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1

Leptonic CP V iolation and Leptogenesis

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W e review some recent results on the connection between CP violation at low energies and Leptogenesis in the fram ework of speci c avour structures for the fundam ental leptonic mass matrices with zero textures.

Keywords: Leptonic CP violation; Neutrino masses; Leptogenesis

1. Introduction

Neutrinos have masses which are much smaller than the other fermionic m asses and there is large m ixing in the leptonic sector. The Standard M odel (SM) of electroweak interactions cannot accommodate the observed neutrino masses and leptonic mixing since in the Standard Model neutrinos are strictly massless: the absence of righthanded components for the neutrino elds does not allow one to write a Dirac mass term ; the fact that the lefthanded components of the neutrino elds are part of a doublet of SU (2) rules out the possibility of introducing M a prana m ass term s since these would violate gauge symmetry; nally, in the SM, B L is exactly conserved, therefore M a prana m ass term s cannot be generated neither radiatively in higher orders nor nonperturbatively. Therefore, neutrino m asses require physics beyond the SM . At present, this is the only direct evidence for physics beyond the SM . The origin of neutrino m asses remains an open question. It is part of a wider puzzle, the avour puzzle, with questions such as whether or not there is a connection between quarks and leptons explaining the di erent patterns of avour mixing in each sector and the di erent mass hierarchies. In the seesaw fram ework^{1{5} the explanation of the observed smallness of neutrino masses is related to the existence of heavy neutrinos with masses that can be of the order of the uni cation

scale and have profound in plications for cosm ology. M ixing in the leptonic sector leads to the possibility of leptonic CP violation both at low and at high energies. CP violation in the decay of heavy neutrinos m ay allow for the explanation of the observed baryon asym m etry of the Universe (BAU) through leptogenesis.⁶ N eutrino physics m ay also be relevant to the understanding of dark m atter and dark energy as well as galaxy-cluster form attion. Recent detailed analyses of the present theoretical and experimental situation in neutrino physics and its future, can be found in Refs. 7 and 8.

In this work the possibility that BAU m ay be generated via leptogenesis through the decay of heavy neutrinos is discussed. Leptogenesis requires CP violation in the decays of heavy neutrinos. However, in general it is not possible to establish a connection between CP violation required for leptogenesis and low energy CP violation.^{9,10} T his connection can only be established in speci c avour models. The fact that in this fram ework the m asses of the heavy neutrinos are so large that they cannot be produced at present colliders and would have decayed in the early U niverse shows the relevance of avour models in order to prove leptogenesis. In what follows it will be shown how the imposition of texture zeros in the neutrino Y ukaw a couplings m ay at the same time constrain physics at low energies and lead to predictions for leptogenesis.

2. Fram ework and Notation

The work described here is done in the seesaw fram ework, which provides an elegent way to explain the sm allness of neutrino m asses, when com pared to the m asses of the other ferm ions.

In the m inimal seesaw fram ework, the SM is extended only through the inclusion of righthanded components for the neutrinos which are singlets of SU (2) U (1). Frequently, one righthanded neutrino component per generation is introduced. This will be the case in what follows, unless otherwise stated. In fact, neutrino m assess can be generated without requiring the num ber of righthanded and lefthanded neutrinos to be equal. P resent observations are consistent with the introduction of two righthanded components only. In this case one of the three light neutrinos would be m assless.

W ith one righthanded neutrino component per generation the number of ferm ionic degrees of freedom for neutrinos equals those of all other ferm ions in the theory. How ever neutrinos are the only known ferm ions which have zero electrical charge and this allow s one to write M a jorana m ass term s for the singlet ferm ion elds. A fter spontaneous symmetry breakdown (SSB)

the leptonic mass term is of the form :

$$L_{m} = \left[\frac{1}{L} m_{D} m_{R}^{0} + \frac{1}{2} R^{0T} C M_{R} R^{0} + \frac{1}{L} m_{L}^{0} m_{L}^{1} R^{0} \right] + h \varepsilon :=$$

$$= \left[\frac{1}{2} n_{L}^{T} C M n_{L} + \frac{1}{L} m_{L}^{0} m_{L}^{1} R^{0} \right] + h \varepsilon :$$
(1)

with the 6 6 matrix M given by:

$$M = \begin{array}{c} 0 & m_{D} \\ m_{D}^{T} & M_{R} \end{array}$$
(2)

the upperscript 0 in the neutrino () and charged lepton elds (1) is used to indicate that we are still in a weak basis (W B), i.e., the gauge currents are still diagonal. The charged current is given by:

$$L_{W} = \frac{g}{2}W + \frac{1}{L} + hc:$$
 (3)

