

# Rabi oscillations in systems with small anharmonicity

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When a two-level quantum system is irradiated with a microwave signal in resonance with the energy difference between the levels, it starts Rabi oscillations between those states. If there are other states close, in energy, to the first two, the microwave signal will also induce transitions to those. Here we study the probability of transition to the third state, in a three-level system, while Rabi oscillations between the first two states are performed. We investigate the effect of pulse shaping on the probability and suggest methods for optimizing the pulse shapes to reduce the transition probability.

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## 1. Introduction

Most qubits (basic elements in a quantum computer) are not true two-level systems. Yet, only the first two energy states are commonly considered relevant for quantum computation. As a result, any transition to the upper levels during the gate operations is a leakage of information outside the computational space, and therefore a source of error.

One of the common methods of perform gate operations in a qubit is via Rabi oscillations [1,2]. The speed of operation is determined by the Rabi frequency  $\Omega_R$ , which is proportional to the amplitude of the applied microwave signal. Rabi oscillations have been observed in many quantum systems, including superconducting qubits [3–7], excitons in single quantum dots [8,9], and recently in single electron spins in nitrogen-vacancy defect centers in diamond [10].

In a multi-level quantum system, Rabi oscillations may not be limited to only the first two states. For example, in a harmonic oscillator, with equally spaced energy eigenvalues, applying a signal in resonance with the level spacings will occupy many states. When the system is strongly anharmonic, on the other hand, i.e., when the third state is far above the first two, the probability of transition to the third level will be vanishingly small.

To have a quantitative measure of anharmonicity, we define an anharmonicity coefficient by

$$\delta = (E_{21} - E_{10})/E_{10} , \quad (1)$$

where,  $E_{ij} = E_i - E_j$ , with  $E_0$  being the ground state and  $E_i > 0$ , the  $i$ th excited state energy. The coefficient  $\delta$  is zero for a harmonic oscillator and  $\rightarrow +\infty$  for an ideal two level system.

Not every qubit realization has a large  $\delta$ . For example, in a current biased Josephson junction qubit [5],  $E_{21}$  is always smaller than  $E_{10}$  leading to a negative  $\delta$  close to zero. Charge-phase qubits also suffer from small anharmonicity, merely because of operating in the charge-phase regime; for the «quantronium» qubit of Vion et al. [4],  $\delta \approx 0.2$ , and for the flux based charge-phase qubit of Ref. 11, a  $\delta = O(1)$  was suggested.

The purpose of this paper is to study how much the smallness of  $\delta$  can affect transition to the upper state and how it can be avoided. We study the problem in a three-state quantum system with small anharmonicity. Quantum properties of three level systems have been studied before [2], in particular the effect of pulse shaping on the transition probability to the third level was investigated in Ref. 12. Here we provide detailed analytical and numerical analysis for quantum evolution of a three level quantum system irradiated with a microwave signal in resonance with the energy difference between the first two levels. Such a situation happens in quantum computation when the qubits are not ideal two-level systems. We suggest optimization methods for shaping the microwave pulse to minimize the transition to the third level.

In Sec. 2, we perform analytical calculations using rotating wave approximation (RWA). Section 3, goes beyond RWA using numerical methods. The effect of pulse shaping on the transition probabilities is addressed in Sec. 4. Section 5 discusses practical examples within superconducting qubit implementations. A brief summary together with some concluding remarks are provided in Sec. 6.

## 2. Analytical calculation

Let us consider a quantum system with three states  $|i\rangle$ ,  $i = 0, 1, 2$ , irradiated with a microwave signal in resonance with the energy difference between the first two levels. The Hamiltonian of the system is written as ( $E_2 > E_1 > E_0 = 0$ )

$$\mathcal{H} = E_1|1\rangle\langle 1| + E_2|2\rangle\langle 2| + V(t) \quad (2)$$

where  $V(t) = V_0 \exp(-i\omega_0 t) + \text{c.c.}$  is the microwave signal ( $\hbar = 1$ ). With the wave function written as  $|\psi(t)\rangle = c_0(t)|0\rangle + c_1(t)|1\rangle + c_2(t)|2\rangle$ , the Schrödinger equation leads to

$$\begin{aligned} i\dot{c}_0 &= V_{01}c_1, \\ i\dot{c}_1 &= V_{01}^*c_0 + E_1c_1 + V_{12}c_2, \\ i\dot{c}_2 &= V_{12}^*c_1 + E_2c_2, \end{aligned} \quad (3)$$

where  $V_{ij}(t) = \langle i|V(t)|j\rangle$ . We have taken  $V_{02} = 0$ ; the transition probability will be small anyway because of large frequency difference. For simplicity, we write  $E_1 = \omega_0$  and  $E_2 = (2 + \delta)\omega_0$ . In this section, we assume  $\delta \ll 1$  to ensure small anharmonicity.

