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# Breaking the Bottleneck: Automating the Reconciliation of Named Entities to the Library of Congress Name Authority File

Carruthers, Matthew

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# Breaking the Bottleneck

Automating the Reconciliation of Named Entities to the Library of Congress Name Authority File

Jenn Wright and Matt Carruthers
University of Michigan Library



# **Authority Control Overview**

- What it is:
  - We send Backstage lists of headings connected to new records we acquire
    - About 5,000 records on average sent to Backstage per week
  - They run comparisons against their authority files and make as many changes as they can
    - They match about 80% of the records we send them
  - They send those without matches (the leftovers) back to us
- Questions we ask:
  - Are there any authority records for this heading?
  - If so, do we have that authority record?
    - If not, import it
  - Do any of our headings need to be changed (conformed) to match the heading established as the preferred heading in the authority record?



# How It Works: Backstage

Backstage Authority Control Report: R07 - Unmatched Primary Headings
Report Type: Names

Created for:
University of Michigan

Created on:
January 29 2013

The fields in this report include a primary heading which did not match an established heading or cross reference in any authority record. The primary heading in a field includes different subfields depending on the heading type. For example, the primary heading in a Personal Name (x00) heading includes the \$a subfield plus any \$c, \$q, or \$d subfields present. For subject (6xx) headings, only authority records in the related national authority file (and internal BSLW authority files) are checked for matching records. For example, a Topical Subject (650) heading with a second indicator zero is only matched against the LC Subject Authority File unless special processing is requested.

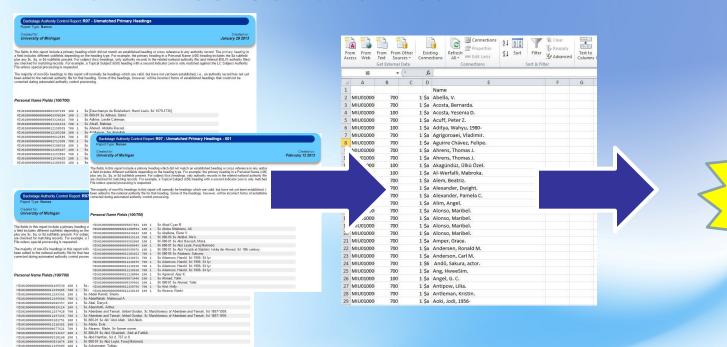
The majority of non-65x headings in this report will normally be headings which are valid, but have not yet been established, i.e., an authority record has not yet been added to the national authority file for that heading. Some of the headings, however, will be incorrect forms of established headings that could not be corrected during automated authority control processing.

### Personal Name Fields (100/700)

```
$a [Deschamps de Boishébert, Henri Louis, $d 1679-1736].
MIU010000000000000003287189 100
                                       $6 880-01 $a Adham, Sāmī,
MIU01000000000000002990284 100
                                       $a Adkins, Leslie Coleman,
MIU010000000000000003324416 700
                                       $a Afzali, Mahnaz
MIU01000000000000012161434 700
                                       $a Ahmed Abdulla Rasool
MIU01000000000000012158555 700 1
                                       $a Al Mamun, Tm Abdullah.
MIU01000000000000012185208 100
                                       $6 880-05 $a Aldakaeva, N. G.
MIU010000000000000009612589 700
                                       $a Alessandri, Luigi,
MIU010000000000000002713305 700
                                       $a Almada Andreas d'
MIU01000000000000003288928 100
                                       $a Anderson, Latham,
MIU010000000000000012185407 100
                                       $a Andrade, Fernando Grostein, $4 drt $4 cng $4 pro
MIU010000000000000012153856 700
                                       $a Ansaloni, Enrico, $d 1977-
MIU010000000000000011934615 100
                                       $a Arifin, Svarifuddin, $d 1956-
MIU010000000000000012186656 100 1
```



