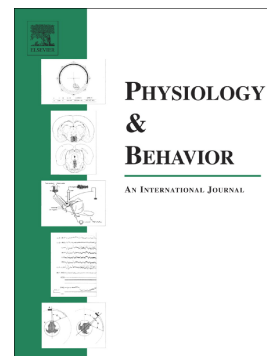


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The effect of stimulus strength on binocular rivalry rate in healthy individuals: Implications for genetic, clinical and individual differences studies

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### Abstract

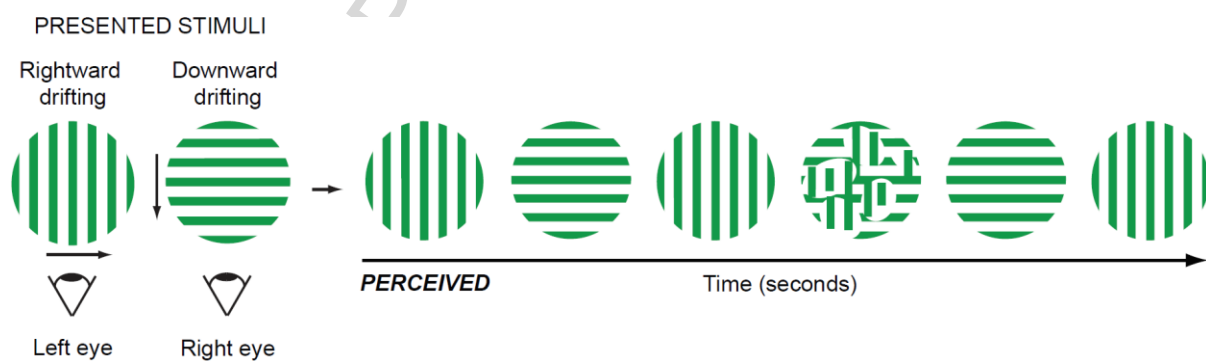
Binocular rivalry (BR) occurs when conflicting images concurrently presented to corresponding retinal locations of each eye stochastically alternate in perception. Anomalies of BR rate have been examined in a range of clinical psychiatric conditions. In particular, slow BR rate has been proposed as an endophenotype for bipolar disorder (BD) to improve power in large-scale genome-wide association studies. Examining the validity of BR rate as a BD endophenotype however requires large-scale datasets ( $n=1,000$ s to  $10,000$ s), a standardized testing protocol, and optimization of stimulus parameters to maximize separation between BD and healthy groups. Such requirements are indeed relevant to all clinical psychiatric BR studies. Here we address the issue of stimulus optimization by examining the effect of stimulus parameter variation on BR rate and mixed-percept duration (MPD) in healthy individuals. We aimed to identify the stimulus parameters that induced the fastest BR rates with the least MPD. Employing a repeated-measures within-subjects design, 40 healthy adults completed four BR tasks using orthogonally drifting grating stimuli that varied in drift speed and aperture size. Pairwise comparisons were performed to determine modulation of BR rate and MPD by these stimulus parameters, and individual variation of such modulation was also assessed. From amongst the stimulus parameters examined, we found that 8 cycles/s drift speed in a  $1.5^\circ$  aperture induced the fastest BR rate without increasing MPD, but that BR rate with this stimulus configuration was not substantially different to BR rate with stimulus parameters we have used in previous studies (i.e., 4 cycles/s drift speed in a  $1.5^\circ$  aperture). In addition to contributing to stimulus optimization issues, the findings have implications for Levelt's Proposition IV of binocular rivalry dynamics and individual differences in such dynamics.

Keywords: binocular rivalry rate endophenotype, mixed percepts, stimulus strength, drift speed, aperture size, individual differences, Level

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## 1. Introduction

Binocular rivalry (BR) is an intriguing visual phenomenon in which conflicting images presented to each eye are perceived in alternation rather than being superimposed. For example, simultaneously presenting a vertical grating to one eye, and a horizontal grating to the other eye, induces perception of the vertical grating for a few seconds, followed by perception of the horizontal grating for a few seconds, and so on (Figure 1). BR and other perceptual rivalry types such as ambiguous figures have previously been examined, particularly with respect to alternation rate, in the context of clinical psychiatric disorders from the early to mid-20<sup>th</sup> Century (e.g., Cameron, 1936; D'Agata & Gaffuri, 1968; Ewen, 1931; Eysenck, 1952; Fox, 1965; Friedman, 1964; Hunt & Guilford, 1933; McDougall, 1926; Nemor, 1953; Sappenfield & Ripke, 1961). The modern clinical focus on BR emerged with reports from Australia that BR rate was slow in the heritable psychiatric condition, bipolar disorder (BD), relative to healthy individuals (e.g., Miller et al., 2003; Pettigrew & Miller, 1998) — a finding that has since been independently replicated in populations from Japan (Nagamine et al., 2009), New Zealand (Vierck et al., 2013) and China (Zhu et al., 2013).



*Figure 1.* Binocular rivalry. Presenting dissimilar images simultaneously — such as rightward-drifting vertical gratings and downward-drifting horizontal gratings — one to each eye (i.e., dichoptic presentation), causes each image to stochastically alternate in perception. Mixed or piecemeal percepts (i.e., portions of both eyes' presented images are simultaneously

visible) occur occasionally during the transition between perception of the presented images. Arrows adjacent to the presented stimuli denote the direction of grating drift.

Following Pettigrew and Miller's (1998) original study on BD, other clinical psychiatric conditions have been examined including schizophrenia and major depression (Miller et al., 2003; Jia et al., 2015), autism spectrum conditions (Amador-Campos, Aznar-Casanova, Ortiz-Guerra, Moreno-Sánchez, & Medina-Peña, 2015; Freyberg, Robertson, & Baron-Cohen, 2015; Karaminis, Lunghi, Neil, Burr, & Pellicano, 2017; Robertson, Kravitz, Freyberg, Baron-Cohen, & Baker, 2013; Robertson, Ratai, & Kanwisher, 2016; Said, Egan, Minshew, Behrmann, & Heeger, 2013), attention deficit hyperactivity disorder (Amador-Campos, Aznar-Casanova, Moreno-Sánchez, Medina-Peña, & Ortiz-Guerra, 2013; Aznar-Casanova, Amador Campos, Moreno Sánchez, & Supèr, 2013), and generalized social anxiety disorder (Anderson et al., 2013). Although others have attempted to use the same testing protocol as that of Pettigrew and Miller (1998; e.g., Vierck et al., 2013) so that data may be directly compared between clinical studies, other researchers have employed different test protocols (e.g., shorter viewing durations, different stimulus characteristics, different response options), making comparisons difficult. Such issues become particularly relevant when considering potential applications of BR findings in genetic studies of clinical psychiatric disorders.

Pettigrew and Miller (1998) and Miller et al. (2003) demonstrated high sensitivity and reliability of the BR rate trait in BD. This earlier work was followed by a large twin study demonstrating high heritability of the trait and confirming its high reliability (Miller et al., 2010; see also Shannon, Patrick, Jiang, Bernat, & He, 2011). This heritability study supported the original proposal (Pettigrew & Miller, 1998) that slow BR could be used as an endophenotype for BD (reviewed in Ngo, Mitchell, Martin, & Miller, 2011; Ngo, Barsdell,

Law, & Miller, 2013). Endophenotypes — or intermediate phenotypes — can enhance power in gene-finding studies of complex psychiatric diseases by using the relevant quantitative trait to classify a genotype as affected rather than manifestation of the clinical disorder (see Gottesman & Gould, 2003; Gould & Gottesman, 2006; Hasler, Drevets, Gould, Gottesman, & Manji, 2006; Kendler & Neale, 2010). However, such application requires large-scale studies of thousands to tens of thousands (see Flint & Munafò, 2007; Hong & Park, 2012; Klein, 2007; Martin, Eaves, Kearsley, & Davies, 1978; Wray et al., 2014). Elsewhere we have discussed prospects for an online platform of BR testing to address these large sample-size requirements (Law et al., 2013). Such a platform not only facilitates the collection of very large sample-sizes, but also enables the prospect of standardized BR testing across clinical conditions and research centres, for purposes of direct comparison between clinical studies.

For any such endeavor striving for large-scale, standardized BR testing in clinical conditions, the optimal stimulus parameters also require examination. Changing stimulus parameters can change the signal strength of the stimulus or its *stimulus strength*, which can in turn modulate BR rate. For example, higher contrast, faster drift speed, and brighter luminance are all considered to induce greater stimulus strength (see below). However, the sensitivity function of stimulus-strength rate modulations is not always monotonic (e.g., Kitterle & Thomas, 1980). In the study by Pettigrew and Miller (1998), a high-strength stimulus (i.e., orthogonally drifting gratings of high spatial frequency; 8 cycles/°) induced significantly slower BR rate in a group of euthymic subjects with BD relative to healthy controls, with wide group separation. The finding was independently replicated using the same high-strength stimulus (Vierck et al., 2013) and using an intermediate-strength stimulus (Nagamine et al., 2009). Following Pettigrew and Miller's (1998) original study, a subsequent study by Miller et al. (2003) using a low-strength stimulus (i.e., stationary gratings of lower spatial frequency; 4 cycles/°) also demonstrated significantly slower BR

rate in BD than in healthy individuals, though with less evident group separation. Comparing the data in these two studies (i.e., Miller et al., 2003; Pettigrew & Miller, 1998) suggested that the greater group separation in the earlier study may have been due to the high-strength stimuli producing a faster average BR rate in healthy individuals, while BD subjects remained robustly slow whether viewing high- or low-strength stimuli. On this interpretation, BD subjects would be relatively insensitive to stimulus-related BR rate modulation compared with healthy individuals (discussed in Miller et al., 2003; see also Ngo et al., 2011), and therefore viewing of higher-strength stimuli should maximize group separation. However, this comparison between the data of Miller et al. (2003) and Pettigrew and Miller (1998) is limited by the fact that control subjects were *different* between the two studies, as were the BD subjects. What is needed to directly assess the hypothesis that individuals with BD have robustly slow BR rates (i.e., relatively insensitive to stimulus-related BR rate modulation) is varying stimulus strength in the *same* BD and control subjects (i.e., a within-subject design).

