1

#### 2 Trait correlates and functional significance of heteranthery in flowering plants

- 3
- 4 Mario Vallejo-Marín  $^{1,*}$
- 5 Elizabeth M. Da Silva<sup>2</sup>
- 6 Risa D. Sargent<sup>2</sup>
- 7 Spencer C. H. Barrett<sup>3</sup>
- 8 <sup>1</sup> School of Biological and Environmental Sciences, University of Stirling, Stirling, FK9
- 9 4LA. Scotland.
- <sup>2</sup> Department of Biology, University of Ottawa. 30 Marie-Curie (160 Gendron). Ottawa,
- 11 Ontario, K1N 6N5, Canada.
- <sup>3</sup> Department of Ecology and Evolutionary Biology, University of Toronto. 25 Willcocks
- 13 Street, Toronto, Ontario, M5S 3B2. Canada.
- <sup>\*</sup> Author for correspondence. Tel. (+44) 1786 467822. Fax (+44) 1786 467843. e-mail:
- 15 mario.vallejo@stir.ac.uk
- 16 Word count: Summary (200), Introduction (571), Materials and Methods (744), Results
- 17 (921), Discussion (1281), Number of Figures (2), Number of Tables (1), Supplementary
- 18 Material Table (1).
- 19

# 20 Summary

22organs, yet the functional significance of this variation is not well understood. Here,23we conduct a comparative analysis of floral correlates of heteranthery—the24morphological and functional differentiation of anthers within flowers—among25angiosperm families to identify traits associated with this condition.26• We performed a phylogenetic analysis of correlated evolution between heteranthery27and several floral traits commonly reported from heterantherous taxa. In addition, we28quantified the effect of phylogenetic uncertainty in the observed patterns of correlated29evolution by comparing trees in which polytomous branches were randomly resolved.30• Heteranthery is reported from 12 angiosperm orders and is phylogenetically31associated with the absence of floral nectaries, buzz-pollination and enantiostyly32(mirror-image flowers). These associations are robust to particularities of the33underlying phylogenetic hypothesis.34• Heteranthery has likely evolved as a result of pollinator-mediated selection and35appears to function to reduce the conflict of relying on pollen as both food to attract36pollinators and as the agent of male gamete transfer. The relative scarcity of37heteranthery among angiosperm families suggests that the conditions permitting its38evolution are not easily met despite the abundance of pollen-collecting bees and39nectarless flowers.	21 •	Flowering plants display extraordinary diversity in the morphology of male sexual
<ul> <li>morphological and functional differentiation of anthers within flowers—among</li> <li>angiosperm families to identify traits associated with this condition.</li> <li>We performed a phylogenetic analysis of correlated evolution between heteranthery</li> <li>and several floral traits commonly reported from heterantherous taxa. In addition, we</li> <li>quantified the effect of phylogenetic uncertainty in the observed patterns of correlated</li> <li>evolution by comparing trees in which polytomous branches were randomly resolved.</li> <li>Heteranthery is reported from 12 angiosperm orders and is phylogenetically</li> <li>associated with the absence of floral nectaries, buzz-pollination and enantiostyly</li> <li>(mirror-image flowers). These associations are robust to particularities of the</li> <li>underlying phylogenetic hypothesis.</li> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and</li> <li>appears to function to reduce the conflict of relying on pollen as both food to attract</li> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	22	organs, yet the functional significance of this variation is not well understood. Here,
<ul> <li>angiosperm families to identify traits associated with this condition.</li> <li>We performed a phylogenetic analysis of correlated evolution between heteranthery and several floral traits commonly reported from heterantherous taxa. In addition, we quantified the effect of phylogenetic uncertainty in the observed patterns of correlated evolution by comparing trees in which polytomous branches were randomly resolved.</li> <li>Heteranthery is reported from 12 angiosperm orders and is phylogenetically associated with the absence of floral nectaries, buzz-pollination and enantiostyly (mirror-image flowers). These associations are robust to particularities of the underlying phylogenetic hypothesis.</li> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and appears to function to reduce the conflict of relying on pollen as both food to attract pollinators and as the agent of male gamete transfer. The relative scarcity of heteranthery among angiosperm families suggests that the conditions permitting its evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	23	we conduct a comparative analysis of floral correlates of heteranthery-the
<ul> <li>We performed a phylogenetic analysis of correlated evolution between heteranthery and several floral traits commonly reported from heterantherous taxa. In addition, we quantified the effect of phylogenetic uncertainty in the observed patterns of correlated evolution by comparing trees in which polytomous branches were randomly resolved.</li> <li>Heteranthery is reported from 12 angiosperm orders and is phylogenetically associated with the absence of floral nectaries, buzz-pollination and enantiostyly (mirror-image flowers). These associations are robust to particularities of the underlying phylogenetic hypothesis.</li> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and appears to function to reduce the conflict of relying on pollen as both food to attract pollinators and as the agent of male gamete transfer. The relative scarcity of heteranthery among angiosperm families suggests that the conditions permitting its evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	24	morphological and functional differentiation of anthers within flowers-among
