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Networks without wires: Human networks in the Information Society

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Introduction

It is considered unfashionable in many quarters to announce oneself as a librarian. Yet librarians have produced one of the most remarkable feats of international co-operation in the last fifty years, which has gone unheralded, unannounced, but whose characteristics should be informing the development of standards for the Internet and the World Wide Web. Indeed so great is that success that we take it absolutely for granted. Broadly speaking it is possible to identify any recent published work from anywhere in the world and whether or not one has identified a location for the item to borrow it or a facsimile of it either free or for a very small sum. This paper will reflect on that success and on its relevance to the development of electronic information where a dangerous complacency assumes that our skills are so minor and/or our influence so limited that we must cede the field to larger players. It will argue that our skills are in fact entirely appropriate to developing the scholarly infrastructure which is so glaringly missing from the Internet and the Web. I shall explore the ineffectiveness of the Internet as a tool for scholarly communication. But I also want to argue that in order to contribute to that development of the new Information Society, we have to develop a basic foundation of co-operation and networks based on paper and people, the enduring fundamentals of a civilised society. I shall illustrate this by considering the case of a small country I know well. A small country which is overshadowed by a much larger and more important country; a small country where the people in the north feel themselves culturally different from those in the south; a small country which works with its regional neighbours to counter the dominance of their common larger neighbour; a small country whose greatest advantage is the human networks and professional and even family relationships which allow rapid communication, real understanding and effective co-operation.

As a convenience, the building blocks describing our paper networking will be split into two groups, reflecting IFLA's original core programmes of Universal Bibliographic Control (UBC) and Universal Availability of Publications (UAP). This model is used because it is through international bodies such as IFLA that this success has been sponsored and achieved.¹

Universal Bibliographic Control

Throughout the first half of the twentieth century the dream of universal bibliographic control was largely embodied in those monumental multi-volume works of erudition, the Library of Congress Catalogue and the British Museum (later British Library) Catalogue. Although they filled great banks of shelves in their dress of green and blue, no research library was (or is) complete without them. But they were never easy to use and followed sets of independent – one hesitates to say arbitrary – rules devised by great libraries conscious of their eminence and independence. In the last few decades a quite different spirit has pervaded the profession and it has been quick to recognise the opportunities presented by automation and the globalisation of research. The international nature of scholarly literature has been reflected in the growth of international (or at least interoperable) standards which at least complement if not replace these great works of scholarship – and national libraries have been at the forefront of this standardisation. A large number of committed professionals, operating under the banners of national libraries and professional organisations has produced and maintained a string of standards which collectively have made the identification of almost any published item a straightforward task. Almost more importantly, these standards have been enthusiastically embraced by the profession and by related groups such as publishers

and booksellers. The Anglo-American Cataloguing Rules, the MARC standard, ISBNs and ISSN and the growth of national bibliographies all represent a huge and successful professional effort over the last four decades to globalise and standardise bibliographic control. Subject access as well as author/title access has also been fully explored. There were, of course, diversions as library thinkers made detours into the exotic world of faceted and other classifications, but the dominance through constant revision of both the Dewey and LC Classification schemes has also forced order and structure on to an essentially chaotic publishing output. Curiously, the financial pressures of the last two decades have also helped to gain control of what is published. Research libraries have introduced coherent collection development policies in an effort to focus their collections and as a response to those financial pressures. At the same time consortia have appeared which share responsibility for acquisition and good catalogue access is a pre-requisite for such sharing. As library housekeeping systems became universal in Europe and North America in the 1970's and 1980's, co-operative catalogues grew and retrospective conversion on a quite massive if unco-ordinated scale took place. Although not yet complete it has massively enhanced access to collections throughout the world from throughout the world. It is an interesting paradox of library automation that it has increased the possibilities for more traditional forms of co-operation.

Curiously, what may be seen as the one major gap in this roll-call of success has been filled very effectively by commercial publishers. The abstracting and indexing of journals has a long and largely commercial history; but it too has provided a near comprehensive system of access, which has kept pace comfortably with the great post-war expansion of scientific literature. It might however be argued that the system is weakest here in its coverage of non-scientific literature and in its coverage of non-English language and minority interest titles. But even in this long-standing activity, Eugene Garfield's innovative concept of citation analysis brought a new thrust to the exploitation of journal literature, although the citation indices cover only a fraction of published titles.

Political and economic instability in many parts of the globe may have prevented the total establishment of UBC, but as a profession we have developed and actively maintained a vigorous and robust bibliographic infrastructure. All of this has required a constant but largely unremarked stream of diplomacy and activity of the very highest order. There is no self-evident reason why a spirit of international co-operation should have triumphed over national ambition.