Here we report a within-subject study in healthy individuals that aims to determine whether viewing higher-strength stimuli — using grating drift speed as the stimulus strength factor — can induce faster BR. The predominance of drifting gratings over stationary gratings increases with drift speed (Wade et al., 1984), suggesting that changing from stationary to drifting stimuli increases stimulus strength (in accordance with Levelt, 1965; see below). It is not clear, however, whether the sensitivity function for drift speed is non-monotonic and whether gratings drifting at 4 cycles/s as used in previous studies (Miller et al., 2010; Pettigrew & Miller, 1998; Vierck et al., 2013) are the peak of such a non-monotonic function. Hence 8 cycles/s gratings are also assessed in the current study to examine whether this particular drift speed drives BR rate faster than 4 cycles/s gratings, or whether the 4 cycles/s gratings represent a ceiling effect for BR rate. Here we report a



comparatively large within-subject BR dataset of healthy individuals ( $n=40$ ) to directly assess and clarify the effect of stimulus strength on BR rate.

The study protocol also enabled assessment of a secondary aim, i.e., the effect of stimulus size on mixed-percept duration (MPD). MPD is the total time spent perceiving mixed percepts in a given BR viewing period, and provides a measure of the degree of perceptual mixing between each eye's presented image. BR rate is derived by dividing the total number of perceptual alternations by the total BR viewing period, excluding responses to mixed percepts. As such, reducing an individual's total MPD provides more data on which to base the calculation of BR rate and thus improves accuracy of the BR rate measure. There have been reports that smaller BR stimuli between  $0.5^\circ$  and  $2^\circ$  of visual angle increase exclusive percept visibility (O'Shea, Sims, & Govan, 1997; see also Blake, O'Shea, & Mueller, 1992; Skerswetat, Formankiewicz, & Waugh, 2016), which corresponds to a shorter MPD. The current study thus aimed to examine whether reducing the size of a BR stimulus from  $1.5^\circ$  (Miller et al., 2003, 2010) to  $1^\circ$  or  $0.5^\circ$  of visual angle would produce a shorter MPD. We did not assess stimuli subtending larger than  $1.5^\circ$  so as to avoid inducing a longer MPD. Furthermore, because earlier studies examining the effect of stimulus size on exclusive visibility used only small samples (Blake et al., 1992; O'Shea et al., 1997; Skerswetat et al., 2016;  $n=3$  and  $4$  and  $11$ , respectively), the current study employed a comparatively large dataset ( $n=40$ ) to clarify the effect of stimulus size modulation on MPD. However, interpretation of these MPD data will require caution as the mixed-percept response option also included subjects' erroneous responses (see Methods and Discussion).

The current experiment is also relevant to the historical literature because stimulus-related modulation of BR temporal dynamics has been a focus for rivalry researchers since Breese (1899; see also Wade & Ngo, 2013), and especially since the seminal four-proposition framework of BR dynamics by Levelt (1965). Recently reviewed in detail by Brascamp et al.

(2015), these propositions have mostly been examined experimentally by assessing contrast-modulated dominance duration (i.e., the time a percept maintains exclusive dominance). Such experiments involve keeping constant the stimulus strength presented to one eye, while manipulating the stimulus strength presented to the other eye (see Levelt's Proposition III discussed in Brascamp et al., 2015). Relevant to the current study, Levelt's Proposition IV holds that increasing the stimulus strength *matched between both eyes* should induce a faster BR rate, and it has indeed been observed using dominance duration as the dependent variable and contrast as the stimulus strength factor (e.g., Alexander & Bricker, 1952; Breese, 1899, 1909; Platonov & Goossens, 2013; van Ee, 2009). Moreover, two earlier reports indicated that increasing stimulus strength matched between both eyes up to a certain level — where spatial frequency was the stimulus strength factor — produced more BR alternations in a given observation period, but the number of alternations decreased beyond that level (Kitterle & Thomas, 1980; O'Shea, Parker, & Alais, 2009). There has also been mention in the literature, based only on unanalysed data and limited pilot observations, of greater stimulus strength (using drift speed) presented to both eyes inducing a faster BR rate (Norman, Norman, & Bilotta, 2000). Other than these pilot observations however, to our knowledge no study has yet properly examined Levelt's Proposition IV using drift speed as the stimulus strength factor. The experimental protocol of the current study thus enabled direct testing of Levelt's Proposition IV with this stimulus strength factor, albeit within a restricted range of drift speeds.

Finally, compared with typical psychophysics experiments, the relatively large sample size in the current study enables, for the first time, assessment of individual differences in stimulus-related modulation of BR rate. Individual differences in psychophysical and visual functions have been a topic of resurgent interest and enable new means of probing genetic and environmental influences on sensory and perceptual systems, as well as neurobiological

and pathophysiological mechanisms underlying such influence (e.g., Cappe, Clarke, Mohr, & Herzog, 2014; Grzeczowski, Clarke, Fancis, Mast, & Herzog, 2017; Kanai, Bahrami, & Rees, 2010; Kanai & Rees, 2011; Patel, Stuit, & Blake, 2015; Peterzell, 2016; van Loon, 2013; Wexler, Duyck, & Mamassian, 2015).

## 2. Methods

### 2.1 Participants

Forty naïve healthy adults aged between 20 and 66 years (mean age=34.4 ± 12.7 years; 21 males) with normal or corrected-to-normal vision (6/9 or better in both eyes) participated in the study. Written, informed consent was obtained in the presence of a witness prior to testing according to a protocol approved by the Alfred Human Research Ethics Committee and Monash University Human Research Ethics Committee. The research was conducted in accordance with the Declaration of Helsinki. Visual acuity was assessed with a Snellen chart from a distance of 3 m. Reduced visual acuity decreases an individual's perceived contrast and spatial frequency of the stimulus and thus reduces BR rate (Fahle, 1982; see also Hollins, 1980). Handedness was assessed using the Edinburgh Handedness Inventory (Oldfield, 1971). All participants had their medical and psychiatric history screened using a brief questionnaire and the Mini International Neuropsychiatric Interview (Sheehan et al., 1998) to exclude individuals with a psychiatric disorder (e.g., BD, schizophrenia, major depressive disorder), neurological disorder (e.g., epilepsy), brain injury, or visual disorders (e.g., strabismus, amblyopia, color vision deficiency). Subjects were also screened to exclude individuals with first-degree relatives diagnosed with a psychiatric disorder.

State, trait, and clinical ratings were examined along with psychometric measures prior to the testing session for all subjects. Trait and state anxiety were assessed with the

State-Trait Anxiety Inventory (STAI; Spielberger, Gorsuch, Lushene, Vagg, & Jacobs, 1983; mean= $33.50 \pm 8.59$  and  $24.25 \pm 8.44$ , respectively). Severity of depressive symptoms was assessed with the Montgomery-Åsberg Depression Rating Scale (MÅDRS; Montgomery & Åsberg, 1979; mean= $1.48 \pm 2.61$ ). Subjective mood was assessed with a 10-point self-report visual analogue scale (1 = ‘the worst you have ever felt’ to 10 = ‘the best you have ever felt’; mean= $7.45 \pm 1.24$ ).

## 2.2 Study protocol

Participants abstained from consuming caffeinated drinks, tobacco, and alcohol for 4 hours prior to testing given their known effects on BR rate (Bárány & Haldén, 1947; Donnelly & Miller, 1995; George, 1936; McDougall & Smith, 1920; Seedorff, 1956). All participants completed BR tasks under the supervision of an experimenter throughout the testing session to ensure task compliance (see section 2.3). The BR measures reported in the current study were obtained along with eye-movement task measures. The eye-movement tasks were completed separately and counterbalanced with the BR tasks across participants to avoid potential order effects. Analyses presented in the current study relate only to the BR data. The eye-movement data providing evidence for no relationship with BR rate are reported elsewhere (Law et al., 2015).

