### Quasi-free photoproduction of $\eta$ -mesons off $^3$ He nuclei

Crystal Ball/TAPS experiment at MAMI, the A2 Collaboration

L. Witthauer<sup>1</sup>, D. Werthmüller<sup>1</sup>, I. Keshelashvili<sup>1</sup>, P. Aguar-Bartolomé<sup>2</sup>, J. Ahrens<sup>2</sup>, J.R.M. Annand<sup>3</sup>, H.J. Arends<sup>2</sup>, K. Bantawa<sup>4</sup>, R. Beck<sup>2,5</sup>, V. Bekrenev<sup>6</sup>, A. Braghieri<sup>7</sup>, D. Branford<sup>8</sup>, W.J. Briscoe<sup>9</sup>, J. Brudvik<sup>10</sup>, S. Cherepnya<sup>11</sup>, B. Demissie<sup>9</sup>, M. Dieterle<sup>1</sup>, E.J. Downie<sup>2,3,9</sup>, P. Drexler<sup>12</sup>, L.V. Fil'kov<sup>11</sup>, A. Fix<sup>13</sup>, D.I. Glazier<sup>8</sup>, D. Hamilton<sup>3</sup>, E. Heid<sup>2</sup>, D. Hornidge<sup>14</sup>, D. Howdle<sup>3</sup>, G.M. Huber<sup>15</sup>, I. Jaegle<sup>1</sup>, O. Jahn<sup>2</sup>, T.C. Jude<sup>8</sup>, A. Käser<sup>1</sup>, V.L. Kashevarov<sup>2,11</sup>, R. Kondratiev<sup>16</sup>, M. Korolija<sup>17</sup>, S.P. Kruglov<sup>6</sup>, B. Krusche<sup>1,a</sup>, A. Kulbardis<sup>6</sup>, V. Lisin<sup>16</sup>, K. Livingston<sup>3</sup>, I.J.D. MacGregor<sup>3</sup>, Y. Maghrbi<sup>1</sup>, J. Mancell<sup>3</sup>, D.M. Manley<sup>4</sup>, Z. Marinides<sup>9</sup>, M. Martinez<sup>2</sup>, J.C. McGeorge<sup>3</sup>, E. McNicoll<sup>3</sup>, V. Metag<sup>12</sup>, D.G. Middleton<sup>14</sup>, A. Mushkarenkov<sup>7</sup>, B.M.K. Nefkens<sup>10</sup>, A. Nikolaev<sup>2,5</sup>, R. Novotny<sup>12</sup>, M. Oberle<sup>1</sup>, M. Ostrick<sup>2</sup>, B. Oussena<sup>2,9</sup>, P. Pedroni<sup>7</sup>, F. Pheron<sup>1</sup>, A. Polonski<sup>16</sup>, S. Prakhov<sup>2,9,10</sup>, J. Robinson<sup>3</sup>, G. Rosner<sup>3</sup>, M. Rost<sup>2</sup>, T. Rostomyan<sup>1</sup>, S. Schumann<sup>2,5</sup>, M.H. Sikora<sup>8</sup>, D. Sober<sup>18</sup>, A. Starostin<sup>10</sup>, I. Supek<sup>17</sup>, M. Thiel<sup>2,12</sup>, A. Thomas<sup>2</sup>, M. Unverzagt<sup>2,5</sup>, and D.P. Watts<sup>8</sup>

- <sup>1</sup> Department of Physics, University of Basel, CH-4056 Basel, Switzerland
- <sup>2</sup> Institut für Kernphysik, University of Mainz, D-55099 Mainz, Germany
- <sup>3</sup> SUPA, School of Physics and Astronomy, University of Glasgow, Glasgow G12 8QQ, UK
- <sup>4</sup> Kent State University, Kent, Ohio 44242, USA
- <sup>5</sup> Helmholtz-Institut für Strahlen- und Kernphysik, University of Bonn, D-53115 Bonn, Germany
- <sup>6</sup> Petersburg Nuclear Physics Institute, RU-188300 Gatchina, Russia
- <sup>7</sup> INFN, Sezione di Pavia, I-27100 Pavia, Pavia, Italy
- <sup>8</sup> SUPA, School of Physics, University of Edinburgh, Edinburgh EH9 3JZ, UK
- <sup>9</sup> Institute for Nuclear Studies, The George Washington University, Washington, DC 20052, USA
- <sup>10</sup> University of California Los Angeles, Los Angeles, California 90095-1547, USA
- <sup>11</sup> Lebedev Physical Institute, RU-119991 Moscow, Russia
- <sup>12</sup> II. Physikalisches Institut, University of Giessen, D-35392 Giessen, Germany
- Laboratory of Mathematical Physics, Tomsk Polytechnic University, Tomsk, Russia
- <sup>14</sup> Mount Allison University, Sackville, New Brunswick E4L 1E6, Canada
- <sup>15</sup> University of Regina, Regina, SK S4S-0A2 Canada
- <sup>16</sup> Institute for Nuclear Research, RU-125047 Moscow, Russia
- <sup>17</sup> Rudjer Boskovic Institute, HR-10000 Zagreb, Croatia
- <sup>18</sup> The Catholic University of America, Washington, DC 20064, USA

Received: 21 October 2013 / Revised: 23 November 2013

Published online: 16 December 2013

 $\ \textcircled{e}$  The Author(s) 2013. This article is published with open access at Springerlink.com Communicated by H. Ströher

Abstract. Quasi-free photoproduction of  $\eta$ -mesons has been measured off nucleons bound in <sup>3</sup>He nuclei for incident photon energies from the threshold region up to 1.4 GeV. The experiment was performed at the tagged photon facility of the Mainz MAMI accelerator with an almost  $4\pi$  covering electromagnetic calorimeter, combining the TAPS and Crystal Ball detectors. The  $\eta$ -mesons were detected in coincidence with the recoil nucleons. This allowed a comparison of the production cross section off quasi-free protons and quasi-free neutrons and a full kinematic reconstruction of the final state, eliminating effects from nuclear Fermi motion. In the  $S_{11}(1535)$  resonance peak, the data agree with the neutron/proton cross section ratio extracted from measurements with deuteron targets. More importantly, the prominent structure observed in photoproduction off quasi-free neutrons bound in the deuteron is also clearly observed. Its parameters (width, strength) are consistent with the expectations from the deuteron results. On an absolute scale the cross sections for both quasi-free protons and neutrons are suppressed with respect to the deuteron target pointing to significant nuclear final-state interaction effects.

<sup>&</sup>lt;sup>a</sup> e-mail: Bernd.Krusche@unibas.ch

#### 1 Introduction

Currently the only practical method to investigate the electromagnetic excitation spectrum of the neutron is photoproduction of mesons off nucleons bound in light nuclei. Photoproduction of mesons off the free proton has been (and still is) intensively investigated with the measurement of differential cross sections and single- and double-polarization observables for many different final states (pseudoscalar mesons, vector mesons, meson pairs). The aim is to establish a reliable electromagnetic excitation scheme of the nucleon; however, since the electromagnetic interaction is isospin dependent, measurements with neutron targets are mandatory for a complete picture. Programs to measure such reactions off neutrons bound in the deuteron are currently under way at several laboratories (see [1] for an overview). Such measurements are complicated in comparison to those with a free proton target by three factors. The first is of technical nature, the indispensable detection of recoil nucleons in coincidence with the produced mesons complicates the experiments. The detection of recoil neutrons lowers significantly the overall detection efficiency and the determination of the latter introduces an additional systematic uncertainty. Fermi motion of the bound nucleons smears out all structures in the measured reaction cross section. It can significantly modify angular distributions, in particular close to reaction thresholds, and thus must be carefully considered when results are compared to model predictions for the free neutron target. Finally, additional nuclear effects like mesonnucleon or nucleon-nucleon final-state interactions (FSI) may modify the measured cross sections with respect to the expectation from the elementary reactions off free nucleons. For neutrons bound in the deuteron, such effects have been studied in detail for different reaction channels [1]. In many cases, cross sections and other observables measured off free and quasi-free protons are in good agreement (examples are [2–7] for  $\eta$ ,  $\pi^{\bar{0}}$ ,  $\eta'$ , and  $\pi^0\pi^0$  photoproduction), which is the basis for the extraction of such data for neutron targets from quasi-free deuteron data.

Other light nuclei are less frequently used for such measurements, although two of them have certain advantages. Tritium (<sup>3</sup>H) nuclei offer the most favorable neutron/proton ratio, but the difficulties in handling them usually disfavor their use. Measurements of observables involving the polarization degree of freedom of the initial nucleon may take advantage of the spin structure of <sup>3</sup>He nuclei. For the main component of their wave function the two protons are coupled with antiparallel spin so that the net spin of the nucleus is identical with the spin of the neutron. An experimental programme to measure the helicity decomposition of photon-induced reactions with polarized <sup>3</sup>He gas targets and circularly polarized photon beams is underway at the MAMI facility [8].

A particularly interesting reaction is the photoproduction of  $\eta$ -mesons off the neutron. Previous measurements using deuterium targets revealed a narrow structure of unexplained nature in the excitation function of  $\gamma n \to n\eta$  [6, 9–12]. The present experiment used <sup>3</sup>He nuclei as a quasifree neutron target. This allows the study of the struc-

ture in a different nuclear environment to test whether it behaves as expected due to the different momentum distributions of the nucleons in  $^2{\rm H}$  and  $^3{\rm He}$  nuclei and to investigate the effects of FSI on  $\eta$  photoproduction from  $^3{\rm He}$  nuclei.

Coherent photoproduction of  $\eta$ -mesons from <sup>3</sup>He has also been analyzed in a separate study to provide information on the formation of eta-mesic nuclei [13].

# 2 Resonance contributions to photoproduction of $\eta$ -mesons

Photoproduction (also with virtual photons) of  $\eta$ -mesons off free protons has been studied in much detail. Angular distributions and the total cross section have been measured from threshold ( $E_{\gamma}^{\rm thr}=707.8\,{\rm MeV}$ ) up to incident photon energies of  $\approx 3\,{\rm GeV}$  by different experiments [14–27].

