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Use of Confocal and Multiphoton Microscopy for the evaluation of micro-optical components and emitters

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Running Title LED-lens microscopy

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Abstract

We report on the application of confocal and multiphoton microscopic techniques for the evaluation of the latest generation of micro optical components. The optical emitting characteristics of arrays of matrix addressable GaN micrometer sized light emitting diodes (micro-LEDs) have been measured using a commercial confocal microscope utilising the LEDs' own emission along with reflection confocal microscopy to determine the surface structure. Multiphoton induced luminescence from the 10-20 micron diameter emitters has also been used to examine the structure of the device and we compare this with electrically induced emission. In related work the optical properties of micro lens arrays (10-100 micron diameter) fabricated in SiC, Sapphire and Diamond have been determined using transmission confocal microscopy. Such optical microscopy techniques offer a simple, non-destructive method to determine the structure and performance of such novel devices.

Introduction

Since the introduction of high resolution reliable commercial confocal microscopes in the mid 1980's they have become one of the key tools for life science researchers, enabling routine three dimensional visualisation of structures with sub micron resolution (Pawley 1995). With the introduction of multiphoton excitation in the early 1990s by Denk et al. (1990) the ability to probe the sample at depth, with minimal damage, has become an increasingly important methodology. The rapid adoption of such techniques has lead optical physicists to develop and improve both laser sources and optical components to enable the techniques to become more widely adopted in the life sciences (Girkin 2003), but their use within physics has been limited. However, with the rapid expansion of interest and fabrication of micron sized optical components this situation is likely to change.

Semiconductor and device engineers routinely use electron microscopy to probe the structure of their devices and atomic force microscopy provides a powerful tool for the examination of surface structures, however, neither method provides information on the optical properties of the devices and they are not well suited to routine use on a production line. In addition these techniques are not suitable for direct evaluation of a sample's optical performance. Confocal microscopy has been previously applied to the examination of surface structures (O'Donnell 1999) but this work was undertaken on wafers rather than intact devices. There has also been a rapid expansion in the production of micro-optical emitters and components with dimensions of tens of microns which presents new challenges for evaluation compared to the more conventional devices with sizes of 100 microns upwards. Recently arrays of micro LEDs operating from 375 to 510nm, for example, have been produced by several groups (Jeon et al 2003, Ozden et al 2001, Jiang et al 2001) with anticipated applications ranging from displays to medical diagnostics. In addition matching optical components have also been produced (Choi et al 2004a) whose performance cannot be measured by conventional techniques.

We report here on the use of commercially available multiphoton and confocal microscopes to evaluate the operation of such emitting and beam shaping devices. The techniques outlined are suitable for the examination of such devices in a production environment and may be suitable for on line monitoring of integrated optical devices in the longer term.

Method and Materials

All of the work reported here was undertaken using a Bio-Rad MRC 1024ES scan head connected to a Nikon E600 upright microscope. A range of microscope objective lenses were used, but the most versatile proved to be a x20 magnification air objective with numerical aperture of 0.75. This provided a sufficiently large field of view, with high resolution and a working distance of 1mm, enabling imaging of fully assembled and packaged devices. Visible illumination for the confocal microscope, when required, was provided by the Bio-Rad supplied argon/krypton laser, whilst for the multiphoton work a

Coherent Mira laser was used pumped with a 5W Verdi laser. Previously published work using this system (Girkin et al 2000) has shown lateral and axial resolutions close to the theoretical limit.

The LED devices used for the work have been previously described by Jeon (2003) and had emitting wavelengths from 370 to 510nm. All devices used were packaged in semiconductor carriers and driven using a conventional low current power supply. In order to image the micro lens arrays, the fabrication of which is described by Gu (2004), the samples were placed on a cover slip with the flat face in contact with the cover slip. This provided a stable base and made it easy to align the lens arrays under the microscope. One sample was evaluated without the cover slip allowing direct access of the illumination light to the rear surface of the array with identical results to the cover slip method which was therefore used for simplicity.

