# Field trial of a $1.5 \mathrm{~Tb} / \mathrm{s}$ adaptive and gridless OXC supporting elastic 1000-fold all-optical bandwidth granularity 

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#### Abstract

An adaptive gridless OXC is implemented using a 3D-MEMS optical backplane plus optical modules (sub-systems) that provide elastic spectrum and time switching functionality. The OXC adapts its architecture on demand to fulfill the switching requirements of incoming traffic. The system is implemented in a seven-node network linked by installed fiber and is shown to provide suitable architectures on demand for three scenarios with increasing traffic and switching complexity. In the most complex scenario, signals of mixed bit-rates and modulation formats are successfully switched with flexible per-channel allocation of spectrum, time and space, achieving over 1000 -fold bandwidth granularity and $1.5 \mathrm{~Tb} / \mathrm{s}$ throughput with good end-to-end performance.


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OCIS codes: (060.4250) Networks; (060.4510) Optical communications.

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## 1. Introduction

There has been a considerable increase in the range of transmission rates and the spread between large and small bandwidth demands that current optical networks are required to provide [1]. Requirements for dissimilar data rates may arise from the geographic distribution of traffic or the variety of services provided. For instance, in some cases high-bit-rate traffic (e.g. $100 \mathrm{~Gb} / \mathrm{s}$ ) may be needed for datacenter interconnection, whilst other users may require transport of $100 \mathrm{Mb} / \mathrm{s}, 1 \mathrm{~Gb} / \mathrm{s}$ and $10 \mathrm{~Gb} / \mathrm{s}$ circuits. This vast range of traffic granularities is expected to expand in the future as networks migrate to support higher transmission rates beyond 100 G . There is a significant amount of research focusing on high-capacity superchannels at $400 \mathrm{~Gb} / \mathrm{s}$ [2], $1 \mathrm{~Tb} / \mathrm{s}$ [3] and beyond [4]. Such superchannels may require channel spacings that would not be compatible with today's $100-\mathrm{GHz}$ or $50-\mathrm{GHz}$ ITU grid e.g. 75 GHz for $400 \mathrm{~Gb} / \mathrm{s}$ and 150 GHz for $1 \mathrm{~Tb} / \mathrm{s}$. Hence, there has been growing interest in gridless and elastic optical networking [5,6] as a means to efficiently accommodate a mix of superchannels and low-speed channels as well as to improve point-to-point and overall network efficiency, e.g. 400G occupying $75-\mathrm{GHz}$ bandwidth is more than twice as efficient as 100 G using 50 GHz . On the other hand, efficient transport of lower bit-rate channels (e.g. hundreds of $\mathrm{Mb} / \mathrm{s}$ ) requires the implementation of sub-wavelength granularity whereby the transmission capacity of an optical channel is multiplexed among lower bit-rate demands. Thus, in the future optical network, optical nodes will need to allocate resources in a flexible and efficient manner to efficiently support high-speed channels (beyond 100G), lower speed channels (e.g. $40 \mathrm{~Gb} / \mathrm{s}, 10 \mathrm{~Gb} / \mathrm{s}$ ) and sub-wavelength channels (e.g. hundreds of $\mathrm{Mb} / \mathrm{s}$ ).

Meanwhile, a number of optical OXC architectures have been proposed to support various forms of optical switching such as MG-OXC [7], hybrid optical packet/circuit switch nodes [8], Packet-OADMs [9]. In addition, recent developments in elastic bandwidth allocation demonstrate the ability to deliver higher level of switching flexibility by the use of bandwidth adaptive (BA) wavelength selective switches (WSS) [10]. In this paper we are going beyond other efforts and demonstrate, for the first time, an adaptive optical cross-connect (OXC) that supports elastic allocation of arbitrary spectral, time and space resources per port in a symmetric or asymmetric manner. It can dynamically construct suitable architectures-ondemand tailored to traffic requirements. The system is implemented to transparently switch up to $1.5 \mathrm{~Tb} / \mathrm{s}(160 \mathrm{~Gb} / \mathrm{s}+15 \times 40 \mathrm{~Gb} / \mathrm{s}+74 \times 10 \mathrm{~Gb} / \mathrm{s})$ of traffic with all-optical bandwidth granularities ranging from $143 \mathrm{Mb} / \mathrm{s}$ up to $160 \mathrm{~Gb} / \mathrm{s}$ i.e. a factor of over 1000 . Any OXC port is able to allocate any gridless spectrum-slice within the range of 12.5 GHz to 5 THz with a step size of 1 GHz , as well as perform elastic time-slice allocation varying from $1.06 \mu \mathrm{~s}$ up to a maximum of continuous allocation in $1.06-\mu \mathrm{s}$ steps. The OXC is also able to optically groom individual channels or bands with different bit-rates ( $10 \mathrm{~Gb} / \mathrm{s}$ and $40 \mathrm{~Gb} / \mathrm{s}$ ) as well as multicast continuous channels and sub- $\lambda$ data flows.