Photoproduction of  $\eta$ -mesons in the threshold region is characterized by the dominance of the  $S_{11}(1535)$  resonance [28,14]. Contributions from the close-by  $D_{13}(1520)$ are small. They have been identified via interference terms in the angular distributions [14] and in particular in the photon-beam asymmetry  $\Sigma$  [29,30]. An analysis in the framework of the "Eta-MAID" model [31] allowed the extraction of the tiny  $N\eta$  branching ratio  $(0.23 \pm 0.04\%)$  [32] of the  $D_{13}$  resonance. At somewhat higher energies, for final-state invariant mass W between 1.65 GeV and 1.75 GeV, the reaction is less well understood. Models agree on a contribution from the  $S_{11}(1650)$ resonance, which interferes with the  $S_{11}(1535)$  [31]. For the proton target the interference is clearly destructive, however, for the neutron the situation is unclear. The Particle Data Group (PDG) [32] quotes a negative value for the electromagnetic helicity coupling  $A_{1/2}^n$  of this state for the neutron, while the recent analysis in the framework of the Bonn-Gatchina (BnGn) coupled-channel analysis [33] finds a positive sign. The  $D_{15}(1675)$  has only a small electromagnetic coupling for the proton and its contribution is not well established. The  $D_{13}(1700)$  could also be present but its properties are poorly known. Model results for the  $P_{11}(1710)$  and the  $P_{13}(1720)$  are in conflict. As discussed in [30] in the "Eta-MAID" model [31] the  $P_{11}(1710)$  is more important than the  $P_{13}(1720)$ . On the other hand, in the BnGn analysis [34] the  $P_{11}$  makes an almost negligible contribution while the  $P_{13}$  is essential to describe the beam asymmetries. At even higher incident photon energies, more ambiguities exist.

Quasi-free and coherent photoproduction of  $\eta$ -mesons off light nuclei (<sup>2</sup>H [35–38], <sup>3</sup>He [39], <sup>4</sup>He [40,41]) has so far been studied mainly in the excitation range of the  $S_{11}(1535)$  in order to pin down the isospin structure of the electromagnetic excitation of this state. The main result (see ref. [42] for a summary) is a neutron/proton cross section ratio of  $\approx 2/3$  corresponding to a dominant isovector excitation of the  $S_{11}(1535)$  with  $A_{1/2}^{IS}/A_{1/2}^p=0.09\pm0.01$ , where  $A_{1/2}^p$  is the helicity-1/2 coupling for the proton

and  $A_{1/2}^{IS}$  its isoscalar component. At higher incident photon energies, models [31] predicted a much larger contribution of the  $D_{15}(1675)$  state in the neutral channel, so that the neutron/proton cross section ratio should rise. However, the experimental finding [6,9–12] was the pronounced narrow structure in the  $n\eta$ -excitation function, which did not resemble the expected contribution from the  $D_{15}$  state [6]. Different scenarios for the nature of this structure have been discussed in the literature, among them coupled-channel effects from known nucleon resonances [43,44], threshold effects from opening strangeness production [45], interference effects in the  $S_{11}$ -partial wave [33,46], but also intrinsically narrow excited nucleon states [46–49]. Until now no final conclusion has been drawn, but the results suggest [6,9,12], that the structure is indeed very narrow (with an intrinsic width below 50 MeV). Although most known nucleon resonances in this energy range are much broader, Shresta and Manley [49] extracted with a recent multichannel partial wave analysis parameters of the  $D_{13}(1700)$  resonance which are similar to the structure observed in  $\gamma n \to n\eta$ . They reported a mass of  $1665 \pm 3 \,\text{MeV}$ , a width of  $56 \pm 8 \,\text{MeV}$ , and a photon coupling which is twice as large for the neutron than for the proton. However, the decay branching ratio of this state into  $\eta N$  is not known and according to the PDG [32] it is at most in the 1% range.

### 3 Experimental setup

The measurements were performed at the MAMI accelerator in Mainz [50,51]. It delivered an electron beam of 1508 MeV energy with an intensity of 8 nA, which was used to generate bremsstrahlung photons in a radiator (copper foil of  $10\,\mu\mathrm{m}$  thickness). The photons were tagged with the upgraded Glasgow magnetic spectrometer [52–54] in the range from 0.45 GeV to 1.4 GeV with a typical energy resolution of 4 MeV, defined by the geometrical width of the electron counters in the focal plane of the device. Liquid <sup>3</sup>He was used as target material. The target cell was a Mylar cylinder of 3.0 cm diameter and 5.08 cm length. At a temperature of 2.6 K a target surface density of 0.073 nuclei/barn was reached.

Photons from the decay of the  $\eta$ -mesons and the recoil nucleons were detected with an electromagnetic calorimeter covering almost the full solid angle. A schematic drawing of the setup is shown in fig. 1. It combines the Crystal Ball (CB) [55] with the TAPS detector [56,57]. The CB is made of 672 NaI(Tl) crystals arranged in two hemispheres with the overall geometry of a sphere. The target was mounted in the center of this sphere, which covers the full azimuthal angle for polar angles from 20° to 160°, corresponding to 93% of the full solid angle. The forward angular range was covered by the TAPS detector configured as a hexagonal wall of 384 BaF<sub>2</sub> crystals. It was placed 1.457 m downstream from the target and covered polar angles between  $5^{\circ}$  and  $21^{\circ}$ . For the identification of charged particles (recoil protons, charged pions) all modules of the TAPS detector had individual plastic scintillators (5 mm thickness) in front, from which also time and energy in-

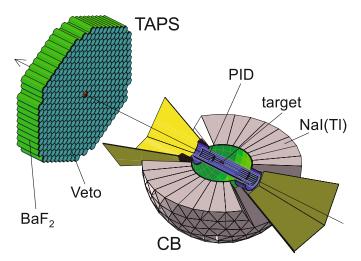


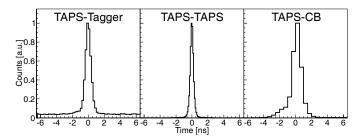
Fig. 1. Experimental setup of Crystal Ball (only bottom hemisphere shown) with PID detector and TAPS forward wall.

formation was read (TAPS-CPV detector). Inside the CB a Particle Identification Detector (PID) [58] was mounted around the target, which allowed identification of protons and charged pions via  $E-\Delta E$  measurements. More details about the detectors are given in [59,60].

Since the experiment aimed mainly at a measurement of  $\eta$ -production using the  $\eta \to 2\gamma$  decay, the main trigger condition required two hits in the calorimeter. For this purpose, the CB and the TAPS detector were subdivided into logical sectors: The TAPS detector into  $6 \times 64$ modules in a pizza-like geometry and the CB into 45 groups of 16 modules (the groups overlapping with the beam entrance and exit holes had fewer modules). Events were accepted when detector modules in at least two logical sectors had signals above a threshold of 25 MeV and the analog energy-sum signal from the CB was above  $300\,\mathrm{MeV}.$  Events with two hits in TAPS and no hit in CB were thus not accepted, which is, however, irrelevant for  $\eta \to 2\gamma$  decays. Due to the relatively large opening angle of the decay photons such events are rare. The analysis used only events for which in the course of the analysis these conditions were fulfilled by the  $\eta$ -decay photons. Events for which the trigger was only activated due to the energy deposition of the recoil nucleon were discarded in order to avoid systematic uncertainties (the energy thresholds for the trigger were calibrated for photon showers, not for recoil nucleons). For accepted events the readout thresholds for the detector modules were set to 2 MeV for the CB crystals, to 5 MeV for the TAPS crystals, to 250 keV for the TAPS-CPV, and to 300 keV for the elements of the PID.

### 4 Data analysis

Details of the calibration and analysis procedures for all detector components are given in [59,60]. Here, we summarize only the most important steps of the present analysis.



**Fig. 2.** Time coincidence spectra between photons in TAPS and electrons in the tagger (left-hand side), between photon hits in TAPS (center), and between a photon hit in TAPS and a photon hit in CB (right-hand side).

**Table 1.** Selected event classes for the cross sections  $\sigma_p$ ,  $\sigma_n$ , and  $\sigma_{\text{incl}}$  for the two  $\eta$ -decay branches. n and c mark neutral and charged hits in the calorimeter (distinguished by the response of the charged-particle detectors).

	$\sigma_p$	$\sigma_n$	$\sigma_{ m incl}$
$\eta \to 2\gamma$	2n and $1c$	3n	2n  or  3n  or  (2n  and  1c)
$\eta \to 6\gamma$	6n  and  1c	7n	6n  or  7n  or  (6n  and  1c)

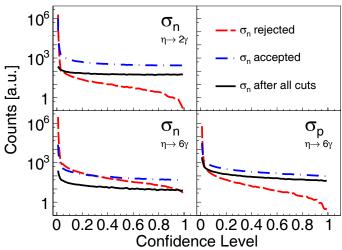
#### 4.1 Particle and reaction identification

The calorimeter was operated in coincidence with the tagging spectrometer. Background from random coincidences between tagger and production detector was subtracted by a side-band analysis in the time-coincidence spectra. Typical timing spectra are shown in fig. 2. The fastest component of the setup was the TAPS detector with a resolution of 0.5 ns (FWHM) for two photon hits in this calorimeter. The resolution of TAPS relative to the tagger was 0.8 ns and between TAPS and CB was 1.5 ns.

The analysis combined the particle identification capabilities of the experiment (charged-particle identification with TAPS-CPV and in the PID, pulse-shape analysis for TAPS, time of flight (ToF) versus energy for TAPS, and  $\Delta E-E$  with PID and CB) with the reaction identification via invariant-mass and missing-mass spectra. The basis of the different measurements and the detector performance are discussed in more detail in [59,60].

The  $\eta \to 2\gamma$  and the  $\eta \to 3\pi^0 \to 6\gamma$  decays of the mesons were analyzed in coincidence with protons  $(\sigma_p)$ , in coincidence with neutrons  $(\sigma_n)$ , and without any condition for recoil nucleons  $(\sigma_{\rm incl})$  inclusive reaction, recoil nucleon may have been detected but was not required). The accepted event classes are summarized in table 1. Events with additional hits were discarded in order to reduce background contributions, for example, from the photoproduction of  $\eta\pi$ -pairs (overlap of events originating from different incident photons was negligible as can be seen in the TAPS-TAPS and TAPS-CB time spectra in fig. 2).

