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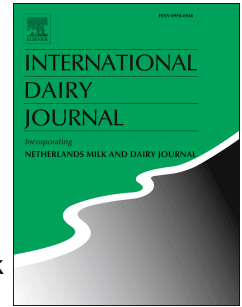
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1 **Pilot-scale ceramic membrane filtration of skim milk for the production of a**
2 **protein base ingredient for use in infant milk formula**

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27 ABSTRACT

28

29 The protein composition of bovine skim milk was modified using pilot scale
30 membrane filtration to produce a whey protein-dominant ingredient with a casein
31 profile closer to human milk. Bovine skim milk was processed at low (8.9 °C) or high
32 (50 °C) temperature using ceramic microfiltration (MF) membranes (0.1 µm mean
33 pore diameter). The resulting permeate stream was concentrated using
34 polyethersulfone ultrafiltration (UF) membranes (10 kDa cut-off). The protein profile
35 of MF and UF retentate streams were determined using reversed phase-high
36 performance liquid chromatography and polyacrylamide gel electrophoresis. Permeate
37 from the cold MF process (8.9 °C) had a casein:whey protein ratio of ~35:65 with no
38 α_s - or κ -casein present, compared with a casein:whey protein ratio of ~10:90 at 50 °C.
39 This study has demonstrated the application of cold membrane filtration (8.9 °C) at
40 pilot scale to produce a dairy ingredient with a protein profile closer to that of human
41 milk.

42

43

44 1. Introduction

45

46 The design of membrane-based fractionation processes for the development of
47 enriched protein ingredients can provide an enhanced nutritional profile in infant milk
48 formulations (IMF). The compositional differences between human and bovine milk
49 are of particular importance for infant food formulations as the majority of infant
50 formula is based on bovine milk (O'Mahony & Fox, 2013). The use of unmodified
51 bovine milk as the sole source of nutrients for infants is discouraged (Morgan, 2006).
52 Most current-generation IMFs consist of milk-derived ingredients, vegetable oils and
53 micronutrients blended together in proportions consistent with those found in human
54 milk (Martin, Ling, & Blackburn, 2016). The protein component of IMFs is critical to
55 support the growth and development of infants, but achieving the correct protein
56 profile in IMFs is complicated by milk protein heterogeneity and inter-species
57 variation.

58 As described by O'Mahony and Fox (2013), milk proteins can be divided into
59 two principal families, caseins (CNs) and whey proteins (WPs), which can be further
60 sub-divided into individual CNs (e.g., α_S -CN, β -CN, κ -CN) and WPs (e.g., α -
61 lactalbumin, β -lactoglobulin, lactoferrin). The protein profile of IMFs intended for
62 infants between 0–6 months ('first age') is designed to closely mimic that found in
63 human milk. WPs account for ~60% of the total protein present in human milk,
64 compared with only ~20% in bovine milk (de Wit, 1998); thus, IMFs are typically
65 prepared by adjusting the CN:WP ratio of bovine milk (80:20) to that of human milk
66 (40:60) by mixing skim milk with WP-based ingredients (e.g., whey protein
67 concentrate and demineralised whey). The CN and WP fractions of human milk
68 consist primarily of β -CN and α -lactalbumin, respectively, while α_S -CNs and β -

69 lactoglobulin predominate in bovine milk (Lönnerdal, 2003). Increasing the
70 proportion of proteins such as β -CN and α -lactalbumin is an important objective in
71 efforts to improve the nutritional properties of IMFs and can be achieved through the
72 addition of enriched or purified protein ingredients (Fox, Uniacke-Lowe, McSweeney
73 & O'Mahony, 2015).

74 The need to use multiple dairy ingredients to achieve a humanised protein
75 profile places additional burdens on IMF manufacturers in terms of ingredient
76 sourcing/transit and prediction of ingredient functionality/stability during
77 reconstitution, thermal processing and re-drying. An alternative strategy is here
78 proposed where the development of 'protein base' ingredients, in which several of the
79 major humanisation targets (CN:WP ratio, CN profile) are achieved in a single
80 processing operation. Such a strategy is feasible using a process based on small-pore
81 (0.1 μ m) microfiltration (MF), described in the present study.

82 Although there have been major developments in the manufacture of WP-
83 based ingredients for IMFs (e.g., enriched α -lactalbumin fractions, purified
84 lactoferrin), progress in CN ingredient development has been comparatively slow.
85 'Cold MF' (i.e., filtration temperature: 0 to 15 °C) of skim milk is a promising
86 approach to generating β -CN-enriched WP-dominant ingredients for use in the
87 production of IMFs. There are a number of studies that have demonstrated the
88 enrichment of β -CN using MF (or sometimes UF) at temperatures < 10 °C (Crowley
89 et al., 2015; Le Berre & Daufin, 1994; O'Mahony, Smith, & Lucey, 2014; Woychik,
90 1992). Crowley et al. (2015) recently demonstrated the concept at laboratory scale
91 using polyvinylidene-difluoride (PVDF, 0.1 μ m pore size) or polyethersulfone (PES,
92 1000 kDa cut-off) membranes at temperatures <5 °C. It is possible to effectively
93 enrich β -CN from renneted milk gels (Huppertz et al., 2006), but MF has the

94 advantage of generating a soluble and highly-functional co-product, micellar casein
95 concentrate (MCC). The enrichment of β -CN in the whey permeate during cold MF is
96 based on the principle that an increasing proportion of β -CN is monomeric as
97 temperature is decreased, with a concomitant migration of the monomers from
98 micelles into to the serum phase (Dauphas et al., 2005; Rose, 1968). β -CN can be
99 manufactured at relatively high purity (70–80% of total protein) by cold MF of liquid
100 MCC (Christensen & Holst, 2014) or warm MF (>26 °C) of the β -casein-enriched
101 whey permeate generated from cold MF of milk; however, for the production of WP-
102 dominant IMFs pure β -casein is not an essential requirement, as the presence of WPs
103 in the ingredient is likely preferable.

