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Reproductive Medicine and Biology (2011.12) 10巻4号:199~210.

Chromosomal integrity and DNA damage in freeze-dried spermatozoa  
(凍結乾燥した精子における染色体完全性とDNA損傷)

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1 **Title:**

2 Chromosomal integrity and DNA damage in freeze-dried spermatozoa

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24

25 **Abstract**

26 Freeze-drying technology may one day be used to preserve mammalian  
27 spermatozoa indefinitely without cryopreservation. Freeze-dried mouse  
28 spermatozoa stored below 4°C for up to 1 year have maintained the ability to  
29 fertilize oocytes and support normal development. The maximum storage period  
30 for spermatozoa increases at lower storage temperatures. Freeze-drying, per se,  
31 may reduce the integrity of chromosomes in freeze-dried mouse spermatozoa, but  
32 induction of chromosomal damage is suppressed if spermatozoa are incubated with  
33 divalent cation chelating agents prior to freeze-drying. Nevertheless, chromosomal  
34 damage does accumulate in spermatozoa stored at temperatures above 4°C.  
35 Currently, no established methods or strategies can prevent or reduce damage  
36 accumulation, and damage accumulation during storage is a serious obstacle to  
37 advances in freeze-drying technology. Chromosomal integrity of freeze-dried  
38 human spermatozoa have roughly background levels of chromosomal damage after  
39 storage at 4°C for 1 month, but whether these spermatozoa can produce healthy  
40 newborns is unknown. The safety of using freeze-dried human spermatozoa must  
41 be evaluated based on the risks of heritable chromosome and DNA damage that  
42 accumulates during storage.

43

44 **Key words**

45 Chromosome, Cryopreservation, DNA damage, Freeze-drying, Spermatozoa

46

47

48

## 49 **Introduction**

50 Cryopreservation with liquid nitrogen and storage at very low temperatures ( $-80^{\circ}\text{C}$ )  
51 are used for many cell and tissue types and many purposes in a wide range of biological  
52 fields because these samples maintain many important characters and their genetic  
53 material is largely unaltered during cryopreservation and cryostorage. Nevertheless,  
54 sperm preservation methods that do not require liquid-nitrogen-based cryopreservation  
55 are needed for the following reasons. 1) Liquid nitrogen is not readily available in many  
56 countries and places (e.g., many developing countries, pacific islands, space stations). 2)  
57 These cryopreserved samples are often destroyed or damaged because low-temperature  
58 storage facilities fail due to human errors or loss of power. 3) These samples may be  
59 contaminated by pathogenic viruses that are stored in the same cryostorage facilities [1].  
60 Although incidents of cross-contamination are rare in cryobanks, it is difficult to make  
61 sure that it has not occurred yet [2]. For these reasons, advances in sperm preservation  
62 techniques that do not require liquid nitrogen or deep-freezer storage may contribute to  
63 the safe preservation of the genome resources of mammalian species. 4) Potentially,  
64 freeze-dried spermatozoa may be transported anywhere without any refrigerants, such  
65 as dry ice [3, 4].

66 This review focuses on freeze-drying of mammalian spermatozoa, and particularly  
67 mouse and human spermatozoa. Recent progress and persistent problems associated  
68 with the methods used to maintain the integrity of DNA and chromosomes of the  
69 freeze-dried spermatozoa are discussed.

70

## 71 **Participation of motionless spermatozoa in fertilization**

72 A method for freeze-drying spermatozoa was published approximately a half century

73 ago. More recently, Polge et al. [5] reported that the majority of freeze-dried fowl  
74 spermatozoa were motile after rehydration, and in 1976, Larson and Graham [6]  
75 reported that some freeze-dried bull spermatozoa were motile after rehydration.  
76 Moreover, even motionless spermatozoa can fertilize oocytes and support normal  
77 development with advances in intracytoplasmic sperm injection (ICSI) [7]. These  
78 advances in ICSI led us to consider simple methods for mammalian spermatozoa  
79 preservation that do not require cryoprotectants [8] and the retrieval of sperm from  
80 frozen cadavers [9, 10]. Freeze-dried spermatozoa need not be motile after rehydration;  
81 in laboratory mice, zygotes generated using ICSI and freeze-dried sperm can develop  
82 into healthy, full-term offspring [3]. Moreover, mice derived from the freeze-dried  
83 spermatozoa gave rise to first- and second-generation progeny with stable genomes [11].  
84 Many studies have investigated freeze-dried spermatozoa in mammalian species other  
85 than mice and humans. Most of these studies explored whether freeze-dried  
86 spermatozoa from cattle [12–15], dog [16], hamster [17], human [17–21], pig [22, 23],  
87 Rhesus monkey [24], rabbit [20, 25] or rat [26–29] could develop to the pronuclear  
88 stage, the blastocyst stage, and/or to live birth.

89 For assisted reproduction in most species, ICSI must be introduced and improved to  
90 ensure that sperm that become non-motile because of harsh isolation, preservation, or  
91 storage conditions can support normal development, although storage of freeze-dried  
92 mammalian spermatozoa has great potential as an alternative to traditional  
93 nitrogen-based cryopreservation.

94

#### 95 **Evaporative drying versus freeze-drying**

96 Procedures used to freeze-dry mouse sperm usually include a freezing step (1 to 10

97 min) before the sublimation of water in the samples. A lyophilizer is generally used to  
98 sublimate the water. Glass ampoules containing the frozen sperm sample are connected  
99 to the lyophilizer, and a vacuum is applied at an inner pressure of approximately 1 m  
100 Torr for 12 h [3] or 0.04 mbar for 4 h [30]; alternatively, primary drying and subsequent  
101 secondary drying pressures (0.37 mbar and 0.001 mbar, respectively) are applied to the  
102 ampoules [31].

103 Evaporative drying is another method used to prepare dried spermatozoa. Reportedly,  
104 evaporative drying of mouse spermatozoa is an exceptional method for preparing dried  
105 sperm specimens that eliminates the initial freezing step of freeze-drying, which is  
106 likely to injure the spermatozoa [32–35]. This evaporative drying method has been used  
107 primarily for laboratory mice sperm, and the technique has not been optimized for other  
108 mammalian species. Sperm suspension is applied to a glass slide and dried for 5 min at  
109 room temperature under a stream of nitrogen gas; notably, the time required to dry the  
110 sample is much shorter than the sublimation time required for freeze-drying, which is at  
111 least 4 h. Moreover, the equipment required for the evaporative drying is simpler and  
112 cheaper than a lyophilizer [36]. It is unclear whether evaporative drying is superior to  
113 freeze-drying for preserving mammalian spermatozoa, and studies on the long-term  
114 maintenance of the dried spermatozoa preserved without cryostorage will address this  
115 question. Developmental competence of ICSI-derived zygotes varies between  
116 laboratories and/or person doing ICSI. However, assessment of the chromosomal  
117 (DNA) integrity in dried spermatozoa will give us significant information on the ability  
118 of the spermatozoa to produce normal live offspring. Dried spermatozoa must have high  
119 levels of chromosomal (DNA) integrity to support normal development of ICSI-derived  
120 zygotes.

