



STUDIES ON THE ANTIBACTERIAL AND ANTICORROSIVE PROPERTIES OF SYNTHESIZED HYBRID POLYURETHANE COMPOSITES FROM CASTOR SEED OIL

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ABSTRACT

Castor seed oil (*Ricinus communis*) is a prominent feed stock towards the generation of renewable materials for industrial production. The reach presence of ricinoleic fatty acid at 87.5% provides pendant hydroxyl functional groups, which is an essential site for chemical formulations. This paper presents the synthesis, characterization, and evaluations on antibacterial and anticorrosive activities of synthesized hybrid composites from *Ricinus communis* seed oil. *N,N'*-bis(2-hydroxy ethyl)-12-hydroxy *Ricinus communis* oil fatty amide (HERCA) was synthesized via aminolysis polyol formation route. Upon reacting HERCA with succinic acid at a high temperature of about 145 °C, a polyesteramide (RCPEA) was formed. This ester product undergoes urethanation and subsequently interfaced with modified hybrid material. The synthesized composites were characterized using spectroscopic methods such as FT-IR, ¹H-NMR, and ¹³C-NMR. Selected physicochemical parameters were also carried out on the products. Coating performance on cured resins was examined.

Keywords: *Ricinus communis*, ricinoleic, polyesteramide, urethanation, coating

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INTRODUCTION

The development of industrial products like coatings, elastomers, fibers, forms, lubricants, cosmetics, biodiesel *etc.* has been manufactured through petroleum based feed stocks over the years. These feed stocks are non-biodegradable hence, capable of triggering life-threatening environmental issues like water and air pollutions, increase in world temperature and culminating in ocean levels rise. These global issues can be subdued by the use of resource materials that are eco-friendly and renewable such as seed oils, polysaccharides (basically cellulose and starch), proteins, natural rubber, wood, and others as sustainable substitute for petroleum based feed stocks, which have finite reserves.¹⁻³ Amidst these renewable materials aforementioned, seed oils are most widely used as base materials for polymer and chemical industries due to their low toxicity, low price, ready availability and biodegradability.^{2,4}

Seed oils comprising of different fatty acids compositions are obtained from plants such as soybean, yellow oleanda, *Pogamia glabra*, sunflower, linseed, tung oil, safflower, castor *etc.* The fatty acids profile of castor oil differs extensively from that of other plant seed oils. The presence of its 87.5% ricinoleic acid creates easy modifications through the C-12 hydroxy functional group. However, further modifications (i.e. metathesis, hydrolysis, epoxidation, halogenation, hydroformylation, and aminolysis)

on the seed oil can also be carried out in other to increase the presence of reactive sites for the development of polymeric organic coatings like alkyds, polyetheramides, epoxies, polyurethanes, polyesteramide and other resins.⁵⁻⁶

In this study, a non-drying shrub-like plant seed oil (*Ricinus communis*) having 40% to 60% seed oil content was modified through aminolysis in order to increase its hydroxy functionality; this prepared polyol was used for the synthesis of pristine and hybrid polyurethane composites. The need to formulate coatings with hybrid materials cannot be overemphasized. These materials improve the physico-mechanical, antimicrobial and anticorrosive properties of synthesized coating composites. Amine functionalized ZnO nanomaterials was prepared using 0.1 weight percent of 3-amino propyl tri-methoxy silane (APTMS).^{1,6} The surface modified hybrid material (ZnO-APTMS) leads to the formation of urea linkages with dislodge-NCO unit groups in the polyurethane (PU) matrix. Synthesized polymer composites were characterized using Fourier transform infrared spectroscopy (FT-IR), ¹H NMR, ¹³C NMR, scanning electron microscopy (SEM). Antibacterial, anticorrosive property and salt spray evaluations were also carried out on the synthesized composites.

EXPERIMENTAL

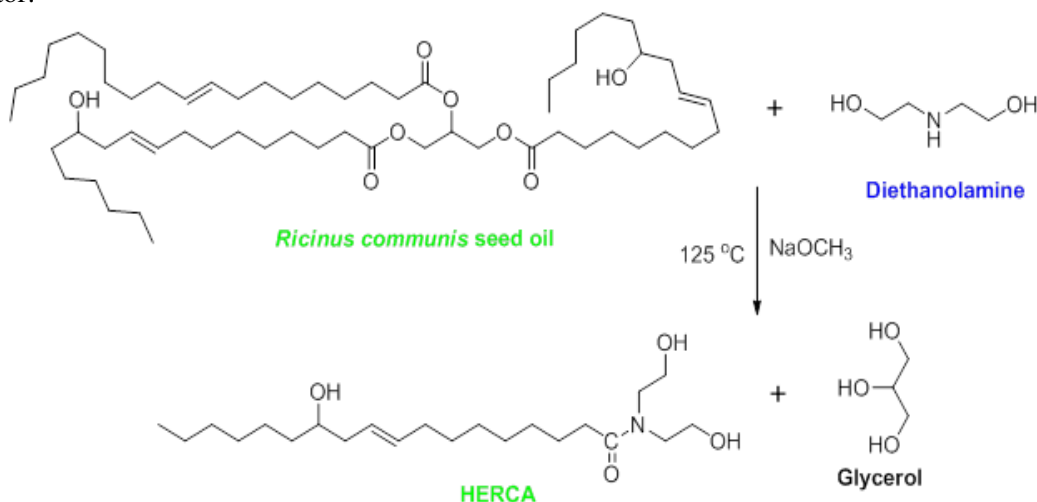
Materials and Methods

Ricinus communis seeds were collected from Ado-Ekiti, South-Western Nigeria. Air-dried seedlings were mildly pulverized using blender and Soxhlet apparatus was used in the extraction of oil from the seeds with *n*-hexane as solvent. Toluene, sodium methoxide, diethanolamine, *n*-hexane, diethyl ether, isophthalic acid, xylene, 4-methyl pentane-2-one, anhydrous sodium sulfate was obtained from S.D. Fine Chemicals (Mumbai, India). Isophorone diisocyanate (IPDI) and ZnO nano powder (particle size 50-70 nm) were procured from Alfa Aesar chemical UK and Aldrich (Milwaukee, WI) respectively. 3-amino propyl trimethoxy silane (APTMS) from Aldrich was used.

