





Citation: Pennino MG, Arcangeli A, Prado Fonseca V, Campana I, Pierce GJ, Rotta A, et al. (2017) A spatially explicit risk assessment approach: Cetaceans and marine traffic in the Pelagos Sanctuary (Mediterranean Sea). PLoS ONE 12(6): e0179686. https://doi.org/10.1371/journal.pone.0179686

**Editor:** Judi Hewitt, University of Waikato, NEW 7FALAND

Received: November 11, 2016

Accepted: June 4, 2017

Published: June 23, 2017

Copyright: © 2017 Pennino et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

**Data Availability Statement:** All relevant data are within the paper and its Supporting Information files.

**Funding:** This work was supported by the "Banco di Sardegna" Foundation (BAN6077). The funder had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

**Competing interests:** The authors have declared that no competing interests exist.

RESEARCH ARTICLE

# A spatially explicit risk assessment approach: Cetaceans and marine traffic in the Pelagos Sanctuary (Mediterranean Sea)

Maria Grazia Pennino<sup>1,2</sup>\*, Antonella Arcangeli<sup>3</sup>, Vinícius Prado Fonseca<sup>1,4</sup>, Ilaria Campana<sup>5,6</sup>, Graham J. Pierce<sup>7,8,9</sup>, Andrea Rotta<sup>10</sup>, Jose Maria Bellido<sup>1,2</sup>

- 1 Statistical Modeling Ecology Group (SMEG), Departament d'Estadística i Investigació Operativa, Universitat de València, Burjassot, Valencia, Spain, 2 Instituto Español de Oceanografía, Centro Oceanográfico de Murcia, San Pedro del Pinatar, Murcia, Spain, 3 ISPRA Department, for Nature Conservation, Rome, Italy, 4 Department of Ecology, Universidade Federal do Rio Grande do Norte, Natal, Rio Grande do Norte, Brazil, 5 Department of Ecological and Biological Sciences, Ichthyogenic Experimental Marine Center (CISMAR), Tuscia University, Tarquinia, Viterbo, Italy, 6 Accademia del Leviatano, Rome, Italy, 7 Oceanlab, School of Biological Sciences, University of Aberdeen, Newburgh Aberdeenshire, United Kingdom, 8 Departamento de Biologia and CESAM, Universidade de Aveiro, Aveiro, Portugal, 9 Instituto de Investigacións Mariñas (Consejo Superior de Investigaciones Cientificas), Vigo, Spain, 10 Dipartimento di Medicina Veterinaria, Università di Sassari, Sassari, Italy
- \* grazia.pennino@mu.ieo.es

# **Abstract**

Spatially explicit risk assessment is an essential component of Marine Spatial Planning (MSP), which provides a comprehensive framework for managing multiple uses of the marine environment, minimizing environmental impacts and conflicts among users. In this study, we assessed the risk of the exposure to high intensity vessel traffic areas for the three most abundant cetacean species (*Stenella coeruleoalba*, *Tursiops truncatus* and *Balaenoptera physalus*) in the southern area of the Pelagos Sanctuary, which is the only pelagic Marine Protected Area (MPA) for marine mammals in the Mediterranean Sea. In particular, we modeled the occurrence of the three cetacean species as a function of habitat variables in June by using hierarchical Bayesian spatial-temporal models. Similarly, we modelled the marine traffic intensity in order to find high risk areas and estimated the potential conflict due to the overlap with the cetacean home ranges. Results identified two main hot-spots of high intensity marine traffic in the area, which partially overlap with the area of presence of the studied species. Our findings emphasize the need for nationally relevant and transboundary planning and management measures for these marine species.

## Introduction

Marine spatial planning (MSP) has the potential to provide a comprehensive framework for managing multiple uses of the marine environment (e.g. marine traffic and fishing) and to minimize environmental impacts while reducing conflicts among users [1]. Spatially explicit



risk assessment is an essential component of MSP as it links the distribution of key species to the potential effects and distribution of human activities [2, 3].

Cetaceans are recognized *umbrella species* and protecting them could have strong effects on community structure and function [4]. Indeed, it was recently demonstrated that the management of protected areas designed on top predator distributions is highly efficient, leading to higher biodiversity levels and more ecosystem benefits [5, 6]. Consequently, protecting cetacean habitats should be a priority issue for MSP as their protection could act as indirect measures for the management of seas in general [7].

Nowadays cetacean populations are facing several threats including habitat loss, interactions with commercial fisheries, and physical and acoustic disturbance caused, particularly, by increased boating and shipping traffic [8, 9]. Specifically, marine traffic can cause long-term changes in cetacean distribution [10, 11], as well short-term changes in respiration patterns, surface active behaviors and swimming velocity [12, 13]. In addition, worldwide ship strikes with odontocetes and mysticetes are regularly reported, with evidence of ship collisions described for 11 species of large whales, of which the fin whale (*Balaenoptera physalus*) was the most frequently involved [14]

The Mediterranean Sea is a high intensity vessel traffic area in which almost 222,000 vessels, including ferries and fishing boats, navigate daily [15]. The highest intensity of boat traffic occurs in the summer months, especially for the transit of cruise ships and passenger ferries connecting tourist destinations [8, 16]. In addition, the intensity of vessel traffic in European Mediterranean waters is expected to increase over the next few years due to the application of the EU program on "Motorways of the Sea" as an alternative to land transport [17].

In this context it is essential to identify the highest intensity traffic areas that may overlap critical cetacean habitats, in order to provide potential conservation/mitigation measures to protect these species and plan future traffic monitor programs.

In the western Mediterranean sea, most traffic connecting the main ports of central Italy with western destinations passes northern Sardinia through the Bonifacio Strait, a remarkable natural area overlapping several locations with different levels of protection: the Pelagos Sanctuary, the International Marine Park of Bonifacio Bouche, the SPAMI Natural Reserve of *Bouches* de *Bonifacio*, the Asinara and the Maddalena Marine Protected Areas.

