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PV-PCM Integration in Glazed Building. Co-Simulation and Genetic Optimization Study

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Abstract

The study describes a multi-objective optimization algorithm for an innovative integration of forced ventilated PV-PCM modules in glazed façade buildings: the aim is to identify and optimize the parameters that most affect thermal and energy performances. 1-D model, finite difference method FDM, thermal resistances technique and enthalpy method were applied to describe different façade solutions and transient thermal performance of PCM. The coupling between the PV-PCM façade code implemented in MATLAB and the TRNSYS software was developed to estimate the dynamic thermal energy profiles. An exploratory step has also been considered prior to the optimization algorithm: it evaluates the energy profiles before and after the application of PCM to PV module integrated in glazed building. The optimization analysis investigate parameters such as ventilation flow rates and time schedule to obtain the best combination suiting the PCM performance and external-internal loads. A group of solution were identified on the Pareto front. Savings in thermal loads for the best individual reached 26.4% while the best in temperature increment in operating temperatures was recorded as 6.8% comparing to the design set temperature.

Keywords: PV-PCM integrated façade, Genetic Algorithm, Optimization, TRNSYS, Thermal Numerical Simulation

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1. Introduction

1.1. Background - PCM integration to PV and building sector

Although the building sector represents more than 40% of the total energy consumption in Europe (El-Sawi et al. [1]), it includes a wide range of possible solutions concerning innovative energetic technologies. One of these solutions is integrating of phase changing materials (PCM) in the building components([2], [3], [4], [5]). Nghana and Tariku [6] have investigated the potential of PCM through numerical and experimental studies. The field experimental study is conducted using twin side-by-side buildings and for the numerical study; EnergyPlus has been used after being benchmarked with the experimental results. It was concluded that PCM are effective in stabilizing the indoor air by reversing the heat flow direction and reducing indoor air and wall temperature fluctuations by 1.4 °C and 2.7 °C respectively. Jin et al. [7] have investigated effects of PCM location on the thermal performance of building walls. The experimental results showed that PCM state had great effects on the degree of supercooling and the phase change performance of PCM. Velasco et al. [8] have illustrated the procedure to verify and validate the PCM model in EnergyPlus using a similar approach as dictated by ASHRAE Standard 140, which consists of analytical verification, comparative testing, and empirical validation. Preliminary results using whole-building energy analysis show that careful analysis should be done when designing PCMs in homes, as their thermal performance depends on several variables such as PCM properties and location in the building envelope. Several studies have investigated different techniques to implement PCM in building components. On the other hand, photovoltaic (PV) modules integration in the building sector is one of the effective and easiest application to improve the energy performance (Machniewicz et al. [9]). The PV modules on building façades and rooftops is an ideal application of solar electricity generators in the urban environmen, (Urbanetz et al. [10]). Tian et al. [11] have developed a PTEBU model to evaluate the effect of PV system on the microclimate of urban canopy layer and it was concluded that that PV roof and PV façade with ventilated air gap significantly change the building surface temperature and sensible heat flux density. Yoon at al. [12] have mentioned that Dye-sensitized solar cell (DSSC) is considered one of the most promising photovoltaic systems for building integration. Accordingly the relationship between the transparency, the PV efficiency and the overall energy efficiency of a building when DSSC is applied as window system have been investigated. It is shown that the efficiency of less

transparent DSSC is generally higher due to higher short circuit current density (J_{sc}) from the thick electrode. The efficiency of PV modules depends on the solar radiation intensity and temperature of the panel (Ma. et al. [13]). Six basic techniques of PV thermal management could be identified: natural or forced air circulation, hydraulic or thermoelectric cooling, heat pipes and implementation of phase changing materials. Although the implementation of PCM on the back side of PV panel reduces and stabilizes its surface temperature (Pielichowska et al. [14]), the system, as a whole, needs a detailed analysis since that PCM thermal performance is depending on many uncontrolled dynamic conditions such as external temperature and wind speed (Brano et al. [15]). Elarga et al. in [16] and in [17] have highlighted that the correct choice of the transition temperature of the PCM, coupled with a synchronized ventilation strategies could ensure keeping the PCM under its melting/solidification phase i.e. the highest range of specific heat capacity values and accordingly higher working efficiency of PV-PCM modules.