The confirmation of chemical structure of *N,N'*-bis(2-hydroxy ethyl)-12-hydroxy *Ricinus communis* oil fatty amide (HERCA), *Ricinus communis* polyesteramide (RCPEA), APTMS modified ZnO and hybrid-NCO terminated polyurethane composites (PURFA-ZnO-APTMS) were carried out on FTIR, ¹H-NMR, and ¹³C-NMR. The FT-IR spectra of all samples were taken on Bruker Table-Top Platinum ATR (Laser Class I, Model Alpha). ¹H-NMR and ¹³C-NMR were respectively recorded on a Varian VXR-Unity 200 MHz spectrometer and a Bruker UXNMR 400 MHz spectrometer by using CDCl₃ or DMSO-d₆ as dissolving solvent and tetramethyl silane (TMS) as an internal standard. The acid and hydroxyl values of the resin samples were determined by using the ASTM D1639-89 and ASTM D4274-94 procedures. The morphological studies were carried out on a SEM Hitachi-S520 (Oxford link ISIS-SEM model), Japan. Elcometer was used to obtain the thickness of the coatings on metal steel panels. A refractometer RFM 800 instrument was used to analyze the refractive indices of all the resin samples¹. *In vitro* antimicrobial activity of the polymers was tested against Gram-positive organisms' viz. *Bacillus subtilis* (MTCC 441), *Staphylococcus aureus* (MTCC96) and Gram-negative organisms' viz. *Escherichia coli* (MTCC 443), and *Pseudomonas aeruginosa* by the agar diffusion method.⁷ The ready-made nutrient agar was suspended in double distilled water (1,000 ml) and heated to boiling for 25 min until it dissolved completely; the medium and Petri dishes were autoclaved at a pressure of 15 lb/in². The medium was transferred into sterile Petri dishes under aseptic conditions in a laminar air flow chamber. When the medium in the plates had solidified, 0.5 ml (approx. 10⁶ CFU/ml) of the culture of test organism was inoculated and evenly spread over the agar surface with a sterile L-shaped rod. Embedded polymer samples with 2 cm × 2 cm (approx.) samples were cleaned with double distilled water and placed on the medium and incubated at 37 °C for 24 h. The antibacterial activity was carried out based on the formation of an inhibition zone and loss of growth of organisms beneath and surrounding the films placed on the agar medium. Three replicates were used for each treatment. MTCC is the Microbial Type Culture Collection in IMTECH (CSIR LAB) Chandigarh, India. Different grades of Silicon carbide papers were used for the preparation of mild steel strips; which were washed with water, ethanol, and acetone. The de-greased metal strips were dried under a vacuum for 3 h. The pristine and hybrid coatings were prepared by brush application.¹

Synthesis of *N,N'*-bis(2-hydroxy ethyl)-12-hydroxy Ricinus communis oil fatty amide (HERCA)

HERCA was prepared by reacting 6:1 molar ratio of diethanolamine and *Ricinus communis* seed oil in a four necked round bottom Pyrex flask fitted with mechanical stirrer, condenser, and thermometer in the presence of 2% sodium methoxide as catalyst. Mechanical stirring of the mixture at 115 °C in the four necked flasks continued until the product (HERCA) was formed. Thin layer chromatography (TLC) was used to monitor the progress of the reaction. The reaction product (Scheme-1) was allowed to cool and dissolved in diethyl ether in a separation funnel. The ethereal layer was washed with 5% aqueous hydrochloric acid. The ether layer was separated and washed with water and later dried over anhydrous sodium sulfate. The concentration of HERCA in the ethereal layer was carried out using rotary evaporator.^{5,9}



Scheme-1: Synthesis of *N,N'*-bis(2-hydroxy ethyl)-12-hydroxy *Ricinus communis* oil fatty amide (HERCA)

Synthesis of Ricinus communis polyesteramide (RCPEA)

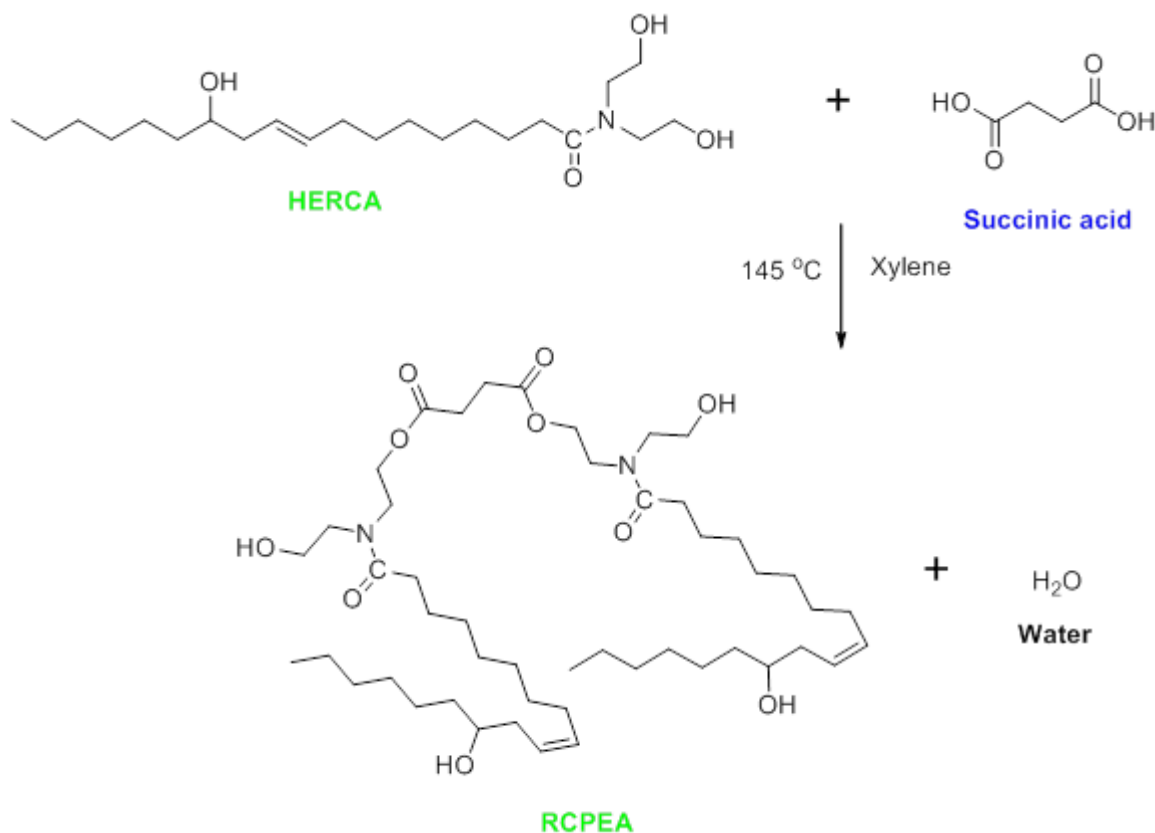
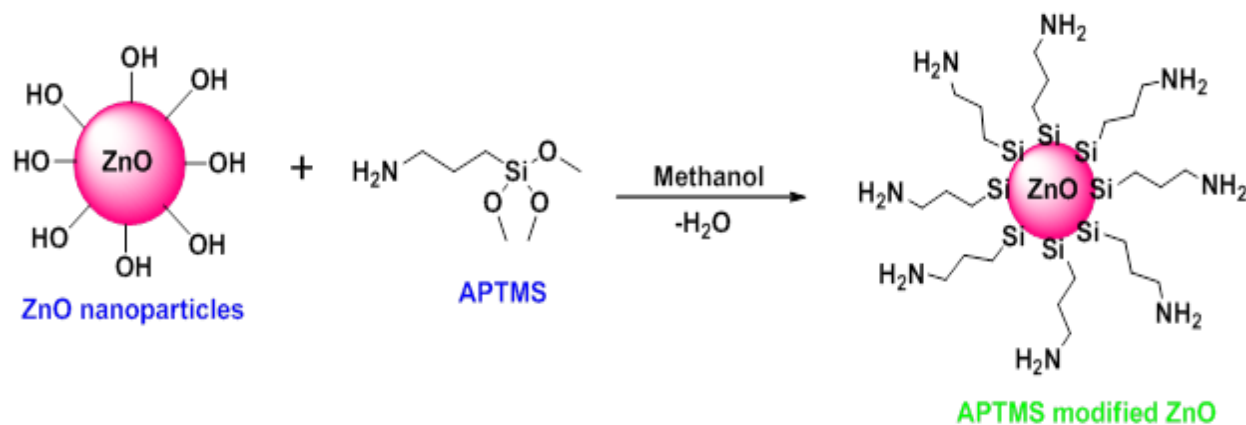
RCPEA was synthesized by reacting HERCA (0.0582 mol) with succinic acid (0.0291 mol) and 26 ml xylene in a four neck round bottom flask connected to a Dean Stark, thermometer, mechanical stirrer, and a nitrogen inlet tube. The reaction mixture was refluxed at 145-150 °C until the theoretical amount of water was collected and the reaction was monitored by the determination of acid value at regular intervals (Scheme-2).^{5,9} At the end of the reaction the product (RCPEA) was taken out of the four neck round bottom flask and xylene (solvent) was withdrawn from the compound using rotary evaporator under reduced pressure.⁵

