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1     **Drought variation of western Chinese Loess Plateau since 1568 and its linkages**  
2                                   **with droughts in western North America**

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### **Abstract**

25 Understanding long-term drought variations in the past can help to evaluate ongoing  
26 and future hydroclimate change in the arid western Chinese Loess Plateau (WCLP), a  
27 region with increasing demand for water resources due to the increasing population  
28 and socioeconomic activities. Here we present a new tree-ring chronology from the  
29 WCLP, which shows coherent interannual variations with tree-ring chronologies from  
30 7 neighboring areas across the WCLP, suggesting a common regional climate control  
31 over tree growth. However, considerable differences are observed among their  
32 interdecadal variations, which are likely due to growth disturbances at interdecadal  
33 timescales. To deal with this issue, we use a frequency based method to develop a  
34 composite tree-ring chronology from 401 tree-ring series from these 8 sites, which  
35 shows more pronounced interdecadal variability than a chronology developed using  
36 traditional methods. The composite tree-ring chronology is used to reconstruct the  
37 annual precipitation from previous August to current July from 1568 to 2012,  
38 extending about 50 years longer than the previous longest tree-ring reconstruction  
39 from the region. The driest epoch of our reconstruction is found in the 1920s-30s,  
40 which matches well with droughts recorded in historical documents. Over the past  
41 four centuries, a strong resemblance between drought variability in the WCLP and  
42 western North America (WNA) is evident on multidecadal timescales, but this  
43 relationship breaks down on timescales shorter than about 50 years.

44

45 Key words: Tree ring; drought; western Chinese Loess Plateau; western North  
46 America; multi-decadal timescale

47

## 48 **1 Introduction**

49 Hydroclimate in the western Chinese Loess Plateau (WCLP), a boundary region of  
50 the Asian summer monsoon, is sensitive to large-scale climate anomalies (Chen et al.,  
51 2014). In this arid to semi-arid region, water availability is the major limiting factor  
52 for ecological protection, agricultural and industrial activities. Thus, improved  
53 understanding of hydroclimate regimes in the WCLP will not only add new  
54 knowledge to climate science, but also provide means to better plan future  
55 development in a sustainable way (Ren and Walker, 1998). However, the lack of  
56 instrumental data in the WCLP before the 1950s limits our ability to place recent  
57 hydroclimate conditions in a long term context. As a result, hydroclimate variations at  
58 long timescales, e.g. multi-decadal, are difficult to examine using instrumental data.

59

60 The shortness of the instrumental records can be alleviated by employing climate  
61 proxies such as tree rings to extend the observations back in time (Fritts, 1976).

62 Although many tree-ring based reconstructions have been developed with the aim to  
63 understand hydroclimate changes in the WCLP and surroundings (Fang et al., 2010;  
64 Gou et al., 2015; Hughes et al., 1994; Kang et al., 2012; Li et al., 2007; Liang et al.,  
65 2006; Liu et al., 2008; Shao and Wu, 1994; Yang et al., 2012), the lengths of the  
66 reconstructions seldom exceed 400 years, and the spatial coverage can be improved.

67 In this study, we collected new tree-ring samples in Weiyuan county of the Gansu  
68 province in the WCLP to improve the temporal and spatial coverage of tree-ring data  
69 in the region. Also, by combining both new and previously published data we  
70 generated an improved and extended annual precipitation reconstruction for the entire  
71 WCLP. For the reconstruction to express regionally coherent variability, we  
72 introduced a frequency-based method to generate a composite chronology, which was  
73 expected to have better performance in retaining interdecadal climate information  
74 than when traditional methods are used. Finally, we investigated linkages between  
75 hydroclimate reconstructions in the WCLP and climate sensitive tree-ring  
76 chronologies from eastern Asia and North America to put the new reconstruction into  
77 the perspective of large-scale hydroclimate teleconnections.

78

## 79 **2 Data and methods**

### 80 2.1 Tree-ring data

81 The southwestern part of the WCLP is a transitional area from the Chinese Loess  
82 Plateau to world's highest plateau, the Tibetan Plateau (Figure 1), and tree-ring  
83 samples were collected in the Dieshan and Songmingyan Mountains (Fang et al.,  
84 2015). The southeastern part of the WCLP also includes the western part of the  
85 Qinling Mountain, a geographic boundary separating southern and northern China.  
86 Precipitation associated with the Asian summer monsoon allows a dominance of  
87 broadleaf forests (Figure 1). Conifers are often found on mountain peaks or cliff areas  
88 with very shallow soils (Fang et al., 2015). Precipitation decreases sharply from the

89 southwestern and southeastern parts to the core regions of the WCLP which is  
90 dominated by loess sediments and the Gobi desert. Old growth forests in the study  
91 region are mainly found in mountains with exposed bedrock, but rarely on the  
92 commonly distributed loess mountains, likely because the bedrock provides a higher  
93 ability to retain moisture than the porous loess sediments (Fang et al., 2012). These  
94 mountains are known as "green islands" in this region, and tree-ring material has  
95 previously been collected from the Helan, Xinglong, Guiqing and Kongtong  
96 Mountains (Fang et al., 2015; Li et al., 2007), as well as the Shouyang Mountain and  
97 the Diaoling Temple sites presented in this study (Figure 1).

98

99 The Shouyang Mountain (35.03 °N, 104.32 °E) and Diaoling Temple (35.1°N,  
100 104.17°E) sites are located near the Lianfeng and Qingyuan village of the Weiyuan  
101 county, respectively. Both sites only have a few old-growth trees surrounding the  
102 temples which were sampled. We took 9 increment cores from 4 old *Pinus*  
103 *tabulaeformis* trees at Shouyang Mountain and 22 cores from 11 old *Pinus*  
104 *tabulaeformis* trees at Diaoling Temple site. It should be noted that trees near temples  
105 are likely influenced by human activities. For example, it is known that local people  
106 occasionally collected snow from the surroundings to place under the trees in winter  
107 and watered trees in summer to avoid drought stress. Unfortunately, old growth trees  
108 suitable for climate reconstruction in this area can mostly be found near the temples,  
109 since they are protected from logging due to the religious purposes. Even so, these  
110 tree-ring series are still found to be quite sensitive to climate as indicated by the

111 significant climate-growth correlations shown below. These samples were mounted,  
112 air dried and polished following standard dendrochronological methods (Stokes and  
113 Smiley, 1968), and then crossdated by checking the matching patterns of extremely  
114 narrow and wide rings. The crossdated samples were measured and the quality of the  
115 crossdating was checked using the program COFECHA (Holmes, 1983). In addition,  
116 we found that the crossdated tree-ring width series from Shouyang Mountain and  
117 Diaoling Temple were significantly correlated with previously published tree-ring  
118 series from neighboring sites (Fang et al., 2015; Li et al., 2007). Overall, 401 of the  
119 total 603 tree-ring series from the region could be crossdated. The moderate ratio of  
120 selected vs. available tree-ring series being useful for the chronology development  
121 was largely because of the large distances among these sites. Also, to maintain the  
122 robustness of the composite chronology, only the tree-ring series that were highly  
123 correlated ( $r > 0.5$ ,  $p < 0.001$ ) were selected. This strict selection criterion excluded  
124 many tree-ring series. The selected tree-ring series included 15 out of the 31 cores  
125 from our sampling sites, 42 out of the 107 cores from Helan Mountain, 123 out of the  
126 200 cores from Xinglong Mountain, 30 out of the 43 cores from Guiqing Mountain,  
127 30 out of the 70 cores from Xiaolong Mountain, 45 out of the 52 cores from  
128 Kongtong Mountain, 99 out of the 101 cores from Dieshan Mountain and 17 out of  
129 the 30 cores from Songmingyan Mountain (Figure 1). Chronologies of individual sites  
130 developed from selected tree-ring series match with each other better than the  
131 chronologies developed from all series as indicated by the relatively higher  
132 correlations among the chronologies of individual sites using selected series (Figure

133 S1). In addition, we identified a missing ring in 1770 for all tree-ring cores from the  
134 Guiqing and Xiaolong Mountains. This missing ring had not been identified  
135 previously because of insufficient number of long tree-ring series extending beyond  
136 1770 at these sites.