## 2.3 Binocular rivalry task: Apparatus and experimental protocol

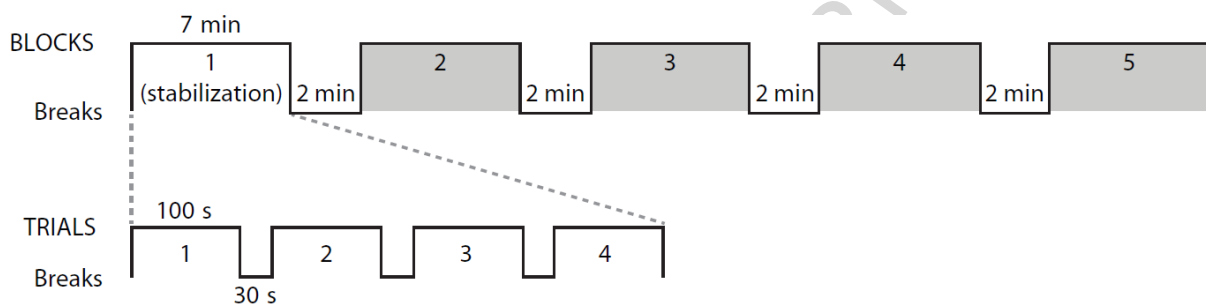
BR stimuli were generated with custom software programmed using Psychtoolbox-3 (Brainard, 1997; Pelli, 1997) in conjunction with MATLAB™ (MathWorks Inc., Natick, MA, USA). The specific square-wave stimuli were green rightward-drifting vertical and downward-drifting horizontal gratings. The stimuli had a spatial frequency of 5.33 cycles/°, were isoluminant between the two eyes, and were presented in a circular aperture on a black

background (stimulus contrast=0.99). Drift speed was either 4 or 8 cycles/s. The luminance of all stimuli (mean=4.8 cd/m<sup>2</sup>) and the background (0.35 cd/m<sup>2</sup>) was measured using a LS-100 luminance meter (Konica Minolta Sensing Americas Inc., Ramsey, NJ, USA) through passive polarizer filters. The four BR stimulus conditions were: (i) 4 cycles/s drift speed in an aperture subtending 1.5°; (ii) 8 cycles/s drift speed in an aperture subtending 0.5°; (iii) 8 cycles/s drift speed in an aperture subtending 1°; and (iv) 8 cycles/s drift speed in an aperture subtending 1.5°.

Subjects were instructed to blink naturally and record what they observed passively (i.e., not to preferentially respond to any of the percepts or try to influence their perceptions). Subjects pressed one raised key (V) on a standard keyboard in response to the left eye's presented image, and an adjacent raised key (B) in response to the right eye's presented image. A third response option (spacebar) was used to indicate response error or the perception of either mixed (e.g., checkerboard or mosaic image) or unusual percepts (e.g., filled circle or double images). BR testing was conducted in a quiet, dimly illuminated room. BR behavioral data collection was run with custom software generated in MATLAB™ (MathWorks Inc., Natick, MA, USA) for Windows 7™ on the customized PC (see below).

After familiarizing subjects with the BR task, the BR testing session comprised five 7-min blocks (see Figure 2), each comprising four 100-s trials. The blocks were separated by 110-s rest breaks and the trials 30-s rest breaks. The first few minutes of BR viewing have been characterized by increases in BR rate within individuals (Aafjes, Heuting, & Visser, 1966; Cogan & Goldstein, 1972; Goldstein, 1968; Hodges & Fox, 1965; Hollins, 1980; Suzuki & Grabowecky, 2007). However, BR rates stabilize with longer BR viewing periods (Miller et al., 2003, 2010), yielding a more accurate measure of an individual's BR rate. Therefore, the first block served to adequately stabilize BR rates for the remaining four test blocks and familiarize the subject with the task to diminish the effects of any response errors.

To avoid potential order effects, the four BR stimulus conditions were counterbalanced across four subgroups of subjects ( $n=10$  each). Each subgroup was run on a different BR stimulus condition for Blocks 1–2. For Blocks 3–5, participants within each subgroup completed the remaining (respective) three BR stimulus conditions, which were counterbalanced across participants within the subgroup. Therefore, each of the 40 participants completed all four BR stimulus conditions.



*Figure 2.* Binocular rivalry testing protocol. Each block comprised 7 min of rivalry viewing across four 100-s trials, with rest breaks interspersed between the blocks and trials (2 min and 30s, respectively). Each of the 40 subjects completed all four stimulus conditions (grey blocks). Four subgroups ( $n=10$ ) were each run on a different stimulus condition in blocks 1–2, followed by the remaining (respective) three stimulus conditions in test blocks 3–5 in counterbalanced order across subjects within each subgroup. Therefore, each of the 40 participants completed all four BR stimulus conditions.

All BR stimuli were dichoptically presented on a specialized 19-inch dual-screen liquid crystal display monitor (True3Di™; Sharper Technology Inc., Palo Alto, CA, USA; 60Hz frame rate, 1,280×1,024 pixel resolution). Each screen was directly behind one of two linear polarizers oriented at right angles to each other, and a half-silvered mirror (beam-combiner) oriented at a 45° angle was between the polarizers. To induce BR, conflicting images of a BR stimulus were independently and simultaneously presented at corresponding

central positions on separate screens that projected each image in orthogonal planes (angles) of polarization. One image is transmitted through the half-silvered mirror while the adjacent image is reflected off the mirror, resulting in an interleaved (superimposed) stimulus of two orthogonally polarized images when naturally viewed (see Law et al., 2013). Subjects viewed the polarized stimulus through passive linear polarizer filters at eye level from a distance of 3 m, resulting in the presentation of conflicting images to corresponding retinal locations of both eyes. Each polarizer filter was tuned to a distinct plane of polarization that enabled the exclusive presentation of one image to one eye while blocking its presentation to the other eye. The result is that simultaneously, the left eye always viewed vertical gratings and the right eye always viewed horizontal gratings. The True3Di™ monitor used to present BR stimuli was connected to a customized PC (Vostro 460 mini-tower; Dell Inc., Round Rock, TX, USA). This PC was fitted with a Gigabyte™ ATI Radeon HD 6850 video card, 8GB RAM, and Cooler Master™ eXtreme Power Plus 700W power supply unit. These modifications were to enable adequate processing capacity by the PC as it was concurrently connected to both the True3Di™ monitor for BR stimuli presentation and a 24-inch single-screen liquid crystal display monitor (P2412H; Dell Inc., Round Rock, TX, USA; 60Hz frame rate, 1,280×1,024 pixel resolution) for displaying the trial-based BR data collection protocol.

The passive linear polarizer method for dichoptic viewing has negligible crosstalk and, when viewed with the head in neutral position, there is minimal ghosting (i.e., the subjective perceptual consequence of crosstalk, whereby there is faint perception in one eye of the other eye's intended image; see Law et al., 2013). To ensure BR viewing was not influenced by the effects of ghosting, subjects were instructed to (i) not tilt or rotate their head, and (ii) view the BR stimulus through the centre of the polarizer filters.

## 2.4 Data analysis

Analysis of participants' BR data employed custom software developed in MATLAB™ (MathWorks Inc., Natick, MA, USA). BR rate was calculated by dividing the total number of perceptual alternations by the total time of BR viewing (expressed in Hz), excluding mixed or unusual percepts and erroneous responses (i.e., incorrectly pressed key responses) which were indicated by pressing the spacebar. Along with BR rate, MPD was assessed, however MPD is only an approximation of the total time spent perceiving mixed percepts because the spacebar response was also used to indicate response error and unusual percepts. Pressing of the spacebar not only initiated onset of a recorded MPD interval, it was also designated by the data analysis program to disregard the immediately previous recorded response to a perceived image (in case the spacebar had been pressed to indicate a previously erroneous response). Notwithstanding the necessary cautious interpretation due to the conflation of MPD with response errors, in a given observation period, a relatively short MPD corresponds to a relatively greater amount of data being collected for calculating BR rate, thus reflecting a more representative and accurate measure of an individual's true BR rate.

Predominance is the prevailing dominance of one image over the other in a given observation period, and was calculated by dividing the total time spent perceiving the vertical grating by the total time spent perceiving the horizontal grating (in seconds). The resulting ratio value was log-transformed ( $PR_{\log}$ ) to account for the disproportionate numerical representation in predominance (i.e., any value  $>1$  for one image cf. values between 0 and 1 for the other image). As such, where there is no perceptual predominance,  $PR_{\log}$  equals zero, whereas  $PR_{\log}$  values less than zero or greater than zero indicate a perceptual predilection towards the horizontal grating or vertical grating, respectively. Individuals' BR rate, total MPD, and  $PR_{\log}$  were calculated for each trial. For each individual, the mean BR rate, total MPD, and mean  $PR_{\log}$  were calculated for all trials. The stabilization block was excluded



from analysis. Statistical analyses were performed with PASW Statistics 17 and R (version 3.2.5; R Core Team, 2016).

### 3. Results

#### 3.1 Stimulus-strength modulation of binocular rivalry rate

BR rate was compared between the stimulus conditions to examine stimulus-strength modulation effects. Normality was violated for the distributions of BR rate (Shapiro-Wilk test;  $p < 0.05$ ). A Friedman test with BR rate as the dependent variable and stimulus conditions as the independent variable showed a significant difference in BR rate across the stimulus conditions ( $p = 3.40 \times 10^{-10}$ ). Pairwise comparisons showed that BR rate for a  $1.5^\circ$  aperture stimulus was significantly faster at 8 cycles/s than at 4 cycles/s ( $p = 3.43 \times 10^{-3}$ ; Bonferroni-adjusted  $\alpha$ :  $0.05/6$  Wilcoxon signed rank tests =  $8.33 \times 10^{-3}$ ; see Table 1 and Figure 3a). In contrast, BR rate for a  $0.5^\circ$  stimulus was significantly slower compared with  $1^\circ$  and  $1.5^\circ$  stimuli drifting at 8 cycles/s ( $p = 3.70 \times 10^{-6}$ ), and compared with a  $1.5^\circ$  stimulus drifting at 4 cycles/s ( $p = 1.32 \times 10^{-5}$ ). There was no significant difference in BR rate between a  $1^\circ$  aperture stimulus drifting at 8 cycles/s and a  $1.5^\circ$  stimulus drifting at 4 or 8 cycles/s ( $p \geq 5.91 \times 10^{-2}$ ). The results for these comparisons remained non-significant at a less conservative  $\alpha$  of 0.05.

Table 1.

