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Efficacy of mobilization techniques

The efficacy of different types of mobilization techniques in patients with primary adhesive capsulitis of the shoulder: a systematic review

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1 **Abstract**

2 **Objective:** To systematically review the literature for efficacy of isolated articular
3 mobilization techniques in patients with primary adhesive capsulitis (AC) of the
4 shoulder.

5 **Data Sources:** PubMed and Web of Science were searched for relevant studies published
6 before November 2014. Additional references were identified by manual screening of
7 the reference lists.

8 **Study Selection:** All English language RCTs evaluating the efficacy of mobilization
9 techniques on range of motion (ROM) and pain in adult patients with primary AC of the
10 shoulder were included in this systematic review. Twelve RCTs involving 810 patients
11 were included.

12 **Data extraction:** Two reviewers independently screened the articles, scored
13 methodological quality and extracted data for analysis. The review was conducted and
14 reported according to the PRISMA Statement. All studies were assessed in duplicate for
15 risk of bias using the Physiotherapy Evidence Database scale for randomized controlled
16 trials.

17 **Data Synthesis:** The efficacy of 7 different types of mobilization techniques was
18 evaluated. Angular mobilization (N=2), CYRIAX approach (N=1) and Maitland's
19 technique (N=6) showed improvement in pain score and ROM. With respect to
20 translational mobilizations (N=1), posterior glides are preferred to restore external
21 rotation. Spine mobilizations combined with glenohumeral stretching and both angular
22 and translational mobilization (N=1) had a superior effect on active ROM compared to
23 sham ultrasound. High intensity mobilization (N=1) showed less improvement in

24 Constant Murley Score compared to a neglect group. Finally, positive long-term effects
25 of Mulligan's technique (N=1) were found on both pain and ROM.

26 **Conclusion:** Overall, mobilization techniques have beneficial effects in patients with
27 primary AC of the shoulder. Due to preliminary evidence for many mobilization
28 techniques, the Maitland's technique and the combined mobilizations seem
29 recommended at the moment.

30 **Key words:** Adhesive capsulitis; frozen shoulder; mobilization; systematic review; efficacy

31 **List of abbreviations:** AC= adhesive capsulitis, Flex-SF= flexion level scale of the shoulder
32 function, ROM= Range of Motion

33 Adhesive Capsulitis (AC) of the shoulder is often defined as a disorder characterized by
34 progressive pain and loss of active and passive mobility of the glenohumeral joint. The
35 annual incidences are 3 to 5% in the general population and even up to 40% in diabetics
36 [1], [2]. It mainly affects people between the ages of 40-60 years, with women more
37 commonly affected than men [3]. AC is mainly divided into two types in the literature, the
38 idiopathic or primary form and the acquired or secondary form. Although no specific cause
39 is identified in primary AC, the development of secondary AC is associated with recent
40 surgery, immobilization or trauma and also with systemic, extrinsic or intrinsic disorders.
41 Systemic disorders include a history of diabetes mellitus and thyroid disorders [4]. Extrinsic
42 disorders are not directly related to the shoulder and include cardiopulmonary diseases,
43 cervical spine pathology, stroke, Parkinson's disease, and humerus fractures. Intrinsic
44 disorders are associated with the glenohumeral joint soft tissues or structures, including
45 rotator cuff pathologies, biceps tendinitis, calcific tendinitis, and AC joint arthritis [1], [5],
46 [6]. Adhesive capsulitis lasts approximately 12 to 42 months in total and consists of three
47 phases. It starts with a painful phase, which lasts 2 to 9 months. Subsequently a stiff phase

48 occurs (lasting 3 to 12 months), defined by stiffening and restriction of shoulder range of
49 motion. The recovery phase is the final phase of the disease and is characterized by
50 regaining movement and function over approximately 5 to 26 months. Some patients may
51 not recover entirely and remain with some movement restriction [7]. Additionally, after
52 having AC on one side, the individual risk to develop AC in the contralateral shoulder
53 increases by 5-34%. [6].

54 With AC, a decrease of capsular extensibility is seen as one of the most important
55 pathological mechanisms that result in large mobility deficits. Consequently, the
56 restoration of glenohumeral motion is of great clinical importance to patients with AC, as
57 this would largely improve shoulder function [8], [9].

58 Kelley et al. [6] published current evidence-based recommendations and clinical practice
59 guidelines for the treatment of patients with AC. The interventions comprised of
60 corticosteroid injections in the short term (4-6 weeks), patient education, physical
61 modalities (ultrasound and electrical stimulation), joint mobilizations, translational
62 mobilizations, manipulations and stretching exercises. They concluded that some
63 physiotherapeutic interventions show evidence regarding reduced pain or increased
64 mobility in the short and long term.