In this study, we conducted a spatially explicit assessment of the risk of the exposure to high intensity vessel traffic areas for the three most abundant cetacean species in northern Sardinia [18], striped dolphin (*Stenella coeruleoalba*), bottlenose dolphin (*Tursiops truncatus*) and fin whale (*Balaenoptera physalus*). In particular, we modeled the occurrence of every cetacean species as a function of habitat variables (sea surface temperature, net primary production, photosynthetically active radiation, chlorophyll-a concentration, depth, slope, distance from the coast), by using hierarchical Bayesian spatial-temporal models. Similarly, we modelled the marine traffic intensity in order to find high risk areas, and estimated the overlap with the cetacean area of presence as a measure of potential conflict.

#### Material and methods

## Study area

The study area covers approximately 5,500 km<sup>2</sup> and includes the coastal (0–200 m depth) and offshore (200–3000 m depth) waters of northern Sardinia. Specifically, this area is located between the National Park of the Archipelago de la Maddalena on the eastern side of the island, and the Asinara National Park on the western side (Fig 1).

Part of the studied area is part of the Pelagos Sanctuary, which is the only pelagic MPA for marine mammals in the Mediterranean Sea. It covers about 90,000 km<sup>2</sup> and was established by



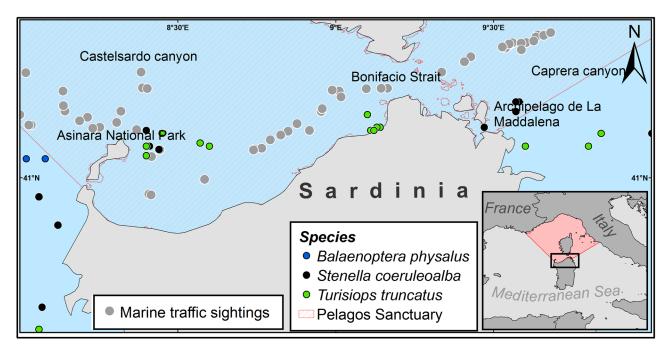


Fig 1. Map of the study area with the boundaries of the Pelagos Sanctuary and the cetaceans and marine traffic observations.

https://doi.org/10.1371/journal.pone.0179686.g001

Italy, France and Monaco in 1999 [18]. In addition, the study area also encompasses the Natural Reserve of *Bouches* de *Bonifacio* (France), which was listed in 2009 as a specially protected area to protect biological diversity in the Mediterranean (under the SPA/BD Protocol of the Barcelona Convention) [19], and the Bouches de Bonifacio International Marine Park, which, in 2012, adopted measures for the implementation of EU environmental policies at crossborder level [20].

## Cetacean surveys

From 2012 to 2014 a scientific study was performed in June using a motorized-sailing boat (S1 File). During daylight hours (local time from 6.00 A.M. to 8.00 P.M.), quadruple-observer surveys were conducted by trained observers following a boat speed of 8-10 knots. Observers scanned with the naked eye and used binoculars (7 x 50 and 8 x 42) for the identification of cetaceans and estimation of group size. Tracks were recorded using a GPS and daily downloaded onto a computer equipped with the "Mapsource" software [21].

Weather conditions (sea state and wind intensity) were recorded every 30 minutes or whenever any of these values changed significantly. Information collected, following the distance sampling protocol [22], included species identification, calf/juvenile presence, group size (maximum, minimum, or exact number if possible), and behaviour of the focal animal identified.

No specific permission was required to perform the sampling. The International Union for Conservation of Nature (IUCN) considers that the striped dolphin and the fin whales are vulnerable and endangered respectively. However, observations were made from a distance of >20 metres in order to avoid harassment of cetaceans [23]. If cetaceans approached the boat, we maintained its course, avoiding abrupt changes in direction or speed to avoid running over or injuring the animals.



# Marine traffic sampling method

Real-time data on marine traffic (S1 File) were collected from 2013 to 2014 within the international Fixed Line Transect network (FLT MED) [24]. Dedicated observers, located on the command bridge of ferries crossing the study area longitudinally, performed scan sampling to count all vessels longer than 5 metres all around the ferry at regular intervals of (every 60 minutes) [8]. Observations were performed only in good weather conditions (Beaufort scale  $\leq$ 3). In these cases the scan sampling has a range of almost 18 km (calculated with onboard instruments) [25]. The data collected included the occurrence of marine traffic, the geographical position and the type of the boats (boats > = 20 m, boats < = 5m, sailing boats and fishing boats).

Data collection for species and vessel traffic were performed separately, allowing good data sets to be collected on both cetaceans and boats.

#### Environmental variables

In order to model the cetacean distribution we used satellite environmental variables that were considered as potential and/or already known predictors of the studied species [26, 27]. These included Sea Surface Temperature (SST in  $^{\circ}$ C), Photosynthetically Active Radiation (PAR in  $\mu$ n), Chlorophyll-a concentration (CHL in mg/m $^{-3}$ ) and Net Primary Production (NPP in mg C/m $^{-3}$ /day), depth (in metres), slope (in degrees) and distance to land (in metres).

Bathymetric features (depth, slope and distance to land) were also used as possible predictors of the marine traffic distribution. We hypnotize that depth and the slope could reflect the morphology of the seabed that could affect the vessels' distribution. Similarly, distance to land could be correlated to the port proximity and consequently could influence the marine traffic distribution.

The SST, PAR and CHL data were derived from the aqua-MODIS sensor, as daily values with a spatial resolution of 2 km (<a href="http://oceandata.sci.gsfc.nasa.gov/">http://oceandata.sci.gsfc.nasa.gov/</a>), while NPP was obtained using the Windows Image Manager Software [28] with the same spatial resolution.