137

### 138 2.3 Methods

139 The 8 individual site tree-ring chronologies were developed using a traditional  
140 method, and composite WCLP tree-ring chronologies, based on the selected series  
141 from all the sites, were developed using two different methods: a traditional and a  
142 new frequency-based method. In the traditional method, all tree-ring series at the  
143 individual sites were fitted by a smoothed cubic spline curve with a 50% frequency  
144 cutoff of 180 years, which is equal to the mean length of the all the series, to remove  
145 the age-related growth trends. The detrended tree-ring indices were averaged  
146 following a biweight robust mean methodology to produce a chronology (Cook, 1985).  
147 The reliable portion of the tree-ring chronologies was determined when the statistic of  
148 the subsample signal strength (SSS) is higher than 0.85 (Wigley et al., 1984). The  
149 composite chronology based on the traditional method is henceforth referred to as  
150 standard.

151

152 The frequency-based method for the composite chronology development was  
153 designed to better retain interdecadal climate information by dampening the  
154 site-specific, non-climatic influences on these interdecadal timescales. We employed a



155 10 point butterworth filter (Ghil et al., 2002; Mann et al., 2009) to decompose the  
156 tree-ring indices into interannual ( $f > 0.1$ ) and interdecadal ( $f < 0.1$ ) variations. This  
157 filter has advantages in simulating the passband due to its quite flat frequency  
158 response in the passband (Ghil et al., 2002; Mann et al., 2009). The interannual  
159 variations generally matched well, which were thus averaged to highlight the common  
160 interannual variations of the chronology using the biweight robust mean method  
161 (Cook, 1985). We found that some interdecadal variations of tree-ring series were  
162 common across the sites while others differed (detailed below). These differing  
163 interdecadal variations are likely caused by non-climatic factors (Björklund et al.,  
164 2013). To enhance the common interdecadal climate signal, the composite chronology  
165 was developed by using only those chronologies with well-matched interdecadal  
166 variations. Herein, to define the tree rings with coherent variations, we selected those  
167 having high loadings ( $> 0.5$ ) on the first principal component, representing their  
168 common interdecadal variations, based on all the data.

169

170 In order to take advantage of the long tree-ring series, we employed a nested approach  
171 (Cook et al., 2002) by iteratively stepwise identifying the series with common  
172 interdecadal variations from the most replicated common period to the longest period  
173 at a step of 25 years. All of the nested chronologies were standardized to have equal  
174 mean and variance in the most replicated common period and then averaged. The final  
175 composite chronology was developed by merging the interannual and interdecadal  
176 chronologies. This frequency based method was designed to deal with the site-specific,

177 non-climatic variations at interdecadal timescale, and differs from the previous  
178 Hilbert-Huang Transform (HHT) based method that aims to remove the tree-specific  
179 non-climatic variations of tree growths at a site (Fang et al., 2013).

180

181 Monthly temperature and precipitation data were obtained from the meteorological  
182 stations at Lintao, Minxian, Lanzhou, Tianshui, Pingliang and Yinchuan, which are  
183 located close to the tree-ring sites (see Figure 1). The instrumental data started in  
184 1951 when most of the stations were established. The monthly climate data from these  
185 stations were averaged and the climate-growth relationships were analyzed from the  
186 start of the previous growing season (May) to the end of the current growing season in  
187 October (Fang et al., 2015). To identify the strongest climate-growth relationships, we  
188 calculated the correlations between tree growth and all possible combinations of  
189 monthly total precipitation and mean temperature, which resulted in 444 climate  
190 variables. The robustness of the reconstruction was tested using a split calibration and  
191 verification procedure (Meko and Graybill, 1995) by calibrating the tree rings using  
192 instrumental data from 1951-1981 and from 1982-2012, which were verified using the  
193 rest of data from 1982-2012 and 1951-1981, respectively. The statistics of sign test  
194 (ST), reduction of error (RE) and coefficient of efficiency (CE) were used to examine  
195 the robustness of the reconstruction, where RE and CE values greater than zero  
196 indicate acceptable reconstruction ability (Cook et al., 2010). To further validate the  
197 reconstruction, we used a set of drought reconstructions based on historical  
198 documents (Zhang et al., 2003) from 12 counties surrounding the tree-ring sites in the

199 WCLP, including Shanba, Etuoke, Zhangye, Yulin, Yinchuan, Xining, Yan'an,  
200 Lanzhou, Pingliang, Tianshui, Xi'an, Hanzhong (Figure 1) as independent data. This  
201 historical drought atlas classified drought into five categories from 1 to 5 to  
202 representing extremely wet, moderately wet, normal, moderately dry and extremely  
203 dry conditions, respectively. The documents based drought reconstructions start in  
204 1470 and contain some missing values in the early periods due to insufficient  
205 historical records. We calculated the mean of these drought reconstructions from  
206 historical documents to represent the regional drought variations.

207

### 208 **3 Results**

#### 209 3.1 A composite WCLP tree-ring chronology

210 The interannual and interdecadal variations of the 8 tree-ring width chronologies in  
211 the study are shown in Figure 2. The interannual variations are highly correlated  
212 among the sites (Figure 2a). However, there are conspicuous mismatches of  
213 interdecadal variations among these chronologies, particularly in the 1820s-1850s and  
214 the 1850s-1870s (Figure 2b). Both the mean correlations ( $r=0.72$ ) and effective  
215 freedom (126.7) based on the Chelton methods (Pyper and Peterman, 1998) are higher  
216 for the correlations among the interannual variations than those for the interdecadal  
217 variations ( $r=0.63$ , effective freedom=33.2). High correlations on the interannual  
218 timescale indicate a common forcing on tree growth in the region. The differences in  
219 interdecadal growth variability among the sites, however, are likely caused by  
220 site-specific non-climatic factors and consequently need to be minimized when

221 developing a regional composite chronology. Similar features have been found for the  
222 paired correlations among individual tree-ring chronologies of the 8 areas with more  
223 significant correlation among the high-passed ( $f > 0.1$ ) chronologies than the  
224 low-passed data (Table S2). Although there are paired chronologies with moderate  
225 correlations, the composite chronology were developed based only on those highly  
226 correlated tree-ring series as indicated above. It is unlikely that climate regimes differ  
227 among these neighboring sites on interdecadal timescales for this area with its  
228 coherent interannual climate patterns, because the interdecadal climate regimes often  
229 varies over large spatial areas. Moreover, at each site the tree-ring series displayed  
230 common interdecadal variations, further supporting that mismatches among  
231 interdecadal variations are not related to climate.