Since the M a jorana m ass term is gauge invariant there are no constraints on the scale of M_R. The seesaw limit consists of taking this scale to be m uch larger than the scale of the D irac m ass matrices m_D and m₁. The D irac m ass matrices are generated from Yukawa couplings after SSB and are therefore at most of the electroweak scale. As a result the spectrum of the neutrino m asses splits into two sets, one consisting of very heavy neutrinos with m asses of the order of that of the matrix M_R and the other set with m asses obtained, to a very good approximation, from the diagonalisation of an elective M a jorana m ass matrix given by:

$$m_{eff} = m_{D} \frac{1}{M_{R}} m_{D}^{T}$$
(4)

This expression shows that the light neutrino masses are strongly suppressed with respect to the electroweak scale. There is no loss of generality in choosing a W B where m_1 is real diagonal and positive. The diagonalization of M is performed via the unitary transformation:

$$V^{T}M \quad V = D \tag{5}$$

where $D = \text{diag}(m_1; m_2; m_3; M_1; M_2; M_3)$, with m_i and M_i denoting the physical masses of the light and heavy M a jorana neutrinos, respectively. It is convenient to write V and D in the following block form :

$$V = \begin{array}{c} K & G \\ S & T \end{array}; \quad D = \begin{array}{c} d & 0 \\ 0 & D \end{array} :$$
(6)

The neutrino weak-eigenstates are then related to the mass eigenstates by:

$${}^{0}_{iL} = V_{i} \qquad {}_{L} = (K;G) \qquad {}^{iL}_{NiL} \qquad = 1;2;3 \qquad (7)$$

and the leptonic charged current interactions are given by:

$$L_{W} = \frac{g}{p \frac{1}{2}} \overline{I_{iL}} K_{ij j_{L}} + \overline{I_{iL}} G_{ij} N_{j_{L}} W + h \varepsilon: \qquad (8)$$

with K and G being the charged current couplings of charged leptons to the light neutrinos $_{j}$ and to the heavy neutrinos N $_{j}$, respectively.

In the seesaw lim it the matrix K coincides to an excellent approximation with the unitary matrix U that diagonalises m_{eff} of Eq. (4):

$$U^{y} m_{D} \frac{1}{M_{R}} m_{D}^{T} U = d \qquad (9)$$

and the matrix G veries the exact relation:

$$G = m_D T D^{-1}$$
(10)

and is therefore very suppressed.

In a general fram ework, with M symmetric, without the zero block present in Eq. (2) the 3 6 physical matrix (K;G) of the 6 6 unitary matrix V would depend on six independent mixing angles and twelve independent CP violating phases.¹¹ This would be possible with a further extention of the SM including a Higgs triplet. The presence of the zero block reduces the number of independent CP violating phases to six.¹² In the seesaw fram ework massive neutrinos lead to the possibility of CP violation in the leptonic sector both at low and at high energies. CP violation at high energies manifests itself in the decays of heavy neutrinos and is sensitive to phases appearing in the matrix G.

3. Low Energy Leptonic Physics

The light neutrino m asses are obtained from the diagonalisation of m $_{\rm eff}$ de ned by Eq.(4) which is an elective M ajorana m assmatrix. The unitary m atrix U that diagonalises m $_{\rm eff}$ in the W B where the charged lepton m asses are already diagonal real and positive is known as the Pontecorvo, M aki, N akagawa, Sakata (PM N S) m atrix, 13 and can be parametrised as: 14

		C12C13	s ₁₂ c ₁₃	s ₁₃ e¹∖	١	
U	=	s ₁₂ C ₂₃ c ₁₂ s ₂₃ s ₁₃ e ¹	C ₁₂ C ₂₃ s ₁₂ s ₂₃ s ₁₃ e ¹	$s_{23}c_{13}$	P	(11)
		(s ₁₂ s ₂₃ c ₁₂ c ₂₃ s ₁₃ e ⁱ	c ₁₂ s ₂₃ s ₁₂ c ₂₃ s ₁₃ e ⁱ	c ₂₃ c ₁₃ /	/	

with $P = \text{diag}(1;e^i;e^i)$, and are phases associated to the M a prana character of neutrinos. There are three CP violating phases in U.

