# **PHYSIOLOGY**

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# Red blood cell, hemoglobin and heme in the progression of atherosclerosis

# Viktória Jeney<sup>1,2</sup>, György Balla<sup>2,3</sup> and József Balla<sup>1</sup>\*

- <sup>1</sup> Department of Medicine, University of Debrecen, Debrecen, Hungary
- <sup>2</sup> MTA-DE Vascular Biology, Thrombosis and Hemostasis Research Group, Hungarian Academy of Sciences, Debrecen, Hungary
- <sup>3</sup> Department of Pediatrics, University of Debrecen, Debrecen, Hungary

#### Edited by:

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#### \*Correspondence:

József Balla, Department of Medicine, University of Debrecen, Pf. 19, Nagyerdei krt. 98, 4012 Debrecen, Hungary e-mail: balla@internal.med. unideb.hu For decades plaque neovascularization was considered as an innocent feature of advanced atherosclerotic lesions, but nowadays growing evidence suggest that this process triggers plaque progression and vulnerability. Neovascularization is induced mostly by hypoxia, but the involvement of oxidative stress is also established. Because of inappropriate angiogenesis, neovessels are leaky and prone to rupture, leading to the extravasation of red blood cells (RBCs) within the plaque. RBCs, in the highly oxidative environment of the atherosclerotic lesions, tend to lyse quickly. Both RBC membrane and the released hemoglobin (Hb) possess atherogenic activities. Cholesterol content of RBC membrane contributes to lipid deposition and lipid core expansion upon intraplaque hemorrhage. Cell-free Hb is prone to oxidation, and the oxidation products possess pro-oxidant and pro-inflammatory activities. Defense and adaptation mechanisms evolved to cope with the deleterious effects of cell free Hb and heme. These rely on plasma proteins haptoglobin (Hp) and hemopexin (Hx) with the ability to scavenge and eliminate free Hb and heme form the circulation. The protective strategy is completed with the cellular heme oxygenase-1/ferritin system that becomes activated when Hp and Hx fail to control free Hb and heme-mediated stress. These protective molecules have pharmacological potential in diverse pathologies including atherosclerosis.

Keywords: atherosclerosis, intraplaque hemorrhage, red blood cell lysis, hemoglobin oxidation, haptoglobin, hemopexin, heme-oxygenase, ferritin

# **INTRODUCTION**

Complications of cardiovascular disease, and in particularly luminal thrombosis triggered by rupture of atherosclerotic lesions, are the leading cause of mortality and morbidity worldwide. Not all the plaques are prone to rupture, only the vulnerable ones, characterized by thin fibrous cap. Recently, plaque neovascularization and intraplaque hemorrhage (IPH) have been linked to plaque progression and vulnerability and these processes gained substantial interest (reviewed in Moreno et al., 2012).

In this review we briefly summarize what is known regarding the triggers of neovascularization and IPH. We overview the fate of red blood cells (RBCs) in the highly oxidative environment of the atherosclerotic plaque, and discuss the defense and adaptation mechanisms which have evolved to control the deleterious effects of cell free Hb.

# **NEOVASCULARIZATION IN ATHEROSCLEROTIC LESIONS**

Oxygen and nutrients are diffused from the vessel lumen into the intimal and medial cells of healthy vessels, while the outer layers of media and the adventitia are nurtured by the capillary network of vasa vasorum (Moreno et al., 2006, 2012). Neovascularization, that is the growth of capillary-like microvessels into the thickened media and intima, has long been considered as a prominent feature of late-stage atherosclerotic plaques

(O'Brien et al., 1994). Nowadays growing evidence support that in fact neovascularization is present in early atherosclerotic lesions (Jeziorska and Woolley, 1999) particularly when the thickness of the tunica intima exceeds the maximum oxygen diffusion distance that is  $\sim 200-250 \,\mu m$  (Geiringer, 1951; Torres Filho et al., 1994; Moulton et al., 1999).

## Hypoxia as a trigger of plaque neovascularization

Hypoxia, a condition when oxygen tension drop below its normal level in the particular tissue (20–100 mmHg), is a long-recognized stimulus for angiogenesis (Knighton et al., 1983). Using oxygen microelectrodes or specific hypoxia markers, hypoxia of the midregion of the atherosclerotic plaques was demonstrated in various animal models (Jurrus and Weiss, 1977; Zemplenyi et al., 1989; Crawford and Blankenhorn, 1991; Bjornheden et al., 1999). In humans, the presence of hypoxic milieu in advanced atherosclerotic lesions of carotid arteries was also shown (Sluimer et al., 2008). As a consequence of hypoxia, switch from aerobic to anaerobic metabolism, characterized by glucose and ATP depletion and lactate accumulation, occurs in both human and experimental atheroma (Levin et al., 2003; Leppanen et al., 2006). Recently it has been shown that both sustained and intermittent hypoxia accelerates the progression of atherosclerosis in apolipoprotein E (apoE) deficient mice (Nakano et al., 2005; Jun et al., 2010).