104 Most of the MF processes that have been described for β -CN enrichment have
105 used cold temperatures and polymeric membranes, and have been associated with low
106 permeate flux values, due to a combination of high feed viscosity and severe fouling
107 of the membrane. Strategies to improve flux are primarily restricted to adjusting the
108 type of membrane; for example, it has been shown that flux-enhancement in a cold
109 MF process can be achieved by using PES rather than PVDF membranes (Crowley et
110 al., 2015). However, it can be predicted that a switch to ceramic membranes would
111 facilitate operation at much higher flux values. While the surface area of ceramic
112 membrane configurations may not be as large as for spiral-wound polymeric systems,
113 they have the advantage of being compatible with technology designed to ensure a
114 consistent trans-membrane pressure (TMP) across the length of the membrane. An
115 example of this technology is the Isoflux® membrane, in which the active layer
116 decreases in thickness from inlet to outlet, so that the pressure:thickness ratio, and
117 therefore flux, is theoretically constant along the hydraulic path (Adams & Barbano,
118 2013).

119 The objective of this study was to produce an ingredient from skim milk for
120 application as a protein base during IMF manufacture. The target protein profile for
121 the ingredient was a CN:WP ratio close to that of human milk, in which the CN
122 fraction consisted primarily of β -CN. The ingredient was prepared using MF and DF
123 of skim milk at low temperatures using ceramic Isoflux[®] membranes, followed by
124 concentration using UF. A cold MF process was carried out and compared with the
125 more traditional warm MF in terms of process performance (i.e., permeate flux) and
126 nutrient partitioning (i.e., minerals, proteins, non-protein nitrogen and fat).

127

128 2. Materials and methods

129

130 2.1. Materials

131

132 Raw bovine whole milk was obtained from the Teagasc Grassland Research
133 Centre, with the fat separated centrifugally at 50 °C using facilities at Moorepark
134 Technology Limited (Fermoy, Co. Cork, Ireland). Urea, bis-tris propane, and 2-
135 mercaptoethanol were obtained from Sigma (Wicklow, Ireland). The electrophoresis
136 chemicals were obtained from Bio-Rad (Fannin, Dublin, Ireland). All other chemicals
137 used were of analytical grade. Milli-Q water (Millipore, Ireland) was used for all
138 solutions.

139

140 2.2. Compositional analysis

141

142 Total nitrogen, non-protein nitrogen and true protein were determined using
143 the Kjeldahl method (ISO, 2001a,b,c), and a nitrogen-protein conversion factor of

144 6.38. Fat content was determined using the Gerber method (IDF, 1991). Mineral
145 analysis was determined by an Agilent 7700s inductively-coupled plasma mass
146 spectrometry (ICP-MS) (Agilent Technologies, Santa Clara, California, USA).

147

148 2.3. *Pilot-scale membrane filtration and powder manufacture*

149

150 Skim milk (300 kg) was diluted prior to filtration by adding 600 kg of reverse
151 osmosis (RO) water. In-house testing has shown that diluting unheated skim milk
152 prior to filtration increased the dissociation and solubilisation of β -casein. The diluted
153 skim milk was held at ~ 4 °C for 16 h, before holding at 8.9 °C or heating to 50 °C
154 prior to membrane filtration. The diluted skim milk was subject to MF using 0.14 μm
155 pore size Tami Isoflux[®] ceramic membranes (Tami Industries, Nyons Cedex, France)
156 on a GEA Model F filtration unit (GEA Process Engineering A/S, Skanderborg,
157 Denmark) with complete retentate recycling (i.e., retentate is returned to the feed).
158 Three ceramic membranes were used in parallel, each with an area of 0.35 m². The
159 temperature throughout processing was maintained at 8.9 ± 1.2 °C or 50 ± 1.0 °C
160 using an in-line heat exchanger. The feed recirculation rate was adjusted to 1500 L h⁻¹
161 at a feed pressure of 1 bar and a membrane inlet pressure of 2.7 bar (0.9 bar per
162 membrane element). The permeate flux was measured gravimetrically throughout
163 filtration until a volume concentration factor (VCF) of 3 was reached. VCF was
164 calculated by dividing the feed volume (V_f) by the final retentate volume (V_r):

$$165 \text{ Volume concentration factor } VCF = \frac{V_f}{V_r} \quad (1)$$

166 All permeate streams from MF were subsequently subjected to UF with two
167 10 kDa cut-off Synder spiral-wound membranes (Synder Filtration, CA, USA)

168 arranged in series in the Model F filtration unit. UF was performed at a temperature of
169 50 ± 1.0 °C for all trials with a feed recirculation rate of 1600 L h^{-1} at 1 bar pressure
170 and a membrane inlet pressure of 1.8 bar. A final VCF of 14 was attained. Permeation
171 behaviour of individual proteins through MF membranes were characterised using the
172 sieving coefficient (S_o).

$$173 \quad S_o = \frac{C_P}{C_R} \quad (2)$$

174 where C_p and C_R are the simultaneous concentration of the protein in the permeate and
175 retentate, respectively.