## How It Was: Combine Files







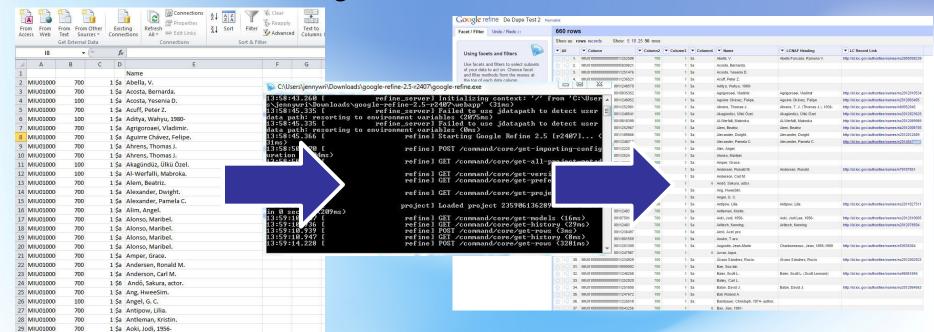
# How It Was: Problems

### Is there an authority record?

MIU01000000000000009355132	700	1 \$a	Beklemishev, Lev.
MIU0100000000000009187801	100	1 \$a	Benjamin, Richard.
MIU0100000000000009356462	100	1 \$a	Beraud, Pierre.
MIU0100000000000009459420	100	1 \$a	Bergheaud, Lise.
MIU0100000000000005237905	100	1 \$a	Bergner, Thomas.
MIU01000000000000009613856	700	1 \$a	Bermúdez, Daniel, 1950-
MIU0100000000000009617467	700	1 \$a	Berquin, Armand.
MIU01000000000000009612951	100	1 \$a	Berthier de Grandry, Frédéric de.
MIU0100000000000009476003	700	1 \$a	Bettella, Mario.
MIU0100000000000009617734	700	1 \$a	Bibke, John,\$eformer owner.
MIU0100000000000009523988	700	1 \$a	Bickeem, Ramdasha.
MIU0100000000000009460249	100	1 \$a	Bierlein, Ulrike
MIU01000000000000007095104	700	1 \$a	Bijelić, Severin, 1921-1970
MIU0100000000000005642913	700	1 \$a	Bišrī, Salīm al-
MIU0100000000000009620381	700	1 \$a	Bizer, Christian.

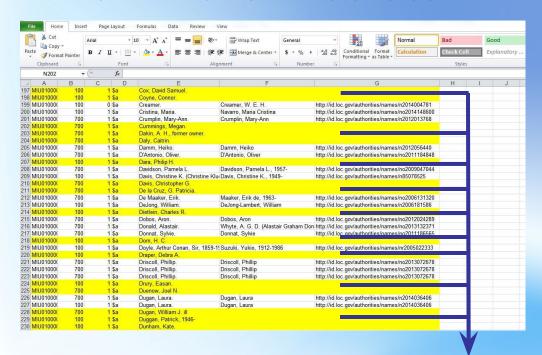


# How It Works: GoogleRefine





### How It Works: Efficiencies



No authorities?

No problem!

Just move them on down to the end of the spreadsheet.

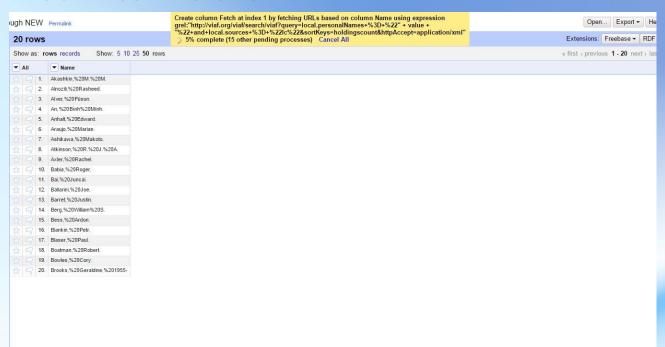
They'll contribute to a pool of candidates waiting for NACO authority record creation.



### Behind the Scenes:

- Google Refine automatically searches the Virtual International Authority File (VIAF) for matching headings from the Library of Congress Name Authority File
- It then returns any matching headings and links to corresponding records