Hypoxia-inducible factor-1 (HIF-1) pathway is the major mediator of the biological effects of hypoxia (Wang and Semenza, 1995). HIF-1 is active exclusively as a heterodimer of HIF-1α and HIF-1\beta subunits. While HIF-1\beta is stable, the level of HIF-1α is regulated by oxygen (Wang et al., 1995). Under normoxia, HIF-1 $\alpha$  subunits are hydroxylated by the Fe<sup>2+</sup>-dependent prolyl hydroxylases (PHD) followed by ubiquitination and subsequent degradation by the proteasome (Maxwell et al., 1999; Ivan et al., 2001). In contrast, under hypoxia PHDs are inactive and HIF-1α subunits are no longer degraded. This allows the formation of the active HIF-1 heterodimer, which then translocate into the nucleus, binds to the hypoxic response elements and initiates transcription of target genes (Wenger et al., 2005). These genes are involved in the adaptation of the organism to hypoxic condition, such as vascular endothelial growth factor (VEGF) that has a pivotal role in angiogenesis (Forsythe et al., 1996).

Expression of HIF-1 $\alpha$  is increased in deep and less-vascularized layers of human carotid and femoral endarterectomy specimens (Vink et al., 2007; Higashida et al., 2008). Increased HIF-1 alpha expression is associated with elevated level of VEGF suggesting that HIF-1 pathway is active and most probably play a role in neoangiogenesis in these hypoxic regions of the atherosclerotic plaques (Vink et al., 2007; Higashida et al., 2008; Gao et al., 2012).

#### Inflammation and ROS as triggers of plaque neovascularization

Although hypoxia is by far the most studied angiogenic factor, recent discoveries highlighted the role of reactive oxygen species (ROS) that are implicated in both physiological and pathological angiogenesis under normoxic conditions (reviewed in Kim and Byzova, 2014). ROS activates the HIF-1/VEGF pathway that serves as the major underlying mechanism of ROS-mediated angiogenesis. Additionally, recent discoveries highlighted the role of toll-like receptors (TLR) behind angiogenic activity of ROS. The activation of various TLR receptors (TLR2, TLR3, TLR4, TLR2/6) can lead to angiogenesis in both HIF-1/VEGFdependent and HIF-1/VEGF-independent manners (Leibovich et al., 2002; Pollet et al., 2003; Grote et al., 2010; Paone et al., 2010; Spirig et al., 2010) (reviewed in Bordon, 2010). For example activation of TLR4 by lipopolysaccharide activates the HIF-1 pathway (Vink et al., 2007), whereas activation of TLR2 by its novel endogenous ligand,  $\omega$ -(2-carboxyethyl) pyrrole, leads to an angiogenic response that is independent of VEGF (West et al., 2010).

Besides its direct angiogenic potential, ROS have been implicated in the generation of lipid oxidation products with proangiogenic activities, such as oxidized phospholipids that can be found in large amounts in atherosclerotic lesions (Bochkov et al., 2006; West et al., 2010; Hutter et al., 2013).

# Physiological and pathological angiogenesis

Angiogenesis in general is fundamental for development and repair. It was proposed that physiologic angiogenesis can serve as a defense mechanism in atherosclerosis to compensate tissue hypoxia and restore homeostasis in the vessel wall (Moreno et al., 2006). Theoretically neovessels could provide channels for immune cells and bone marrow-derived progenitors to resolve

inflammation and facilitate repair of the diseased vessel, respectively. It was also postulated that physiological angiogenesis contributes to the elimination of accumulated lipids from the intima (Moreno et al., 2006). Regardless of these potential beneficial effects, growing body of evidence suggest that plaque neovascularization correlates with the progression of atherosclerosis and neovessel density was found to be an independent risk factor for aortic plaque rupture (McCarthy et al., 1999; Moreno et al., 2004). Many studies revealed that inhibition of angiogenesis with different approaches reduces plaque growth (Moulton et al., 1999, 2003; Luttun et al., 2002; Petrovan et al., 2007; Drinane et al., 2009; Bot et al., 2011), whereas stimulation of angiogenesis with VEGF or nicotine results in elevated lesion progression in experimental atherosclerosis (Celletti et al., 2001; Heeschen et al., 2001). The observed disadvantageous effects of plaque neovascularization might be explained by pathological angiogenesis that proceeds in an uncontrolled manner, and results the formation of an abnormal neovessel structure.

