

Accepted Manuscript

Title: Drivers and Impact of Antifungal Therapy in Critically Ill Patients with *Aspergillus*-Positive Respiratory Tract Cultures

Author: JA Paiva, P Mergulhão, A Gomes, FS Taccone, A-M Van den Abeele, P Bulpa, B Misset, W Meersseman, G Dimopoulos, J Rello, D Vogelaers, S Blot

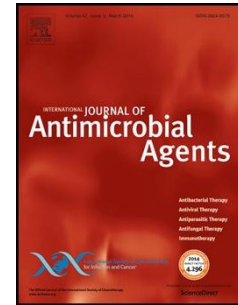
PII: S0924-8579(17)30234-0
DOI: <http://dx.doi.org/doi: 10.1016/j.ijantimicag.2017.05.017>
Reference: ANTAGE 5174

To appear in: *International Journal of Antimicrobial Agents*

Received date: 19-12-2016
Accepted date: 17-5-2017

Please cite this article as: JA Paiva, P Mergulhão, A Gomes, FS Taccone, A-M Van den Abeele, P Bulpa, B Misset, W Meersseman, G Dimopoulos, J Rello, D Vogelaers, S Blot, Drivers and Impact of Antifungal Therapy in Critically Ill Patients with *Aspergillus*-Positive Respiratory Tract Cultures, *International Journal of Antimicrobial Agents* (2017), <http://dx.doi.org/doi: 10.1016/j.ijantimicag.2017.05.017>.

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1 **Drivers and Impact of Antifungal Therapy in Critically Ill Patients with**
2 ***Aspergillus*-Positive Respiratory Tract Cultures**

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4 Paiva JA¹, Mergulhão P², Gomes A³, Taccone FS⁴, Van den Abeele A-M⁵, Bulpa P⁶, Misset B⁷,
5 Meersseman W⁸, Dimopoulos G⁹, Rello J¹⁰, Vogelaers D¹¹, Blot S^{11,12}

6
7
8 ¹Dept. of Emergency and Intensive Care, Centro Hospitalar S. Joao and University of Porto
9 Faculty of Medicine, Grupo de Infecção e Sépsis, Porto, Portugal
10 jarturpaiva@gmail.com

11 ²Dept. of Emergency and Intensive Care, Centro Hospitalar S. Joao and University of Porto
12 Faculty of Medicine, Grupo de Infecção e Sépsis, Porto, Portugal, pjmergulhao@gmail.com

13 ³Dept. of Emergency and Intensive Care, Centro Hospitalar S. Joao and University of Porto
14 Faculty of Medicine, Porto, Portugal, andreamaralgomes@gmail.com

15 ⁴Dept. of Intensive Care, Erasme Hospital, Université Libre de Bruxelles, Brussels, Belgium,
16 ftaccone@ulb.ac.be

17 ⁵Dept. of Microbiology, General Hospital St. Lucas, Ghent, Belgium,
18 anne-marie.vandenabeele@azstlucas.be

19 ⁶Dept. of Intensive Care, Mont-Godinne University Hospital, Université Catholique de Louvain
20 Yvoir, Belgium, pierre.bulpa@aclouvain.be

21 ⁷Department of Intensive Care, Fondation Hôpital Saint-Joseph, Université Paris-Descartes
22 Paris, France, bmisset@hpsj.fr

23

24 ⁸Medical Intensive Care Unit, University Hospital Leuven, Leuven, Belgium,

25 wouter.meersseman@uzleuven.be

26 ⁹Dept. of Critical Care Medicine, Attikon University Hospital, University of Athens Medical

27 School, Athens, Greece, gdimop@med.uoa.gr

28 ¹⁰Hospital Universitari Vall d'Hebron, Vall D'Hebron, Institute of Research, CIBERES,

29 Universitat Autònoma de Barcelona, Barcelona, Spain, jrello@crips.es

30 ¹¹Dept. of Internal Medicine, Faculty of Medicine & Health Science, Ghent University,

31 Ghent, Belgium, dirk.vogelaers@uzgent.be

32 ¹²Burns, Trauma, and Critical Care Research Centre, The University of Queensland,

33 Brisbane, Australia, stijn.blot@UGent.be

34

35

36

37 **Corresponding author**

38 Mergulhão P

39 Dept. of Emergency and Intensive Care,

40 Centro Hospitalar S. João and University of Porto Faculty of Medicine,

41 Grupo de Infecção e Sépsis,

42 Porto,

43 Portugal,

44 pjmergulhao@gmail.com

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48 - **Abbreviations**

49 -AFT: Antifungal Therapy

50 -APACHE: Acute Physiology and Chronic Health Evaluation

51 -ARDS: Acute Respiratory Distress Syndrome

52 -BAL: Bronchoalveolar Lavage

53 -CT: Computed Tomography

54 -EORTC/MSG: European Organisation for the Research and Treatment of Cancer/Mycosis