## 2. Adaptive optical cross-connect

The adaptive optical cross-connect is based on the Architecture-on-Demand concept [11]. As illustrated in Fig. 1a, the system consists of an optical backplane, implemented using a 20 ms 96x96 3D-MEMS optical switch, that inter-connects several architecture-building modules such as 200 ms LCoS-based spectrum selective switch (SSS) [12], 10ns 2x2 PLZT optical switch [13], wavelength/waveband (De)-Multiplexer, 1x4 couplers and EDFAs. Modules are attached to the 3D-MEMS switch so that their inputs and outputs connect to the 3D-MEMs outputs and inputs respectively. Some of the MEMS ports are reserved as OXC inputs/outputs and add/drop according to the required node degree and add/drop capability. During operation, the switching requirements (spectrum-time-space) of the optical signals are used to compute a suitable arrangement and interconnection of the modules that delivers the required functionality. Such arrangement constitutes the required architecture of the OXC, which is implemented by means of internal cross-connections in the optical backplane. Figure 1a shows the cross-connections used in the optical backplane to construct the example architecture of Fig. 1b. As shown in Fig. 1b, individual arrangements of components, with
different levels of complexity, can be constructed on a per-port basis reflecting the switching requirements of the signals in each port. Such arrangements may be dynamically changed, by reconfiguring the backplane cross-connections, to fulfill the switching requirements of new traffic (Fig. 1c).


Fig. 1. a) Adaptive OXC , b) example architecture and c) architecture reconfiguration algorithm.

## 3. Field network and experimental setup

The network scenario, displayed in Fig. 2a, consists of three source nodes (A, B and C), one adaptive OXC (D) and three destination nodes (E, F and G). Nodes A and E are linked to D by dispersion compensated ITU-T G. 652 installed fiber sections deployed in the UK between the University of Essex Labs in Colchester and Ipswich ( 80 km ); and between the University of Essex Labs and Chelmsford ( 110 km ), as shown in Fig. 2b. Channels are generated at the source nodes and transmitted to Node D where their switching requirements are used to construct a suitable architecture and switch the channels to their destination node(s).


Fig. 2. a) Experimental network configuration and b) field fiber connectivity.
Figure 3 presents a more detailed representation of the experimental setup. At Node A, a multi-channel multi-format transmitter generates continuous $4 \times 40 \mathrm{~Gb} / \mathrm{s}$ NRZ and $3 \mathrm{x} 40 \mathrm{~Gb} / \mathrm{s}$ RZ plus $8 \times 40 \mathrm{~Gb} / \mathrm{s}$ RZ sub- $\lambda$ channels, modulated with a PRBS7. Node B generates continuous $1 \times 160 \mathrm{~Gb} / \mathrm{s}$ RZ and $2 \mathrm{x} 10 \mathrm{~Gb} / \mathrm{s}$ NRZ data channels plus $52 \mathrm{x} 10 \mathrm{~Gb} / \mathrm{s}$ NRZ sub- $\lambda$ data channels carrying PRBS7. Node C generates $20 \times 10 \mathrm{~Gb} / \mathrm{s}$ sub- $\lambda$ channels with PRBS32 data. Flexible channel spacing is used depending on channel bandwidth and switching requirements; namely, 50 GHz for the $10 \mathrm{~Gb} / \mathrm{s}, 100 \mathrm{GHz}$ for the $40 \mathrm{~Gb} / \mathrm{s}$ NRZ, 150 GHz for the continuous $40 \mathrm{~Gb} / \mathrm{s} \mathrm{RZ}$ and 200 GHz for the $40 \mathrm{~Gb} / \mathrm{s}$ sub- $\lambda$ channels. The $160 \mathrm{~Gb} / \mathrm{s} \mathrm{RZ}$
signal requires a minimum spectrum allocation of 350 GHz . The OXC can support both $50 / 100 \mathrm{GHz}$ ITU grid spacing as well as gridless / arbitrary spectrum spacing and allocation. The sub- $\lambda$ channels are generated using fast tuneable lasers ( $<60 \mathrm{~ns}$ ) according to a $68-\mu \mathrm{s}$ frame with variable time-slot size ranging from $1.06 \mu \mathrm{~s}(147 \mathrm{Mb} / \mathrm{s} @ 10 \mathrm{~Gb} / \mathrm{s}$ and $590 \mathrm{Mb} / \mathrm{s} @$ $40 \mathrm{~Gb} / \mathrm{s}$ ) up to $67.94 \mu$ s with $60-$ ns guard needed for C -Band $\lambda$ tuning and switching.


