs to be reconsidered in regional water balance models

when consequences of land cover changes are analyzed in the Amazon soybean frontier region. Based on the continued expansion of soybean fields across the landscape and the finding that net precipitation is lower in soy agriculture, a reduction in water availability in the long term can be assumed.

Keywords: throughfall, net precipitation, stemflow, soybean, tropical forest

1 Introduction

The widespread clearing of tropical forest for agriculture has profound effects on the water budget of the land surface and the amount of rainfall returned to the atmosphere by evaporation and transpiration. Changes in leaf area, albedo, surface temperature and latent heat flux as well as different water use strategies by tropical forest trees compared with pasture grasses or crops lead to changes in evapotranspiration (Pongratz et al., 2006). In the Amazon Basin, evapotranspired (recycled) water accounts for 25 to 50% of precipitation (Eltahir and Bras, 1994; Hutya et al., 2005; Marengo, 2006). As much as one-third of this recycled water originates from water intercepted from vegetation surfaces (Lawrence et al., 2007). Because of the decrease in the atmospheric transport of water vapor into the Amazon Basin (Costa and Foley, 1999), internally recycled precipitation is even more important and detailed knowledge of the interception capacities of dominant land cover types in the Amazon region is needed for water budget calculations (Czikowsky and Fitzjarrald, 2009). Large-scale land-use changes are accompanied by alterations in regional climate and the hydrological cycle in the Amazon basin (Marengo, 2006). Quantitative information about net precipitation, or the fraction of precipitation remaining after evaporation from surfaces, is needed to assess the consequences of deforestation and agricultural expansion on water budgets and for the modeling of regional water balances.

Exemplary for the southern Brazilian Amazon also known as the "Arc of Deforestation", in the state of Mato Grosso the expansion rate of soybean cropland has outpaced the expansion rate for pasture on deforested areas (Morton et al., 2006). In Mato Grosso soybean production doubled in area from 3.1 million ha to 6.2 million ha between 2000 and 2010 (CONAB - Companhia Nacional de Abastecimento, 2011). Most expansion of soybean cultivation occurred on land that was already deforested and used as pasture (Brown et al., 2005; Macedo et al., 2012). While the hydrological consequences of conversion of Amazon forest to pasture received considerable attention (De Moraes et al., 2006; Germer et al., 2010a; Hasler and Avissar, 2007), the hydrological effects of soybean cropland are less well known and deserve increasing attention because of the rapid expansion of soybean cropland area. Recent large scale climate and biosphere model studies of climate response to the expansion of cropland in the southern Amazon (Costa et al., 2007; Pongratz et al., 2006; Sampaio et al., 2007) concluded that conversion of forest to soybean yields an increase in near surface temperature and a decrease in evapotranspiration and precipitation due to a higher albedo and cloud-radiative feedbacks. Nevertheless, to strengthen the credibility of such models additional field experiments are needed.

Throughfall studies in soybean plantations are sparse (Bo et al., 2008; Lull, 1964; Savabi and Stott, 1994; Zhang et al., 1999) and uncertainties in the total water input and the role of stemflow are huge (Lilienfein and Wilcke, 2004). In addition, most of what is known about throughfall, stemflow, and net precipitation in the Amazon forest is based on studies in the northern (Ubarana, 1996; Tobón Marin et al., 2000; Klinge et al., 2001; Oliveira et al., 2008), western (Elsenbeer et al., 1994; Ubarana, 1996; Germer et al., 2010a, 2006), and central Amazon Basin (Lloyd and de O. Marques Filho, 1988; Cornu et al., 1998; Cuartas et al., 2007; Czikowsky, 2009) and floodplain forest (Filoso et al., 1999). Although a few studies were conducted in the cerrado (forest savanna) (Lima and Nicolielo, 1983; Lilienfein and Wilcke, 2004) and in a dry forest (Jetten, 1996), the site of the most intense conversion of

forest to soybean is the transitional forest-cerrado ecotone of the southern Amazon Basin which occupies an area of 414,000 km² (Marimon et al., 2006; WWF, 2012) and has yet to receive due attention. Transitional forest is characterized by a dense understory as well as lower species diversity, tree height and leaf area index (LAI) than the *Terra Firme* forest (non flooded tropical forest) of the Amazon Basin (Balch et al., 2008; Ivanauskas et al., 2004; De Oliveira and Mori, 1999).

The goal of this paper is to quantify the amount of net precipitation in transitional forest and soybean fields. Based on observations of throughfall and stemflow over two rainy seasons, implications of hydro-meteorological consequences were drawn. Furthermore, this study aims to contribute to the discussion of ecological cost of the intensification of agriculture due to soybean expansion and double cropping.

2 Materials and methods

2.1 Study sites

The study area is located in the northeast of Mato Grosso, Brazil at Tanguro Ranch in the municipality of Querencia (13°04'35.39'' S, 52°23'08.85'' W, Figure 1), 75 km north of Canarana. The ranch lies 370 m above sea level on Tertiary sediment plain, covered by Oxisols (Latosolo Vermelho according to the Brazilian classification) (RADAM, 1981). Land use at the ranch during the duration of the study was dominated by transitional forest and soybean (*Glycine max*) plantations in roughly equal areas (Figure 1). The climate is classified as tropical wet and dry (Aw, Köppen, 1936) with a rainy season from September to the end of April accounting for 95.6% of the mean annual precipitation (MAP). In addition to the seasonal changes in mean monthly accumulated precipitation, there are strong differences between the rainy and dry season in terms of the daily minimum, average, and maximum monthly temperatures as well as the daily average relative humidity (Table 1). In the period

between 1987 and 2008 the MAP recorded at Tanguro Ranch was 1884 mm. The mean annual temperature was 25.4 °C (2004 – 2010).