Depth, slope and distance to land were retrieved from the MARSPEC database, (http://www.marspec.org), with a spatial resolution of 1 km.

All environmental data were gridded at 4 x 4 km in order to have the same spatial resolution using the 'raster' package [29] in the R software [30].

Finally, environmental variables were explored for linearity, correlation, collinearity, outliers, and missing data before their use in the analysis [31]. In addition, variables were standardized in order to reduce correlation among model coefficients and to enable comparison of relative weights between them. Variables were not highly correlated (r < 0.6), and did not show collinearity or no-linearity, thus they have all been considered in further analyses.

#### Statistical models

**Cetacean distribution.** Using the grid index features tool in ArcGIS (version 10.3) [32] a grid of 4 x 4 km cells was created for the studied area. The amount of survey effort in a grid cell, wind intensity and sea state were considered to be the main variables acting on detection probability. Search effort was quantified as the number of times that a cell was visited. For the wind intensity and sea state values, a weighted average was calculated for all the grid cells.

The probabilities of occurrence of the three cetacean species (*S. coeruleoalba*, *T. truncatus*, *B. physalus*) were modeled separately using Bayesian site-occupancy intrinsic conditional autoregressive (*i*CAR) models in order to take into account both imperfect detection and spatial autocorrelation [33]. Two integrated processes were modeled using this type of model: (1) an ecological process associated with the occurrence of the species due to habitat features, and (2)



an observation process that takes into account the fact that the probability of detection of the species is less than one [34].

The model of the ecological process includes the environmental variables and an *i*CAR model to account to the spatial autocorrelation between observations [35]. Specifically, if a random variable  $z_i$  follows a Bernoulli distribution, it can take the value 1 or 0 depending on the habitat suitability i.e.  $z_i = 1$  or  $z_i = 0$ , then:

$$Z_i \sim Bernoulli(\pi_i)$$

$$logit(\pi_i) = X_i \beta + \rho_{i(i)}$$

where  $X_i$  is the matrix of covariates,  $\beta$  represents the vector of the regression coefficients,  $\rho$  represents the spatial random effect of observation i in grid cell j (i.e. matrix of neighbors), and a logit link is used to model the relationship between the probability of occurrence  $\pi_i$ , the covariates of interest and spatial effect.

The model of the observation process includes three explanatory variables to account for the variability of the observability of the cetacean species. These variables are the search effort, wind intensity and sea state (Beaufort scale). In particular, the random variable  $y_{it}$  represents the presence of the cetacean species at site i and time t. The species is observed at site i ( $\sum_t y_{it} \ge 1$ ) only if the habitat is suitable ( $z_i = 1$ ). The species is not observed at site i ( $\sum_t y_{it} = 0$ ) if the habitat is not suitable ( $z_i = 0$ ), or if the habitat is suitable ( $z_i = 1$ ), but the probability  $\delta_{it}$  of detecting the species at site i and time t is less than 1. Thus,  $y_{it}$  is assumed to follow a *Bernoulli* distribution of parameter  $z_i \delta_{it}$ :

$$y_{it} \sim Bernoulli(z_i \delta_{it})$$

$$logit(\delta_{it}) = W_{it}$$
  $\forall$ 

where  $W_{it}$  includes the explanatory variables and Y is the intensity of the process.

Uninformative priors centered at zero with a fixed large variance of 100 were used for all parameters involved in both ecological and observation processes, while a uniform distribution was used for the variance of the spatial effects.

Models were fitted using the "hSDM.siteocc.iCAR" function of the "hSDM" package [36] in R statistical software.

Model selection was performed using all the possible combination of variables and interaction terms. We chose the model which had the lowest Deviance Information Criterion (DIC) [37]. Lower values of DIC represent the best compromise between fit and estimated number of parameters.

Model validation was performed through an internal 10-fold cross validation based on randomly selected training and test datasets (created by a random selection of 75% and 25% of the data respectively), as advised by [38] with the '*PresenceAbsence*' package in R [39]. The area under the receiver-operating characteristic curve (AUC) [40] and the True Skill Statistic (TSS) [41] were used as criteria to assess the goodness of fit of the predictions.

**Marine traffic distribution.** Presence/absence data for marine traffic were gridded (4 x 4 km grid cells) and modeled using an intrinsic conditional autoregressive (iCAR) model. Data were aggregated all together and not for type of boats as the total number of observations was not sufficient to achieve a good convergence of the models. We assumed that the response variable  $z_i$  is a binary variable that can represent the presence (1) or absence (0) of a vessel in each



surveyed location, then:

$$Z_i \sim Bernoulli(\pi_i)$$

$$logit(\pi_i) = X_i \beta + \rho_{i(i)}$$

where  $X_i$  is the matrix of covariates,  $\beta$  represents the vector of the regression coefficients,  $\rho$  represents the spatial random effect of the observation i at the grid cell j, and the logit link is used to model the relationship between  $\pi_i$ , the covariates of interest and spatial effect. Bathymetry, slope and distance to land were used as possible predictors of the marine traffic distribution.

Models were fitted using the "hSDM.binomial.iCAR" function of the "hSDM" package within the R statistical software. We followed the same procedure of model selection and model validation as previously for the cetacean models.

All maps, both for cetaceans and marine traffic were generated using the ArcGIS (version 10.3) [32] and the Natural Earth database [42].