<ul> <li>and several floral traits commonly reported from heterantherous taxa. In addition, we</li> <li>quantified the effect of phylogenetic uncertainty in the observed patterns of correlated</li> <li>evolution by comparing trees in which polytomous branches were randomly resolved.</li> <li>Heteranthery is reported from 12 angiosperm orders and is phylogenetically</li> <li>associated with the absence of floral nectaries, buzz-pollination and enantiostyly</li> <li>(mirror-image flowers). These associations are robust to particularities of the</li> <li>underlying phylogenetic hypothesis.</li> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and</li> <li>appears to function to reduce the conflict of relying on pollen as both food to attract</li> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	25	angiosperm families to identify traits associated with this condition.
<ul> <li>quantified the effect of phylogenetic uncertainty in the observed patterns of correlated</li> <li>evolution by comparing trees in which polytomous branches were randomly resolved.</li> <li>Heteranthery is reported from 12 angiosperm orders and is phylogenetically</li> <li>associated with the absence of floral nectaries, buzz-pollination and enantiostyly</li> <li>(mirror-image flowers). These associations are robust to particularities of the</li> <li>underlying phylogenetic hypothesis.</li> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and</li> <li>appears to function to reduce the conflict of relying on pollen as both food to attract</li> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	26 •	We performed a phylogenetic analysis of correlated evolution between heteranthery
<ul> <li>evolution by comparing trees in which polytomous branches were randomly resolved.</li> <li>Heteranthery is reported from 12 angiosperm orders and is phylogenetically</li> <li>associated with the absence of floral nectaries, buzz-pollination and enantiostyly</li> <li>(mirror-image flowers). These associations are robust to particularities of the</li> <li>underlying phylogenetic hypothesis.</li> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and</li> <li>appears to function to reduce the conflict of relying on pollen as both food to attract</li> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	27	and several floral traits commonly reported from heterantherous taxa. In addition, we
<ul> <li>Heteranthery is reported from 12 angiosperm orders and is phylogenetically</li> <li>associated with the absence of floral nectaries, buzz-pollination and enantiostyly</li> <li>(mirror-image flowers). These associations are robust to particularities of the</li> <li>underlying phylogenetic hypothesis.</li> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and</li> <li>appears to function to reduce the conflict of relying on pollen as both food to attract</li> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	28	quantified the effect of phylogenetic uncertainty in the observed patterns of correlated
<ul> <li>associated with the absence of floral nectaries, buzz-pollination and enantiostyly</li> <li>(mirror-image flowers). These associations are robust to particularities of the</li> <li>underlying phylogenetic hypothesis.</li> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and</li> <li>appears to function to reduce the conflict of relying on pollen as both food to attract</li> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	29	evolution by comparing trees in which polytomous branches were randomly resolved.
<ul> <li>(mirror-image flowers). These associations are robust to particularities of the</li> <li>underlying phylogenetic hypothesis.</li> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and</li> <li>appears to function to reduce the conflict of relying on pollen as both food to attract</li> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	30 •	Heteranthery is reported from 12 angiosperm orders and is phylogenetically
<ul> <li>underlying phylogenetic hypothesis.</li> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and</li> <li>appears to function to reduce the conflict of relying on pollen as both food to attract</li> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	31	associated with the absence of floral nectaries, buzz-pollination and enantiostyly
<ul> <li>Heteranthery has likely evolved as a result of pollinator-mediated selection and</li> <li>appears to function to reduce the conflict of relying on pollen as both food to attract</li> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	32	(mirror-image flowers). These associations are robust to particularities of the
<ul> <li>appears to function to reduce the conflict of relying on pollen as both food to attract</li> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	33	underlying phylogenetic hypothesis.
<ul> <li>pollinators and as the agent of male gamete transfer. The relative scarcity of</li> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	34 •	Heteranthery has likely evolved as a result of pollinator-mediated selection and
<ul> <li>heteranthery among angiosperm families suggests that the conditions permitting its</li> <li>evolution are not easily met despite the abundance of pollen-collecting bees and</li> </ul>	35	appears to function to reduce the conflict of relying on pollen as both food to attract
38 evolution are not easily met despite the abundance of pollen-collecting bees and	36	pollinators and as the agent of male gamete transfer. The relative scarcity of
	37	heteranthery among angiosperm families suggests that the conditions permitting its
39 nectarless flowers.	38	evolution are not easily met despite the abundance of pollen-collecting bees and
	39	nectarless flowers.
40 <b>Keywords:</b> buzz-pollination, division of labour, heteranthery, phylogenetic analysis,	40 <b>K</b>	eywords: buzz-pollination, division of labour, heteranthery, phylogenetic analysis,
41 stamen differentiation.		