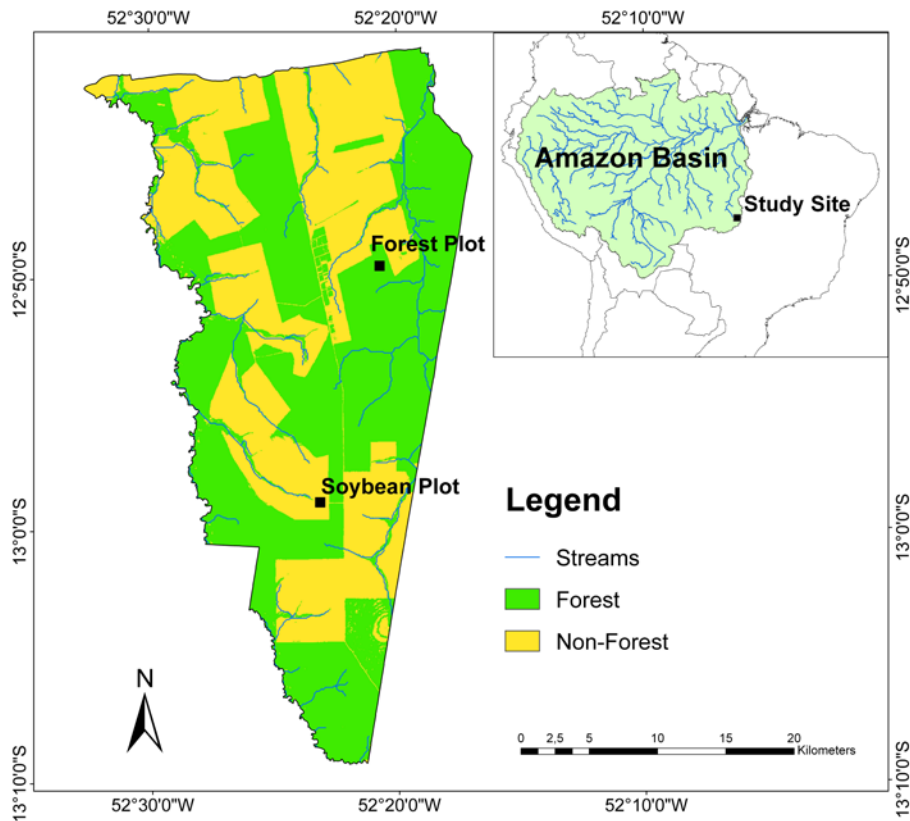


Figure 1: Land cover of the Tanguro Ranch based on a Landsat Thematic Mapper satellite image from June 23rd 2001 (classified by Paul Lefebvre). Non-Forest area was recorded as soybean cropland by farm records from 2008.

Table 1: Summary of monthly precipitation, minimum, average and maximum temperature and mean relative humidity measured at Tanguro Ranch.

	Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sep.	Oct.	Nov.	Dec.
Precip. mean [mm] ^a	349	299	267	143	31	4	1	4	44	175	260	308
Temp. Min. [°C] ^b	21.6	21.8	21.6	21.1	18.9	16.3	15.5	15.8	18.6	22.0	21.9	21.7
Temp. avg. [°C] ^b	25.7	25.3	25.1	25.4	25.1	24.3	24.4	25.6	27.2	26.7	25.7	24.7
Temp. max. [°C] ^b	31.6	31.0	30.7	32.0	32.5	33.3	33.9	35.4	36.1	33.1	31.4	29.6
Rel. Hum. mean [%] ^b	84.2	88.5	86.0	83.3	74.4	65.4	58.4	51.0	51.4	73.3	82.4	88.0

^a Mean precip. 1987-2008, manual daily measurements provided by Grupo A. Maggi.

^b Mean based on daily values, measured at Tanguro Ranch, Darro climate station from 2004-2010 (data from IPAM). Only month having no more than 5 missing days were used for calculation.

2.2 Sampling

In the forest, a 1 ha plot was established where all the sampling was conducted. Because of the regular structure of the soybean fields, two plots of 10 x 10 m were randomly placed in the 2008-2009 rainy season and one plot in the 2009-2010 rainy season. All plots, forest and soybean, were respectively 430 m and 170 m from the forest boundary to avoid edge effects. Soybeans were planted on 20 December 2008 and 3 December 2009 and harvested on 11 April 2009 and 6 April 2010, respectively (growth period of 113 d and 124 d, respectively). To ensure throughfall was monitored under conditions of similar canopy cover and plant height, measurements in the soybean fields were conducted between 50 and 100 days after emergence. While throughfall was monitored in both rainy seasons (2008-2009 and 2009-2010) stemflow was measured only in the rainy season of 2009-2010 (Table 2). All samplers were measured and emptied on a daily basis.

Table 2: Sampling periods of throughfall and stemflow in the forest and soybean site during the rainy season 2008/2009 and 2009/2010.

		2008/09		2009/10	
		date	# evt.	date	# evt.
Forest	Throughfall	26. Oct.-07. Apr.	28	01. Nov.-23. Mar.	37
	Stemflow	---	---	06. Feb.-23. Mar.	22
Soybean	Throughfall	24. Feb.-27. Mar.	20	22. Jan.-09. Mar.	24
	Stemflow	---	---	22. Jan.-09. Mar.	18

2.3 Vegetation characteristics

The 1 ha forest plot represented an old-growth undisturbed transitional forest. Diameter at breast height (dbh, 130 cm above the ground) of all trees and lianas ≥ 5 cm dbh was measured to describe forest structure. In a nearby transitional forest site (approximately 30 km away) Balch et al. (2008) determined the mean canopy height of trees ≥ 40 cm dbh was 20 ± 1 (SE) m. Following the procedure described by Balch et al. (2008) the leaf area index (LAI) was measured using two LAI-2000 Plant Canopy Analyzers in differential mode

(LI-COR Inc., Lincoln, NE, USA; Welles, 1990). LAI measurement series were made five times during the rainy season of 2008-2009 (Sep, Nov, Dec, Mar, Apr).

At the Tanguro Ranch soybean was planted at a 0.5 m row spacing with approximately 10 plants per m, resulting in a density of 27 plants per m². In the 2008-2009 rainy season the LAI was measured 74, 81, 86, 94 and 100 days after emergence in the soybean plot 1 using two LAI-2000 Plant Canopy Analyzers.

2.4 Throughfall and gross precipitation

The throughfall collectors were 0.15 x 1.50 m PVC troughs with a collection surface of 980 cm² (Germer et al., 2006). In the forest, 13 collectors were randomly distributed and placed 1 m above the ground. To avoid evaporation, the collected water drained into 20 L plastic canisters. Collected water was measured with graduated cylinders. During the rainy seasons of 2008-2009 and 2009-2010 64 throughfall measurements (events) were captured in the forest.

In the soybean field, collectors of the same design were placed on the ground between and across the rows (Figure 2), with the surface of the troughs 20 cm above the ground. Four such collectors were placed in each of the two soybean plots in the 2008-2009 season, and eight were placed in the single plot in 2009-2010. Because of the short growing season of soybean only 44 rainfall events were sampled during the two seasons.

Gross precipitation was collected by a reference trough at a distance of 430 m from the measurement plot in the forest site and 20 m from the forest boundary. In the soybean field the reference gauge was located directly in each of the measurement plots above the canopy (Figure 2). To measure rainfall intensities Onset data logging rain gauges (resolution: 0.254 mm) equipped with HOBO Event Loggers (Onset Computer Corporation, Massachusetts, USA) were installed at each site. Furthermore, daily manual rainfall measurements at Tanguro

Ranch from 1987 to 2008, provided by Grupo A. Maggi, were used to calculate mean monthly rainfall.