Modification of ZnO peripheral with APTMS

In a 500 ml round bottom flask, 50 g toluene and 10 g ZnO powder were added and stirred for 10 min using a magnetic stirrer 30 min of the ultrasonic bath was carried out so as to make a uniform suspension. To this suspension, 1 g of APTMS was added and the resulting mixture was stirred at room temperature for 1 h. A slightly yellow-transparent coloration was obtained. The reaction mixture was refluxed for 24h (Scheme-3). The rotary evaporator was used to remove the solvent from the APTMS modified ZnO (APTMS-ZnO). Unreacted APTMS was removed by washing the compound with ethanol. The powder was dried at 50 °C for 1 h in an oven. The modified powder was ground and further dried at 100 °C for 2 h.^{5,10}

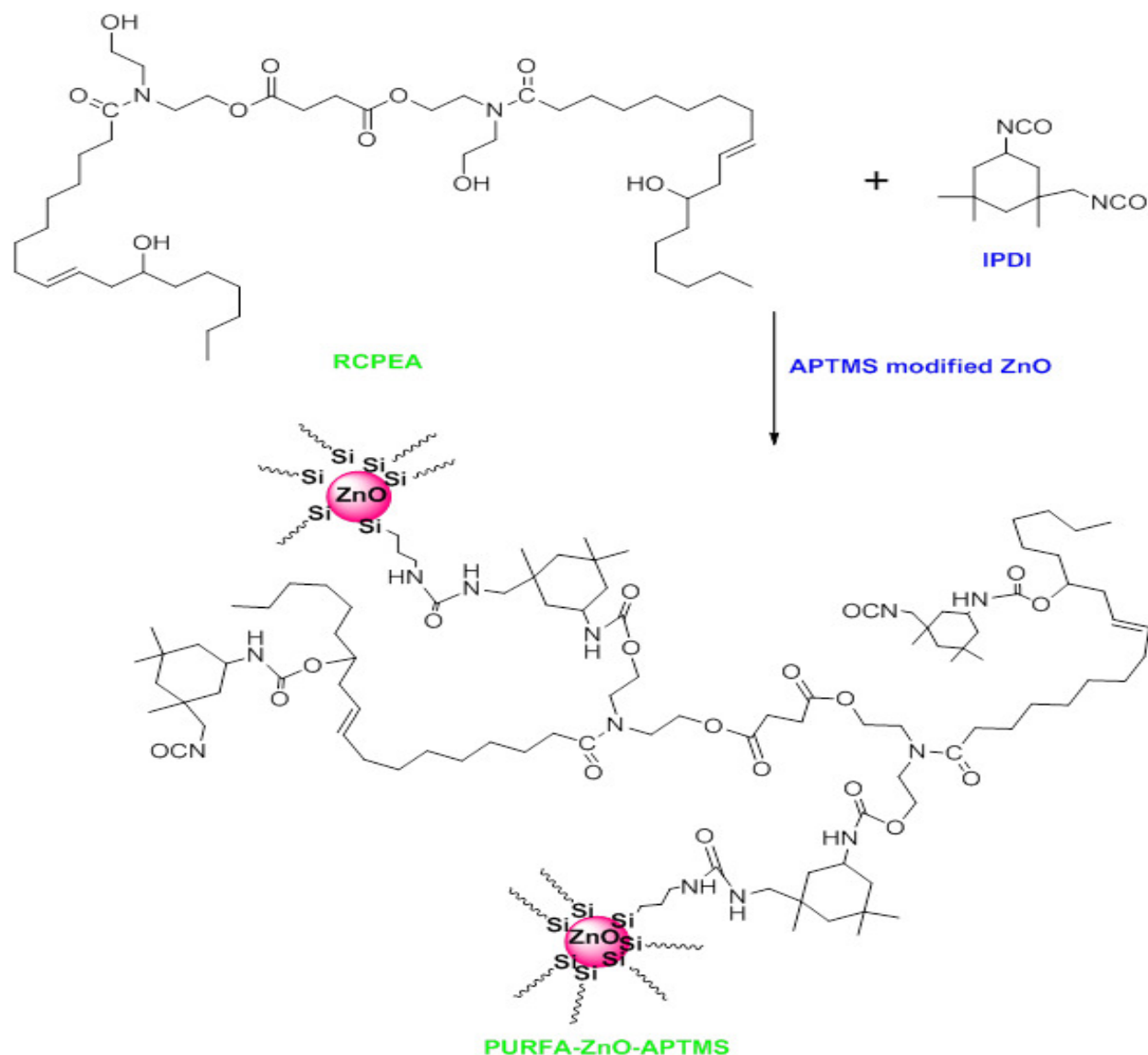
Synthesis of hybrid-NCO terminated polyurethane composites (PURFA-ZnO-APTMS)

In about 7 ml of 4-methyl pentane-2-one in a three neck round bottom flask fitted with nitrogen gas inlet, thermometer, and dropping funnel, 5.02 g of RCPEA was dissolved. The calculated amount of ZnO-APTMS was added and kept in an ultrasonic bath for sonification.

Scheme-2: Synthesis of *Ricinus communis* polyesteramide (RCPEA)

Scheme-3: Modification of ZnO peripheral with APTMS

To the reaction mixture, 1.42 ml of 5-Isocyanate-1-isocyanatomethyl-1,3,3-trimethyl-cyclohexane [also known as isophorone diisocyanate (IPDI)] was dissolved in 2 ml of 4-methyl pentane-2-one and added in a drop-wise manner into the reaction flask. The whole mixture was then heated at 75 °C for 3 h (Scheme 4). The progress of the reaction was monitored by TLC and hydroxyl value determination. Pristine PURFA coating films were also prepared. Finally, the pristine and hybrid composites films were obtained by casting the solution on a tin foil and mild steel panels and allowed to cure at ambient temperature. After curing, tin foil was removed by amalgamation with mercury to get free films. Cured films (with 6 μm and 90–115 μm thickness for foil and mild steel panels respectively) were coded as PURFA, PURFA-ZnO-APTMS (2 wt%), PURFA-ZnO-APTMS (5 wt%): where 2 and 5 corresponds to wt% of nanoparticles in the polymer matrix.^{1,5,10}



Scheme-4: Synthesis of hybrid-NCO terminated polyurethane composites (PURFA-ZnO-APTMS)

RESULTS AND DISCUSSION

FTIR and NMR spectra

Figure-1 shows the overlay FT-IR spectra of RCSO, HERCA, and RCPEA. The broad absorption stretching band at 3407.01 cm^{-1} , confirms the presence of -OH group on position C-12 of ricinoleic fatty acid (RCSO). Also, the characteristic stretching vibrations at 3342.65 cm^{-1} and 3377.66 cm^{-1} corresponds to the respective -OH functional group on HERCA, and RCPEA. Asymmetric and symmetric stretching vibrations of RCSO, HERCA, and RCPEA were respectively observed at (2941 cm^{-1} and 2853 cm^{-1}), (2924.07 cm^{-1} and 2853.43 cm^{-1}) and (2924.21 cm^{-1} and 2853.63 cm^{-1}). However, the absence of ester carbonyl (-C=O) group on HERCA and its presence as sharp stretching vibration on RCPEA at 1736.14 cm^{-1} affirms the formation of RCPEA. The amide carbonyl (-C=O) functional group observed at 1619.50 cm^{-1} and 1626.61 cm^{-1} for HERCA and RCPEA respectively and the C-N vibration at 1051.21 cm^{-1} for RCPEA also corroborates the formation of these compound(s).