65 As described above, there are reasons to suggest that mobilization techniques may be
66 effective in reducing pain and disability in patients with AC of the shoulder. Mobilization is
67 defined as a low-velocity and small- or large-amplitude movement applied anywhere
68 within a joint ROM [10] to improve the corresponding extensibility of the shoulder capsule
69 and stretch the specific tightened soft tissues to induce beneficial effects [11]. Mobilization
70 techniques are commonly used to improve range of motion and include both angular and
71 translational mobilizations. Angular mobilizations are often applied as continuous passive
72 motion or dynamic splinting. An external motorized device provides low-load continuous

73 passive motion to move the joint passively through a specified ROM, creating a prolonged-
74 duration stretch [9]. This is an established method of overcoming joint stiffness and
75 histologically hypothesized for enhancing the healing of connective tissues [12], [13]. The
76 Dynasplint® Shoulder System is developed to apply a low-load prolonged-duration stretch
77 to increase time at end-range and achieve permanent elongation of connective tissue [14].
78 By applying translational mobilizations, the humeral head is shifted in the preferred
79 direction, while the elbow remains fixed [15]. The therapist can either translate in an
80 anterior, posterior or inferior direction [16], [17]. In addition, individual mobilization
81 techniques can be combined, which is implemented in e.g. Mulligan's and Maitland's
82 techniques. Mulligan's technique includes a combination of sustained manual application
83 of gliding force to the joint with a simultaneous active movement of the joint by the
84 patient [18]. Studies that have used this technique on the elbow and ankle, revealed a
85 beneficial effect on pain and joint range of motion [19], [20]. Maitland's technique is based
86 on the 5- grade classification system of Maitland and describes the amplitude of the
87 rhythmic oscillating mobilization in the specified range of movement [11]. Furthermore,
88 mobilizations can be performed beyond the pain threshold. These so-called high intensity
89 techniques do not refer to the frequency that patients are treated, but include active
90 exercises up to and beyond the pain threshold, passive stretching and manipulation of the
91 glenohumeral joint, and home exercises aimed at stretching and maximal reaching with
92 the intent to restore range of motion and reduce pain [21]. Deep friction massage, as
93 employed by Cyriax and Russel [22], is often used prior to and in conjunction with
94 mobilization techniques. The purpose of friction massage is to reduce abnormal fibrous
95 adhesions and to make scar tissue more mobile in sub-acute and chronic inflammatory
96 conditions by realigning the normal soft tissue fibers.

97 Many suggestions for mobilization techniques are available, but it is still a matter of debate
98 what the optimal direction of force and movement application should be to restore joint

99 mobilization in patients with AC of the shoulder [23]. Therefore, it is of importance to
100 compare the treatment effects of different mobilization techniques. The aim of this
101 systematic review is to evaluate the efficacy of isolated articular mobilization techniques in
102 patients with primary AC of the shoulder, in order to identify which technique(s) may be
103 most beneficial in the restoration of joint mobility and reduce pain in patients with AC.

104 **Methods**

105 This systematic review is reported following the Preferred Reporting Items for Systematic
106 reviews and Meta-Analyses (PRISMA) guidelines [24].

107

108 *Eligibility Criteria*

109 The PICOS- method [25] was used to derive key words. The present systematic review
110 attempted to include articles that described the results of clinical trials (S) evaluating the
111 efficacy of isolated articular mobilization techniques (I) on range of motion (ROM) and pain
112 (O) in patients with primary AC of the shoulder (P). The comparison (C) was undefined in
113 order to evaluate the efficacy of any isolated mobilization techniques in patients with
114 primary AC of the shoulder.

115 *Information sources and search strategy*

116 Both PubMed and Web of Science databases were searched to retrieve relevant articles.
117 The search was conducted until November 2014. A prefabricated template was used for
118 study selection designed by the Belgian Health Care Knowledge Centre [26]. The following
119 keywords were used: "frozen shoulder", "adhesive capsulitis", "periarthriti" (MeSH),
120 "periarthriti", "musculoskeletal manipulations" (MeSH), "musculoskeletal manipulations",
121 "manual therapy", "manual techniques", "manipulation", "manual translation", "articular
122 translation", "manual mobilization", "manual mobilisation", "mobilization", "mobilisation",

123 “traction” (MeSH), “traction”, “glide”, “gliding”, “treatment outcome” (MeSH), “treatment
124 outcome”, “therapy effect”, “efficacy” and “effectiveness”.

125 *Study Selection*

126 To be included in the present systematic review, articles had to meet the selection criteria
127 noted in Table 1.

128 *Data Items and Collection*

129 The following specific information was extracted from each included trial: (a)
130 characteristics of the trial sample (number of participants, gender, age, stadium of the
131 disease and the trial’s inclusion and exclusion criteria); (b) type of mobilization technique
132 (mobilization modality, intervention frequency, solely or combined with other treatment
133 techniques); (c) type of control intervention; (d) outcome assessment; and (e) therapy
134 effect (outcome measure, assessment intervals and results). The included studies were
135 divided between both review authors for data extraction and were checked by the other
136 author. The methods of the included studies are heterogeneous (e.g. length of follow-up
137 and treatment period and sample differences); therefore, the approach of a box score or
138 meta-analysis to quantify the results is not appropriate.

139 *Risk of Bias in Individual Studies*

140 Methodological quality was assessed independently by 2 researchers, who were blinded
141 from each other’s quality assessment. After individually rating the selected articles, the
142 rating of both researchers were compared and potential differences were discussed in a
143 consensus meeting. Scorings were checked by a third researcher. Risk of bias in the
144 different studies was assessed with the PEDro-scale [27]. According to the study design and
145 the risk of bias, studies could score a level of evidence A2 (RCT of good quality, sufficient
146 sample size and double- blinded) or B (if previous criteria were not fulfilled).
147 Recommendations are graded based on the level of evidence (www.cbo.nl).