1.2. Background - Building optimization algorithms

The analysis of the energy consumption in a building is complex and includes several parameters that could affect the performances, furthermore, the parameters to be considered in an optimization algorithm are diverse and overlapping. The necessity to implement co-simulation between different dynamic models is essential to obtain realistic results. Concerning the energy and indoor temperature variation (ITV) performance, the optimization should include set of parameters such as the effect of climate, building architectural design, ventilation schedule and control of energy storage systems. Several novel method/design tools have been developed for aiding the optimal building design (Lu et al. [18]). De Gracia et al. [19] have investigated active thermal storage system TES applying a control system based on reinforcement learning technique. This proposed optimization algorithm has evaluated the system performance based on energy savings, cost reduction and CO_2 mitigation under different climates condition which obtained a more realistic evaluation concerning the building sector development. Hamdy et al. [20] proposed a modified multi-objective optimization approach which is combined with IDA ICE (building performance simulation program)[21] to minimize the investment and the equivalent CO_2 emissions for a family house including the HVAC system. Asadi et al. [22] have analyzed multiple choices for retrofitting a building implementing simulation-based multi-objective optimization scheme (a combination of TRNSYS, GenOpt and a Tchebycheff optimization technique developed in MATLAB) to optimize the retrofit

cost, energy savings and indoor temperature variation compared to design temperatures of a residential building. GenOpt is an optimization program for the minimization of a cost function that is evaluated by an external simulation program. However, GenOpt is not capable of handling multi-objective optimization. A Tchebycheff programming procedure has been developed in MATLAB to tackle the multi-objective optimization problem. A real case study is used to demonstrate the functionality of the proposed approach. The results verify the practicability of the approach and highlight that, by taking into consideration more possibilities available for building retrofit as well as more objectives for making the solving procedure extremely difficult and time-consuming. Ascione et al. [23] have proposed a simulation based model predictive control (MPC) procedure (EnergyPlus and MATLAB) consisting in the multi-objective optimization for operating cost and thermal comfort of a space conditioning system. The critical issue of huge computational time, typical of simulation-based MPC, is overcome by adopting a reliable minimum run period. Carlucci et al. [24] have implemented a multi-objective optimization to minimize thermal and visual discomfort, concluding that design procedures unsupported by automated optimization tools might find a hard challenge in exploring the entire space of variables and covering towards optimal solutions. Bambrók et al. [25] conducted a design optimization using a building energy simulation program IDA ICE for a detached low energy house in the mild warm Sydney climate. The aim of the optimization was to reduce the building heating and cooling demand to the level at which the heating and cooling system was no longer necessary. Wang et al. [26] investigated the optimal design solutions for zero energy building design in UK. Optimal design strategies and energy systems, including passive design parameters (external walls, window to wall ratios and orientations) and energy efficient mechanical systems as well as renewable energy systems, were provided by employing EnergyPlus and TRNSYS 16 simulation software. The weighing of the parameters on the under investigated objectives is one of the important aspects to be taken into consideration during the optimization phase. Bucking et al. [27] proposed a methodology to identify the influential variations on the building performance. A back-tracking search identified that 8 of 26 variables have significant effects on the net-energy consumption in a house case-study, especially solar orientation, variables related to the sizing of a roof-based PV system and energy-related occupant behavior. Sun [28] studied the impacts of macro-parameters of buildings and systems (such as wall thickness, window to wall ratio, system COP) and their variations in a nearly zero energy buildings (nZEB) on the system design through a systematic

95 sensitivity analysis. He found that the indoor temperature set-point is the most significant factor in determining all building system sizes and the overall initial investment cost, followed by the system COP and internal gain intensity.