232

233 We generated one high-frequency mean chronology based on all the 401 tree-ring  
234 width data with coherent variations (Figure 3a) and one low-frequency mean  
235 chronology from 131 tree-ring series displaying coherent interdecadal variations  
236 (Figure 3b). The frequency based composite chronology has enhanced interdecadal  
237 variability (Figure 3c). The enhancement on the interdecadal variability is not very  
238 pronounced likely related to the close interannual variability that “blurred” the  
239 interdecadal variability. As shown in Figure 4, the frequency based chronology  
240 developed from the selected tree-ring series with coherent interdecadal variations  
241 showed stronger interdecadal variability than the standard chronology based on all the  
242 tree-ring series including some series with divergent interdecadal variations.

243

244 The interdecadal variations of the tree-ring chronology agree well with those from the  
245 drought reconstruction based on historical documents (Figure 4). Good matches  
246 between moisture sensitive tree rings and historical documents in this area have been  
247 revealed in previous studies (Liang et al., 2006; Yang et al., 2014a; Yang et al., 2014b),  
248 validating our use of tree rings for hydroclimate reconstruction in the following  
249 section. Mismatches between the two types of records are mainly observed before  
250 1640, which may be due to the relatively few historical documents and low number of  
251 the tree-ring series included in the frequency based composite chronology in these  
252 early periods. Previous comparisons between tree rings and historical documents also  
253 indicated mismatches in these early periods (Yang et al., 2014a). The reliable portion  
254 of the composite chronology, based on an EPS value greater than 0.85 is from 1568 to  
255 2012 (Figure 3c), which is about 50 years longer than the previously published  
256 longest chronology from the WCLP region (Fang et al., 2012).

257

### 258 3.2 Precipitation reconstruction of WCLP

259 The composite WCLP chronology show positive correlations with precipitation and  
260 negative correlations with temperature in previous and current growing seasons  
261 (Figure 5). Tree growth shows highest correlations with precipitation of a  
262 hydrological year starting in August prior to growth and ending in July of the growth  
263 year ( $r=0.66$ ) (Figure 5), thus integrating precipitation signals over two years. Similar  
264 responses to hydroclimate (or precipitation) have also been found in trees growing in

265 arid regions near the Tibetan Plateau (Fang et al., 2015).

266

267 The previous August to current July WCLP precipitation reconstruction, based on the  
268 composite chronology, explains 43.1% of the instrumental variance (Figure 6). The  
269 correspondence between the reconstructed and observed precipitation is given in  
270 Figure 6a. The ST for both tests are significant ( $p < 0.01$ ), and the RE and CE for both  
271 tests are 0.45 and 0.36 respectively, indicating acceptable reconstruction skill. We  
272 identified extreme dry ( $< 2SD$ ) years in 1770, 1796, 1831, 1928, 1929 and 1932  
273 (Figure 6b), and extremely dry epochs, defined as at least 5 persistently dry ( $< 1SD$ )  
274 years from the low-passed ( $f < 0.1$ ) data, during 1702-1707, 1716-1724, 1734-1737,  
275 1827-1833, 1862-1866, and 1925-1933. The dry epoch from 1925-1933 was the most  
276 severe during the last four centuries, with 3 extremely dry years falling within this  
277 period. Extremely wet years ( $> 2SD$ ) were found in 1603-1607, 1751, 1753, 1755,  
278 1786, 1803-1805, 1807, 1977, 1979 and 1980. Wet epochs were found in 1601-1610,  
279 1750-1756, 1783-1792, 1801-1808, 1853-1857, 1963-1967 and 1976-1981. Many of  
280 the reconstructed extreme dry/wet years or periods have previously been revealed by  
281 independent tree-ring data in the WCLP and its surroundings (Gou et al., 2015; Kang  
282 et al., 2014; Kang et al., 2012; Liang et al., 2006; Yang et al., 2012). Our  
283 reconstruction also shows similar variations as the mean of the gridded reconstruction  
284 in the study region (averaged over  $102^{\circ}E$ - $108^{\circ}E$ ;  $35^{\circ}E$ - $40^{\circ}E$ ) from the Monsoonal  
285 Asia Drought Atlas (Cook et al., 2010) (Figure S2). However, our reconstruction  
286 shows stronger interdecadal variability than the one from the Monsoonal Asia

287 Drought Atlas, which is consistent with above results of the enhanced interdecadal  
288 variability of the tree-ring chronology using the new method.

289

## 290 **4 Discussion**

### 291 4.1 Influences of non-climatic factors on interdecadal variations of tree rings

292 It is generally believed that the influences of non-climatic factors on individual  
293 tree-ring series can be cancelled out when developing a tree-ring chronology by  
294 averaging numerous tree-ring series at a site, assuming that the common variation in  
295 tree growth is climate related (Fritts, 1976). Our study highlights that interdecadal  
296 variations caused by non-climatic factors are less likely to be averaged out during the  
297 chronology development process than interannual variations. This may largely be  
298 because non-climatic disturbances often affect similar number of biases at growth at  
299 interdecadal and interannual scales while the number of interdecadal variations is  
300 much lower than the interannual variations, causing higher ratio of biases at  
301 interannual scale than at interannual scale. An example of a non-climate related  
302 disturbances is a growth release episode of 20 years which is observed in a tree-ring  
303 series of 100 years: it can cause the ratio of biased growth of 1% at the interannual  
304 timescale but can cause the ratio of biased growth of 20% at the interdecadal  
305 timescale.

306

307 Non-climatic factors causing different interdecadal variations among sites can be  
308 human-related activities and/or natural processes. For example, enhanced tourism

309 activities at many sites in the WCLP may have caused growth suppressions of some  
310 old trees near the temples. Logging activities can cause growth release of neighboring  
311 trees (Björklund et al., 2013; Latham and Tappeiner, 2002; Martín-Benito et al., 2010).  
312 The natural ecological processes causing different interdecadal tree-ring variations  
313 can be related to growth suppression or release due to completion from neighboring  
314 trees. The commonly used crossdating method ensures the match of high-frequency  
315 variations of tree rings, but cannot guarantee matches of the interdecadal variations.  
316 Accordingly, our frequency based method identified the sites with similar climate  
317 patterns, as indicated by coherent interannual variations, and then only the tree-ring  
318 series with coherent interdecadal variations across sites were used to develop the  
319 final composite chronology.

320

321 It is common in dendroclimatology to compare regional tree-ring based climate  
322 reconstructions with reconstructions in surrounding regions to explore any climatic  
323 linkages. However, such comparisons often find temporally varying associations on  
324 interdecadal timescales. Our study suggests that such mismatches at interdecadal  
325 timescale could partly be caused by different non-climatic factors. The frequency  
326 based method can enhance the climate signal for a large region at interdecadal  
327 timescale, facilitating investigations of climate linkages across regions. As shown in  
328 Figure S3, the frequency-based chronology has good ability in retaining the regional  
329 climate signals at interdecadal scale. Still, it should be kept in mind that local climate  
330 signals might be dampened by merging tree-ring data across sites, if there is a low



331 number of tree-ring series with coherent interdecadal variations with the regional  
332 chronology. For example, the local climate signals of the Helan Mountain area were  
333 not well retained because only a few tree-ring series from that region were included in  
334 the regional chronology. To test whether local climate signals are removed or not,  
335 independent proxy data, such as historical documents, should be used.