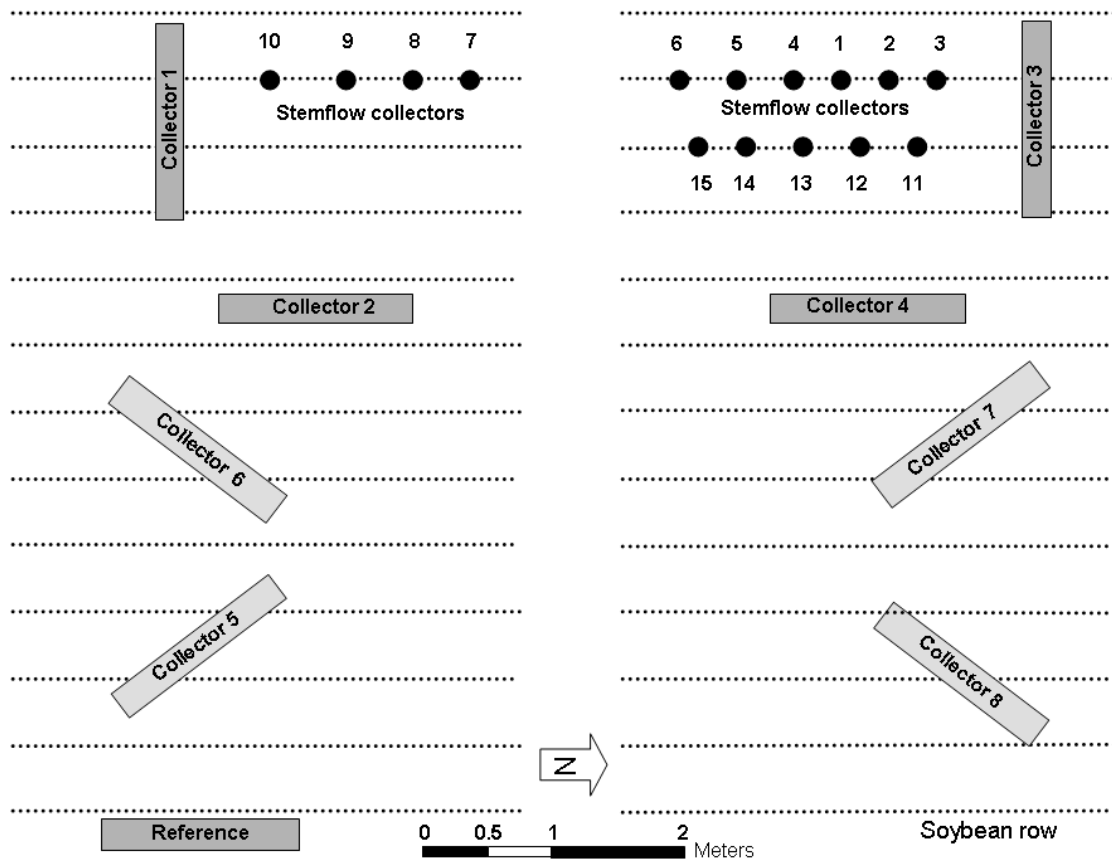


Figure 2: Arrangement of the throughfall and stemflow collectors in the soybean plots. The darker collectors represent the arrangement of the collectors in the 2008-2009 rainy season. In the 2009-2010 rainy season all collectors were used.

2.5 Stemflow

In addition to crown size, leave shape and orientation, branch angle and flow path obstructions, the wettability and thickness of bark has a substantial effect on stemflow yield (Crockford and Richardson, 1990). Thus, all the trees in the forest plot were classified as having rough or smooth bark. Both tree classes were subdivided according their dbh into classes of 5 cm - 10 cm, 10 cm - 20 cm, and ≥ 20 cm. Furthermore, lianas ≥ 5 cm dbh were measured and allocated to a single class. In each class, five randomly selected stems were

equipped with a collar type sampler (35 samples in total, from five stems in each of the seven classes). The stemflow collars consisted of a 5 cm flexible PVC strip nailed to the trunk in a downward spiral that circled the stem 1.5 times. A maximum opening of 2 cm was applied to avoid direct rain input. The collars were mounted at breast height and sealed to the stem with neutral silicone sealant. At the lower end of the collars a funnel was mounted and covered with plastic bags to avoid direct rain input. From the funnel, a hose drained the stemflow into 20 L plastic canisters. During the period of 29.01.2010 to 23.03.2010 stemflow was sampled from 27 rainfall events.

Stemflow volume of a tree class j in mm (pt_j) was calculated following the equation used by Dezzeo and Chacón (2006):

$$pt = \sum_{j=1}^n v_i / A_i * A_j / A \quad (1)$$

where n is the number of tree classes, v_i is the volume of the measured stemflow in liters of a individual tree i , A_i is the basal area of the measured tree in m^2 and A_j is the basal area of all the trees of the class the sampled tree belongs to within the plot (A) in m^2 .

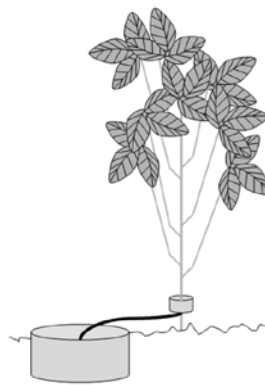


Figure 3: Experimental set-up of stemflow measurements on soybean plants using the collar method.

In the soybean field, stemflow was measured with 15 collar collectors. Flexible 3 cm plastic strips were wrapped around the stems to create small funnels fixed with duct tape and sealed

with neutral silicone. To drain the funnel, small hoses (5 mm diameter) were used (Figure 3). Stemflow was collected in 500 mL PVC bottles which were dug into the ground. These collar collectors were used to intercept stemflow in corn (Bui and Box Jr, 1992; Lamm and Manges, 2000). The stemflow collars affect the growth of soybeans negatively, so the collars were reinstalled on new plants at two week intervals. Nevertheless, 18 stemflow events were sampled between 22.01.2010 and 08.03.2010. Stem diameter of the sampled soybean plants was measured before installation.

2.6 Net precipitation and comparison with throughfall

For the quantification of net precipitation, the sum of throughfall and stemflow was calculated. Therefore, only precipitation events were used for which both throughfall and stemflow data were collected. Results were examined at two temporal resolutions. First, as a broader approach, all measurements during the observation period were accumulated as total throughfall, total stemflow, and total net precipitation. Second, individual events were used to compare the two land cover types with respect to throughfall, stemflow, and net precipitation.

Furthermore, the mean annual intercepted rainfall of forest, as the difference between net and gross precipitation (MAP) was determined. Because of the short growing season of soybean, the difference between gross and net precipitation of the measured period (February and March) was calculated using mean monthly precipitation. The sum of the intercepted rainfall for March and February was assumed to be a good approximation of the annual interception in soybean.