# 43 Introduction

44 Flowering plants display unrivalled diversity in the morphology of their sexual organs, 45 particularly male structures. Variation in stamen traits is evident both among related species, 46 between plants within populations, and also within and between flowers produced by a single 47 individual (Darwin, 1877; Endress, 1994; D'Arcy & Keating, 1995; Barrett, 2002). Among 48 these different levels of stamen variation, within-flower polymorphism represents a relatively 49 uncommon but taxonomically widespread phenomenon. A particular form of this 50 polymorphism is heteranthery involving the occurrence of more than one structurally discrete 51 type of stamen within the same flower with contrasting functions (Müller, 1883; Vogel, 1978; 52 Fig 1; Vallejo-Marín et al., 2009; Barrett, 2010). Heteranthery occurs in diverse taxonomic 53 groups and in a variety of forms indicating that it has most likely evolved on multiple 54 independent occasions during the history of the flowering plants (Graham & Barrett, 1995; 55 Jesson & Barrett, 2003).

56 In heterantherous species, stamen differentiation within flowers involves the shape, 57 colour, and/or size of anthers. Most commonly, two types of anthers are distinguishable. The 58 first is centrally located in the flower and composed of brightly coloured stamens (usually 59 yellow) that are short in length, and which are easily manipulated by pollen-collecting 60 visitors. The second type of anther is displaced away from the central axis of the flower, is 61 often cryptically coloured, and the individual anthers are usually larger in size than the 62 preceding type (Vallejo-Marín et al., 2009; Barrett, 2010). Less commonly, a third type of stamens occurs resembling the centrally located anthers, although it can be slightly larger 63

[*e.g. Solanum lumholtzianum*, Solanaceae (Whalen, 1979); *Senna* spp., Fabaceae, (Luo *et al.*,
2009)] or consists of staminodes (*e.g. Commelina* spp., Commelinaceae). Because
heterantherous species are exclusively animal-pollinated (Vogel, 1978), anther variation is
undoubtedly associated with various facets of the pollination process with consequences for
pollen dispersal and male function.

69 Heteranthery is commonly associated with a suite of floral characters and particular pollinator characteristics. Heterantherous species usually lack nectar and offer pollen as the 70 71 sole reward to visitors which are mainly pollen-collecting bees (Vogel, 1978; Vallejo-Marín 72 et al., 2009). Pollen dispersal in heterantherous species frequently involves buzz pollination 73 in which pollen is released from anthers through small apical pores (poricidal anther 74 dehiscence) as a result of vibrations of flight muscles of the wings of large bodied bees 75 (Buchmann, 1983). Comparative analyses of monocotyledonous groups have revealed that 76 heteranthery is commonly associated with enantiostyly [mirror-image flowers, a floral 77 polymorphism in which the style is deflected to either the left- or right-side of a flower, with 78 at least some anthers commonly (but not exclusively) positioned on the opposite side of the 79 flower (see Jesson & Barrett (2003) for a review)] and aspects of perianth symmetry and 80 floral orientation (Graham & Barrett, 1995; Jesson & Barrett, 2003). These associations 81 strongly suggest that heteranthery represents a convergent floral syndrome that has evolved 82 as a result of pollinator-mediated selection. However, associations between heteranthery and 83 floral and pollination traits have not been investigated more widely in angiosperms and this is 84 the main goal of our study.

Here, we use phylogenetic comparative methods to examine associations between
heteranthery and several floral and pollination traits that have been previously observed to

co-occur with this condition. We begin by identifying families in which heteranthery occurs
through a literature survey and document traits commonly associated with this condition. We
then specifically test for correlated evolution between heteranthery and the presence versus
absence of nectaries, enantiostyly and poricidal anthers (buzz-pollination).

# 91 Materials and Methods

#### 92 Data collection

93 We performed a literature search for families containing heterantherous species. Our primary 94 sources included Vogel (1978), Buchmann (1983), Endress (1994; , 1996) and Jesson and 95 Barrett (2003), and ISI Web of Science where we performed a search using the term 96 heteran\*. To record buzz-pollination, the list of poricidally-dehiscent/buzz-pollinated 97 angiosperm families reported in Buchmann (1983) was updated and expanded using ISI Web 98 of Science using the search terms: buzz-poll\* OR buzz poll\* OR poricida\*. Most species 99 with poricidal anthers are buzz-pollinated, although there are exceptions (*e.g.* Araceae, 100 Balanophoraceae, Mayacaceae) (Buchmann, 1983). We obtained information on the presence 101 or absence of floral nectaries from Bernardello (2007). Families containing enantiostylous 102 taxa were obtained from Graham & Barrett (1995), Jesson & Barrett (2003) and L. K. Jesson 103 (pers. comm.). For heteranthery, buzz-pollination, and enantiostyly, a family was scored as 104 "1" (present) if it included at least some species with the trait of interest and "0" otherwise. For floral nectaries we scored families as "1", with floral nectaries, and "0", no floral 105 106 nectaries, including polymorphic families in which nectaries have been lost.

### 107 **Phylogeny**

108 To determine the phylogenetic distribution of heteranthery, we used a tree of families of 109 flowering plants available at Phylomatic (http://www.phylodiversity.net/phylomatic), which 110 is based on the supertree by Davies et al. (2004). This tree combines information from 111 multiple separate studies to create a single, large phylogenetic hypothesis. Our final tree 112 contained 440 terminal taxa, i.e. families. We chose this particular phylogenetic hypothesis to 113 maximize the number of taxa analysed and because this tree was the best angiosperm 114 phylogeny available at the time of data collection. The Davies et al. tree differs from a recent 115 phylogenetic hypothesis for angiosperms (APG III, Bremer et al., 2009) in several ways, including the collapse of families (e.g. the family Cochlospermaceae is included in 116 117 Bixaceae), and changes in the placement of several taxa. However, the majority of the deep nodes are similar in the two trees. Moreover, when we used the APG III phylogeny to 118 119 conduct the tests of correlated evolution described below on a subset of our data (n = 377120 families for the comparisons of heteranthery vs. poricidal anthers and heteranthery vs. 121 enantiostyly; and n = 339 families for heteranthery vs. nectaries), we found no significant 122 changes (results not shown) compared to our findings with the tree of Davies et al.. We 123 therefore present below the results of the analysis of correlated evolution obtained using the more taxa-rich tree of Davies et al. Finally, to facilitate comparison with future studies, in the 124 125 text we refer to families according to the taxonomic nomenclature of APG III, which can be 126 obtained from the comprehensive list of synonymy of family names available at http://www.mobot.org/MOBOT/research/APweb/. 127

#### 128 Data analysis on correlated evolution of traits

129 We conducted Pagel's test of correlated evolution (Pagel, 1994; Pagel & Meade, 2006) on the 130 phylogenetic tree to investigate whether the evolution of heteranthery (character states: 131 present/absent) was independent of floral characters commonly found in heterantherous 132 species. This was carried out separately for each of three characters (buzz-pollination, floral 133 nectaries, and enantiostyly) using the binomial classification of character states described in 134 the previous section. Pagel's test calculates the likelihood of nested models of character 135 evolution for pairs of characters. In the omnibus test, two models are compared. The first is a 136 model in which the character states for both traits are allowed to change independently. The 137 second assumes that the transition in one character depends on the state of the second 138 character. The statistical fit of the model to the observed distribution of character states under 139 a given phylogenetic hypothesis can be compared between nested models using a likelihood 140 ratio test (LRT). The significance of the LRT test is obtained using a Chi-square distribution 141 with degrees of freedom equal to the difference in parameters between the models being 142 compared (Pagel, 1994). If the dependent model provides a significantly better fit to the data, 143 then one can conclude that the two characters evolve in a correlated fashion.