Let us define  $\tilde{c}_0 = c_0$ ,  $\tilde{c}_1 = c_1 \exp(i\omega_0 t)$ ,  $\tilde{c}_2 = c_2 \exp(i2\omega_0 t)$ , and write  $V_{01}/\omega_0 = u \exp(i\omega_0 t) + \text{c.c.}$  and  $V_{12}/\omega_0 = v \exp(i\omega_0 t) + \text{c.c.}$  Using the RWA, i.e., ignoring the fast oscillating terms, we find

$$\begin{aligned} \partial_\tau \tilde{c}_0 &= -iu\tilde{c}_1, \\ \partial_\tau \tilde{c}_1 &= -iu^* \tilde{c}_0 - iv\tilde{c}_2, \\ \partial_\tau \tilde{c}_2 &= -iv^* \tilde{c}_1 - i\delta\tilde{c}_2, \end{aligned} \quad (4)$$

where  $\tau = \omega_0 t$ . The equation for  $\tilde{c}_1$  can be extracted from (4):

$$[\partial_\tau^3 + i\delta \partial_\tau^2 + (|u|^2 + |v|^2)\partial_\tau + i\delta|u|^2] \tilde{c}_1 = 0. \quad (5)$$

Writing  $\tilde{c}_1 = k \exp(-ix\tau)$ , we find that  $x$  needs to satisfy

$$x^3 - \delta x^2 - (|u|^2 + |v|^2)x + \delta|u|^2 = 0. \quad (6)$$

General solutions are

$$x_n = \frac{1}{3} \left\{ \delta + 2z \cos \left[ \theta + (2n - 1) \frac{\pi}{3} \right] \right\}, \quad n = 1, 2, 3, \quad (7)$$

where

$$z = \sqrt{3(|u|^2 + |v|^2) + \delta^2}, \quad (8)$$

$$\theta = \frac{1}{3} \arccos \left( \frac{9\delta(|u|^2 - |v|^2/2) - \delta^3}{z^3} \right). \quad (9)$$

To find the coefficients, let us write ( $n = 1, 2, 3$ )

$$\begin{aligned} \tilde{c}_0 &= \sum_n k_n \exp(-ix_n \tau), \\ \tilde{c}_1 &= \frac{1}{u} \sum_n x_n K_n \exp(-ix_n \tau), \\ \tilde{c}_2 &= \frac{1}{uv} \sum_n (x_n^2 - |u|^2) k_n \exp(-ix_n \tau), \end{aligned} \quad (10)$$

which satisfy (4). Assuming that the system starts from the ground state, we impose the initial conditions:  $\tilde{c}_0 = 1$  and  $\tilde{c}_1 = \tilde{c}_2 = 0$ , which yield

$$\sum_n k_n = 0, \quad \sum_n x_n k_n = 0, \quad \sum_n x_n^2 k_n = |u|^2. \quad (11)$$

Solving these equations for  $k_n$ , we find

$$k_1 = \frac{|u|^2 + x_2 x_3}{(x_1 - x_2)(x_1 - x_3)}. \quad (12)$$

$k_2$  and  $k_3$  can be obtained by permutation of the indices.

Let us write

$$\tilde{c}_2 = \sum_n \alpha_n \exp(-ix_n \tau),$$

where  $\alpha_n = (x_n^2 - |u|^2)k_n/uv$ . The probability of finding the system in the upper state is

$$P_2(\tau) = |\tilde{c}_2|^2 = \left| \sum_n \alpha_n \exp(-ix_n \tau) \right|^2 \leq P_{\max}, \quad (13)$$

where

$$P_{\max} = \left( \sum_n |\alpha_n| \right)^2 \quad (14)$$

determines an upper bound for  $P_2(\tau)$ . We first study the solution in some special cases.

*Case I,  $\delta = 0$*

This is the simplest case for which the problem can be solved. From (7)–(9), we find

$$x_1 = 0, \quad x_{2,3} = \mp \frac{1}{2} \Omega_R, \quad (15)$$

where  $\Omega_R = 2\sqrt{|u|^2 + |v|^2}$  is the Rabi frequency (for oscillations of the probabilities). These can also be found easily from (6) directly. Using (12), we find  $k_1 = 2v/\Omega_R$  and  $k_2 = k_3 = 2|u/\Omega_R|^2$ . As a result

$$\begin{aligned}\tilde{c}_0 &= \frac{4}{\Omega_R^2} (|v|^2 + |u|^2 \cos \frac{1}{2} \Omega_R \tau), \\ \tilde{c}_1 &= -i \frac{2u^*}{\Omega_R} \sin \frac{1}{2} \Omega_R \tau, \\ \tilde{c}_2 &= -\frac{4u^* v^*}{\Omega_R^2} (1 - \cos \frac{1}{2} \Omega_R \tau).\end{aligned}\quad (16)$$

The system oscillates with only one (Rabi) frequency  $\Omega_R$ . The probability of finding the system in the upper state  $|\tilde{c}_2|^2$  can become large:  $P_{\max} = 64|uv|^2 / \Omega_R^4$ . This is expected in a system with zero anharmonicity.