Fig. 3. Experimental Setup. Node D is the adaptive OXC.

## 3. Results and discussion

The flexibility of the proposed adaptive OXC is demonstrated in three scenarios with increasing traffic load and switching requirements. The on-demand architecture constructed in the first scenario demonstrates simultaneous wavelength and fiber switching on different ports of the adaptive OXC. For the second scenario a new architecture is dynamically constructed, which additionally implements waveband and arbitrary spectrum switching to fulfill the new switching requirements (e.g. $160 \mathrm{~Gb} / \mathrm{s}$ ). Finally, the third architecture shows also multi bit-rate optical sub- $\lambda$ grooming and multicasting. In addition, the 3rd scenario demonstrates flexible allocation of spectrum, space and time resources whereby the node transparently switches continuous and sub- $\lambda$ channels and as such is able to time-multiplex arbitrary spectrum among sub- $\lambda$ channels of different bit rates ( $10 \mathrm{~Gb} / \mathrm{s}$ and $40 \mathrm{~Gb} / \mathrm{s}$ ) and modulation formats.

In the first scenario, Node A transmits $5 \times 40 \mathrm{~Gb} / \mathrm{s}$ sub-wavelength and $1 \mathrm{x} 40 \mathrm{~Gb} / \mathrm{s}$ NRZ continuous channel over the Ipswich link. As shown in Fig. 4a, Node E is the destination of these channels so they require to be switched to output E and transmitted over the Chelmsford link. Node B generates $8 \times 10 \mathrm{~Gb} / \mathrm{s}$ sub-wavelength channels that go towards either Node E (three channels) or Node F (five channels). Node C generates $20 \times 10 \mathrm{~Gb} / \mathrm{s}$ sub-wavelength channels, all with destination Node G. The architecture required to provide this switching functionality, shown in Fig. 4a, is implemented by establishing the required cross-connections in the optical backplane. It consists of one wavelength de-multiplexer to split the signals from Node B, one multiplexer to re-combine the signals going towards Node F, one amplifier and two couplers to merge the signals going towards Node E. Node C traffic is switched using a single 3D-MEMS cross-connection to Node G.

In the second scenario, displayed in Fig. 4b, Nodes A and B have additional traffic and switching requirements. Node A transmits $4 \times 40 \mathrm{~Gb} / \mathrm{s}$ continuous NRZ and $10 \mathrm{x} 40 \mathrm{~Gb} / \mathrm{s}$ sub- $\lambda$ RZ signals over the Ipswich link, some of which go to Node E and some to Node F. Node B generates $54 \times 10 \mathrm{~Gb} / \mathrm{s}$ NRZ and one $160 \mathrm{~Gb} / \mathrm{s}$ signal. The $160 \mathrm{~Gb} / \mathrm{s}$ signal requires to be switched towards Node E together with $39 \times 10 \mathrm{~Gb} / \mathrm{s}$ channels, the $18 \times 10 \mathrm{~Gb} / \mathrm{s}$ channels remaining go to Node F. The required architecture is implemented by reconfiguring the crossconnections in the 3D-MEMS switch, as shown in Fig. 4b. A 1x4 200-ms LCoS-based SSS that supports flexible spectrum allocation per port (multiple bands of variable size from 12.5-

GHz up to $5-\mathrm{THz}$ bandwidth in $1-\mathrm{GHz}$ steps) is used to switch the $160 \mathrm{~Gb} / \mathrm{s}$ signal by programming a co-centered $600-\mathrm{GHz}$ band to be passed from port 1 to its common port. Also, for the $10 \mathrm{~Gb} / \mathrm{s}$ and $40 \mathrm{~Gb} / \mathrm{s}$ signals destined towards Node E customized bandwidths per channel are used according to individual channel bandwidths. For the signals going to Node F, $150-\mathrm{GHz}(\mathrm{De})$-Multiplexer are used to switch either a single $40 \mathrm{~Gb} / \mathrm{s}$ channel or three 10 $\mathrm{Gb} / \mathrm{s}$ channels per MUX/DEMUX port as in waveband switching [7].