*Binocular rivalry (BR) rate, mixed-percept duration (MPD) and log-transformed predominance ratio ( $PR_{\log}$ ) for all stimulus conditions.*

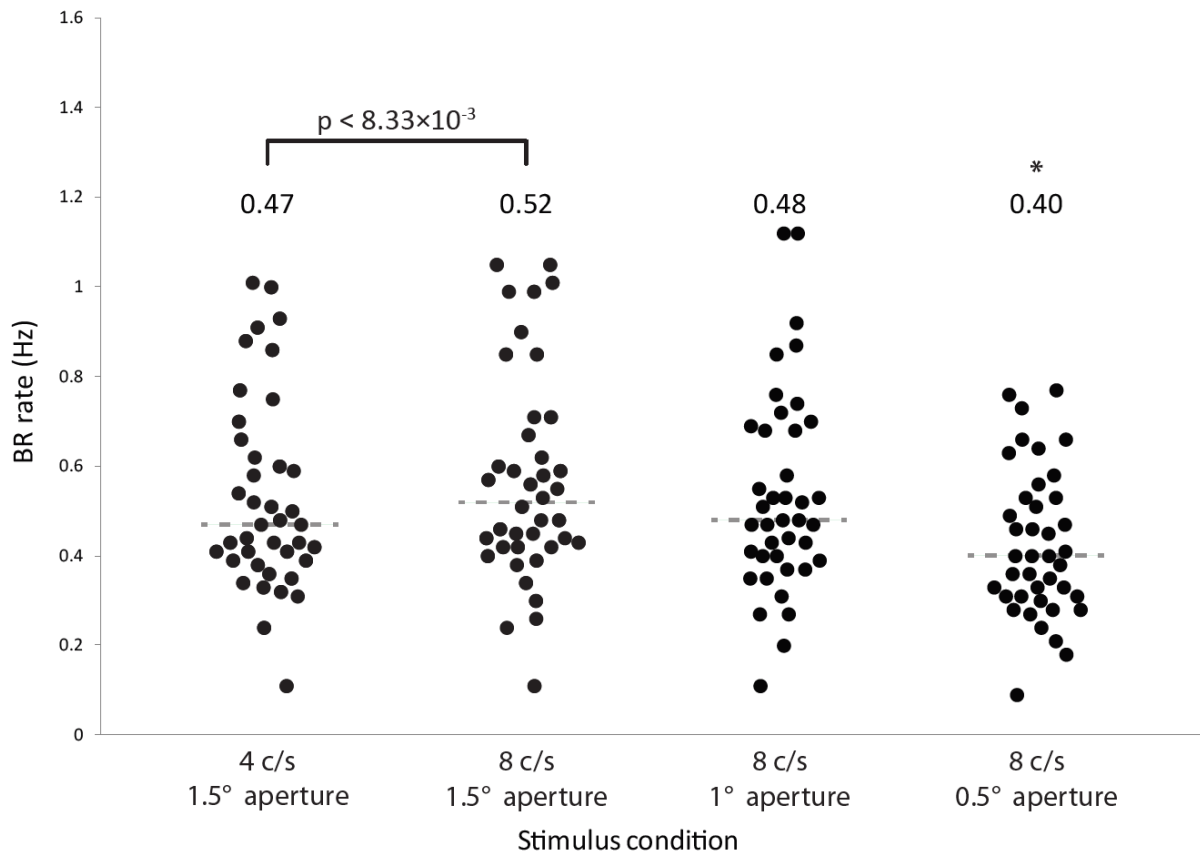
	Median $\pm$ MAD			
	4 c/s $1.5^\circ$ aperture	8 c/s $0.5^\circ$ aperture	8 c/s $1^\circ$ aperture	8 c/s $1.5^\circ$ aperture
BR rate (Hz)	0.47 $\pm$ 0.12	0.40 $\pm$ 0.11	0.48 $\pm$ 0.11	0.52 $\pm$ 0.10
MPD (s)	62.81 $\pm$ 41.17	110.17 $\pm$ 49.61	71.37 $\pm$ 33.46	60.23 $\pm$ 35.41
$PR_{\log}$	0.09 $\pm$ 0.12	0.11 $\pm$ 0.13	0.02 $\pm$ 0.16	0.09 $\pm$ 0.17
	Mean $\pm$ SD			
	4 c/s $1.5^\circ$ aperture	8 c/s $0.5^\circ$ aperture	8 c/s $1^\circ$ aperture	8 c/s $1.5^\circ$ aperture

BR rate (Hz)	$0.53 \pm 0.22$	$0.43 \pm 0.16$	$0.54 \pm 0.23$	$0.57 \pm 0.24$
MPD (s)	$72.12 \pm 53.09$	$123.05 \pm 72.59$	$87.03 \pm 73.98$	$74.34 \pm 69.35$
PR <sub>log</sub>	$0.08 \pm 0.23$	$0.14 \pm 0.45$	$0.01 \pm 0.31$	$0.13 \pm 0.28$

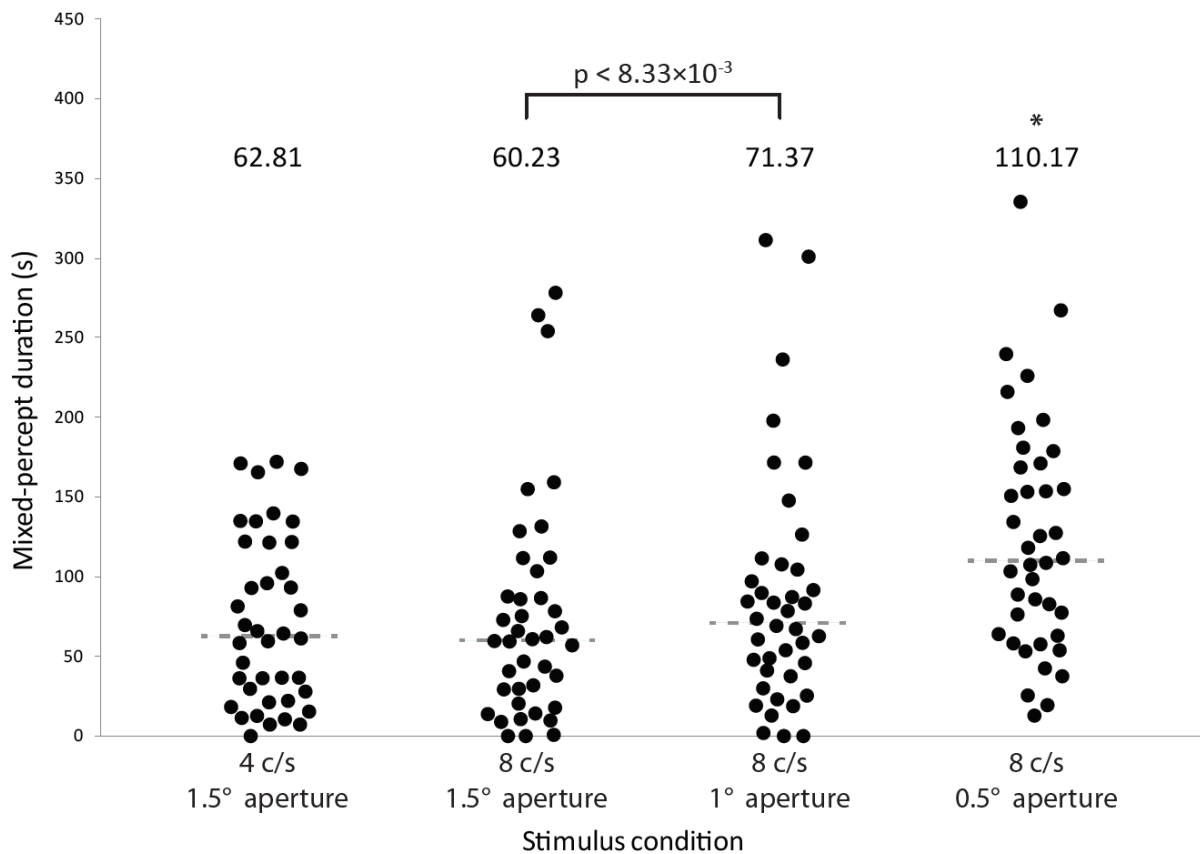
c/s: cycles/second. °: degrees. *MAD*: median absolute deviation. *SD*: standard deviation. Hz: hertz.  
s: seconds.