55 Study Group

56 -FOB: Fiberoptic Bronchoscopy

57 -GM: Galactomannan

58 -ICU: Intensive Care Unit

59 -IPA: Invasive Pulmonary Aspergillosis

60 -SMR: Standardised Mortality Ratio

61 -SOFA: Sequential Organ Failure Assessment

62

63

64

65 Highlights:

- 66 • Diagnostic and treatment decisions appear to be based both on recognition of risk
67 factors for IFI and disease severity.
 - 68 • Mortality of suspected pulmonary aspergillosis in critically ill patients is appallingly high.
 - 69 • Initiation of AFT does not seem to significantly alter the prognosis. Better diagnostic
70 tools and strategies are needed.
- 71

72 Abstract

73 **Background:** Invasive pulmonary aspergillosis (IPA) is an increasingly recognized problem in
74 critically ill patients. Little is known about how intensivists react to a *Aspergillus*-positive respir-
75 atory sample and about the efficacy of antifungals. Our goal was to identify drivers of antifungal
76 therapy (AFT) prescription and diagnostic workup in patients with *Aspergillus* isolation in res-
77 piratory specimens as well as the impact of AFT in these patients.

78 **Methods:** ICU patients with a *Aspergillus*-positive respiratory sample from the database of a
79 previous observational multicenter study were analysed. Cases were classified as proven/putative
80 IPA or *Aspergillus* colonization. Demographics, microbiological, diagnostic and therapeutic data
81 were collected. Outcome was recorded 12 weeks after *Aspergillus* isolation.

82 **Results:** Patients with putative/proven IPA were more likely to receive AFT than colonized
83 patients (78.7% vs. 25.5%; $p<0.001$).

84 Patients with host factors for invasive fungal disease or multiorgan failure (SOFA score >7)
85 were more likely to receive AFT (72.5% vs. 37.4%; $p<0.001$) (68.4% vs. 29.8%; $p<0.001$).

86 Once adjusted for disease severity, initiation of AFT did not alter the odds of survival (**HR** 1.40
87 95% CI [0.89-2.21]). Likewise, treatment within 48 hours following diagnosis did not change the
88 clinical outcome (75.7% vs. 61.4%; $p=0.63$).

89 **Conclusions:** Treatment decisions appear to be based on diagnostic criteria and underlying dis-
90 ease severity at the time of *Aspergillus* isolation. IPA in this population has a dire prognosis and

91 AFT is not associated with reduced mortality. This may be explained by delayed diagnosis and
92 an often inevitable death due to advanced multiorgan failure.

93

94 **Keywords:** invasive pulmonary aspergillosis; delayed diagnosis; therapy; critical illness

95 **Introduction**

96 *Aspergillus* spp. is a saprophytic filamentous and potentially pathogenic fungus that is wide-
97 spread in the environment (1). The main pathogenic mechanism is inhalation of *Aspergillus*
98 spores or conidia. As such, the respiratory tract is affected in the vast majority of cases of inva-
99 sive aspergillosis. *Aspergillus* is mainly considered a pathogen affecting immunocompromised
100 hosts and the European Organization for the Research and Treatment of Cancer/Mycosis Study
101 Group (EORTC/MSG) developed well-defined diagnostic criteria for such patients (2). In recent
102 years, however, *Aspergillus*' importance as a potential pathogen in critically ill patients has been
103 repeatedly emphasized (3,4). Underlying conditions such as chronic obstructive pulmonary dis-
104 ease or cirrhosis, as well as high severity of acute illness, as evidenced by acute respiratory dis-
105 tress syndrome (ARDS), sepsis and multiorgan failure, add to the risk profile of invasive
106 aspergillosis in ICU patients (5,6,7). In critically ill patients, the diagnosis of invasive pulmonary
107 aspergillosis (IPA) is challenging as it often depends on histological samples, which may be
108 risky to obtain in patients with severe physiological instability.

109

110 *Aspergillus* spp. isolation in respiratory samples occurs in up to 2% of ICU patients (4,5,8) and
111 its interpretation is equivocal. If the culture represents IPA, antifungal therapy (AFT) should be
112 initiated promptly as this disease is ultimately fatal in the absence of appropriate therapy. How-
113 ever, if the isolate represents *Aspergillus* colonization, initiating AFT could be deemed antimi-

114 crobial misuse with deleterious effects for patient and fungal ecology (9,10,11,12). As such, the
115 finding of an *Aspergillus*-positive respiratory tract aspirate creates a dilemma: should AFT be
116 started or not? An important element is the urgency with which decisions need to be taken. In a
117 large multicentre cohort of patients with IPA, mortality at 1 week following the initial
118 *Aspergillus*-positive culture was about 35% (13). This observation illustrates that there is neither
119 room for a watchful waiting strategy nor for an extensive diagnostic workup. Moreover, it is
120 likely that at least some uncertainty about the diagnosis will remain, as diagnosing IPA in unsta-
121 ble critically ill septic patients is particularly problematic (14). In non-critically ill
122 immunocompromised patients, early initiation of appropriate antifungal therapy has lead to im-
123 proved survival rates (15,16) and there is no reason whatsoever to assume that this would be dif-
124 ferent in ICU patients with IPA.

125

126 In order to support medical decision-making in case of an *Aspergillus*-positive respiratory tract
127 isolate, Vandewoude et al (5) developed a simple algorithm to discriminate colonization from
128 invasive disease. This algorithm was externally validated in a large multicentre study and led to
129 the diagnostic category of “putative IPA” (13). There is, however, scant data about the way
130 intensivists react to the isolation of *Aspergillus* spp. in a clinical sample and about the impact of
131 AFT use.