The first step of the analysis was thus the classification of detector hits as "charged" or "neutral" using the information from the PID and TAPS-CPV. Subsequently,



**Fig. 3.** Confidence levels from  $\chi^2$  tests. Top row:  $n\eta \to n2\gamma$  final state. Dashed (blue) histogram: "best" two-photon combinations; dashed (red) histogram: rejected combinations; solid (black) histogram: accepted after all further cuts. Bottom row:  $n\eta \to n6\gamma$  and  $p\eta \to p6\gamma$  final states.

a pre-selection of  $\eta\text{-candidates}$  was done. For tentative  $\eta\to 2\gamma$  decays, the  $\chi^2$  defined by

$$\chi^2 = \frac{(m(2\gamma) - m_\eta)^2}{(\Delta m(2\gamma))^2} \tag{1}$$

was calculated for all pairs of neutral hits in the event (one combination for the class  $\sigma_p$ , three combinations for  $\sigma_n$ , and one or three for  $\sigma_{\rm incl}$ ). Here  $m_{\eta} = 547.85 \, {\rm MeV}$  is the nominal  $\eta$ -mass,  $m(2\gamma)$  the invariant mass of the pair of neutral hits, and  $\Delta m(2\gamma)$  its uncertainty calculated from the known energy and angular resolution of TAPS and CB. Next the same procedure was applied for the hypothesis of a  $\pi^0 \to 2\gamma$  decay (*i.e.* in eq. (1)  $m_\eta$  was replaced by  $m_{\pi^0} = 134.98 \,\mathrm{MeV}$ ). Events for which the minimum  $\chi^2$  corresponded to the  $\pi^0$  hypothesis were discarded as probable background from  $\pi^0$  production. For the other events the neutral hits corresponding to the minimum  $\chi^2$  calculated for  $\eta$ -mass were assigned to the  $\eta$ -decay photons. For events with an odd number of neutral hits (in class  $\sigma_n$  or  $\sigma_{\rm incl}$ ) the remaining neutral candidate was assigned as a neutron candidate. In fig. 3 (top left) the confidence level distributions are summarized for events selected as  $n\eta \to n2\gamma$  candidates (three neutral hits). Shown is the distribution for the best combination from each event, the distribution for the other two (rejected) combinations, and the distribution of the best combination for accepted events after all further cuts. Note that the integral over the distribution of rejected combinations is twice the integral over the accepted ones. Most entries for the rejected combinations are in the first bin at a confidence level < 0.01and the distribution for accepted events is rather flat.

A similar analysis was done for the  $\eta \to 6\gamma$  decays. In this case in the first step a  $\chi^2$  analysis was used to identify the most probable combination of the six or seven neutral

hits to three  $\pi^0$ -mesons minimizing

$$\chi^2 = \sum_{k=1}^3 \frac{(m_k(2\gamma) - m_{\pi^0})^2}{(\Delta m_k(2\gamma))^2} \,, \tag{2}$$

for all possible combinations of neutral pairs. Again for odd numbers of neutral hits the left-over hit was taken as a neutron candidate. The corresponding confidence level distributions for  $n\eta \to n6\gamma$  and  $p\eta \to p6\gamma$  final states are summarized in fig. 3 (bottom row). In this case separation for the accepted and rejected combinations is less good for the seven-neutral hit events, simply due to combinatorics. Events were only accepted when the invariant mass of all three neutral-hit pairs of the "best" combination was between 107 MeV and 163 MeV. For such events the nominal mass of the pion was used to improve the experimental resolution before the six-photon invariant mass was constructed. Since the angular resolution of the detector is much better than the energy resolution, this was done by recalculating the photon energies and momenta from the approximation

$$E'_{1,2} = E_{1,2} \frac{m_{\pi^0}}{m(2\gamma)} \,, \tag{3}$$

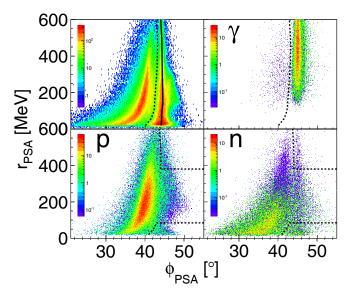
where  $E_{1,2}$  are the measured photon energies,  $E'_{1,2}$  the recalculated energies, and  $m(2\gamma)$  the measured invariant mass

For  $\eta \to 2\gamma$  decays the photon energies were recalculated in an analogous way, using the nominal  $\eta$  mass, after the events had passed the  $\eta$  invariant-mass cut.

In the next step the pulse-shape analysis for the TAPS detector was applied for both  $\eta$ -decay channels. It is based on the different lineshape for photon and hadron hits in BaF<sub>2</sub> scintillators, exploited via an integration of the signals over a short (50 ns) and a long (2  $\mu$ s) time gate (see, e.g. [60]). The short-gate ( $E_s$ ) and long-gate ( $E_l$ ) signal correlation was parametrized in polar coordinates  $R_{\rm PSA}$ ,  $\phi_{\rm PSA}$  using

$$R_{\rm PSA} = \sqrt{E_l^2 + E_s^2}, \quad \phi_{\rm PSA} = \tan^{-1}\left(\frac{E_s}{E_l}\right).$$
 (4)

Figure 4 summarizes the results of the PSA. At the lefthand side, on top a spectrum for a typical detector module with all hits is shown (the analysis was done individually for each module since it depends on details of the calibration). The other three plots of the figure show for all detector modules the hits assigned by the previous analysis steps (i.e. the response from the charged-particle detectors and  $\chi^2$  analysis) as candidates for protons, for neutrons, and for photons. For this analysis both detector signals, the short-gate and long-gate integration, were calibrated for photon energies, so that photon hits appear in a band at 45° ( $E_s = E_l$ ). The photon identification is very clean and only a small background component at the left side of the dashed line was removed by a cut. The faint, residual photon band visible in the spectrum of the neutron candidates (fig. 4) vanishes almost after coplanarity and missing mass cuts (see below). Since the PSA



**Fig. 4.** Results of the TAPS pulse-shape analysis (PSA) for  $\eta \to 2\gamma$ ; PSA radius *versus* angle for all events having passed PID, CPV cuts and  $\chi^2$ -analysis. Top left: all hits (for a typical detector module). Top right: all hits assigned as photon candidates. Bottom left: all hits assigned as proton candidates. Bottom right: all hits assigned as neutron candidates, dashed lines indicate the cut position,  $3\sigma$  from the mean position (solid line) of the photon band.

analysis cannot be reliably modeled by the Monte Carlo simulation of the detection efficiency, and further cuts for particle identification are possible, very conservative cuts were made so that no significant amount of "true" recoil nucleons, which leaked into the photon region, was removed. Therefore, hits in the region of the photon band were also accepted, apart from the two regions limited by the dotted lines. The cuts in the upper right corner of the proton and neutron spectra are just convenient. They remove background from high-energy photons already in an early state of the analysis, but final results with and without this cut are basically identical. The cut in the lower right corners is more important. It removes electromagnetic background (mainly electrons which have not been identified by the CPV). If not removed in the PSA analysis this background is cleary visible as a structure in the ToF  $versus\ E$  spectra, but there it is not well separated from signal events.

The next analysis step could be done only for the event classes " $\sigma_p$ " and " $\sigma_n$ ", for which the recoil nucleon was detected. The recoil nucleon and the  $\eta$ -meson must be coplanar, this means that the azimuthal angles of their three momenta must differ by  $180^{\circ}$  (in the cm as well as in the laboratory frame as long as nuclear Fermi motion is neglected). The nucleon was assumed at rest in the initial state, which due to Fermi motion is only an approximation that broadens the distributions. Typical spectra for the  $\sigma_p$  and  $\sigma_n$  event classes are compared in fig. 5 to the results of Monte Carlo simulations of the reactions with the Geant4 [61] code. The background level for recoil protons was very low. For recoil neutrons, the background

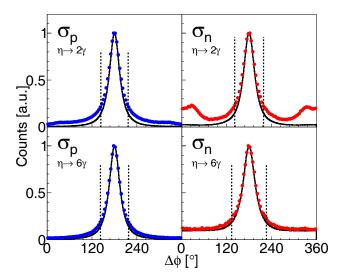


Fig. 5. Spectra of the azimuthal angular difference between the three momenta of the recoil nucleon and the  $\eta$ -meson ("coplanarity"). Dotted lines indicate cuts. Solid curves: results from Monte Carlo simulation with Geant4 [61].

situation was different for the two- and six-photon decays. For the latter some combinatorial background reproduced by the Monte Carlo simulation appears. It originates from events where the neutron was interchanged with a photon. For the two-photon decays, an additional background component stems from the  $\gamma n \to n \pi^0$  reaction also for the case when the neutron was mixed up with a photon. Accepted were only events within  $\pm 2\sigma$  of the approximately Gaussian peaks (this cut was dependent on the incident photon energy as resolution is an energy-dependent function).

Subsequently the "missing mass" of all accepted events was analyzed. The recoil nucleons were treated as missing particles for this analysis, no matter whether they had been detected or not. The missing mass  $\Delta m$  was constructed from the four-vector of the meson and the incident photon energy, again neglecting the momentum distributions of the initial-state nucleons, which contributes to the width of the missing-mass peaks that are centered around zero. The missing mass was defined by

$$\Delta m = |P_{\gamma} + P_N - P_{\eta}| - m_N , \qquad (5)$$

where  $m_N$  is the nucleon mass,  $P_{\gamma}$ ,  $P_N$ ,  $P_{\eta}$  are the fourmomenta of the incident photon, the initial-state nucleon (assumed to be at rest), and the produced  $\eta$ -meson. Typical missing-mass spectra for the  $\sigma_p$  and  $\sigma_n$  event classes from the two decay modes of the  $\eta$ -meson are summarized in fig. 6. Events within 1.5 $\sigma$  (cut dependent on incident photon energy) around the peak maxima were accepted for further analysis.

For those events that had passed all previous analysis steps, further redundant particle identification signatures could be checked. For proton candidates in the CB,  $\Delta E - E$  spectra constructed from the energy deposition in the CB and the PID were analyzed in view of contaminations from charged pions (see fig. 7, bottom right).