Emission Characterisation

This was undertaken with the packaged LED devices mounted in a specially made holder enabling easy multi-axis alignment. The scan head was set into its scanning mode and using the 488nm line and a wide field lens (x10, 0.25NA) the reflection confocal image from the device was observed utilising a high contrast look-up table. The device was then adjusted angularly until the image showed even illumination across the device. This was required to ensure that the device was normal to the viewing axis. The illumination laser was then blocked and the required element(s) of the device switched on and XYZ images were then recorded from within the sample through to a height typically 50-100 microns above the surface. In one method the red krypton line was used to measure the surface profile of the device which was detected using an optical bandpass filter (647nm +/-10nm) and photomultiplier arrangement, whilst the LED emission was recorded in the blue or green channels through the use of the standard dielectric filters (blue bandpass 470nm +/- 30nm, green 525nm +/- 25nm). This enabled the exact surface profile to be matched with the emission distribution. Care was taken to ensure that the images did not approach the saturation of the system and typically images were in the dynamic range region of 210 to 30 counts as the system was known to be linear with intensity in this region. Images were then analysed off line using Meta Morph V4.6 (Universal Imaging Inc) and Origin V7 (Origin Inc). Both manual and automated methods were used to examine the intensity maps and calculate the emission profiles.

Multiphoton Excitation

In order to examine the emission from the device and the localised emission structure the device was aligned as described above and then excited using near infrared 200-250fs pulses (measured at the sample Girkin (2003)) from the Mira laser initially operating at around 750nm. Descanned detection was used, as fluorescence yield was not a problem and using the descanned detectors ensured the images could be recorded in subdued lighting rather than the darkness required for the non-descanned detectors available on the system. The excitation wavelength was adjusted to give a maximum fluorescence output depending on the wavelength of the device being examined. Care was taken to use low powers on the device to ensure that we did not "ablate" the array which did occur on one occasion. Typical imaging powers were measured to be 2-10mW on the sample with

pixel dwell times of $10\mu s$. XYZ images were then recorded scanning through the emission structure. The device was then switched on and electrically excited images taken matching the optically excited sections.

Lens Measurements

The optical arrangement used for the lens evaluation is shown in figure 1. Light from a lower power frequency doubled laser (Power Technology Inc.) was expanded using a x16 beam expander and directed up through the microscope stage using a conventional aluminium coated plane mirror. Initially the conventional 488nm illumination laser beam was "parked" in the centre of the image and without the microscope objective this beam was overlapped with the through illumination beam. Full alignment of the through beam along the optical axis was checked using the confocal imaging system, ensuring even illumination over around 3mm of vertical travel of the lens. The micro lens array was then placed on the microscope stage either on a cover slip or in a special holder. The through light was detected in the green channel using a narrow band dielectric filter and the reflected 488nm light collected in the blue channel. It was thus possible to view the transmission through the lens and the surface profile simultaneously. The confocal pinhole was adjusted for optimal axial sectioning (1.5 Airy disks) and an image taken around 30microns from the lens surface. XZ scans were then recorded using a line drawn through the centre of the original image. Tests were undertaken using complete XYZ stacks but were found to produce identical results to those using a single XZ line scan, with the latter method being quicker and requiring considerably less data storage space. The focal length of the lens was then calculated by analysis of the XZ section using a histogram plot of the intensity through the centre of the section.

Results

Figure 2 demonstrates a section through the reconstructed three dimensional data stack of the LED emission. Using the analysis method outlined above the cone angles for a number of devices was calculated and shown in table 1. In order to ensure that the results were not objective lens limited, for one sample an oil immersion objective lens (NA=1.4, times 20 magnification) was used and the resulting cone angle found to be identical to that measured using the 0.75 lens. The beam divergence results also fitted with the theoretical calculations. It should be noted that during the image analysis care had to be taken when measurements were made of the beam divergence within the substrate due to the high refractive index (n=1.8) of the substrate with the effective optical movement being therefore 1.8 times the physical movement of the lens. The images are corrected for this and the vertical scale is identical both above and below the surface, and equivalent to the optical path length in air.