Fig. 4. Constructed architectures and spectrum plot results for three traffic scenarios.
In the third scenario, Fig. 4c, Node A traffic is increased by three continuous $40 \mathrm{~Gb} / \mathrm{s}$ RZ and one sub- $\lambda 40 \mathrm{~Gb} / \mathrm{s}$ RZ channel. In order to free up spectrum for the new channels, three sub- $\lambda 40 \mathrm{~Gb} / \mathrm{s}$ channels are tuned to share the same $\lambda$ with other sub- $\lambda$ channels, i.e. multiplexed in time. Therefore, data-units from Node A transmitted at the same $\lambda$ but at different time intervals may have different destinations, as shown in Fig. 5b, and need to be switched independently. This new requirement of sub- $\lambda$ and sub-band switching is not accomplished by the architecture of the previous scenario. Therefore, a new configuration
(Fig. 4c) is implemented by re-configuring the 3D-MEMS switch. Thus, sub- $\lambda$ data-units coming from Node A are switched to the appropriate destination using a 10-ns PLZT switch.

Data-units that go towards Node E are groomed with one $3 \times 10-\mathrm{Gb} / \mathrm{s}$ sub-band channel, which goes to the same destination and uses the same spectrum, selected on port 3 of the SSS and transmitted over the Chelmsford link. Data-units that go towards Node F are output on port 4 of the PLZT switch, amplified and replicated. Then, copies are input to the ports of the multiplexer that correspond to the $\lambda \mathrm{s}$ of the data-units. Figure 5 a shows an example $68-\mu \mathrm{s}$ frame of a $10 \mathrm{~Gb} / \mathrm{s}$ sub- $\lambda$ channel with allocated flexible data-unit sizes of $1.06 \mu \mathrm{~s}, 4.2 \mu \mathrm{~s}$ and $17 \mu \mathrm{~s}$. The minimum data-rate unit switched with this architecture is a $10 \mathrm{~Gb} / \mathrm{s}$ sub- $\lambda$ channel occupying one $1.06-\mu \mathrm{s}$ slot in the $68-\mu \mathrm{s}$ frame $(\sim 147 \mathrm{Mb} / \mathrm{s})$ and the maximum is the $160 \mathrm{~Gb} / \mathrm{s}$ RZ continuous channel. Hence, the bandwidth granularity factor is greater than 1000. In scenarios 2 and 3 , three $10-\mathrm{Gb} /$ s channels are multicast to Nodes E and F. Also, in all three scenarios, architectures are built using multiple switching stages ( $1 \& 2$ stages for scenarios 1 and 2 , and $1,2 \& 3$ stages for scenario 3 ). EDFAs are used to compensate for the insertion loss of internal node paths and balance the power of all channels on each output port with less than $1.5-\mathrm{dB}$ deviation. Figure 5 b shows the $10 \& 40 \mathrm{~Gb} / \mathrm{s}$ sub- $\lambda$ data-units switched from A and B to E and F together with their eye diagrams. The average penalty of the node was 1 dB with a maximum of 2 dB for 40 G sub- $\lambda$ due to additional loss in PLZT switch. The average end-toend network penalty was 2.5 dB and the maximum 4.5 dB was measured for the $40 \mathrm{~Gb} / \mathrm{s}$ NRZ continuous channels. For the $160 \mathrm{~Gb} / \mathrm{s}$ channel we measured a back-to-back Q-factor of 19.1 dB and an end-to-end degradation of 2.1 dB . The 40 G sub- $\lambda$ channels showed an enhanced sensitivity compared to continuous channels due to the higher ratio of peak to average power.


Fig. 5. a) Example frame showing flexible time allocation, b) time multiplexing of $40 \mathrm{~Gb} / \mathrm{s}$ and $3 \times 10 \mathrm{~Gb} / \mathrm{s}$ and c) BER results for $10 \mathrm{~Gb} / \mathrm{s}$ and $40 \mathrm{~Gb} / \mathrm{s}$ continuous and sub- $\lambda$ channels in Scenario 3.

## 4. Conclusion

We have presented an adaptive OXC that dynamically constructs architectures tailored to traffic requirements and supports elastic allocation of arbitrary spectral and time resources. The system is evaluated in a network of seven nodes and installed fiber with three different
traffic scenarios. It is shown to switch up to $1.5 \mathrm{~Tb} / \mathrm{s}$ based on continuous and sub- $\lambda$ signals at $160 \mathrm{~Gb} / \mathrm{s}, 40 \mathrm{~Gb} / \mathrm{s}$ and $10 \mathrm{~Gb} / \mathrm{s}$, with over 1000 -fold all-optical bandwidth granularity factor.

## Acknowledgements

This work is supported by the EC FP7, grant agreement No. 247674, STRONGEST and the EPSRC grant EP/I01196X: Transforming the Future Internet: The Photonics Hyperhighway. The authors acknowledge EpiPhotonics for the fast switch loan.