There was, however, no trace of such background visible (compare fig. 1 in ref. [62] for a typical spectrum with proton and charged pion band). For hits in TAPS, the ToF versus E spectra were analyzed. Typical distributions are shown in fig. 7. The spectra for photon and proton candidates were very clean without any trace of background. In the spectra for neutrons a small residual background component from misidentified protons may be visible (the TAPS charge-particle detector had an efficiency of better than 95% for protons). Therefore, hits in the region of the proton band were rejected.

The only signature distinguishing photon and neutron hits in the CB is the size (number of responding detector modules) of their clusters. The electromagnetic showers from photons spread over a larger number of detector modules than the energy deposition from neutrons. The difference does not allow an event-by-event separation of photon and neutron clusters. However, it can serve as a control of the neutron/photon assignment of hits by the methods discussed above. Cluster size distributions for those hits in the CB that have passed the overall analysis as photons or neutrons are shown in fig. 8. The photon cluster sizes differ for the two- and six-photon decays of the  $\eta$ -meson (because for the latter the photon energies are smaller on average). Both photon distributions peak at higher multiplicities than the corresponding neutron distributions, and most important, the neutron distributions are identical for both decay channels, indicating clean photon-neutron separation.

In the final analysis step, the invariant mass of the mesons was constructed from their decay photons. Typical spectra are summarized in fig. 9 for the  $\eta \to 2\gamma$  and  $\eta \to 6\gamma$  decays. The spectra for the exclusive  $\sigma_p$  and  $\sigma_n$  event classes were basically free of background. Events for the two-photon decay were accepted for invariant masses between 450 MeV and 630 MeV and for the six-photon decay between 500 MeV and 600 MeV. For the inclusive data sample without recoil nucleon coincidence, a small residual background component was visible in the spectra, which was fitted with the simulated lineshape and a polynomial of degree three.

The examples shown in figs. 6 and 9 for missing-mass and invariant-mass spectra were integrated over the full polar angle of the  $\eta$ -angular distributions. The actual analysis was of course done separately for each incident photon energy bin and each meson polar angle bin.

Events from coherent photoproduction of  $\eta$ -mesons off  $^3$ He nuclei were not suppressed in this analysis (they might contribute to  $\sigma_{\rm incl}$ ). However, they are only significant at the lowest incident photon energies (below 650 MeV) [13] and their total cross section is always below 0.25  $\mu$ b. Background from the target windows ( $\approx 5\%$ –10%) was determined with an empty target measurement and subtracted.

# 4.2 Absolute normalization of cross sections and systematic uncertainties

The absolute normalization of the cross sections follows from the target surface density of 0.073 nuclei/barn, the

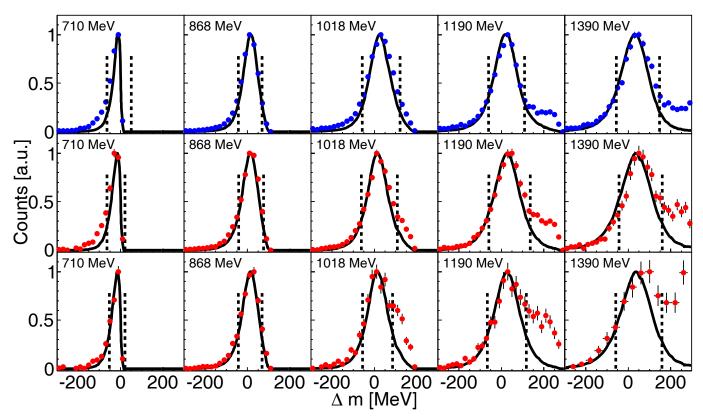
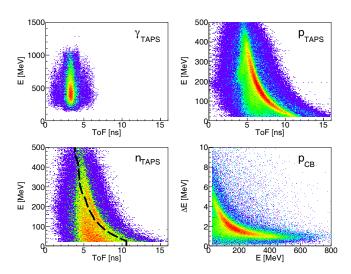
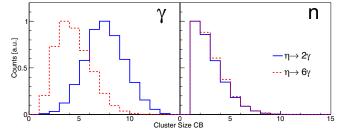


Fig. 6. Missing-mass distributions for different incident photon energy ranges and the range of invariant mass shown in fig. 9. Closed circles are data, solid lines Geant4 simulations. From top to bottom:  $p\eta \to p2\gamma$ ,  $n\eta \to n2\gamma$ , and  $n\eta \to n6\gamma$ . The cuts indicated by the dashed lines were applied to the invariant-mass spectra.



**Fig. 7.** Top, left: time of flight *versus* energy for photon candidates in TAPS: Top, right: same for proton candidates. Bottom, left: same for neutron candidates (events above the dashed line were rejected). Bottom, right:  $\Delta E - E$  for proton candidates in CB.

photon flux on the target, the detection efficiency of the calorimeter for the different event classes, and the branching ratios for the  $\eta$ -decay modes. The uncertainty of the target density was estimated as 7%–8% (measurement of



**Fig. 8.** Cluster size distributions in CB. Left: Distributions for photons. Right: same for neutrons. Solid (blue) curves: two-photon decay of  $\eta$ -mesons. Dashed (red) curves: six-photon decays.

target temperature and possible deformation of the cold target cell). The uncertainty of the decay branching ratios  $(b_{2\gamma} = (39.31 \pm 0.20)\%, b_{3\pi^0} = (32.57 \pm 0.23)\%$  [32]) is below the 1% level and almost negligible. The photon flux was extracted from the measurements of the flux of scattered electrons in the tagger focal plane and the tagging efficiency  $\epsilon_{\rm tag}$ , *i.e.* the number of correlated photons that pass through the collimator. The latter was measured in special low-intensity runs with a lead-glass detector in the photon beam (see [59] for details) and ranged from 60% to 75%. The resulting systematic uncertainty of the photon flux was below 5%. In total a systematic normalization uncertainty of 10% was estimated in [13].

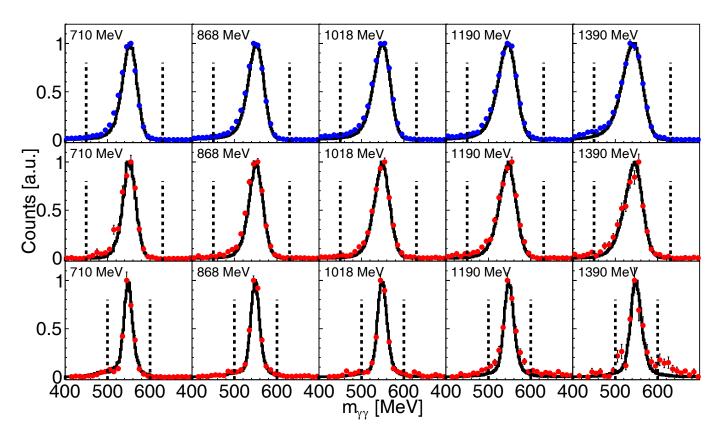


Fig. 9. Invariant-mass distributions for different ranges of incident photon energy. Notation as in fig. 6. From top to bottom:  $p\eta \to p2\gamma$ ,  $n\eta \to n2\gamma$ , and  $n\eta \to n6\gamma$ .

The detection efficiency was determined with Monte Carlo (MC) simulations using the Geant4 program package [61]. It was constructed as a function of incident photon energy (respectively, final-state invariant mass W) and cm polar angle of the  $\eta$ -mesons. The event generator for the MC simulations was based on the elementary cross sections for  $\eta$ -photoproduction off the free proton and off the neutron [12], taking into account the momentum distribution of nucleons bound in <sup>3</sup>He [63] (see sect. 4.3). The Geant4 program is very precise for photon showers but has only a limited accuracy for low-energy recoil nucleons. The detection efficiency determination was therefore improved with the results from measurements with the same detector configuration and a liquid hydrogen target. The reaction  $\gamma p \to p\eta$  was analyzed in coincidence with recoil protons. The ratio of the numbers of  $\eta$ -mesons observed in coincidence with recoil protons to the total number of detected  $\eta$  mesons corresponds to the detection efficiency for recoil protons. The proton detection efficiency was extracted from the measured data and compared to the detection efficiency generated by a MC simulation of the reaction on free protons. The deviation between the two efficiency curves was then applied as a correction to the simulated detection efficiencies for quasi-free  $\eta$  production off protons from the  $^3{\rm He}$  target. In an analogous way, the reaction  $\gamma p\to n\pi^0\pi^+$  was used to improve the simulation

of the neutron detection efficiency. In this way, reliable detection efficiencies could be constructed for the full solid angle except for a small subclass of events for which the recoil nucleon was emitted into laboratory polar angles between  $18^{\circ}$  and  $24^{\circ}$  (*i.e.* it was detected at the very edge of TAPS or the CB and had to pass through the support structures of CB). These data, which in the angular distributions as a function of the  $\eta$  polar angle are smeared out by Fermi motion over a larger range, were interpolated in the angular distributions as function of nucleon laboratory polar angle. The total detection efficiencies, depending on incident photon energy and  $\eta$  polar angle, were between (first value for two-photon decays, values in brackets for six-photon decays) 30% (10%) and 80% (50%) for  $\sigma_{\rm incl}$ , between 4% (4%) and 40% (18%) for  $\sigma_p$ , and between 1% (1%) and 23% (7%) for  $\sigma_n$ . The correction factors for the detection of recoil protons derived from experiment were close to unity for most kinematics, but reached 20% for low energetic protons. Correction factors for neutrons were also in the range between 0.8 to 1.2.

The systematic uncertainty arising from the different analysis steps and the simulation of the detection efficiency was estimated by a variation of all important factors. The detection efficiency of the recoil nucleons was additionally tested by the comparison of the inclusive cross section to the sum of cross sections with coincident protons and coincident neutrons. The systematic uncertainty excluding the overall 10% normalization uncertainty is shown by shaded bands in the figures of sect. 5.