148 Results*149 Selection of studies*

150 The process of study selection is presented in Figure 1. Most studies were excluded based
151 on the intervention. A total of twelve studies were included in the systematic review.

152

153 Risk of bias and level of evidence

154 As previously stated, all studies were evaluated with the PEDro-scale. There was a 98%
155 (130 of 133 items) agreement between the two researchers when scoring the selected
156 items. After a second review, both researchers agreed on differences in rating. The final
157 score of each study is presented in Table 2. The methodological quality varied between
158 4/11 and 10/11 on the PEDro-scale. According to the PEDro-classification most of the
159 studies showed a methodological quality of level B. Many studies lost points on blinding of
160 patients [8], [9], [21], [23], [28]–[34], therapist [8], [9], [21], [23], [28]–[35], and assessor
161 [9], [21], [23], [28], [30], [34]. Additionally, the concealment of allocation items was often
162 not attained [8], [9], [21], [28], [30], [31], [34]. Most studies scored well on randomization
163 and comparability of groups. Only one study was double blinded and received level of
164 evidence A2 [35].

165 Study Characteristics

166 To allow deeper interpretation and translation of the results, characteristics regarding the
167 study population, intervention, follow-up period and main results of the studies are
168 presented in Table 3. Level of conclusion of the most important outcome parameters is
169 summarized in Table 4.

170

171 Subjects

172 This review addressed 810 patients with primary AC with a mean age varying between 47.1
173 [34] and 58.9 [28]. Adult patients with unilateral restricted shoulder movement [33] or
174 external rotation deficit [23], [30] were included mostly if symptoms of pain and stiffness
175 were present for minimum two [31], [34] to three months [8], [21], [28], [29], [32], [35].
176 Most studies included patients in the stiff phase [8], [9], [28]–[30], [33]; two studies
177 included both the painful and stiff phase [9], [33], while the rest of the studies did not
178 specifically define the phase [21], [23], [31], [32], [34], [35]. Glenohumeral restrictions
179 were further defined in a number of studies: four studies included patients with 50% loss
180 of passive shoulder movement compared to the unaffected side [8], [21], [28], [32], one
181 study reported a 25% loss of ROM [29] and one used a restriction of 30° in 2 planes of
182 movement [35]. The aforementioned restrictions had to be present in at least 1 [8], [28] or
183 2 [32], [35] of the three movement directions (i.e., forward flexion, abduction in the frontal
184 plane, or external rotation in 0° or 90° abduction). Corresponding exclusion criteria for
185 patients were secondary AC of the shoulder, including rotator cuff pathologies [9], [29],
186 [31], [32], [35], diabetes mellitus [21], [29], [32], [34], a history of surgery on the affected
187 shoulder [21], [29], [30], [32], [33], shoulder osteoarthritis [35], rheumatoid arthritis [29],
188 [32] and neurological disorders [8], [23], [34].

189

190 *Type of mobilization techniques*

191 Seven types of mobilization techniques were evaluated: angular mobilization [9], [30],
192 translational mobilization [23], spine mobilizations combined with glenohumeral stretching
193 and both angular and translational mobilization [35], high intensity techniques beyond pain
194 threshold [21], CYRIAX approach [31], Mulligan's technique [28] and Maitland's technique
195 [8], [29], [30], [32]–[34].

196

197 *Outcome measures*

198 Most studies reported the effect of mobilization techniques on pain [8], [9], [23], [28], [31],
199 [33]–[35] and ROM [8], [9], [23], [28], [30]–[35]. Pain was measured using a Visual
200 Analogue Scale [8], [9], [23], [28], [31], [33], [34] or Likert Scale [35]. In addition, the
201 Constant Murley Score [9], [21] described pain and ROM after treatment.

202

203 *Study duration*

204 Frequency, total duration and follow-up of all therapies are diverse. Frequency of therapies
205 varied from 1 [35] to 5 [9], [28], [33], [34] times a week. Total duration lasted one week
206 [31] up until 90 days [30]. Follow-up fluctuated between two weeks [31] and two years
207 [21].

208

209 *Effect of mobilization techniques*

210 It can be seen from the data in table 4 that 4 / 8 studies (all level B) reported reduced **pain**
211 following a mobilization program. In addition, 8 / 10 (7 with level B, 1 with level A2) studies
212 reported a beneficial effect of mobilization techniques on **ROM**.

213

214 *Effect of angular mobilization*

215 The utilised techniques regarding angular mobilizations were continuous passive motion
216 [9] and dynamic splinting [30]. Dunder et al. [9] compared continuous passive motion with
217 traditional therapy, consisting of pendulum exercises and stretching and found a reduction
218 in pain after continuous passive motion. No improvement in the **Constant Murley Score**
219 (including pain and ROM evaluations) was found. Gaspar et al. [30] compared a cortical
220 steroid injections with dynamic splinting, provided by the Dynasplint® Shoulder System,
221 Maitland's technique [11] and a combination of both. Dynamic splinting [30] had a superior
222 effect on **ROM** compared to the cortical steroid injections, but no significant difference
223 between intervention groups was found.