121 **Importance of chromosomal (DNA) integrity in freeze-dried spermatozoa**

122 The freezing, drying, and exposure to vacuum necessary to prepare freeze-dried  
123 samples are harmful to spermatozoa. Chromosomal (DNA) damage is likely to be  
124 induced in the spermatozoa during each step. Therefore, two questions arise: 1) Can  
125 zygotes with paternally transmitted chromosome aberrations develop into live  
126 offspring? 2) Does the chromosomal damage generated in freeze-dried spermatozoa  
127 pose genetic risks to successive generations?

128 Chromosomal damage induced in male germ cells contributes to early  
129 post-implantation death [37]. While, induction of so-called “minor aberrations” [38, 39]  
130 may be rather a serious event from the view point of genetic toxicology. Marchetti et al.  
131 [40] suggested that mouse embryos with a small number (less than 4) of paternally  
132 transmitted chromosome aberrations experienced problems in later embryonic stages.  
133 Mouse zygotes with structural chromosome aberrations generated spontaneously via  
134 ICSI can develop into live offspring carrying chromosome alterations [41, 42].

135 The fate of the structurally aberrant chromosomes has been examined in somatic and  
136 germ cells. Stable structural chromosome aberrations, especially reciprocal  
137 translocations induced by gamma radiation, can persist in mouse bone marrow cells in  
138 vivo for at least 30 days after irradiation [43]. Unbalanced karyotypes with chromosome  
139 aberrations such as deletions or partial trisomy can be derived from chromatid-type  
140 aberrations generated in cultured human lymphocytes [44]. Embryos with reciprocal  
141 translocations originating from mouse spermatozoa exposed to mutagenic compounds  
142 could develop into live offspring [40]. The frequency of embryos with structural  
143 chromosome aberrations originating from mouse spermatozoa exposed to  
144  $\gamma$ -ray-irradiation (2 and 4 Gy) was reduced at the 2-cell stage, but increased at the 4-cell

145 stage [45]. This observation indicated that DNA double-strand breaks persisted in  
146 embryos through the first cell division and new chromosome aberrations formed in  
147 subsequent divisions [45]. Freeze-dried spermatozoa with extremely damaged  
148 chromosomes (multiple aberrations) may inhibit blastocyst formation and  
149 post-implantation development after ICSI. Some zygotes with fewer chromosome  
150 aberrations inherited from freeze-dried spermatozoa are supposed to have the ability to  
151 develop into live offspring. In this case, some types of aberrant chromosomes will be  
152 transmitted to daughter cells (blastomeres). In the other case, some chromatid-type  
153 aberrations may be converted to another aberration type during subsequent cell  
154 divisions. Live offspring produced from spermatozoa with severe chromosomal damage  
155 induced during improper freeze-drying are at high risk for abnormal karyotypes and  
156 specific types of genetic alterations (e.g., microdeletions). Thus, improvement of  
157 chromosomal integrity in freeze-dried spermatozoa is necessary not only for efficient  
158 production of live offspring, but also to maintain the genetic background of the animal  
159 strains being propagated with freeze-dried spermatozoa. Moreover, freeze-dried human  
160 spermatozoa must be free from *de novo* induction of chromosomal damage to prevent  
161 genetic disorders and related diseases.

162

### 163 **Classification of chromosomal damage induced in freeze-dried spermatozoa**

164 Chromosomal integrity in spermatozoa is likely to be adversely affected by  
165 freeze-drying per se and post-freeze-drying storage at room temperature. Types of  
166 chromosomal damage induced in freeze-dried spermatozoa may be classified as primary  
167 chromosome damage (PCD) or accumulated chromosome damage (ACD). PCD is  
168 induced just after freeze-drying. In contrast, ACD arises during post-freeze-drying



169 storage [46]. The types of PCD and ACD reviewed here are DNA damage and/or  
170 aberrant chromatin remodeling [46, 47]. Currently, it is unknown whether PCD and  
171 ACD can include numerical chromosome aberrations because no studies have focused  
172 on these types of aberrations in embryos derived from freeze-dried spermatozoa.  
173 Speculation on the mechanism causing PCD could be as follows. Hamster, human, and  
174 mouse spermatozoa contain an endogenous nuclease that requires  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  for  
175 enzymatic activity [48, 49]. The spermatozoa seemed to have the endogenous nuclease  
176 to cleave their own DNA. Fragmentation of sperm DNA was induced after sperm were  
177 incubated overnight in a medium supplemented with a detergent, Triton X-100. The  
178 DNA fragments were similar in size to those generated by DNase I [49]. However, it is  
179 still unclear why the nuclease was activated by the detergent. Reportedly, DNA  
180 fragmentation was also observed in frozen-thawed human spermatozoa [49] and in  
181 sonicated mouse spermatozoa following storage in culture media [50]; both  
182 observations indicate that the nuclease was activated.

183 Moreover, the PCD were induced severely when spermatozoa that had been  
184 freeze-dried in a standard culture medium containing  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  were microinjected  
185 into oocytes [30]. Electron microscopic examination showed that the sperm plasma  
186 membrane was removed upon treatment with Triton X-100 [51] and ruptured after  
187 freeze-drying [3]. Therefore, nuclei in freeze-dried spermatozoa must be exposed to  
188 high concentration of  $\text{Ca}^{2+}$  via the ruptured plasma membrane because oocytes  
189 subjected to ICSI show normal  $\text{Ca}^{2+}$  oscillations [52]. Thus, it is likely that the  $\text{Ca}^{2+}$ -  
190 and  $\text{Mg}^{2+}$ -dependent nuclease would be activated following damage to the plasma  
191 membrane and the subsequent influx of cations into sperm nuclei.

192 Chromatin of mouse testicular spermatozoa is more vulnerable to freeze-drying than

193 chromatin of epididymal spermatozoa [53]. Induction of PCD in testicular spermatozoa  
194 can be suppressed by treating the spermatozoa with diamide, an oxidizing agent that  
195 forms disulfide bonds (–S–S–) from sulfhydryl (–SH) groups in sperm protamines [53].  
196 In testicular spermatozoa, sperm DNA in SS-poor chromatin will be more exposed to  
197 the  $\text{Ca}^{2+}$ - and  $\text{Mg}^{2+}$ -dependent nuclease than DNA in SS-rich chromatin.