132

133 The present study aims at describing the decision-making process of intensivists in the setting of
134 *Aspergillus* spp. recovery from a respiratory tract sample and to ascertain the impact of AFT on
135 short-term outcome of ICU patients with putative or proven IA.

136

137

138

139 **Methods**

140 This is a secondary analysis based on the *Asp*ICU database. The cohort consisted of patients ad-
141 mitted to one of the participating ICUs (27 from Europe, 2 from Asia and 1 from Brazil).

142 Patients were eligible for inclusion in the original study if they had at least one *Aspergillus*-
143 positive culture on any body site, sampled during the ICU course. Due to the low frequency of
144 this event, retrospective data from historical cohorts was also accepted provided that all the
145 required information was available. Clinical suspicion of invasive aspergillosis prior to ICU ad-
146 mission was an exclusion criterion.

147 The local ethics committee/institutional review board of each participating center approved the
148 study. Because of the observational nature of the study and the lack of any modification in the
149 general management of these patients, the need for informed consent was waived. A complete
150 and detailed description of the study methodology has been reported elsewhere (13). Basic de-
151 mographic and admission data, acute and underlying conditions and the presence of signs sug-
152 gestive of invasive fungal disease were noted. Data regarding diagnosis and treatment, including
153 sampling techniques, mycological tests, and test results, as well as radiological tests performed
154 were also recorded.

155

156 For the purpose of this analysis we exclusively considered patients who had *Aspergillus* recov-
157 ered from the respiratory tract, whatever the number of respiratory specimens with *Aspergillus*,
158 as they represented the vast majority of the cohort and allowed for a more homogenous study
159 population. Patients were categorized as either proven or putative IPA or *Aspergillus* coloniza-
160 tion, according to validated criteria (2,8,13). According to these criteria suggestive clinical signs
161 and symptoms are fever despite more than 3 days of antibiotic therapy, new onset fever despite

162 antibiotic therapy, pleuritic chest pain, pleural rub, dyspnoea, haemoptysis, and worsening res-
163 piratory failure (8,13). Suggestive features on chest CT-scan include dense, well-circumscribed
164 lesions with or without a halo-sign, air-crescent sign, or cavitation (2). We sought to analyse di-
165 agnostic-related procedures, namely comparing patients that were deemed to require treatment
166 (IPA) and those that were considered to be simply colonised. When analysing the use of diagnos-
167 tic tests and impact of AFT, we further excluded patients that did not receive treatment due to
168 decisions of limitation of care or in whom the diagnostic suspicion of IPA occurred *post-mortem*.
169 From a treatment perspective, we analysed the choice of first-line AFT and the outcomes associ-
170 ated with different agents. The need to switch to an alternate agent was also considered as was
171 the use of combination therapy. Finally, the impact of AFT in the different diagnosis categories
172 defined by the clinical algorithm (i.e. colonisation or putative/proven IPA) was assessed.

173
174 For continuous variables, median and interquartile ranges (IQRs) are presented. Group compari-
175 sons were carried out using chi-square and Mann-Whitney U tests, as appropriate. Cox propor-
176 tional hazards regression model was used for survival analysis. Hazards ratio (HR) and 95% con-
177 fidence intervals (95%CI) were calculated in univariate analysis and a multivariate stepwise
178 model was designed to include baseline variables associated with mortality and other known risk
179 factors. IBM SPSS Statistics (version 20) was used. P values <0.05 were considered statistically
180 significant.

181

182

183

184 **Results**185 *Description of the cohort*

186 The total cohort of the *Asp*ICU study consisted of 563 patients of whom 529 had evidence of
187 respiratory infection or colonisation. Of these, 20 were not considered as they had another prob-
188 able source of infection and another 5 were excluded as the diagnosis was made post-mortem
189 and they had no previous *Aspergillus* isolation. Basic demographic characteristics of the remain-
190 ing 504 patients are presented in Table 1. Overall mortality after 12 weeks was 54.8%.

191 *Patient profile and antifungal therapy*

192 Of the 504 patients analysed, 38 were excluded from further analysis due to limitation of care
193 decisions.

194 Of the remaining 466 patients, 246 (52.7%) were put on AFT. Patients with criteria for putative
195 or proven aspergillosis were more likely to be treated with AF than patients without those criteria
196 (78.7% vs. 25.5%; $p < 0.001$). Figure 1 shows rates of AFT according to patient's profiles, in
197 terms of presence of clinical signs and symptoms, host risk factors, disease severity and addi-
198 tional diagnostic procedures performed.

199 The majority of patients had at least one sign or symptom present (75.3%). The most common
200 findings in putative / proven cases were worsening respiratory failure or dyspnoea and persistent
201 fever despite > 3 days of antibacterials. Of note, the presence of haemoptysis, despite uncom-
202 mon, was much more frequent in putative or proven cases (1.8% vs 8.4%; $p < 0.001$ when com-
203 pared with colonised cases).