224

225

226 *Effect of translational mobilization*

227 Johnson et al. [23] compared the effect of posterior and anterior glide mobilizations on
228 **ROM and pain**. A reduction in pain was reported in both experimental groups, while the
229 progression in ROM was favourable for posterior glide mobilizations.

230

231 *Effect of spine mobilizations combined with glenohumeral stretching and both angular and*
232 *translational mobilization*

233 Buchbinder et al. [35] included spine mobilization, glenohumeral stretching, gliding and
234 angular mobilization in the experimental intervention and compared it with sham
235 ultrasound. For active **ROM** the combined technique proved to be superior, but no
236 beneficial effects were found in terms of pain.

237

238 *Effect of high intensity techniques beyond pain threshold*

239 Diercks et al. [21] included intensive mobilizations up to and beyond the pain threshold in
240 addition to stretching and compared the results with a supervised neglect group receiving
241 traditional therapy below the pain threshold. The **Constant Murley Score** was reported as
242 an outcome variable, which showed less improvement with high intensity techniques
243 beyond pain threshold.

244

245 *Effect of CYRIAX approach*

246 Guler-Uysal et al. [31] compared a CYRIAX approach of deep friction massage and
247 mobilization exercises to a traditional therapy supplemented with hot pack and short wave
248 diathermy. A positive effect of CYRIAX on **pain and ROM** was reported.

249

250 *Effect of Mulligan's technique*

251 Doner et al. [28] compared the effect of the Mulligan's technique to conventional
252 stretching exercises. Both strategies were found to be effective in reducing **pain** and
253 restoring **ROM**, but the immediate and long-term effects were in favor of Mulligan's
254 technique.

255

256 *Effect of Maitland's technique*

257 Six studies made use of the Maitland technique as an intervention [8], [29], [30], [32]–[34].
258 As stated earlier Gaspar et al. [30] included this technique in their experiment; the effect
259 on **ROM** was in favor of the intervention groups compared to cortical steroid injections.
260 Paul et al. [33] found no superior effect of the Maitland technique on **pain and ROM**
261 compared to mobilization in flexion and abduction stance. The Maitland technique had a
262 beneficial effect on **pain and ROM** when compared to a supervised exercises program as
263 used in the study of Kumar et al. [34]. A study by Vermeulen et al. [8] tried to unravel if
264 there would be a difference between high-grade versus low-grade mobilization techniques,
265 which resulted in a favorable effect of using high-grade mobilization on improving **ROM**.
266 Two independent studies of the research group of Yang et al. [29], [32] implemented the
267 Maitland technique, which showed significant progression on the flexion level scale of
268 shoulder function (**FLEX-SF**) in favor of end-range mobilization and mobilization with
269 movement. In addition, both mobilizations showed improvement of the FASTRAK motion
270 analysis outcomes. Hand behind back and external rotation **ROM** increased in the ERM
271 group compared to the mid-range mobilization group.

272

273 **Discussion**

274 *Summary of evidence*

275 Overall, mobilization techniques have beneficial effects in patients with primary AC of the
276 shoulder, with strength of conclusions varying between moderate and preliminary
277 evidence. Particularly Maitland's technique and spine mobilizations combined with
278 glenohumeral stretching and both angular and translational mobilization seem
279 recommended at the moment. Due to the preliminary evidence, more studies are needed
280 on assessing the effect of angular, translational and high intensity mobilization techniques,
281 CYRIAX approach and Mulligan's technique on pain and ROM.

282

283 The use of angular mobilization showed very limited preliminary evidence to reduce pain
284 and improve ROM in primary AC (weak evidence) compared to corticosteroid injections or
285 usual therapy. Angular mobilizations are preferable to corticosteroid injections, but no
286 differences were found between intervention groups consisting of angular mobilization
287 techniques, Maitland's mobilizations or a combination of both [30], which could be
288 explained by a lack of power.

289

290 Preliminary evidence was found for the use of translational mobilization in primary AC.
291 Only one study was found on the use of translational mobilization, therefore the results
292 must be interpreted with caution. Posterior glides proved to be superior to anterior glides
293 to restore external rotation ROM, but optimal glide direction and duration of stretch
294 mobilizations to restore ROM needs to be evaluated in further research. Care should be
295 taken in generalizing the results of this study, because of the small sample size and
296 inclusion of only one therapist [23].

297

298 Preliminary evidence was also found for the effect of high intensity techniques beyond
299 pain threshold in AC patients. According to their beliefs, Diercks et al. [21] found an

300 adverse effect of the high intensity technique compared to the supervised neglect group
301 on the Constant Murley Score. They suggested that intensive passive stretching may affect
302 the natural course of the disease by activating the inflammatory reaction, when applied
303 during the inflammation and proliferation stage and perhaps also during the early fibrotic
304 stage. This indicates the importance of timing and therapy adjustments according to the
305 different stages of AC. It is important to note that this study does not present detailed
306 information about the composition of the techniques used.