Universal Availability of Publications

If UBC can be considered a professional success, the development of UAP is even more astonishing in its final form. Universal Availability of Publications has been a particularly British led activity – indeed IFLA's UAP office is based at the British Library in Boston Spa. If bibliographic control is a necessary pre-requisite of access to collections, inter-library lending is its acme. Of course libraries have lent books to other libraries or scholars for centuries, but the development of standardised national and international systems with first Urquhart then Line the successive Directors-General of the British Library's Lending Division at Boston Spa acting as leading thinkers, advocates, drivers and promoters of internationalism has created the hard-won rather than inevitable system we see today. Inter-library lending is now a core activity in support of scholarship rather than a peripheral and exceptional one. And yet it is not self-evident that subject-based document delivery systems such as that of the National Library of Medicine or co-operative systems such as that run by OCLC for its member libraries should be interoperable with systems run by a major national library such as the British Library. The development of a common currency; the very act of trusting fellow professionals – and even more implausibly their readers - in small libraries in countries at the other end of the globe to act in a uniformly responsible way; the meshing of different copyright and fair use traditions and legislation; all represent quite remarkable acts of international co-operation and trust. Not all is perfect, of course, since not everything is deliverable and sometimes the scholar must still move to the book. Nevertheless it is broadly

true that scholars anywhere can identify and acquire or gain access to the most obscure works of scholarship, wherever held.

In sum, the combined efforts of whole teams of committed librarians sitting in committee rooms throughout the world has created universally adopted systems of bibliographic description and mechanisms for accessing the literature wherever it may be found over recent decades. It might be argued that these systems are a natural consequence and expression of our professional skills and interests, but even that view would have to acknowledge the sheer scale and complexity of what has been achieved. The system runs so smoothly that it is ignored and its lessons are not being used to inform discussion on the emergence of exactly the same issues in the electronic world we face. And the task is much harder this time. In the world of print the field was largely ours to make of it what we would, and where co-operation was required it tended to be with empathetic groups such as publishers who understood the need for standards and valued the contribution we could make as a profession. In the new environment we are jostled and compete with computer scientists, publishers, authors, lawyers, learned societies and agents, all of whom feel they have a role to play and skills to offer. This is perhaps most simply illustrated by the creation of the ubiquitous URL as a standard. It requires a very particular skill to create a descriptor of an ugliness and lack of structure which makes one yearn for the relative simplicity of faceted classification.

The Future

Using the model of UBC and UAP it is possible to review the position and the issues in the electronic environment in the same way. The lack of thought and imagination here is in stark contrast to that of the printed word and there is a real danger that scholarly communication will be seriously compromised at least in some disciplines, through a naïve blindness to the glaring problems of the Internet as a medium for scholarly communication. A whole host of problems surrounds the electronic equivalent of bibliographic control. Some are a function of the medium while others are variations of old issues where the new environment poses new challenges. A thread which runs through all of the problems is the failure of the academy to recognise that the problems exist. There have been spasmodic attempts by individual scientists or groups to open up the debate but these have made little real headway². The Internet is seen as a great and liberating development, but it is not a neutral development and requires very substantial international effort if it is to be made usable for sustained scholarly communication rather than short-term gratification.

Electronic UBC

There is a whole range of issues to be addressed³. Some of these are being worked on by groups and some are not. Probably all of them are soluble. But there is no real debate of the underlying issue of the future of scholarly communication. There is if anything a vague assumption that such communication will adapt to what is available, rather than there being explicit statements of what is required. This constant plugging of holes in the dam avoids the need for a debate on how water is best managed.

The very act of naming and identifying electronic objects consistently is fraught with difficulty. A book is a static object which does not change over time. Some electronic information has the same characteristics, but most does not. In an electronic environment there is a need to reference objects as they move and change over time and place. The temporary nature of URLs is notorious, with figures ranging from 75 days to six months being given as the average life of a URL⁴. This author was involved in teaching a course recently which involved citing some 64 URL's. These have changed or disappeared at the rate of four a month over the course of one semester – and that in the field of information management! Even national libraries, library associations and departments of information studies and librarianship cannot manage this process effectively. PURLs or permanent URLs are being worked on to resolve this issue.