The ^1H NMR spectrum of HERCA in Figure 2 also confirms the synthesis of the polyol. The signals occurring at $\delta = 0.88\text{-}0.89\text{ ppm}$ represent the terminal methyl (-CH₃) protons of the fatty acid chain. The

internal methylene (CH_2) protons of the fatty acid chains are observed at $\delta = 1.25\text{-}2.39$ ppm while the double bond ($-\text{CH}=\text{CH}-$) protons resonate at $\delta = 5.42$ ppm. The methylene protons at position “n” and “o” resolves at $\delta = 3.48$ ppm and $\delta = 3.75$ ppm respectively. The bis-hydroxyl ($-\text{OH}$) protons are sited at $\delta = 4.19$ ppm, whereas the hydroxyl ($-\text{OH}$) proton on the ricinoleic fatty acid chain resonates at $\delta = 3.61$ ppm. From the ^1H NMR spectrum of RCPEA (Fig.-3) the following assigned peaks confirm the formation of RCPEA polyesteramide in Scheme-2. Chemical shift of terminal methyl ($-\text{CH}_3$) group and its alpha methylene ($-\text{H}_3\text{C}-\text{CH}_2-$) protons of RCPEA resonates at $\delta = 0.88$ ppm and $\delta = 1.32$ ppm. Protons attached to double bonds ($-\text{CH}=\text{CH}-$) and its immediate alpha methylene group towards amide carbonyl on the straight chains are respectively represented at $\delta = 5.42$ ppm and $\delta = 2.18$ ppm. The methylene group at position “m” is observed at $\delta = 2.33$ ppm. The polyester bond methylene ($-\text{CH}_2-\text{OOC}-\text{CH}_2-\text{CH}_2-\text{COO}-\text{CH}_2-$) linkage protons resonate at $\delta = 4.23$ ppm confirming the formation of RCPEA.

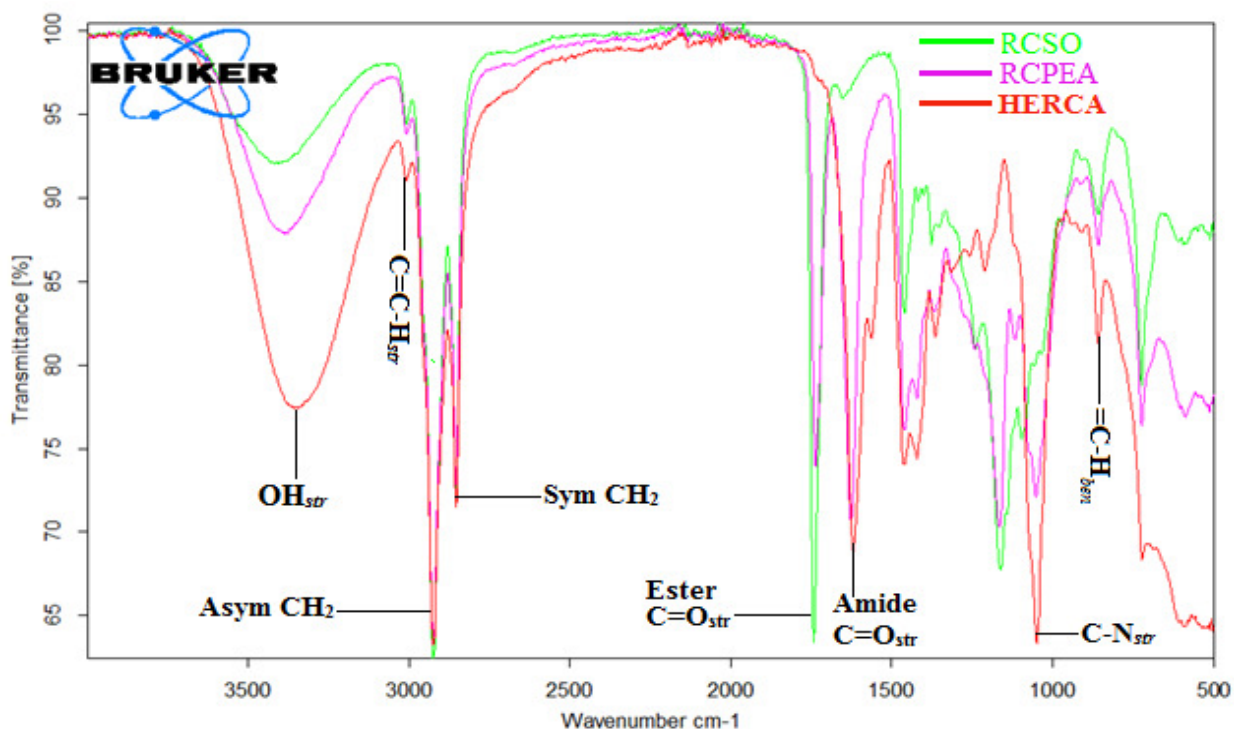


Fig.-1: Overlay FT-IR spectra of RCSO, HERCA, and RCPEA

The pristine FTIR spectrum of PURFA coating is illustrated in Figure 4. The broad stretching vibrational peak of $-\text{NH}$ at 3359.40 cm^{-1} and the absence of $2100\text{-}2270\text{ cm}^{-1}$ FTIR $-\text{NCO}$ region band is a clear indication of urethanation of RCPEA by IPDI. Stretching absorption bands of symmetric and asymmetric $-\text{CH}_2$ of the fatty amide chains respectively appears at 2854.17 and 2924.11 cm^{-1} . The stretching vibration of amide carbonyl ($-\text{C}=\text{O}$) is observed at 1698.18 cm^{-1} while that of the ester is seen at 1740.40 cm^{-1} . $-\text{CN}$ stretching peak appears at 1380.29 cm^{-1} but 1523.49 cm^{-1} corresponds to $-\text{NH}$ deformation peak.

The PURFA ^1H NMR spectrum (Figure 5) shows confirmatory characteristic signals of urethane formation. Terminal protons of PURFA fatty acid chains (CH_3-) resonate at $\delta = 0.81$ ppm, the alpha methylene protons to the terminal protons (CH_3-CH_2-) signal at $\delta = 1.31$ ppm. The internal methylene protons at “c” and “d” on the fatty acid chain are respectively observed at $\delta = 1.29$ ppm and $\delta = 1.25$ ppm, while the characteristic signal at $\delta = 5.34$ ppm represent the double bond protons of the fatty acid chain. The urethane N-H chemical shift at $\delta = 8.20$ ppm and the presence of methylene protons attached to oxygen connecting the urethane functional groups ($-\text{CH}_2-\text{O}-\text{CO}-\text{NH}-$) at $\delta = 4.20$ ppm gave a clear indication of urethane formation. The methylene protons on IPDI ring is observed at $\delta = 0.93$ ppm and $\delta = 1.04$ ppm respectively.