### 4.3 Kinematic reconstruction of the final-state invariant mass

Total cross section data and angular distributions measured from bound nucleons as function of incident photon energy differ from the results for free nucleons due to the effects of nuclear Fermi motion. For slowly varying cross sections this is not a large problem because the folding with the nucleon momentum distribution results only in a moderate energy and angular smearing of the data. However, the effects can be significant in the vicinity of thresholds, for steep slopes, and narrow structures in the excitation function. They can be eliminated when the invariant mass W of the participant nucleon and the produced meson are reconstructed from the measured four-vectors of nucleon and meson. The kinetic energy of recoil neutrons cannot be extracted from the deposited energy in the calorimeter, which is more or less random (cf. fig. 7). The kinetic energy of neutrons emitted into the solid angle covered by TAPS can be deduced from their time of flight (for neutrons in the CB the flight path is too short for reasonable resolution). In the energy range of interest, neutrons (or protons) detected in TAPS correspond to  $\eta$ mesons emitted at backward angles in the photon-nucleon cm system ( $\cos(\Theta_{\eta}^{\star}) < -0.4$ ). Only this sub-sample of data allows a direct reconstruction of the final-state invariant mass (which was done identically for recoil protons and neutrons). For backward going  $\eta$ -mesons most often (in particular for the two-photon decay) the photons are detected in the CB, so that there is no time reference signal from TAPS itself and the ToF of the recoil nucleons had to be measured with respect to the tagger, which limited the time resolution (see fig. 2). The achievable resolution was estimated by a Monte Carlo simulation of the detector response to fixed W values (see fig. 10). The resolution decreases with increasing W because the ToF dependence on the recoil nucleon energy is rather flat for fast nucleons and the time-of-flight path was only 1.46 m.

The kinematics of quasi-free photoproduction of mesons off the deuteron can be completely reconstructed when in addition to the four-momenta of the mesons the direction of the recoil nucleon is known [6]. In this case, the momentum vector of the spectator nucleon (three variables) and the kinetic energy of the participant nucleon are not measured. But since the initial state (photon of known energy and deuteron at rest) is completely determined, these four variables can be reconstructed from the four equations following from momentum and energy conservation. For the <sup>3</sup>He target, such a reconstruction can be done only in an approximate way because the two spectator nucleons have an undetermined relative momentum  $q_{\rm ps}$  in the final state. For participant neutrons the two spectator protons cannot be bound and for participant protons there will be a mixture of deuterons and unbound neutron-proton spectator pairs in the final state. However,

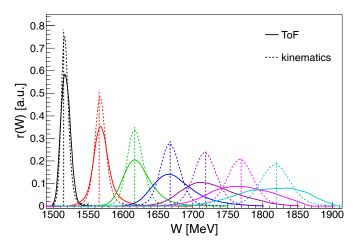
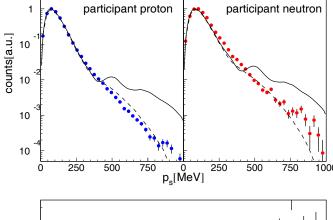
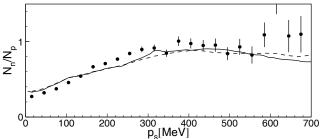


Fig. 10. Simulated detector resolution for the invariant mass W of the meson and participant nucleon final state reconstructed from time-of-flight measurements of the kinetic energies of the recoil nucleons (solid curves) and from kinematic reconstruction (dashed curves). Simulated are fixed values of W (indicated by dashed lines) and the curves represent the detector response.

the relative momenta between the spectator nucleons are not large, peaking around  $q=70\,\mathrm{MeV}$ , which corresponds to kinetic energies between  $2\,\mathrm{MeV}$  and  $3\,\mathrm{MeV}$ . The final state of the reaction was therefore approximated by the assumption that the two spectator nucleons can be treated as a di-nucleon without relative momentum. This simplification will of course lead to a less good W-resolution for the  $^3\mathrm{He}$  target than for the deuteron target. The simulated resolution under the approximation of  $q_\mathrm{ps}=0$  is shown by the dashed curves in fig. 10; the true response will be smeared by the  $q_\mathrm{ps}$  distribution.

The validity of the above approximation can be tested by an analysis of the reconstructed final-state momentum distributions of the spectator di-nucleons. As long as the  $q_{\rm ps}=0$  approximation is reasonable they should simply reflect the initial-state momentum distributions of the participant nucleons. The extracted spectator momentum distributions for participant protons and neutrons are compared in fig. 11 to the results from a model calculation [63] using the Argonne potential [64]. Measured and predicted momentum distributions agree quite well for momenta below 400 MeV. For large momenta one must take into account that (depending on the relative orientation of the nucleon momentum with respect to the direction of the incident photon)  $\eta$ -production may be kinematically forbidden. This has been investigated with a Monte Carlo simulation. The dashed curves in the figure correspond to the results of the simulation, which used the theory curves as input for the momentum distributions and accepted only those events for which  $\eta$ -production was kinematically allowed. The agreement with the data is quite good. Altogether the comparison suggests that the kinematic reconstruction works well. The data reflect the main features of the momentum distribution of nucleons in <sup>3</sup>He nuclei. The distributions peak around 70 MeV. Their ratio



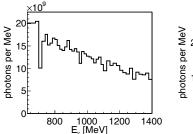


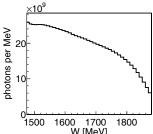
**Fig. 11.** Top: distribution of the missing momentum of the quasi-di-nucleon spectator for participant protons and participant neutrons. Filled circles: present data. Solid curves: model calculation with Argonne potential for protons (left-hand side) and neutrons (right-hand side) [63]. Dashed curves: Monte Carlo simulation based on theory results (see text). Data and model normalized to unity in peak maximum. Bottom: ratio of neutron/proton distributions. Ratio of integrals of data normalized to N/Z=1/2 nucleon ratio of <sup>3</sup>He. Solid (dashed) lines: model results [63] as in the upper part.

increases as function of the momentum  $p_s$ . At small values  $(p_s < 300 \,\text{MeV})$  the average ratio reflects the N/Z = 1/2 ratio, while at larger momenta, which are mainly generated by isosinglet pairs [63], the ratio approaches unity.

For incident photon energies not too close to the  $\eta$ -production threshold, the reaction is dominated by the momentum peak close to 70 MeV (in the immediate neighborhood of the threshold larger momenta contribute more significantly). The average neutron-proton ratio over the momentum range up to 300 MeV, weighted with the probability of the Fermi momenta, is already close to the N/Z ratio of 0.5. Systematic effects of the momentum distributions on the magnitude of the measured neutron/proton ratio for  $\eta$ -production should therefore be small (which is supported by the comparison of the ratio to the results from the deuteron target in sect. 5.2).

The analysis of the kinematically reconstructed events requires also a folding of the photon flux, measured with the tagging spectrometer as a function of the incident photon energy, with the momentum distribution of the bound nucleons. This generates the effective photon flux as a function of final-state invariant mass W. The folding was done with Monte Carlo methods using the nucleon momentum distributions from [63] as input. The original and the folded flux distributions are shown in fig. 12.





**Fig. 12.** Flux of incident photons. Left: measured photon flux as function of incident photon energy  $E_{\gamma}$ . Right: photon flux folded with nucleon momentum distribution as function of W.

### 5 Results

In the following, all results for angular distributions are given in the cm frame of the incident photon and the participant nucleon. For the Fermi-smeared results dependent on the incident photon energy  $E_{\gamma}$ , the cm frame was constructed under the assumption that the initial-state nucleon was at rest. For the kinematically reconstructed results as a function of W, the cm frame was derived event by event from the incident photon energy and the reconstructed nucleon momentum. Total cross sections were obtained by integrating Legendre fits of the angular distributions (see below).

## 5.1 Cross sections as function of incident photon energy

The cross section as function of incident photon energy was extracted for the three event classes  $\sigma_p$ ,  $\sigma_n$ , and  $\sigma_{\rm incl}$  defined in sect. 4.1 independently for two-photon and sixphoton decays of the  $\eta$ -mesons. Angular distributions are shown in fig. 13, the results for the total cross sections are summarized in fig. 14. For the reactions in coincidence with protons and with neutrons, results for both  $\eta$ -decay channels are shown. The comparison allows an estimation of systematic effects from the reaction identification and background elimination.

The total inclusive reaction cross section  $\sigma_{\rm incl}$  is compared to the sum of proton and neutron results as an independent cross-check for the used proton and neutron detection efficiencies. For  $\sigma_{\rm incl}$  only the  $\eta$ -detection efficiency enters, while  $\sigma_p$  ( $\sigma_n$ ) are in addition based on proton (neutron) detection efficiencies. The largest deviations between  $\sigma_{\rm incl}$  and  $2\sigma_p + \sigma_n$  were on the 10% level at larger incident photon energies. Most likely, they are due to residual background in the  $\sigma_{\rm incl}$  data for which cuts based on recoil nucleon detection (co-planarity) are precluded. This effect is more important for higher incident photon energies, where the background from  $\eta\pi$ -pairs is large.

A comparison of the proton and neutron data shows that, as for the deuteron target, the rise of the neutron excitation function below incident photon energies of 1 GeV towards the  $S_{11}(1535)$  maximum is less steep than for the

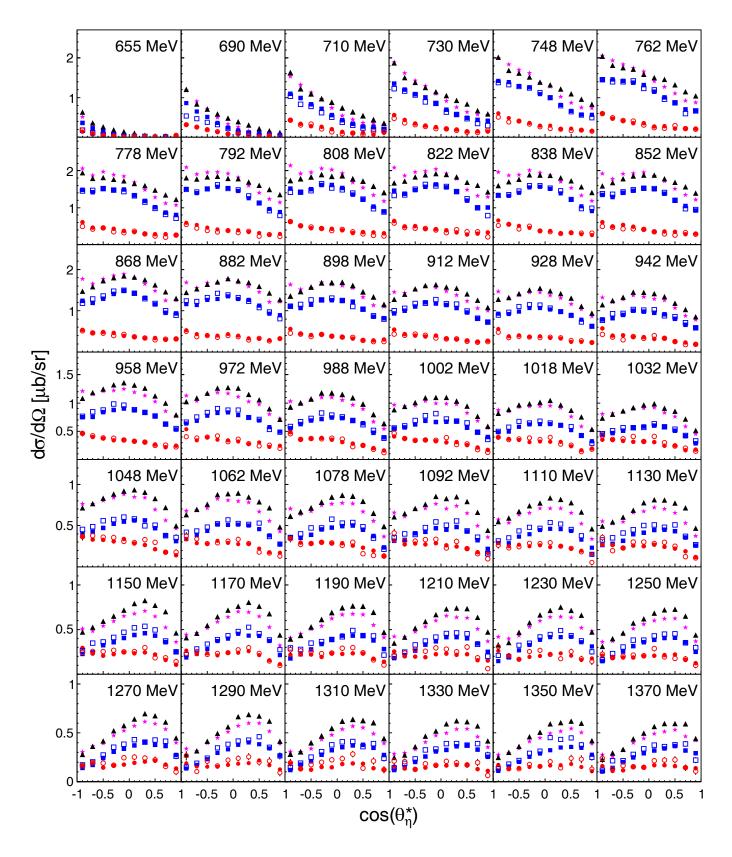


Fig. 13. Angular distributions for different bins of incident photon energy. Closed and open (red) circles:  $\sigma_n$  for two- and six-photon decay. Closed and open (blue) squares: same for  $2\sigma_p$ . (Black) Triangles: average of two- and six-photon decay for  $\sigma_{\rm incl}$ . (Magenta) Stars: same for  $2\sigma_p + \sigma_n$ .