176 Membrane filtration performance was monitored using permeate flux
177 measurements taken during each MF concentration run (Fig. 1A); solids content of the
178 feed was also monitored (Fig. 2B); the starting feed material was skim milk (9.3%
179 solids) diluted to ~3.1% solids with RO water. The solids content and pH of the
180 original skim milk and rehydrated powders (protein content 3.3%, w/w) were
181 measured using a CEM Smart Trac moisture analyser (Damastown, Dublin, Ireland)
182 and a WTW 3310 pH meter (WTW, Weilheim, Germany), respectively.

183 Retentate streams obtained from MF and UF were heated to 30 °C prior to
184 evaporation using a Tetra Scheffers™ single-stage falling-film evaporator operated at
185 60 °C (Tetra Pak, Gorredijk, The Netherlands). The concentrate was spray-dried using
186 a pilot scale Anhydro Lab 3 spray dryer (SPX Flow Technology A/S, Soeborg,
187 Denmark), equipped with a wheel atomiser. Inlet and outlet temperatures were set at
188 178 °C and 88 °C, respectively. Powder samples were coded as follows: MFR_{warm} ,
189 dried retentate from MF of skim milk at 50 °C; MFR_{cold} , dried retentate from MF of
190 skim milk at 8.9 °C; UFR_{warm} , dried retentate from UF of warm (50 °C) MF permeate;
191 UFR_{cold} , dried retentate from UF of cold (8.9 °C) MF permeate.

192 Membrane filtration processes at 50 and 4 °C were carried out in triplicate
193 from a total of six different batches of skim milk, producing three independent
194 powder samples for each of MFR_{warm}, MFR_{cold}, UFR_{warm} and UFR_{cold}.

195

196 2.4. Protein profile analysis

197

198 Protein profile analysis of MF and UF retentate powder samples was carried
199 out using reverse phase-high performance liquid chromatography (RP-HPLC) and
200 sodium dodecyl sulphate-polyacrylamide gel electrophoresis (SDS-PAGE) to quantify
201 individual protein fractions and to observe the presence of minor whey protein
202 components, respectively.

203

204 2.4.1. Reversed phase-high performance liquid chromatography

205 All MF retentate and UF retentate powder samples were dispersed in buffer
206 containing 7 M urea, 20 mM bis-tris propane and 71.5 mM 2-mercaptoethanol (pH 7.5)
207 in a 1:20 ratio (v/v) at room temperature. Each sample was incubated for 1 h at room
208 temperature before being filtered (pore size of 0.22 µm). A 5 µL aliquot of each
209 sample was injected three times into a Poroshell 300SB-C18 (Size: 2.1 × 7.5 mm, 5
210 µm; Agilent Technologies, Ireland) column equipped with a Zorbax poroshell guard
211 column (Size: 1.0 × 17 mm, 5 µm; Agilent Technologies). The HPLC was equipped
212 with a UV-vis detector (61365D MWD Agilent Technologies 1200 series). The
213 column was operated at temperature 35 °C at a flow rate of 0.5 mL min⁻¹ and was
214 equilibrated in 74% solvent A (0.1% trifluoroacetic acid and 10% acetonitrile in
215 MilliQ water) and 26% B (0.1% trifluoroacetic acid and 10% MilliQ water in
216 acetonitrile). A series of linear gradients were then applied by raising the

217 concentration of solvent B to 37% over 10 min, then to 45% over 23 min, and to
218 100% over 3.5 min. Solvent B was held at 100% for 4.5 min before reducing its level
219 over 34 min back to the initial level of 26% where it was held for 2.5 min. Detection
220 was by absorbance at 214 nm and total run time was 36.5 min per sample. HPLC
221 analysis was carried out in triplicate

222

223 2.4.2. *Sodium dodecyl sulphate-polyacrylamide gel electrophoresis*

224 SDS-PAGE analysis was performed based on the methods of Laemmli (1970)
225 and modified as described by Oldfield, Singh, Taylor, and Pearce (2000). Reducing
226 SDS-PAGE was run with the addition of 2-mercaptoethanol, with heating at 95 °C for
227 5 min. Gels were run on a Mini-Protean III dual cell system (Bio-Rad Laboratories,
228 Hercules, CA, USA). Mini-Protean TGX gels (anyKda, 10 wells; Bio-Rad) were used
229 in the analyses. MF retentate and UF retentate powder samples were diluted to 0.13%
230 (w/w, protein basis) with the appropriate sample buffer before loading. Subsequently,
231 the corresponding sub-samples were loaded accurately (10 µL per well) into the
232 sample wells using a micro-pipette. Electrophoresis was carried out at 200 V. The
233 bands were stained with 0.1% (w/v) Coomassie Brilliant Blue G250 in 34% methanol
234 for 24 h and destained in a solution containing 10% (v/v) glacial acetic acid and 10%
235 (v/v) isopropanol. The destaining solution was changed every 4 h to obtain a light
236 background on the gel. Destained gels were scanned on Epson Scan Perfection V700
237 Photo scanner (Epson, Hertfordshire, UK) and the protein bands were identified using
238 the software program, ImageQuant (GEHealth care, Ireland).

239

240 2.5. *Statistical analysis*

241

242 Fisher's one-way multiple-comparison test was used as a guide for pair
243 comparisons of the mean permeate flux values and the powder compositional data
244 produced from membrane filtration. The level of significance was determined at $P <$
245 0.05 using Minitab 17 (Minitab Ltd, Coventry, UK) statistical analysis package and
246 the effects of treatment and replicates were estimated for each response variable.