3 Results

3.1. Vegetation characteristics

In the forest hectare plot 1392 individual stems (including 71 lianas) with $dbh \geq 5$ cm were identified (Table 3). The plot was dominated by trees and lianas with a dbh between 5

and 10 cm (57.1% of all stems). Trees of this dbh class with smooth bark are most frequent and comprised 48.4% of all stems. The cumulative basal area of all trees was 25 m² while stems \geq 20 cm dbh (179 stems) contributed 60% of the basal area. The mean stem diameter of soybean plants was 1 cm during the observation period, which summed to a basal area of 21.3 m² ha⁻¹.

Table 3: Number of stems in the 1 ha forest plot classified by diameter at breast height, type of bark and lianas as well as their cumulative basal area.

dbh [cm]	number of stems per ha			total
	5 < 10	10 < 20	\geq 20	
rough bark	57	100	43	200
smooth bark	674	311	136	1121
liana	64	7	0	71
total	795	418	179	1392

dbh [cm]	basal area [m ² /ha]			total
	5 < 10	10 < 20	\geq 20	
rough bark	0.25	1.60	2.71	4.56
smooth bark	2.67	4.63	12.78	20.08
liana	0.23	0.07	0	0.30
total	3.15	6.30	15.49	24.94

LAI measured 74, 81, 86, 94 and 100 days after emergence in the soybean field were 4.2, 4.3, 4.2, 3.7 and 3.5, respectively (Figure 4). In the forest LAI measurements conducted in Sep. 2008, Nov. 2008, Dec. 2008, Mar. 2009 and Apr. 2009 were 4.5, 5.9, 4.3, 4.9 and 4.2, respectively. Mean LAI was 4.8 (\pm 0.3, SE) in the forest vs. 4.0 (\pm 0.2, SE) in the soybean field. While in the soybean a clear decrease of LAI towards the end of the growing season was observed, in the forest no trend was found during the rainy season.

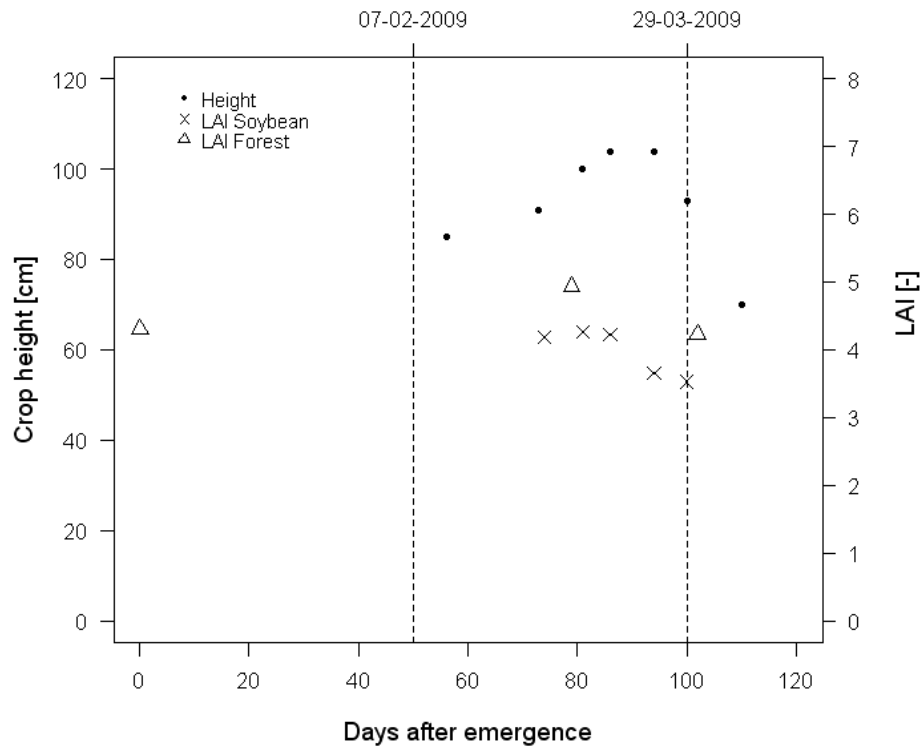


Figure 4: Soybean canopy height [cm] and LAI [-] throughout the growing season in relation to LAI [-] in the forest. The vertical lines define the period of throughfall and stemflow measurements in the soybean plots (between 50 and 100 days after emergence).

3.2 Throughfall and gross precipitation

Total gross precipitation of all events in the forest site amounted to 1314 mm, and 1203 mm of the rain was measured as throughfall (91.6%). In the soybean field 314 mm (46.2%) of the accumulated rainfall events (678 mm gross precipitation) were measured as throughfall.