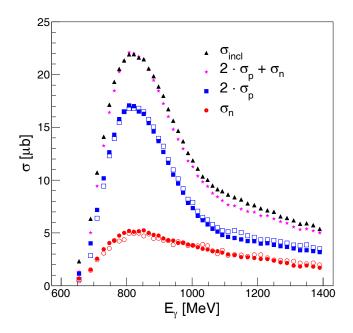
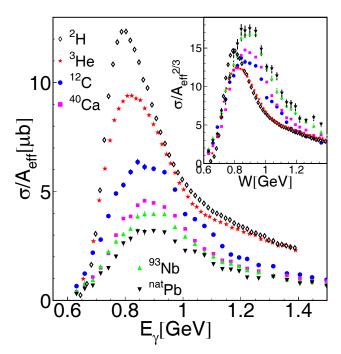


Fig. 14. Total cross sections as a function of incident photon energy. For  $\sigma_p$  and  $\sigma_n$  filled symbols represent the results from the  $\eta$  two-photon decay, open symbols results from the six-photon decay. For the inclusive cross section  $\sigma_{\rm incl}$  and the sum of proton and neutron cross section only the average of both decay channels is shown.

proton. But as expected, in contrast to the deuteron target [6], there is no pronounced structure visible in the neutron excitation function around 1 GeV. Due to the larger momenta of nucleons bound in <sup>3</sup>He any such structures will be smeared out.

Note that for the angular distributions in fig. 13, as for the total cross sections, the proton results correspond to  $2\sigma_p$ . The comparison of the results for the two  $\eta$ -decay channels and between  $\sigma_{\text{incl}}$  and  $2\sigma_p + \sigma_n$  demonstrates again the internal consistency of the data. The strong rise of the angular distributions in the threshold region towards backward angles is only an artifact from the choice of the cm system. The elementary cross sections from the free proton (and neutron) are rather flat in this energy range. However, here the reactions were analyzed in the cm-frame of the incident photon and a nucleon at rest, neglecting its Fermi motion. At higher incident photon energies this causes only a moderate smearing of the angular distributions. But close to threshold the situation is asymmetric because only kinematics with antiparallel photon and nucleon momentum can give rise to  $\eta$ production. This means that on average the photon nucleon-at-rest frame is faster in the lab system than the "true" photon—nucleon cm frame and the mesons appear backward boosted in the used frame.

Previously, quasi-free inclusive  $\eta$ -photoproduction off nuclei in the  $S_{11}(1535)$  range was investigated [65,66] in view of  $\eta$ -nucleus interactions and possible in-medium effects on the  $S_{11}$  resonance. The data are compared in



**Fig. 15.** Total inclusive cross section  $\sigma_{\rm incl}$  compared to results from other nuclei (<sup>2</sup>H from [12], other nuclei from [66]). In the main plot the cross sections are scaled by  $A_{\rm eff} = N_p + (2/3)N_n$  (see text); in the insert by  $A_{\rm eff}^{2/3}$ .

fig. 15 to the present results for <sup>3</sup>He nuclei. They were scaled not by the mass numbers A of the nuclei but by effective mass numbers defined by  $A_{\text{eff}} = N_p + (2/3)N_n$  with the proton number  $N_p$  and the neutron number  $N_n$ . This scaling was chosen because in the range of interest (in the  $S_{11}(1535)$  maximum) the elementary cross sections off free protons and off free neutrons are related by  $\sigma_n/\sigma_p = 2/3$ . The main plot of fig. 15 compares the data scaled by  $A_{\rm eff}$ ; in the insert they are scaled by  $A_{\rm eff}^{2/3}$ . The position of the  $S_{11}$  resonance maximum shifts to higher incident photon energies for the heavier nuclei, but this is mainly a trivial effect from the shift of the momentum distribution of the bound nucleons to higher momenta for heavier nuclei; it saturates for  $^{40}\mathrm{Ca}.$  In the energy range below the threshold for the production of  $\eta\pi$ -pairs (i.e. in the rising slope of the  $S_{11}$  resonance), the data scale almost perfectly with  $A_{\rm eff}^{2/3}$ (i.e. with the nuclear surface), which confirms the strong absorption of  $\eta$ -mesons in nuclei. It is surprising that this scaling is still valid for light nuclei such as <sup>3</sup>He. Even for the deuteron data it holds true approximately, only the rising slope is a bit steeper due to the much smaller nucleon momenta. The deviations from the  $A_{\rm eff}^{2/3}$  scaling above the  $S_{11}$  peak are partly due to residual background from  $\eta\pi$ final states, which becomes significant for incident photon energies above 800 MeV (see [66]). It is more important for the heavier nuclei because of the poorer separation of single  $\eta$  and  $\eta\pi$  final states in missing-mass spectra caused by the larger Fermi momenta.

### 5.2 Cross sections as a function of reconstructed final-state invariant mass

The angular distributions for the reaction off quasi-free protons and off quasi-free neutrons from the kinematic reconstruction are summarized in figs. 16 and 17, the total cross sections are shown in fig. 18. The latter have been extracted from the integration of Legendre polynomials fitted to the angular distributions.

The results are compared in the figures to similar data from a deuterium target [12]. Comparison of the total cross sections in fig. 18 shows that, apart from the immediate threshold region where effects from Fermi motion are most important, the energy dependence of the present data is in excellent agreement with that for the deuteron data. The absolute magnitude of the data differs between <sup>3</sup>He and the deuteron by a factor of  $\approx 0.75$  for quasi-free protons as well as for quasi-free neutrons, independent of incident photon energy.

Angular distributions from the <sup>3</sup>He measurement and the deuteron target agree reasonably well for W above  $\approx 1.6 \, \text{GeV}$  (apart from the 0.75 overall scaling factor). The difference in magnitude of the cross sections is clearly beyond the range of systematic uncertainty of the data. Here one must also take into account that both measurements were performed with an identical detector setup and were analyzed with the same tools using similar cuts for particle and reaction identification and the same Monte Carlo code for the simulation of the detection efficiency. Thus a large part of the quoted systematic uncertainty cancels in the comparison of the results. The deviation in absolute magnitude must be due to different nuclear effects in quasi-free  $\eta$ -photoproduction off the deuteron and <sup>3</sup>He nuclei. At least part of it may arise from the approximation used in the kinematic reconstruction that the relative momentum between the two spectator nucleons vanishes. This will not only have an effect on the shape of the angular distributions (which is most apparent in the threshold region) but can also lead to a reduction in magnitude. This is so because the approximation was also made for the folding of the photon flux with the nucleon momentum distribution. Non-vanishing relative momenta of the spectators reduce the available energy in the mesonparticipant-nucleon system, which can suppress  $\eta$  production. This effect will tend to overestimate the available photon flux for a given final-state invariant mass W.

A quantitative analysis of such effects is presently not available. Furthermore, FSI effects, either between  $\eta$ -meson and nucleons or among the nucleons, may be important. The scaling of the inclusive cross section, discussed in the previous section, suggests that such effects are non-negligible for  $^3$ He.

The most important result is that in the range above  $W=1.6\,\mathrm{GeV}$ , which is mainly of interest because of the observed structure in the neutron excitation function, good agreement was found for the data from  $^3\mathrm{He}$  and  $^2\mathrm{H}$ , apart from the overall scaling. This is even more apparent from a comparison of the measured neutron/proton cross section ratios in fig. 19. The data measured for  $^3\mathrm{He}$  and the deuteron consistently show a rapid change of the ratio

with a narrow peak around 1.67 GeV. At lower W values all data sets agree with the  $\sigma_n/\sigma_p=2/3$  cross section ratio of the  $S_{11}(1535)$  excitation.

The neutron excitation function has been fitted in the same way as the deuteron data in [6] by the sum of an energy-dependent Breit-Wigner (BW) curve for the  $S_{11}(1535)$  resonance, a BW curve for the narrow structure and a further broad BW curve parameterizing effectively all contributions from further resonances and non-resonant background. The result is shown in fig. 20. The fit parameters are summarized in table 2 and compared to the results from the measurements with deuterium targets [6,12].

All results for widths given in table 2 are effective quantities including the broadening of structures due to the experimental resolution. The quoted uncertainties are only statistical. Typical systematic effects in the fits can be estimated from a comparison of the results for the  $S_{11}(1535)$ . Its position varies by  $\approx 10\,\mathrm{MeV}~(\sim 0.65\%)$  and its width by  $\approx 15\,\mathrm{MeV}~(\sim 10\%)$  and the differences between the two deuterium measurements are comparable to those between deuterium and  $^3\mathrm{He}$ .

The results from ref. [12] for the deuterium measurement were also fitted with a BW curve folded with the experimental resolution. The intrinsic width  $\Gamma_0$  of the narrow structure determined this way was  $29 \pm 3 \,\mathrm{MeV}$ . A rough approximation of the intrinsic width of the structure from the <sup>3</sup>He data is  $\Gamma_0 \approx 45 \,\mathrm{MeV}$ , obtained by just subtracting in quadrature the width ( $\approx 35 \,\mathrm{MeV}$  FWHM) of the corresponding resolution curve from fig. 10. Here one must keep in mind that due to the approximation (no relative momentum of spectator nucleons) made in the simulation of the resolution, this is only an upper limit.