In the present work, the innovative integration of PV-PCM inside the cavity of double skin glazed facade [29] has taken a step forward. The PV-PCM calculation module is integrated in
100 an optimization loop, based on genetic algorithms. The multi-objective optimization schema has been developed on the basis of S.O.C.R.A.TE. algorithm (Dal Monte et al. [30] and [31]) in order to force the creation and mutation operators of the MATLAB R2013b [32] gamultiobj algorithm to assume integer values in part of the genetic pool. The mathematical problem results in a mixed-integer optimization where two types of design variables are considered: the
105 ventilation schedule variables assume values in an integer domain, the mass flow rates variables take values in a continuous domain. The objective behind the optimization analysis is to identify the best combination of parameters such as the schedule and duration of ventilation inside the air cavities and the starting ventilation hours during three different periods of the year (winter, summer and mid seasons) to improve the chosen fitness functions represented by two conflicting
110 objectives which are the thermal loads and the indoor temperature variation. It is important to highlight that indoor temperature variation term is defined as the difference between the operating and the design temperature values.

2. Methodology

The overall approach of the present numerical study is concluded in employing finite
115 difference method FDM, fixed nodal grid and thermal resistances techniques to describe the different façade solutions under investigation. The methodology section is subdivided into five parts. The first gives a brief introduction to the thermal resistance method implemented to simulate different glazed/PV modules. The second is discussing numerical model of the PV integrated façade, the third is dedicated to describe the PCM integration to PV façade module.
120 Following this, an illustration of how to couple the two numerical models (TRNSYS 16 and MATLAB R2013b) is given. Finally the genetic optimization algorithm is clarified.

2.1. Brief introduction to thermal resistances method

In this section a brief explanation on how to model the glazed and PV elements composing the façade external module via 1-dimensional nodal grid is given. The nodes are connected by

125 a group of thermal resistances R_k , R_c and R_{rad} represents respectively conduction, convection, and radiation heat transfer. Thermal resistances are determined respectively through equations 1, 2, and 6.

$$R_k = x/k \quad (1)$$

where x is thickness and k is thermal conductivity.

$$R_c = 1/h_c \quad (2)$$

where h_c is the convection heat transfer coefficient.

130 On the other, the convection heat transfer through multiple skin façade occurs in different modes which are necessary to be taken into consideration. The three different modes are a) between the external layer and outside, b) the inner layer and inside and c) the forced ventilated cavity. The empirical laws to estimate the convection heat transfer coefficient CHTC are:

a) **Outdoor layer** the McAdams correlation [33] was adopted;

$$h_o = 5.62 + 3.9v \quad (3)$$

b) **Indoor layer**, the convection heat transfer is evaluated using equation 4, [34]

$$h_i = \left\{ \left[1.5 \left| \frac{\Delta T}{h_f} \right|^{0.25} \right]^6 + \left[1.23(\Delta T)^{0.33} \right]^6 \right\}^{1/6} \quad (4)$$

135 where ΔT is the difference between the indoor air temperature and the indoor surface temperature, while h_f is the floor height.

c) **Inside the forced ventilated cavity** N_u equation 5 is employed[35]:

$$N_u = (0.037(R_e)^{0.8} - 871)P_r^{1/3} \quad (5)$$

where, N_u =Nusselt number, R_e = Reynolds number, P_r = Prandtl number.

The radiation heat exchange R_r is identified by equation 6 and the radiation heat transfer coefficient is represented in equation 7 [35].

$$R_r = 1/h_r \quad (6)$$

140 The h_r ; is the radiation heat transfer coefficient equals:

$$h_r = \frac{4\sigma(T_{avg})^3}{\left(\frac{1}{\varepsilon_1} + \frac{1}{\varepsilon_2} - 1\right)} \quad (7)$$

where T_{avg} is the average temperature of the two opposite surfaces, which has been evaluated as function of nodal temperature in the previous time step values i.e. implicit solution [36].