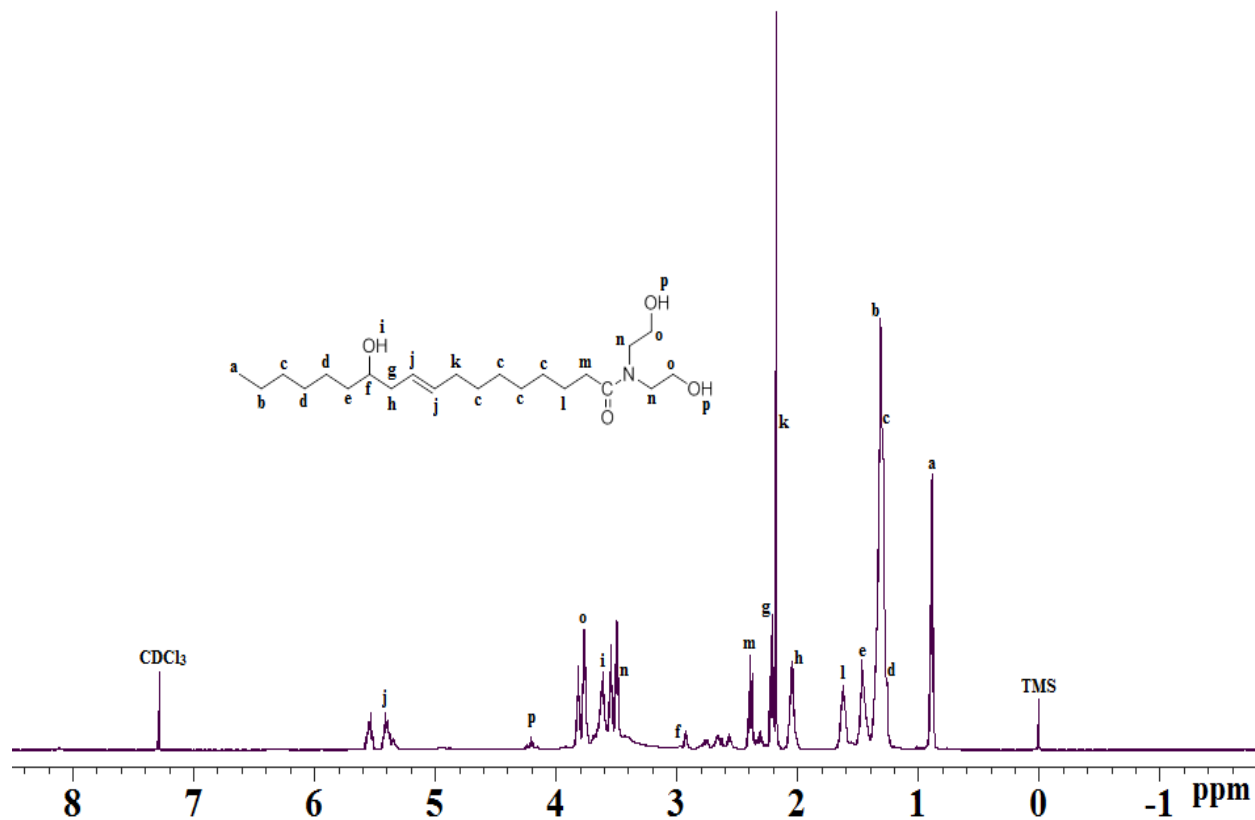


Fig.-2: ¹H-NMR Spectrum of HERCA

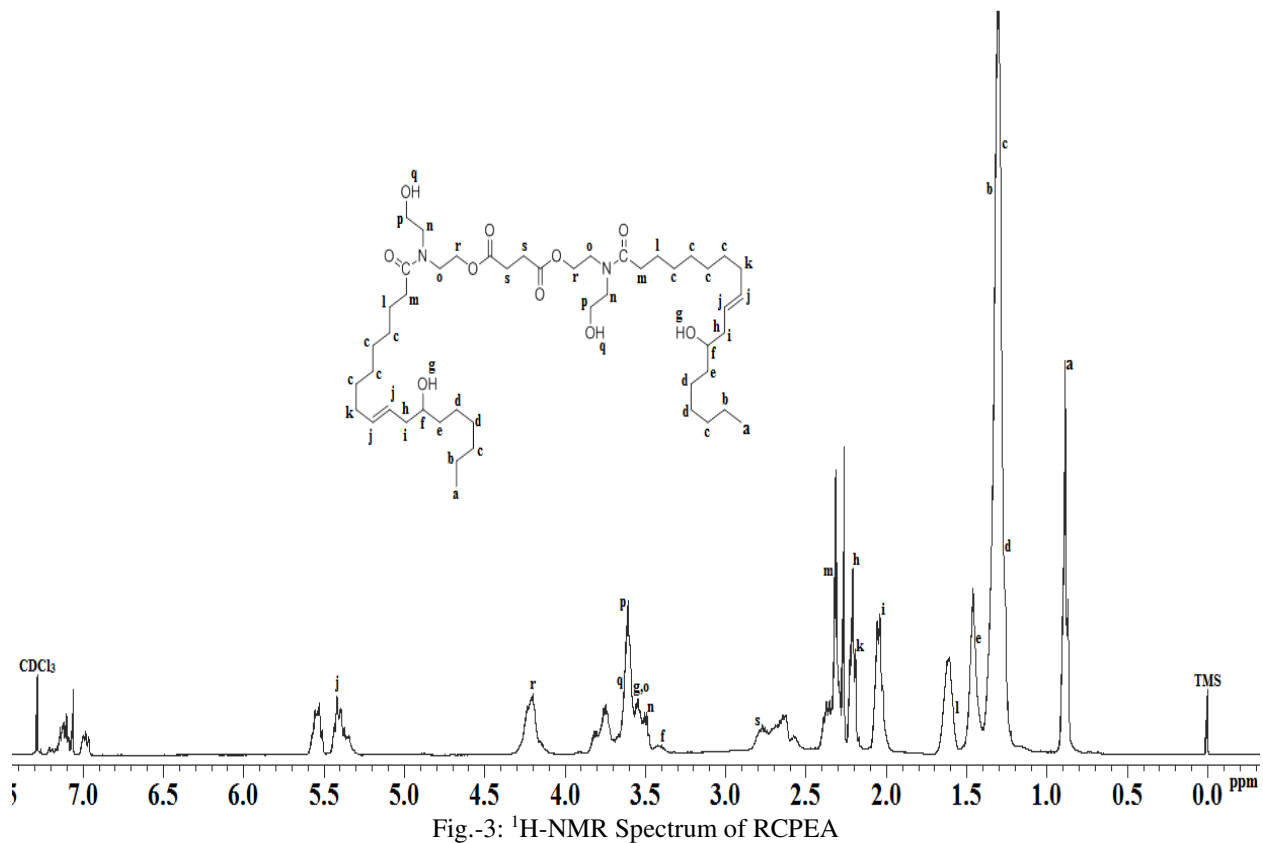


Fig.-3: ¹H-NMR Spectrum of RCPEA

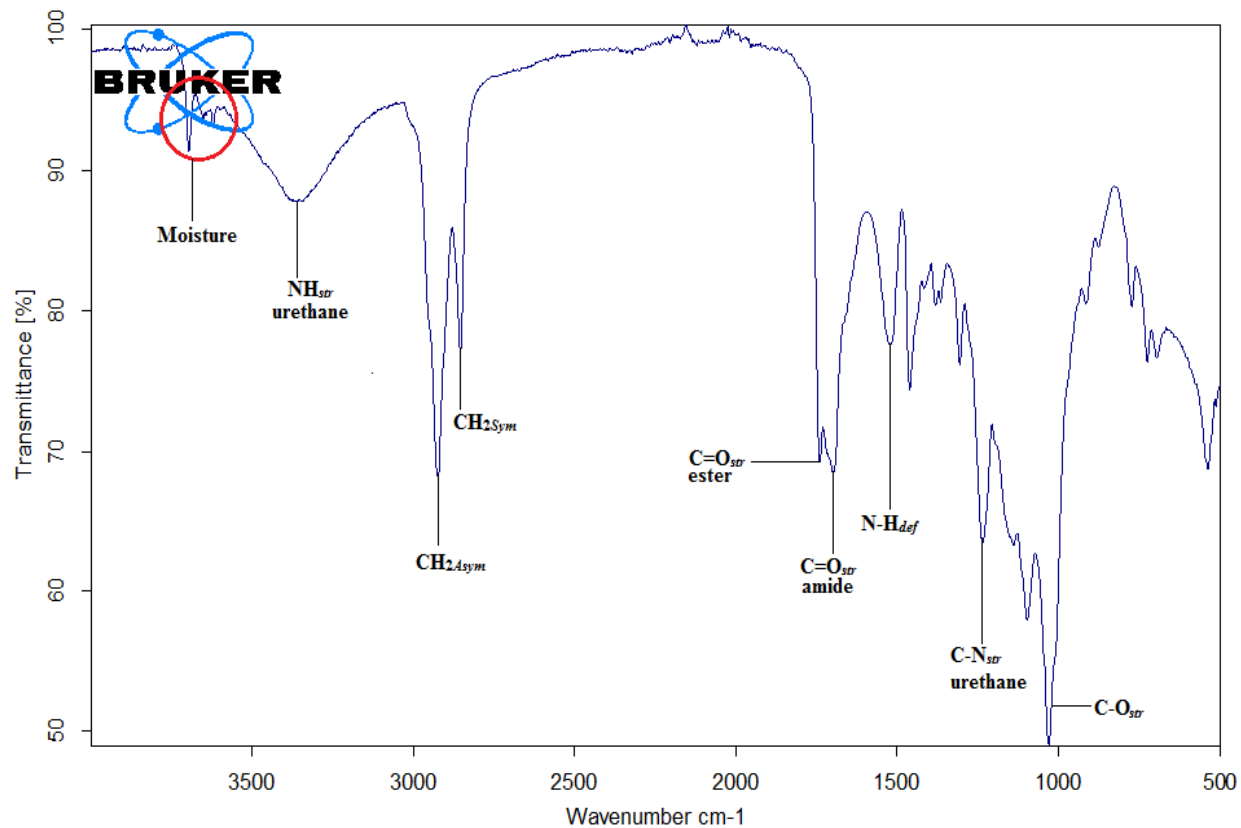


Fig.-4: FT-IR Spectrum of PURFA

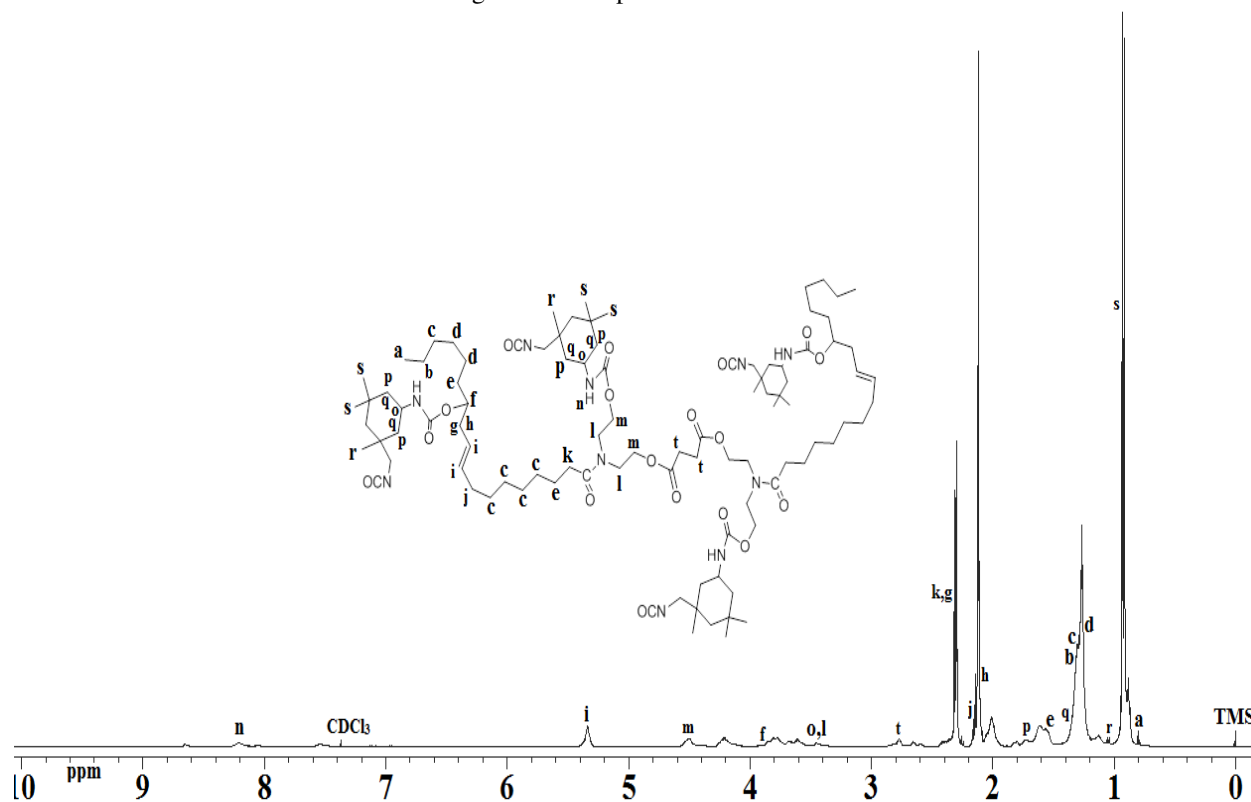


Fig.-5: ¹H-NMR Spectrum of PURFA

The ^{13}C -NMR in Figure 6 further corroborates the formation of PURFA. From the study, the chemical shift for carbonyl carbon ($-\text{C}=\text{O}$) bearing the urethane N-H was observed at $\delta = 155.6$ ppm and the cyclohexane carbons (x, y, a', b', c') of IPDI were also seen at 42.6, 48.0, 18.6, 22.3 and 49.3 ppm respectively. Chemical shift representing terminal methyl group carbons ($-\text{CH}_3$) of the fatty acid chains is represented at $\delta = 14.08$ ppm whereas, those of the internal $-\text{CH}_2-$ carbons of the fatty acid chains (b, c, d, e, f, g) appear at $\delta = 22.49, 31.83, 29.21, 29.59, 34.82$ and 38.72 ppm respectively. The double bond carbons ($-\text{C}=\text{C}-$) on the fatty acid chains on position i and j resonate at $\delta = 122.04$ ppm and 133.62 ppm respectively. The carbonyl carbon at position "s" resonates at $\delta = 173.1$ ppm.