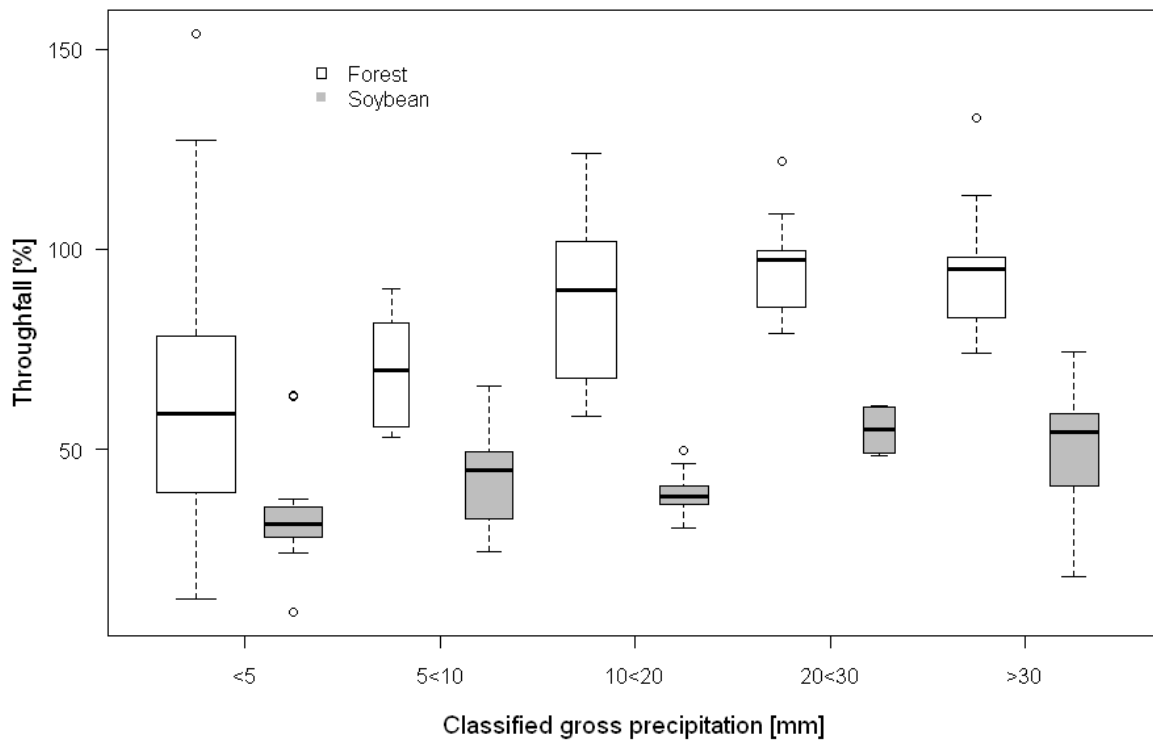


Figure 5: Throughfall [%] as a function of rainfall event size at Tanguro Ranch, Brazil showing single events summarized in gross precipitation classes. The width of the boxplots is proportional to the number of events (n) in each gross precipitation class, $n_{\text{Forest}} = 24, 4, 14, 9, 13$ and $n_{\text{Soybean}} = 13, 9, 9, 4, 9$, respectively. The bold line in the middle of the boxes represents the median of the samples. The surrounding boxes illustrate the interquartile range, while the whiskers reflect the extreme values within 1.5 times the interquartile range. Values exceeding this threshold are marked as outliers in the shape of an open circle.

The percentage of throughfall increased with the event size of precipitation in the forest more than in the soybean plots (Figure 5). Because of the distance between the measurement plot and the precipitation reference site in the forest, the spread of relative throughfall values was wider, especially during small events (< 5 mm). In the forest, 11 events produced more throughfall than gross precipitation (Figure 6), whereas in the soybean site all throughfall measurements were less than gross precipitation (Figure 6). The standard

error (SE) of the mean relative throughfall during the observation period in the soybean field (SE: 2.1) was approximately half the SE in the forest site (4.0), which reflects the low degree of change in the canopy structure of the soybean plantation during the observation period. There was no relationship between the amount of throughfall and mean rainfall intensity nor were there any temporal trends in throughfall in the forest or in the soybean field.

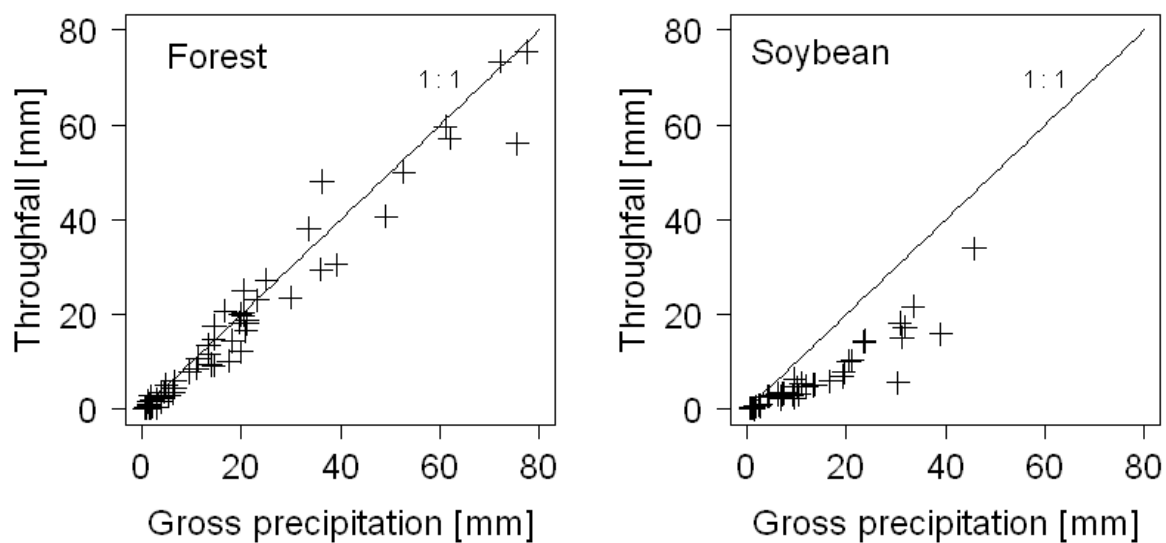


Figure 6: Throughfall events [mm] plotted against gross precipitation [mm] in the transitional forest (n = 64) and in the soybean (n = 44). A one to one line is also shown.

3.3 Stemflow

In the forest, stemflow for 27 rainfall events with a total of 385.0 mm were captured; 1.3 mm of this precipitation were collected as stemflow (0.33%). During the study period rainfall events ranging from 0.9 to 72.1 mm were measured. Precipitation events < 14 mm produced almost no stemflow, whereas the amount of stemflow increased proportionally to the amount of precipitation for events > 14 mm. Stemflow between the size and bark morphology classes differed considerably (Figure 7). Stems with smooth bark contributed the most to stemflow, and the proportion of stemflow decreased with increased stem diameter. No

significant differences in stemflow could be observed between classes of rough-barked stems or between rough-barked stems and lianas (Figure 7, 95% confidence intervals cross zero). Stemflow in the soybean field was greater than in the forest and developed even during small precipitation events. The collected rainfall events totalled 328.4 mm and ranged from 0.6 to 45.7 mm. These events produced 29.7 mm of stemflow (9.0%). The amount of stemflow varied widely in relation to gross precipitation ($R^2 = 0.37$).