Neovessles can originate from three sources. Sprouting of the adventitial vasa vasorum in response to angoigenic stimuli is the most widely accepted mechanism of neovessel formation. Besides vasa vasorum, luminal endothelial cells, or recruitment and differentiation of vascular progenitor cells inside the plaque can be involved in the formation of neovessels (reviewed in Galis and Lessner, 2009). Regardless of their origin, plaque neovessels differ both anatomically and in their response to different stimuli from the normal vessels (Ritman and Lerman, 2007). Neovessels are dysmorphic and characterized by discontinuous basement membrane and a relatively low number of tight junctions between endothelial cells (Heistad et al., 1981; Dunmore et al., 2007; Sluimer et al., 2009). Moreover these premature vessels are relatively poor in smooth muscle cells or pericytes (Kolodgie et al., 2003). Consequently, neovessels are leaky and unable to control intraluminal pressure therefore they are prone to rupture (Zhang et al., 1993; Sluimer et al., 2009).

#### **INTRAPLAQUE HEMORRHAGE**

Continuous leakage or rupture of immature neovessels leads to extravasation of RBCs within plaques which process is defined as IPH. IPH is present in about 40% of high-risk plaques (Kockx et al., 2003). Recently IPH has been linked to plaque progression and vulnerability and nowadays is considered as a critical event in triggering atherosclerosis-associated acute clinical symptoms (Michel et al., 2011). Different theories evolved about the molecular mechanisms via which IPH contribute to plaque progression.

# RBC membrane-derived cholesterol as a trigger for lipid core expansion and inflammation

The casual relationship between elevated cholesterol level and atherosclerosis is known for more than 60 years. Early atherosclerotic lesions are characterized by subendothelial accumulation of cholesterol-laden macrophages called foam-cells. During plaque progression foam cells dye and release free cholesterol that deposits inside the plaque forming the necrotic core a characteristic feature of more advanced lesions (Lusis, 2000). For decades low-density lipoprotein (LDL) was considered as the main source of atherosclerotic plaque lipid content, and lowering

circulating LDL-cholesterol level is still a major approach for anti-atherosclerotic therapies (Sahebkar and Watts, 2013).

Recently it has been shown that in human atherosclerotic lesions cholesterol crystals are co-localized with glyophorin A, a characteristic protein of RBC membrane, suggesting that cholesterol content of RBC membrane contributes to lipid deposition and lipid core expansion upon IPH (Kolodgie et al., 2003, 2007). In fact, RBC membrane is particularly abundant in cholesterol (Yeagle, 1985). RBCs are not able to synthetize lipids, but there is an active exchange between RBC membrane lipids and plasma lipoproteins. Therefore lipid composition of RBC membrane reflects plasma lipoprotein levels. For example it has been shown that familial hypercholesterolemia is associated with elevated RBC membrane-associated cholesterol (Koter et al., 2002) and that high-fat diet increase membrane lipid content of RBCs in experimental animal models (Bhandaru et al., 1982; Ivanov et al., 1991; Tziakas et al., 2013). Accordingly, lipid lowering strategies such as statin treatment and life-style changes have been shown to positively modulate RBC lipid composition which might contribute to the atheroprotective effects of these approaches (Tynan et al., 1995; Koter et al., 2002; Caspar-Bauguil et al., 2010; Tziakas

The direct evidence that RBC contribute to lesion progression is provided by the experiment of Kolodgie et al. in which they injected packed RBCs directly into quiescent atherosclerotic lesions in rabbit aortas. RBC injection triggered the enlargement of necrotic core and formation of free cholesterol crystals along with excessive macrophage infiltration (Kolodgie et al., 2003).

Inflammation has a fundamental role in mediating all stages of atherosclerosis (Libby, 2002). Discoveries of the last 20 years made us to understand that besides pathogen-associated molecular patterns (PAMPs) several endogenous molecules, called danger- or damage-associated molecular patterns (DAMPs) can activate cellular receptors leading to downstream inflammation (Matzinger, 1994, 2002). Rajamaki et al. showed that cholesterol crystals serve as DAMPs and cause the activation of the NLRP3 [nucleotide-binding domain leucine-rich repeat containing (NLR) family, pyrin domain containing 3] inflammasome in macrophages (Rajamaki et al., 2010). Activation of NLRP3 inflammasome by cholesterol crystals leads to the activation of cytoplasmic caspase-1 that promotes maturation and secretion of the proinflammatory cytokine IL-1β (Rajamaki et al., 2010) and thus link altered cholesterol metabolism and inflammation in atherosclerotic lesions.