*Case II,  $v = 0$*

Using (8)–(9), together with

$$\tan 3\theta = 3 \tan \theta - \tan^3 \theta - 3 \tan^2 \theta, \quad (17)$$

$$\cos 3\theta = 4 \cos^3 \theta - 3 \cos \theta,$$

we find  $\cos \theta = -\delta/z$ , which immediately yields

$$x_1 = -|u|, \quad x_2 = \delta, \quad x_3 = |u|. \quad (18)$$

These could also be found directly from (6). For the  $k$ 's, we get:  $k_1 = k_3 = 1/2$  and  $k_2 = 0$ , leading to

$$\begin{aligned}\tilde{c}_0 &= \cos \frac{1}{2} \Omega_R \tau, \\ \tilde{c}_1 &= -i \frac{\Omega_R}{2u} \sin \frac{1}{2} \Omega_R \tau, \\ \tilde{c}_2 &= 0.\end{aligned}\quad (19)$$

The results show usual Rabi oscillation between the first two states with frequency  $\Omega_R = 2|u|$ . The probability of finding the system in the upper state is always zero ( $P_2 = 0$ ), as expected because  $v = 0$ .

*Case III,  $\delta \gg u, v$*

In the regime  $u, v \ll \delta \ll 1$ , one can find asymptotic solutions. A systematic expansion in  $u/\delta$  and  $v/\delta$  gives

$$\begin{aligned}x_1 &= |u| \left( 1 - \frac{|v|^2}{2\delta^2} \right) - \frac{|v|^2}{2\delta}, \\ x_2 &= -|u| \left( 1 - \frac{|v|^2}{2\delta^2} \right) - \frac{|v|^2}{2\delta}, \\ x_3 &= \delta \left( 1 + \frac{|v|^2}{\delta^2} \right),\end{aligned}\quad (20)$$

leading to the Rabi frequency

$$\Omega_R = 2|u| \left( 1 - \frac{|v|^2}{2\delta^2} \right). \quad (21)$$

The dependence of the Rabi frequency on the amplitude of the microwave signal now has the form

$$\Omega_R \propto V_0 (1 - \beta V_0^2), \quad (22)$$

where the coefficient  $\beta$  depends on the details of the system. The deviation from the proportionality relation is a signature of transition to the upper states. Such a deviation has been experimentally observed recently in a current biased ds-SQUID structure [13].

The probability of finding the system in the upper state is given by

$$P_2 \approx \frac{|v|^2}{2\delta^2} (1 - \cos \Omega_R \tau). \quad (23)$$

It oscillates with the Rabi frequency  $\Omega_R$ . The maximum probability

$$P_{\max} \approx \frac{|v|^2}{\delta^2} \approx \gamma \left( \frac{\Omega_R}{2\delta} \right)^2, \quad P_{\max} \approx \frac{|v|^2}{\delta^2} \left( 1 + 2 \frac{|u|^2}{\delta^2} \right) \quad (24)$$

occurs at half a Rabi period (i.e., at  $\tau = \pi/\Omega_R$ ), where  $P_1$  is the largest. This is not the case for small  $\delta$  (see e.g., case I). Here,  $\gamma = |v/u|^2$  is a constant depending on the details of the Hamiltonian. In most physical systems  $|v| \sim |u|$  and therefore  $\gamma = O(1)$ .

*General case*

It is not easy to find a closed analytical solution for the general case. Instead we plot the results for  $P_{\max}$ , calculated using (7)–(9) together with (12) and (14). Figure 1 shows  $P_{\max}$  as a function of  $\delta/u$  with different values of  $v/u$ . At small  $v/u$ , the curves are peaked near  $\delta = u$ , where the detuning  $\delta$  is close to the oscillation frequency  $\Omega_R/2$  ( $\approx |u|$ ) of the probability amplitudes. For larger values of  $v/u$  the peak shifts towards  $\delta = 0$ . Notice that  $\Omega_R$  also becomes smaller [see, e.g.,

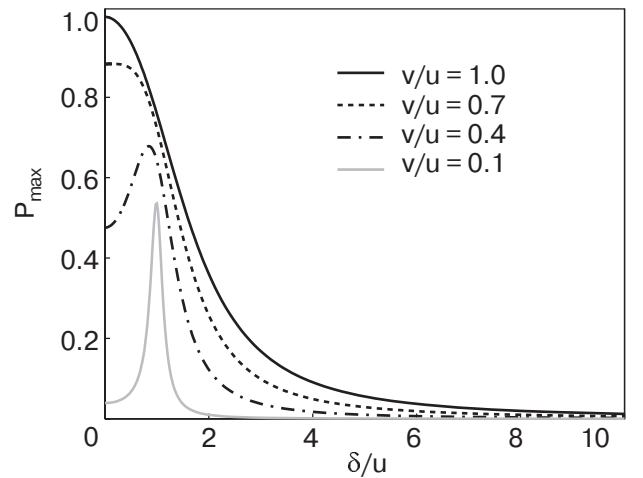


Fig. 1.  $P_{\max}$  versus  $\delta/u$  for different values of  $v/u$ . The curves are symmetric with respect to  $\delta \rightarrow -\delta$ .