307

308 Buchbinder et al. [35] observed additional effects of spine mobilizations combined with
309 glenohumeral stretching and both angular and translational mobilization on ROM for at
310 least 6 months, which may be clinically important. The lack of pain reduction could be
311 explained by the fact that there was less potential for additional effect of the device on this
312 outcome. Further trials are needed to confirm the beneficial effects of the studied
313 interventions and to determine whether other sequential or combination of treatments
314 may result in better outcomes.

315

316 The CYRIAX approach of deep friction massage and mobilization exercises showed very
317 limited preliminary evidence on pain and ROM in the early phase of treatment. This
318 technique is easily applicable, since it does not require special equipment and no
319 anaesthesia. However, long-term follow-up results are unknown and should be provided in
320 future research. It should be noted that the exact mobilization exercises that were used in
321 this study were not described properly.

322

323 Very limited preliminary evidence is found for the effect of Mulligan's technique on pain
324 and ROM. The positive result of the Mulligan's technique on pain and ROM should be
325 interpreted with caution, since it was only investigated in one study. This technique was

326 chosen for the advantage of increasing ROM in addition to providing analgesia, but since it
327 is a hands-on treatment, it is not possible to perform the study in a blinded manner [28].

328

329 The Maitland technique showed a beneficial effect on ROM, FLEX-SF and FASTRAK. The
330 study of Kumar et al. [34] showed that adding the Maitland technique to the supervised
331 exercise program gives advantages in terms of pain and ROM. Mobilization techniques
332 performed in the specific plane close to the end-range improve the corresponding
333 extensibility of the shoulder capsule and stretch the specific tightened soft tissues to
334 induce beneficial effects. The neurophysiologic effect could result from the rhythmic
335 oscillatory movement of the Maitland's technique that stimulates the peripheral
336 mechanoreceptors and inhibits the nociceptive receptors [11]. However, Paul et al. [33] did
337 not find these superior effects on pain and ROM, which could be explained by the used
338 measurement tool that may have been less reliable. Therefore, further studies, which
339 establish the biomechanical rationale behind the effect of countertraction with
340 appropriate tools, will need to be undertaken.

341 High-grade and low-grade mobilization in primary AC patients yielded results according to
342 expectations. Although the effect of the high-grade mobilization was superior, the low-
343 grade group also achieved a considerable clinical improvement. Therefore, low-grade
344 mobilization could be the preferred treatment mode for those who are anxious about
345 experiencing pain. The largest improvement was attained during the treatment itself, but
346 ongoing progression of shoulder function was seen and can be explained by the initial
347 improvement [8]. Furthermore, as a control group was not included in this study, the
348 findings may be a result of natural improvement. In addition, two other studies used this
349 technique and found a beneficial effect of end-range mobilization and mobilization with
350 movement in favor of the mid-range mobilization techniques [29]. This could be explained
351 by the fact that the latter may only extend the adhesive capsule, while the end-range

352 mobilization and mobilization with movement techniques can stretch the adhesive capsule
353 and associated contracted periarticular structures. The appropriate treatment for each
354 individual with primary AC of the shoulder may be dependent on the course and duration
355 of symptoms. The multi-treatment design limits the generalizability of the finding to
356 normal clinical practice. Yang et al. [32] concluded that end-range mobilization and
357 scapular mobilization are important techniques for primary AC of the shoulder. Subjects
358 with larger shoulder kinematics were included in the control group. This homogenous
359 subgroup was unlikely to improve with treatment, which could have biased the results.

360 *Study limitations*

361 This review has certain limitations that should be taken into account when interpreting its
362 results. First, the main weakness of this review is the risk of bias; most studies failed to
363 achieve blinding of the patients [8], [9], [21], [23], [28]–[34], therapist [8], [9], [21], [23],
364 [28]–[35] and assessor [9], [21], [23], [28], [30], [34] and concealment of allocation items
365 [8], [9], [21], [28], [30], [31], [34] were often not attained. Therefore, a note of caution is
366 due here. However, only one of the twelve studies was not randomized [30], and in one
367 study randomization was completed after patients had been allocated on basis of shoulder
368 kinematics [32].

369 Second, it should be noted that characteristics of the included studies were
370 heterogeneous. Inclusion criteria varied among most studies, such as duration and
371 classification of injury and magnitude of loss of ROM. The majority of the mobilization
372 techniques included patients in the stiff phase, while some studies did not specifically
373 report the phase. It would seem reasonable that mobilization techniques would be most
374 effective in the stiff phase to improve mobility, but not all studies took this into
375 consideration. Therefore, the timing of the therapy at specific times in the disease's
376 progress is an important issue for future research. In some studies the sample size was

377 small, which may have resulted in a lack of statistical significance due to type II error (not
378 enough power) [8], [9], [23], [28]–[32]. Multiple treatment techniques and outcome
379 measures were used and the description of some utilised mobilization techniques was
380 insufficient. For example, ROM was measured differently by most included studies, either
381 active or passive ROM, total or only glenohumeral ROM [36] and different positions were
382 used (flexion, abduction, internal or external rotation and hand behind back). Therefore,
383 the results must be interpreted with caution as marked heterogeneity was apparent for
384 ROM. The use of ROM investigations should be normalized in further studies to generalize
385 the results. It would not be ethical to use a sham group; thus the control group in most
386 studies was also treated with therapy. In some studies hot packs were used to deliver
387 superficial heating to increase the extensibility of collagen [28], [31], [33]. The application
388 of heat has potentiated the effect of stretching on improving ROM in healthy people and
389 may have influenced the results [37].