247

248 3. Results and discussion

249

250 3.1. Membrane filtration process performance

251

252 The performance of the MF process under warm (50 °C) and cold (8.9 °C)
253 conditions can be compared in Fig. 1A. Pre-dilution of the skim milk 16 h prior to MF
254 was performed to promote β -CN dissociation. Low temperature increases serum-
255 phase β -CN by reducing hydrophobic interactions, while both cold processing and
256 milk dilution partially dissolve colloidal calcium phosphate (CCP), further increasing
257 transmission of β -CN (Broyard & Gaucheron, 2015). Initial permeate flux (time 0) of
258 $\sim 380 \text{ L m}^{-2} \text{ h}^{-1}$ for warm MF rapidly decreased to $\sim 307 \text{ L m}^{-2} \text{ h}^{-1}$ after 0.25 h; flux
259 remained relatively constant at this value until ~ 1.7 h of processing and a solids
260 content of $\sim 4.5\%$ when a sudden and dramatic decline in flux to $< 150 \text{ L m}^{-2} \text{ h}^{-1}$
261 occurred (Fig. 1A). Once the retentate reached $\sim 75\%$ protein (w/w, dry matter) the
262 MF rig was shut down, coinciding with a total process time of ~ 3 h and a final solids
263 content of 12.2%, w/w. Previous studies for the MF of skim milk at 50 °C have
264 reported much lower permeate flux values than those measured in the current study;
265 for example, Hurt, Zulewska, Newbold, and Barbano (2010) obtained a constant
266 permeate flux for skim milk of $\sim 54.0 \text{ L m}^{-2} \text{ h}^{-1}$ using ceramic membranes with a pore-

267 size of 0.1 μm , operated under uniform trans-membrane pressure (0.42 bar) at 50 °C.
268 The high flux rates shown in Fig. 1 are probably due to a combination of a high
269 retentate flow rate (1500 L h⁻¹) and the use of highly dilute skim milk as the feed. MF
270 carried out at 8.9 °C had a significantly ($P < 0.05$) lower initial permeate flux (~98 L
271 m⁻² h⁻¹; Fig. 1A), compared with MF at 50 °C (~380 L m⁻² h⁻¹; Fig. 1A). Permeate flux
272 continued to decrease in a linear manner throughout filtration (Fig. 1A), reaching a
273 value of 52 L m⁻² h⁻¹ after ~13 h.

274 Although the average permeate flux was much lower for cold MF compared
275 with the warm MF process, the extent of flux decline was much less for the former,
276 due to reduced fouling (Fig. 1), in agreement with other research demonstrating the
277 benefits of cold membrane processing. Luo, Ramchandran, and Vasiljevic (2015)
278 compared the performance of UF membranes during processing of milk at 15, 30 or
279 50 °C and found a more rapid flux decline at the highest UF temperature due to
280 increased protein- and calcium-based fouling. Similarly, Méthot-Hains et al. (2016)
281 found that flux decline during UF of skim milk was more rapid at 50 °C than at 10 °C
282 as the VCF increased from 1 to 4. The flux values shown in the present work for cold
283 MF were significantly higher than values reported in previous studies for cold MF
284 processes; although, diafiltration water was added upfront to the skim milk in the
285 current study, which would significantly increase permeate flux. For example,
286 O'Mahony et al. (2014) obtained an initial permeate flux of ~10 L m⁻² h⁻¹ during the
287 enrichment of β -CN from skim milk using polymeric membranes at 3–6 °C.

288

289 3.2. *Composition and physicochemical properties of dried retentates*

290

291 The composition and physicochemical properties of MF and UF retentate
292 powders after spray drying are shown in Table 1. Fat content increased in the MF
293 retentates compared with the original skim milk, with very low levels of fat present in
294 the UF retentates. There was a significantly ($P < 0.05$) lower fat content in UFR_{cold} ,
295 indicating that milk fat had lower transmission at the low temperature during cold
296 MF.

297 The mineral composition of powders from MF and UF retentate streams are
298 given in Table 3. Calcium and phosphorous levels decreased in MFR_{cold} compared
299 with MFR_{warm} ; in turn, UFR_{cold} had a higher calcium and phosphorous content
300 compared with the UFR_{warm} powder. Similarly, Méthot-Hains et al. (2016) found that
301 the permeation of calcium increased during UF of milk with 10 kDa PES spiral-
302 wound membranes at 10 °C compared with 50 °C, due to an increase in soluble
303 calcium at low temperatures. Magnesium, sodium and potassium were all
304 significantly ($P < 0.05$) higher in UF retentates compared with MF retentate powders,
305 while the temperature of MF processing had no significant effect ($P > 0.05$) on their
306 transmission (Table 3). Therefore, MF temperature can be said to have only had an
307 effect on the major components of CCP. The pH of MF and UF retentate streams were
308 significantly ($P < 0.05$) higher than that of the diluted skim milk, likely due to the loss
309 of these soluble minerals along with citric acid that would otherwise act as buffering
310 salts (Table 1). Hurt et al. (2010) found a similar result during the MF of skim milk at
311 50 °C, when the pH of the retentate fraction increased from pH 6.6 to pH 7.0.