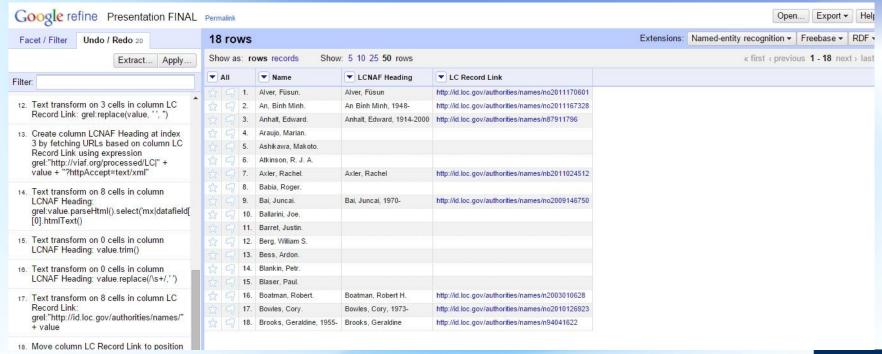




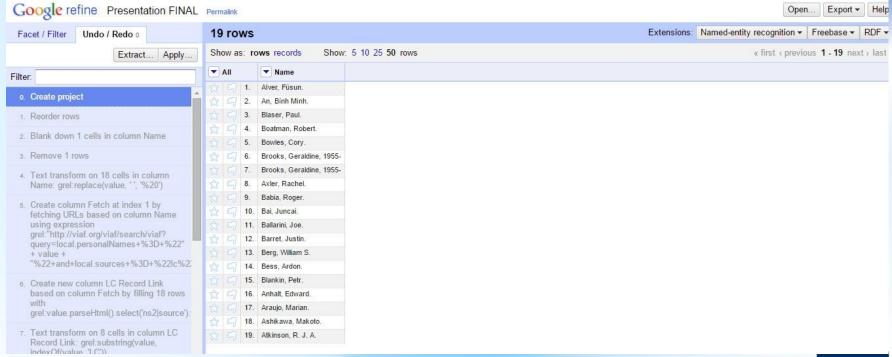




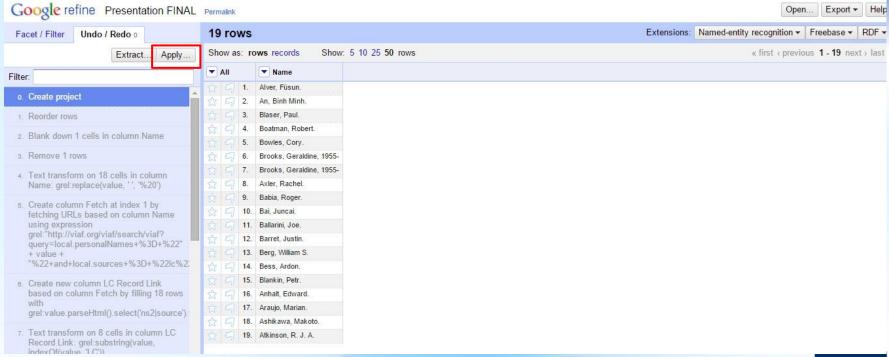




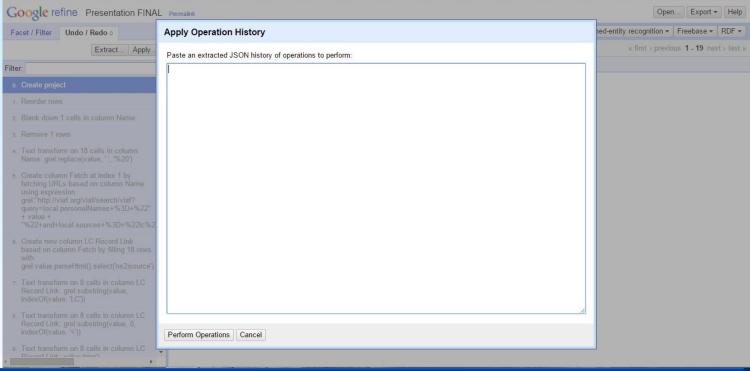




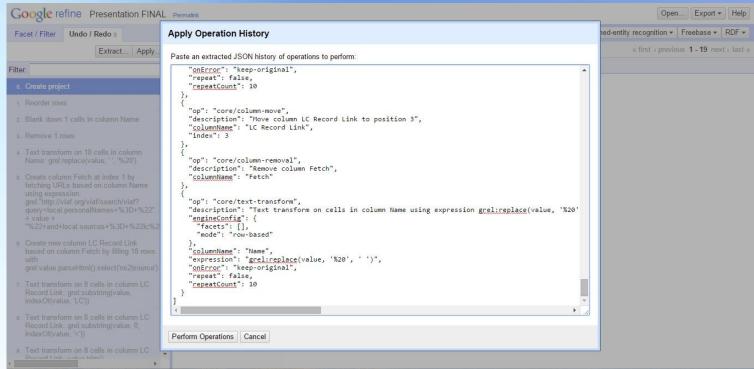




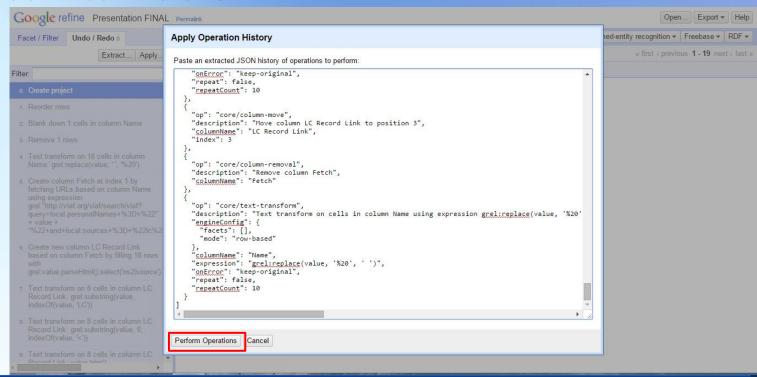




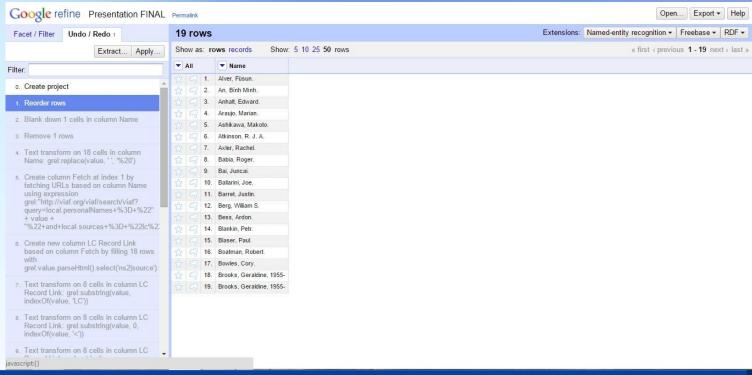




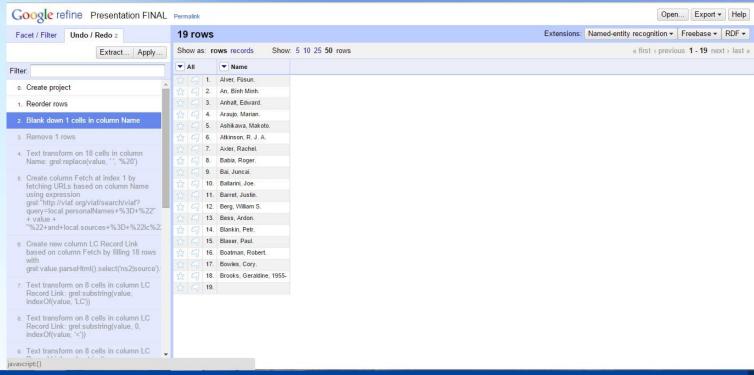




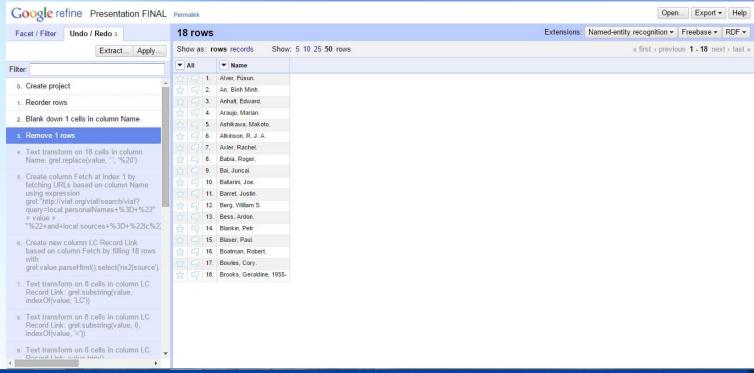




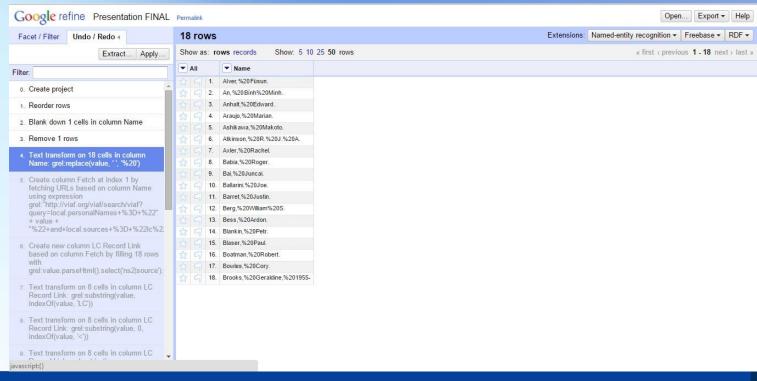