Testing the overlap between species distribution and marine traffic hot-spots. Predictions of the cetacean distribution and of the marine traffic were compared using the similarity statistics Shoener's D and Warren's I [43]. These statistics range from 0 (no overlap between areas), to 1 (distributions are identical). Both statistics assume probability distributions defined over geographic space, in which  $p_{Xi}$  (or  $p_{Yi}$ ) denotes the predicted probability assigned by the models for a specific species X and the marine traffic Y to cell *i*. Specifically, Shoener's statistic for niche overlap is:

$$D(px, py) = 1 - \frac{1}{2} \sum_{i} |pX_{i} - pY_{i}|$$

while Warren's statistic is:

$$I(px, py) = 1^{1}/_{2}H_{2}(px - py)$$

which is based on the Hellinger distance (H), defined as:

$$H(px, py) = \sqrt{\sum_{i} (\sqrt{pX_i} - \sqrt{pY_i})^2}$$

These analyses were carried out using the *nicheOverlap* function of the *dismo* package [43] in R software.

#### Results

## Detection conditions and survey effort

Detection conditions were overall very good, with 92% of effort navigated at sea state and wind intensity 2 or lower. The effort of the cetacean surveys covered 4,863 km<sup>2</sup> in 2012, 4,367 km<sup>2</sup> in 2013 and 4,478 km<sup>2</sup> in 2014. A total of 61 sightings was observed over the analyzed period. Striped dolphin (*S. coeruleoalba*) was sighted 27 times, the bottlenose dolphin (*T. truncatus*) 24 times and fin whales (*B. physalus*) 10 times.

For the marine traffic, 58 scan sampling records were registered within the study period for a total of 323 ships detected. No vessels were observed in 15% of records, while in 4% of records only one ship was present in the studied area. The highest values, ranging from 44 up to 58 vessels counted within the same scan, represented only three cases located in the central part of the Bonifacio Strait.

Among the detected vessels, 73.37% were sailing boats, 14.86% were vessels >20 metres in length, 4.64% were fishing boats and the remaining 7.12% were boats < 5 metres.



Species	Predictor	Mean	SD	Q <sub>0.025</sub>	Q <sub>0.975</sub>
T. truncatus	Intercept	-7.46	1.92	-8.79	-4.03
	Depth	-2.24	0.89	-1.05	-0.79
	Slope	-1.62	0.79	-2.18	-0.04
	NPP	0.52	0.47	1.18	2.18
S. coeruleoalba	Intercept	-5.69	1.48	-6.86	-3.37
	Depth	4.45	1.03	2.67	6.87
	Slope	0.54	0.03	0.75	2.84
	SST	2.74	1.12	1.92	5.13
	NPP	1.02	0.75	0.61	2.13
B. physalus	Intercept	-3.95	0.78	-4.45	-2.53
	Depth	6.55	0.98	5.84	8.56
	Slope	0.75	0.08	0.45	3.54
	SST	3.63	0.92	2.02	4.97
	NPP	1.54	0.45	0.42	2.02

SST = Sea Surface Temperature; NPP = Net Primary Production. This summary contains the mean, the standard deviation (SD), and a 95% credible interval, which is a central interval containing 95% of the probability under the posterior distribution ( $Q_{0.0025}$ - $Q_{0.975}$ ).

https://doi.org/10.1371/journal.pone.0179686.t001

## Cetacean distributions

Cetacean distributions in northern Sardinian waters were mainly driven by bathymetry and Sea Surface Temperature (SST), followed by Net Primary Production (NPP) and slope. On the contrary, Photosynthetically Active Radiation (PAR), Chlorophyll-a concentration (CHL) and distance to the coast were found to be irrelevant for cetacean distributions in this area (S1 Table). A positive relationship was found between SST and the occurrence of striped dolphin and fin whales (Table 1) although the final model with the best fit for bottlenose dolphins did not include SST (Table 1).

NPP showed a positive relationship with the occurrence of all three species, and in particular with fin whale and striped dolphin (<u>Table 1</u>). A positive relationship was observed for bathymetry and slope with the occurrence of fin whale and striped dolphin, *i.e* higher occurrence in deeper waters and over seabeds with higher slope. On the contrary, the bottlenose dolphins showed a negative relationship with both variables (<u>Table 1</u>).

The median posterior probability of the occurrence of bottlenose dolphin in northern Sardinian waters is shown in Fig 2.

Higher probabilities were found on the western side of the study area, in the Bonifacio Strait, and around the Archipelago de La Maddalena. A similar pattern was observed for the striped dolphin, although higher probability of occurrence was predicted on the eastern side of the study area (Fig 3).

The fin whale shows two main hot-spots of occurrence, one on the western side of the study area, and another in Caprera Canyon on the eastern side (Fig 4).

For all species, the probability of detection increased with increased effort, and decreased with increasing Beaufort Sea state and wind intensity (<u>Table 2</u>). The detection probability was 0.31 for bottlenose dolphin, 0.35 for striped dolphin and 0.53 for fin whale.

All models showed good values of the predictions measures. Specifically, the bottlenose dolphin was the species that achieved the highest values with an AUC of 0.88 and TSS of 0.83. Striped dolphin and fin whale showed similar results, with an AUC of 0.74 and 0.73, and a TSS of 0.65 and 0.72, respectively.



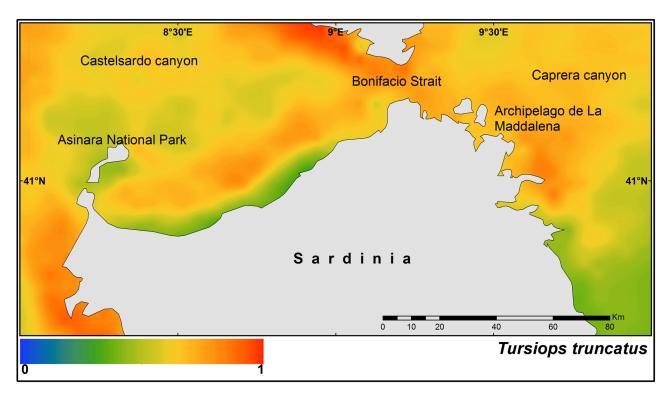


Fig 2. Median of the posterior probability of the presence of the bottlenose dolphin (Tursiops truncatus).

https://doi.org/10.1371/journal.pone.0179686.g002

## Marine traffic

The final model (based on the lowest DIC) of the marine traffic included only the distance to the coast and the spatial effect (S2 Table). Specifically, a positive relationship was found between the occurrence of marine traffic and the distance to the coast (posterior mean = 0.67; 95% IC [0.21, 0.98]).