Pagel's test of correlated evolution requires dichotomous trees with non-zero branch lengths. However, our tree included several polytomies that represent uncertainty in the phylogenetic reconstruction. To address this issue, we randomly resolved polytomies using the R-program APE (Paradis *et al.*, 2004), and created a sample of 1000 of these randomly resolved trees, in which all branch length were set to one. We then conducted Pagel's test in all 1000 trees in our sample to assess the robustness of our results to particular phylogenetic hypotheses.

# 151 **Results**

#### 152 **Taxonomic distribution of heteranthery**

153 Heteranthery has been reported from 20 families (Endress, 1994, p. 153). We excluded some 154 of these families from our analyses either because one set of anthers produced sterile pollen 155 (e.g. Gesneriaceae, Gao et al., 2006), or because we considered two taxa as part of the same 156 family (e.g. Caesalpinaceae was included within Fabaceae). In the case of Liliaceae and 157 Gentianaceae, heteranthery has been reported previously (Vogel, 1978; Endress, 1994); 158 however, we were unable to verify these reports by finding information of the identity of 159 heterantherous species in these families, and thus we excluded them from the present 160 analysis. Representative species for each of the 16 families included in our analyses are 161 provided in Table S1, together with information on floral characteristics and pollinators at the 162 family level. The 16 families with heteranthery analyzed here belong to 12 orders, including both monocotyledons and eudicotyledons — Asparagales, Brassicales, Commelinales, 163 164 Dilleniales, Ericales, Fabales, Lamiales, Malpighiales, Malvales, Myrtales, Sapindales and 165 Solanales. The broad taxonomic distribution of families containing heterantherous taxa (Fig 166 2) is consistent with the hypothesis that heteranthery has had multiple origins in the angiosperms and represents a striking example of floral convergence. 167

The number of species in each family for which heteranthery is reported varied
enormously. For example, the only report of heteranthery in the Anacardiaceae — which
contains approximately 600 species in 70 genera (Zomlefer, 1994) — is for *Anacardium humile* (Vogel 1978). Other families for which heteranthery is reported in only one species
include Brassicaceae, Malvaceae and Lythraceae (Table S1). In other cases, heteranthery has
been documented in several species belonging to only one or a few genera. These cases

174 include Dilleniaceae [e.g. Dillenia, Hibbertia (Vogel, 1978; Endress, 1997)], Lecythidaceae

175 [Bertholletia, Couroupita, Gustavia (Vogel, 1978; Lloyd, 1992)], Pontederiaceae

176 [Heteranthera, Monochoria (Vogel, 1978; Tang & Huang, 2007)], Solanaceae [Solanum

177 (Bohs et al., 2007)], Tecophilaeaceae [Cyanella (Dulberger & Ornduff, 1980)], ,

178 Haemodoraceae [Dilatris, Schiekia, Haemodorum, Xiphidium (Simpson, 1990; LK Jesson,

179 unpublished data)], and Malpighiaceace [Banisteria, Hiptage, Malpighia (Vogel, 1978)].

180 In Fabaceae and Melastomataceae, heteranthery is more widespread in its distribution

181 occurring in hundreds of species and many genera. Reports of anther dimorphism in Fabaceae

182 include Caesalpinia, Swartzia, Senna, Cassia, Chamaechrista, Crotalaria, Dioclea, Dypterix,

183 Eysenhardtia, Mucuna, Ormosia, Platymiscium, Poiretia, and Stylosanthes (Vogel, 1978;

184 Dulberger, 1981; Stevens et al., 2001; Laporta, 2005; Marazzi & Endress, 2008). Similarly,

185 the Melastomataceae contain many heterantherous species in Aciotis, Acisanthera,

186 Adelobotrys, Arthrostema, Centradenia, Dissotis, Heterocentron, Melastoma, and Tibouchina

187 (Vogel, 1978; Gross, 1993; Stevens et al., 2001), and in some of these taxa heteranthery is

188 relatively common (Renner, 1989).

### 189 **Family correlates of heteranthery**

190 Table S1 documents floral characteristics and pollinators of heterantherous families. Several

191 generalizations can be extracted from this table and from Figure 2. Families with heteranthery

192 often exhibit other forms of within-flower polymorphism, including the presence of

193 staminodes (present in all families but Bixaceae and Lythraceae), and heterostyly (present in

194 Fabaceae, Lythraceae and Pontederiaceae) (Table S1). In the latter two tristylous families

- 195 species also possess within flower stamen differentiation although in this case they are not
- 196 functionally differentiated as in heteranthery. With a few exceptions (*e.g.* Dilleniaceae,

197 Lecythidaceae, Malvaceae), heterantherous families tend to have few stamens and all except 198 two families—Anacardiaceae and Brassicaceae—contain species with poricidal anther 199 dehiscence. Nectaries occur in all but three families: Commelinaceae, Dilleniaceae, and 200 Malpighiaceae, although heterantherous taxa most often lack nectar. With respect to floral 201 symmetry, 10 out of 16 families with heteranthery possess slight to strongly zygomorphic 202 perianths, at least occasionally. Finally, insects are the main pollinators of families with 203 heteranthery, and pollen-collecting bees in particular are the most common pollinators.