# RBC lysis, Hb release and Hb oxidation upon IPH

While compartmentalized in RBCs oxidation of Hb is controlled by a highly effective antioxidant defense system including enzymatic (Cu/Zn superoxide dismutase, catalase, glutathione peroxidase, and peroxiredoxins) and non-enzymatic (glutathione) scavengers (Siems et al., 2000; Jeney et al., 2013). Upon IPH RBCs enter to the highly oxidative milieu of atherosclerotic lesion, the "death zone" that contains cytotoxic products of lipid peroxidation such as lipid hydroperoxides, aldehydes, and carbonyls (Li et al., 2006). The high occurrence of IPH prompted us to study the interaction of RBC and atheroma lipids. We revealed that these reactive lipids, extracted from human atheroma trigger the

lysis of RBCs (**Figure 1**) (Nagy et al., 2010). Oxidized LDL and cumene hydroperoxide mimic the effect of plaque lipid extract on RBC lysis (Nagy et al., 2010). Moreover, enzymatic conversion of lipid-hydroperoxides to lipid-alcohols by glutathione/glutathione peroxidase causes significant inhibition of RBC lysis triggered by oxLDL and plaque lipids highlighting the critical role of lipid-hydroperoxides in RBC lysis (Nagy et al., 2010).

Hb once outside the protective environment of RBC is prone to oxidation (**Figure 1**). Auto-oxidation of Hb occurs resulting in metHb generation meanwhile superoxide anions are formed (**Table 1**, equation 1). Peroxides, such as  $H_2O_2$  can trigger a two-electron oxidation of Hb leading to the formation of ferryl ( $Fe^{4+} = O_2^-$ ) Hb (**Table 1**, equation 2), whereas the reaction of metHb with  $H_2O_2$  yields ferrylHb radical ( $Hb^{++}(Fe^{4+} = O_2^-)$ ) in which the unpaired electron is associated with the globin or the porphyrin ring (**Table 1**, equation 3) (Harel and Kanner, 1988; Patel et al., 1996; Alayash et al., 2001; Jia et al., 2007).

The generated high-valence iron compounds are highly reactive intermediates that can decay by several routes (Reeder et al., 2008). FerrylHb can trigger further production of globin radicals via an intramolecular electron transfer between the ferryl iron and specific amino acid residues such as  $\alpha$ Tyr-24,  $\alpha$ Tyr-42,  $\alpha$ His-20,  $\beta$ Tyr-35,  $\beta$ Tyr-130, and  $\beta$ Cys-93 of the globin chains resulting the formation of metHb globin radical (Table 1, equation 4) (Ramirez et al., 2003; Deterding et al., 2004; Jeney et al., 2013). Termination reactions of globin- and porphyrin-centered radicals lead to the formation of globin-globin (Table 1, equation 5) or porphyrin-globin crosslinks. The common feature of these structurally heterogeneous molecules is the modification of the globin chain. The nomenclature of these molecules is not concise in these days. Nevertheless, along this review in order to distinguish from metHb and ferrylHb—in which only the oxidation state of heme iron is altered but no globin modification is present—we will refer to those globin-modified molecules as oxidatively modified Hb (oxHb).

Studying the interaction of Hb and atheroma lipids, we observed a severe oxidation of Hb leading to the generation of metHb and oxHb (Figure 1). Moreover, we revealed significant accumulation of metHb and oxHb within human complicated atherosclerotic lesions—covalently cross-linked globinglobin multimers, and dityrosine formation occurs upon IPH suggesting that the above-mentioned reactions take place in such lesions (Nagy et al., 2010; Jeney et al., 2013). We suggested that oxidation of Hb in the atherosclerotic plaque might be triggered by reactive lipid mediators (Figure 1). Besides atheroma lipids oxLDL was also shown to cause oxidation of cell-free Hb, producing metHb as well as ferrylHb and oxHb (Tynan et al., 1995; Nagy et al., 2005; Potor et al., 2013). Oxidation of Hb provoked by reactive lipid mediators can be inhibited by the heme scavenging Hx and by the elimination of lipid hydroperoxides, suggesting that interactions between the heme moiety and the hydroperoxide group drive the oxidation (Jeney et al., 2013).

# Extracellular Hb, oxidized Hb species and heme as triggers of lipid peroxidation and endothelial damage

Oxidative modification of LDL and endothelial damage are key elements of atherogenesis. More than 20 years ago Balla et al.

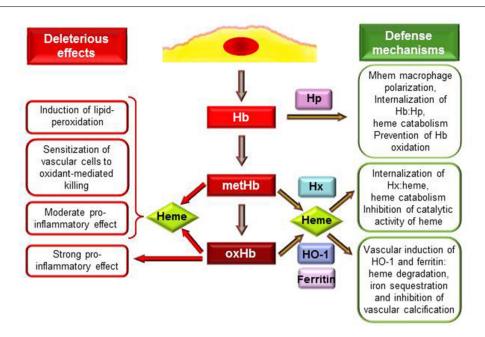


FIGURE 1 | Deleterious effects and defense mechanisms triggered by extracellular hemoglobin and its oxidation products upon intraplaque hemorrhage. Interactions between RBCs and plaque lipids lead to lysis of erythrocytes and release of Hb. Extracellular Hb by reacting with plaque lipids undergo rapid oxidation to metHb and oxHb. MetHb and oxHb release their heme moieties that possess pro-oxidant and pro-inflammatory properties. OxHb is a strong pro-inflammatory agonist which effect is independent of heme release. As an atheroprotective mechanism in response to Hb stress Mhem macrophages polarization occurs. Hp binding Hb but not oxHb attenuates Hb oxidation and their uptake by Mhem macrophages results in