Fig 5 shows the median posterior probability occurrence of the marine traffic in northern Sardinia and highlights two main hot-spots. One is in the Bonifacio Strait and another is in waters surrounding the Asinara National Park.

# Model comparison statistics

Among the three species, the striped dolphin showed the highest overlap with the marine traffic distribution (I = 0.74; D = 0.62). The bottlenose dolphin showed a similar pattern to the striped dolphin with I statistic of 0.73, and a D measure of 0.60. The fin whale had the lowest overlap (I = 0.64; D = 0.55).

#### **Discussion**

Marine Spatial Planning (MSP) offers an opportunity to reduce negative impacts on natural resources and, particularly, on vulnerable species, from marine uses. The first step is the understanding of the spatial extension of species distribution and their hot-spots (e.g., seasonal distribution) and of their possible stressors (e.g., fisheries, marine traffic, etc.). Although some progress has been made in this direction, more studies are needed for marine mammals, to map the overlap of mammal home ranges and stressors spatial distributions to investigate the species. This study provides a first approximation, identifying and synthesizing the available



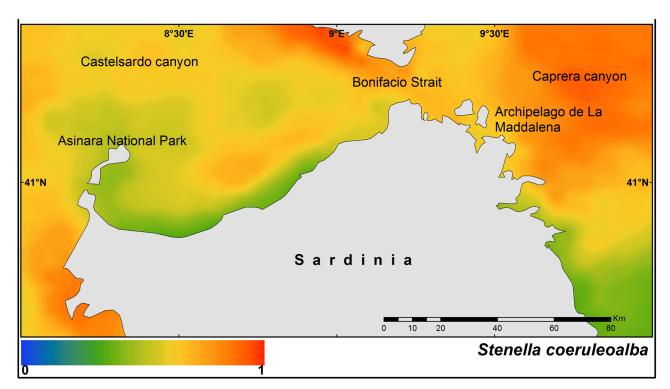


Fig 3. Median of the posterior probability of the presence of the stripped dolphin (Stenella coeruleoalba).

https://doi.org/10.1371/journal.pone.0179686.g003

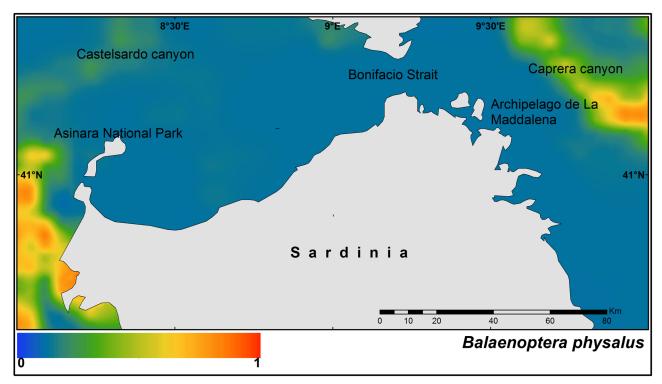


Fig 4. Median of the posterior probability of the presence of the fin whales (Balaenoptera physalus).

https://doi.org/10.1371/journal.pone.0179686.g004



Table 2. Estimated coefficients and standard errors (SE) of the variables that influence the detection probability for the observation processes for the three studied species.

Species	Effort	SE	Beaufort sea state	SE	Wind intensity	SE
T. truncatus	0.18	0.02	-0.26	0.07	-0.45	0.09
S. coeruleoalba	0.15	0.03	-0.23	0.10	-0.34	0.12
B. physalus	0.22	0.04	-0.43	0.23	-0.32	0.08

https://doi.org/10.1371/journal.pone.0179686.t002

information for the three most abundant cetacean species in northern Sardinia (*S. coeru-leoalba*, *T. truncatus*, *B. physalus*) during the June month, as well as the intensity of marine traffic. With regard to the marine traffic models worth to be mentioned that in principle "habitat models" can be constructed for almost anything but the interpretation of the models is clearly likely to be different for living organisms and man-made objects. However, fishing boats may well be found in the same places as cetaceans, because they are following fish. In this sense a similar rationale does apply. In our study, a majority of the boats recorded were recreational boats, and the type of habitat sought by yachtsmen may also be amenable to modelling, although this is less relevant for ferries. Ideally, therefore this approach should be used separately for different classes of boat and further research on this might be useful.

Results identified two main hot-spots of high intensity marine traffic in the area, which partially overlap with the distribution of the studied species. Specifically, the fin whale was the species that showed the lowest degree of spatial overlap with marine traffic, although there was substantial overlap for all three species. This partially overlapping pattern is similar with the one found by [8, 44] in the western Mediterranean Sea. The fin whale is particularly vulnerable to shipping collision due to its biological characteristics and size [14, 15]. This could be due to

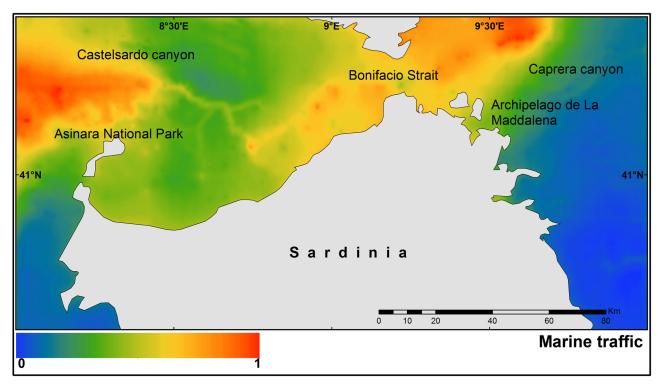


Fig 5. Median of the posterior probability of the marine traffic intensity.

https://doi.org/10.1371/journal.pone.0179686.g005



the fact, as suggested by [14] and [15] that during the June month, whales engage in intensive feeding activities, and may be focused on their prey and less aware of approaching boats. Thus the lower overlap than is seen in the two dolphin species could imply that its habitat selection makes it less likely to encounter boat traffic than is the case for the dolphins.