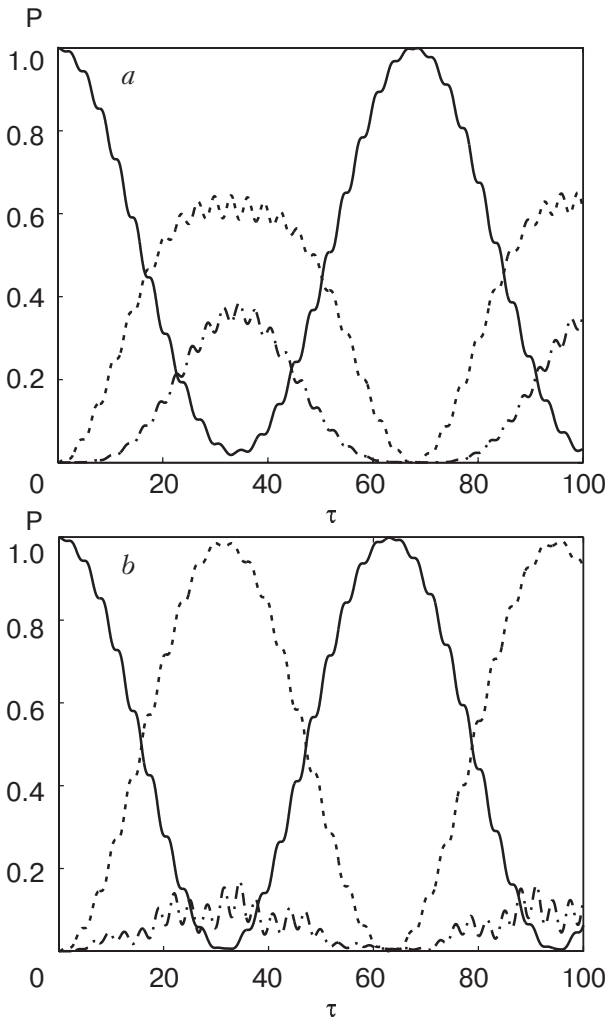


Fig. 2. Probabilities  $P_0$  (solid),  $P_1 = \rho_{22}$  (dashed), and  $P_2 = \rho_{33}$  (dot-dashed), as a function of time. The parameters are  $u = v = 0.1$ ,  $\delta = 0.1$  (a) and  $\delta = 0.5$  (b). For clarity,  $P_2$  in (b) is magnified by a factor of 10.

Eq. (21)]. In all cases  $P_{\max}$  becomes very small at large  $\delta/u$ , as expected for large detuning.

### 3. Numerical calculation

In this section we calculate the quantum evolution of the system numerically using the density matrix approach. This allows us to study the system beyond the RWA and/or at large  $\delta$ . The dynamics of the  $3 \times 3$  density matrix  $\rho$  is described by

$$i \frac{d\rho}{dt} = [\mathcal{H}, \rho]. \quad (25)$$

We integrate this equation starting from

$$\rho_0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad (26)$$

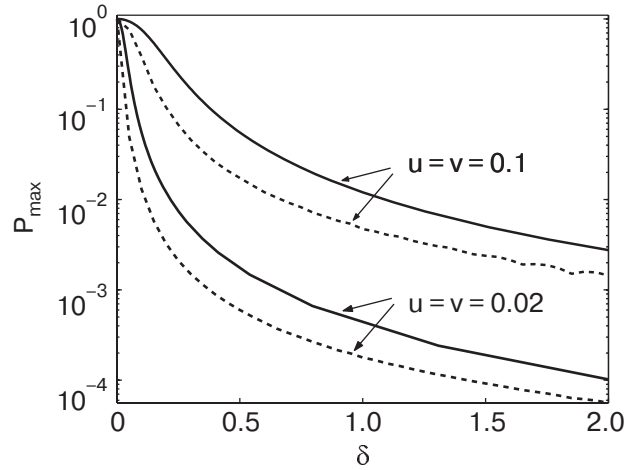


Fig. 3.  $P_{\max}$  versus  $\delta$  for different values of  $u$  and  $v$ . Solid (dashed) curves are analytical (numerical) results.

which describes the system in the lowest energy state. Probabilities of finding the system in different states are given by:  $P_0 = \rho_{11}$ ,  $P_1 = \rho_{22}$ , and  $P_2 = \rho_{33}$ . Figure 2 displays the time evolution of these probabilities. The fast oscillations are the effect of high frequency terms, which were ignored in the previous section due to the RWA. Figure 2,a shows the Rabi oscillation when  $\delta = 0.1$ . After (almost) half a Rabi period, significant amount of the probability goes to the third state. By increasing  $\delta$  to 0.5, the probability of finding the system in the upper state is substantially reduced (Fig. 2,b; the lower curve in the figure is magnified for clarity).