336

#### 337 4.2 Droughts in the WCLP

338 Instrumental records and paleoclimate reconstructions have previously revealed  
339 similar precipitation regimes between the WCLP and northeastern Asia (Fang et al.,  
340 2012; Li et al., 2009; Pederson et al., 2001). Such co-variability is reasonable, as these  
341 regions are situated in the marginal areas of Asian summer monsoon. For example,  
342 the timing of the two most severe reconstructed droughts in our study region in the  
343 1920s-1930s and the 1720s-1730s agrees with droughts in northeastern China and  
344 eastern Mongolia (Fang et al., 2010; Li et al., 2009; Liang et al., 2006; Pederson et al.,  
345 2001). Some dry events were likely caused by weakening of the Asian summer  
346 monsoon. For example, one of the driest years in the WCLP was found in 1796, which  
347 corresponds to a severe El Niño year (Grove, 2007). El Niño episodes are associated  
348 with colder-than-normal western equatorial Pacific Ocean, and thus reduced  
349 convective activities, which can weaken the Asian summer monsoon and thus cause  
350 dry condition in its front regions (Ju and Slingo, 1995).

351

352 Although drought variations in the WCLP have consistencies with those in other

353 marginal monsoon areas, the degrees of dryness in these marginal areas can be  
354 different. Based on our drought reconstruction for the entire WCLP, the driest period  
355 during the past four centuries was in 1925-1933. This drought, which has been widely  
356 described in historical documents, was observed in over 20 provinces in China (Li,  
357 1994; Liang et al., 2006), as well as inferred from stalagmite records in the WCLP  
358 (Zhang et al., 2008) and tree-ring data from northeastern and central Mongolia  
359 (Pederson et al., 2001). Between 1928 and 1933, the drought induced serious  
360 reduction in food productivity causing a famine that killed about 2.5 to 3 million  
361 people, which was about half of the population in the Gansu province covering most  
362 of our study region (Li, 1994).

363

364 Other studies have suggested that the drought in the 1630s-1640s, which may have  
365 contributed to the fall of the Chinese Ming Dynasty, was the severest during the past  
366 four centuries in the marginal areas of the Asian summer monsoon (Cook et al., 2010;  
367 Zhang et al., 2008). However, according to our reconstruction, this period was only  
368 moderately dry in the WCLP (Figure 6). This is likely because the 1920s-1930s  
369 drought was centered over the WCLP, while the 1630s-1640s drought was centered  
370 over northeastern China (Cook et al., 2010; Li, 1994; Zhang et al., 2008). Another dry  
371 period that had its core area in northeastern China, but still affecting the WCLP, was  
372 the “Great Victorian Drought” from 1876-1878, which has been recorded in both  
373 tree-ring data (Cook et al., 2010) and historical documents (Li, 1994). Regional  
374 differences among these extended droughts suggest that the dynamics of the Asian

375 summer monsoon is spatially variable in its boundary regions, with droughts of  
376 different magnitude centered in different sub-regions.

377

#### 378 4.3 Co-varying climate changes in the WCLP and western North America (WNA)

379 Compared to other paleoclimate proxies, tree-ring data have advantages to facilitate  
380 investigations of large-scale climate changes due to their large and dense spatial  
381 coverage (Fritts, 1976). To explore the linkages with large-scale climate patterns, we  
382 compared our WCLP reconstruction with other climate sensitive tree-ring  
383 chronologies (Table S1) from Eastern Asia and North America (Figure 7), as  
384 hydroclimate changes in this pan-Pacific area haven been revealed to be closely  
385 linked (Fang et al., 2016). The tree-ring chronologies were mainly derived from the  
386 drought Atlas in Asia and North America (Cook et al., 2010; Cook et al., 2004) and  
387 the PAGES 2k dataset (PAGES 2k Consortium, 2013). Our composite chronology  
388 shows significant correlations at multi-decadal scales with other tree-ring  
389 chronologies in distant regions, particularly in WNA(Figure 7a and 7b). On the  
390 interannual timescales, high correlations are only obtained with tree-ring chronologies  
391 in neighboring regions (Figure 7c and 7d). There may be different controlling factors  
392 for regional precipitation at different timescales. Locally consistent variations in tree  
393 rings in the neighboring areas may suggest the dominance of local surface conditions,  
394 such as vegetation cover and topographic features, which can modulate the local  
395 water cycles through, for example, the soil moisture content, evaporation, convection  
396 and nuclei formations (Huang et al., 2015). On the other hand, co-variability of

397 multi-decadal precipitation variability across remote regions indicates that large-scale  
398 circulation pattern play a larger role at these timescale. This is because large-scale  
399 oceanic and atmospheric patterns are more likely to cause concurrent climate  
400 anomalies in distant regions through teleconnections compared to local water cycles  
401 (Li et al., 2013; Ortega et al., 2015). In addition, the WCLP-WNA hydroclimate  
402 linkages are likely part of the large-scale climate linkages between eastern Asia and  
403 North America (Figure S4). The WCLP and WNA appear to among the key regions  
404 showing close linkages between climate changes in eastern Asia and North America  
405 over the past 4 centuries (Figure S4).

406

407 This study highlights the timescale dependency of climate regimes, which can vary  
408 when certain threshold timescale is crossed. For example, climate change between our  
409 study region and WNA has almost no linkage (Figure 8a) on interannual timescale,  
410 but they have close matches at multi-decadal timescales (Figure 8b). The  
411 WCLP-WNA co-variability sharply becomes significant at a threshold timescale of ~  
412 50 years, suggesting that the controlling climate factors on this co-variability have  
413 periodicities over ~50 years. We thus investigate the possible causes of the linkages  
414 between our reconstruction and climate in WNA at multi-decadal timescale only.

415

416 To further test whether our reconstruction reveals large-scale climate patterns, we  
417 compared our reconstruction with reconstructions of large-scale climate patterns. At  
418 multi-decadal timescale, the Pacific climate is largely modulated by the Pacific

419 Decadal Oscillation (PDO) (Mantua and Hare, 2002), which is considered as the  
420 norther part of the Interdecadal Pacific Oscillation (IPO) (Henley et al., 2015).  
421 However, the existing PDO reconstructions have considerable mismatches among  
422 each other, which provided different depending on PDO reconstructions used  
423 (Kipfmueller et al., 2012). We thus employed an IPO reconstruction based on  
424 tree-ring data of the Pacific area that revealed coherent interdecadal climate patterns  
425 over the entire Pacific Ocean area (Fang et al., 2016). The IPO is also documented to  
426 have a strong impact on global climate at multi-decadal/interdecadal timescale (Dai et  
427 al., 2015; Kosaka and Xie, 2013). Apart from IPO, the Atlantic Multi-decadal  
428 Oscillation (AMO) has been widely recognized to have strong modulation on  
429 multi-decadal climate variability across the globe (Schlesinger and Ramankutty, 1994;  
430 Sonechkin et al., 1999). Proxies from both the Atlantic and Pacific regions have  
431 revealed AMO signals (Gray et al., 2004; Wang et al., 2011). The AMO  
432 reconstructions are robust at multi-decadal timescale since the reconstructions using  
433 proxies from independent sources in Atlantic and Pacific regions have similar  
434 multi-decadal variability. We thus employed an AMO reconstruction by Gray et al.  
435 (2004) based on proxies from Atlantic regions. As shown in Figure 8c, our  
436 reconstruction agrees well with the IPO reconstruction, where almost all cycles match.  
437 This suggests that the multi-decadal variability of the WCLP is modulated by the IPO  
438 and our study region is one of the key regions linked to IPO variability. However,  
439 how IPO modulates regional climate, and thus tree-ring growths, is still uncertain  
440 because the shortness of the instrumental data for this region. Our results suggest that

441 there is a possibility that the IPO modulates multi-decadal temperature and/or  
442 precipitation to cause regional multi-decadal climate change.