198

### 199 **Suppression of PCD induction**

200 In 2001, it was discovered that a simple chelating solution suppressed PCD during  
201 freeze-drying of mouse spermatozoa. In contrast, PCD was severe in mouse  
202 spermatozoa freeze-dried in culture medium without cryoprotection [30]. Presumably,  
203 the chelating agent is an active component of the solution. The solution is composed of  
204 50 mM sodium chloride (NaCl), 50 mM EGTA (ethyleneglycol-*bis*( $\beta$ -aminoethyl  
205 ether)-*N,N,N',N'*-tetraacetic acid), and 10 mM Tris-HCl (EGTA Tris-HCl buffered  
206 solution: ETBS, pH 8.2-8.4) and is usually used to suspend naked DNA preparation for  
207 molecular biology protocols. The EGTA presumably inhibits the activity of  
208  $\text{Ca}^{2+}$ -dependent nuclease by chelating  $\text{Ca}^{2+}$ , and a modified version of the solution  
209 adjusted to pH 8.0 was also developed [54]. Exclusion of NaCl from the solution may  
210 improve the developmental competence of zygotes derived from the freeze-dried  
211 spermatozoa. One such solution is TE buffer [29, 39]. TE buffer consists of 1 mM  
212 EDTA (ethylenediamine tetraacetic acid) and 10 mM Tris-HCl. The efficacy of specific  
213 chelating solutions for freeze drying of spermatozoa probably differs for different  
214 animal species, and solutions optimized for different species are likely to differ in some  
215 components and the concentrations of shared components. Reportedly, mouse  
216 spermatozoa freeze-dried in TE buffer supported the development of more offspring

217 than those freeze-dried in ETBS [39]. In contrast, boar spermatozoa freeze-dried in the  
218 ETBS seemed to support in vitro development of embryos better than boar spermatozoa  
219 freeze-dried in EDTA-based solutions [23].

220 EDTA is a standard supplement in cell culture media. EDTA binds a wide range of  
221 divalent cations, including  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{Mn}^{2+}$ , and  $\text{Zn}^{2+}$ , but EGTA preferentially binds  
222  $\text{Ca}^{2+}$ . Moreover, EGTA is not an effective chelating agent for  $\text{Zn}^{2+}$ . In human  
223 spermatozoa,  $\text{Zn}^{2+}$  is presumed to play an important role in stabilizing sperm chromatin  
224 structure at ejaculation [55, 56]. Although EGTA chelates  $\text{Ca}^{2+}$  and, therefore, prevents  
225 activation of endogenous  $\text{Ca}^{2+}$ -dependent nuclease in spermatozoa, it does not affect the  
226 zinc status of sperm chromatin. In fact, fertile sperm donors have higher zinc content in  
227 their sperm chromatin than infertile men [55].

228 Mouse spermatozoa can be suspended in modified ETBS and kept in a refrigerator  
229 for 1 week before freeze-drying. The modified ETBS (50 mM EGTA + 100 mM  
230 Tris-HCl), unlike the original ETBS, does not contain NaCl [21]. Mouse spermatozoa  
231 suspended in the original ETBS lose mobility after incubation at 37°C for 10 min,  
232 whereas the majority of spermatozoa suspended in the modified ETBS maintain  
233 mobility after incubation at 37°C for 10 min. The modified ETBS allows for efficient  
234 collection of many motile spermatozoa. The modified ETBS seemed to be less toxic to  
235 mouse spermatozoa than original ETBS, and the modified ETBS can be used for  
236 freeze-drying of mouse cumulus and ES cells [57].

237 Interestingly, induced PCD is very severe in mouse spermatozoa that had been  
238 briefly suspended in modified ETBS just before freeze-drying. However, the level of  
239 PCD decreases as the pre-freeze-drying incubation time in modified ETBS is increased  
240 (up to 1 week at 4°C) (Fig. 1) [21].

241 **Types of chromosome aberrations associated with PCD**

242 PCD is observed in mouse spermatozoa freeze-dried in modified CZB medium [58,  
243 59]. The frequency of each type of chromosome aberration in spermatozoa freeze-dried  
244 in CZB corresponds roughly to that in spermatozoa freeze-dried in modified ETBS  
245 without pre-freeze-drying incubation (Fig. 1). Most of the PCD is due to chromosome  
246 breaks (csb). The frequency of zygotes with other types of aberrations (e.g.,  
247 chromosome exchange (cse), including dicentric chromosomes, ring chromosomes, and  
248 reciprocal translocation.) might be underestimated because the reciprocal translocations  
249 are not readily detected with conventional staining methods. The frequency of zygotes  
250 with csb decreases as the duration of the pre-freeze-drying incubation increases;  
251 consequently, overall levels of PCD decrease (Fig. 1).

252 Recently, Bignold [60] proposed mechanisms for the induction of clastogen-induced  
253 structural chromosome aberrations. The model invoked a failure in DNA-enzyme  
254 tethering during existence of enzyme-created DNA strand breaks. According to the  
255 model, formation of chromosome aberrations initiates with DNA double-strand breaks  
256 (DSBs) created by DNA-repair enzyme before DNA synthesis.

257 It is important to determine whether the chromosome breaks associated with PCD  
258 formed from DSBs generated in sperm DNA directly by freeze-drying. The origin of  
259 PCD can be assessed using single cell gel electrophoresis assay (comet assay) [46]. The  
260 comet assay is a well-known technique used to detect DNA damage in situ. The  
261 standard comet assay includes an alkali treatment of cells embedded in agarose gel on  
262 glass slides; this alkali treatment unwinds DNA, and the cells are then subjected to  
263 electrophoresis in an alkaline solution (pH 13 or higher). A modified version, the comet  
264 assay with the A/N protocol, consists of alkaline DNA unwinding and electrophoresis at

265 neutral pH [61–63]. The alkaline comet assay reveals single-strand breaks (SSBs),  
266 DSBs, and alkaline-labile sites (ALS) in DNA in somatic and germ cells [64–66].  
267 Another version, called the neutral comet assay, reveals primarily DSBs; the  
268 electrophoresis is performed at neutral pH and without the alkali pre-treatment [67, 68].