## 204 Correlated Evolution of Floral Traits

205 Heteranthery and Poricidal Anthers. Among the 16 families containing heterantherous 206 species included here, all but Anacardiaceae and Brassicaceae contain species with poricidal 207 anthers. This high rate of co-occurrence of poricidal anthers and heteranthery contrasts with 208 the lower rate of poricidal anthers in our phylogenetic sample of angiosperm families (88% 209 vs. 15%, 64 poricidal families out of 440). When phylogenetic relationships among families 210 were taken into account, we found strong support indicating that the evolution of heteranthery 211 and poricidal anthers (buzz-pollination) are strongly associated (P < 0.001; Table 1). This 212 pattern of correlated evolution was highly significant in all of the 1000 trees included in our 213 sample indicating that our finding is robust to the particular phylogenetic hypothesis being 214 used.

215 *Heteranthery and Enantiostyly*. Of the 15 families with heteranthery included in our 216 phylogenetic analysis, six contained enantiostylous species. In contrast, the incidence of 217 enantiostyly among flowering plants as a whole is very low (<3%; 11 out of 440 families). 218 Our analysis provided strong support for the correlated evolution of heteranthery and

enantiostyly (P<0.001, Table 1); a result that was not strongly influenced by the particular phylogenetic hypothesis that was used.

221 Heteranthery and Nectaries. We found information on the presence versus absence of 222 nectaries at the family level for 362 plant families. Among all families, 196 contained mostly 223 taxa with nectaries, 156 contained taxa with and without nectaries (polymorphic), and 10 224 generally lacked nectaries. Of the 166 families in which nectaries have been lost, 7% (11 225 families) included heterantherous taxa, while heteranthery occurred in 3% (5 families) out of 226 the 196 families in which nectaries are widespread. Tests of correlated evolution indicated 227 that a model in which heteranthery and the absence of nectaries evolve in a correlated fashion 228 fits the data better than one in which these two characters evolve independently (Table 1, P 229 <0.05). The correlated evolution model provided a better fit than the independent model over 230 our entire sample of phylogenetic trees (Table 1).

# 231 **Discussion**

232 Heteranthery is one of several types of stamen dimorphism within angiosperm flowers. It has 233 evolved in at least 12 orders indicating independent origins and suggesting that the selective 234 forces responsible for the evolution of heteranthery are encountered by disparate animal-235 pollinated taxa. The number of independent evolutionary origins of heteranthery is unknown, 236 although it is certainly larger than the number of families in which it occurs, as heteranthery 237 has evolved independently several times even within the same genus e.g. Solanum (Bohs et 238 al. 2007). Our study identified several common features associated with heteranthery 239 including the lack of floral nectaries, poricidal anthers, enantiostyly, few stamens, bee 240 pollination, and, in some groups, weakly to strongly zygomorphic perianths. However, not

surprisingly given the diverse affinities of heterantherous taxa, there are many exceptions tothese patterns.

### 243 Correlated evolution

244 Our phylogenetic analyses revealed a strong correlation between heteranthery and poricidal 245 anthers, lack of nectaries and enantiostyly (Table 1). Although our analyses were conducted 246 at the family level, and in most groups heteranthery was only evident in a small proportion of 247 species within a family, we were still able to detect patterns of correlated evolution. The fact 248 that our analysis was sensitive enough to uncover patterns of association at the family level 249 gives us confidence that the associations we uncovered are likely to reflect the evolution of 250 strong functional associations. However, family-level analysis has the disadvantage that it is 251 difficult to dissect the sequence of character state associations required to understand the 252 assembly of the heterantherous syndrome. Knowing the order of acquisition of correlated 253 traits is critical for understanding why heteranthery has arisen in some groups and not others.

254 The strong association between heteranthery and buzz-pollination seems likely to 255 have arisen as a result of the evolution of heteranthery within buzz-pollinated clades and not 256 vice versa (Buchmann, 1983; Vallejo-Marín et al., 2009). However, it is more difficult to infer whether enantiostyly precedes or follows the evolution of heteranthery (Jesson & 257 258 Barrett, 2003), or if a transition to weakly zygomorphic corollas is a pre-requisite for the 259 evolution of heteranthery. Providing answers to these questions requires well-resolved 260 phylogenies at the family level or below. For example, Bohs and colleagues conducted a 261 phylogenetic analysis of the evolution of heteranthery within buzz-pollinated Solanum (Solanaceae) (Levin et al., 2006; Bohs et al., 2007); their study included the major clades of 262 263 Solanum with more concentrated sampling in the subgenus Leptostemonum. The vast

264 majority of Solanum species lack floral nectaries and offer pollen as the only reward to attract 265 pollinators. The hermaphroditic, pentamerous, radially symmetric flowers of most Solanum 266 species have a stereotypical morphology in which similar-sized anthers form a cone in the 267 centre of the flower (solanoid anthers). However, some derived Solanum species possess heteranthery accompanied by different degrees of corolla zygomorphy. Bohs and colleagues 268 269 identified up to seven independent origins of stamen dimorphism within the "spiny solanums" 270 (Levin et al., 2006) and at least one more in the Normania clade (Bohs et al., 2007). The 271 phylogenetic distribution of heteranthery indicates that in this case buzz-pollination and lack 272 of nectaries preceded the evolution of heteranthery, which after it originated was 273 accompanied by changes to corolla morphology.