In fig. 21 excitation functions for quasi-free protons and neutrons over a restricted range of meson polar angles are shown. These were constructed using the TAPS ToF measurement to determine the recoil nucleon energies. For this kinematic reconstruction no approximations have to be made, since the four-momenta of  $\eta$ -meson and recoil nucleon are directly measured. However, as shown in fig. 10, the expected resolution is rather low. The results of the fit, done in the same way as for the total cross section, are also shown in table 2. They are similar to the parameters obtained from the fit in fig. 20 although the shape of the phenomenological background is much different for this restricted angular range. If we take into account the experimental resolution from fig. 10, the effective width of  $\approx 60\,\mathrm{MeV}$  must correspond to an intrinsic width  $\Gamma_0$  of the structure below the 40 MeV range. Altogether, the results from the <sup>3</sup>He and <sup>2</sup>H targets are consistent with a structure in the  $\gamma n \to n\eta$  excitation function with an intrinsic width of less than 40 MeV.

Finally, excitation functions in bins of cm polar angle are summarized in fig. 22. They have been fitted with the same ansatz used for the total cross section. The results show a behavior that agrees with the deuterium results [12]. A structure around  $W=1.67\,\mathrm{GeV}$  is visible for almost all angles but it varies in strength and shape in a non-trivial way across the angular distribution, which will have to be analyzed with partial-wave analyses.

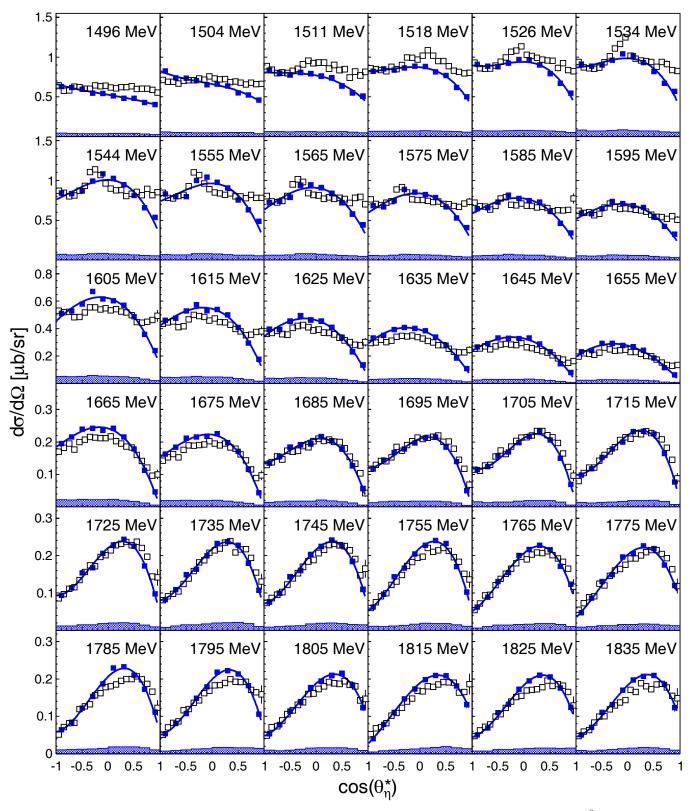


Fig. 16. Angular distributions of the quasi-free  $\gamma p \to p \eta$  reaction. (Blue) Closed squares: data from present <sup>3</sup>He measurement. Open (black) squares: data from deuterium target [12] scaled down by factor 0.75. Solid lines: fits of data with Legendre polynomials. Histograms at bottom of figures: systematic uncertainties of present data, except 10% total normalization uncertainty.

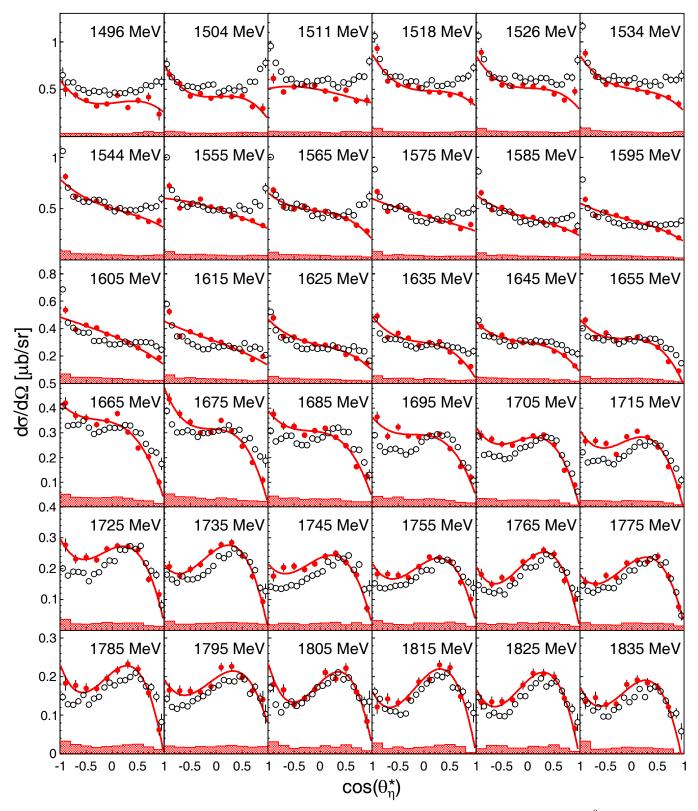


Fig. 17. Angular distributions of the quasi-free  $\gamma n \to n\eta$  reaction. (Red) Closed circles: data from present <sup>3</sup>He measurement. Open (black) circles: data from deuterium target [12] scaled down by factor of 0.75. Solid lines: fits of data with Legendre polynomials. Histograms at bottom of figures: systematic uncertainties of present data, except 10% total normalization uncertainty.

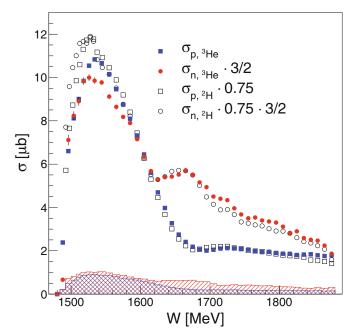


Fig. 18. Total cross sections as a function of reconstructed final-state invariant mass W. (Blue) Squares: proton coincidence  $\sigma_p$ . (Red) Filled circles: neutron coincidence  $\sigma_n$ . (Black) Open squares and open circles: corresponding excitation functions measured with a deuterium target [12]. Neutron data scaled up by a factor of 3/2, data from deuterium target scaled down by a factor of 0.75. Shaded bands: systematic uncertainty of  $^3$ He data (excluding the overall normalization uncertainty of 10%). Single (red) hatched: neutron. Double (red/blue) hatched: proton. All data averaged over two- and six-photon decays of the  $\eta$ -meson.

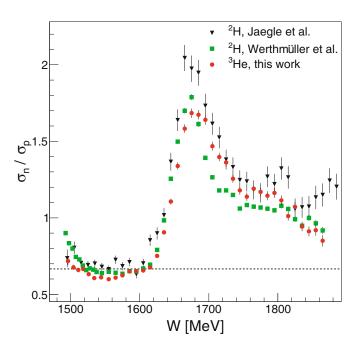


Fig. 19. Cross section ratio  $\sigma_n/\sigma_p$  for quasi-free  $\eta$  production. Filled (red) circles from present work for <sup>3</sup>He target. Filled (green) squares: deuterium target ref. [12]. Filled (black) triangles: deuterium target ref. [6].

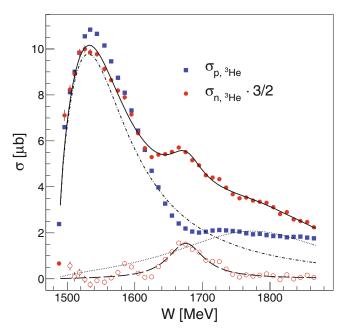


Fig. 20. Phenomenological fit of neutron excitation function. Filled (blue) squares: proton excitation function. Filled (red) circles: neutron excitation function. Open red circles: neutron excitation function after subtraction of  $S_{11}$  and background fit. Curves correspond to: fit result for  $S_{11}(1535)$  Breit-Wigner curve (dash-dotted); phenomenological Breit-Wigner curve for background parametrization (dotted); Breit-Wigner curve for fit of narrow structure (long-dashed); and sum of all (solid).

Table 2. Fitted parameters of the  $S_{11}(1535)$  resonance " $(S_{11})$ " and the narrow structure "(X)" compared to the measurements with a deuterium target from Werthmüller et~al.~[12] and Jaegle et~al.~[6]. For the latter the result given in the paper corresponds to an analysis with a strong cut on the Fermi momentum of the spectator nucleon. Without this cut the width of the narrow structure is  $54 \pm 16 \,\mathrm{MeV}$ . The present results labeled ("ToF") are from the analysis of the restricted angular range accessible for ToF measurements of the recoil nucleon.

	$W_R$	Γ	$\sqrt{b_{\eta}}A_{1/2}^{n}$
	[MeV]	[MeV]	$[10^{-3}\mathrm{GeV}^{-1/2}]$
<sup>2</sup> H (X) [6]	1663±3	$25 \pm 11^{\dagger}$	12.2±3
$^{2}\mathrm{H}\ (S_{11})\ [6]$	$1535 \pm 4$	167±23	62±2
<sup>2</sup> H (X) [12]	$1670 \pm 1$	50±2	12.3±0.8
$^{2}$ H $(S_{11})$ [12]	$1527{\pm}1$	147±2	79±1
<sup>3</sup> He (X)	$1675 \pm 2$	62±8	11.9±1.2
$^{3}$ He $(S_{11})$	$1536 \pm 1$	162±4	66±1
<sup>3</sup> He (X) (ToF)	$1671 \pm 2$	61±10	_
$^{3}$ He $(S_{11})$ (ToF)	$1541 \pm 2$	174±10	_

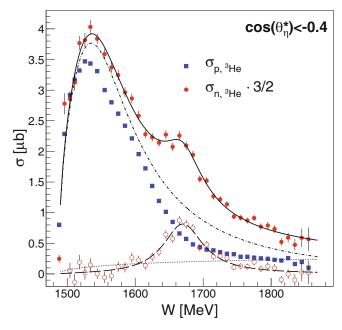


Fig. 21. Integrated cross section for polar angles with  $\cos(\Theta_{\eta}^{\star}) < -0.4$  as function of W. Kinematics reconstructed using the ToF measurement for the recoil nucleons.