269 The comet assay with the A/N protocol revealed significant DNA migration in  
270 freeze-dried spermatozoa indicating PCD, but the neutral comet assay did not reveal any  
271 damage [46]. According to hypothetical explanation for the induction of PCD,  
272 endogenous nuclease like DNase I, mentioned previously, might create “nicks” (i.e.,  
273 SSB) in sperm DNA before or just after microinjection of freeze-dried spermatozoa into  
274 the oocytes. Immediately after SSB creation, an as-yet unidentified enzyme would  
275 convert the SSBs to DSBs before DNA synthesis. Enzymes such as  
276 single-strand-specific nuclease (e.g., S1 nuclease) might cleave single-stranded DNAs at  
277 the SSBs (Fig. 2).

278

### 279 **Accumulated chromosome damage (ACD)**

280 Chelating solutions used for freeze-drying sperm play an important role in  
281 suppressing the induction of PCD. Mouse spermatozoa freeze-dried in the modified  
282 ETBS seemed to have better chromosomal integrity than those freeze-dried in the  
283 original ETBS when stored at 4°C and 25°C for up to 3 months (Fig. 3). Unfortunately,  
284 neither the original ETBS nor modified ETBS seem to inhibit the accumulation of DNA  
285 damage in freeze-dried mouse spermatozoa stored at room temperatures. DNA damage  
286 in the freeze-dried spermatozoa, i.e. accumulation of as-yet unknown DNA  
287 modifications during storage, is referred to as accumulated chromosome damage (ACD).  
288 Identification of the causes of ACD should lead to vast improvement in the

289 developmental competence of oocytes injected with freeze-dried spermatozoa stored at  
290 room temperature.

291 Recent findings [46] suggest that chromosome breaks were the most common type of  
292 ACD (Fig. 4). However, when mouse oocytes were injected with spermatozoa  
293 freeze-dried in original ETBS, the frequency of chromatid exchange (the number of  
294 chromatid exchanges per zygote) increased after storage of sperm for 1 to 4 months at  
295 22–24°C. Induction of chromatid exchanges seemed to be enhanced by heat-stress  
296 (50°C, 1 to 5 days) (Fig. 4). DNA damage in sperm induced by heat-stress was not  
297 detected using the neutral comet assay, but it was detected using the comet assay with  
298 the A/N protocol [46]. The type of damage induced directly in sperm DNA would be not  
299 the DSBs that are responsible for the induction of chromosome breaks resulting in  
300 chromosomal aberrations. SSBs or other types of DNA lesions, not including DSB,  
301 were probably associated with the formation of chromatid exchanges after DNA  
302 replication (Fig. 2b). These chromatid exchanges, also called quadridradials, are thought  
303 to form by the rejoining of two SSBs generated in two different chromosomes. Most of  
304 the SSBs created in sperm DNA will convert to DSBs via repair and/or replication  
305 enzymes present in the oocytes cytoplasm [69] to form the chromosome breaks that  
306 cause ACD. However, higher temperatures may induce steric alterations in the sperm  
307 chromatin or DNA [70, 71]. These hypothetical steric alterations in sperm DNA or  
308 chromatin could interfere with the binding of specific proteins (or enzymes) that are  
309 required for chromosome condensation [72] and with the conversion of SSBs to DSBs.  
310 The chromatid exchanges would form from the SSBs that persisted until the DNA  
311 replication stage (Fig. 2) [46].

312

### 313 **Developmental competence of mouse spermatozoa preserved without cryostorage**

314 The maximum time that freeze-dried spermatozoa can be stored and maintain the  
315 ability to support normal development of embryos, fetuses, and live offspring was  
316 estimated by several groups. Freeze-dried mouse spermatozoa can be stored indefinitely  
317 at  $-80^{\circ}\text{C}$  without deterioration [73]. Li et al. [34] estimated that 90% of mouse  
318 spermatozoa preserved by evaporative drying lost the ability to produce offspring after  
319 storage at  $-80^{\circ}\text{C}$  for 173 weeks (3.6 years) or storage at  $4^{\circ}\text{C}$  for 20 weeks (5 months).  
320 In contrast, other groups demonstrated that freeze-dried spermatozoa had no decline in  
321 the ability to support post-implantation development of zygotes during at least 1 year of  
322 storage at  $4^{\circ}\text{C}$  [74]. After 1.5 years of storage at  $4^{\circ}\text{C}$ , freeze-dried sperm were used to  
323 generate a sufficient number of healthy progeny to establish a breeding colony [74]. In  
324 addition, mouse spermatozoa freeze-dried in modified ETBS retained the ability to  
325 support development of normal fetuses when preserved at  $4^{\circ}\text{C}$  for up to 12 months (Fig.  
326 5a) [21]. Nonetheless, there is no evidence that freeze-dried spermatozoa can be  
327 preserved indefinitely at  $4^{\circ}\text{C}$ . Freeze-dried spermatozoa deteriorate to a greater or lesser  
328 degree with increasing storage time, though the time that sperm maintain their integrity  
329 in storage differs between the protocols used to dry the spermatozoa (e.g., pressure and  
330 time for vacuuming, medium for suspending spermatozoa, size of vials, lyophilizer).

331 How long can freeze-dried spermatozoa be preserved at room temperature?  
332 Freeze-dried spermatozoa stored for 1 month at  $25^{\circ}\text{C}$  were less able to support  
333 development of normal live offspring than those stored for 3 months at  $4^{\circ}\text{C}$  [3].  
334 Moreover, freeze-dried mouse spermatozoa stored at  $24^{\circ}\text{C}$  for 5 months did not produce  
335 offspring [75]. Kawase et al. [73] estimated that mouse oocytes injected with

336 freeze-dried mouse spermatozoa stored at 25°C for 1 month seldom developed into  
337 blastocysts. Most mouse spermatozoa preserved by evaporative drying and stored at  
338 22°C for 1 month lost the ability to support the development of blastocysts [33, 34]. In  
339 contrast, a low proportion (11%) of 2-cell embryos derived from mouse spermatozoa  
340 freeze-dried in modified ETBS and stored for 12 months at 25°C developed into normal  
341 day-18 fetuses (Fig. 5b). Further improvement in the solutions used during  
342 freeze-drying may help in preventing or delaying the decline of chromosomal integrity.

343 Some studies analyzed the developmental competence of oocytes injected with  
344 unfrozen spermatozoa stored at room temperature. Mouse epididymal spermatozoa  
345 stored for 7 days at 22°C in TYH medium [76] lost motility, plasma membrane integrity,  
346 and acrosome integrity [77]. However, some oocytes fertilized in vitro by the  
347 spermatozoa stored for up to 3 days did develop into normal fetuses [77]. Van Tyuan et  
348 al. [78] reported that developmental competence of mouse oocytes microinjected with  
349 mouse spermatozoa stored at 27°C in KSOM medium containing amino acids and BSA  
350 [79] declined as the sperm storage period increase to 15 days, at which point the  
351 developmental competence reached zero. Spermatozoa taken from whole cauda  
352 epididymidis that had been preserved for 1 year at room temperature in powdered NaCl  
353 could activate oocytes [80]; however, most of these spermatozoa failed to support the  
354 development of zygotes into morula or blastocysts when the sperm were stored for 1  
355 week to 1 month after isolation [80]. Sperm deterioration was never stopped by  
356 freeze-drying and any of the methods mentioned above other than freeze-drying.