204

205 Almost 90% of patients were mechanically ventilated. The majority of patients had at least one
206 sign or symptom present (75.3%). The rate of AFT given in patients with symptoms was signifi-

207 cantly higher compared with patients without suggestive signs and symptoms ($p<0.001$) (Figure
208 1). EORTC/MSG host factors were present in 204 patients (43.8%). The rate of AFT initiated in
209 patients with host factors was higher compared with those without (72.5% vs. 37.4%; $p<0.001$)
210 (Figure 1). Regarding severity of disease, Figure 1 presents AFT rates according to SOFA scores
211 at the time of diagnosis. Patients receiving AFT had similar APACHE II scores when compared
212 with untreated patients but a significantly higher SOFA score (10 vs. 5; $p<0.001$) at the time of
213 *Aspergillus* isolation. This remains true irrespective of the diagnostic classification of patients
214 (Table 2). Colonised patients put on AFT were more frequently on mechanical ventilation
215 (93.9% vs. 75.0%), on renal replacement therapy (35.0% vs. 15.9%) and in shock (70.7% vs.
216 50.0%) ($p<0.001$, for all comparisons).

217

218 *Additional diagnostic workup and antifungal therapy*

219 With regard to diagnostic procedures, thoracic CT-scan, fiberoptic bronchoscopy (FOB), lung
220 biopsy, galactomannan (in serum or BAL), serum β -D-glucan or polymerase chain reaction were
221 performed in, respectively, 41.6%, 42.9%, 20.2%, 12.9%, 0.6% and 0.6% of the cases. All these
222 tests were more frequently used in patients that were deemed to require AFT (Table 3). Patients
223 undergoing any diagnostic test had a significantly higher SOFA at the time of diagnosis (9 vs. 5;
224 $p<0.001$). However, information on timing of these procedures is not available, namely if they
225 were performed before or after the initiation of treatment.

226

227 Lung biopsies were performed significantly more often in patients with an overt risk profile for
228 invasive fungal disease as evidenced by the presence of EORTC/MSG host factors. Biopsies es-
229 tablished the diagnosis of IPA (positive culture and evidence of tissue invasion) in 60 patients

230 (63.8% of the patients that underwent a biopsy). Rates of AFT in patients with proven IPA were
231 96.7%, compared to 52.9% in patients with negative histopathologic examination ($p<0.001$).
232 BAL was carried out in 200 patients (42.9%). In 75.5% of these microscopy or culture were
233 positive for *Aspergillus*. Specific findings on CT-scan (nodules with/without halo sign, air cres-
234 cent, and cavity formation) seldom occurred (Table 4). In total, 66 patients (34.0% of the pa-
235 tients that performed CT-scan) demonstrated at least 1 clinical feature on chest CT-scan. The rate
236 of AFT in these patients was higher compared with patients without suggestive CT-scan findings
237 ($p<0.001$).

238

239 *Antifungal therapy and survival*

240 Two hundred and forty-six patients were put on AFT (Table 5), and outcome data exists regard-
241 ing 241. Mortality of treated patients was 68.7% and that of patients deemed not to require AFT
242 was 36.4% (standardised mortality rate (SMR) =0.84) ($p<0,001$), very similar to the mortality of
243 patients deemed to be colonised according to the clinical algorithm by Vandewoude et al (38.6%;
244 SMR=0.90). Out of 188 patients with putative or proven aspergillosis receiving AFT, 73 (38.8%)
245 got voriconazole as first line treatment (alone or in combination with other antifungal agents) and
246 51 (27.1%) got a form of amphotericin B. An echinocandin was used in 28 (15%) cases. Survival
247 rates were respectively 34.2%, 27.4% and 25% for these classes of AFT.

248

249 Factors associated with 12-week mortality were SOFA score, presence of shock and presence of
250 **multiple organ dysfunction syndrome**. Mortality according to diagnostic classification and de-
251 cision to start AFT is shown in figure 2.

252 In the overall sample, use of AFT was found to be an independent predictor of mortality (adjust-
253 ed HR 1.760 [1.307-2.370]) but this association was lost when looking only at putative or prov-
254 en cases (adjusted HR 1.400 [0.885-2.213]).

255

256 Considering patients with known survival status, no significant differences in mortality were
257 noted in association with the different choices of initial AFT, after adjustment for disease severi-
258 ty and organ failure.

259

260 Of the 242 patients colonized, according to the Vandewoude clinical rule, data regarding the de-
261 cision to start AFT and outcomes are available for 227 patients. Of these, 58 were given antifun-
262 gal treatment. The mortality of this subgroup was 51.7% (SMR=1.29) and that of the group left
263 untreated (n=169) was 32.5% (SMR=0.76 - p=0.018). Using multivariate analysis, to correct for
264 disease severity, namely for SOFA, shock and mechanical ventilation, the mortality difference
265 was no longer significant (HR 1.25 [0.77-2.02]).