Even where the URL remains constant issues of version control and quality assurance remain unresolved. Issues to do with network costs will lead to an increase in mirror sites. Such mirrors will inevitably be “out of synch” for periods of time. Worse, sites contain unofficial copies of unknown provenance and accuracy. At least some of the projects seeking to put large volumes of text into electronic “libraries” deliberately and ostentatiously ignore issues of version and quality putting up any out of copyright text which is available rather than only texts which are of scholarly worth. The seriousness of this problem cannot be overemphasised for the continuity of citation is central to scholarship and without it scholarship cannot flourish. The ability to refer back and forward to agreed texts and articles so that others can replicate the work is as critical to the Arts as the Sciences. Some attempts are being made to deal with this problem, the current favourite being Digital Object Identifiers⁵. The International DOI Foundation is a non-profit organisation although it stems from the commercial world and DOIs may be seen as a sort of electronic equivalent of the ISBN. However a significant if unquantified proportion of the material held in any library and any organisation (such as a university) and in any medium is either non-commercial or out-of-copyright and any new system must be able to embrace everything from incunables to examination papers, yet must remain a permissive rather than a mandatory system.

The issue of the authority to name objects is also difficult and shows no sign of being resolved. At present anyone can publish anything on the Internet and can name any object without reference to any standard or organised registration body and with no obligation to maintain the name over time. There is no minimum requirement such as, for example, giving the date of the last update or version of the object. This is compounded by the fact that many of the reference points we take for granted in the print world disappear. A book published by Cambridge University Press implies a set of values, standards and scholarly rigour that is understood. But an address incorporating the phrase “cam.ac.uk” could be anything from a university press to a student p.c. in a rented room. The persistence of object names is a long way from having a settled structure – and there is little evidence that the official bodies in scholarship understand the threat this poses. It is ironic that the breathtaking growth of the World Wide Web in particular – measured in months rather than years has not given time for any rational or ordered examination of the implications for scholarship.

Metadata and the standards for the description of objects is rather better developed. The Dublin Core standard first produced by Stu Weibel at OCLC has very rapidly developed enthusiastic international acceptance and now has an international development structure with participation in standards work from Europe, USA and the Pacific Rim. But even in this area where librarians have intervened successfully much work remains to be done. Cataloguing has historically described static and largely immutable objects. The Internet offers new genres of multimedia and even services which will require appropriate description. This work remains to be developed. Large scale efforts such as OCLC's Project CORC are also reviewing how metadata can link to existing MARC tagging structures. But there is a worry that electronic objects differ fundamentally from printed objects. A whole class of new information is required to describe electronic objects adequately and many of these will be inherent in the terms and conditions of sale rather than the object itself.⁶ Many items will

have multiple copyright permissions with images, tables, data and text all having different owners. Some data will be leased rather than purchased and will have different restrictions on different categories of users at different periods of time. Not all data is available to everyone on the Internet

Searching the web is a much more difficult exercise technically than the optimistic designers of web-crawlers would have us believe. Issues of relevance matching known to the information community for many years have only just begun to prove significant for the Internet. Web indexing systems are breaking down as their architecture collapses under the weight of data. It is increasingly common to undertake a search on Lycos, or Excite or Infoseek and recover hundreds of thousands of hits in apparently random order. Much work is going on here but designers despair at the inability or unwillingness of the public to master Boolean searching and most systems still have a long way to go to beat a half way competent reference librarian. The search engines have themselves recently come under proper scrutiny. Rather to everyone's surprise it has become apparent that they address only a fraction of the estimated 320 million web pages. Coverage varied from a best of 34% for Hotbot to a worst of 3% for Lycos.⁷ Within that, up to 5% of links were "broken" although "pages that timed out were not included in these statistics"⁸

Web searching has undoubtedly transformed the ability of researchers to acquire a whole range of current reference information, but is dramatically poor at discovering scholarship and research. Relatively few electronic journals are accessible on-line; contractual issues make them difficult to use; other scholarly data is difficult to obtain. One cannot with confidence find appropriate resources which are appropriately available. Perhaps the largest scholarly directory of Internet resources is BUBL⁹ and it lists a mere 12,000 addresses – a figure less than a small departmental library.

Electronic UAP

In the print world, once one fulfils the basic qualifications for membership of a library, all of its contents are available for use (with isolated exceptions), and the interlending service provides access to resources not held locally. The position is quite different in the electronic world where we will require validation of the rights of the user in relation to each object. Typical developing contracts allow access to specified groups for specified periods (eg all matriculated second year law students for the third semester only). Another variant is that access is only from specified IP addresses or locations. User authentication is regarded as an essential element of electronic commerce, but it too lacks basic elements for the furtherance of scholarly activity. At present there are no good ways of proving membership of the "data club" when away from the parent institution. Scholars visiting another institution, students on vacation or researchers on field trips are difficult to validate. There is then a very knotty problem surrounding usage data. On the one hand commercial publishers wish to collect usage information as a marketing tool. They are, however, unwilling to release this information to libraries so that they can judge whether usage justifies subscription. Conversely many users do not wish anyone to know what they are reading or researching. Traditionally, libraries have preserved the anonymity of user data except where criminal acts are suspected. Is this a right or simply a custom?