357 Based on these studies, the dehydration, freeze-drying, and evaporative drying  
358 methods used to preserve mouse spermatozoa do not effectively prepare the  
359 spermatozoa for more than 1 month of storage at room temperature.



360 **Heat-resistant nature of sperm-born oocyte-activating factor(s) in freeze-dried**  
361 **mouse spermatozoa**

362 What do unfrozen spermatozoa lose during storage at ambient or higher  
363 temperatures? The majority of spermatozoa preserved in ETBS for 9 days at room  
364 temperatures (22–24°C) lose the ability to activate oocytes [81]. Some spermatozoa  
365 preserved in KSOM medium with amino acids and BSA and stored at 27°C maintain the  
366 ability to activate oocytes for a few weeks [78]. Perry et al. [82] demonstrated that  
367 mouse spermatozoa suspended in NIM medium lose the ability to activate oocytes if the  
368 spermatozoa are incubated at temperatures over 44°C for 30 min. Mouse spermatozoa  
369 incubated at 56°C (a temperature that inactivates HIV) for 30 min cannot activate  
370 oocytes [83]. In contrast, freeze-dried mouse spermatozoa heated continuously at 50°C  
371 for up to 7 days maintained the ability to activate oocytes [73]. Liu et al. [52]  
372 demonstrated that most oocytes microinjected with freeze-dried bovine spermatozoa  
373 heated at 56°C for 15 min exhibited a normal pattern of calcium oscillations.  
374 Sperm-borne oocyte-activating factor(s) (SOAF) [51] are likely to acquire heat  
375 resistance after freeze-drying, but we have no information on the inner temperatures of  
376 the glass ampoules that are vacuum-sealed after freeze-drying. At room temperature, the  
377 SOAF in freeze-dried spermatozoa may not be destroyed even after long-term  
378 preservation (>5 months) [39]. The most likely candidate for the SOAF is protein-based  
379 and sperm-specific, phospholipase C zeta [84–86]. Higher order structures composed of  
380 protein molecules should readily denature as temperatures rise. Thus, the characteristic  
381 of water-free sperm sample preserved under a vacuum is not well established.

382

383 **Gamma-ray-resistance of freeze-dried mouse spermatozoa**

384 It will be necessary to examine the effect of physical circumstances such as  
385 ultraviolet light, ionizing and non-ionizing radiation to deteriorate freeze-dried  
386 spermatozoa. We reported that chromosomes of mouse spermatozoa freeze-dried in  
387 ETBS were more resistant to  $\gamma$ -ray-irradiation (up to 8 Gy) than those of the  
388 spermatozoa suspended in ETBS [87]. This means that no significant difference of  
389 chromosomal integrity was observed between freeze-dried spermatozoa that had been  
390 exposed to  $\gamma$ -ray-irradiation and those that had not been exposed to the irradiation [87].  
391 The resistance to ionizing irradiation may be a very important to maintaining the  
392 integrity of mammalian genomes during long-term storage of gametes as sperm  
393 preservation techniques advance.

394

395 **Chromosomal integrity of freeze-dried human spermatozoa**

396 To preserve the fertility of male patients undergoing cancer treatments; patients'  
397 spermatozoa are often cryopreserved in liquid nitrogen before chemo- and radiation  
398 therapies. Potentially, some spermatozoa can be freeze-dried and stored as a secondary  
399 stock to be used in the case of failure of the cryostorage facility. However, pilot studies  
400 to determine the proper protocol for freeze-drying human spermatozoa require many  
401 oocytes from laboratory animals, and there is little or no report on the relationship  
402 between developmental competency and chromosomal (DNA) integrity of freeze-dried  
403 human spermatozoa. Rudak et al. [88] directly analyzed human sperm chromosomes  
404 following in vitro fertilization of golden hamster oocytes with the fresh spermatozoa. In  
405 1976, Uehara and Yanagimachi [19] demonstrated that freeze-dried human spermatozoa  
406 retain the ability to form sperm and oocyte pronuclei after injection into hamster

407 oocytes. Katayose et al. [17] demonstrated that freeze-dried human and hamster  
408 spermatozoa stored at 4°C between 6–12 months retain the ability to form sperm  
409 pronuclei after injection into hamster oocytes. In contrast, freeze-dried spermatozoa  
410 stored at 25°C retain the ability to form pronuclei for no more than 2 weeks of storage.  
411 These experiments indicated that pronuclear formation could be used as an assay in  
412 studies investigating the effects of preservation techniques and storage temperatures on  
413 damage accumulation in freeze-dried human spermatozoa. Hoshi et al. [20] reported  
414 that there was no significant difference between sperm pronuclear formation rates in  
415 hamster oocytes injected with freeze-dried (85%) and non-freeze-dried human  
416 spermatozoa (89%). These findings indicated that human oocytes injected with  
417 freeze-dried human spermatozoa may have the potential to develop into embryonic  
418 stages past the pronuclear stage. In contrast, freeze-dried human spermatozoa  
419 deteriorate within 2 weeks of their preservation when stored at ambient temperatures  
420 and are unable to support pronuclear formation.

421 To analyze human chromosomes without confusing chromosomes of mouse oocytes,  
422 freeze-dried human spermatozoa were injected into enucleated mouse oocytes [21]. In  
423 the protocol followed to freeze-dry the spermatozoa, a semen sample is allowed to  
424 liquefy at 37°C for 30 min, and then a 0.5 ml aliquot is carefully placed at the bottom of  
425 a small test tube containing 2 ml of modified ETBS pre-warmed to 37°C. Under these  
426 conditions, most mouse and human spermatozoa swim into the modified ETBS and  
427 remain motile for 10 min following the initiation of swimming. In contrast, mouse  
428 spermatozoa that swim into original ETBS stop moving within 10 min [30]. Therefore,  
429 modified ETBS may be superior to original ETBS for collection of the motile human  
430 spermatozoa prior to freeze-drying. Furthermore, the pre-freeze-drying incubation in

431 modified ETBS is unnecessary for suppressing the induction of PCD in freeze-dried  
432 human spermatozoa. It may be that EGTA penetrates human sperm nuclei more readily  
433 than mouse sperm nuclei.