2.1.1. Overall approach

The solution of the overall approach could be summarized in deriving energy balance equations for each node. Later, all equations are solved simultaneously employing a finite difference technique to estimate the heat transfer mechanism. In the numerical model, the solution domain is defined by finite number of grid points in which the derived linear equations form a matrix system as shown in equation 8, where A is the matrix of coefficients, X is the vector of unknowns and B is the column vector of known terms. The system is solved by inverting the matrix to obtain the unknown temperature values X . More details related to the numerical modelling techniques are found in [37] and [38].

$$A * X = B \quad (8)$$

2.2. PV integrated façade

The section illustrates how to model the PV integrated façade in order to include it in the exploratory investigation to evaluate the influence of PCM integration to PV integrated buildings PVIB. The fixed grid is represented by eight nodes and the coupling between the fixed grid model, developed on MATLAB, to TRNSYS is further discussed in Section 2.4. Optical and physical characteristics of the PV module are clarified in Table 1.

2.2.1. Description of the mathematical model

The mathematical model is consists of a sequence of 8 nodes representing elements of PV module integrated inside two glass, as illustrated in Figure 1. In Figure 2, thermal resistances

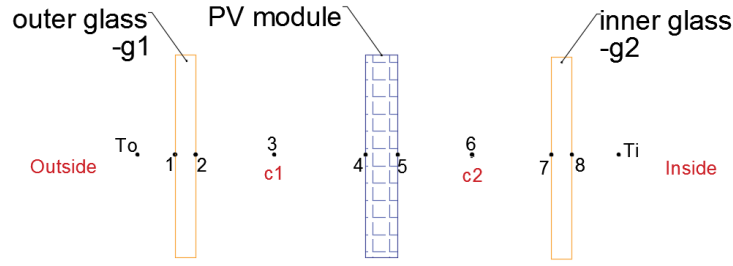


Figure 1: Representation of the PV configuration scheme.

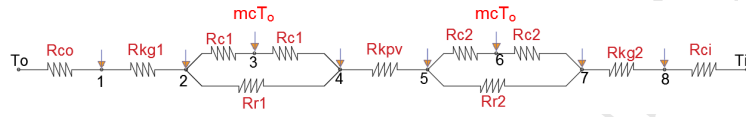


Figure 2: Representation of the RC model.

Table 1: PV technical specifications

Cell type	a-si thin film
Front glass	6 mm tempered glass
Rear glass	6 mm tempered glass
PV glass	3.2 float glass
Sol. Transmission	7.4%
Sol. Reflection	10.2%

between nodes are shown, where R_{co} , R_{ci} are the convection heat transfer coefficients between external layer/outside and internal layer/inside ambient conditions respectively. R_{kg1} , R_{kpv} and R_{kg2} are conductive resistances for glass $g1$, PV module and glass $g2$.

In nodes 1 and 8, the heat transfer through the outer and inner layers of the glazed façade respectively is described by two thermal resistances, the convection heat transfer with the ambient conditions and the conduction transfer within the glazed layer itself. The right hand side of all energy equations includes the transmitted solar radiation I_{tr} multiplied by the solar absorption coefficient a_g of the glazed layer under investigation.

Node (1):

$$\left(-\frac{k_{gl}}{x_{g1}} - h_o\right)T_1 + \left(\frac{k_{gl}}{x_{g1}}\right)T_2 = -I_{tr1}\left(\frac{a_{g1}}{2}\right) - (T_o h_o) \quad (9)$$

Node (8):

$$\left(\frac{k_{g2}}{x_{g2}}\right)T_7 + \left(-h_1 - \frac{k_{g2}}{x_{g2}}\right)T_8 = -I_{tr3}\left(\frac{a_{g2}}{2}\right) - (T_i h_i) \quad (10)$$

165

Nodes 2, 4, 5 and 7 are representing glazed layers and PV module opposed surfaces. In these nodes, the energy balance equations from 11 to 14 respectively are including thermal resistances of conductivity, I_{tr} solar radiation and convection heat transfer.