266

267 The timing of initiation of therapy, counted from the day that diagnostic criteria were met, was
268 available for 182 patients with putative or proven IA. Mortality tended to be higher in the 144
269 patients that started therapy in the first 48 hours after clinical diagnosis when compared with
270 those beginning therapy at a later time (75.7% vs. 61.4%; p=0.063). SOFA scores were similar in
271 both groups (10 vs. 9.5; p=0.439). Combination therapy was used as the initial choice in 8 pa-
272 tients (3.4%), but its use increased as further changes in therapy were deemed necessary. Four
273 received amphotericin B plus itraconazole, 3 voriconazole plus echinocandin and 1 voriconazole
274 plus amphotericin B. These 8 patients had a median admission APACHE II score of 23.4 and a

275 median SOFA score on the day of *Aspergillus* isolation of 9 [2-15]. Mortality in this group was
276 62.5%. Out of 21 patients who had combination therapy at any moment during their ICU stay,
277 eight received an echinocandin combined with an azole or a polyene and 13 received az-
278 ole/polyene combinations (mainly amphotericin B deoxycolate + itraconazole).
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282 **Discussion**

283 This study gives us a clearer picture of how ICU physicians deal with patients in whom

284 *Aspergillus* is isolated from respiratory tract samples. The decision-making process appears to

285 depend mainly on the presence of aspergillosis diagnostic criteria and on severity of disease ex-

286 pression, namely organ dysfunction. Thus, patients with putative/proven IPA are more likely to

287 receive AFT and, at the same time, patients put on AFT have significantly higher SOFA scores at

288 the time of clinical diagnosis. Similarly, patients treated with AFs more often undergo diagnostic

289 procedures. Using multivariate analysis to correct for disease severity, there is no significant

290 mortality difference between patients treated and not treated with AF, both for the colonized and

291 the putative/proven populations.

292

293 Taken together, these aspects may be interpreted as meaning that the decision to start AFT is of-

294 ten based not only on detailed microbiological, radiological and clinical examination, which are

295 the main components of the Vandewoude criteria (8), but also on the perception of patient's dis-

296 ease severity. As for bacterial disease, in the setting of an acutely deteriorating patient with sus-

297 pected IPA, therapy might be started empirically while awaiting further test results, while in a

298 more stable patient one might postpone the decision until a more detailed diagnostic assessment

299 is completed. One caveat, however, is that a true causal relationship between the ordering of di-

300 agnostic procedures and the start of AFT cannot be determined, as it is impossible to establish a

301 detailed timeline of events.

302

303 In our population, both the occurrence of specific IPA findings and the proportion of patients un-

304 dergoing FOB are rather low and GM measurement was used even less often than FOB. The use

305 of diagnostic tests in the setting of suspected IPA is well described for the neutropenic popula-
306 tion, but sensitivity seems to be lower in non-neutropenic patients. The identification of a halo
307 sign in non-neutropenic patients is rare (17), limiting its utility as a diagnostic tool. Other radio-
308 logical features, like the presence of a “reverse halo”, particularly with a thick outer rim, central
309 reticulation and concomitant pleural effusion, (18,19), or the combination of pulmonary CT and
310 arteriography (20) may also be helpful. As for GM, it is particularly useful when measured in
311 BAL fluid, in the setting of neutropenia and suspected IPA (21), as a persistently positive optical
312 density index may be a trigger for early “pre-emptive” therapy (22). Its use in non-neutropenic,
313 critically ill patients is less well established. Meersseman (23) suggested that BAL GM assays
314 might have adequate sensitivity and specificity for the diagnosis of IPA in the ICU, but one third
315 of the patients in his series had haematological malignancies. More recently, Acosta *et al.* report-
316 ed a 21-month prospective study on 51 medical ICU patients with lung infection and at least one
317 risk factor for invasive fungal disease (24). When compared with serum GM measurements,
318 BAL GM had a better diagnostic performance, with an area under the ROC curve of 0.98. To-
319 gether, these data suggest that there is room for improving the use of diagnostic tests in ICU pa-
320 tients with suspected IPA.

321
322 Regarding the efficacy of AFT, our main finding is the apparent increase in mortality of the
323 global population after adjusting for the concomitant presence of organ failure and regardless of
324 the initial choice of AFT. In spite of this fact, this effect was not seen when the analysis was re-
325 stricted to putative/proven cases. Analogously with IPA patients, *Aspergillus*-colonised patients
326 receiving AFT also tended to have a higher mortality. Patients receiving AFT had more severe
327 organ failure but this does not seem to account for all of the observed difference in outcome. We

328 suggest that factors such as delayed diagnosis, antifungal-related adverse events, missed diagno-
329 ses other than IPA and mortality associated with other underlying conditions may contribute to
330 this observation. As such, one should strive to make as firm a diagnosis as feasible, even if em-
331 pirical treatment has been initiated.

332

333 The absence of a clear advantage of AFT in patients with IPA stresses the limitations of current
334 approaches to diagnose IPA in critically ill patients. The present cohort includes exclusively pa-
335 tients with an *Aspergillus*-positive respiratory tract sample. As such, the time-point at which my-
336 cological culture results are reported may be too late to guarantee a beneficial effect of AFT. An
337 earlier diagnosis of IPA or the identification of high-risk patients potentially benefiting from a
338 pre-emptive approach seems the challenge for further clinical research. However, on the other
339 hand, it should be kept in mind that most antifungals in current clinical practice have potentially
340 serious side-effects and drug-drug interactions and also that initiating treatment for an infection
341 that is not present may induce a false sense of security contributing to a delay in the right diagno-
342 sis, as critically ill patients have been shown to be at increased risk for opportunistic infections
343 other than IA (25).