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(a)



(b)

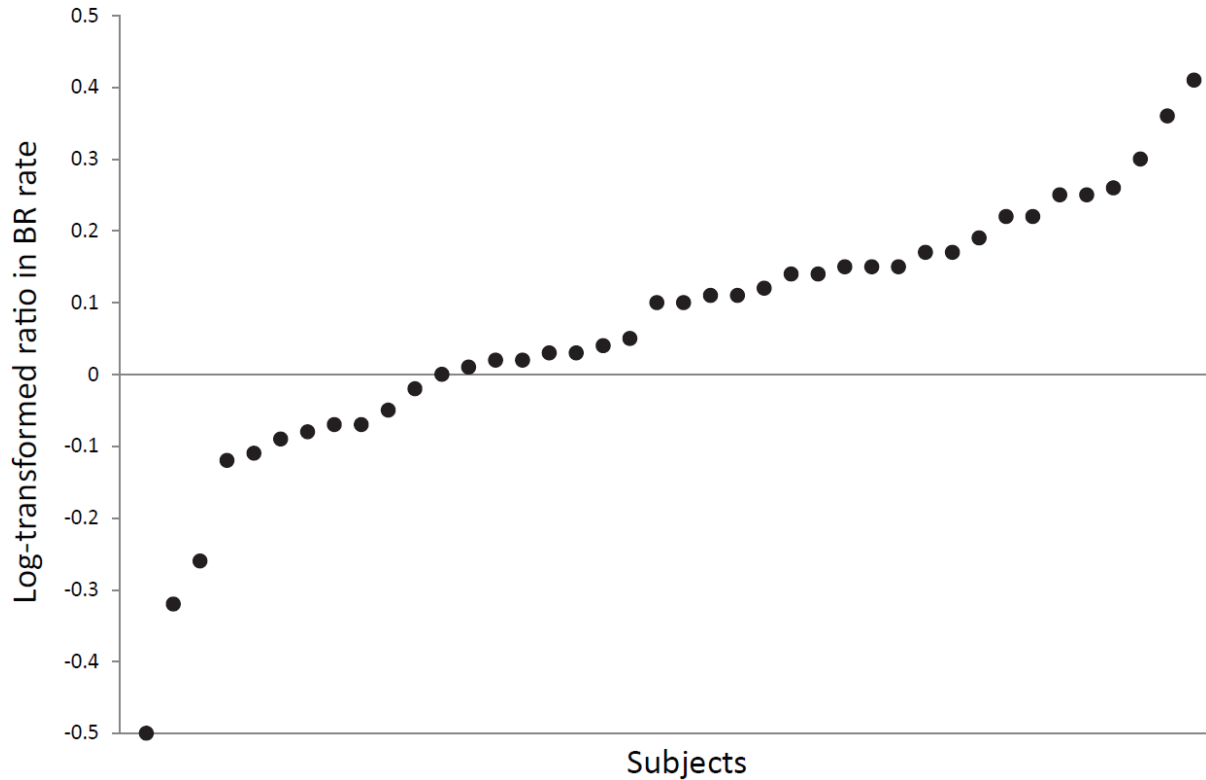


*Figure 3.* Column scatter plots showing (a) binocular rivalry (BR) rate and (b) mixed-percept duration (MPD) for the four stimulus conditions. Each solid black dot on the scatter plots represents an individual data point within the respective stimulus condition. Dashed horizontal lines denote the group median value (in accordance with non-parametric statistics) with the numerical value shown above each line. Horizontal brackets above a pair of column scatter plots denote a significant statistical difference between the two stimulus conditions for the corresponding BR measure,  $p < 8.33 \times 10^{-3}$  (Bonferroni-adjusted  $\alpha$  of 0.05/6 Wilcoxon signed rank tests). \* denotes a significant statistical difference between a particular stimulus condition and all the other stimulus conditions in the respective scatter plot,  $p < 8.33 \times 10^{-3}$  (Bonferroni-adjusted  $\alpha$  of 0.05/6 Wilcoxon signed rank tests). s: seconds. c/s: cycles/second. Stimuli drifting at 8 c/s in a  $1.5^\circ$  aperture produced the fastest BR rate and the shortest MPD (see Discussion).

### 3.2 Individual variation in stimulus-strength modulation of binocular rivalry rate

To examine the individual variation in stimulus-strength modulation of BR rate, an individual's ratio of BR rates (r-BR) was calculated by dividing BR rate for 8 cycles/s by that for 4 cycles/s (in a  $1.5^\circ$  aperture). The resulting value was log-transformed (r-BR<sub>log</sub>) to account for the disproportionate numerical representation in BR rate, i.e., any value  $>1$  for one direction of stimulus-strength modulation whereas values are between 0 and 1 for the other direction. As such, where there is no stimulus-strength modulation of an individual's BR rate, r-BR<sub>log</sub> equals zero, whereas r-BR<sub>log</sub> less than zero or greater than zero indicates a slower or faster BR rate with faster drift speed, respectively. Increasing drift speed from 4 to 8 cycles/s in a  $1.5^\circ$  aperture was found to induce a faster BR rate in a majority of individuals (70% r-BR<sub>log</sub>  $> 0$ ; see Figure 4). However, it is also evident that several individuals showed

exactly the reverse effect of a slower BR rate as drift speed increased ( $27.5\% \text{ } r\text{-BR}_{\log} < 0$ ), while one individual showed no modulation of BR rate ( $r\text{-BR}_{\log} = 0$ ).



*Figure 4.* Individual variation in stimulus-strength modulation of binocular rivalry (BR) rate. The log-transformed ratio of BR rates ( $r\text{-BR}_{\log}$ ) is indicated on the ordinate (*y axis*), with each data point of  $r\text{-BR}_{\log}$  presented in ascending order on the abscissa (*x axis*).  $r\text{-BR}_{\log}$  is calculated by dividing BR rate for 8 cycles/s by that for 4 cycles/s (in a  $1.5^\circ$  aperture stimulus) and log-transforming the resulting ratio value. A  $r\text{-BR}_{\log}$  value of zero denotes no stimulus-strength modulation of BR rate, whereas  $r\text{-BR}_{\log}$  values less than zero or greater than zero denote a slower or faster BR rate with greater stimulus strength, respectively. Increasing stimulus strength, through increasing the drift speed from 4 to 8 cycles/s in a  $1.5^\circ$  aperture stimulus, produced a faster BR rate (i.e., a  $r\text{-BR}_{\log} > 0$ ; Levelt's Proposition IV) in a majority of healthy individuals ( $n=28$  or 70%). However, several individuals ( $n=11$  or 27.5%)

exhibited a reverse effect, i.e., a slower BR rate as drift speed increased (i.e.,  $r\text{-BR}_{\log} < 0$ ), and one individual showed no modulation of BR rate ( $r\text{-BR}_{\log} = 0$ ).

### 3.3 Covariance of binocular rivalry rate between stimuli

Spearman's  $\rho$  correlations were performed to assess the similarity (or covariance) of individuals' BR rates between all stimulus conditions. There was a pattern of significant, high positive correlations in BR rate between all stimulus conditions ( $\rho = 0.79\text{--}0.91$ ,  $p \leq 1.04 \times 10^{-9}$ , one-tailed; Bonferroni-adjusted  $\alpha$ :  $0.05/6$  Spearman's  $\rho$  tests =  $8.33 \times 10^{-3}$ ) — indicating that individuals' BR rates strongly covaried across the stimulus conditions. In addition, a significant high intraclass correlation indicated low variance (or high clustering) in BR rate within each stimulus condition ( $r = 0.95$ ,  $p = 8.70 \times 10^{-37}$ ; average measures, two-way mixed model). These findings indicate that the observed differences in BR rate between the stimulus conditions can be attributed to the manipulation of stimulus strength factors.

### 3.4 Stimulus-strength modulation of mixed-percept duration

MPD was compared between the stimulus conditions to examine stimulus-strength modulation effects on this BR measure. Normality was violated for the distributions of MPD (Shapiro-Wilk test;  $p < 0.05$ ). A Friedman test with MPD as the dependent variable and stimulus conditions as the independent variable showed a significant difference in MPD across the stimulus conditions ( $p = 8.61 \times 10^{-6}$ ). Pairwise comparisons showed that MPD for an 8 cycles/s stimulus was significantly shorter in a  $1.5^\circ$  than a  $1^\circ$  aperture ( $p = 4.23 \times 10^{-3}$ ; Bonferroni-adjusted  $\alpha$ :  $0.05/6$  Wilcoxon signed rank tests =  $8.33 \times 10^{-3}$ ; see Table 1 and Figure 3b). MPD for the 8 cycles/s  $0.5^\circ$  stimulus was also significantly longer compared with all other stimuli ( $p \leq 1.87 \times 10^{-4}$ ). However, there was no significant difference in MPD between

the 4 cycles/s 1.5° stimulus and 8 cycles/s stimulus in a 1° or 1.5° aperture ( $p \geq 0.28$ ). These comparative results remained non-significant at a less conservative  $\alpha$  of 0.05.

### 3.5 Covariance of mixed-percept duration between stimuli

Spearman's  $\rho$  correlations were performed to assess the covariance of individuals' MPDs between all stimulus conditions. There was a pattern of significant, moderate-to-high positive correlations in MPD between all stimulus conditions ( $\rho=0.51-0.91$ ,  $p \leq 3.87 \times 10^{-4}$ , one-tailed; Bonferroni-adjusted  $\alpha$ :  $0.05/6$  Spearman's  $\rho$  tests= $8.33 \times 10^{-3}$ ). This result indicates that individuals' MPDs moderately to strongly covaried across these stimulus conditions, except between the 8 cycles/s 0.5° and 4 cycles/s 1.5° stimuli ( $p=1.44 \times 10^{-2}$ , one-tailed). In addition, a significant high intraclass correlation indicated low variance (or high clustering) in MPD within each stimulus condition ( $r=0.89$ ,  $p=1.26 \times 10^{-21}$ ; average measures, two-way mixed model). These findings indicate that the observed differences in MPD between the stimulus conditions may be attributed to differences in stimulus parameters, however the findings need to be interpreted with caution due to conflation of the MPD response option with reporting of errors.

### 3.6 Predominance

$PR_{\log}$  was compared between the stimulus conditions to examine stimulus-strength modulation effects. Normality was violated for the distributions of  $PR_{\log}$  (Shapiro-Wilk test;  $p < 0.05$ ). A Friedman test with  $PR_{\log}$  as the dependent variable and stimulus conditions as the independent variable showed no significant difference in  $PR_{\log}$  across the stimulus conditions ( $p=0.31$ ). A one-sample Wilcoxon signed rank test was performed on  $PR_{\log}$  for each stimulus condition to assess the perceptual predilection towards one or the other image.  $PR_{\log}$  was significantly greater than zero indicating perceptual bias towards the vertical grating for the 8

cycles/s 0.5° stimulus ( $p=5.97\times 10^{-3}$ ; Bonferroni-adjusted  $\alpha$ : 0.05/4 Wilcoxon signed rank tests= $1.25\times 10^{-2}$ ), but not for the remaining stimuli ( $p\leq 1.55\times 10^{-2}$ ).