434 Chromosome analysis of enucleated oocytes injected with human spermatozoa  
435 freeze-dried without pre-freeze-drying incubation demonstrated that 86–92% of the  
436 sperm-injected oocytes reached metaphase of the first mitosis [21]. These rates are  
437 similar to the rate (89.4%) previously reported for enucleated mouse oocytes injected  
438 with fresh human spermatozoa [89]. Of the sperm-injected oocytes reached metaphase,  
439 91.1% possessed normal chromosome constitution [21]. This level of chromosomal  
440 integrity is almost same as background levels (roughly, 86–95%) reported for IVF using  
441 golden hamster oocytes and fresh human spermatozoa [90–93] and for ICSI of  
442 morphologically normal spermatozoa obtained from fertile or healthy men into mouse  
443 oocytes [94–97]. Moreover, results of multicolor multi-chromosome FISH analysis in  
444 human spermatozoa indicated that advanced male age increases the frequency of  
445 structural chromosome aberrations in sperm nuclei [98]. The overall mean frequency of  
446 spermatozoa with aberrations is 5.8%, and the aberrations are limited to structurally  
447 unbalanced rearrangements. Therefore, PCD induced in human spermatozoa is  
448 negligible as long as the spermatozoa are freeze-dried properly.

449

#### 450 **Freeze-drying and human spermatozoa with large vacuoles**

451 The morphology of the freeze-dried spermatozoa may be important when selecting a  
452 spermatozoon for ICSI. The presence of large vacuoles in human spermatozoa has been  
453 noted for many years. In 1973, Bedford et al. [99] found a vacuole-like structure in  
454 human sperm heads that decondensed upon treatment with SDS and DTT. Berkovitz et

455 al. [100] reported that microinjection of vacuolated spermatozoa into oocytes reduced  
456 the pregnancy rate and was associated with early spontaneous abortion. Perdrix et al.  
457 [101] demonstrated that numerical chromosome aberrations and chromatin condensation  
458 defects occurred more frequently in teratozoospermic spermatozoa with large vacuoles  
459 than in those without large vacuoles. In addition, the presence of the large vacuoles in  
460 spermatozoa seems to be correlated with DNA fragmentation [102]. Human  
461 spermatozoa without large vacuoles can be selected in real time for assisted fertilization  
462 using morphologically-selected sperm for injection; this procedure has been named  
463 intracytoplasmic morphologically-selected sperm injection (IMSI). Reportedly, IMSI  
464 can also be used to select spermatozoa without aneuploidy [103]. In contrast, frequency  
465 of day 2 embryos derived from human spermatozoa showed no significant difference  
466 between conventional ICSI and IMSI [104]. Moreover, the presence of large vacuoles in  
467 sperm was correlated with induction of structural chromosome aberrations or DNA  
468 damage in fertile donors or fertile patients [105].

469 While some reports suggested that the vacuolated spermatozoa were correlated with  
470 chromosomal abnormalities in sperm, there is no direct evidence that large vacuoles  
471 partially or exclusively cause the chromosomal or DNA damage. It is unknown whether  
472 levels of PCD and ACD are higher in freeze-dried spermatozoa with large vacuoles than  
473 those with small or no vacuoles. In addition, it may be important to determine how the  
474 chromosomal integrity of freeze-dried human spermatozoa from fertile donors differs  
475 from freeze-dried spermatozoa from infertile patients and how chromosomal integrity  
476 differs between semen samples consisted of vacuole-rich and vacuole-poor sperm  
477 populations.

478

479 **Conclusion**

480 Examination of the chromosomal integrity of freeze-dried human and mouse  
481 spermatozoa may play an important role in improving the developmental competence of  
482 zygotes derived from these spermatozoa. PCD in mouse spermatozoa induced by  
483 freeze-drying can be suppressed by suspending the spermatozoa in chelating solutions  
484 prior to freeze-drying. In contrast, no current method can suppress ACD in freeze-dried  
485 mouse spermatozoa during post-freeze-drying storage, especially during storage at room  
486 temperature. Mouse fetuses were produced using freeze-dried mouse spermatozoa  
487 stored at 25°C for up to 1 year; however, increases in the rate of implantation loss  
488 indicated that ACD in spermatozoa occurred during storage. Moreover, the fetuses  
489 produced with freeze-dried spermatozoa subjected to long-term storage may have higher  
490 risks of genetic alterations. A better understanding the causes of ACD is an important  
491 first step in suppressing or preventing ACD in freeze-dried spermatozoa.

492 Freeze-drying may become available for preserving human spermatozoa in the future.  
493 Currently, however, we lack sufficient information on ACD in freeze-dried human  
494 spermatozoa. Moreover, whether chromosomal integrity of freeze-dried human  
495 spermatozoa differs between the spermatozoa with and without vacuoles is unknown.  
496 Therefore, we need to consider that freeze-dried spermatozoa stored for long-term  
497 periods may increase the risk of genetic alteration transmittable to newborns.

498

499 **Acknowledgement**

500 This study was partially supported by Grant-in Aid for Scientific Research from the  
501 Ministry of Education, Culture, Sports, Science and Technology of Japan (1668120 to  
502 H.K.), and by The Akiyama Foundation (to H.K.).

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815 **Legends of figures**

816 Figure 1

817 Primary chromosome damage (PCD) induced after freeze-drying of mouse  
818 spermatozoa. Spermatozoa freeze-dried in modified CZB (mCZB) and modified ETBS  
819 (mETBS) were stored at 4°C for up to 63 days [30] or up to 14 days [21], respectively.  
820 The PCD decreases as pre-freeze-drying incubation time in the mETBS increases.  
821 Abbreviations, csb: chromosome break; cse: chromosome exchange; ctb: chromatid  
822 break; cte: chromatid exchange. Aberrations such as chromosome fragmentation and  
823 multiple aberrations (10 or more aberrations per zygote) that could not be counted were  
824 excluded from the data set.

825

826 Figure 2

827 Schematic diagrams of hypothetical explanations of primary chromosome damage  
828 (PCD) and accumulative chromosome damage (ACD) in freeze-dried mouse  
829 spermatozoa. (a) A DNA single strand break (SSB) was probably created by enzymatic  
830 action before or immediately after intracytoplasmic sperm injection (ICSI) and resulted  
831 in initiation of PCD. (b) Unidentified DNA lesions other than SSBs may accumulate in  
832 DNAs of freeze-dried spermatozoa during their storage. Some of SSBs created at  
833 lesions may not be converted to DNA double strand breaks (DSBs). The SSBs that  
834 persisted until DNA replication stage may be responsible for the formation of  
835 chromatid-type aberrations.