The maximum occupation probability of the system in the upper state is given by  $P_{\max} = \max_{\tau}[P_2]$ . Figure 3 shows the dependence of  $P_{\max}$  on  $\delta$ . The solid lines are analytical curves using (14), and the dashed ones represent the results of numerical calculations. While the two curves coincide at small  $\delta$ , they soon deviate from each other as  $\delta$  increases. However, the overall behavior of the curves, especially the asymptotic  $P_{\max} \sim |v|^2/\delta^2$  dependence remains unchanged even at large  $\delta$ . To emphasize this aspect, we have plotted  $P_{\max} \delta^2/|v|^2$  versus  $\delta$  in Fig. 4, for different values of parameters. All the curves overlap at large  $\delta$ , suggesting  $P_{\max} \sim |v|^2/\delta^2 \sim (\Omega_R/\delta)^2$ , in agreement with (24); the coefficient  $\gamma$ , however, is now a slow function of the parameters, but still  $O(1)$ . The large peak (for small  $v$  curve) happens at  $\delta \approx \Omega_R/2$ , where the Rabi oscillation frequency compensates the energy detuning, as mentioned before. The small fluctuations of the curves are the results of numerical inaccuracy.

### 4. Effect of pulse shape

So far we have assumed that the microwave signal starts at  $\tau = 0$  and continues forever. To perform a gate

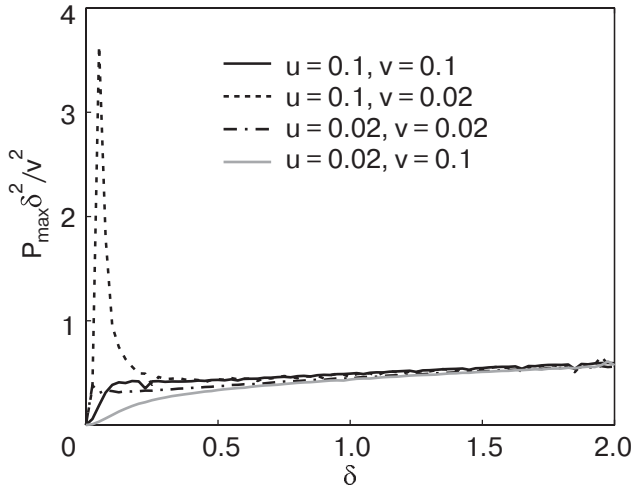


Fig. 4.  $P_{\max} \delta^2 / |v|^2$  versus  $\delta$  for different values of  $u$  and  $v$ .

operation, however, one needs to apply the Rabi signal for only a short duration of time. In that respect, the calculations presented in the previous sections can only describe hard (square) pulses; assuming the microwave signal gets terminated after the pulse duration  $\tau_p$ . The probability  $P_2$  then oscillates with  $\tau_p$  at the Rabi frequency. The maximum probability usually happens in the case of a  $\pi$ -rotation, i.e., when the probability is maximally transferred to  $|1\rangle$ .

A hard pulse is neither practical, nor the best pulse shape, as was indicated in Ref. 12. Indeed, by using other types of pulses, the probability of transition to the upper level, at the end of the process, can be significantly reduced. Among a few pulse shapes examined in [12], Gaussian pulses demonstrated the most promise. To understand the role of pulse shaping, let us compare the effect of a Gaussian pulse on the probability  $P_2$ , with that of a hard pulse, for the case of a  $\pi$ -rotation.

To impose a Gaussian envelope on the microwave signal  $V(t)$ , we write

$$u(\tau) = \begin{cases} \frac{a\Gamma}{\tau_w} \exp(-(\tau - \tau_p/2)^2 / 2\tau_w^2) & \text{for } 0 < \tau < \tau_p, \\ 0 & \text{otherwise,} \end{cases}$$

where  $\tau_w$  is the width of the Gaussian,  $\Gamma$  is the total angle of rotation in the Bloch sphere [15] (e.g.,  $\Gamma = \pi$  for a  $\pi$ -rotation), and  $a$  is a normalization constant.