344

345 The most commonly used AFs (either alone or in combination), as first line treatment, were
346 voriconazole and amphotericin B. The fact that there is no significant difference in mortality as-
347 sociated with the choice of initial AFT is most probably related to the severity of the underlying
348 disease and to the severe acute physiological derangements at the onset of infection, as shown by
349 APACHE II and SOFA scores. In this setting, small differences of efficacy would be unlikely to
350 independently influence the prognosis. The choice of voriconazole as the preferred first-line

351 agent for this patient population is not surprising in light of the available data suggesting the su-
352 periority of this drug over amphotericin B for the treatment of IPA (26). In addition, in a cohort
353 of mechanically ventilated haematology patients with invasive aspergillosis (27), voriconazole
354 treatment was independently associated with reduced mortality risk (hazard ratio 0.5, 95% CI,
355 0.3-0.9). Until the publication of the Herbrecht trial (19), amphotericin B was the cornerstone of
356 treatment of invasive aspergillosis and it is still frequently used. Although there are concerns
357 about the tolerability of the classical deoxycholate formulation, some evidence exists suggesting
358 that adequate use of this drug can effectively prevent most of its side effects (28, 29). In this
359 sample, of the 67 patients that were given a polyene as initial treatment, the majority got the
360 classical formulation and only 21% (14/67) received any of the lipid formulations, suggesting
361 that it is still considered an adequate option in many ICUs but also possibly reflecting limitations
362 to the use or reimbursement of the lipid forms in certain countries. The fact that a significant
363 proportion of patients received echinocandins as initial treatment may raise some questions as
364 these agents are only approved for salvage therapy of IPA in patients with hematological malig-
365 nancies (30, 31) and reports exist of breakthrough IPA in patients receiving echinocandins for
366 empirical treatment of febrile neutropenia (32). Combination AFT has been suggested as a possi-
367 ble alternative for severe IFI and the advent of the candins promoted a renewed interest in this
368 matter, as candins and voriconazole act at different structures of the fungal cell. Two Spanish
369 scientific societies suggest combination AFT should be used in severely ill patients (33), despite
370 stating that the evidence for this recommendation is weak. One recent large randomized study
371 (34) reports a decreased mortality using a combination of voriconazole and anidulafungin over
372 voriconazole monotherapy in subgroups of patients with proven or probable invasive
373 aspergillosis.

374 Although, some studies have linked early treatment to better outcomes (15,16,35), in our popula-
375 tion an earlier start of AFT appears to be associated with increased mortality, at an almost signif-
376 icant level, but this may be a mere reflection of a higher underlying disease severity of the pa-
377 tients treated earlier.

378 Our study has some limitations that should be noted. First, it is a secondary analysis of the
379 AspICU multicenter study and, due to its prospective design, we cannot be sure that the study
380 protocol itself did not influence management decisions.

381 Secondly, the algorithm used has flaws, as shown by the fact that a fair number of untreated pu-
382 tative/proven patients survived.

383 We cannot establish a detailed timeline of diagnostic procedures and treatment decisions thus
384 limiting our conclusions.

385 Furthermore, loss of some patients due to incomplete data collection further reduced certain sub-
386 group sample sizes. It should also be recognised that dosing in critically ill patients can be par-
387 ticularly problematic (36) and that the dataset used does not allow us to look into this, namely
388 lacking information on doses used and achieved concentrations. Finally, due to the rapidly
389 evolving nature of the field of invasive fungal infections, medical decision processes by
390 intensivists may have already changed.

391 of untreated putative/proven patients survived. Furthermore, loss of ...

392

393

394

395 **Conclusions:**

396 In this large cohort of patients, the dismal prognosis of *Aspergillus* isolation in respiratory sam-
397 ples from ICU patients, either reflecting colonization or infection, becomes evident. Therapeutic
398 decisions seem to be driven by both the presence of diagnostic criteria and by underlying disease
399 severity/organ failure at the time of *Aspergillus* isolation.

400 A large proportion of these patients received AFT with no apparent reduction of mortality,
401 regardless of AF used or timing of initiation of therapy. This is probably caused by a combina-
402 tion of late diagnosis and an often inevitable death due to advanced multiorgan failure.

403 In accordance with recommendations, voriconazole is the first-line agent most commonly used.
404 The lack of outcome difference with different choices and variable timing of initial treatment
405 probably reflects the major difficulty of establishing a consistent diagnosis in this population.
406 The high mortality of patients receiving seemingly unneeded treatment should be regarded as
407 demonstrative of the need to carefully evaluate these patients, namely increasingly incorporating
408 recent advances in the radiological and laboratorial diagnosis of IPA in our decision-making pro-
409 cess.

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413 **Declarations**

414 **Funding:** The original AspICU project was supported by an unrestricted educational grant from
415 Pfizer Belgium and a research grant from the Special Research Fund of Ghent University.

416 **Competing Interests:** Jordi Rello reports having received a research grant from Astellas and
417 having done consultancies for Astellas and Pfizer

418 Paulo Mergulhão reports having received speaker fees from Astellas and MSD

419 All other authors have no conflicts of interest to report

420 **Ethical Approval:** This is a secondary analysis of the AspICU dataset for which a waiver of in-
421 formed consent was obtained from each participating centre ethics committee due to its observa-
422 tional nature.