836

837 Figure 3

838 Chromosomal integrity of zygotes derived from mouse spermatozoa freeze-dried in

839 ETBS (a) and modified ETBS (b). Frequency of zygotes with normal chromosome  
840 constitution was expressed as the integrity per freeze-dried sample. Freeze-dried  
841 spermatozoa were preserved at 4°C (○) or room temperatures of 22–24°C (●) (a) and at  
842 4°C (○) or 25°C (●) (b).

843

844 Figure 4

845 Accumulated chromosome damage (ACD) in freeze-dried mouse spermatozoa. The  
846 spermatozoa freeze-dried in ETBS and modified ETBS (mETBS) were stored at  
847 room temperature or at 50°C. When using mETBS, the spermatozoa were  
848 freeze-dried after pre-freeze-drying incubation in mETBS at 4°C or 25°C for 3 to 7  
849 days [46]. Abbreviations, csb: chromosome break; cse: chromosome exchange; ctb:  
850 chromatid break; cte: chromatid exchange. Aberrations such as chromosome  
851 fragmentation and multiple aberrations (10 or more aberrations per zygote) that could  
852 not be counted were excluded from the data set.

853

854 Figure 5

855 Post-implantation development of mouse (B6D2F<sub>1</sub>) oocytes microinjected with  
856 mouse (B6D2F<sub>1</sub>) spermatozoa freeze-dried after pre-freeze-drying incubation in  
857 modified ETBS at 4°C for 5 to 7 days (a) [21] and 25°C for 4 to 7 days (b)  
858 (unpublished). Post-freeze-drying samples were stored for 3 and 12 months at the same  
859 temperatures as the pre-freeze-drying incubation. The embryos were transferred into  
860 CD-1 females (albino) on the first day of pseudopregnancy after being mated with  
861 vasectomized CD-1 males (albino). Number of implants (white bars) is consistent with

862 total number of normal fetuses (black bars) and resorption sites examined on 14-day or  
863 18-day gestation.

864 \*Significantly different ( $P < 0.05$ ) from the data obtained from the spermatozoa  
865 preserved for 3 months by  $\chi^2$  comparison.