Figure 5 shows the probability  $P_2$  as a function of time for a Gaussian and a hard pulse, both of which have the same duration and result in a  $\pi$ -rotation ( $\Gamma = \pi$ ) at the end of the pulse. In our numerical calculation we take  $v = u$ ,  $\delta = 0.05$ ,  $\tau_p = 500$ ,  $\tau_w = \tau_p/6$ , and  $a = 0.398$ . These numbers correspond to the optimal pulse shape suggested in [12]. The maximum of

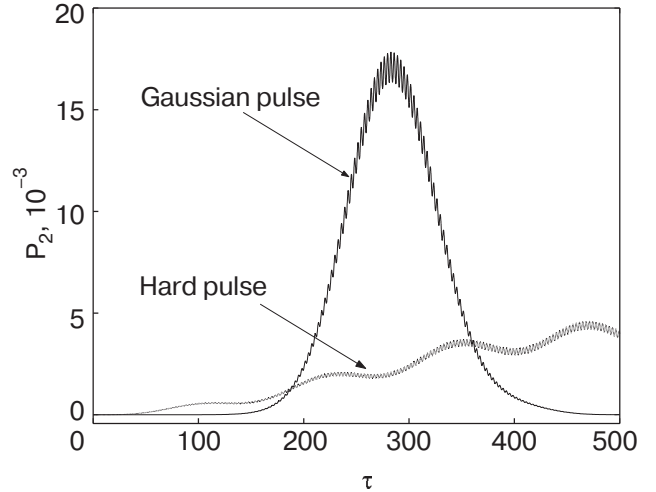


Fig. 5. Occupation probability for the third level as a function of time for a hard and a Gaussian  $\pi$ -pulse.

$P_2$  for the Gaussian pulse, happens slightly after the center of the pulse, while in the case of the hard pulse, it occurs near the end. Although the maximum is larger for the Gaussian pulse, the probability  $P_{2f}$  at the end of the process is much smaller. Orders of magnitude reduction of the final probability can be achieved using such a technique.

In Ref. 12,  $\tau_w$  was fixed (to  $\tau_p/6$  or  $\tau_p/4$ ) and  $\tau_p$  was varied to minimize  $P_{2f}$ . A  $\tau_p \approx 8\pi/|\delta|$  was shown to provide the first minimum with shortest duration. Alternatively, one can fix  $\tau_p$  and find a  $\tau_w$  which gives minimum  $P_{2f}$ . This may work better for shorter pulses. For example, for  $\tau_p = 100$ ,  $\delta = 0.1$ , and  $v = u$ , a Gaussian pulse with  $\tau_w = \tau_p/6$  gives  $P_{2f} = 0.093$ , while the minimum probability  $P_{2f} = 0.0026$  is achieved at  $\tau_w = 0.31\tau_p$  and  $a = 0.467$ . Such a pulse shape starts and ends with jumps (see Fig. 6), but still gives smaller  $P_2$  at the end of the process.

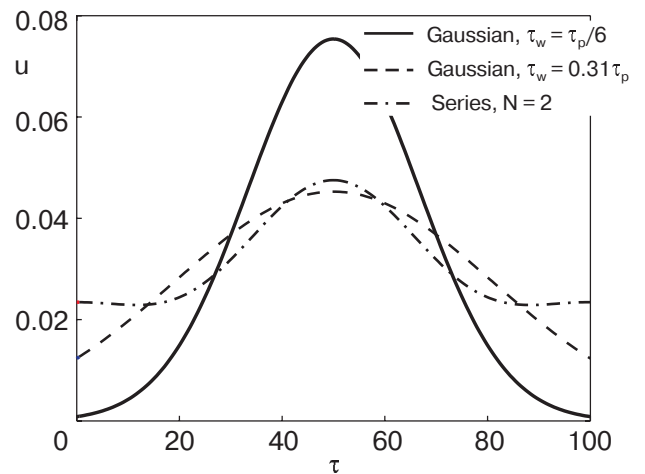


Fig. 6. Pulse shapes optimized for a  $\pi$ -rotation with  $\delta = 0.1$ ,  $\tau_p = 100$ .



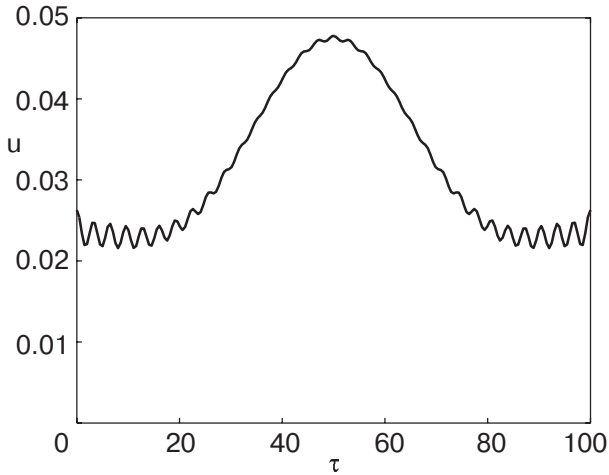


Fig. 7. Pulse shape of Eq. (27), optimized with  $N = 33$ .