The preservation and archiving of electronic information has only just begun to surface as a very complex issue. The Data Archive at the University of Essex has existed for some twenty-five years and has perhaps as a clear a picture as anywhere of the so far intractable problems of storing, refreshing and kite-marking information. The problems are staggeringly complex technically and staggeringly expensive to resolve. Although some progress is being made on the legal deposit of commercial material, little appears to be done on the non-commercial and primary materials of scholarship. A preliminary study, the CATRIONA II project, was funded as part of JISC's e-Lib programme to examine the nature and range of electronic materials produced by institutions. It found that there was a great deal and that institutions had no mechanisms for dealing with such material whether in terms of archiving

or rights management. There are no standards or control or approval mechanisms for institutions or data repositories. This position may be compared with that in the United Kingdom where archives are expected to meet the BS5454 standard and the Historical Manuscripts Commission takes an active interest in the state of repositories and where archivists have specialist professional training. A new class of electronic material, what Clifford Lynch of CNI has called “endangered content”¹⁰ is emerging, where the formal and informal records of disciplines are effectively at risk through neglect. Archives collect papers, but institutions do not sample or preserve the electronic mail or word-processed files of their scholars. Lab books are routinely preserved by scientists but it is doubtful if any institution has a policy for the preservation of digitally captured images or data from research equipment. No publisher has yet given a guarantee to preserve material for more than three years and yet authors continue to sign away copyright in all media for all time.

The structure of networks - network topology - is barely discussed as an issue due to a naïve assumption that there will be an infinitely expanding amount of bandwidth which will somehow be made available to scholarship. But the fact that bandwidth is available – even if true – does not make it affordable. And as yet there is no evidence to support this view of availability. The major American research universities have abandoned what they perceive as the failing Internet provided by telecommunications companies to create Internet II as a private network attuned to their needs. In Europe the relatively modest ambition of the European Union to link existing research networks through the TEN-34 Project has been “shaped by a series of non-technical influences such as non-availability of required public services”¹¹, while “standard PNO (public network operator) services in Europe could not fulfil the requirements of the R&D community in Europe”¹². Equally the assumption that we should accept a simple commercial approach to network planning should not be allowed to go unquestioned. At present in the UK, bandwidth for Higher Education is acquired in the light of use rather than as a result of scholarly or educational policy decisions. Thus bandwidth expands at a great rate to the East Coast of North America in order to meet traffic growth. There is almost no debate on whether policy not use should drive such acquisition and that bandwidth should be routed say to Southern Africa then India, Singapore, Australia and then the West Coast of the United States, opening up markets and scholarship by policy rather than apathy. Parts of the developing world remain free of Internet access and communication at least at scholarly level and yet Malawian history and medicine or Papuan folklore remain subjects of significant scholarly interest even if they do not have the commercial clout of big science. There is a creeping form of cybercolonialism in the assumption that only the United States has digital material of value to the world. There is a certain irony in the fact that for large parts of the day in Europe the United States is almost uncontactable due to the press of traffic on the networks. This issue has been recognised in Australia where a decision has been made to encourage local web scholarship and information providers. The Australian Vice-Chancellors have agreed to use network charges to discriminate against overseas websites and in favour of Australian ones¹³.

One of the most important strands of scholarship is the output of the small learned societies. We may suppose that few of them will be able to run 7x24 servers giving access to their materials, far less run mirror sites on different continents to provide good and robust access. Yet no discussion appears to take place of how the products and output of small learned societies are to be mirrored around the world and what standards and quality controls will apply to mirror sites. Again the scholarly community is silent while the commercial giants of the STM world dictate the shape of electronic scholarly communication – despite the fact that large scientific publishers are aberrant rather than the norm.

And of course the network is not nearly as robust as the telecommunications companies would have us believe. A new sub-jargon of messages such as Error 404 and time-outs has become part of the norm of daily life on the network. A recent Dilbert cartoon pointedly and uncomfortably accurately suggested that all of the time saved through automation in the information age had been lost by people sitting at web browsers waiting for images to load.