#### 274 **Convergence in function**

275 Heteranthery represents an example of convergent evolution, but why has heteranthery evolved on multiple occasions in unrelated groups? The answer to this question requires 276 277 determining the selective forces responsible for the evolution and maintenance of 278 heteranthery. The most widely accepted explanation for the function of heteranthery posits 279 that anther dimorphism represents the specialization of stamens into fertilizing and feeding 280 functions (H. Müller, 1881; F. Müller, 1883). According to the "division of labour" hypothesis, the short, centrally located and brightly coloured set of anthers serves to attract 281 282 and reward pollinators (feeding anthers), while the second anther or anther set of larger, 283 cryptically-coloured, anther(s) is involved mostly in fertilization (pollinating anthers). 284 Therefore, the division of labour hypothesis rests on two tenets: first, pollinators focus their 285 pollen collecting efforts on feeding anthers more than on pollinating anthers; and second, 286 pollinating anthers contribute disproportionately to fertilization (Vallejo-Marín et al., 2009).

Despite the fact that the division of labour hypothesis has gained acceptance since its
inception (Forbes, 1882; Darwin, 1899; Harris & Kuchs, 1902; Buchmann, 1983; Barrett,
2010), empirical confirmation of both tenets of this hypothesis has been relatively scarce and
restricted to a few taxa (*e.g. Solanum*, Bowers, 1975; Vallejo-Marín et al. 2009; *Melastoma*,
Luo *et al.*, 2008). Determining whether the division of labour hypothesis is a general
explanation of the functional significance of heteranthery awaits empirical confirmation in
other lineages.

294 The division of labour hypothesis predicts that heteranthery should occur in species in 295 which pollen is the only reward for pollinators. Table S1 indicates that the main pollinators of 296 families with heterantherous species are insects, especially bees. Our finding that 297 heterantherous species occur in families in which nectaries are entirely absent, or have been 298 lost in some groups, also suggests an important role for pollen as the sole floral reward. 299 However, some heterantherous species (e.g. Haemodorum and Schiekia, Haemodoraceae) 300 produce floral nectar. It would be interesting to determine if pollinators in these groups 301 specialize in exploiting different rewards.

302 A recent theoretical investigation demonstrated that heteranthery evolves when 303 pollinators remove more pollen than should be provided in exchange for pollination services 304 (Vallejo-Marín et al., 2009). A pre-condition for the evolution of heteranthery is therefore 305 that pollinators act as pollen thieves. Pollen theft is a phenomenon that has only recently been 306 recognized as an important source of selection on floral strategies (Hargreaves et al., 2009). 307 If poricidal anthers represent a mechanism to reduce the amount of pollen consumed by 308 pollinators (Buchmann, 1983), then the evolution of heteranthery in buzz-pollinated clades 309 may represent the escalation of male strategies that influence pollen dispensing and reduce

pollen consumption. Determining the function of anther dimorphism in a broader sample of
taxa will shed light on whether heteranthery indeed has evolved as a response to similar
selective pressures or has multiple functions among different groups.

# 313 Why is heteranthery rare?

314 Heteranthery is dispersed across a wide diversity of angiosperm families, but with the 315 exception of Fabaceae and Melastomataceae both of which contain numerous heterantherous 316 species, it is relatively uncommon. Why is heteranthery rare given the abundance of pollen 317 collecting bees and nectarless flowers? According to the division of labour hypothesis, if 318 heteranthery serves to reduce the amount of pollen consumed by pollinators enabling more 319 pollen to engage in fertilization, then heteranthery should often be selectively favoured in 320 nectarless species. However, several factors may constrain the evolution of heteranthery. 321 First, it is possible that pollen-consuming pollinators collect pollen that would otherwise be 322 lost from the fertilization process (Harder & Wilson, 1998). In this scenario, excess pollen 323 consumption may not be detrimental to plant fitness and thus there is no selection for anther 324 specialization and dimorphism. Second, for division of labour to drive the evolution of anther 325 dimorphism requires that changes in the placement of pollen on the pollinator's body result in 326 differences in pollen being either consumed or reaching a stigma. If the pollinator's body 327 cannot be successfully partitioned in this manner then heteranthery may not evolve. 328 Pollinators of sufficient size, relative to the flower, may be required to allow for 329 specialization of anther function. Limited availability of sites for pollen placement may 330 constrain the ability to partition the pollinator's body among closely related species, thus 331 disfavouring diversification through sexual specialization. Finally, anther dimorphism requires differentiation of developmental pathways and it is possible that in some groups 332

developmental or genetic constraints may limit the capacity for organ differentiation within
anther whorls. The genetic and developmental basis of floral form in heterantherous species
is not well understood and this is an area that would repay future attention.

## 336 Acknowledgements

We thank Linley Jesson for providing unpublished data on the phylogenetic distribution ofenantiostyly and Josianne LaChapelle for her assistance with the literature search for genera

that exhibit heteranthery. Philip Reilly provided a photograph of *Solanum* for Fig 1 and

340 Gillian Lye helped preparing Fig 2. Laura Galloway and three anonymous reviewers

341 provided insightful comments on a previous version of the manuscript. This work was funded

in part by the School of Biological and Environmental Sciences (University of Stirling) to

343 MVM and by NSERC Discovery Grants to RDS and SCHB.

#### 344 **References**

- 345 Barrett SCH. 2002. The evolution of plant sexual diversity. *Nature Reviews Genetics* 3: 274346 284.
- Barrett SCH. 2010. Darwin's legacy: the forms, function and sexual diversity of flowers.
   *Philosophical Transactions of the Royal Society B-Biological Sciences* 365(1539):
- 349 351-368.