heme catabolism. Heme liberated from MetHb and oxHb can be captured by hemopexin (Hx). Hx inhibits catalytic activity of heme, and after internalization of Hx:heme complex heme is catabolized. Induction of heme oxygenase-1 (HO-1) and ferritin in vascular cells in response to such and insult (Hb, oxHb, heme, lipid oxidation) provides inhibition of oxidant damage and inflammation. HO-1 degrades heme into biliverdin, CO and iron which is sequestered by ferritin. Products of HO-1 mediated heme degradation: CO and biliverdin—that is readily converted to bilirubin—possess different antioxidant and anti-inflammatory properties. Additionally, ferritin inhibits vascular calcification.

showed that heme, the prosthetic group of Hb, is a very efficient trigger of LDL oxidation in vitro and suggested that it might be a physiological mediator of LDL oxidation in vivo (Balla et al., 1991a). We also showed that heme greatly amplifies oxidantmediated endothelial damage (Balla et al., 1990, 1991b). Several lines of evidence support, that these heme-triggered events have etiopathogenic roles in diverse vascular pathologies, including atherosclerosis (Balla et al., 2007). Deficiency of the hemecatabolizing enzyme, heme oxygenase-1 (HO-1), in humans was found to be associated with elevated plasma heme levels, extensive LDL oxidation, severe endothelial damage and accelerated atherosclerosis (Yachie et al., 1999; Jeney et al., 2002; Kawashima et al., 2002; Radhakrishnan et al., 2011). The role of HO-1 in atherogenesis was also examined in animal models. It has been shown that overexpression of HO-1 in apoE deficient mice inhibit lesion formation (Juan et al., 2001), whereas HO-1 deficiency is associated with accelerated atherosclerosis in apoE deficient mice (Yet et al., 2003). In heme-mediated LDL oxidation a unique oxidation product, 5-hydroxy-2-amino valeric acid (HAVA) is formed (Julius and Pietzsch, 2005). HAVA is a hallmark of hememediated LDL oxidation, because other known triggers of LDL oxidation, such as HOCl, H2O2 alone or in combination with Cu<sup>2+</sup> or Fe<sup>2+</sup> induce no or minor HAVA formation (Julius and Pietzsch, 2005). HAVA levels in LDL was found to be elevated in patients with impaired glucose tolerance and with diabetes

mellitus suggesting that heme-mediated LDL oxidation occurs in these patients (Julius and Pietzsch, 2005).

Not only free heme, but metHb and oxHb trigger LDL oxidation and sensitize endothelial cells to oxidant-mediated killing (Balla et al., 1993; Paone et al., 2010; Potor et al., 2013). These Hb species readily release heme moiety (Bunn and Jandl, 1968), which step is of crucial importance in mediating their effect (**Figure 1**). This notion is supported by the observation that restriction of heme release using different approaches, such as binding of Hb to Hp or strengthening the globin-heme binding, inhibits the deleterious effects of these Hb species (Balla et al., 1993; Jeney et al., 2002; Nagy et al., 2010; Potor et al., 2013).

# Extracellular Hb, oxidized Hb species and heme as modulators of inflammation

Inflammation is an important etiopathogenic component of atherogenesis, and several evidence suggest that cell free Hb, oxidized Hb species and heme possess specific immunomodulatory activities (Figure 1). Hemolytic or hemorrhagic episodes are often associated with inflammation even in the absence of infectious agents (Arruda et al., 2005; Gram et al., 2013). Vascular endothelium, that provides a barrier between blood and tissue has a critical role in the inflammatory response mainly by inducing the leukocyte adhesion cascade to facilitate transmigration of inflammatory cells to the inflamed tissue. Endothelial

Table 1 | Routes of hemoglobin oxidation.