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Figure 1

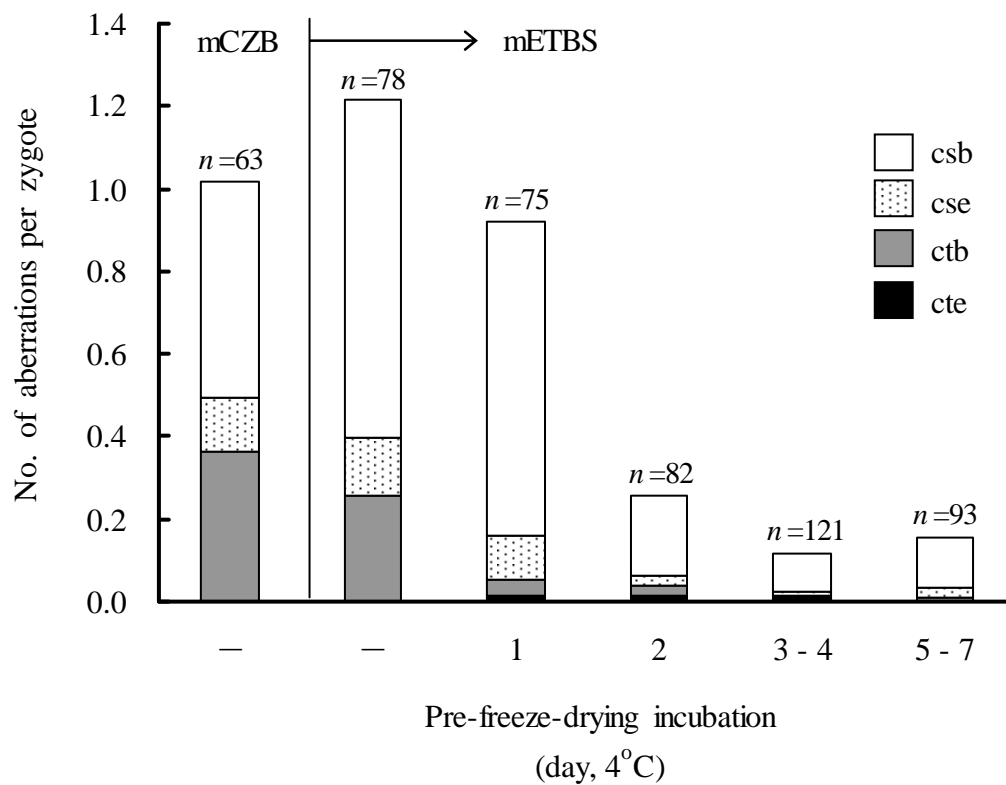


Figure 2

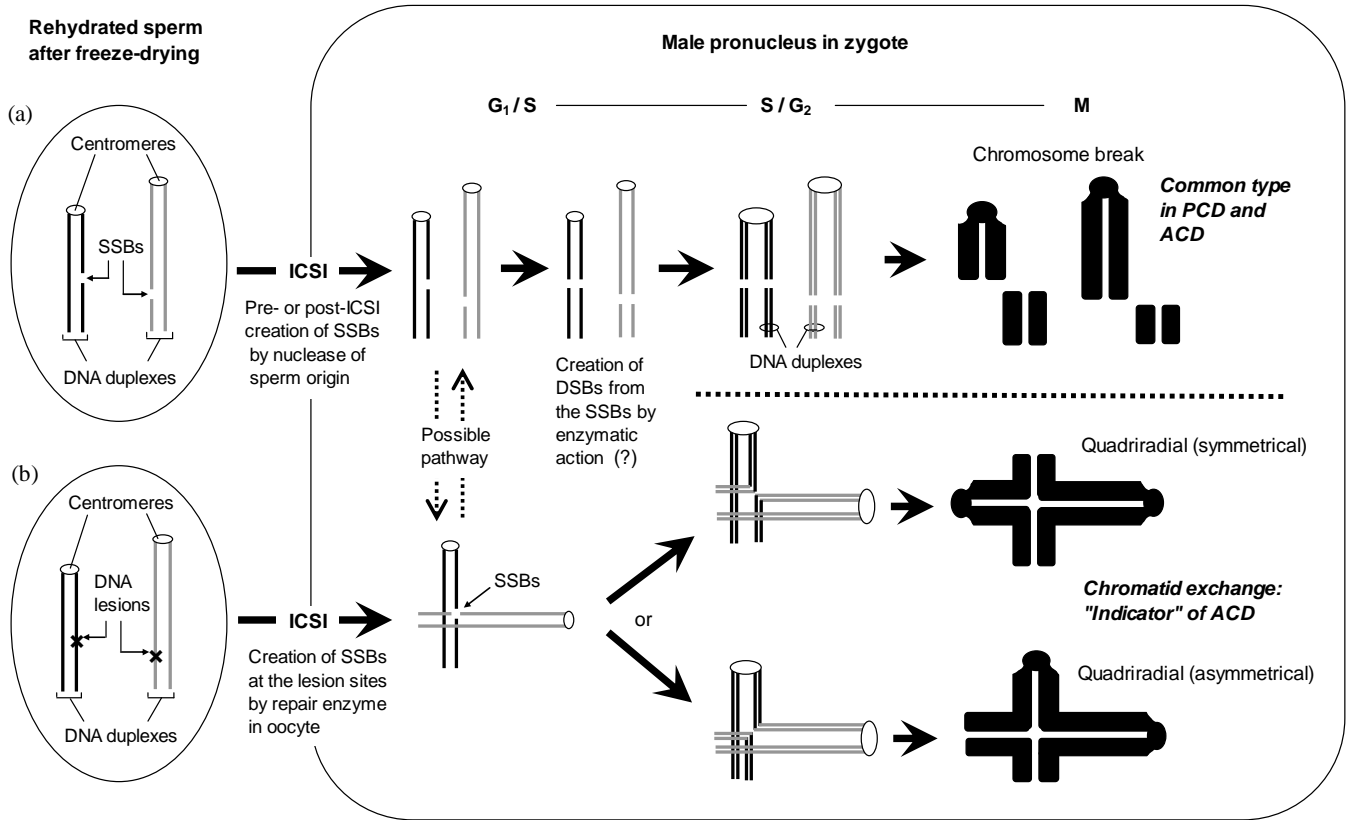
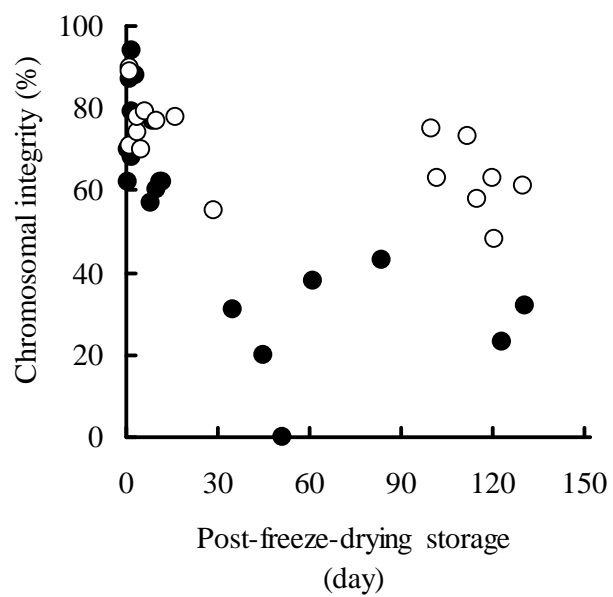




Figure 3

(a) ETBS



(b) mETBS

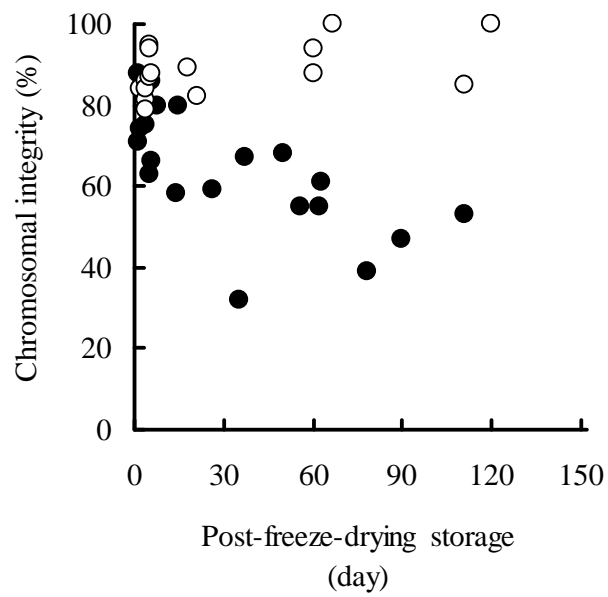


Figure 4

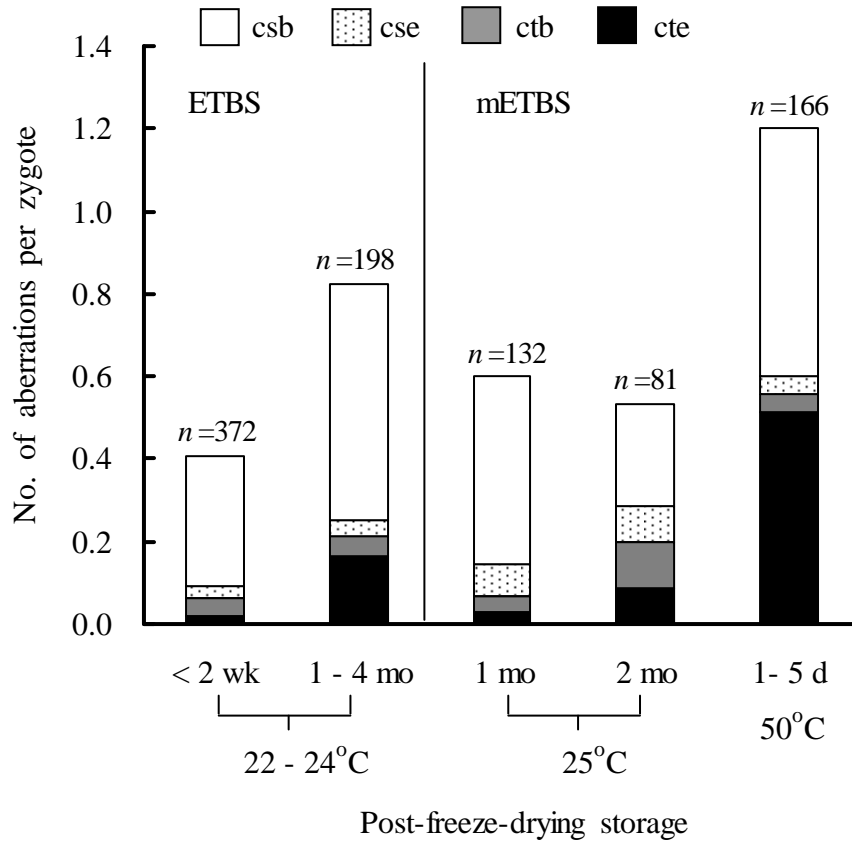


Figure 5