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425 **Availability of supporting data**

426 The datasets during and/or analysed during the current study available from the corresponding
427 author on reasonable request

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530 **-Figure 1 title:** Rates of antifungal therapy according to patient's profiles, in terms of presence
531 of clinical signs and symptoms, host risk factors, disease severity and additional diagnostic pro-
532 cedures performed.

533 **-Figure 1 legend:** IPA, invasive pulmonary aspergillosis; EORTC, European Organisation for
534 the Research and Treatment of Cancer-Mycosis Study Group; SOFA, sequential organ failure
535 assessment score

536 Bronchoscopy positive on microscopy (mycelium, branching hyphae) and culture.

537

538 **-Figure 2 title:** Mortality according to diagnostic classification and decision to start antifungal
539 therapy

540 **-Figure 2 legend:** AFT, antifungal therapy; IPA, invasive pulmonary aspergillosis

541

542 **Table 1 - Basic demographic characteristics of the population**

	Patients (n=504)
Male sex - n (%)	306 (60.7%)
Age, years – median (IQR)	65 [53-74]
BMI Kg/m ² – median (IQR)	24.1 (20.7-27.3)
Admission data	
Admission type medical - n (%)	354 (70.4%)
Admission type elective surgery - n (%)	69 (13.7%)
Admission type emergency surgery / trauma - n (%)	81 (16.1%)
APACHE II score – median (IQR)	23.0 (17.0-28.0)
Organ dysfunction at diagnosis	
Vasopressor or inotropic use - n (%)	380 (75.4%)
Mechanical ventilation - n (%)	453 (89.9%)
Renal replacement therapy - n (%)	157 (31.2%)
Median SOFA score at diagnosis (IQR)	7 [4-12]

543 *SOFA, Sequential Organ Failure Assessment*544 *IQR, interquartile range*

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547 **Table 2: SOFA and APACHE II according to diagnosis and treatment decisions**

	<i>Aspergillus</i> colonization			Invasive Pulmonary Aspergillosis		
	AFT	No AFT	P	AFT	No AFT	P
SOFA score	6 (4-12)	4 (2-8)	0.001	10 (6-12)	7 (4-10)	0.004
APACHE II score	23 (16-26)	22 (16-28)	0.583	25 (17-29)	22 (15-28)	0.456

548 *AFT, antifungal therapy*549 *SOFA, sequential organ failure assessment at the time of Aspergillus isolation*550 *APACHE, acute physiology and chronic health evaluation*

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554 **Table 3: Use of diagnostic tests according to treatment decision**

	Total population (n=466)	Received AFT (n=246)	No AFT (n= 220)	p
CT scan	194 (41.6%)	144 (58.5%)	50 (23.7%)	< 0.001
FOB	200 (42.9%)	158 (64.2%)	42 (19.1%)	< 0.001
Biopsy	94 (20.2%)	76 (30.9%)	18 (8.2%)	< 0.001
GM	60 (12.9%)	58 (23.6%)	2 (0.9 %)	0.002
BDG	3 (0.6%)	3 (1.2%)	0	ns
PCR	3 (0.6%)	3 (1.2%)	0	ns

555 *CT scan, computerized tomography scan*556 *FOB, fiberoptic bronchoscopy*557 *GM, galactomannan*558 *BDG, beta-d-glucan*559 *PCR, polymerase chain reaction*

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562 **Table 4: Specific findings on chest CT scans**

	Total	Colonization	Putative/Proven IPA
Nodule	56 (11.1%)	10 (4.1%)	46 (17.6%)
Air crescent sign	4 (0.8%)	0 (0.0%)	4 (1.5%)
Halo sign	13 (2.6%)	3 (1.2%)	10 (3.8%)
Cavity	17 (3.4%)	6 (2.5%)	11 (4.2%)

563 *CT scans, computerized tomography scans*564 *IPA, invasive pulmonary aspergillosis*

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568 **Table 5: Summary of AFs used as first-line treatment#**

Antifungal	Treated patients n (%)	Treated Colonized patients n (%)	Treated Putative/Proven patients n (%)
Amphotericin B deoxycholate	53 (22.0)	15 (28.3)	38 (20.2)
Amphotericin B lipid-associated	14 (5.8)	4 (7.5)	10 (5.3)
Echinocandin	34 (14.1)	6 (11.3)	28 (15.0)
Voriconazole	94 (39.0)	21 (39.7)	73 (38.8)
Other	46 (19.1)	7 (13.2)	39 (20.7)
Total	241 (100)	53 (100)	188 (100.0)

569 *# Data is available, regarding AF used, in 241 out of the 246 patients treated with AFT*