	Formed species
$Hb(Fe^{2+})O_2 \rightarrow Hb(Fe^{3+}) + O_2^{\bullet -}$	Methemoglobin
$\begin{array}{c} \\ \text{Hb(Fe}^{2+})\text{O}_2 + \text{H}_2\text{O}_2 \rightarrow \text{Hb(Fe}^{4+} = \text{O}_2^-) + \\ \text{H}_2\text{O} + \text{O}_2 \end{array}$	Ferrylhemoglobin
$Hb(Fe^{3+}) + H_2O_2 \rightarrow Hb^{\bullet+}(Fe^{4+} = O_2^-) + H_2O$	Ferrylhemoglobin globin radical
$-$ Hb(Fe <sup>4+</sup> = O <sub>2</sub> <sup>-</sup> ) + 2H <sup>+</sup> $\rightarrow$ Hb <sup>•+</sup> (Fe <sup>3+</sup> ) + H <sub>2</sub> O	Methemoglobin globin radical
$\begin{array}{c} \\ \text{Hb}^{\bullet+}(\text{Fe}^{3+}) + \text{Hb}^{\bullet+}(\text{Fe}^{3+}) \rightarrow (\text{Fe}^{3+}) \\ ^{+}\text{Hb-Hb}^{+}(\text{Fe}^{3+}) \end{array}$	Covalently cross-linked methemoglobin multimer

Auto-oxidation of Hb generates metHb and superoxide anions (equation 1).  $H_2O_2$  triggers a two-electron oxidation of Hb leading to the formation of ferryl (Fe<sup>4+</sup> =  $O_2^-$ ) Hb (equation 2). The reaction of metHb with  $H_2O_2$  yields ferrylHb radical (Hb+ (Fe<sup>4+</sup> =  $O_2^-$ )) in which the unpaired electron is associated with the globin or the porphyrin ring (equation 3). FerrylHb can trigger further production of globin radicals via an intramolecular electron transfer between the ferryl iron and specific amino acid residues of the globin chains resulting the formation of metHb globin radical (equation 4). Termination reactions of globin- and porphyrin-centered radicals lead to the formation of globin-globin (equation 5) crosslinks.

cells when exposed to heme or oxHb up-regulate the expression of adhesion molecules: intracellular adhesion molecule-1 (Icam-1), vascular cell adhesion molecule-1 (Vcam-1) and E selectin (Wagener et al., 1997; Silva et al., 2009). Comparing to heme, oxHb is a more robust inducer of this inflammatory response, as one-tenth of oxHb provoke the same response as heme. Also, the mechanism of oxHb-triggered inflammatory response seems to be different from the one that heme initiates. OxHb mediated inflammatory response is independent of heme release, which notion is supported by the observation that metHb that can release heme moiety similarly to oxHb has no pro-inflammatory properties (Figure 1) (Silva et al., 2009). Additionally, endothelial cells exposed to oxHb show rearrangement of the actin cytoskeleton leading to disruption of the endothelial cell monolayer, intercellular gap formation and increased permeability of the monolayer, which did not occur upon heme exposure (Silva et al., 2009). Both heme and oxHb have been shown to induce inflammation in mice, with the notion that oxHb seems to be a 10-times more potent agonist than heme (Wagener et al., 2001; Silva et al., 2009). Both heme and oxHb are chemotactic for neutrophils when injected into the peritoneal cavity of mice, but again, oxHb is a much stronger chemotactic agent compared to heme (Porto et al., 2007; Silva et al., 2009). Importantly, heme and oxHbmediated inflammatory responses do not share a common signaling pathway, as heme mediated response has been shown to be TLR4-dependent (Figueiredo et al., 2007; Belcher et al., 2014), whereas oxHb acts on a TLR4-independent manner (Silva et al., 2009).

Macrophages are considered to be the major immune cell type involved in atherogenesis. These macrophages originate from

blood monocytes which are attracted to the subendothelial space. The plaque microenvironment dictates the differentiation of these cells functionally diverse phenotypes. Besides the most extensively studied M1 and M2 subtypes, several other macrophage populations have been identified in atherosclerotic plaques (reviewed in Leitinger and Schulman, 2013; Vinchi et al., 2014). Boyle et al. recently identified a novel hemorrhage-associated macrophage phenotype (Mhem, HA-mac) in human hemorrhaged atherosclerotic plagues (Boyle et al., 2009). It has been demonstrated that polarization of these Mhem macrophages is driven by Hb bound to its endogenous scavenger Hp (Boyle et al., 2009; Finn et al., 2012). The major function of Mhem macrophages is the safe elimination of cell free Hb from the plaque, therefore they highly express CD163, the receptor for uptake of Hb:Hp complex and HO-1, the rate limiting enzyme of heme catabolism (Boyle et al., 2009, 2012). Moreover, Mhem differentiation prevents foam cell formation via decreased lipid uptake and increased cholesterol efflux (Finn et al., 2012). All of these properties can contribute to the atheroprotective nature of these Mhem macrophages (Figure 1).