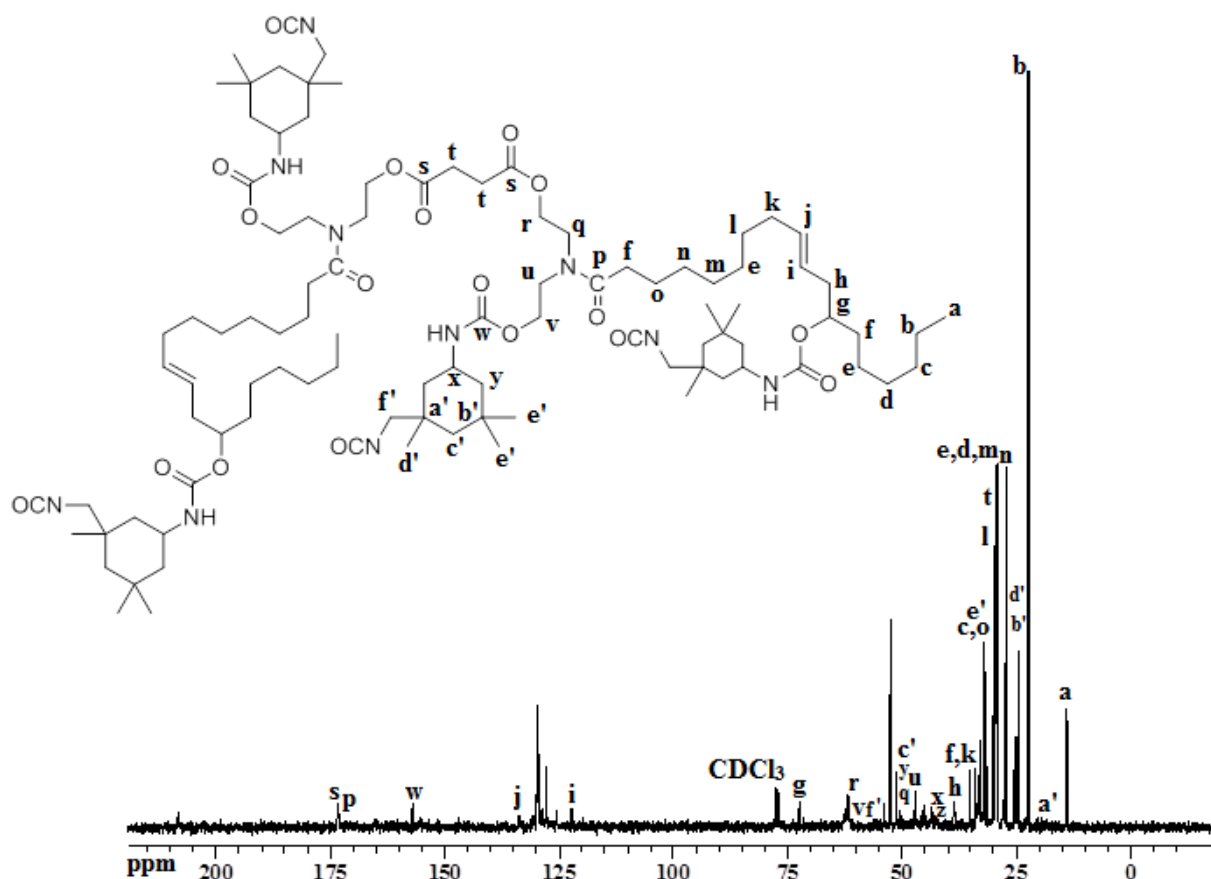


Fig.-6: ^{13}C -NMR Spectrum of PURFA

Physico-Chemical Characteristics

Table-1 presents the physicochemical characterization of RCSO (*Ricinus communis* seed oil), HERCA (*N,N'*-bis(2-hydroxy ethyl)-12-hydroxy *Ricinus communis* oil fatty amide), RCPEA (*Ricinus communis* polyesteramide) and PURFA (polyurethane of the amide). The hydroxyl value of HERCA is approximately three more than that of RCSO, this is due to the contribution hydroxyl functional group provided by diethanolamine in amide formation. This is expected to drop geometrically in PURFA, as these hydroxyls are used up for urthanation. This observation is in agreement with Zafar et al.¹¹ and Siyanbola et al.¹² The observed decrease in saponification and iodine values from RCSO to HERCA and later RCPEA and PURFA confirms cross linking inclinations within the polymer matrix hence, increase in molar mass of the resulting polymer product. The solubility test of resins (HERCA, RCPEA, and PURFA) was performed by taking 0.1-0.2 g of sample in 10 ml of solvent and stirring well for up to 15 min and heating if necessary¹. Dimethylformamide (DMF), diethyl ether, methanol, ethanol, acetone, toluene, chloroform, DMSO, MIBK, and xylene were a solvent used for solubility test. HERCA and

RCPEA showed excellent solubility (greater than 95%) in all the tested solvent, however, PURFA showed less than 80% in methanol and ethanol.

Table-1: Physico-chemical analyses of RCSO, HERCA, RCPEA, and PURFA

Parameters	RCSO	HERCA	RCPEA	PURFA
Acid value mg KOH/g	1.94	3.61	8.49	ND
Iodine value g ICl/100 g	89.41	58.32	20.51	16.18
Saponification value mg KOH/g	178.5	158.2	138.6	119.4
Viscosity (cSt) at 28 °C	312.5	592.6	763.4	ND
Hydroxyl value %	162	406	ND	ND

ND: Not Determined

Anti-corrosive properties of resins

Table-2 reveals the result of anticorrosive properties along with drying time of pristine PURFA and its hybrid forms [PURFA-ZnO-APTMS (2 wt%) and PURFA-ZnO-APTMS (5 wt%)]. On coated mild steel, the hybrid coatings give faster drying time when compared to that of the pristine polymer resin. This is as a result of chain entanglement in within coating system (especially, as the percentage composition of the modified nanomaterial increases within the polymer matrix) hence, unreacted free isocyanate groups chemically react with moisture in the environment.^{5,12,13} The seven days drying time for PURFA is high when compared with five days observed with Siyanbola et al.⁵ This may be due to the nature of diisocyanate (4,4'-diisocyanatodicyclohexylmethane) used in⁵. However, this resin stayed a longer time in the water and its films were not affected.

Antibacterial activities of the films

The antibacterial activities of PURFA, PURFA-ZnO-APTMS (2 wt%) and PURFA-ZnO-APTMS (5 wt%) were tested on common pathogenic organisms such as *Staphylococcus aureus*, *Escherichia Coli*, *Pseudomonas aeruginosa* and *Bacillus subtilis*.^{5,14,15} The results of the test are presented in Fig.-7.