Spearman's  $\rho$  correlations were performed to assess the covariance of individuals'  $PR_{\log}$  between all stimulus conditions. There was a pattern of significant, moderate correlations in  $PR_{\log}$  between all stimulus conditions ( $\rho=0.38-0.66$ ,  $p\leq 7.32\times 10^{-3}$ , one-tailed; Bonferroni-adjusted  $\alpha$ : 0.05/6 Spearman's  $\rho$  tests= $8.33\times 10^{-3}$ ). This result indicates that individuals'  $PR_{\log}$  moderately to strongly covaried across the stimulus conditions, except between the 8 cycles/s 0.5° and 4 cycles/s 1.5° stimuli ( $p=0.11$ , one-tailed). In addition, a significant moderate intraclass correlation indicated low variance (or high clustering) in  $PR_{\log}$  within each stimulus condition ( $r=0.69$ ,  $p=1.08\times 10^{-6}$ ; average measures, two-way mixed model). These findings indicate that individuals'  $PR_{\log}$  values were homogeneously clustered across the stimulus conditions.

### 3.7 Association between binocular rivalry measures, psychometric measures and age

Spearman's  $\rho$  correlations were performed between BR rate, MPD and  $PR_{\log}$  for each stimulus condition, to examine the relationship between these measures. No significant correlation was found between BR rate and either MPD or  $PR_{\log}$  across all stimulus conditions ( $p\geq 0.30$ , two-tailed; Bonferroni-adjusted  $\alpha$ : 0.05/4 Spearman's  $\rho$  tests= $1.25\times 10^{-2}$ ; see Table 2). However, there was a significant, moderate positive correlation between MPD and  $PR_{\log}$  for the 4 cycles/s 1.5° stimulus ( $\rho=0.42$ ;  $p=6.68\times 10^{-3}$ ), but not for the remaining stimuli ( $p\geq 0.35$ ).

The association between each BR measure, psychometric measures and age was assessed to examine the relationship between BR and subject factors. Spearman's  $\rho$  correlations were performed for age and each of the four psychometric measures (i.e., STAI-state, STAI-trait, MÅDRS, subjective mood rating) to assess their association with BR rate,



MPD and  $PR_{log}$ . No significant correlation was found between age or any psychometric measure with (i) BR rate ( $p \geq 2.94 \times 10^{-2}$ , one-tailed; two-tailed for subjective mood; Bonferroni-adjusted  $\alpha$ : 0.05/20 Spearman's  $\rho$  tests =  $2.50 \times 10^{-3}$ ; see Table 3), (ii) MPD ( $p \geq 0.16$ , two-tailed) and (iii)  $PR_{log}$  ( $p \geq 0.10$ , two-tailed). At a less conservative  $\alpha$  of 0.05, significant modest correlations were found between BR rate and age, STAI-trait and MÅDRS scores for select stimulus conditions ( $p \leq 4.77 \times 10^{-2}$ ).

Table 2.

*Spearman's  $\rho$  between binocular rivalry (BR) rate, mixed-percept duration (MPD), and log-transformed predominance ratio ( $PR_{log}$ ) for all stimulus conditions.*

		BR rate							
		4 c/s 1.5° aperture		8 c/s 0.5° aperture		8 c/s 1° aperture		8 c/s 1.5° aperture	
		$\rho$	$p$	$\rho$	$p$	$\rho$	$p$	$\rho$	$p$
MPD	4 c/s 1.5° aperture	0.17	0.30	0.10	0.54	0.13	0.43	0.14	0.40
	8 c/s 0.5° aperture	-0.01	0.95	0.06	0.72	0.01	0.97	-0.03	0.86
	8 c/s 1° aperture	0.18	0.27	0.08	0.61	0.06	0.69	0.08	0.62
	8 c/s 1.5° aperture	0.21	0.20	0.16	0.33	0.14	0.38	0.16	0.34
$PR_{log}$	4 c/s 1.5° aperture	0.16	0.31	0.10	0.55	0.25	0.13	0.13	0.42
	8 c/s 0.5° aperture	-0.16	0.32	-0.16	0.34	-0.13	0.43	-0.21	0.20
	8 c/s 1° aperture	-0.03	0.84	0.04	0.82	0.12	0.47	0.06	0.70
	8 c/s 1.5° aperture	-0.06	0.71	-0.13	0.42	0.00	0.99	0.06	0.71
		MPD							
		4 c/s 1.5° aperture		8 c/s 0.5° aperture		8 c/s 1° aperture		8 c/s 1.5° aperture	
		$\rho$	$p$	$\rho$	$p$	$\rho$	$p$	$\rho$	$p$
$PR_{log}$	4 c/s 1.5° aperture	0.42	$6.68 \times 10^{-3}$	0.03	0.86	0.39	$1.27 \times 10^{-2}$	0.35	$2.68 \times 10^{-2}$
	8 c/s 0.5° aperture	0.09	0.60	-0.15	0.35	-0.12	0.47	-0.05	0.79
	8 c/s 1° aperture	0.26	0.10	-0.14	0.38	-0.01	0.97	0.13	0.42
	8 c/s 1.5° aperture	0.23	0.16	-0.26	0.11	0.15	0.37	0.11	0.51

c/s: cycles/second. °: degrees.  $\rho$ : Spearman's  $\rho$ .  $p$ : p value (two-tailed).

Table 3.

*Spearman's  $\rho$  between binocular rivalry (BR) rate, mixed-percept duration (MPD) and log-transformed predominance ratio ( $PR_{log}$ ) for age and psychometric measures across all stimulus conditions.*

	BR rate							
	4 c/s 1.5° aperture		8 c/s 0.5° aperture		8 c/s 1° aperture		8 c/s 1.5° aperture	
	$\rho$	<i>P</i>	$\rho$	<i>p</i>	$\rho$	<i>p</i>	$\rho$	<i>p</i>
Age <sup>a</sup>	-0.16	0.16	-0.25	$5.96 \times 10^{-2}$	-0.30	$2.94 \times 10^{-2}$	-0.30	$3.25 \times 10^{-2}$
STAI-state <sup>a</sup>	0.16	0.16	0.09	0.29	0.11	0.25	0.14	0.20
STAI-trait <sup>a</sup>	0.27	$4.62 \times 10^{-2}$	0.15	0.18	0.16	0.17	0.22	$8.76 \times 10^{-2}$
MÅDRS <sup>a</sup>	0.05	0.39	0.10	0.27	0.17	0.14	0.27	$4.77 \times 10^{-2}$
Subjective mood	-0.06	0.70	0.05	0.75	-0.01	0.97	-0.02	0.90

	MPD							
	4 c/s 1.5° aperture		8 c/s 0.5° aperture		8 c/s 1° aperture		8 c/s 1.5° aperture	
	$\rho$	<i>P</i>	$\rho$	<i>p</i>	$\rho$	<i>p</i>	$\rho$	<i>p</i>
Age	-0.10	0.54	0.05	0.75	-0.05	0.78	-0.05	0.75
STAI-state	0.10	0.54	0.01	0.95	0.07	0.68	0.17	0.29
STAI-trait	0.20	0.22	0.03	0.87	0.09	0.58	0.23	0.16
MÅDRS	0.03	0.87	0.09	0.58	0.05	0.78	0.07	0.66
Subjective mood	0.08	0.63	-0.18	0.27	-0.10	0.55	0.01	0.95

	$PR_{log}$							
	4 c/s 1.5° aperture		8 c/s 0.5° aperture		8 c/s 1° aperture		8 c/s 1.5° aperture	
	$\rho$	<i>P</i>	$\rho$	<i>p</i>	$\rho$	<i>p</i>	$\rho$	<i>p</i>
Age	-0.08	0.64	0.10	0.54	-0.17	0.30	0.01	0.93
STAI-state	0.19	0.25	-0.26	0.11	0.04	0.82	-0.15	0.34
STAI-trait	0.20	0.22	-0.16	0.33	0.04	0.81	-0.14	0.38
MÅDRS	-0.09	0.60	-0.16	0.32	0.13	0.42	-0.05	0.76
Subjective mood	-0.15	0.37	0.27	0.10	0.18	0.28	0.19	0.24

c/s: cycles/second. °: degrees. MPD: mixed percept duration.  $PR_{log}$ : log-transformed predominance ratio. STAI: State-Trait Anxiety Inventory. MÅDRS: Montgomery-Åsberg Depression Rating Scale.  $\rho$ : Spearman's  $\rho$ . *p*: *p* value. <sup>a</sup> one-tailed (two-tailed for all other measures).