390 Follow-up, total duration and frequency of the therapy also varied among studies.
391 Additionally, patient activity between post-test and follow-up were not always controlled.
392 The benefits of the particular treatment over a longer follow-up period were unknown in
393 most studies. As Struyf & Meeus [36] previously mentioned, it is difficult to take the self-
394 limiting aspect of AC into account. In most studies the follow-up period is limited to only 3
395 months [9], [23], [28]–[32], [35], which seems to be insufficient knowing that AC can last
396 up to several years. Although mobilization techniques seemed beneficial to reduce pain
397 and increase ROM, there is little evidence to suggest that these techniques, as well as
398 physical therapy or other therapy modalities, can alter disease prognosis and duration [6].
399 Therefore, further research with a longer follow-up period is warranted to establish long-
400 term effects.

401 **Conclusion**

402 Based on the present systematic literature review, overall mobilization techniques have
403 beneficial effects in patients with primary AC of the shoulder. Maitland's technique and
404 spine mobilizations combined with glenohumeral stretching and both angular and
405 translational mobilization seems recommended for the moment. Due to limited
406 homogeneity and limited number of studies with appropriate level of evidence, more
407 studies are needed on assessing the effect of angular, translational and high intensity
408 mobilization techniques, CYRIAX approach and Mulligan's technique on pain and ROM.

409

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510 Figure 1: Flow chart of study selection

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Table 1. Study selection criteria

Inclusion criteria	Exclusion criteria
<ul style="list-style-type: none">- Adult patients with primary AC of the shoulder, in any stadium;- The study assessed the efficacy of all kinds of articular mobilization techniques;- The outcome measure should be pain or ROM to assess the efficacy of the treatment;- Clinical trials published in full text;- Studies in English or Dutch;- Full text available.	<ul style="list-style-type: none">- Secondary AC of the shoulder;- Manipulations under anesthesia of the affected shoulder;- Case reports, reviews, letters-to-the editor, clinical trials, trial of an intervention and retrospective studies.

Table 2: Results of the methodological assessment of mobilization techniques in patients with primary adhesive capsulitis

Author	Criteria											Quality score	Level of Evidence
	1	2	3	4	5	6	7	8	9	10	11		
<i>Buchbinder et al., 2007</i> [36]	1	1	1	1	1	0	1	1	1	1	1	10	A2
<i>Diercks et al., 2004</i> [21]	1	1	0	1	0	0	0	1	1	1	1	7	B
<i>Doner et al., 2013</i> [29]	1	1	0	1	0	0	0	1	1	1	1	7	B
<i>Dundar et al., 2009</i> [9]	1	1	0	1	0	0	0	1	1	1	1	7	B
<i>Gaspar et al., 2009</i> [31]	0	0	0	1	0	0	0	0	1	1	1	4	B
<i>Guler-Uysal et al., 2004</i> [32]	1	1	0	1	0	0	1	1	1	1	1	8	B
<i>Johnson et al., 2007</i> [23]	1	1	1	1	0	0	0	1	1	1	1	8	B
<i>Kumar et al., 2012</i> [28]	1	1	0	1	0	0	0	1	1	1	1	8	B
<i>Paul et al., 2014</i> [35]	1	1	1	1	0	0	1	1	1	1	1	9	B
<i>Vermeulen et al., 2006</i> [8]	1	1	0	1	0	0	1	1	1	1	1	8	B
<i>Yang et al., 2007</i> [30]	1	1	1	1	0	0	1	0	1	1	1	8	B
<i>Yang et al., 2012</i> [33]	1	1	1	1	0	0	1	1	1	1	1	9	B

Criteria: 1) Eligibility criteria were specified; 2) Subjects were randomly allocated to groups (in a crossover study, subjects were randomly allocated an order in which treatments were received); 3) Allocation was concealed; 4) The groups were similar at baseline regarding the most important prognostic indicators; 5) There was blinding of all subjects; 6) There was blinding of all therapists who administered the therapy; 7) There was blinding of all assessors who measured at least one key outcome; 8) Measures of at least one key outcome were obtained from more than 85% of the subjects initially allocated to groups; 9) All subjects for whom outcome measures were available received the treatment or control condition as allocated or, where this was not the case, data for at least one key outcome was analysed by "intention to treat"; 10) The results of between-group statistical comparisons are reported for at least one key outcome; 11) The study provides both point measures and measures of variability for at least one key outcome.