443

## 444 **5 Conclusions**

445 Our newly developed tree-ring series at Shouyang Mountain and Diaoling Temple  
446 sites are highly correlated at interannual timescale with most of the moisture sensitive  
447 tree-ring series from 7 surrounding areas in the WCLP, suggesting a common  
448 precipitation regime in the region. However, mismatches were observed of the  
449 interdecadal variations among the tree-ring data in the region, which are likely caused  
450 by local non-climatic disturbances. This study highlights that non-climatic  
451 disturbances at interdecadal timescale are less likely to be averaged out during the  
452 chronology development process relative to disturbances at interannual timescale. To  
453 enhance the common climate signal also on interdecadal timescales, we used a  
454 frequency based method to develop the regional composite chronology which only  
455 included tree-ring series with coherent interdecadal variations across sites.

456

457 We used 401 tree-ring series to develop a composite chronology for the WCLP  
458 spanning from 1568 to 2012, which is about 50 years longer than the previously  
459 published one. The frequency based tree-ring chronology showed stronger  
460 interdecadal variations than the chronology built using traditional methods. It was  
461 used to reconstruct the annual precipitation from previous August to current July back  
462 to 1568, where the reconstruction explained 43.1% of the instrumental variance.

463 Interdecadal drought variations revealed in this tree-ring based reconstruction agree  
464 well with the drought histories recorded in historical documents. Reconstructed  
465 precipitation variability in the WCLP was very similar to the drought variability in the  
466 WNA at multi-decadal ( $f < 0.02$ ) timescales, while no linkage was found in the higher  
467 frequencies. This linkage at multi-decadal timescales is likely due to the common  
468 influences of the IPO on hydroclimate in the two regions.

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### Figure Captions

678 **Figure 1.** Location of the tree-ring sites developed previously and in this study, the  
679 counties with historical drought archives, the meteorological stations in western  
680 Chinese Loess Plateau (WCLP). The inset indicating the location of the study region  
681 in eastern Asia.

682 **Figure 2.** The (a) mean running correlations between the interannual ( $f > 0.1$ ) and



683 interdecadal ( $f < 0.1$ ) variations of the tree-ring chronologies of the 8 areas based on a  
684 51-year window, and the (b) visual comparisons among the interdecadal variations  
685 among these chronologies in western Chinese Loess Plateau (WCLP) during their  
686 common period from 1797 to 1999. The mean running correlations were determined  
687 by first calculating the correlations between individual tree-ring chronologies of each  
688 site and the mean of all the chronologies of 8 areas, and then the correlations for  
689 individual tree-ring chronologies were averaged to produce the mean running  
690 correlations.

691 **Figure 3.** The (a) mean of the interannual ( $f > 0.1$ ) variations of the tree-ring indices,  
692 the (b) mean of the interdecadal ( $f < 0.1$ ) variations of the tree-ring series during their  
693 common period and the (c) comparisons between the “standard chronology” and the  
694 “frequency based chronology”. The standard chronology was developed using  
695 traditional methods by averaging all the crossdatable tree-ring indices, which have the  
696 age-related growth trends being removed. The frequency based chronology was  
697 produced using the introduced frequency based method, which averages the mean of  
698 the interannual and the interdecadal tree-ring series, respectively. The frequency based  
699 methods treats the tree-ring series at the interannual and interdecadal scale separately  
700 and ensures both the interannual and interdecadal tree-ring series are well matched.

701 **Figure 4.** Comparisons among the interdecadal ( $f < 0.1$ ) variations of the “frequency  
702 based chronology” by averaging the crossdatable tree-ring series from all sites using  
703 the frequency based method, the “documents based reconstruction” of drought using  
704 historical documents in western Chinese Loess Plateau (WCLP), and the “standard

705 chronology” developed calculated as the arithmetic mean of of all the tree-ring series  
706 in WCLP following traditional methods.

707 **Figure 5.** Climate-growth correlations for the (a) tree-ring chronology developed for  
708 the newly introduced tree rings at Shouyang Mountain and Diaolin Temple sites and  
709 for the (b) composite chronology developed from tree rings at all sites. The  
710 correlation coefficients with monthly temperature and precipitation were calculated  
711 from the start of the previous (-) year in May till the end of the current (+) year in  
712 October. The peak correlations with precipitation from previous August to current  
713 July is also shown.

714 **Figure 6.** The (a) comparisons between the actual and reconstructed precipitation  
715 since 1951 and the (b) drought reconstructions based on the reliable portion of the  
716 tree-ring chronology since 1568.

717 **Figure 7.** Maps of (a) correlations between the low-passed ( $f < 0.02$ ) composite  
718 tree-ring chronology in western Chinese Loess Plateau (WCLP) and the tree-ring  
719 chronologies in Asia and (b) correlations between the low-passed chronology in  
720 WCLP and North America (NA), (c) correlations between the high-passed ( $f > 0.1$ )  
721 composite chronology and the chronologies in Asia, and the (d) correlations between  
722 the high-passed chronology in WCLP and chronologies in NA. The squares in maps  
723 indicate the correlations between tree rings in WCLP and western NA (WNA) at the  
724 multi-decadal timescale. These tree-ring chronologies derived from currently most  
725 complete tree-ring datasets derived from the Monsoonal Asia Drought Atlas and the  
726 North America Drought Atlas and the PAGES 2k Project. We only included the

727 tree-ring chronologies longer than 400 years. All the data are public available from  
728 National Climate Data Center (NCDC).

729 **Figure 8.** The (a) comparisons between the composite tree-ring chronology in western  
730 Chinese Loess Plateau (WCLP) and the mean of the tree-ring chronologies in western  
731 North America (WNA), the (b) comparisons between the multi-decadal ( $f < 0.02$ )  
732 variations of the chronologies of WCLP and WNA and the (c) comparisons between  
733 the multi-decadal variations of the chronologies of WCLP and the reconstructions of  
734 the Atlantic Multi-decadal Oscillation (AMO) by Gray et al. (2004) and the  
735 Interdecadal Pacific Oscillation (IPO) by Fang et al. (2016). The IPO reconstruction  
736 was reversed (multiplying -1) to facilitating comparisons.