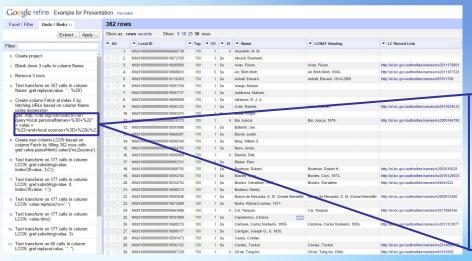








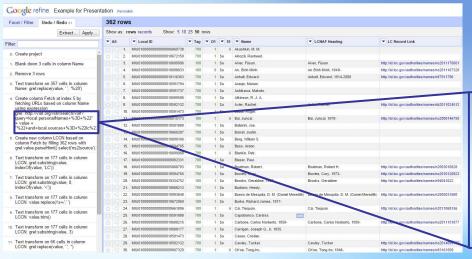




### First Query for VIAF API:

"http://viaf.org/viaf/search/viaf?
query=local.personalNames+%
3D+%22" + value + "%
22+and+local.sources+%3D+%
22lc%
22&httpAccept=application/xml"





First Query for VIAF API:

"http://viaf.org/viaf/search/viaf?
query=local.personalNames+%

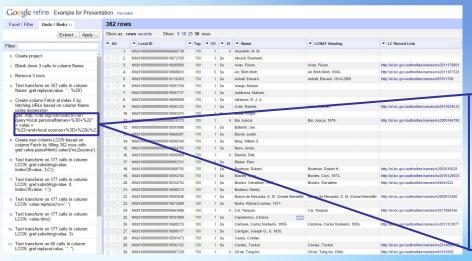
3D+%22" + value + "%

22+and+local.sources+%3D+%

22lc%

22&httpAccept=application/xml"

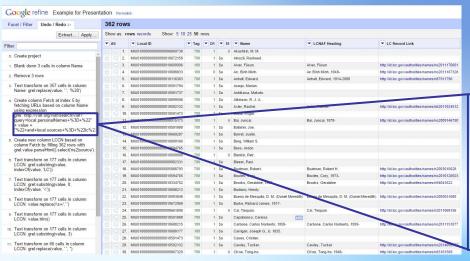




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3D+%22" + value + "%
22+and+local.sources+%3D+%
22lc%
22&httpAccept=application/xml"
```

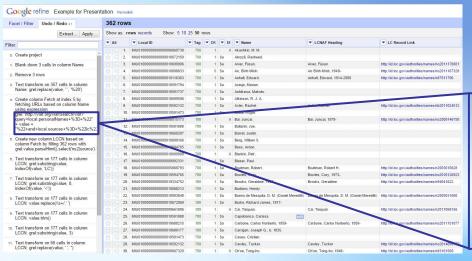




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3D+%22" + value + "%
22+and+local.sources+%3D+%
22lc%
22&httpAccept=application/xml"
```