### **DEFENSE AND ADAPTATION MECHANISMS**

Extracellular Hb and heme are harmful therefore efficient mechanisms have evolved to control their deleterious effects (**Figure 1**). The plasma acute phase proteins Hp and Hx are in the first line of defense upon intravascular hemolysis. The protective strategy is completed with the HO-1/ferritin system that could serve as the last line of defense and become activated when the Hp and Hx cannot control free Hb and heme mediated stress (**Figure 1**). The pharmacological potential of these molecules emerged recently to neutralize the adverse effects of Hb and heme in diverse pathologies (Durante, 2010; Schaer et al., 2013a).

# Control of free Hb by Hp

Hp is present in plasma in high amounts (0.41-1.65 mg/ml) with the exclusive recognized function of capturing and chaperoning cell free Hb to macrophages for degradation (Figure 1) (reviewed in Alayash, 2011). Hp binding accelerates the elimination of circulating Hb through the CD163 macrophage scavenger receptor-mediated endocytosis (Kristiansen et al., 2001). The Hp:Hb complex is highly stable and protects Hb from H<sub>2</sub>O<sub>2</sub>induced oxidation (Miller et al., 1997; Buehler et al., 2009; Pimenova et al., 2010; Banerjee et al., 2012; Potor et al., 2013; Schaer et al., 2013b). Recent resolution of the crystal structure of the porcine Hp:Hb complex revealed that Hb residues known to be prone to oxidative modifications are buried in the Hp:Hb interface thereby explaining the protective effect of Hp against H<sub>2</sub>O<sub>2</sub>-induced oxidation (Andersen et al., 2012). Hp binding not just provide structural stabilization of Hb but also inhibits heme transfer from Hb toward LDL or vascular endothelial cells (Balla et al., 1993; Nagy et al., 2010; Schaer et al., 2013b).

In humans there are two alleles for the Hp gene resulting 3 different genotypes Hp1-1, Hp2-1 and Hp2-2 (reviewed in Goldenstein et al., 2012) accompanied by structurally different proteins. This molecular heterogeneity of Hp was found to be associated with cardiovascular diseases. Many clinical observations revealed that the Hp2-2 genotype is a risk factor

for cardiovascular complications in diverse patient populations (reviewed in Costacou and Levy, 2012), however the attempt to understand the underlying mechanisms lead to controversial results. It has been demonstrated that Hp1-1 is more efficient in blocking heme transfer from Hb to LDL or endothelial cells than Hp2-2 (Melamed-Frank et al., 2001; Bamm et al., 2004) but recently it was reported that the two proteins are equally efficient (Lipiski et al., 2013). Furthermore, Hp2-2:Hb complex was found to be associated with higher functional affinity for the macrophage scavenger receptor CD163 than the Hp1-1:Hb complex (Kristiansen et al., 2001), though other group observed the opposite (Asleh et al., 2003).

Nevertheless, the protective effect and the therapeutic potential of Hp in various hemolytic models has been reported (reviewed in Schaer et al., 2013a), but whether Hb scavenging by Hp acts in an atheroprotective manner remained to be elucidated.

## Control of free hem by Hx

Upon excessive hemolysis Hp is consumed, causing accumulation and oxidation of cell-free Hb that eventually lead to the release of heme. Hx is an acute-phase plasma protein that binds heme with the highest affinity of any known heme-binding proteins (Hrkal et al., 1974). Hx-heme complexes are internalized via the scavenger receptor LDL receptor-related protein 1/CD91 (Hvidberg et al., 2005) mainly by hepatocytes and macrophages (Figure 1) (Herz and Strickland, 2001). Following endocytosis heme is degraded by HO-1 and iron is stored by ferritin (Alam and Smith, 1989). Although it is well-established that Hx binding inhibits the catalytic activity of heme in oxidative reactions including LDL oxidation (Figure 1) (Gutteridge and Smith, 1988; Vincent et al., 1988; Balla et al., 1991a), its role in atherogenesis remained to be elucidated.

Larsen et al. showed that Hx can be used therapeutically to attenuate heme-mediated tissue damage upon severe sepsis in mice (Larsen et al., 2010). Following this study the protective nature of Hx has been shown in different hemolytic mice models, in which administration of Hx improved cardiac function of those mice (Vinchi et al., 2013). These studies raise the possibility of Hx-based therapeutics in the treatment of diverse pathologies in which heme-mediated tissue damage play an etiopathogenetic role.

## The HO-1 ferritin system

Cells exposed to free heme or heme-releasing Hb species, i.e., metHb and oxHb up-regulate HO-1 and ferritin (**Figure 1**) (Balla et al., 1992a,b; Nath et al., 1992; Potor et al., 2013). These proteins provide cellular and tissue protection in diverse pathologies. The mechanism of cytoprotection by HO-1 was recently reviewed (Gozzelino et al., 2010), and it relies on the ability of HO-1 to degrade heme into biliverdin—that is promptly converted into biliverdin—carbon-monoxide (CO) and iron (Tenhunen et al., 1968). The subsequent upregulation of ferritin is essential to obtain the protective effect, as it can store the released iron in a catalitically inactive form (Balla et al., 1992b). Additionally, the side-products of heme degradation—bilirubin and CO—exert various antioxidant and anti-inflammatory properties (Gozzelino et al., 2010).