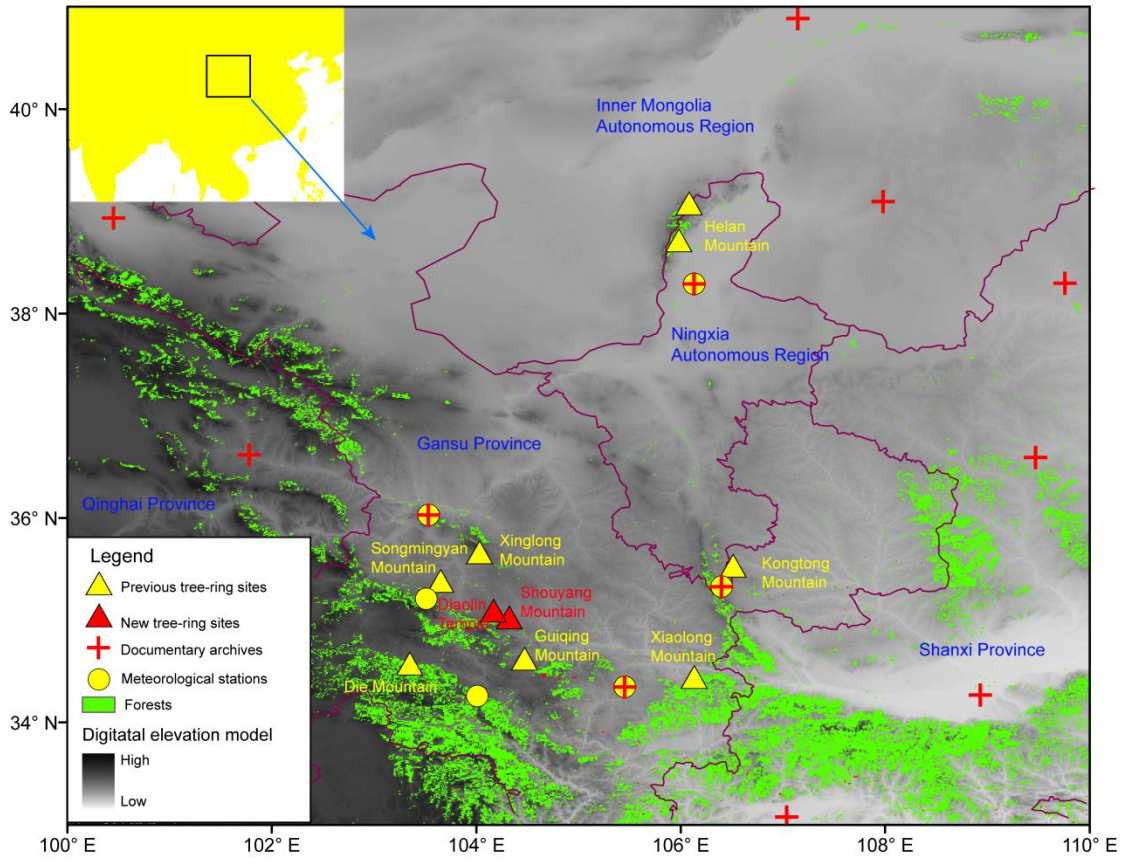
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743 **Figure 1.**

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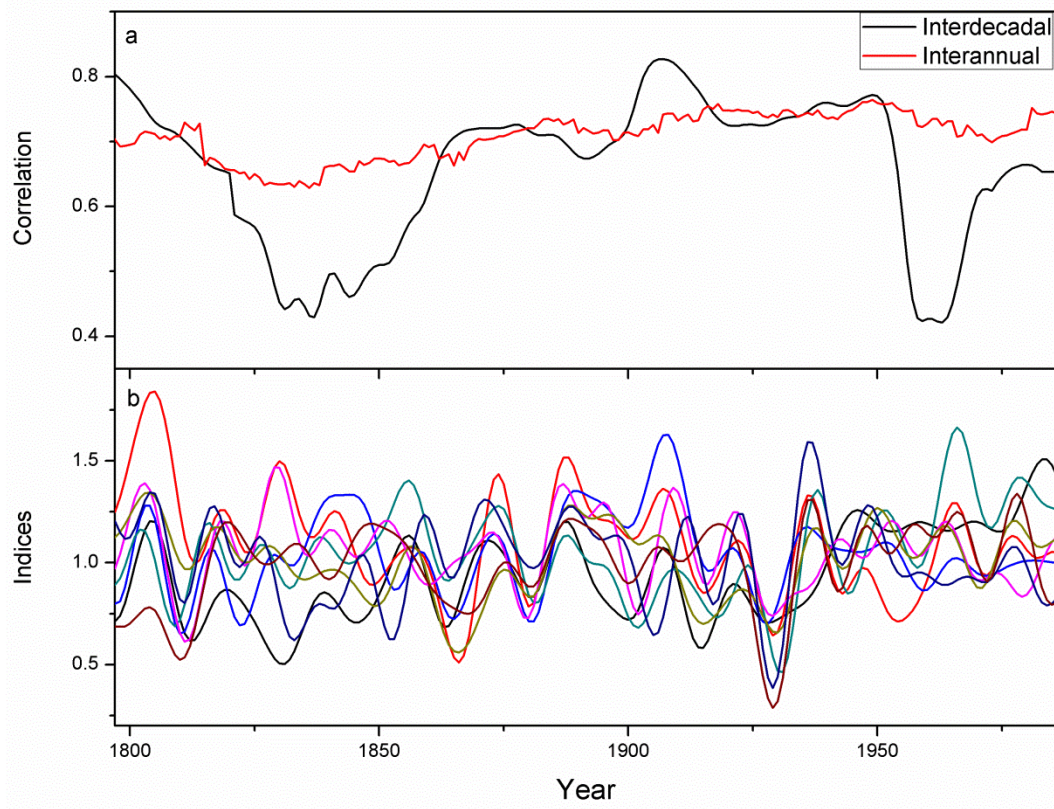
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756 **Figure 2.**

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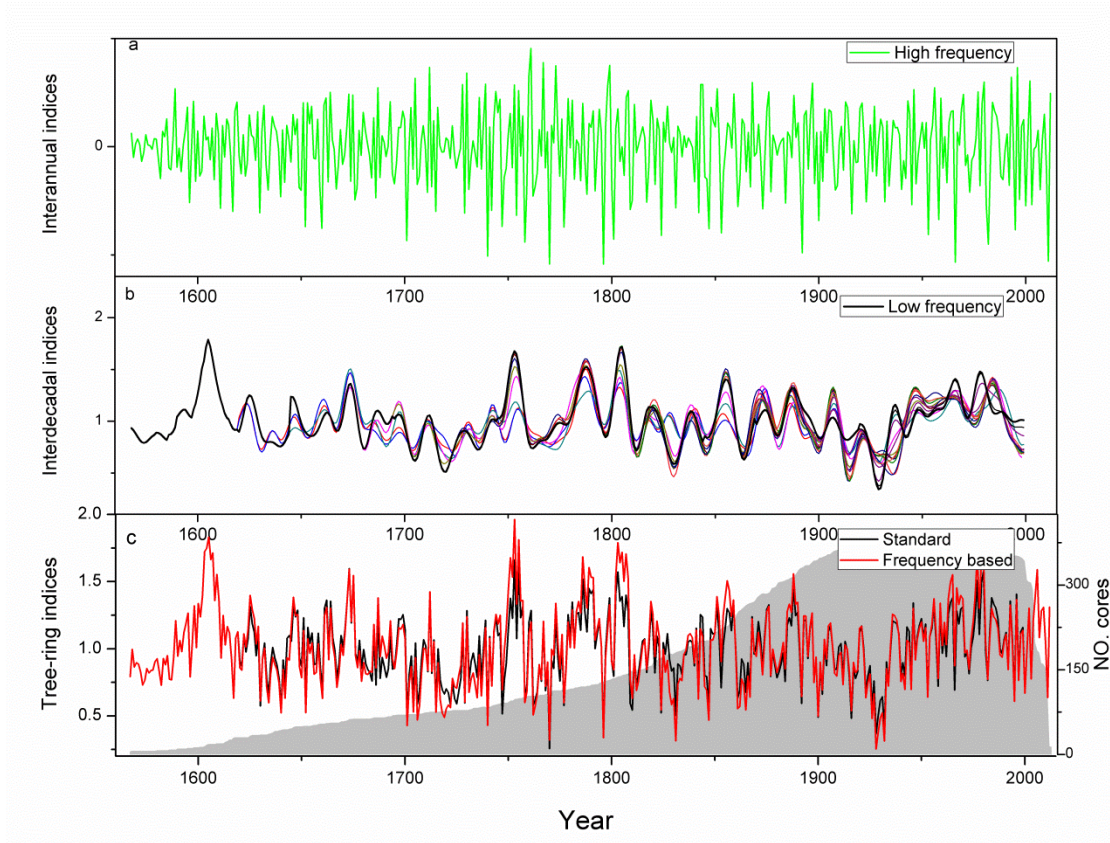
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769 **Figure 3.**