Experim entally it is not yet known whether any of the three CP violating phases of the leptonic sector is di erent from zero. The current experim ental

bounds on neutrino m asses and leptonic m ixing are:14

si

$$m_{21}^{2} = 8.0_{0:3}^{+0.4} \quad 10^{5} \text{ eV}^{2}$$
(12)

$$n^{2} (2_{12}) = 0.86^{+0.03}_{-0.04}$$
(13)

$$jm_{32}^{2}j = (1.9 \text{ to } 3.0) 10^{-3} \text{ eV}^{-2}$$
 (14)

$$\sin^2(2_{23}) > 0.92$$
 (15)

$$\sin^2_{13} < 0.05$$
 (16)

with m $^2_{ij}$ m 2_j m 2_i . The angle $_{23}$ m ay be maximal, meaning 45, whilst $_{12}$ is already known to deviate from this value. At the moment, there is only an experimental upper bound on the angle $_{13}$.

It is also not yet known whether the ordering of the light neutrino m asses is norm al, i.e, $m_1 < m_2 < m_3$ or inverted $m_3 < m_1 < m_2$. The scale of the neutrino m asses is also not yet established. D irect kinem atical lim its from M ainz¹⁵ and Troitsk¹⁶ place an upper bound on m de ned as:

$$m \int_{i}^{s} \frac{1}{y_{ei} f_{m_{i}}^{2}}$$
(17)

given by m 2:3 eV (M ainz), m $2:2 \text{ eV} \text{ (Troitsk). The forthcoming KATR IN experiment¹⁷ is expected to be sensitive to m > 0:2 eV and to start taking data in 2010.¹⁸$

It is possible to obtain information on the absolute scale of neutrino masses from the study of the cosm ic microwave radiation spectrum together with the study of the large scale structure of the universe. For a at universe, W MAP combined with other astronomical data leads to¹⁹ $_{i}$ m_i 0:66 eV (95% CL).

Neutrinoless double beta decay can also provide information on the absolute scale of the neutrino masses. In the present framework, in the absence of additional lepton number violating interactions, it provides a measurement of the elective Majorana mass given by:

$$m_{ee} = m_1 U_{e1}^2 + m_2 U_{e2}^2 + m_3 U_{e3}^2$$
 (18)

The present upper limit is $m_{ee} = 0.9 \text{ eV}^{20}$ from the Heidelberg-M oskow²¹ and the IG EX²² experiments. There is a claim of discovery of neutrinoless double beta decay by the Heidelberg-M oscow collaboration.²³ Interpreted in terms of a M a pranamass of the neutrino, this implies m_{ee} between 0.12 eV to 0.90 eV. This result awaits conmation from other experiments and would constitute a maprdiscovery.

It was shown that the strength of CP violation at low energies, observable for example through neutrino oscillations can be obtained from the following low energy W B invariant:²⁴

$$Tr[h_{eff};h_1]^3 = 6i_{21} _{32} _{31}Im f(h_{eff})_{12}(h_{eff})_{23}(h_{eff})_{31}g$$
(19)

where $h_{eff} = m_{eff} m_{eff} ^{Y}$, $h_1 = m_1 m_1 ^{Y}$, and $_{21} = (m_{e}^{2} m_{e}^{2})$ with analogous expressions for $_{31}$, $_{32}$. The righthand side of this equation is the computation of this invariant in the special W B where the charged lepton m asses are real and diagonal. In the case of no CP violation of D irac type in the leptonic sector this W B invariant vanishes; on the other hand, it is not sensitive to the presence of M a jorana phases. This quantity can be computed in any W B and therefore is extrem ely useful for model building since it enables one to investigate whether a speci c ansatz leads to D irac type CP violation or not, without the need to go to the physical basis. It is also possible to write W B invariant conditions sensitive to the M a jorana phases. The general procedure was outlined in R ef. 25 where it was applied to the quark sector. For three generations it was shown that the follow ing four conditions are su cient ²⁴ to guarantee CP invariance:

- Im tr $h_1 (m_{eff} m_{eff}) (m_{eff} h_1 m_{eff}) = 0$ (20)
- $\operatorname{Im} \operatorname{tr} h_1 \left(\operatorname{m}_{eff} \operatorname{m}_{eff} \right)^2 \left(\operatorname{m}_{eff} h_1 \operatorname{m}_{eff} \right) = 0 \quad (21)$
- In tr h₁ (m_{eff} m_{eff})² (m_{eff} h₁ m_{eff}) (m_{eff} m_{eff}) = 0 (22)
 - In det $(m_{eff} h_1 m_{eff}) + (h_1 m_{eff} m_{eff}) = 0$ (23)

provided that neutrino m asses are nonzero and nondegenerate (see also R ef. 26). In R ef. 27 alternative W B invariant conditions necessary to guarantee C P invariance in the leptonic sector under less general circum stances are given.

4. Leptogenesis

The observed baryon asymmetry of the universe (BAU) is given by: 28

$$\frac{n_{\rm B}}{n} = (6:1^{+0:3}_{0:2}) \quad 10^{-10}:$$
(24)

It is already established that this observation requires physics beyond the SM in order to be explained. One of the most plausibe explanations is Leptogenesis⁶ where out-of-equilibrium L-violating decays of heavy M a jorana neutrinos generate a lepton asym metry which is partially converted through sphaleron processes²⁹ into a baryon asym metry. The lepton number asym – metry "N₁, thus produced was computed by several authors.³⁰⁽³⁴ Sum ming

over all charged leptons one obtains for the asymmetry produced by the decay of the heavy M a jorana neutrino N $_{\rm j}$ into the charged leptons l_i (i = e, ,):

where M $_k$ denote the heavy neutrino m asses, the variable x_k is denote as $x_k = \frac{M_k r^2}{M_j r^2}$ and I(x_k) = $p \, \overline{x_k} \, 1 + (1 + x_k) \log(\frac{x_k}{1 + x_k})$. From Equation (25) it can be seen that, when one sums over all charged leptons, the lepton-number asymmetry is only sensitive to the CP-violating phases appearing in m $_D^y$ m $_D$ in the W B, where M $_R$ is diagonal. W eak basis invariants relevant for leptogenesis were derived in ?

$$I_1 \quad \text{Im } Tr[h_D H_R M_R h_D M_R] = 0 \tag{26}$$

$$I_{2} \quad \text{Im} \, \text{Tr}[h_{D} \, H_{R}^{2} M_{R} \, h_{D} \, M_{R}] = 0 \tag{27}$$

$$I_{3} \quad \text{Im} \, \text{Tr}[h_{D} \, H_{R}^{2} M_{R} \, h_{D} \, M_{R} \, H_{R}] = 0 \tag{28}$$

with $h_D = m_D^{y} m_D$ and $H_R = M_R^{y} M_R$. These constitute a set of necessary and su cient conditions in the case of three heavy neutrinos. See also.³³

The simplest realisation of therm al leptogenesis consists of having hierarchical heavy neutrinos. In this case there is a low er bound for the mass of the lightest of the heavy neutrinos.^{35,36} D epending on the cosm ological scenario, the range form in im alM $_1$ varies from order 10^7 G ev to 10^9 G ev.^{37,38} Furtherm ore, an upper bound on the light neutrino m asses is obtained in order for leptogenesis to be viable. With the assumption that washout e ects are not sensitive to the di erent avours of charged leptons into which the heavy neutrino decays this bound is approximately 0:1 ev. 39(42 However, it was recently pointed out^{43{51} that there are cases where avour matters and the commonly used expressions for the lepton asymmetry, which depend on the total CP asym m etry and one single e ciency factor, m ay fail to reproduce the correct lepton asymmetry. In this cases, the calculation of the baryon asymmetry with hierarchical righthanded neutrinos must take into consideration avour dependent washout processes. As a result, in this case, the previous upper lim it on the light neutrino masses does not survive and leptogenesis can be made viable with neutrino masses reaching the cosm ological bound of $m_1 = 0.66 \text{ eV}$. The lower bound on M₁ does

not move much with the inclusion of avour elects. Flavour elects bring new sources of CP violation to leptogenesis and the possibility of having a common origin for CP violation at low energies and for leptogenesis. 52

There are very interesting alternative scenarios to the m inim al leptogenesis scenario brie y m entioned here. It was pointed out at this conference that an SU (2)-singlet neutrino with a keV m ass is a viable dark m atter candidate.⁵⁶ Some leptogenesis scenarios are compatible with m uch lower heavy neutrino m asses than the values required for m inim al leptogenesis.