The distribution of the fin whale also confirms the strong relationship of this species with highly productive areas, probably for feeding purposes. Indeed one of the two main hot-spots of its distribution was identified over the Caprera submarine canyon.

Striped dolphin is the species with the highest degree of overlap between its summer distribution and the high intensity marine traffic areas. This species was also the most frequently observed species within our study area and is known to be regularly sighted in the Mediterranean Sea during June, approaching vessels [45, 46]. Again, habitat choice may account for higher overlap with vessels, and actively approaching vessels will tend to increase the associated collision risk. However, results of [8] in offshore areas of the Western Mediterranean basin reported striped dolphin sightings in patches with lower traffic intensity, likely indicating a different response at a wider scale.

In the same way as for striped dolphin, the bottlenose dolphin showed a high degree of spatial overlap with the high intensity marine traffic areas, especially in the area of the Bonifacio Strait. However, while the habitats of striped dolphin extend over the high seas well beyond the study area, bottlenose dolphin habitat is mainly confined to the coastal areas including Bonifacio Strait. This area is thus particularly critical as it is also frequently used by ships crossing between the western and eastern Mediterranean Sea.

Although there is overlap in the suitable habitat of the three species, each of them presents a different spatial pattern. In addition, the high heterogeneity in the species' habitat use poses challenges for planning measures aimed at reducing the overlap between cetacean presence and marine traffic. Indeed, selection of a shipping route that has the lowest risk for one species could increase the risk for other species. A careful weighing of priorities is needed when planning the spatial use of the maritime realm, to adapt to specific local situations. In the study area, for example, priority could be given to developing mitigation measures for bottlenose dolphin as the typical habitat of this species almost entirely overlaps with the main traffic areas. Even if several studies have shown coexistence with shipping and bottlenose dolphins, as seen in Aberdeen harbour [47], and a low risk of collision as this species is fast moving, nevertheless, as showed by a previous study in the area [13], disturbance from marine traffic could generate other types of general problems for this species, such as changes in their behaviour and abundance, and displacement from the area of interaction.

The majority of the marine traffic recorded (73.3%) in this area comprised of sailing boats, while vessels over 20 metres in length represented a further15%. Consequently the most probable impact on cetacean species could be represented by short-term responses such as changes in respiration patterns, surface active behaviours, swimming velocity, inter-individual spacing, approach and avoidance, and displacement from the area of interaction [13]. However, it is worth noting that recent studies that analyze Automatic Identification System (AIS) data [48], highlighted important shipping routes off northern eastern Sardinia Island, inside the area used for cetacean distribution modelling, and thus potentially overlapping cetacean habitats.

For these reasons, alternative management options could be also include the reduction of ship speed when crossing through the identified hot-spot areas, education of skippers, as well as the use of observers on-board. Indeed, Vaes et al. [48] showed that high levels of density are observed during the summer months due to the increased of passenger ferries heading to Corsica and Sardinia.

Our findings emphasize the need for nationally relevant and transboundary planning and management measures for these marine species. Our assessment of potential overlap with



marine traffic activities should be extended to cover the whole year, when species are at different stages of the reproductive and feeding cycle, for a better understanding of the dynamics of the species in the study area. Indeed, the data used here were sampled over a limited period of time and in a limited area. Thus the models fitted can only reflect a snapshot view of the species distributions and marine traffic. Further studies are also needed on cetacean responses to different vessel categories. Finally, in order to achieve a complete view of the marine traffic stressor, our approach could be complementary to the analysis of the AIS data as they are mandatory only for ships with gross tonnage of 300 or more, while our database includes ships smaller than 300 gross tonnage.

However, our study has helped to visualize the interactions between cetaceans and vessels during the analyzed period, which is the most sensitive time due to the higher intensity of marine traffic. Our results could serve to focus a greater effort in data collection in the identified critical areas, to enhance knowledge on the potential impacts, and drive the development of effective conservation/mitigation measures.

# Supporting information

**S1 Table.** Models comparison of some of the more relevant models for three species studied. Deviance Information Criterion (DIC) scores measure goodness-of-fit. Lower values of DIC represent the best compromise between fit and estimated number of parameters. The spatial effect is included in all the listed models. The model highlighted in bold is the selected one. (DOC)

**S2 Table. Model comparison of the marine traffic models.** Deviance Information Criterion (DIC) scores measure goodness-of-fit. Lower values of DIC represent the best compromise between fit and estimated number of parameters. W represents the spatial effect. (DOCX)

**S1 File. Database.** Cetacean and marine traffic datasets. (PDF)

# **Acknowledgments**

We thank the Editor and the three anonymous reviewers for their useful and very constructive comments. This study was part of the "SardegnaNordCetaceiProject" of the University of Sassari. Our thanks also go to the numerous students who participated at the surveys and especially to Santo Acciaro and MirkoUgo, whose assistance during field observation and skills as boat driver were invaluable.

#### **Author Contributions**

**Conceptualization:** MGP.

Data curation: MGP AA IC.

Formal analysis: MGP VPF.

Funding acquisition: AR.