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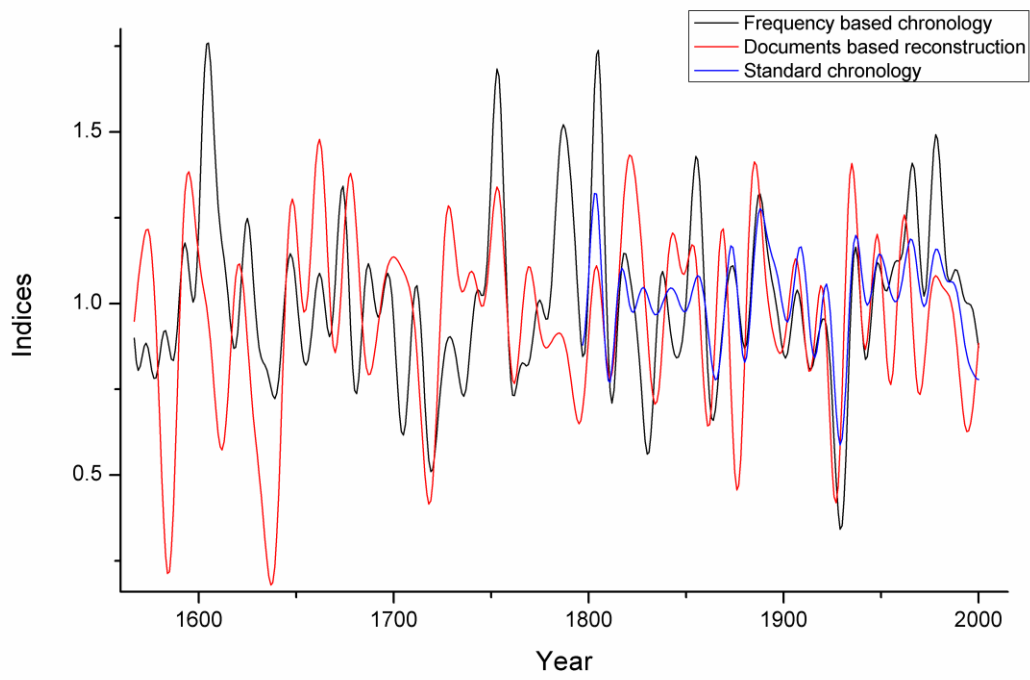
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782 **Figure 4.**

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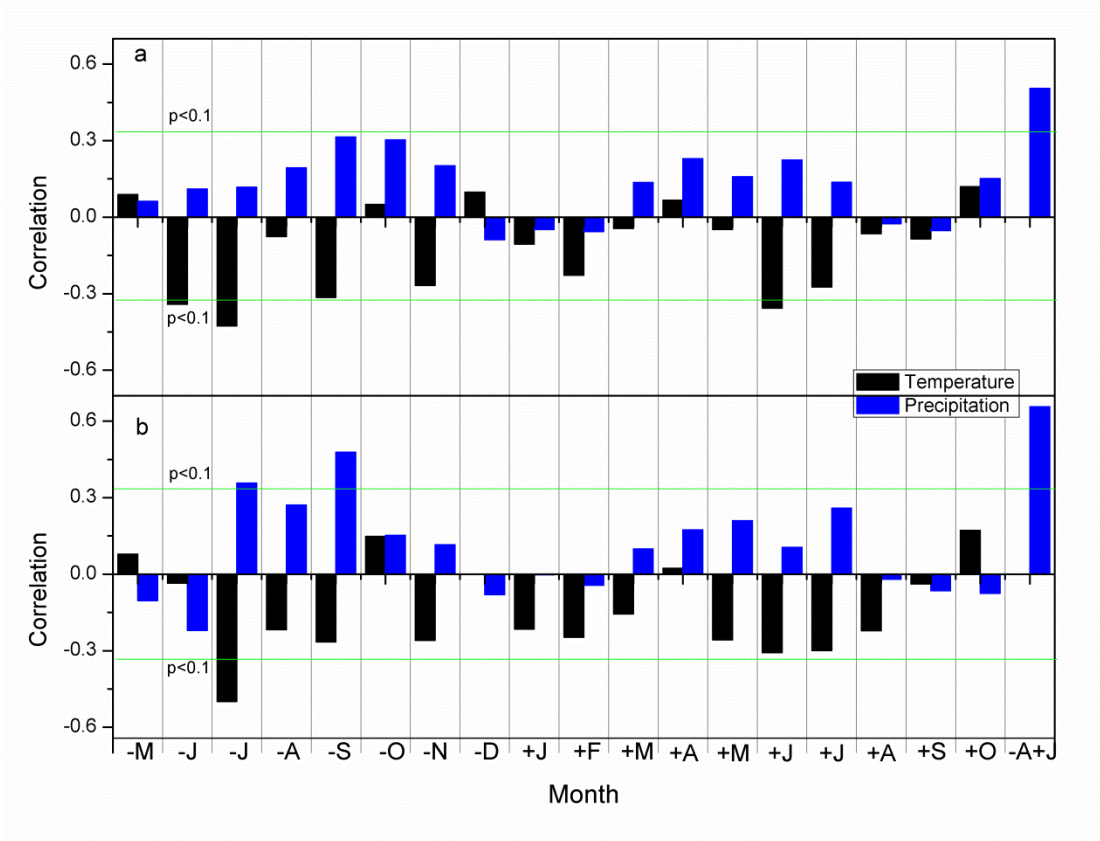
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796 **Figure 5.**