350 Bernardello G. 2007. A systematic survey of floral nectaries. *Nectaries and Nectar*: 19-128.

- 351 Bohs L, Weese T, Myers N, Lefgren V, Thomas N, Wagenen Av, Stern S. 2007.
- 352 Zygomorphy and heteranthery in *Solanum* in a phylogenetic context. *Acta Hort.* 745:
  353 201-224.

Bowers KAW. 1975. The pollination ecology of *Solanum rostratum* (Solanaceae). *American Journal of Botany* 62(6): 633-638.

## 356 Bremer B, Bremer K, Chase MW, Fay MF, Reveal JL, Soltis DE, Soltis PS, Stevens PF,

357 Anderberg AA, Moore MJ, Olmstead RG, Rudall PJ, Sytsma KJ, Tank DC,

- 358 Wurdack K, Xiang JQY, Zmarzty S. 2009. An update of the Angiosperm
- 359 Phylogeny Group classification for the orders and families of flowering plants: APG
- 360 III. Botanical Journal of the Linnean Society **161**(2): 105-121.
- 361 Buchmann SL 1983. Buzz pollination in angiosperms. In: Jones CE, Little RJ eds.
- 362 *Handbook of Experimental Pollination Biology*. NY: Scientific and Academic
- 363 Editions, 73-113.
- 364 **D'Arcy WG, Keating RC, eds. 1995.** *The Anther: Form, Function and Phylogeny.*
- 365 Cambridge: Cambridge University Press.
- 366 Darwin C. 1877. The Different Forms of Flowers on Plants of the Same Species. London:
   367 John Murray.
- 368 **Darwin F. 1899.** The botanical work of Darwin. *Annals of Botany* **13**: ix-xix.
- 369 Davies TJ, Barraclough TG, Chase MW, Soltis PS, Soltis DE, Savolainen V. 2004.
- 370 Darwin's abominable mystery: Insights from a supertree of the angiosperms.
- 371 *Proceedings of the National Academy of Sciences of the United States of America*
- **101**(7): 1904-1909.
- 373 **Dulberger R. 1981.** The floral biology of *Cassia didymobotrya* and *Cassia auriculata*
- 374 (Caesalpiniaceae). *American Journal of Botany* **68**(10): 1350-1360.
- 375 **Dulberger R, Ornduff R. 1980.** Floral morphology and reproductive biology of 4 species of
- 376 *Cyanella* (Tecophilaeaceae). *New Phytologist* **86**(1): 45-&.

377 Endress PK. 1994. *Diversity and Evolutionary Biology of Tropical Flowers*. Cambridge:
378 Cambridge University Press.

- Endress PK 1996. Diversity and evolutionary trends in angiosperm anthers. In: D'Arcy WG,
  Keating RC eds. *The Anther: Form, Function and Phylogeny*. Cambridge: Cambridge
  University Press, 92-110.
- 382 Endress PK. 1997. Relationships between floral organization, architecture, and pollination
   383 mode in *Dillenia* (Dilleniaceae). *Plant Systematics and Evolution* 206(1-4): 99-118.
- Forbes HO. 1882. Two kinds of stamens with different functions in the same flower. *Nature*26: 386.
- Gao JY, Ren PY, Yang ZH, Li QJ. 2006. The pollination ecology of *Paraboea rufescens*(Gesneriaceae): A buzz-pollinated tropical herb with mirror-image flowers. *Annals of Botany* 97(3): 371-376.
- 389 Graham SW, Barrett SCH 1995. Phylogenetic systematics of Pontederiales: Implications
- 390 for breeding-system evolution. In: Rudall PJ, Cribb PJ, Cutler DF, Humphries CJ eds.
- 391 Monocotyledons: Systematics and Evolution. Kew: Royal Botanical Gardens, 415-
- 392 441.
- 393 Gross CL. 1993. The breeding system and pollinators of *Melastoma affine*
- 394 (Melastomataceae): a pioneer shrub in Tropical Australia. *Biotropica* **25**(4): 468-474.
- 395 Harder LD, Wilson WG. 1998. A clarification of pollen discounting and its joint effects
- with inbreeding depression on mating system evolution. *American Naturalist* 152(5):
  684-695.
- Hargreaves AL, Harder LD, Johnson SD. 2009. Consumptive emasculation: the ecological
  and evolutionary consequences of pollen theft. *Biological Reviews* 84(2): 259-276.