Gaussian is not the optimal pulse shape for minimizing  $P_{2f}$ . One can design other pulses with more free parameters to achieve a smaller probability. To have some idea about how small  $P_{2f}$  can be made by appropriately shaping the pulse, we define an arbitrary pulse by the series

$$u(\tau) = (\Gamma/\tau_p) \left[ 1 + \sum_{n=1}^N \lambda_n \cos(2\pi n\tau/\tau_p) \right]. \quad (27)$$

Keeping only the first two terms in the series, (using the same conditions as above:  $\tau_p = 100$ ,  $\delta = 0.1$ , and  $v = u$ ) one can already reach a probability as small as  $P_{2f} = 1.2 \cdot 10^{-5}$  with  $\lambda_1 = -0.3833$  and  $\lambda_2 = 0.1293$  (see Fig. 6). With  $N = 33$  terms in the series, the probability was reduced to  $2.4 \cdot 10^{-6}$ . The resulting pulse shape, shown in Fig. 7, is complicated and may not be useful experimentally. However, it demonstrates the effectiveness of optimization on reducing  $P_{2f}$ . It should also be emphasized that with the pulse shape of (27), there is not a unique minimum for  $P_{2f}$ . Depending on the starting point and the method of minimization, one may fall into a local minimum with complicated pulse shape.

Here, we have only considered the case of  $\Gamma = \pi$ . For quantum operations, other pulses may also be required. It is not just enough to change the amplitude of the pulse, keeping its shape and duration, in order to obtain optimized pulses with other  $\Gamma$ 's. Indeed, for each type of operation, one needs to design a specific pulse shape that provides minimum  $P_{2f}$ .

## 5. Discussion

In a practical quantum computer, the maximum number of operations is limited by the decoherence time of the qubits as well as the speed of operations. It

is generally believed that if  $\sim 10^4$  operations can be performed within the decoherence time, a quantum computation can continue indefinitely with the help of quantum error correction algorithms. A parameter that is commonly quoted as a measure for the maximum number of operations is the quality factor of the qubit, usually defined as

$$Q_\varphi = \frac{1}{2} \tau_\varphi, \quad (28)$$

where  $\tau_\varphi$  is the dephasing time of the qubit (in units of  $1/\omega_0$ ). Quality factor  $Q_\varphi$ , however, corresponds to only one type of single qubit operations, namely phase rotation. Other necessary operations, such as single qubit state flip or multi-qubit gate operations are usually much slower. Even for the phase rotation, the extent to which one can control the rotation, i.e., change  $E_{10}$ , may be much smaller than the rotation frequency itself.

The single qubit state flip can be performed using Rabi oscillations [4–6] or non-adiabatic evolution [14]. The latter is fast ( $\approx \omega_0$ ), but requires large anharmonicity to avoid unwanted Landau–Zener transition to the upper states. Rabi oscillations, on the other hand, are much slower, but can be used in small anharmonicity systems. It is possible to define a quality factor for the Rabi oscillations the same way as  $Q_\varphi$  was defined in (28)

$$Q_R \equiv \frac{1}{2} \Omega_R \tau_R \approx \Omega_R Q_\varphi, \quad (29)$$

where  $\tau_R$  is the Rabi decay time, which is typically the same order as  $\tau_\varphi$ .

In an ideal two level system,  $\Omega_R$  is limited by the maximum allowed amplitude of the microwave signal (restricted by the RWA and/or experimental limitations). Usually an  $\Omega_R$  as large as 0.1 (in units of  $\omega_0$ ) or even larger is conceivable. In practical systems, especially those with small anharmonicity, however, increasing the microwave power will cause transition to the upper states as we discussed. Therefore  $\Omega_R$  is limited by how much probability of the upper levels can be tolerated. If we restrict  $P_{\max}$  to  $\sim 10^{-4}$ , then (24) gives  $\Omega_R \sim 10^{-2} \delta$ . Therefore to achieve  $\Omega_R \sim 0.1$  ( $Q_R \sim 0.1 Q_\varphi$ ) we need a  $\delta > 10$ . Such a large anharmonicity cannot be supported by many qubit implementations (see below for a few examples).

Using a shaped (instead of hard) pulse can significantly reduce the final  $P_2$ . To define a quality factor similar to (29), we use the fact that in the case of a hard pulse, a  $\pi$ -rotation is implemented when  $\Omega_R = \pi/\tau_p$ . We therefore define

$$Q_{\text{shaped}} \equiv \frac{1}{2} \left( \frac{\pi}{\tau_p} \right) \tau_\varphi = \left( \frac{\pi}{\tau_p} \right) Q_\varphi. \quad (30)$$

Therefore a  $Q_{\text{shaped}} = 0.1Q_\varphi$  requires a pulse with duration  $\tau_p = 10\pi \approx 30$  for a  $\pi$ -rotation. It was shown in [12], that a Gaussian pulse with  $\tau_w = \tau_p/6$  provides minimum  $P_2$  with shortest time if  $\tau_p \approx 8\pi/|\delta|$ . A quality factor of  $0.1Q_\varphi$  is therefore achievable in a system with  $\delta \approx 0.8$ . Other pulse shapes may provide better performance at smaller  $\delta$ , as was discussed before. Below, we provide a few examples among superconducting qubits.