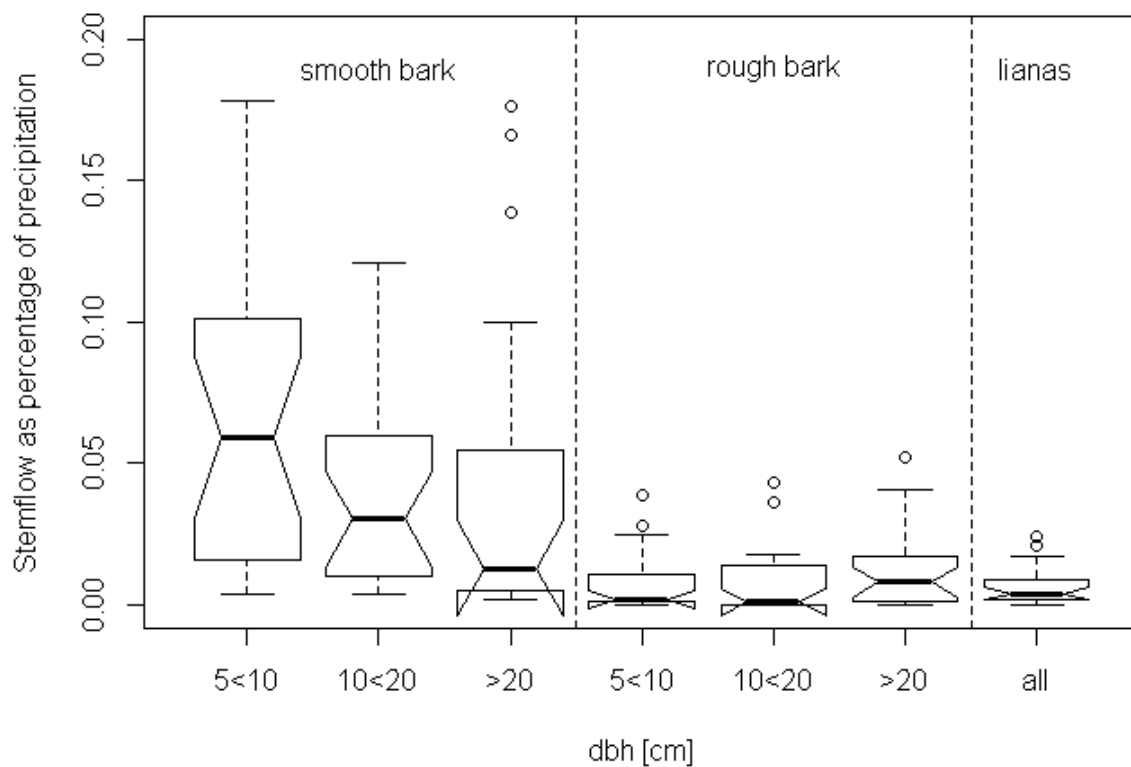


Figure 7: Stemflow as a percentage of gross precipitation in the transitional forest separated by class (n = 27).

3.4 Net Precipitation and interception

In the forest 94.2% of precipitation was measured as net precipitation, based on 27 events (throughfall and stemflow). Net precipitation in soybean was 57.2% of gross precipitation based on 18 events. By applying 1884 mm mean annual precipitation, the annual

intercepted rainfall in the forest was 109.3 mm, where interception was calculated as the difference between gross precipitation and net precipitation. In soybean fields, the intercepted rainfall was 140.5 mm during the measurement period of 2010 (46 days), which indicates an average of 3.1 mm of precipitation was lost by evaporation each day.

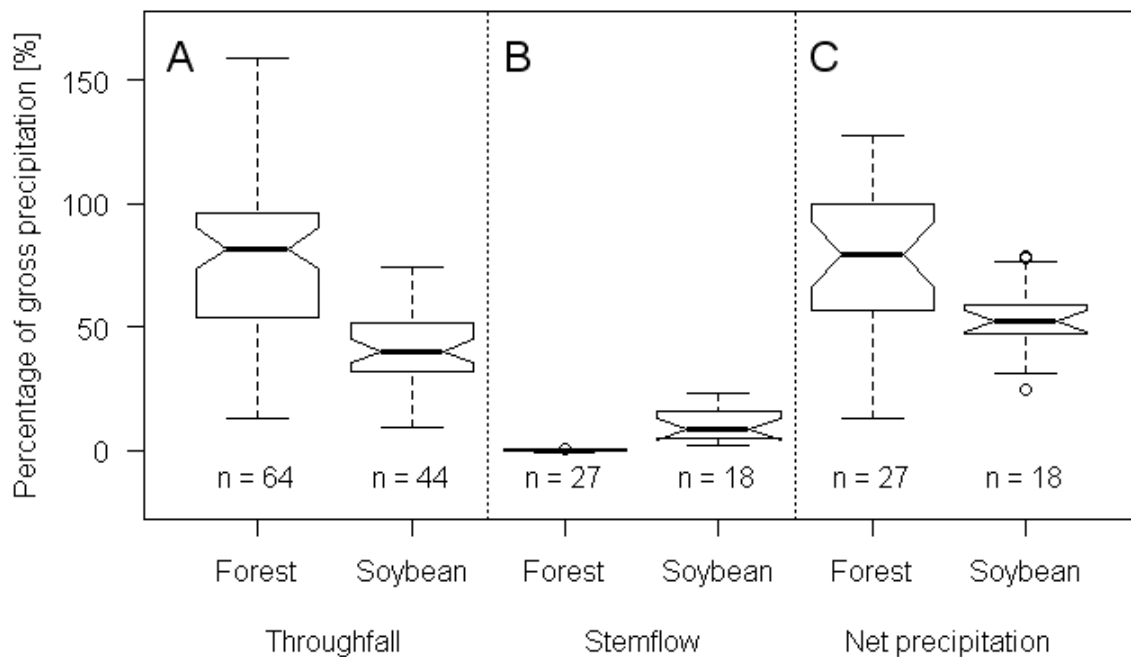


Figure 8: Throughfall (A), stemflow (B) and net precipitation (C) in forest and soybean as the percentage of gross precipitation, where n indicates the number of rainfall events sampled between 2008 and 2010 at each site. The medians of the datasets, displayed with black lines, and the 95% confidence intervals around them, illustrated by notches, do not overlap. The surrounding boxes illustrate the interquartile range, while the whiskers reflect the extreme values within 1.5 times the interquartile range. Values exceeding this threshold are marked as outliers in the shape of an open circle.

The event-based comparison of stemflow, throughfall, and net precipitation between transitional forest and soybean fields differed significantly (Figure 8). The median stemflow

(8.6%) in soybeans was roughly 14 times higher than in the forest (0.2%). On the other hand, throughfall results painted a different picture. The median throughfall in the forest was twice (81.7%) as high as in the soybean field (40.2%). Even after adding up throughfall and stemflow to calculate relative net precipitation this picture does not change; the median net precipitation was 79.1% and 52.3% in the forest and the soybean field, respectively.

4 Discussion

The negative exponential distribution of stem diameters was typical of an undisturbed forest with a small number of old-growth trees and a large number of younger trees (De Oliveira and Mori, 1999). Most stems were 5 to 10 cm dbh (57.1%). Palms did not occur. The data were consistent with other forest inventory plots in the region (Balch et al., 2008; Ivanauskas et al., 2004). Mean measured LAI in the forest (4.8) was comparable with the LAI (5.0) documented in the forest 30 km distant from the site (Balch et al., 2008). LAI measured in the soybean site was consistent with results from the USA (Timlin et al., 2001) using the same methodology. Although the LAI of transitional forest was slightly higher than in the soybean plantation during its peak growth period (February and March), throughfall and net precipitation were significantly higher in the forest. According to Van Dijk and Bruijnzeel (2001), the storage capacity of canopies is linearly related to the LAI. In the present study, the difference of the mean LAI between soybean and forest was not sufficiently to explain on the difference in the net precipitation.