312

313 3.3. *Protein profile of retentate streams from microfiltration and ultrafiltration*

314

315 3.3.1. *β -Casein transmission and casein:whey protein ratio*

316 MF of skim milk at 50 or 8.9 °C resulted in very different protein profiles
317 (Table 2). MF of skim milk at 50 °C facilitated extensive depletion of whey proteins
318 with almost complete retention of casein (Fig. 2; lane 3 MFR_{warm}). UFR_{warm} powders
319 contained primarily whey proteins (91.2% of protein) and a minor quantity of β -CN
320 (8.81% of protein). SDS-PAGE profiles (Fig. 2; lane 1 UFR_{warm}) and HPLC
321 chromatograms (Fig 3; profile E) indicated the presence of some β -CN in UFR_{warm}
322 powders, quantified at 8.81% of total protein, with the remaining 91.2% consisting of
323 WPs (Table 2). The average So of β -CN during MF at 50 °C was 0.07, which was
324 significantly lower than the value of 0.26 measured during MF at 8.9 °C (Table 2; Fig.
325 3E).

326 Due to the high So values reached for β -CN and whey proteins during cold
327 MF the UFR_{cold} powder had a CN:WP ratio of 35:65 with 100% of the CN comprised
328 of β -CN (Table 1); this protein profile is close to that found in human milk and
329 compares favourably in this respect with previous reports of MF processes involving
330 similar pore-size membranes. For example, Woychik (1992) enriched β -CN using 0.1
331 μ m MF membranes at 4 °C; however, the β -CN purity was low (55% of total CN) and
332 the system was CN-dominant (55:45 CN:WP). Glas, te Biesebeke, Kromkamp and
333 Klarenbeek (2013) reported enriching β -CN from skim milk using MF with 0.15 μ m
334 membranes at 10 °C, which resulted in a low CN:WP ratio of 14:86 and a high β -CN
335 purity of 95% (total CN basis). Crowley et al. (2015) measured a β -CN purity of
336 100% and a CN:WP ratio of 49:51 when a permeate generated at <4 °C using a 0.1
337 μ m MF membrane was analysed. The process described in the present study achieves
338 a balance of appropriate CN:WP ratio and excellent β -CN purity (confirmed using
339 Maldi-TOF analysis; results not shown).

340

341 3.3.2. *Whey protein transmission and proteolysis products*

342 Cold MF resulted in greater retention of β -lactoglobulin (Fig. 2; lane 4),
343 compared with warm MF (Fig. 2; lane 3). Gésan-Guiziou, Daufin, and Boyaval
344 (2000) studied the factors affecting the transmission of β -lactoglobulin during MF of
345 skim milk at 50 °C and showed that above the critical flux (i.e., pressure independent
346 region) β -lactoglobulin transmission decreased significantly, due to modified fouling
347 layer characteristics. The hindered protein transmission observed for cold MF in this
348 study may also be caused by altered sieving characteristics due to an altered fouling
349 layer; the increased hydrodynamic volume of the micellar phase at low temperatures
350 (Liu, Weeks, Dunstan, & Martin, 2013) could be a possible explanation for this effect.

351 The detection of a band with a molecular mass of ~ 12 kDa in SDS-PAGE
352 analysis of MF retentate streams may be the result of casein proteolysis occurring
353 during membrane filtration (Fig. 2; lanes 3 and 4). Its absence from UF retentate
354 powders indicated that, while lower in molecular mass than α -lactalbumin, it may be
355 associated with the CN micelle and thus not be transmittable during MF. The band
356 appeared fainter in MFR_{cold} powders (Fig. 2; lane 4) than in MFR_{warm} powders (Fig. 2;
357 lane 3), indicating that proteolysis may have been more prevalent at 50 °C than at 8.9
358 °C. Hurt et al. (2010) found the presence of a similar band in SDS-PAGE gels of MF
359 retentate streams after filtration of skim milk at 50 °C using 0.1 μm pore sized UTP
360 ceramic membranes. Jost, Brandsma, and Rizvi (1999) identified the band as proteose
361 peptone component 5 (β -casein 1–105/1–107; Andrews, 1978) and suggested that the
362 phosphoprotein remains associated with the casein micelle during MF and therefore
363 does not permeate 0.1 μm MF membranes even though its molecular mass is much
364 less.

365

366 4. Conclusion

367

368 Cold MF and DF facilitated the formulation of a protein base with a casein
369 profile close to human milk using a skim milk feed. Using this process it was
370 demonstrated that two major formulation targets, a CN:WP ratio (40:60) and CN
371 profile (β -CN), can be achieved. Other potential benefits of the cold MF and DF
372 process were also identified, ranging from a reduction in β -lactoglobulin levels and
373 reduced in-process proteolysis (lower levels of casein hydrolysis products). The
374 manufacture of suitable infant formula protein base ingredients using integrated
375 membrane systems will be an important development in next-generation IMF
376 processing. Future work could also focus on the re-micellisation of β -CN through
377 addition of κ -casein, to more closely mimic the protein profile of human milk while
378 increasing heat stability.

379

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381

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1 **Figure legends**

2

3 **Fig. 1.** Membrane filtration time of microfiltered diluted skim milk, measured as (A) a
4 function of permeate flux ($\text{L m}^{-2} \text{h}^{-1}$) and (B) total solids at 50 °C (□) and 8.9 °C (■).

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6 **Fig. 2.** Reducing SDS-PAGE patterns (4–20% acrylamide gel) of retentate powders
7 from microfiltered and ultrafiltered skim milk. Lane 1, UF retentate produced after
8 microfiltration at 50 °C (UFR_{warm}); lane 2, UF retentate produced after microfiltration
9 at 8.9 °C (UFR_{cold}); lane 3, MF retentate produced by microfiltration at 50 °C
10 (MFR_{warm}); lane 4, MF retentate produced by microfiltration at 8.9 °C (MFR_{cold}); lane
11 5, α -lactalbumin standard; lane 6, β -lactoglobulin standard; lane 7, lactoferrin
12 standard.