### 3.8 Power analysis

Power analysis indicated that, assuming a power of 0.80 and a conservative Bonferroni-adjusted  $\alpha$  of  $8.33 \times 10^{-3}$  (0.05/6 Wilcoxon signed rank tests; two-tailed), the current study's sample size of 40 would be sufficient to detect a significant difference in BR rate, MPD and  $PR_{log}$  between stimulus conditions with a minimum true effect size of 0.60 (Gpower; Faul & Erfelder, 1992). Likewise, assuming a power of 0.80 and a conservative Bonferroni-adjusted

$\alpha$  of  $1.25 \times 10^{-2}$  (0.05/4 Spearman's  $\rho$  tests; two-tailed), the current study's sample size of 40 would be sufficient to detect a significant correlation between BR rate, MPD and  $PR_{\log}$  with a minimum true effect size of 0.49. For age and psychometric measures, assuming a power of 0.80 and a conservative Bonferroni-adjusted  $\alpha$  of  $2.50 \times 10^{-3}$  (0.05/20 Spearman's  $\rho$  tests), the current study's sample size of 40 would be sufficient to detect a significant correlation between BR rate and MPD/ $PR_{\log}$  with a minimum true effect size of 0.52 (one-tailed) and 0.55 (two-tailed), respectively.

#### 4. Discussion

The current study represents the largest BR dataset examining the effect of stimulus strength on BR rate, with drift speed as the stimulus strength factor. It is also the largest BR dataset to examine the effect of stimulus aperture size on MPD. Each individual's BR rate and MPD was determined for different grating stimuli that varied in drift speed and aperture size. The current study found that viewing higher-strength stimuli in both eyes (i.e., 8 cycles/s drift speed in  $1^\circ$  or  $1.5^\circ$  aperture) induced a significantly faster BR rate. In addition, viewing larger stimuli (i.e.,  $1.5^\circ$  aperture) drifting at either 4 or 8 cycles/s produced a relatively shorter total MPD, supporting the use of a  $1.5^\circ$  stimulus in generating a more accurate and representative measure of an individual's BR rate. Overall, the findings indicate that of the stimuli assessed in this study, the 8 cycles/s  $1.5^\circ$  aperture stimulus induced the fastest and most accurate BR rate in healthy individuals.

However, it is important to note that in the current study, the 4 cycles/s  $1.5^\circ$  aperture stimulus produced a mean BR rate of 0.53 Hz (i.e., a switch every 1.89 s,  $n=40$ , mean age=34.4 years). This finding is comparable to previous studies using this stimulus type in healthy adolescents (Miller et al., 2010; 0.54 Hz or a switch every 1.85 s,  $n=722$ , mean age=14.1 years) and healthy adults (Pettigrew & Miller, 1998; 0.60 Hz or a switch every 1.67

s,  $n=49$ , age range=19–55 years; Vierck et al., 2013; 0.53 Hz or a switch every 1.89 s,  $n=24$ , mean age=32.3 years). In the current study, the mean BR rate of the 8 cycles/s  $1.5^\circ$  stimulus (i.e., 0.57 Hz or a switch every 1.75 s), although significantly faster according to statistical analysis, is only marginally different from the rate for the 4 cycles/s  $1.5^\circ$  stimulus, and only marginally different from the rates for 4 cycles/s  $1.5^\circ$  stimuli used in previous studies (Miller et al., 2010; Pettigrew & Miller, 1998; Vierck et al., 2013). Although there are only small differences in BR rate between these high-strength drifting BR stimuli in the current study (i.e., 4 and 8 cycles/s), and the high-strength drifting BR stimuli in previous studies, they all induced markedly faster BR rates than those induced by *stationary* grating stimuli. These stationary stimuli entailed: (i) a  $2^\circ$  aperture and spatial frequency of 2 cycles/ $^\circ$  in a recent large sample (Bosten et al., 2015; 0.28 Hz or a switch every 3.57 s,  $n=1,051$ , age range=16–40 years; stimulus luminance of 39 cd/m<sup>2</sup>), and (ii) a  $1.5^\circ$  aperture and a spatial frequency of 4 cycles/ $^\circ$  (Miller et al., 2003; 0.40 Hz or a switch every 2.50 s,  $n=30$ , age range=27–63 years). However, it is worth noting that Bosten et al. did not exclude responses to mixed percepts, which would have the effect of yielding an apparently slower BR rate. Nonetheless, given large BR rate differences between drifting and stationary stimuli used across all these studies — but only small BR rate differences between the two high-strength stimuli used in the current study — it is unlikely that further increasing drift speed above 8 cycles/s will induce faster BR rates with any meaningful relevance for large-scale BR studies (see below).

It is also noteworthy that the spatial frequency of gratings drifting at 4 cycles/s in the current study is lower than that of the same drift speed in previous studies (Miller et al., 2010; Pettigrew & Miller, 1998), yet the resulting BR rate obtained in the current study was similar to that obtained in the previous studies. This observation suggests that the reduction in spatial frequency in the current study to 5.33 cycles/ $^\circ$  (cf. 8 cycles/ $^\circ$  in the previous studies) is unlikely to affect BR rate to any great degree. In the current study we did not vary spatial

frequency to examine this issue directly. Such studies have been performed however, albeit involving small sample sizes and stationary gratings, showing the sensitivity function for spatial frequency to be non-monotonic and peaking at approximately 4 cycles/° (Kitterle & Thomas, 1980; O'Shea et al., 1997). With respect to all stimulus parameters, it remains possible that additional modulation of BR rate (and MPD) might become evident if a wider range of such parameters (e.g., spatial frequency) and a wider range of values within each parameter (e.g., drift speeds, aperture sizes) were tested than those in the current study. Further work with multiple stimulus parameter combinations and variations could clarify their effect on BR temporal dynamics (e.g., Skerswetat et al., 2016). The implications of the current BR rate findings for future endophenotype studies are discussed further below.

Returning to MPD, the results also show that increasing the aperture size of an 8 cycles/s stimulus from 1° to 1.5° significantly reduced the total MPD in a given observation period, with MPD not differing significantly between 4 and 8 cycles/s stimuli in a 1.5° aperture. This finding is in contrast to reports that increasing stimulus aperture size decreases exclusive visibility (i.e., increases MPD; Blake et al., 1992; O'Shea et al., 1997; Skerswetat et al., 2016). However, the stimuli assessed in the current study subtended 0.5°, 1° and 1.5°, thus varying across a much narrower range than that in O'Shea et al. (1997; i.e., stimuli subtended between 0.5° and 8°). In O'Shea et al., regarding the data for stimulus aperture sizes similar to that used in the current study (i.e., 0.5°, 1° and 2° in their study cf. 0.5°, 1° and 1.5° in the current study), as well as spatial frequency similar to that in the current study (i.e., 4 cycles/° in their study cf. 5.33 cycles/° in the current study), it was evident from their two subjects for whom data were reported in full, that MPD (by way of assessing exclusive percept visibility) exhibited either of the following patterns: (i) it increased with aperture size in one subject (in contrast to the present findings); or (ii) it increased minimally with aperture size in the other subject. Importantly, the current study used a much larger sample size of 40

than the few subjects used in earlier studies (Blake et al., 1992; O'Shea et al., 1997; Skerswetat et al., 2016;  $n=3$  and 4 and 11, respectively). It should also be noted that the current study used drifting gratings rather than stationary gratings used in those earlier studies. One way to further probe these discrepant findings therefore, is to repeat the current experiment using both drifting and stationary stimuli in a large sample of healthy individuals.

It is also important to note that the MPD findings of the current study should be interpreted with caution due to conflation of the MPD response option with reporting of erroneous responses. This response protocol raises the possibility that rather than MPD per se varying according to stimulus size, it may be that the erroneous response rate varies in this way. That is, a higher erroneous response rate for the smallest aperture size could also explain the apparent MPD finding for that size. Even if this scenario was the case however, it would still be true that the smallest aperture size yielded a less accurate measure of an individual's true BR rate (be it through extra errors with this size, a longer MPD, or both of these factors).

Regarding other measures collected in the current study, it was found that although there was a significant perceptual bias towards the left-eye's presented image for all but one of the stimuli (i.e., 8 cycles/s  $0.5^\circ$  stimulus), this predominance was not significantly correlated with BR rate (i.e., predominance can vary while BR rate remains constant). Individuals' BR rates and MPDs were also not associated with each other. In addition, each BR measure (BR rate, MPD,  $PR_{log}$ ) was not associated with age, state anxiety, trait anxiety, severity of depressive symptoms, and subjective mood, for any stimulus condition. The present findings are consistent with reports of no significant association between BR rate and state anxiety (Anderson et al., 2013). However, the findings conflict with reports that slower BR rate is associated with increasing age (Bosten et al., 2015; Jalavisto, 1964; Ukai et al., 2003) and lower trait anxiety (Anderson et al., 2013; though noting the low correlation in that

study; see also Nagamine et al., 2007). Nonetheless the results from the current study are in the same direction as these previously reported findings.

Potential explanations for these discrepant results are worth mentioning. For example, in regard to BR rate, it is possible that more statistical power from a larger sample size could reveal significant correlations with age and psychometric measures. In addition, the experimental protocol of the current study enabled stabilization of an individual's BR rate, which was not the case in other studies that examined BR rate in relation to age (Bosten et al., 2015; Jalavisto, 1964; Ukai et al., 2003). The current study also determined BR rate using longer observation periods of seven minutes (post-stabilization). This protocol difference meant that a greater amount of individual BR data was collected in the current study compared with previous studies showing the relationship between BR rate and age/anxiety (except Nagamine et al., 2007, in which the same amount of data was collected). It is also worth noting that the complexity of BR stimuli was different between the current study and that of Anderson et al. (2013; i.e., orthogonal gratings cf. house-face images, respectively).