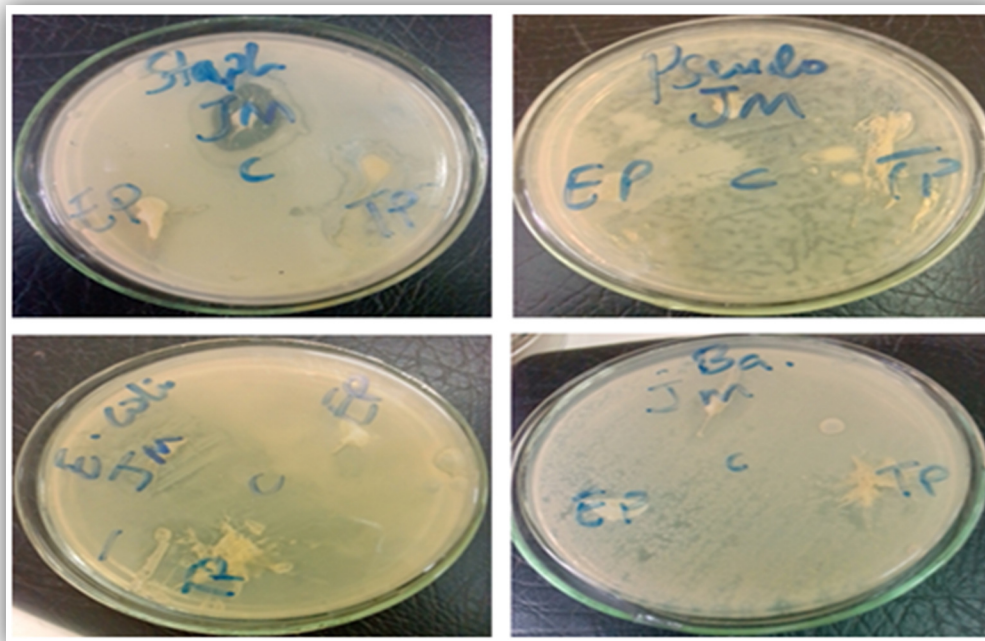


Fig.-7: Antibacterial activities of PURFA and its hybrid films

Staph = *S. aureus*, Pseudo = *P. aeruginosa*, Ba = *B. subtilis*

EP = PURFA, TP = PURFA-ZnO-APTMS (2 wt%), JM = PURFA-ZnO-APTMS (5 wt%)

The films under investigation show least inhibitive zones on *B. subtilis*. PURFA-ZnO-APTMS (5 wt%) show the greatest activities on *S. aureus* plate followed by PURFA-ZnO-APTMS (2 wt%) and PURFA having the least; this goes to confirm the antibacterial effect (with respect to concentration) of the incorporated nanomaterial in the composites coating films. Antibacterial activity was most on *P. aeruginosa* plate with PURFA-ZnO-APTMS (2 wt%) and PURFA-ZnO-APTMS (5 wt%) impeding the growth of the organism almost on the whole area.

Table-2: Chemical resistant and drying time of resins

Resin code	PURFA	PURFA-ZnO-APTMS (2 wt%)	PURFA-ZnO-APTMS (5 wt%)
Drying time ^a (day)	7	4	2
H ₂ O (15 days)	A	A	A
NaOH (5%, 2h)	C	B	B
HCl (5%, 10 days)	C	A	A

^a: Ambient cured, A : unaffected, B : slightly loss of gloss, C : film partially removed

Salt spray test

The salt spray test was used to evaluate the corrosion resistance of the coating films (Figure 8). After about 185 hours of salt spray, panel A and B remains almost unaffected except for the surface dullness of the mild steel coating. Panel C is the representation of the reverse of the unprotected mild steel.

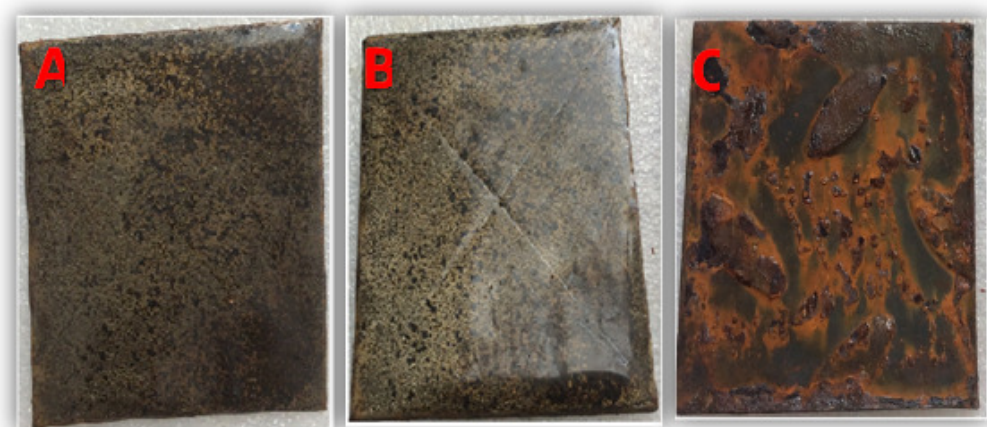


Fig.- 8: The salt spray test results of coated panels
A = PURFA, B = PURFA-ZnO-APTMS (2 wt%), C = PURFA-ZnO-APTMS (5 wt%)

SEM analysis

The SEM micrographs of PURFA-ZnO-APTMS (2 wt%) and PURFA-ZnO-APTMS (5 wt%) is presented in Fig.-9. The SEM micrographs show indication of the uniform distribution of ZnO-APTMS hybrid nanoparticles within the matrix of PURFA. However, the roughness is greater with that of PURFA-ZnO-APTMS (5 wt%).

CONCLUSION

The synthesized APTMS-ZnO was successfully incorporated within PURFA matrix. The siloxane cross-linking effect reduces the drying time of the RCSO ambient cured urethane composites. The nanoparticles are seen to influence the antibacterial activities of the coating films. The viscosity of the synthesized polyester (RCPEA) and its improved hydroxyl functionality facilitates easy modifications towards different product formulations.

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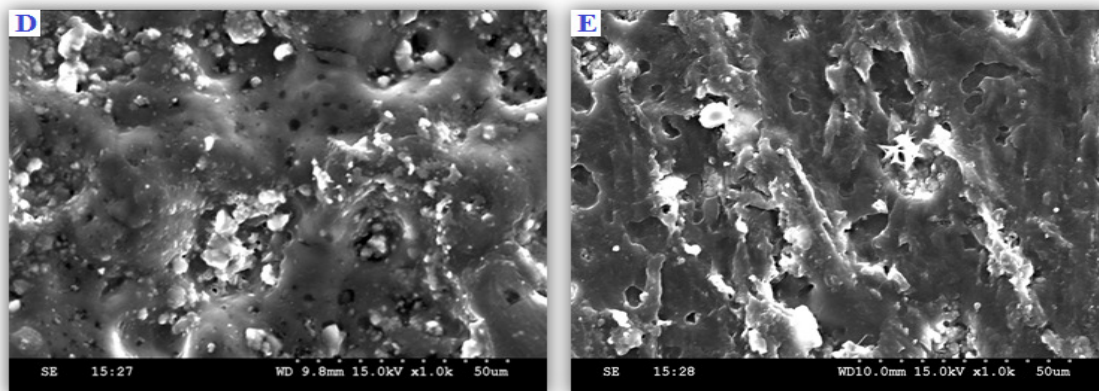


Fig.-9: SEM micrograph of PURFA-hybrid films
 D = PURFA-ZnO-APTMS (2 wt%), E = PURFA-ZnO-APTMS (5 wt%)

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