5. Im plications from Zero neutrino Yukawa Textures

The general seesaw fram ework contains a large number of free parameters. The introduction of zero textures and/or the reduction of the number of righthanded neutrinos to two, allows to reduce the number of parameters. In this work only zero textures in posed in the fundamental leptonic mass matrices are considered and, in particular, zero textures of the D irac neutrino mass matrix, m_D in the W B where M $_R$ and m_1 are real and diagonal. Zero textures of the low energy elective neutrino mass matrix are also very interesting phenom enologically.⁵⁷ The physical meaning of the zero textures that appear in most of the leptonic mass analysed in a recent work⁵⁸ where it is shown that some leptonic zero texture ansatze can be obtained from W B transform ations and therefore have no physical meaning.

In general, zero textures reduce the number of CP violating phases, as a result some sets of zero textures in ply the vanishing of certain CP-odd W B invariants.⁵⁹ This is an important fact since clearly zero textures are not W B invariant, therefore in a di erent W B the zeros m ay not be present making it di cult to recognise the ansatz. Furtherm ore, it was also show n⁵⁹ that starting from arbitrary leptonic mass matrices, the vanishing of certain CP-odd W B invariants, together with the assumption of no conspiracy am ong the parameters of the D irac and M a jorana mass term s, one is autom atically lead to given sets of zero textures in a particular W B.

Fram pton, G lashow and Yanagida have show n^{60} that it is possible to uniquely relate the sign of the baryon number of the Universe to CP violation in neutrino oscillation experiments by imposing two zeros in m_D, in the seesaw fram ework with only two righthanded neutrino components. Two examples were given by these authors:

The two zeros in m $_{\rm D}$ eliminate two CP violating phases, so that only one CP violating phase remains. This is the most econom ical extension of the standard model leading to leptogenesis and at the same time allowing for low energy CP violation. Im posing that the model accommodates the experimental facts at low energy strongly constrains its parameters.

In Ref. 61 m in in al scenarios for leptogenesis and CP violation at low energies were analysed in some speci c realisations of seesaw models with three righthanded neutrinos and four zero textures in m_D, where three of the zeros are in the upper triangular part of the matrix. This latter particular feature was motivated by the fact that there is no loss of generality in parametrising m_D as:

$$m_{\rm D} = U Y_4$$
; (30)

with U a unitary matrix and Y_4 a lower triangular matrix, i.e.:

$$Y_{4} = \begin{pmatrix} 0 & & & & \\ y_{11} & & & & 0 & \\ y_{21} e^{i & 21} & & & y_{22} & & 0 & A & ; \\ & & & y_{31} e^{i & 31} & y_{32} e^{i & 32} & y_{33} & & & & & & (31) \end{pmatrix}$$

where y_{ij} are real positive numbers. Choosing U = 1 reduces the number of parameters in m_D. Moreover, U cancels out in the combination m^y_D m_D relevant in the case of un avoured leptogenesis, whilst it does not cancel in m_{eff}. Therefore choosing U = 1 allows for a connection between low energy CP violation and leptogenesis to be established since in this case the same phases a ect both phenomena. The nonzero entries of m_D were written in terms of powers of a small parameter a la Frogatt N ielsen⁶² and chosen in such a way as to accomm odate the experimental data. V iable leptogenesis was found requiring the existence of low energy CP violating e ects within the range of sensitivity of the future long baseline neutrino oscillation experiments under consideration.