In addition to having implications for large-scale studies of BR rate as a BD endophenotype, the present main finding of higher strength stimuli in both eyes inducing faster BR rate confirms Levelt's (1965) Proposition IV of BR dynamics for the first time using drift speed as the stimulus strength factor. An interesting additional question regarding Levelt's Proposition IV is whether individual variation in (matched-eye) stimulus-related modulation of BR rate is exhibited. The current study found that a majority of healthy individuals reported stimulus-related modulation of BR rate consistent with Levelt's Proposition IV, but also that a sizable proportion of the large sample ( $n=11$  of 40) reported the reverse effect (i.e., a slower BR rate with greater stimulus strength presented to both eyes). This individual variation observation underscores the importance of attending to individual variation in psychophysical BR studies — by using larger sample sizes than those

traditionally used — as well as in other visual, sensory, behavioral and computational neuroscience studies.

Investigation of slow BR rate as an endophenotype for BD, and of BR anomalies in other clinical conditions, requires large-scale studies, standardized test protocols, and optimal stimuli for maximal group separation. The current study has shown that high-strength  $1.5^\circ$  aperture BR stimuli with 8 cycles/s drift speed induced a significantly faster and more accurate BR rate in healthy individuals. However, the findings also show that BR stimuli drifting at 4 cycles/s, as used in previous studies (see above), induce BR rates only minimally slower than stimuli drifting at 8 cycles/s. For this reason, it cannot yet be recommended to change to the faster drift speed for endophenotype studies until there has been direct assessment of this stimulus strength issue in a BD cohort.

As further research emerges on important issues of stimulus optimization and BR test platforms suitable for very large-scale studies, the field may also move toward standardized BR testing protocols across clinical conditions and research centres. Achieving this goal will enable comparisons to be made between clinical BR studies and thereby shed light on commonalities and differences between underlying pathophysiologies. Explicitly addressing issues of individual differences in BR dynamics may also provide clues to understanding the neurobiology of both BR and its anomalies across a spectrum of brain disorders.

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## **DISCLOSURES**

The authors of this paper do not have any commercial associations that might pose a conflict of interest in connection with this manuscript.

ACCEPTED MANUSCRIPT

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Table 1.

*Binocular rivalry (BR) rate, mixed-percept duration (MPD) and log-transformed predominance ratio ( $PR_{log}$ ) for all stimulus conditions.*

Median $\pm$ MAD				
	4 c/s 1.5° aperture	8 c/s 0.5° aperture	8 c/s 1° aperture	8 c/s 1.5° aperture
BR rate (Hz)	0.47 $\pm$ 0.12	0.40 $\pm$ 0.11	0.48 $\pm$ 0.11	0.52 $\pm$ 0.10
MPD (s)	62.81 $\pm$ 41.17	110.17 $\pm$ 49.61	71.37 $\pm$ 33.46	60.23 $\pm$ 35.41
$PR_{log}$	0.09 $\pm$ 0.12	0.11 $\pm$ 0.13	0.02 $\pm$ 0.16	0.09 $\pm$ 0.17
Mean $\pm$ SD				
	4 c/s 1.5° aperture	8 c/s 0.5° aperture	8 c/s 1° aperture	8 c/s 1.5° aperture
BR rate (Hz)	0.53 $\pm$ 0.22	0.43 $\pm$ 0.16	0.54 $\pm$ 0.23	0.57 $\pm$ 0.24
MPD (s)	72.12 $\pm$ 53.09	123.05 $\pm$ 72.59	87.03 $\pm$ 73.98	74.34 $\pm$ 69.35
$PR_{log}$	0.08 $\pm$ 0.23	0.14 $\pm$ 0.45	0.01 $\pm$ 0.31	0.13 $\pm$ 0.28

c/s: cycles/second. °: degrees. MAD: median absolute deviation. SD: standard deviation. Hz: hertz. s: seconds.

Table 2.

Spearman's  $\rho$  between binocular rivalry (BR) rate, mixed-percept duration (MPD), and log-transformed predominance ratio ( $PR_{\log}$ ) for all stimulus conditions.

		BR rate							
		4 c/s 1.5° aperture		8 c/s 0.5° aperture		8 c/s 1° aperture		8 c/s 1.5° aperture	
		$\rho$	$p$	$\rho$	$p$	$\rho$	$p$	$\rho$	$p$
MPD	4 c/s 1.5° aperture	0.17	0.30	0.10	0.54	0.13	0.43	0.14	0.40
	8 c/s 0.5° aperture	-0.01	0.95	0.06	0.72	0.01	0.97	-0.03	0.86
	8 c/s 1° aperture	0.18	0.27	0.08	0.61	0.06	0.69	0.08	0.62
	8 c/s 1.5° aperture	0.21	0.20	0.16	0.33	0.14	0.38	0.16	0.34
$PR_{\log}$	4 c/s 1.5° aperture	0.16	0.31	0.10	0.55	0.25	0.13	0.13	0.42
	8 c/s 0.5° aperture	-0.16	0.32	-0.16	0.34	-0.13	0.43	-0.21	0.20
	8 c/s 1° aperture	-0.03	0.84	0.04	0.82	0.12	0.47	0.06	0.70
	8 c/s 1.5° aperture	-0.06	0.71	-0.13	0.42	0.00	0.99	0.06	0.71
		MPD							
		4 c/s 1.5° aperture		8 c/s 0.5° aperture		8 c/s 1° aperture		8 c/s 1.5° aperture	
		$\rho$	$p$	$\rho$	$p$	$\rho$	$p$	$\rho$	$p$
$PR_{\log}$	4 c/s 1.5° aperture	0.42	$6.68 \times 10^{-3}$	0.03	0.86	0.39	$1.27 \times 10^{-2}$	0.35	$2.68 \times 10^{-2}$
	8 c/s 0.5° aperture	0.09	0.60	-0.15	0.35	-0.12	0.47	-0.05	0.79
	8 c/s 1° aperture	0.26	0.10	-0.14	0.38	-0.01	0.97	0.13	0.42
	8 c/s 1.5° aperture	0.23	0.16	-0.26	0.11	0.15	0.37	0.11	0.51

c/s: cycles/second. °: degrees.  $\rho$ : Spearman's  $\rho$ .  $p$ : p value (two-tailed).

Table 3.

Spearman's  $\rho$  between binocular rivalry (BR) rate, mixed-percept duration (MPD) and log-transformed predominance ratio ( $PR_{\log}$ ) for age and psychometric measures across all stimulus conditions.

	BR rate							
	4 c/s 1.5° aperture		8 c/s 0.5° aperture		8 c/s 1° aperture		8 c/s 1.5° aperture	
	$\rho$	$P$	$\rho$	$p$	$\rho$	$p$	$\rho$	$p$
Age <sup>a</sup>	-0.16	0.16	-0.25	$5.96 \times 10^{-2}$	-0.30	$2.94 \times 10^{-2}$	-0.30	$3.25 \times 10^{-2}$
STAI-state <sup>a</sup>	0.16	0.16	0.09	0.29	0.11	0.25	0.14	0.20
STAI-trait <sup>a</sup>	0.27	$4.62 \times 10^{-2}$	0.15	0.18	0.16	0.17	0.22	$8.76 \times 10^{-2}$
MÅDRS <sup>a</sup>	0.05	0.39	0.10	0.27	0.17	0.14	0.27	$4.77 \times 10^{-2}$
Subjective mood	-0.06	0.70	0.05	0.75	-0.01	0.97	-0.02	0.90
	MPD							
	4 c/s 1.5° aperture		8 c/s 0.5° aperture		8 c/s 1° aperture		8 c/s 1.5° aperture	
	$\rho$	$P$	$\rho$	$p$	$\rho$	$p$	$\rho$	$p$
Age	-0.10	0.54	0.05	0.75	-0.05	0.78	-0.05	0.75
STAI-state	0.10	0.54	0.01	0.95	0.07	0.68	0.17	0.29
STAI-trait	0.20	0.22	0.03	0.87	0.09	0.58	0.23	0.16
MÅDRS	0.03	0.87	0.09	0.58	0.05	0.78	0.07	0.66
Subjective mood	0.08	0.63	-0.18	0.27	-0.10	0.55	0.01	0.95
	$PR_{\log}$							
	4 c/s 1.5° aperture		8 c/s 0.5° aperture		8 c/s 1° aperture		8 c/s 1.5° aperture	
	$\rho$	$P$	$\rho$	$p$	$\rho$	$p$	$\rho$	$p$
Age	-0.08	0.64	0.10	0.54	-0.17	0.30	0.01	0.93
STAI-state	0.19	0.25	-0.26	0.11	0.04	0.82	-0.15	0.34
STAI-trait	0.20	0.22	-0.16	0.33	0.04	0.81	-0.14	0.38
MÅDRS	-0.09	0.60	-0.16	0.32	0.13	0.42	-0.05	0.76
Subjective mood	-0.15	0.37	0.27	0.10	0.18	0.28	0.19	0.24

c/s: cycles/second. °: degrees. MPD: mixed percept duration.  $PR_{\log}$ : log-transformed predominance ratio. STAI: State-Trait Anxiety Inventory. MÅDRS: Montgomery-Åsberg Depression Rating Scale.  $\rho$ : Spearman's  $\rho$ .  $p$ :  $p$  value. <sup>a</sup> one-tailed (two-tailed for all other measures).



## Highlight

- Binocular rivalry stimuli — rightward-drifting vertical gratings and downward-drifting horizontal gratings — drifting at 8 cycles/s in a  $1.5^\circ$  aperture induced the fastest BR rate without increasing mixed-percept duration.
- Binocular rivalry rate with this stimulus configuration was not substantially different to BR rate with stimulus parameters we have used in previous studies (i.e., 4 cycles/s drift speed in a  $1.5^\circ$  aperture).
- The individual variation observation underscores the importance of attending to individual variation in psychophysical BR studies — by using larger sample sizes than those traditionally used.