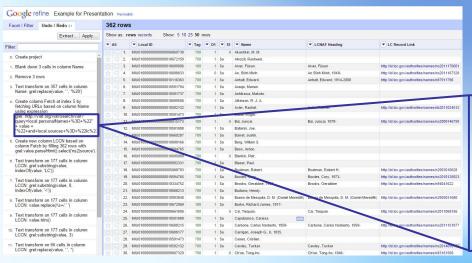




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3D+%22" + value + "%
22+and+local.sources+%3D+%
22lc%
22&httpAccept=application/xml"
```

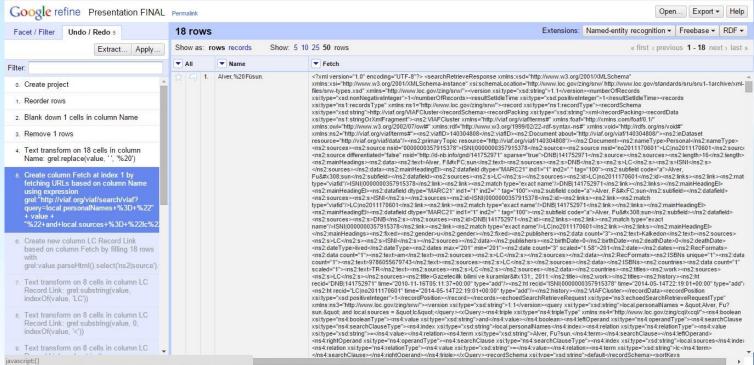




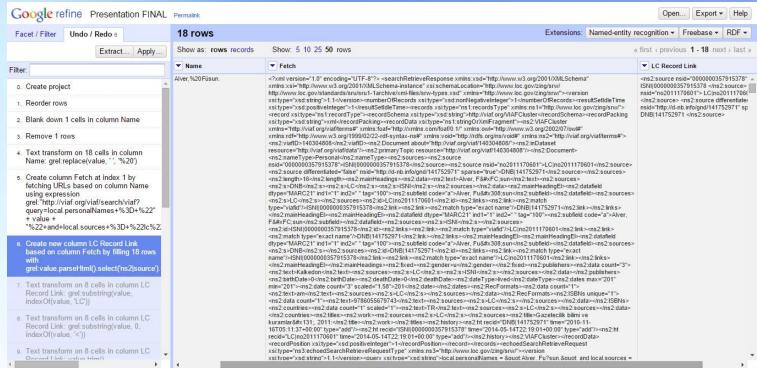
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"http://viaf.org/viaf/search/viaf?
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3D+%22" + value + "%
22+and+local.sources+%3D+%
22lc%
22&httpAccept=application/xml"

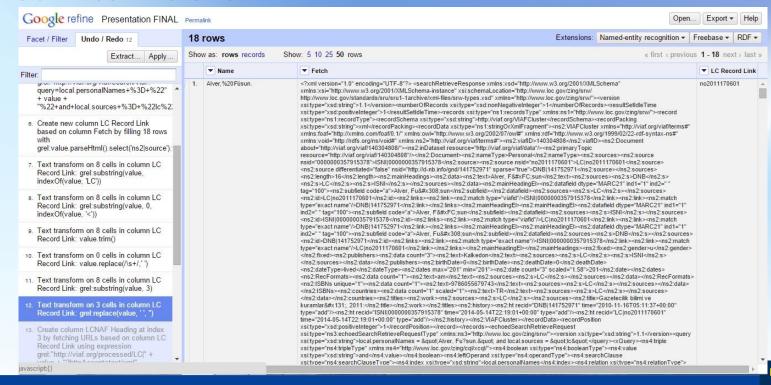


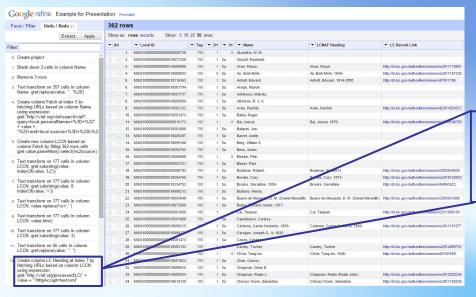






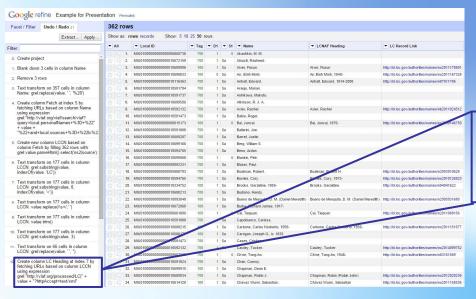






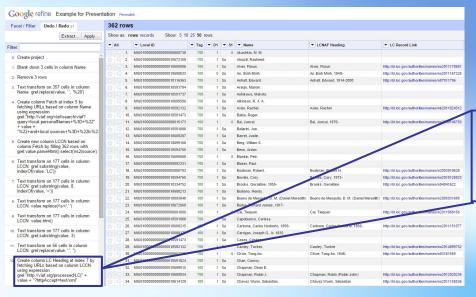
Second Query for VIAF API:





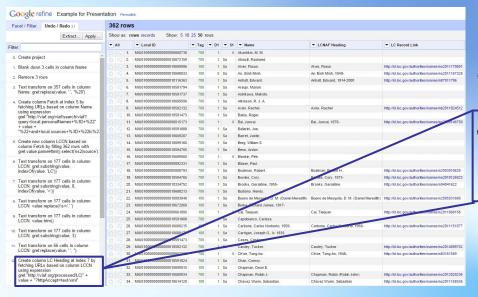
Second Query for VIAF API:





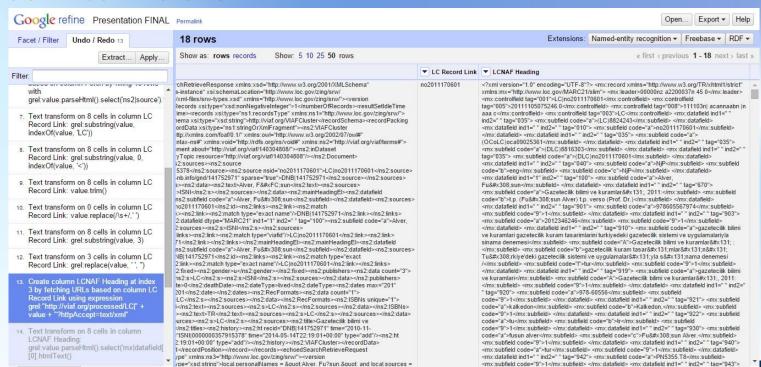
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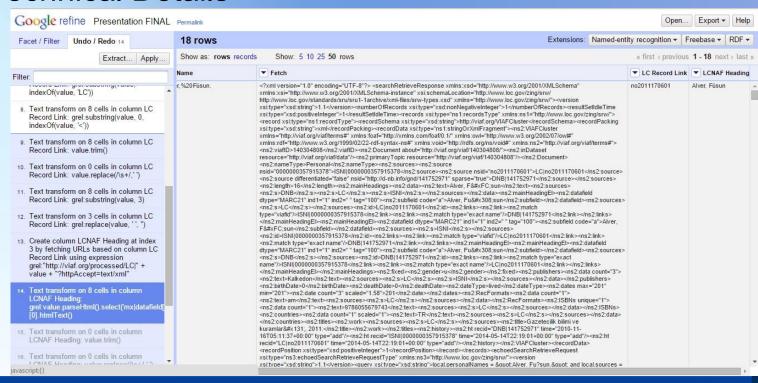


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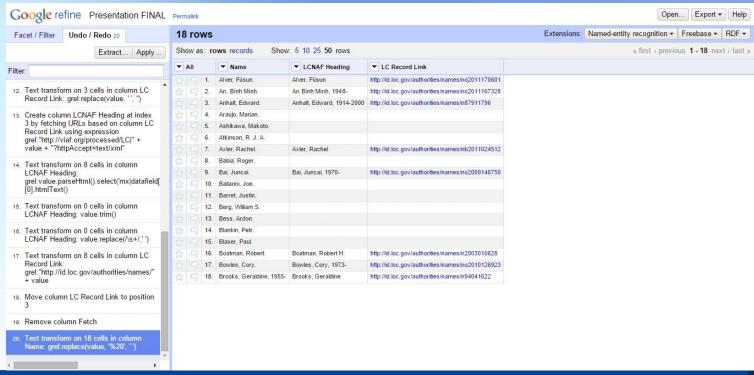














# Why Use VIAF?

- 1. More robust dataset to search than other options
- 2. VIAF algorithm is better at fuzzy name searches
- 3. Updated weekly



### Limitations

1. There is a time lag if you are searching hundreds or thousands of names at a time.

- IMPORTANT: It is up to the user to verify the accuracy of the matches found.
- 3. The process does not currently reconcile geographic name headings.



# **Impact**





# **Impact Details**

- With this process, authority control now takes half the time it used to
  - Less time spent looking in vain for non-existent authority files, because the script tells us whether there is a file or not
- More authority control gets done
- More time for other tasks
- Less time wasted = good for morale!
- We contribute to a pool of headings that we can draw from for authority record creation workflows



### Conclusion

- This is the sort of thing we always hoped to be possible
  - Has been tremendously helpful to workflows
- We hope it helps others as much as it has us!

Get the files and instructions!

https://github.com/mcarruthers/LCNAF-Named-Entity-Reconciliation

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