Node (2):

$$\left(\frac{k_{g1}}{x_{g1}}\right)T_1 + \left(-\frac{k_{g1}}{x_{g1}} - h_{C1} - h_r - \right)T_2 + h_{C1}T_3 + h_r T_4 = -I_{tr1}\left(\frac{a_{g1}}{2}\right) \quad (11)$$

Node (4):

$$\left(\frac{k_{pv}}{x_{pv}}\right)T_5 + \left(-\frac{k_{pv}}{x_{pv}} - h_{C1} - h_r - \right)T_4 + h_{C1}T_3 + h_r T_2 = -I_{tr2}\left(\frac{a_{pv}}{2}\right) \quad (12)$$

Node (5):

$$\left(\frac{k_{pv}}{x_{pv}}\right)T_4 + \left(-\frac{k_{pv}}{x_{pv}} - h_{C2} - h_r - \right)T_5 + h_{C2}T_6 + h_r T_7 = -I_{tr2}\left(\frac{a_{pv}}{2}\right) \quad (13)$$

Node (7):

$$\left(\frac{k_{g3}}{x_{g3}}\right)T_8 + \left(-\frac{k_{g3}}{x_{g3}} - h_{C2} - h_r - \right)T_7 + h_{C2}T_6 + h_r T_5 = -I_{tr3}\left(\frac{a_{g2}}{2}\right) \quad (14)$$

Nodes 3 and 6 are used to describe the two air cavities ($c1$ and $c2$) which are separated by the PV module [39]. The cavities ventilation is *out to out technique*, i.e. air comes from outside, ventilates the cavity and is exhausted to the outside again. External air temperature value T_o for each time step (15 min) is compensated in the equations 15 and 16.

Node (3):

$$h_{C1}T_2 + (-2h_{C1} - \dot{m}c)T_3 + h_{C1}T_4 = -(\dot{m}c)T_o \quad (15)$$

Node (6):

$$h_{C2}T_5 + (-2h_{C2} - \dot{m}c)T_6 + h_{C2}T_7 = -(\dot{m}c)T_o \quad (16)$$

2.3. PV-PCM model

In this subsection, the enthalpy numerical method which describes thermal performance of PCM is briefly presented. Later the energy balance equations describing the PV-PCM fixed grid
175 model are shown. However, the enthalpy method has been previously illustrated and validated against experimental data [16]. For more details concerning the experimental campaign, reader should refer to [40].

The enthalpy method was proposed by Voller and Swaminathan [41], to model the thermal
180 behaviour of materials undergoing a phase change, under the assumption that phase change occurs over an arbitrarily narrow temperature range. In this way, the enthalpy can be related to the temperature by a piecewise continuous function. Assuming constant specific heat capacity in each phase, the enthalpy can be approximated using three temperature possibility ranges as in equation 17:

$$H = \begin{cases} c_s T & \text{if } T \leq T_m - \varepsilon \\ c_s(T_m - \varepsilon) + \left[\frac{c_s + c_l}{2} + \frac{L}{2\varepsilon} \right] (T - T_m + \varepsilon) & \text{if } T_m - \varepsilon \leq T \leq T_m + \varepsilon \\ c_l T + (c_s - c_l)T_m + L & \text{if } T \geq T_m + \varepsilon \end{cases} \quad (17)$$

Where ε is an arbitrarily small value representing half the phase change temperature
185 interval. The approximate definition $H(T)$ can be differentiated into equation 18 with respect to temperature.

$$C^A = \frac{dH}{dT} = \begin{cases} c_s & \text{if } T \leq T_m - \varepsilon \\ \left[\frac{c_s + c_l}{2} + \frac{L}{2\varepsilon} \right] & \text{if } T_m - \varepsilon \leq T \leq T_m + \varepsilon \\ c_l & \text{if } T \geq T_m + \varepsilon \end{cases} \quad (18)$$

The definitions of $H(T)$ and C^A can be used to linearise the discretized enthalpy equation in an iterative form, as in equation 19.

$$a_{nb}T_{nb} - (a_p + \rho C^A)(T_P)^n = a_p \rho C^A (T_P)^{n-1} - \rho \frac{V}{\Delta\tau} [H_p^o - H_p^{n-1}] \quad (19)$$

where H_p^o is the enthalpy node value of the previous time step, H_p^{n-1} represents the enthalpy
 190 node value of iteration $n - 1$, a are the nodal coefficients and τ is the time step.