In the current-biased Josephson junction qubit of Ref. 5, the energy differences are  $\omega_{10} \approx 6.9$  GHz and  $\omega_{21} \approx 6.28$  GHz, leading to  $\delta \approx -0.09$ . Also, one can easily verify [12] that  $|v| = \sqrt{2}|u| \sim |u|$ , as expected. For a hard pulse, requiring  $P_{\text{max}} \sim 10^{-4}$  and using (24) (with  $\gamma \approx 1$ ), one finds  $\Omega_R \sim 10^{-3}\omega_0$ , which is extremely slow. The quality factor  $Q_R$  will also be very small ( $\sim 10^{-3}Q_\varphi$ ). Using a Gaussian pulse shape with  $\tau_w = \tau_p/6$ , one can achieve a quality factor  $\sim 10^{-2}Q_\varphi$ , with much smaller  $P_{2f}$ . Aiming for a larger quality factor, one can make use of shaped pulses. A Gaussian pulse with duration  $\tau_p = 100$  ( $Q_{\text{shaped}} \approx 0.03Q_\varphi$ ) and with optimized width ( $\tau_w = 36.4$ ) gives  $P_{2f} = 0.0073$ , which may not be small enough. The pulse shape of Eq. (27), optimized with only first two components ( $\lambda_1 = -0.2331$ ,  $\lambda_2 = 0.2916$ ), on the other hand, gives a probability as small as  $P_{2f} = 1.6 \cdot 10^{-5}$ , for the same pulse duration. It is not easy to reach a small  $P_{2f}$  with a shorter pulse.

In the charge-phase (quantronium) qubit of Ref. 4,  $\delta \approx 0.2$ ,  $\Omega_R \sim 100$  MHz, and  $\omega_0 \approx 16$  GHz. We therefore obtain  $|u| \approx 0.0063$ , and with  $|v| \sim |u|$ , using (24) we find  $P_{\text{max}} \sim 5 \cdot 10^{-4}$  for a hard pulse, which is reasonably small. The quality factor for the Rabi oscillation, however, is  $Q_R \approx 150$  much smaller than  $Q_\varphi = 25000$  quoted in [4]. Increasing the Rabi frequency will increase the probability  $P_{\text{max}}$ . With the help of a Gaussian pulse shape (with optimal width  $\tau_w = 15.3$ ), a pulse duration of  $\tau_p = 50$  (quality factor  $Q_{\text{shaped}} \approx 0.06Q_\varphi$ ) is achievable with  $P_{2f} = 0.0026$ . Again, significant improvement in the probability ( $P_{2f} = 9.3 \cdot 10^{-6}$ ) can be achieved using Eq. (27), optimized keeping only two components in the series ( $\lambda_1 = -0.4058$ ,  $\lambda_2 = 0.1241$ ).

In practice, the shape of the pulse should be motivated experimentally. For example, the jumps at the ends of the pulses shown in Fig. 6 can only be realized approximately. Such limitations should be considered as a constraint in the optimization process. The minimization procedure may also be preformed experimentally; trying different pulses with a few free parameters and probing the transition probability to the

upper levels. The main goal of this work was just to demonstrate how effective an optimized pulse-shaping can be.

## 6. Summary and conclusions

We have performed analytical and numerical investigations of Rabi oscillations in a three level system. We showed that the probability  $P_2$  of finding the system in the upper level oscillates with the Rabi frequency  $\Omega_R$ . The maximum probability  $P_{\text{max}}$  happens close to half a Rabi period. We demonstrated that  $P_{\text{max}} \sim (\Omega_R/\delta)^2$ , even beyond the RWA and when  $\delta$  is large.

We also studied the effect of pulse shaping on  $P_2$ . We showed that with an appropriate pulse shape, one can achieve small probability  $P_2$  at the end of the process, although in the middle of the operation it may become large. The duration and shape of the pulse can be optimized to obtain the smallest  $P_{2f}$  in the shortest time. For each type of necessary operation, a specific pulse shape should be designed. In any case, smallness of  $\delta$  limits how short the pulse can be and therefore affects the speed of qubit operations.

It is also necessary to take into account the effect of decoherence on the studied phenomenon. In practice, however, only a few Rabi oscillations happen during the operation. Thus, as long as the decoherence time of the system is much longer than the Rabi period, our conclusions remain valid even in the presence of decoherence.

In this article, we considered only three levels. If the anharmonicity of the system is very small, one needs to consider more than three states. In Ref. 13, about 10 states were taken into account in the numerical simulations. Finally, we should mention that having a multi-level, instead of two-level, quantum system is not necessarily a disadvantage, as long as coherent control of all the levels is possible. There have been proposals to use multi-level systems for quantum computation [16].

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