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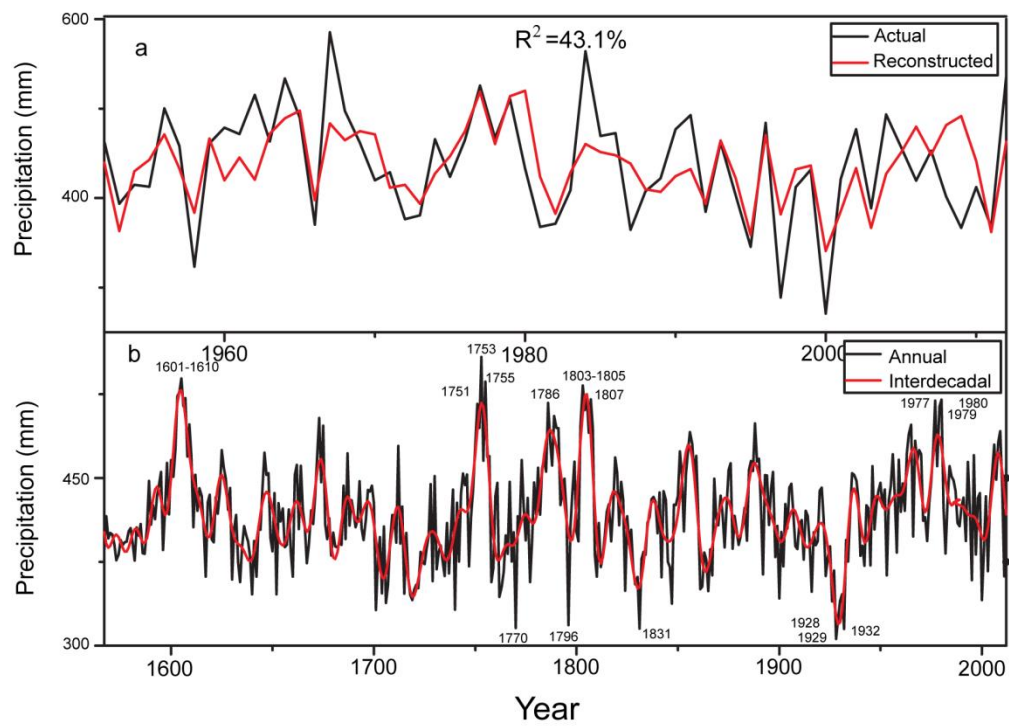
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809 **Figure 6.**

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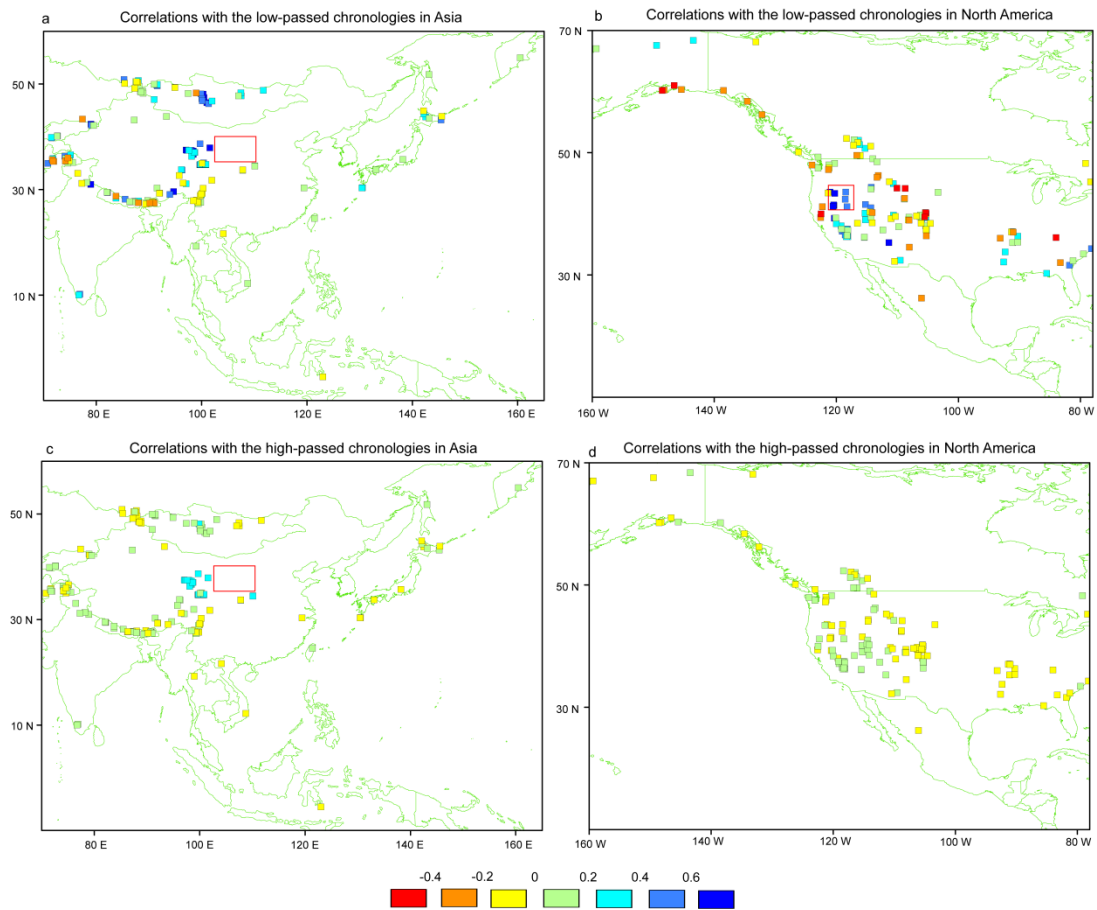
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822 **Figure 7.**

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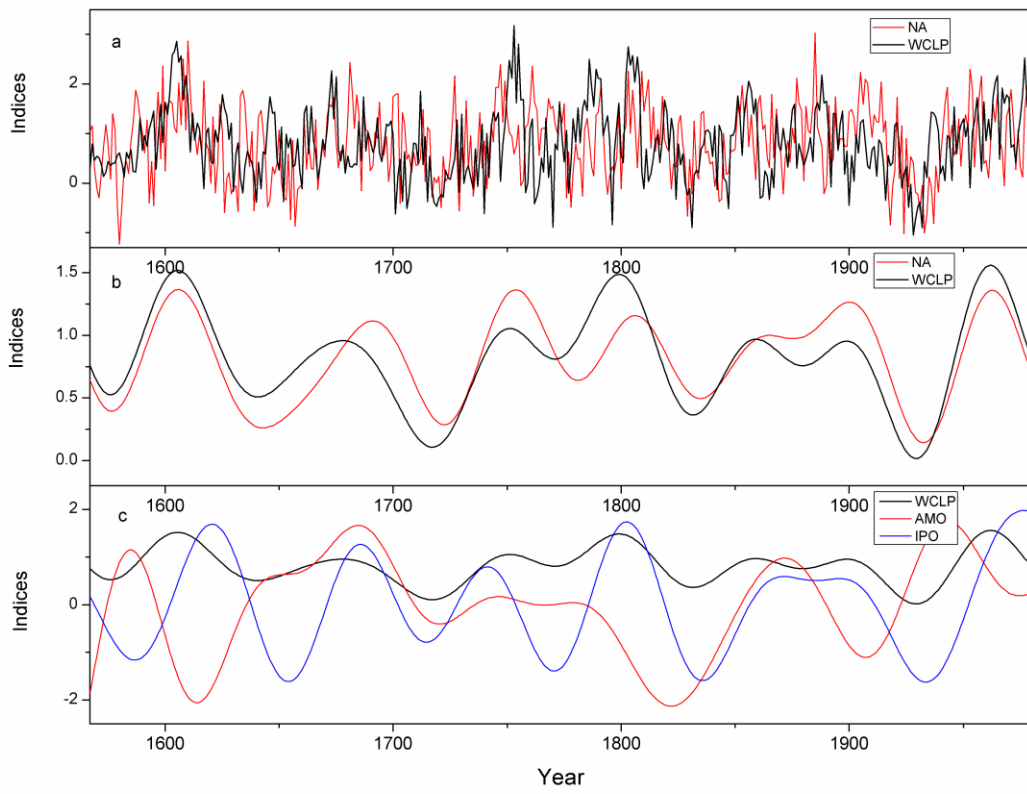
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834 **Figure 8.**

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