Table 3. Population characteristics, intervention and results

Author	Subjects	Experimental Intervention (EI)		Control Intervention (CI)		Assessment	Outcome	Results
<i>Buchbinder et al.</i> (2007) [36]	N=156 ♀99 ♂57 55.0±9.3y 55.3±7.7y DO: 12	2x/w 2w – 1x/w 4w Stretch muscles glenohumeral joint Cervicothoracic spine mobilization Glenohumeral/p/accessory glide and angular mobilization Coordination and strength Rc and scapular stabilizers		2x/w 2w – 1x/w 4w Sham UltraSound		Baseline, 6w, 12w, 26w	Pain (Likert Scale)	EI=CI
							ROM _{/a/FL,AB,ER,HBB}	↑EI > ↑CI
<i>Diercks et al.</i> (2004) [21]	N=77 ♀47 ♂30 50±6y 51±7y	Physical therapy group > Pain threshold Active exercises Manipulation glenohumeral joint Stretching and maximal reaching		Supervised Neglect Group < Pain threshold Pendulum exercises Active exercises		1x/ 3m, up to 24m	Constant Score	↑EI < ↑CI (3m - 18m)
<i>Doner et al.</i> (2013) [29]	N=40 ♀31 ♂9 58.9±8.77y	5x/w 3w Hot pack TENS (20min, 100Hz, 0.05-0.07ms) Mulligan's technique (flexion, elevation, internal rotation)		5x/w 3w Hot pack TENS (20min, 100Hz, 0.05-0.07ms) Conventional passive stretching		Baseline, 3w, 3m	Pain (VAS)	↑EI > ↑CI
							ROM _{/a/,/p/, FL,AB,ER,HBB}	↑EI > ↑CI
<i>Dundar et al.</i> (2009) [9]	N=57 ♀39 ♂18 56.3±7.8y 57.1±8.3y	1h/d, 5x/w, 4w Continuous Passive Motion gradual increase in motion Home: Passive ROM, pendulum exercises 1x/d, 12w		1h/d, 5x/w, 4w Conventional Physiotherapy Treatment: active stretching, pendulum exercises Home: same		Baseline, 4w, 12w	Pain (VAS)	↑EI > ↑CI
							ROM	↑EI = ↑CI
							Constant Score	↑EI = ↑CI
<i>Gaspar et al.</i> (2009) [31]	N=62 55.6±7.9y	Standard (EI1)	SDS (EI2)	Combined (EI3)	Control (CI)	Baseline, 90d	ROM _{/a/ER90}	↑EI1 = EI2 = EI3 > ↑CI
		2x/w Physical therapy Therapeutic exercise Moist heat Education Maitland _{end-range} ROM _{/p/a/} PNF	2x/d, 7d/w Shoulder Dynasplint Systems	2x/w EI1 + EI2	Cortical steroid injections			
<i>Guler-Uysal et al.</i> (2004) [32]	N=40 ♀28 ♂12 56.0±8.6y	CYR		PT		Baseline, 1w, 2w	Pain (VAS)	↑CYR > ↑PT (NS)
		1h, 3x/w 1-2 w (>80% normal ROM) Cyriax (CYR) consisting of Deep Friction Massage and manipulation Active stretching and pendulum exercises Home: Passive ROM, pendulum exercises 1x/d		1h, 5x/w 1-2 w (>80% normal ROM) Physical Therapy (PT): Hot pack (20min), Short Wave Diathermy (220V/50Hz, 20min) Active stretching and pendulum exercises Home: same			ROM _{FL, AB, IR, ER}	↑CYR > ↑PT (2w) NOT ROM _{AB}
<i>Johnson et al.</i> (2007) [23]	N=18 ♀14 ♂4 54.7±8.0y 50.4±6.9y DO: 2	AM		PM		Baseline, after each session	Pain (VAS)	↑AM = ↑PM
		2-3/w 6 sessions total Anterior glide mobilization (AM) Ultrasound (1-3 MHz, 1,5W/cm ² , 10 min, anterior) Grade III mobilization End-stretch position > 1min, 15min total, 6x		2-3/w 6 sessions total Posterior glide mobilization (PM) Ultrasound (1-3 MHz, 1,5W/cm ² , 10min, posterior) Grade III mobilization End-stretch position > 1min, 15min total, 6x			ROM _{ER}	↑AM < ↑PM (session 3 - session 6)