Video and film remain pathetically inadequate while networks do not yet give the reliable quality of service required for multicasting. It should be self-evident that for research institutions working at the leading edge of scholarship and indeed telecommunications, the standard services provided by Internet Service Providers will always be inadequate. Instead, like Dr Johnson the academy prefers to admire the tricks of the dog and wonder that they are done at all rather than questioning whether they are done well or badly.

There is however one area which does require positive comment. And this is the encouraging broadening of the definition of what constitutes scholarly content. Services such as the Arts and Humanities Data Service¹⁴ based at King's College London or the excellent SCRAN project¹⁵ funded by the museums of Scotland are much involved in the digitisation of museum and archive collections and in recording everything from the performing arts to archaeological sites. This growth of new forms of content is emerging rapidly and brings with it some novel and clear academic thinking on such issues as new licensing models and standards relevant to the academic world rather than the commercial world. Such services also highlight the important role of collection managers in the digital environment in terms of presentation as well as preservation. But again there appears to be little concerted effort by the official organs of scholarship to build formal cross-domain linkages. In the UK it has been left to government to bring Museums, Libraries and Archives together under a new single government agency – regrettably for reasons of economy rather than scholarship.

Scotland

I have been involved in various co-operative activities in Scotland since I first joined the Library Association in 1969. Scotland is a small country of five million people with one major and three minor population centres and a huge rural hinterland. When I started it had eight universities, a national library and a long public library tradition as well as many well known research institutes. It also had reasonable but slow internal communications to the hinterland. The same is broadly true of Wales and northern Ireland. Historically the small Gaelic speaking often Roman Catholic inhabitants of the Highlands and Islands saw their cultural roots as lying in Scandinavia. All three small countries had a good but often uneasy relationship with England, which was and is several orders of magnitude larger than the other three countries combined. Scotland has been historically a poor country, but one with an enormous respect for education and one which recognises the need to co-operate in order to gain maximum value from the resources it could afford. A fierce independence is coupled with a recognition of the need to share what little we can afford. Because it is a small country, everyone knows everyone else and, unlike England, it is easy to assemble in one room all of the people relevant to any activity or decision. This is further helped by the fact that people are often related or went to school or university together. One of my cousins runs a national newspaper; one of the boys in my class at school heads Scottish Television news and another became Foreign Secretary under Margaret Thatcher. This makes co-operation both easy and effective.

Scotland is now one of the European leaders in networking – both electronic and personal. Much of that effort remains devoted to ensuring that we make best use of the resources we possess. I am still at the heart of co-operative activity in Scotland and its basics remain the same as they were in 1969. Our most recent research projects are to provide a union catalogue of special collections and to develop document delivery, now using fax rather than post and allowing users to place the orders themselves, but none the less based on the need to maximise access to paper-based collections. The national library still puts a lot of effort into ensuring common cataloguing standards are maintained. Co-operative acquisitions policies remain in place. My own library is at present engaged in a detailed study with our neighbouring university through which we will give up medical journals to by engineering ones while Glasgow University will give up engineering in favour of medicine. As a result we will have one collection of international standard in each subject rather than four mediocre collections in the two subjects. Probably the major national project is the creation of a union catalogue. All of these activities are aimed at ensuring the best service and the best service to

our readers. The right book to the right reader at the right time remains our most important objective.

What we are not willing to accept is that there is some mysterious body called the Internet, which contains all that our academic colleagues and researchers need. We are not willing to accept that some unspecified groups understand our country and our people's needs better than we do. It is then our years of practice at working together, our understanding of local needs and our ambition that our country will have a role in the information society which reaffirms the need to ensure that the traditional networks are vibrant and active. There is no doubt that they are necessary.

Conclusion

It has been the purpose of this paper to argue that the very significant skills we have brought as a profession to making the printed word uniformly and universally available have been overlooked. An electronic environment is being created which is inimical to scholarship and which is largely being designed by commercial and entertainment forces, which are irrelevant to the scholarly process. Even if that environment is modified and the issues described are resolved, it will remain an essentially hostile commercial environment. The academy remains largely unaware of the dangers – particularly in the area of preservation of both primary and secondary research resources. Our electronic house is built on shifting sands and a much more active approach is required from the profession to demonstrate that we can, like Sisyphus, re-climb the hill of bibliographic control and access and use that most basic skill of library school courses – the Organisation of Knowledge – to define scholarly requirements for the emerging information society. It is in fact by ensuring that our human networks are active and effective and by managing the flow of paper-based information effectively that we can best serve our readers, earn their professional respect, and position ourselves to act as guides to rather than bystanders at the information revolution.

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