13

14 **Fig. 3.** Reversed-phase HPLC profiles of (A) skim milk, (B) microfiltration retentate
15 at 50 °C (MFR_{warm}), (C) microfiltration retentate at 8.9 °C (MFR_{cold}), (D) UF retentate
16 produced after microfiltration at 8.9 °C (UFR_{cold}) and (E) UF retentate produced after
17 microfiltration at 50 °C (UFR_{warm}); eluate was measured at 214 nm.

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1 **Table 1**2
3 Composition of microfiltration and ultrafiltration retentates after processing at 50 or 8.9 °C.^a4
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Parameter	Skim milk	MFR _{warm}	MFR _{cold}	UFR _{warm}	UFR _{cold}
Protein (% , w/w, dry basis)					
TPN	37.8 ± 2.1	74.3 ± 0.8	72.1 ± 2.2	44.2 ± 1.0	34.4 ± 2.2
NPN	0.03 ± 0.0	0.10 ± 0.0	0.01 ± 0.0	0.03 ± 0.0	0.03 ± 0.0
Fat (% , w/w, dry basis)	0.01 ± 0.0	0.81 ± 0.9	0.80 ± 0.6	0.40 ± 0.1	0.24 ± 0.2
Moisture content (% , w/w)		4.37 ± 0.8	4.69 ± 0.8	2.58 ± 0.5	2.21 ± 0.7
pH	6.64 ± 0.05	7.30 ± 0.1	7.22 ± 0.1	7.06 ± 0.0	7.08 ± 0.1

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7 ^a Abbreviations are: MFR_{warm}, retentate of microfiltration performed at 50 °C; MFR_{cold}, retentate of microfiltration performed at 8.9 °C;
8 UFR_{warm}, ultrafiltration retentate after warm (50 °C) microfiltration; UFR_{cold}, ultrafiltration retentate after cold (8.9 °C) microfiltration; TPN, true
9 protein nitrogen; NPN, non-protein nitrogen.

10 **Table 2**

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12 Protein profile of ultrafiltered retentate powders after processing at 50 or 8.9 °C. ^a

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Protein	Skim milk	UFR _{warm}	UFR _{cold}
Total casein (% , w/w, of TP)	85.9 ^a	8.81 ^b	35.5 ^c
α _S -Casein (% , w/w, of TC)	50.1 ^a	0.00 ^b	0.00 ^c
β -Casein (% , w/w, of TC)	39.6 ^a	8.81 ^b	35.5 ^c
κ -Casein (% , w/w, of TC)	10.3 ^a	0.00 ^b	0.00 ^c
Total whey protein (% , w/w, of TP)	15.6 ^a	91.2 ^b	64.5 ^c
α -La (% , w/w, of TW)	17.7 ^a	19.1 ^b	17.9 ^c
β -Lg (% , w/w, TW)	82.3 ^a	72.0 ^b	46.6 ^c

14

15 ^a Abbreviations are: UFR_{warm}, ultrafiltration retentate after warm (50 °C) microfiltration; UFR_{cold}, ultrafiltration retentate after cold (8.9 °C)
 16 microfiltration; α -La, α -lactalbumin; β -Lg, β -lactoglobulin; TP, total protein; TC, total casein; TW, total whey protein. Values are the means of 3
 17 replicates \pm standard deviations; values within a row not sharing a common superscript letter differ significantly, $P < 0.05$.

18 **Table 3**

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20 Mineral profile of microfiltration and ultrafiltration retentate powders obtained at 50 or 8.9 °C. ^a