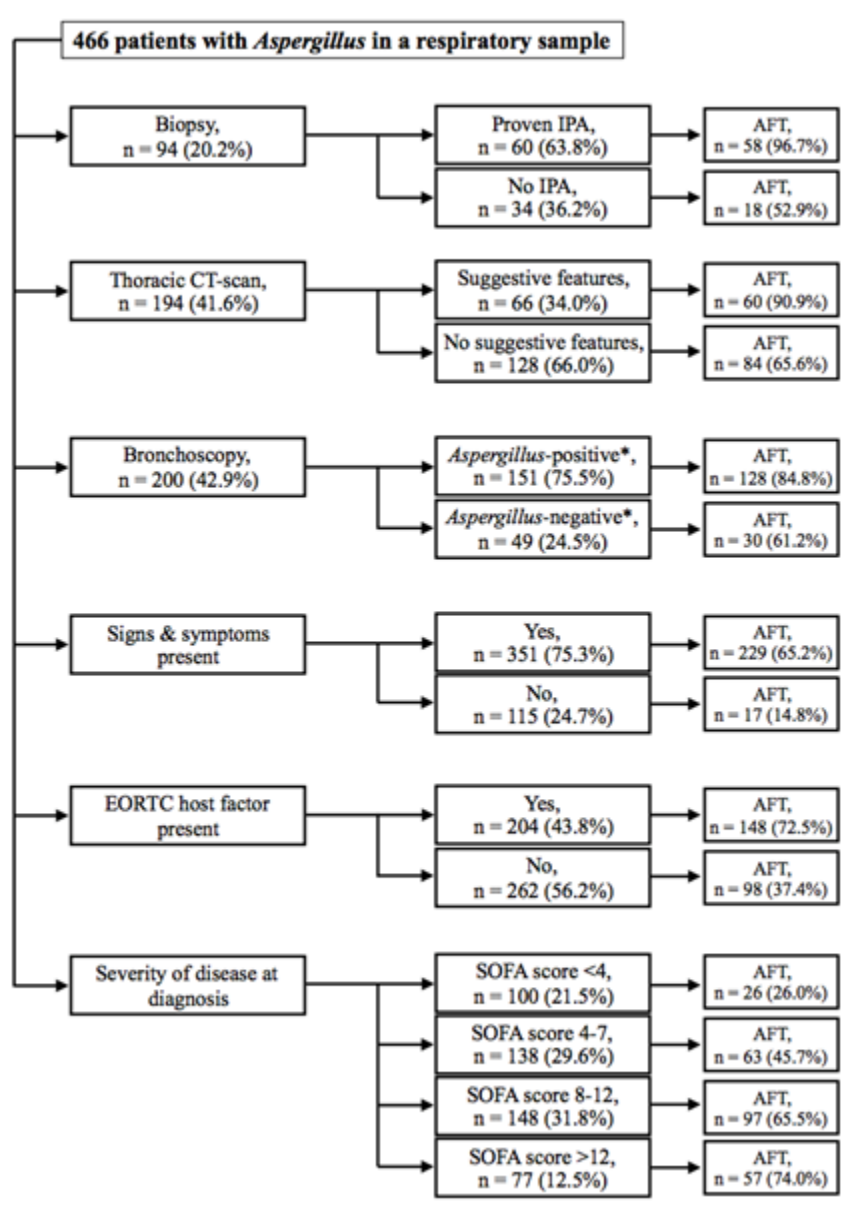
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574 Figure 1.

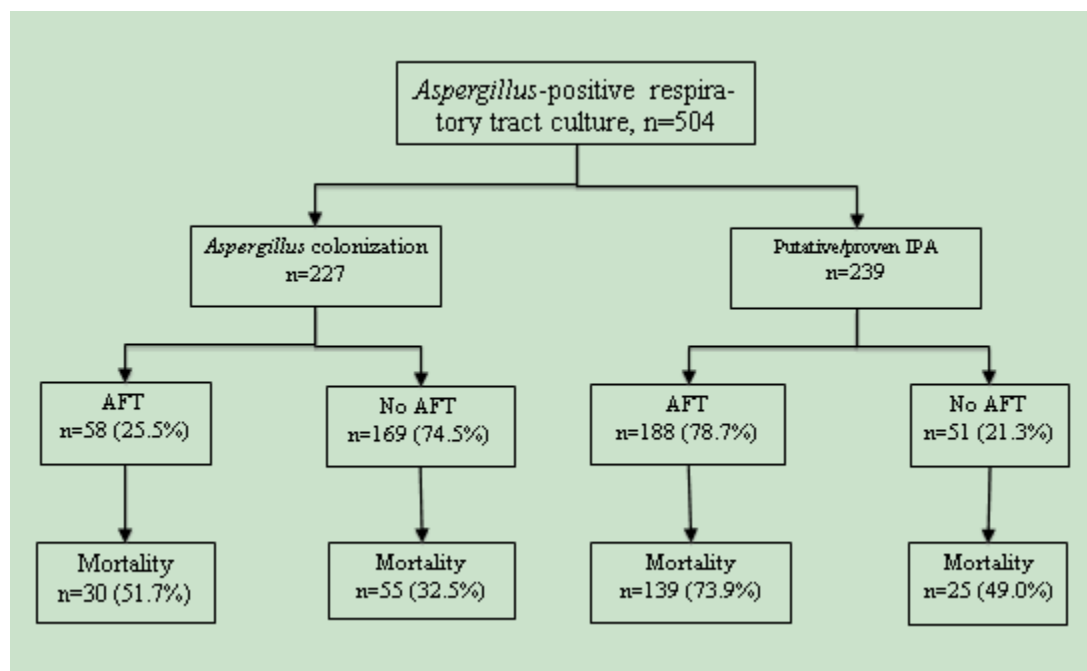


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