400	Harris JA, Kuchs OM. 1902. Observations on the pollination of Solanum rostratum Dunal
401	and Cassia chamaecrista L. Kansas University Science Bulletin 1: 15-41.
402	Jesson LK, Barrett SCH. 2003. The comparative biology of mirror-image flowers.
403	International Journal of Plant Sciences 164(5): S237-S249.
404	Laporta C. 2005. Floral biology and reproductive system of enantiostylous Senna
405	corymbosa (Caesalpiniaceae). Revista De Biologia Tropical 53(1-2): 49-61.
406	Levin RA, Myers NR, Bohs L. 2006. Phylogenetic relationships among the "spiny
407	solanums" (Solanum subgenus Leptostemonum, Solanaceae). Am. J. Bot. 93(1): 157-
408	169.
409	Lloyd DG 1992. Evolutionary stable strategies of reproduction in plants: who benefits and
410	how? In: Wyatt R ed. Ecology and Evolution of Plant Reproduction. NY: Chapman &
411	Hall, 137-168.
412	Luo Z, Zhang D, Renner SS. 2008. Why two kinds of stamens in buzz-pollinated flowers?
413	Experimental support for Darwin's division-of-labour hypothesis. Functional Ecology
414	<b>22</b> : 794-800.
415	Luo ZL, Gu L, Zhang DX. 2009. Intrafloral differentiation of stamens in heterantherous
416	flowers. Journal of Systematics and Evolution 47(1): 43-56.
417	Marazzi B, Endress PK. 2008. Patterns and development of floral assymetry in Senna
418	(Leguminosae, Cassiinae). American Journal of Botany 95: 22-40.
419	Müller F. 1883. Two kinds of stamens with different functions in the same flower. Nature
420	<b>27</b> : 364-365.
421	Müller H. 1881. Two kinds of stamens with different functions in the same flower. Nature
422	<b>24</b> : 307-308.

423	Pagel M, Meade A. 2006. Bayesian analysis of correlated evolution of discrete character			
424	reversible-jump Markov chain Monte Carlo. The American Naturalist 167(6): 808-			
425	825			

- 426 Pagel MD. 1994. Detecting correlated evolution on phylogenies: a general method for the
  427 comparative analysis of discrete characters. *Proceedings of the Royal Academy of*428 *Science London B* 255: 37-45.
- 429 Paradis E, Claude J, Strimmer K. 2004. APE: Analyses of phylogenetics and evolution in
  430 R language. *Bioinformatics* 20(2): 289-290.
- 431 Renner SS. 1989. A survey of reproductive biology in Neotropical Melastomataceae and
  432 Memecylaceae. *Annals of the Missouri Botanical Garden* 76(2): 496-518.
- 433 Simpson MG. 1990. Phylogeny and classification of the Haemodoraceae. *Annals of the* 434 *Missouri Botanical Garden* 77(4): 722-784.
- 435 **Stevens WD, Ulloa Ulloa M, Pool A, Montiel OM, eds. 2001.** *Flora de Nicaragua. II.*

436 (*Fabaceae-Oxalidaceae*). St. Louis, Missouri: Missouri Botanical Garden.

437 **Tang LL, Huang SQ. 2007.** Evidence for reductions in floral attractants with increased

438 selfing rates in two heterandrous species. *New Phytologist* **175**(3): 588-595.

- 439 Vallejo-Marín M, Manson JS, Thomson JD, Barrett SCH. 2009. Division of labour
- 440 within flowers: heteranthery, a floral strategy to reconcile contrasting pollen fates.
- 441 *Journal of Evolutionary Biology* **22**(4): 828-839.
- 442 Vogel S 1978. Evolutionary shifts from reward to deception in pollen flowers. In: Richards
- 443 AJ ed. *The pollination of flowers by insects*. London: Academic Press.
- 444 Whalen MD. 1979. Taxonomy of *Solanum* section *Androceras*. *Gentes Herbarum* 11(6):
- 445 359-426.

- 446 Zomlefer WB. 1994. Flowering Plant Families. Chapel Hill, NC: University of North
- 447 Carolina Press.

448

450

452

# 451 **Figure legends**

453 Solanum (Solanaceae). Heteranthery has evolved multiple independent times in Solanum, a 454 genus of ca. 1500 species and characterizes all species in the small Section Androceras 455 illustrated here. (a) S. citrullifolium, (b) S. rostratum. The left-hand side and central panels 456 show lateral and front views of the flowers. Notice the difference in degree of zygomorphism 457 of the corolla in these two species. The right-hand side panels show the strong dimorphism in 458 the size, colour and shape of anthers. PA: pollinating anther; FA: feeding anthers; s: style. 459 460 Figure 2. Phylogenetic relationships among families containing heterantherous species. 461 Characters associated with heteranthery are shown with shaded circles for each family. For 462 classification of character states see text. Black circles denote presence and white circles 463 absence of the following traits: heteranthery (H), poricidal anthers (P), enantiostyly (E). In 464 the case of nectaries (N), black circles denote presence and white circles represent either 465 absence in the entire family or a polymorphic state, i.e. nectaries have been lost in some species. Family names and phylogenetic relationships follow APG III. 466

Figure 1. Floral morphology and anther differentiation in two heterantherous species of

467

469 Table 1. Phylogenetic tests of correlated evolution between heteranthery and the following 470 three traits: poricidal anthers, enantiostyly (mirror-image flowers) and nectaries. For each 471 pair of traits two models were compared, one in which the two traits evolve independently of 472 each other (independent model) and the other in which the transitions among characters states 473 in one trait are dependent on the character state of the other trait (dependent model). P-values 474 are shown in parenthesis and are based on a Chi-square distribution with 4 d.f. To account for 475 uncertainty in phylogenetic reconstruction, likelihood ratios and P-value were calculated for 476 each of 1000 trees representing random resolutions of polytomous branches in the original 477 phylogeny.

478

Comparison	Log likelihood	Log likelihood	Likelihood	LR range in
	independent	dependent	ratio	1000 trees
	model	model		sample
Heteranthery vs.	-224.31	-199.57	49.47	47.59-52.60
poricidal anthers			(<0.001)	(<0.001)
Heteranthery vs.	-110.23	-97.46	25.43	25.24-27.57
enantiostyly			(<0.001)	(<0.001)
Heteranthery vs.	-287.96	-281.96	12.19	11.37-13.27
nectaries			(<0.05)	(<0.05)