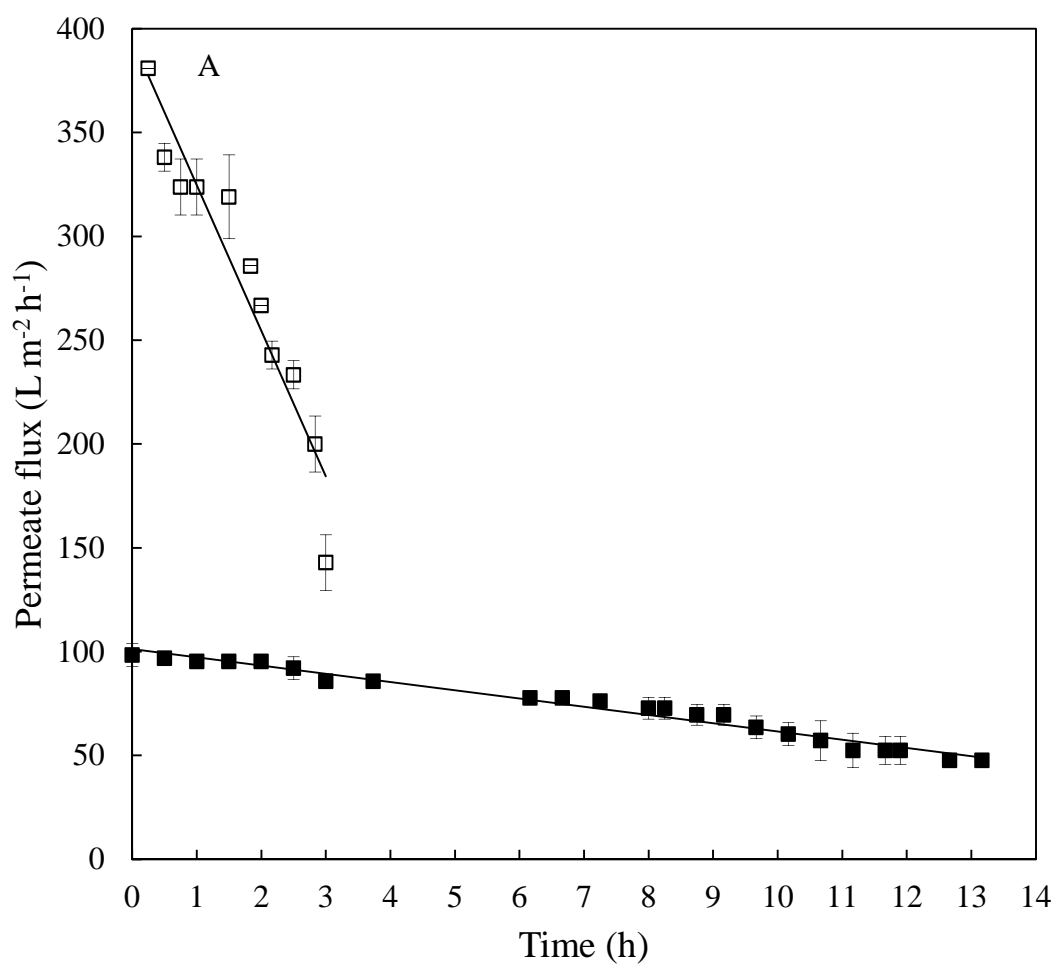
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Process	Calcium	Magnesium	Sodium	Phosphorous	Potassium
MFR _{warm}	35.6 ^a	1.65 ^a	2.22 ^a	24.2 ^a	10.3 ^a
UFR _{warm}	24.2 ^b	4.34 ^b	23.8 ^b	20.6 ^b	70.4 ^b
MFR _{cold}	30.9 ^c	1.81 ^a	2.84 ^a	22.7 ^a	12.2 ^a
UFR _{cold}	29.2 ^d	4.44 ^b	31.4 ^b	27.5 ^c	66.3 ^b

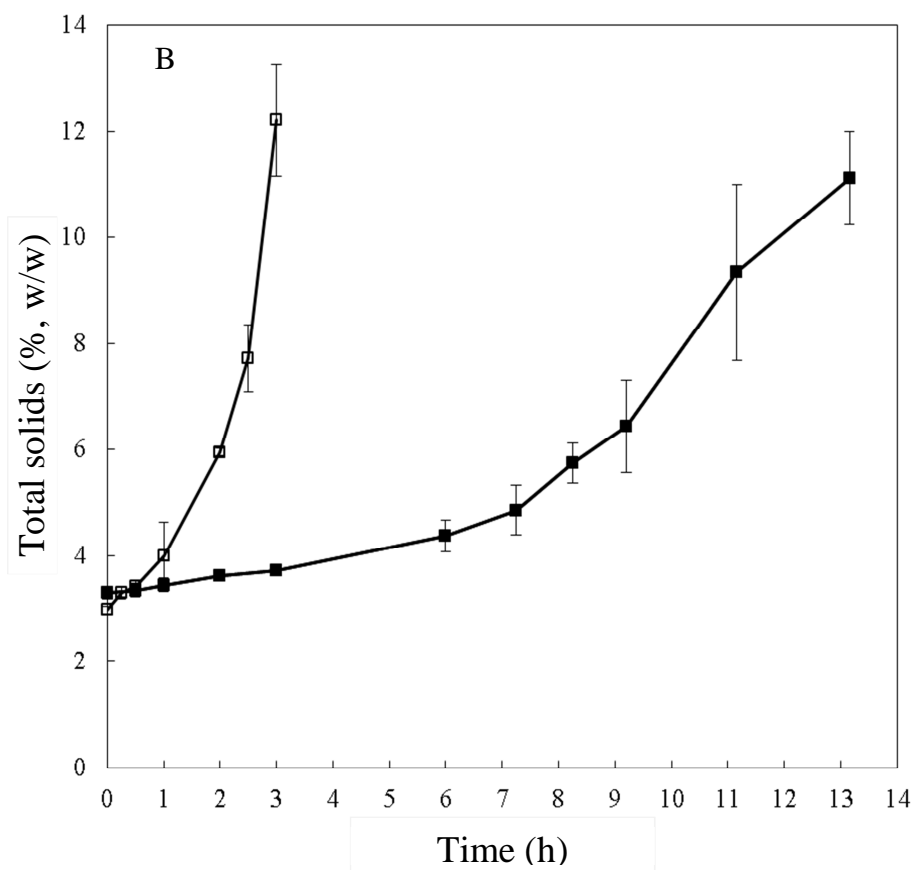
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23 ^a MFR_{warm}, microfiltration retentate performed at 50 °C; MFR_{cold}, microfiltration retentate performed at 8.9 °C; UFR_{warm}, ultrafiltration retentate
24 after warm (50 °C) microfiltration; UFR_{cold}, ultrafiltration retentate after cold (8.9 °C) microfiltration. All ultrafiltration processing was
25 performed at 50 °C. Values (mg g⁻¹ of protein) are the means of 3 replicates ± standard deviations; values within a column not sharing a common
26 superscript letter differ significantly, *P* < 0.05.