**Investigation:** MGP AR.

Methodology: MGP JMB VPF.

**Project administration:** AR MGP.



**Resources:** MGP AR JMB IC AA.

**Software:** MGP VPF JMB.

Supervision: MGP JMB AA IC.

Validation: MGP GJP.

Visualization: MGP IC AA VPF JMB GJP.

Writing – original draft: MGP GJP AA IC.

Writing - review & editing: MGP AA IC JMB GJP.

#### References

- Crowder LB, Osherenko G, Young OR, Aírame S, Norse EA, Baron N, et al. Resolving mismatches in US ocean governance. Science 2006; 313: 617–618. <a href="https://doi.org/10.1126/science.1129706">https://doi.org/10.1126/science.1129706</a> PMID: 16888124
- Grech A, Coles R, Marsh H. A broad-scale assessment of the risk to coastal seagrasses from cumulative threats. Mar. Pol. 2011; 35(5): 560–567.
- Redfern JV, McKenna MF, Moore TJ, Calambokidis J, Deangelis ML, Becker EA, et al. Assessing the risk of ships striking large whales in marine spatial planning. Conserv. Biol. 2013; 27(2): 292–302. https://doi.org/10.1111/cobi.12029 PMID: 23521668
- 4. Foley MM, Halpern BS, Micheli F, Armsby MH, Caldwell MR, Crain CM, et al. Guiding ecological principles for marine spatial planning. Mar. Pol. 2010; 34(5): 955–966.
- Sergio F, Newton I, Marchesi L, Pedrini P. Ecologically justified charisma: preservation of top predators delivers biodiversity conservation. J. App. Ecol. 2006; 43(6): 1049–1055.
- 6. Sergio F, Caro T, Brown D, Clucas B, Hunter J, Ketchum J, et al. Top predators as conservation tools: ecological rationale, assumptions, and efficacy. Annu. Rev. Ecol. Evol. 2008;1–19.
- Hooker SK, Cañadas A, Hyrenbach KD, Corrigan C, Polovina JJ, Reeves RR. Making protected area networks effective for marine top predators. Endanger. Species Res. 2011; 13: 203–218.
- Campana I, Crosti R, Angeletti D, Carosso L, David L, Di-Méglio N, et al. Cetacean response to summer maritime traffic in the Western Mediterranean Sea. Mar. Environ. Res. 2015; 109: 1–8. <a href="https://doi.org/10.1016/j.marenvres.2015.05.009">https://doi.org/10.1016/j.marenvres.2015.05.009</a> PMID: 26009840
- Pennino MG, Rotta A, Pierce GJ, Bellido JM. Interaction between bottlenose dolphin (*Tursiops truncatus*) and trammel nets in the Archipelago de La Maddalena, Italy. Hydrobiologia 2015; 747(1): 69–82.
- 10. Rako N, Fortuna CM, Holcer D, Mackelworth P, Nimak-Wood M, Pleslić G, et al. Leisure boating noise as a trigger for the displacement of the bottlenose dolphins of the Cres-Lošinj archipelago (northern Adriatic Sea, Croatia). Mar. Pollut. Bull. 2013; 68(1): 77–84.
- Arcangeli A, Campana I, Marini L, MacLeod CD. Long-term presence and habitat use of Cuvier's beaked whale (Ziphius cavirostris) in the Central Tyrrhenian Sea. Mar. Ecol. 2016; 37: 269–282.
- Pirotta E, Matthiopoulos J, MacKenzie M, Scott-Hayward L, Rendell L. Modelling Sperm Whale Habitat Preference: A Novel Approach Combining Transect and Follow Data. Mar. Ecol. Progr. Ser. 2011; 436, 257–272.
- 13. Pennino MG, Roda A, Pierce GJ, Rotta A. Effects of vessel traffic on relative abundance and behaviour of cetaceans: the case of the bottlenose dolphins in the Archipelago de La Maddalena, north-western Mediterranean sea. Hydrobiologia 2016; 1–12.
- Laist DW, Knowlton AR, Mead JG, Collet AS, Podesta M. Collisions between ships and whales. Mar. Mammal. Sci. 2001; 17(1): 35–75.
- Panigada S, Pesante G, Zanardelli M, Capoulade F, Gannier A, Weinrich MT. Mediterranean fin whales at risk from fatal ship strikes. Mar. Pollut. Bull. 2006; 52(10): 1287–1298. https://doi.org/10.1016/j. marpolbul.2006.03.014 PMID: 16712877
- 16. Coomber FG, D'Incà M, Rosso M, Tepsich P, Notarbartolo di Sciara G, Moulins A. Description of the vessel traffic within the north Pelagos Sanctuary: Inputs for Marine Spatial Planning and management implications within an existing international Marine Protected Area. Mar. Pol. 2016; 69: 102–113.
- EC. Motorways of the Sea, Implementation through Article 12a TEN-t. Consultation Document Dated 30 July 2004. Commission of the European Communities, Brussels.