In order to understand how the connection between CP violation required for leptogenesis and low energy physics is established in the presence of zeros in the matrix m_D , the following relation derived from Eq. (9) in the W B where M_R and m_1 are real positive and diagonal is important:

$$n_{\rm D} = i U \frac{p - p}{dR} D_{\rm R}$$
(32)

with R an orthogonal complex matrix, $p = D_R$ a diagonal real matrix verifying the relation $D_R D_R = D_R$ and d a real matrix with a maximum num ber of zeros such that d d = d. This is the well known C asas and Ibarra parametrisation.⁶³ From this equation it follows that:

$$m_{D}^{y}m_{D} = \frac{p_{D}}{D_{R}}R^{y}\overline{d}^{T}\overline{d}R^{D}\overline{D}_{R}$$
(33)

Since the CP violating phases relevant for leptogenesis in the un avoured case are those contained in $m_D^{y} m_D$, it is clear that leptogenesis can occur even if there is no CP violation at low energies i.e. no M a prana-or D iractype CP phases at low energies.¹⁰ Un avoured leptogenesis requires the matrix R to be complex. In avoured leptogenesis the separate lepton i fam ily asymmetry generated from the decay of the kth heavy M a prana neutrino depends on the combination⁴⁵ Im $(m_D^{y} m_D)_{kk^0} (m_D)_{ik} (m_D)_{ik^0}$ as

wellas on Im $(m_D^{y} m_D)_{k^{\circ}k} (m_D)_{ik} (m_D)_{ik^{\circ}}$. The matrix U does not cancel in each of these terms and it was shown that it is possible to have viable leptogenesis even in the case of real R, with CP violation in the PMNS matrix as the source of CP violation required for leptogenesis.

From Eq. (32) it is clear that one zero in $(m_D)_{ij}$ corresponds to having an orthogonality relation between the ith row of the matrix U d and the jth column of the matrix R:

$$(m_D)_{ij} = 0$$
: $(U)_{ik} \frac{p_{-}}{d_{k} R_{1j}} = 0$ (34)

Ibarra and Ross^{64} showed that, in the seesaw case with only two righthanded neutrinos, a single zero texture, has the special feature of xing the matrix R, up to a relection, without in posing any further restriction on light neutrino masses and mixing. The predictions from models with two zero textures in m_D were also analysed in detail in their work, including the constraints on leptogenesis and lepton avour violating processes. The number of all di erent two texture zeros is freen. Two zeros in ply two simultaneous conditions of the type given by Eq. (34). Com patibility of these two conditions in plies restrictions on U and $\frac{p_{m_i}}{m_i}$. Only ve of these cases turned out to be allowed experimentally, including the two cases of Eq. (29) in this reference.

All of these two zero texture ansatze satify the following W B invariant condition: 59

$$L_{1} \quad \text{tr} \, m_{D} \, M_{R}^{Y} M_{R} m_{D}^{Y} ; h_{1} = 0$$
 (35)

with $h_1 = m_1 m_1^y$, as before. It was also show n^{59} that for arbitrary com plex leptonic m ass m atrices, assuming that there are no special relations among the entries of M_R and those of m_D this condition automatically leads to one of the two zero anzatze classi ed in Ref. 64. The assumption that M_R and m_D are not related to each other is quite natural, since m_D and M_R originate from di erent term s of the Lagrangian.

There are other CP-odd W B invariants which vanish for all of the two zero textures just mentioned, even if they arise in a basis where M_R is not

diagonal. An example is the following WB invariant condition:59

$$I^{0} tr m_{D} m_{D}^{y} ; h_{1} = 0$$
(36)

which is veried for any texture with two zeros in m $_{\rm D}\,$ in a W B where m $_1$ is diagonal, while M $_{\rm R}\,$ is arbitrary.

The case of zero textures with three righthanded neutrinos was also considered in R ef 59. In this case the W B invariant I_1 always vanishes for three zero textures in m_D with two orthogonal rows, which in plies that one row has no zeros. The case of three zeros corresponding to two orthogonal columns of m_D, which in this case in plies that one column has no zeros leads to the vanishing of a new invariant I_2 , de ned by:

$$L_{2} \quad \text{tr} \quad M_{R}^{y} M_{R} ; m_{D}^{y} m_{D}$$
(37)

Four zero textures in the context of seesaw with three righthanded neutrinos are studied in detail in R ef. 65. It is shown that four is the maximum number of zeros in textures compatible with the observed leptonic mixing and with the additional requirement that none of the neutrino masses vanishes. It is also shown that such textures lead to important constraints both at low and high energies, and allow for a tight connection between leptogenesis and low energy parameters. It is possible in all cases to completely specify the matrix R in terms of light neutrino masses and the PM NS matrix. These relations are explicitly given in R ef. 65.

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