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16 **Fig. 1.**

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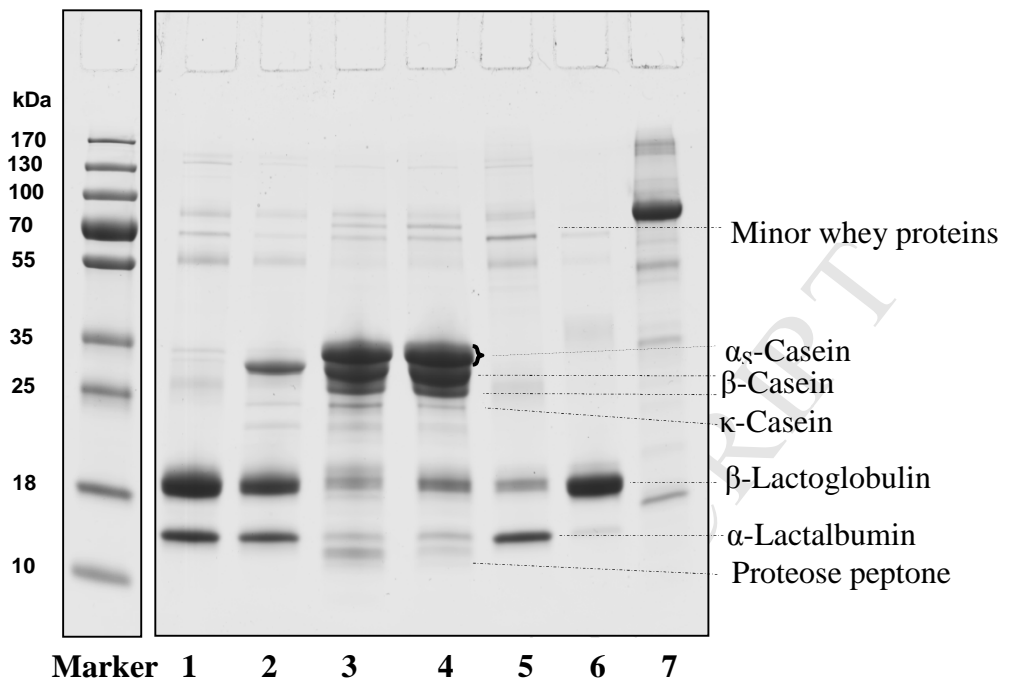
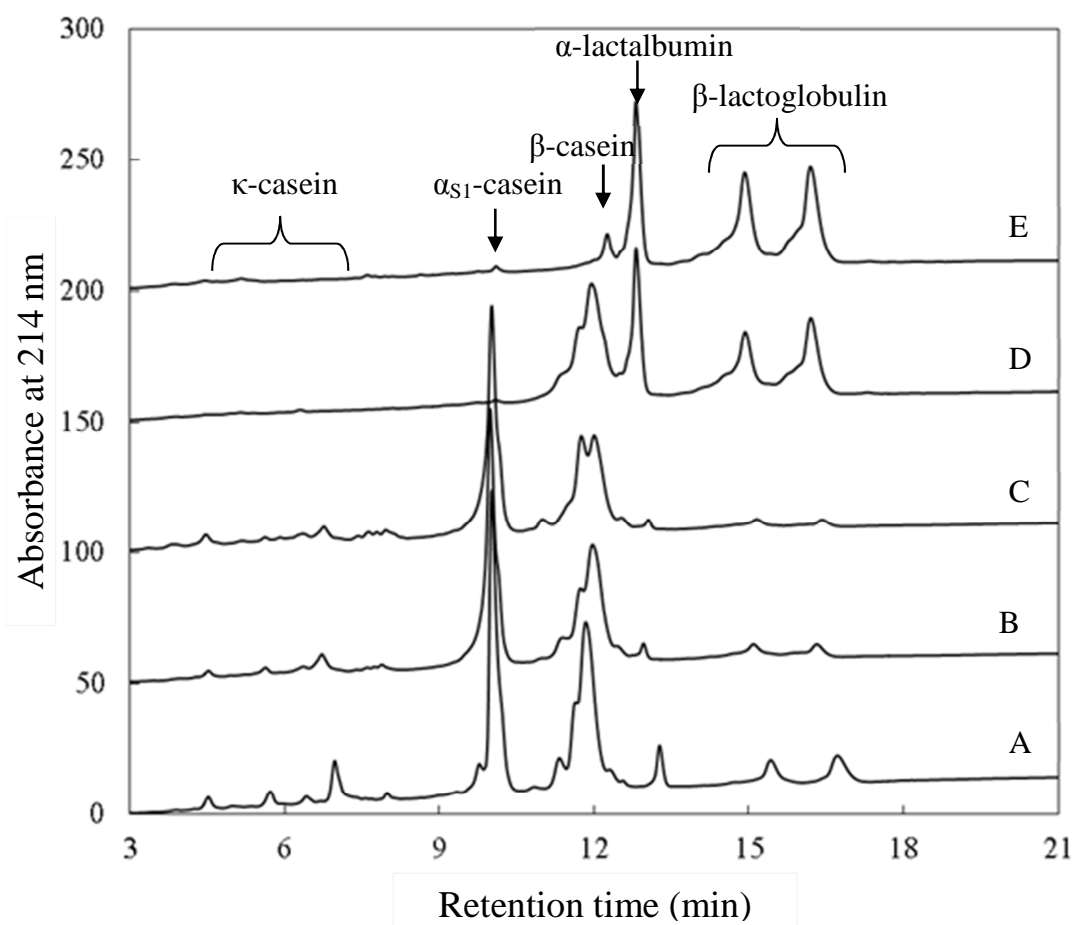


Fig. 2

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86 **Fig. 3.**

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