- Notarbartolo di Sciara G, Agardy T, Hyrenbach D, Scovazzi T, Van Klaveren P. The Pelagos Sanctuary for Mediterranean marine mammals. Aquat. Conserv. 2008; 18: 367–391.
- UNEP-MAP-RAC/SPA 1995: Protocol Concerning Specially Protected Areas and Biological Diversity in the Mediterranean. Tunis, 26 pp
- 20. INTERACT Technical Report, Cross-Border Cooperation Maritime Programmes 2007–2013.
- 21. MapSource, 6.16.3, Garmin GPS device. October 25, 2010.
- Buckland ST, Anderson DR, Burnham KP, Laake JL, Borchers DL, Thomas L. Introduction to Distance Sampling. Oxford: Oxford University Press. 2001.
- 23. Olesiuk PF, Nichol LM, Sowden MJ, Ford JK. Effect of the sound generated by an acoustic harassment device on the relative abundance and distribution of harbor porpoises (*Phocoena phocoena*) in Retreat Passage, British Columbia. Mar. Mamm. Sci. 2002; 18(4): 843–862.
- 24. ISPRA Italian Institute for Environmental Research and Protection. 2012. Fixed line transect using ferries as platform of observation monitoring protocol. Technical annex at the Agreement for the activities of 'Fixed line transect using ferries as platform of observation for monitoring cetacean populations'. ISPRA, Rome, IT.
- Campana I, Angeletti D, Crosti R, Luperini C, Ruvolo A, Alessandrini A, et al. Seasonal characterisation
  of maritime traffic and the relationship with cetacean presence in the Western Mediterranean Sea. Mar.
  Pol. Bul. 2017; 115: 282–291.
- 26. Panigada S, Zanardelli M, MacKenzie M, Donovan C, Mélin F, Hammond PS. Modelling habitat preferences for fin whales and striped dolphins in the Pelagos Sanctuary (Western Mediterranean Sea) with physiographic and remote sensing variables. RemoteSens. Envir. 2015; 112(8): 3400–3412.
- Cribb N, Miller C, Seuront L. Towards a Standardized Approach of Cetacean Habitat: Past Achievements and Future Directions. O. JMS. 2015; 5: 335–357.
- 28. Kahru M. Window Image Manager 1991–2010, ver.6.63 www.wimsoft.com. 2010.
- Hijmans RJ. Geographic data analysis and modeling. Available from https://cran.r-project.org/web/ packages/raster/index.html. 2015.
- **30.** R Development Core Team. R: A language and environment for statistical computing. R Found Stat Comp, Vienna, Austria. <a href="http://www.R-project.org">http://www.R-project.org</a>. 2016.
- 31. Zuur AF, leno EN, Elphick CS. A protocol for data exploration to avoid common statistical problems. Methods Ecol. Evol. 2010; 1: 3–14.
- **32.** ESRI 2016. ArcGIS Desktop: Release 10.3.1. Redlands, CA: Environmental Systems Research Institute.
- Latimer AM, Wu SS, Gelfand AE, Silander JA. Building statistical models to analyze species distributions. Ecol. Appl. 2006; 16: 33–50. PMID: 16705959
- **34.** Pennino MG, Mérigot B, Fonseca VP, Monni V, Rotta A. Habitat modeling for cetacean management: Spatial distribution in the southern Pelagos Sanctuary (Mediterranean Sea). Deep Sea Res. Part. 2 Top. Stud. Oceanogr. 2016. In press.
- MacKenzie DI, Nichols JD, Lachman GB, Droege S, Andrew Royle J, Langtimm CA. Estimating site
  occupancy rates when detection probabilities are less than one. Ecology. 2002; 83(8): 2248–2255.
- Vieilledent G, Merow C, Guélat J, Latimer AM, Kéry M, Gelfand AE, et al. hSDM: hierarchical Bayesian species distribution models. R package version 1.4. https://CRAN.R-project.org/package=hSDM. 2014.
- Spiegelhalter D, Best N, Carlin B, van der Linde A. Bayesian measures of model complexity and fit. J. R. Sta.t Soc. Series B Stat. Methodol. 2002; 64:583–616.
- Fielding AH, Bell JF. A review of methods for the assessment of prediction errors in conservation presence/absence models. Environ. Conserv. 1997; 24: 38–49.
- Freeman E. Package "PresenceAbsence." Available from ftp://129.132.148.131/sfs/R-CRAN/web/packages/PresenceAbsence/PresenceAbsence.pdf. 2012.
- 40. Allouche O, Tsoar A, Kadmon R. Assessing the accuracy of species distribution models: prevalence, kappa and the true skill statistic (TSS). J. Appl. Ecol. 2006; 43(6): 1223–1232.
- Warren DL, Glor RE, Turelli M. Environmental niche equivalency versus conservatism: quantitative approaches to niche evolution. Evolution 2008; 62(11): 2868–2883. <a href="https://doi.org/10.1111/j.1558-5646.2008.00482.x">https://doi.org/10.1111/j.1558-5646.2008.00482.x</a> PMID: 18752605
- 42. Natural Earth. Free vector and raster map data.
- 43. Hijmans RJ, Phillips S, Leathwick J, Elith J, Hijmans MRJ. Package 'dismo'. Circles, 9, 1. 2015.
- Druon JN, Panigada S, David L, Gannier A, Mayol P, Arcangeli A, et al. Potential feeding habitat of fin whales in the western Mediterranean Sea: an environmental niche model. Mar. Ecol. Prog. Ser. 2012; 464: 289–306.



- 45. Panigada S, Lauriano G, Burt L, Pierantonio N, Donovan G. Monitoring winter and summer abundance of cetaceans in the Pelagos sanctuary (North-western Mediterranean Sea) through aerial surveys. PLoS One 2011; 6 (7), e22878. http://dx.doi.org/10.1371/journal.pone.0022878. PMID: 21829544
- **46.** Arcangeli A, Cominelli S, David L, Di-Meglio N, Moulis A, Mayol P, et al. Seasonal monitoring of cetaceans and validation of the system REPCET in terms of monitoring. Scientific Report Port-Cross National Park 2014; 28: 37–48.
- Sini MI, Canning SJ, Stockin KA, Pierce GJ. Bottlenose dolphins around Aberdeen harbour, north-east Scotland: a short study of habitat utilization and the potential effects of boat traffic. J. Mar. Biol. Assoc. U. K. 2005; 85(06): 1547–1554.
- **48.** Vaes T, Druon JN. Mapping of potential risk of ship strike with fin whales in the Western Mediterranean Sea. Report of the JRC of the European Commission, 2013.