Many lines of evidences support the atheroprotective nature of HO-1 in humans and in experimental atherosclerosis (reviewed in Vinchi et al., 2014). Recently it has been shown that HO-1 not simply slow down, but reverse plaque progression from a vulnerable plaque to a more stable phenotype (Cheng et al., 2009).

Autopsy examinations of human atherosclerotic lesions revealed that HO-1 expression correlates with plaque instability and the level of pro-inflammatory markers. This might be explained by the stress responsive nature of HO-1. Initial induction of HO-1 expression may act as a compensatory atheroprotective mechanism, whereas at later stages HO-1 expression reflects oxidative stress, inflammation and tissue damage.

Upregulation of HO-1 in atherosclerotic lesions generally appears to coincide with ferritin induction *in vitro* (Juckett et al., 1995; Pang et al., 1996). The increased expression of ferritin also reflects cellular response to heme or heme-iron generated lipid peroxidation products (Agarwal et al., 1996; Hill-Kapturczak et al., 2003). Such induction correlates with the oxidative insult imposed by reactive oxygen and iron. The mechanism by which ferritin provides cytoprotection relies on the ferroxidase activity of H-ferritin subunit (Balla et al., 1992b). Beyond cytoprotection, ferritin serves as a regulator for cell proliferation, inflammation and vascular calcification (**Figure 1**) (reviewed in Crawford and Blankenhorn, 1991; Zarjou et al., 2009).

#### Impaired defense mechanisms in the atherosclerotic lesion

The elimination of cell-free Hb and heme by Hp and Hx is well characterized in hemolytic pathologies where Hb is released into the circulation. But our knowledge is quite limited when Hb is released from RBC outside of the circulatory system. Hp and Hx are plasma proteins and their penetration into the deeper compartments of atherosclerosic plaque might be limited. This could be particularly true for Hp2-2 that is a large molecule thereby its restricted diffusion may explain the apparent association of the Hp2-2 genotype with more severe symptoms in different pathologies.

Following IPH oxidation of Hb occurs, leading to the formation of structurally altered (e.g., covalently cross-linked) Hb species. It was hypothesized that these structural changes might be associated with the impairment of the endogenous scavenging pathways. Recent studies have revealed that elimination of oxidized Hb species via both high-affinity and low-affinity pathways are severely compromised (Schaer et al., 2006; Vallelian et al., 2008).

Impaired defense mechanisms following IPH might limit the clearance of extracellular Hb and heme from the atherosclerotic plaque thereby this could be a new etiopathogenic factor to address in details in the future.

# Control of free heme in extravascular sites by $\alpha$ -1 microglobulin (A1M)

A1M is a small glycoprotein that is found ubiquitously in all tissues. Recently it has been demonstrated that A1M can bind small molecules in its hydrophobic pocket, scavenge free radicals and possesses reductase activity. Based on these features A1M plays a crucial role in tissue housekeeping (reviewed in Akerstrom

and Gram, 2014). Importantly, heme is a major ligand for A1M that can bind heme with high affinity and degrade it (Allhorn et al., 2002). The protective effect of A1M against Hb/hememediated oxidative stress has been shown in different *in vitro* models (Olsson et al., 2008, 2011). Moreover, recently it was demonstrated that A1M infusion attenuates Hb-induced kidney damage in rats (Sverrisson et al., 2014). Based on these properties, we can assume that A1M plays a beneficial role upon IPH by neutralizing and eliminating radicals, oxidants and free heme, but this hypothesis and the potential therapeutic potential of A1M needs to be tested in the future.

### **CONCLUSIONS**

In the last decade, our understanding of atherosclerotic plaque progression and vulnerability underwent a fundamental revision, and neovascularization accompanied by IPH shifted from being an innocent bystander to a pathogenic event that plays a critical role in atherogenesis.

Extravasation of RBCs into the plaque is of crucial importance in triggering IPH-associated reactions. RBC membrane lipids contribute to plaque expansion, whereas cell-free Hb and its oxidation products are strong pro-oxidants and pro-inflammatory agonists targeting cell types with major roles in atherogenesis, such as vascular endothelial cells and macrophages. Systemic and cellular defense strategies to cope with extracellular Hb and its oxidation products might not be efficient or sufficient enough to control the deleterious effects of these molecules deep inside the atherosclerotic plaque, the "death zone."

Comprehensive understanding the role of neovascularization, IPH and Hb release and oxidation on atherogenesis may lead to the development of novel therapeutics intended to interrupt these pathological events.

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