### 6 Summary and conclusions

Precise total and differential cross section data were measured for photoproduction of  $\eta$ -mesons off quasi-free protons and neutrons bound in <sup>3</sup>He nuclei. The narrow structure previously observed for  $\eta$ -photoproduction off neutrons bound in the deuteron is clearly observed also for the <sup>3</sup>He measurement and the parameters of this effect —position, width, excitation strength— agree with the analysis of the deuteron data, except for an overall difference in magnitude. The latter is observed for both quasifree reactions, i.e. for participant neutrons as well as for participant protons. The quasi-free reaction cross sections are suppressed in comparison to the deuteron target by a factor of  $\approx 0.75$ , almost independent of photon energy. This effect is outside the systematic normalization uncertainty of the data. However, the ratio of the quasi-free neutron and proton excitation functions agrees very well with the results from deuteron targets when the effects from nuclear Fermi smearing are eliminated by a kinematic reconstruction of the invariant mass of the participant nucleon and meson in the final state. Apart from the immediate threshold region, good agreement between the <sup>3</sup>He and deuterium data is also found for the shape of the angular distributions. The overall scale difference can be partly related to residual effects from the momentum distributions of the bound nucleons. Finite relative momenta between the two "spectator" nucleons can kinematically reduce the production probability of the relatively heavy  $\eta$ -mesons. FSI effects can also play a role. The observed scaling of the total inclusive cross section with mass number, derived from a comparison with  $\eta$ -production data for heavier nuclei, indicates that such effects are already significant for the helium nucleus. Modelling of the reaction

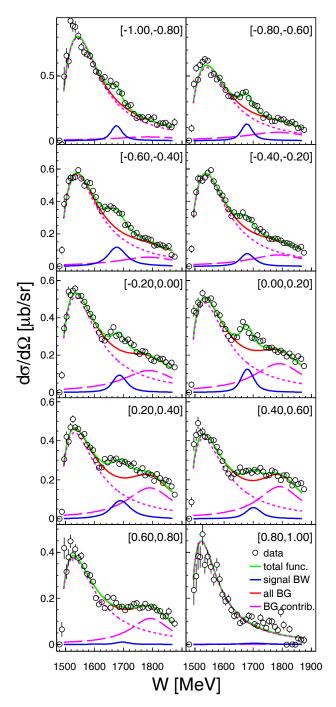


Fig. 22. Excitation functions for  $\gamma n \to n\eta$  for different ranges of  $\eta$  cm polar angle. The curves represent: short dashed (magenta): BW-fit of S<sub>11</sub>(1535); long dashed (magenta): phenomenological background; solid (red): sum of both; solid (blue): BW fit of narrow structure; solid (green): complete fit.

in a distorted-wave impulse approximation with realistic <sup>3</sup>He wave functions, taking into account the FSI effects would be desirable, but is not available as yet. A comparison of the present data, the quasi-free data from the deuteron, and free proton data offers a good basis for the study of the nuclear effects. Independent of this discussion, the present results demonstrate that the narrow structure

observed for  $\eta$  photoproduction off neutrons bound in the deuteron is robust enough to survive also in a much different nuclear environment and is thus almost certainly not related to some nuclear effect but a feature of the elementary  $\gamma n \to n \eta$  reaction.

We wish to acknowledge the outstanding support of the accelerator group and operators of MAMI. This work was supported by Schweizerischer Nationalfonds (200020-132799,121781,117601,113511), Deutsche Forschungsgemeinschaft (SFB 443, SFB/TR 16), DFG-RFBR (Grant No. 05-02-04014), UK Science and Technology Facilities Council, (STFC 57071/1, 50727/1), European Community-Research Infrastructure Activity (FP6), the US DOE, US NSF and NSERC (Canada). We thank the undergraduate students of Mount Allison University and The George Washington University for their assistance.

**Open Access** This is an open access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/3.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

### References

- 1. B. Krusche, Eur. Phys. J. ST **198**, 199 (2011).
- 2. J. Ajaka et al., Phys. Lett. B 651, 108 (2007).
- 3. A. Fantini et al., Phys. Rev. C 78, 015203 (2008).
- 4. R. Di Salvo et al., Eur. Phys. J. A 42, 151 (2009).
- 5. I. Jaegle et al., Eur. Phys. J. A 47, 11 (2011).
- 6. I. Jaegle et al., Eur. Phys. J. A 47, 89 (2011).
- 7. M. Oberle  $et\ al.$ , Phys. Lett. B **721**, 237 (2013).
- 8. P. Aguar Bartolome et al., Phys. Lett. B **732**, 71 (2013).
- 9. V. Kuznetsov et al., Phys. Lett. B 647, 23 (2007).
- F. Miyahara *et al.*, Prog. Theor. Phys. Suppl. **168**, 90 (2007).
- 11. I. Jaegle et al., Phys. Rev. Lett. 100, 252002 (2008).
- D. Werthmüller et al., Phys. Rev. Lett. 111, 232001 (2013).
- 13. F. Pheron et al., Phys. Lett. B 709, 21 (2012).
- 14. B. Krusche et al., Phys. Rev. Lett. 74, 3736 (1995).
- 15. C.S. Armstrong et al., Phys. Rev. D **60**, 052004 (1999).
- 16. R. Thompson et al., Phys. Rev. Lett. 86, 1702 (2001).
- 17. F. Renard et al., Phys. Lett. B 528, 215 (2002).
- 18. M. Dugger et al., Phys. Rev. Lett. 89, 222002 (2002).
- 19. V. Crede *et al.*, Phys. Rev. Lett. **94**, 012004 (2005).
- 20. T. Nakabayashi et al., Phys. Rev. C 74, 035202 (2006).
- 21. O. Bartalini *et al.*, Eur. Phys. J. A **33**, 169 (2007).
- 22. O. Bartholomy et al., Eur. Phys. J. A 33, 133 (2007).
- 23. H. Denizli et al., Phys. Rev. C **76**, 015204 (2007).
- 24. V. Crede et al., Phys. Rev. C 80, 055202 (2009).
- 25. M. Williams et al., Phys. Rev. C 80, 045213 (2009).

- 26. M. Sumihama et al., Phys. Rev. C 80, 052201(R) (2009).
- 27. E.F. McNicoll et al., Phys. Rev. C 82, 035208 (2010).
- 28. B. Krusche et al., Phys. Lett. B 397, 171 (1997).
- 29. J. Ajaka et al., Phys. Rev. Lett. 81, 1797 (1998).
- 30. D. Elsner et al., Eur. Phys. J. A 33, 147 (2007).
- 31. W.-T. Chiang et al., Nucl. Phys. A 700, 429 (2002).
- 32. J. Beringer et al., Phys. Rev. D 86, 010001 (2012).
- 33. A.V. Anisovich et al., Eur. Phys. J. A 49, 67 (2013).
- 34. V.A. Anisovich *et al.*, Eur. Phys. J. A **25**, 427 (2005).
- 35. B. Krusche  $et\ al.,$  Phys. Lett. B<br/>  ${\bf 358},\,40$  (1995).
- P. Hoffmann-Rothe et al., Phys. Rev. Lett. 78, 4697 (1997).
- 37. J. Weiss et al., Eur. Phys. J. A 16, 275 (2003).
- 38. J. Weiss et al., Eur. Phys. J. A 11, 371 (2001).
- 39. M. Pfeiffer et al., Phys. Rev. Lett. 92, 252001 (2004).
- 40. V. Hejny et al., Eur. Phys. J. A 6, 83 (1999).
- 41. V. Hejny et al., Eur. Phys. J. A 13, 493 (2002).
- 42. B. Krusche, S. Schadmand, Prog. Part. Nucl. Phys. **51**, 399 (2003).
- V. Shklyar, H. Lenske, U. Mosel, Phys. Lett. B 650, 172 (2007).
- 44. R. Shyam, O. Scholten, Phys. Rev. C 78, 065201 (2008).
- 45. M. Döring, K. Nakayama, Phys. Lett. B 683, 145 (2010).
- 46. V.A. Anisovich et al., Eur. Phys. J. A 41, 13 (2009).
- 47. R.A. Arndt et al., Phys Rev. C 69, 035208 (2004).
- 48. A. Fix, L. Tiator, M.V. Polyakov, Eur. Phys. J. A **32**, 311 (2007)
- M. Shrestha, D.M. Manley, Phys. Rev. C 86, 055203 (2012).
- H. Herminghaus *et al.*, IEEE Trans. Nucl. Sci. **30**, 3274 (1983).
- K.-H. Kaiser *et al.*, Nucl. Instrum. Methods A **593**, 159 (2008).
- I. Anthony *et al.*, Nucl. Instrum. Methods A **301**, 230 (1991).
- S.J. Hall, G.J. Miller, R. Beck, P. Jennewein, Nucl. Instrum. Methods A 368, 698 (1996).
- 54. J.C. McGeorge et al., Eur. Phys. J. A 37, 129 (2008).
- 55. A. Starostin et al., Phys. Rev. C 64, 055205 (2001).
- 56. R. Novotny, IEEE Trans. Nucl. Sci. **38**, 379 (1991).
- A.R. Gabler *et al.*, Nucl. Instrum. Methods A **346**, 168 (1994).
- D. Watts, in Calorimetry in Particle Physics, Proceedings of the 11th International Conference, Perugia, Italy 2004, edited by C. Cecchi, P. Cenci, P. Lubrano, M. Pepe (World Scientific, Singapore, 2005) p. 560.
- 59. S. Schumann et al., Eur. Phys. J. A 43, 269 (2010).
- 60. F. Zehr et al., Eur. Phys. J. A 48, 98 (2012).
- S. Agostinelli *et al.*, Nucl. Instrum. Methods A **506**, 250 (2003).
- 62. Y. Magrhbi et al., Phys. Lett. B 722, 69 (2013).
- 63. J. Arrington et al., Prog. Part. Nucl. Phys. 67, 898 (2012).
- 64. A. Nogga et al., Phys. Rev. C 67, 034004 (2003).
- 65. M. Röbig-Landau et al., Phys. Lett. B 373, 45 (1996).
- 66. T. Mertens et al., Eur. Phys. J. A 38, 195 (2008).