Throughfall and stemflow measured in the forest were similar to results of other throughfall studies in Amazonian forests (Table 4). Throughfall measured in the soybean field was similar to that measured by Bispo (2007) in Paragominas, another site in the Amazon Basin. He measured 54.1% of gross precipitation as throughfall under soybean cultivation between 42 and 114 days after sowing (Bispo, 2007). Further south in Brazil, Lilienfein and

Wilcke (2004) reported more throughfall (76 – 89%), which can be explained by the fact that the monitoring of the present study covered only the peak growth period while Lilienfein and Wilcke (2004) covered almost the entire growing season of a corn – soybean rotation.

Therefore, the higher throughfall quantities in soybean fields previously documented in the literature are due to the inclusion of the initial growth period of soybeans when the canopy is not closed and from higher throughfall rates when corn rotations are included.

Table 4: Characteristics of experimental sites for throughfall (TF) and stemflow (ST) measurements in the Amazon Basin.

Country	Site	TF [%]	SF [%]	Reference
Brazil	Rancho Grande	89.8	8.0	Germer et al., 2006, 2010b
Brazil	Reserva Jaru	87	1.4	Ubarana, 1996
Brazil	Res. Vale do Rio Doce	86.2	0.8	Ubarana, 1996
Brazil	Reserva Ducke	91	1.8	Lloyd and de Marques Filho, 1988
Colombia	Pena Rocha	82 – 87	0.85 – 1.45	Tobón Marin et al., 2000
Brazil	Rio Negro, Lake Prato	78.5		Filoso et al., 1999
Brazil	Bacia 3, Manaus	92	2	Cornu et al., 1998
Brazil	Cuieiras Biological Reserva, Manaus	74.8 – 87.6	0.2 – 0.7	Cuartas et al., 2007
Brazil	Bacia 3, Manaus	81.2 – 87.1		Ferreira et al., 2005
Brazil	Scientific Station Ferreira Penna	76.8	1.7	Oliveira et al., 2008
Brazil	Belem	85	0.19	Klinge et al., 2001
Peru	La Cuenca	83.1		Elsenbeer et al., 1994
Brazil	Tapajos National Forest, Santarem	88.4		Czikowsky, 2009
Brazil	Tanguro Ranch	91.6	0.69	This study

The increasing amount of throughfall with larger rainfall events results from the fact that most of the interception storage is satiated early in a rain event (Lull, 1964). The bigger the rainstorm was the higher was the proportion of the precipitation reaching the ground via throughfall. Similar findings were reported for other tropical forests (Tobón Marin et al., 2000) as well as for soybean plantations in the Amazon Basin (Bispo, 2007). Throughfall did not have a consistent relationship with rainfall intensity, which is in line with results from other humid tropical sites (e.g., Elsenbeer et al., 1994; Hall, 2003).

Stemflow behaved differently in the forest and soybean fields. As Lilienfein and Wilcke (2004) assumed, the stemflow fraction under soybean is high. This can be explained by the acute branching angles of the soybean leaves from the stem. Due to the high stem density in the soybean fields (270,000 stems/ha vs. 1392 stems/ha in the forest), basal areas in the forest and the soybean were comparable, so more stemflow was recorded per square meter in the soybean fields. The results from the stemflow measurements were lower than that documented for soybean in Lull (21% of gross precipitation; 1964) and Bo et al. (23.7% of gross precipitation as mean stemflow during a comparable growing period; 2008). Because stemflow collars effected soybean growth negative, there was a risk of leakage from the collars. So stemflow might be underestimated.

Nevertheless, stemflow as fraction of net precipitation contributed to a strong increase in net water input to the ground in the soybean field and emphasizes the importance of stemflow measurements in agricultural crops (Van Dijk and Bruijnzeel, 2001a). Throughfall measurements led to an underestimation of net precipitation by at least 9% in soybean fields, further emphasizing the need to incorporate more studies of stemflow. Stemflow in the transitional forest was low, similar to stemflow measurements in other Amazonian forests except for palm-rich open forests (Table 4). Most stemflow occurred on young smooth barked trees, where branches had acute angles funneling rainfall towards the stem. With an increase in age and dbh, branching angles also increased, leading to a reduction of the funneling effect and stemflow (Figure 7). Similar observations were reported by Germer et al. (2010b) in *Terra Firme* forest in the western Amazon. In general, the stemflow fraction of net precipitation in the forest was less than the rainfall measurement error, so it can be concluded that stemflow in transitional forest is negligible in water balance studies.

The difference in net precipitation of 94.2% in transitional forest and 57.2% in soybean fields was large and higher than expected. This pattern also does not change if stemflow in soybean accounts for 21-24%, as documented above. While in the forest net precipitation was insignificantly higher than throughfall, in soybean fields both throughfall and stemflow need to be taken into account for a realistic assessment of net precipitation. In the forest, the measurement period represented the majority of the rainy season (Figure 9 & Table 2). The annual intercepted rainfall was less than that obtained by interception studies of *Terra Firme* forest (Cuartas et al., 2007) which can be explained by a lower LAI in transitional forest compared to *Terra Firme* forest. In soybean fields the measurement period was shorter and spanned only the 46 days of the height of the rainy season when the soybean crop was best developed. Because the soybean canopy was less developed in other times, net precipitation outside of the measurement period would almost certainly be higher. During the peak growth period for the soy, we found net precipitation to be 57% of gross precipitation. Even if net precipitation were 100% at other times, this still amounts to 140.5 mm of evaporated rainfall from soybean fields on an annual basis that is not typically accounted for in models and was higher than the annual intercepted water in the forest. Besides a potential underestimation of stemflow in soybean, there are two interacting mechanisms that may explain differences in net precipitation: one is vegetation characteristics (e.g. LAI, stem density, surface roughness of plants driven by species composition). The other explanatory mechanism is the micro-meteorological condition of each land cover. According to Van Dijk and Bruijnzeel (2001b), evaporation from wetted stems during storms can have a significant impact on interception loss, especially in low vegetation with strong within stand ventilation. While evaporation in soybean fields is higher than in the forest, evapotranspiration, the combined effect of evaporation from the surface and the active transpiration of plants, shows contrasting behavior and is higher in forests than soybean fields (Berbet and Costa, 2003; Bispo, 2007; Pongratz et al., 2006; Sampaio et al., 2007). Pongratz et al. (2006) suggested a

decrease in evapotranspiration from agricultural lands in Mato Grosso is caused by changes in the morphological structure of vegetation as well as an increase in canopy temperature and albedo. Sampaio et al. (2007) have shown an 18% reduction of evapotranspiration from a 50% of conversion of forest to soybean fields in the eastern Amazon. Berbet and Costa (2003) also described a reduction of surface latent and sensible heat flux due to higher albedo as a consequence of land cover change from tropical forest to pasture in the Amazon Basin. Thus, evapotranspiration in soybean fields is less than in forest, while the proportion of evaporated rainfall is higher in soybeans. It follows that the observed differences in net precipitation between the forest and soybean fields in the present study can be partly attributed to differences in plant surface storage capacity, plant structure, stem density, and micro-meteorological conditions caused by the homogenous structure of the soybean fields.