<i>Kumar et al. (2012)</i> [28]	N=40 ♀14 ♂ 26 47.9y 47.1y	2-3 glides/s, 30s, 5 sets, 3x/w, 4 w CI+ Maitland mobilization Glenohumeral caudal glides Glenohumeral caudal glides progression Glenohumeral postero-anterior glides Passive oscillatory movements		10x10s per exercise, 5x/w, 4w Supervised Exercises Program Codman exercises Shoulder wheel exercises Wall-ladder exercises Self-stretching exercises (AB,FL,ER,IR, AD)	Baseline, 4w	Pain (VAS)	↑ EI > ↑ CI	
						ROM _{ER/AB}	↑ EI > ↑ CI	
<i>Paul et al. (2014)</i> [35]	N=100 ♀35 ♂65 49.16 ± 6.09y 53.22 ± 6.74y	20 min, 5x/w, 2 w CI + weighted shoulder countertraction during mobilization, 2-3 kg Glides in Maitland grade I – IV		20 min, 5x/w, 2 w Moist heat Mobilization (4 sets, 8-12x) Home program ROM, function exercises (10x3/d)	Baseline, 2w	Pain (VAS)	↑ EI = ↑ CI	
						ROM	↑ EI = ↑ CI	
<i>Vermeulen et al. (2006)</i> [8]	N=100 ♀66 ♂34 51.6±7.6y 51.7±8.6y DO: 4	HGMT	LGMT	Baseline, 3m, 6m, 12m	Pain (VAS)	↑ HGMT = ↑ LGMT		
		30min, 2x/w, up to 12w (> 6w + ROM=normal→0-1x/w); High- grade mobilization (Maitland mob grades III and IV) Inferior glides Posterior and lateral glides Anterior and medial glides Oscillatory movements (caudal, lateral and anterior)	30min, 2x/w, up to 12w (> 6w + ROM=normal→0-1x/w) Low- grade mobilization (Maitland mob grade II) Same glides and oscillatory movements 3min Proprioceptive neuromuscular facilitation _{/p/} 2min Codman pendular exercises Without causing pain			↑ HGMT > ↑ LGMT /a/ER(12m), p/ER, p/AB (3 and 12m)		
<i>Yang et al. (2007)</i> [30]	N=28 ♀24 ♂4 53.3±6.5y 58±10.1y DO: 7	A-B-A-C (EI1)		A-C-A-B (EI2)	Every 3w up to 12w	FLEX-SF	↑ EI1 = ↑ EI2 for ERM and MWM	
		2x/w 30min mob + simple exercises, 12w A= mid-range mob, Maitland (MRM) B= end-range mob (ERM) C= mob with movement (MWM) 10-15 repetitions				FASTRAK motion analysis	↑ ERM = ↑ MWM SHR: ↑ MWM > ↑ ERM	
<i>Yang et al. (2012)</i> [33]	N=32 ♀22 ♂10 54.3±7.6y 56.8±7.2y 54.9±10.3y DO: 2	Criteria-intervention (CrI)	Criteria- control (CC)	Control (CI)	4w, 8w	FLEX-SF	↑ CI > ↑ CC (8w) ↑ CrI > ↑ CC (8w)	
		2x/w 3m CC+ End- range mobilization (Maitland grade IV) Scapular mobilization	2x/w 3m Mid-range mobilization /p/, stretch, physical modalities (Ultrasound; shortwave diathermy; Electrotherapy) Active exercises	2x/w 3m (Larger shoulder kinematics compared to CrI and CC) CC		FASTRAK motion analysis	↑ CI > ↑ CC (4-8w) ↑ CrI > ↑ CC (8w)	
						ROM _{/p/}	Hand Behind Back	↑ CrI > ↑ CC (4w, 8w)
							External Rotation	↑ CrI > ↑ CC (4w, 8w)
Internal Rotation	↑ CI = ↑ CC = ↑ CrI							

Min=minutes, H = hour, D = day, w = week, m = month, y=years, DO= drop-outs, Rc= rotator cuff, , /a/ = active, /p/ = passive, TENS = Transcutaneous electrical nerve stimulation, FL = flexion, AB = abduction, AD= adduction, IR = internal rotation, ER = external rotation, HBB= hand behind back, ROM = range of motion, PNF = proprioceptive neuromuscular facilitation, aRom = active Range of motion, ER90 = external rotation with the arm in 90 degrees of abduction, N = number, FLEX-SF = flexion level scale of the shoulder function, SHR = scapulohumeral rhythm, VAS= Visual Analogue Scale, mob= mobilization, NS= not significant. CYR= CYRIAX, HGMT= high-grade

mobilization technique, LGMT= low- grade mobilization technique, AM= anterior glide mobilization, PM= posterior glide mobilization, MRM= mid-range mobilization, ERM= end- range mobilization, MWM= mobilization with movement.

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Table 4. Level of conclusion of the most important results, + = positive result, - = negative result, = = equal result of mobilization techniques compared to conventional therapy.

Outcome variables	Studies	Type of mobilization techniques	Level of evidence	Level of conclusion
Pain	+ Dundar et al. (2009) [9] + Guler-Uysal et al. (2004) [32] + Doner et al. (2013) [29] + Kumar et al. (2012) [28] = Johnson et al. (2007) [23] = Paul et al. (2014) [35] = Vermeulen et al. (2006) [8] = Buchbinder et al. (2007) [36]	Angular mobilization Cyriax approach Mulligan's technique Maitland's technique Translational mobilization Maitland's technique Maitland's technique Combined technique	B B B B B B B A2	Moderate +
ROM	+ Johnson et al. (2007) [23] + Buchbinder et al. (2007) [36] + Guler-Uysal et al. (2004) [32] + Doner et al. (2013) [29] + Gaspar et al. (2009) [31] + Kumar et al. (2012) [28] + Vermeulen et al. (2006) [8] + Yang et al. (2012) [33] = Dundar et al. (2009) [9] = Paul et al. (2014) [35]	Translational mobilization Combined techniques Cyriax approach Mulligan's technique Angular + Maitland's technique Maitland's technique Maitland's technique Maitland's technique Maitland's technique Angular mobilization Maitland's technique	B A2 B B B B B B B B B	Moderate +
Constant Murley Score	= Dundar et al. (2009) [9] - Diercks et al. (2004) [21]	Angular mobilization High intensity mobilization	B B	Weak -
FLEX-SF	+ Yang et al. (2007) [30] + Yang et al. (2012) [33]	Maitland's technique Maitland's technique	B B	Moderate +
FASTRAK	+ Yang et al. (2007) [30] + Yang et al. (2012) [33]	Maitland's technique Maitland's technique	B B	Moderate +