Multiphoton excited and electrical excited images are shown in figure 3. The slope of a logarithmic plot of fluorescence intensity against laser power at the sample gave a slope of 1.95 (+/- 0.2) indicating a predominantly two-photon excitation mechanism. The image is of an early generation device illustrating areas in which the electrical contact may not be well integrated into the device lowering the local electric field and hence

demonstrating a lower electrically driven emission power compared to the multiphoton excited emission. In addition emission can be affected by the local micro-structure of each emitter. More recent devices show significantly more even illumination and a detailed examination of the full data available from this technique is currently underway and subject to a separate paper.

Typical XZ sections for the diamond lens measurements are shown in figure 4. The horizontal line shows the surface reflection image of the lens array with the effects of the lens on the collimated through illumination beam coming from this surface. The effective focal length of the lenses measured ranged from 5 to 500 microns and was in excellent agreement with the calculated values based upon AFM data of the profile of the lenses and are given in full by Gu et al (2004).

Discussion

We have illustrated methods by which optical performance of novel micro optical components can be evaluated using commercially available confocal and multiphoton microscopes. The technique provides an accurate method for measurement of a number of crucial parameters with no damage to the sample and minimal sample preparation and alignment. Although multiphoton microscopy is now used in many life science centres around the world we believe that its unique ability may have interesting applications in a range of disciplines where micron resolution optical sectioning is required with minimal risk to the sample. Further work is currently underway in analysing the results for more quantitative data concerning the micro structure of the devices. We are also exploring the use of collimated white light illumination and subsequent analysis on the three colour detectors in an effort to determine the chromatic aberration of the micro-lenses. In an alternative method different wavelength laser lines can be sent through the device providing measurements with our currently available sources at 405, 457, 488, 514, 532, 567, 633, 647nm. We believe that the optical method described provides an accurate and simple way to analyse a range of such devices including the integration of emitters and beam shaping micro-optical elements. Although the work described here on lens measurements is all based around refractive optical elements we believe that the technique has applicability to diffractive and Fresnel based micro lenses particularly where the size of the elements makes the use of conventional interferometer based instruments hard or impossible.

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Table 1 Emission angle for four wavelengths of micro-LED arrays with a source diameter of $20\mu m.\,$

Colour	Wavelength (nm)	Objective NA	Beam divergence
			(half angle)
Green	510	0.75	38.3°
Blue	468	1.35	38.3°
Blue	468	0.75	39.8°
UV	370	0.75	32.3°
Simulated	-	-	38.2°

Figure Legends

Figure 1	Optical configuration used for the evaluation of micro-lens arrays utilising both reflection and transmission confocal microscopy.
Figure 2	XZ Section of the emission profile of a $20\mu m$ diameter GaN micro-LED emitting at $460 nm$.
Figure 3a Figure 3b	Two-photon excited emission from a GaN micro-LED array Emission from the same element as 3b using electrical excitation
Figure 4	XZ section demonstrating the measurement of the focusing of a $40\mu m$ diameter diamond micro-lens array.

Figure 1

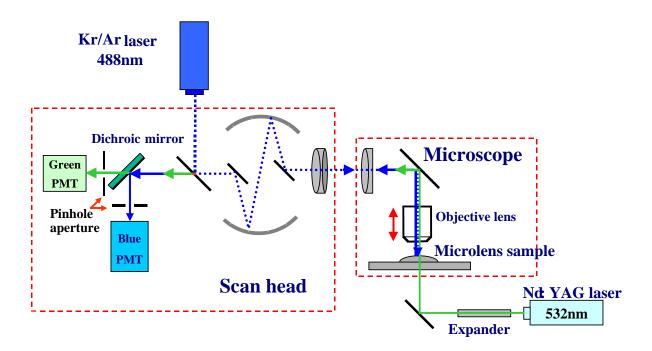


Figure 2

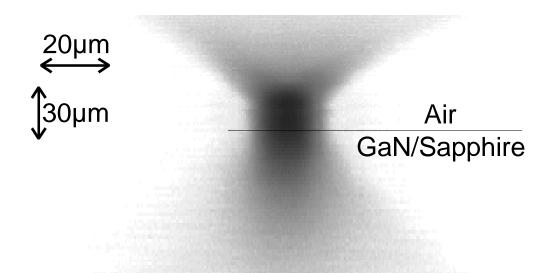


Figure 3

Figure 4

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