5 Soybean growth cycles and hydrological implications

Conclusions regarding the hydrological water balance of the Amazon are difficult to draw without net precipitation measurements capturing an entire year. Nevertheless, the differences in net water input to a watershed might be expected to decrease when the complete lifecycle of soybean is taken into account (Figure 9). In conditions like those found at the Tanguro Ranch where in the growing season of 2008-2009 no intermediate crop was planted, no interception will occur before the emergence of soybean in December. In response to the fast growth of soybean, interception increases until the maximum interception capacity is reached at the end of the rainy season. Typical practice at the Tanguro Ranch was to desiccate the mature crop before harvest; treated plants lose their leaves within a few days (*pers. obs.*).

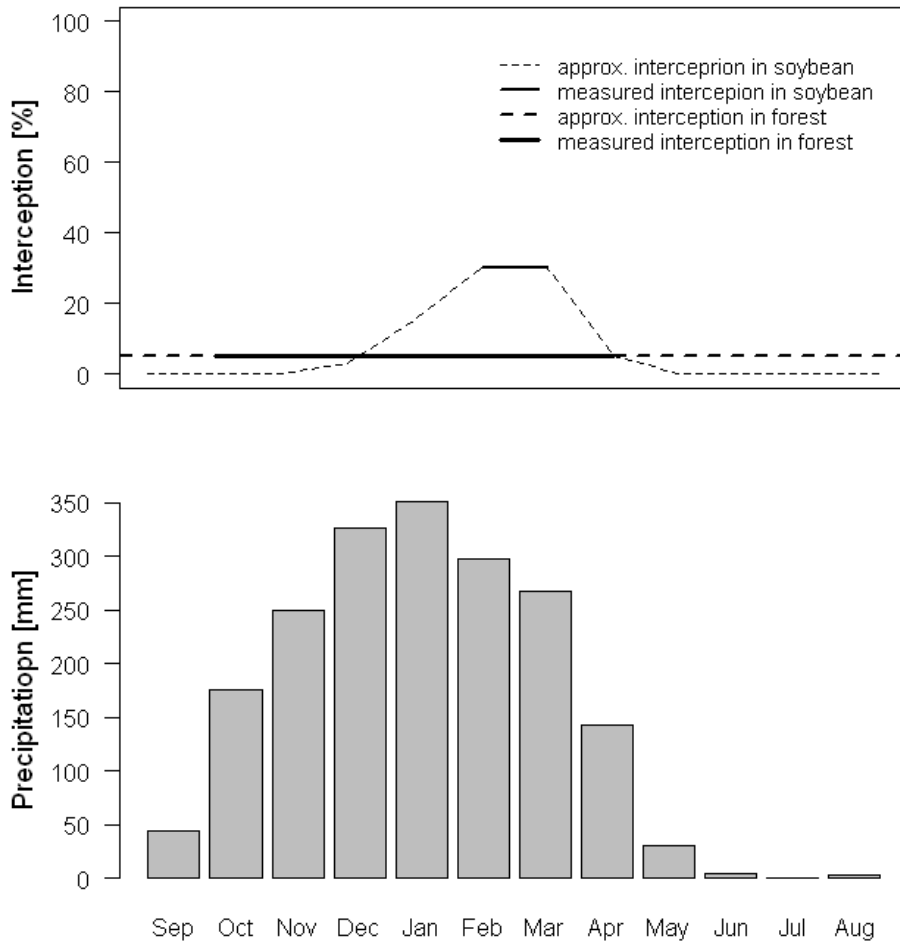


Figure 9: Interception behavior of tropical transitional forest and soybean fields throughout the year in respect to the annual rainfall distribution.

In the forest no changes in interception were observed throughout the rainy season, although a decline in the forest LAI during the dry season might decrease interception for occasional dry season rains. Taking the seasonality of precipitation into account (Figure 9, bottom), in which 95.6% of the rainfall occurs between September and April, dry season interception probably has little influence on net water input. Based on the measured data from soybean fields, the net water input into the hydrologic system was found to be reduced by the presence of soybean fields. At the same time evapotranspiration of soybean fields was 30% less than in the forest, and runoff from watersheds planted with soybeans was more than four-fold that of forest watersheds (Hayhoe et al., 2011). By including the documented increase of

daily runoff in the soybean in the hydrological analysis, a long-term reduction in water availability can be expected.

If current crop management trends in Mato Grosso continue, the effect of crop interception may increase over time. Galford et al. (2008) found that double-cropping of soybean with other crops (predominantly corn) increased by 49% between 2001 and 2005. Double cropping means that crop cover would extend over more of the rainy season. Given that the area planted to soybean in Mato Grosso increased annually by 19.4% between 1999-2004 (Galford et al., 2008), multi-cropping could have a substantial effect on the long term water availability of the southern Amazon.

6 Conclusions

During the peak of soybean growth (February and March) in Mato Grosso, interception loss from soybean cropland was almost twice as high as in the original tropical transitional forest. Under soybean only 57.2% of the gross precipitation reaches the ground via stemflow and throughfall as against 94.2% under transitional forest. In contrast to transitional forest, soybean stemflow accounted for an important fraction of net precipitation. The observed differences in throughfall, stemflow, and net precipitation can be attributed to differences in plant structure and stem density. The homogenous structure of soybean allows a better within stand ventilation and enhanced within storm evaporation.

The measured throughfall, stemflow, and net precipitation were similar to those obtained in other Amazon forests studies, but values for soybean were lower than those found elsewhere. This is partly caused by the restricted sampling period during stable canopy conditions but also may be due to an underestimation of stemflow resulting from the applied collection method. A vase method as used by Bo et al. (2008) and Van Dijk and Bruijnzeel (2001a) promise to give better results.

The reduced net water input in soybean fields caused by interception is small compared to the differences in transpiration. But, in addition to the processes promoting a fast runoff reaction under soybean, interception contributes to reduced water availability in the long term. In view of the increasing tendency towards double-cropping practices at the southern edge of the Amazon Basin, crop interception will increase in importance in the calculation of water balances, and the hydrological impact analysis of land cover changes. The ratio of evaporation and transpiration changes due to deforestation, but more detailed research is necessary to describe the hydro-meteorological consequences of large-scale land cover changes.

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