The solution domain is defined where the derived linear equations form a matrix system, which
 is instantaneously solved by inverting the matrix to obtain the temperature values according
 to an iterative scheme. The initial values of each time step are represented by the converged
 solution of the previous time step. From the known temperature field and enthalpy at iteration
 195 $n - 1$, the temperatures in each node are updated. In order to ensure solution consistency,
 a correction and iterative loop has to be followed by saving the solution of the matrix in the
 previous iteration and then re-solving the system after correcting the nodal temperature T_P^n by
 equation 20 with three possibilities of enthalpy ranges until the convergence is reached. The
 code thus saves the calculated temperature field, and starts a new time step.

$$T_P^n = \begin{cases} \left(\frac{H_P^n}{c_s} \right) & \text{if } H_P^n \leq c_s(T_m - \epsilon) \\ \left(\frac{H_P^n + \left[\frac{c_s + c_l}{2} + \frac{L}{2\epsilon} \right] (T_m - \epsilon)}{\frac{c_s + c_l}{2} + \frac{L}{2\epsilon}} \right) & \text{if } c_s(T_m - \epsilon) \leq H_P^n \leq c_s(T_m + \epsilon) \\ \frac{H_P^n - (c_s - c_l)T_m - L}{c_l} & \text{if } H_P^n \geq c_s(T_m + \epsilon) + L \end{cases} \quad (20)$$

200 2.3.1. Description of the mathematical model

In the PV-PCM mathematical model a scheme composed by 15 nodes is adopted, as shown
 in Figures 3 and 4, where R_{kp} represents thermal conductivity resistance of PCM node.

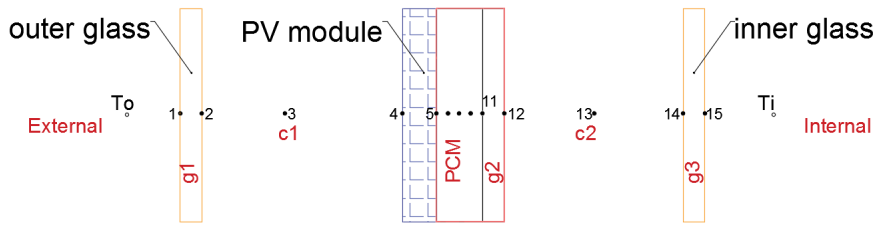


Figure 3: Representation of the PV-PCM facade configuration scheme.

Thermal conduction and convection resistances are moderating the thermal performance of
 the external/internal nodes 1 and 15, equations 21 and 22 respectively.

$$\left(-\frac{K_{gl}}{x_{g1}} - h_o \right) T_1 + \left(\frac{K_{gl}}{x_{g1}} \right) T_2 = -I_{tr1} \left(\frac{a_{g1}}{2} \right) - (h_o T_o) \quad (21)$$

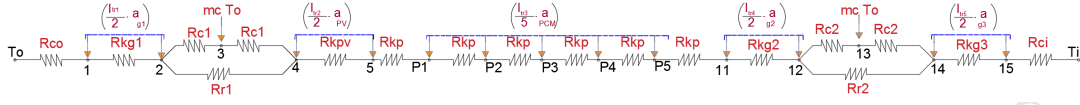


Figure 4: Representation of the RC scheme.

$$\left(-\frac{K_{g3}}{x_{g3}} - h_i\right)T_{15} + \left(\frac{K_{gl}}{x_{g1}}\right)T_{14} = -I_{tr5}\left(\frac{a_{g1}}{2}\right) - (h_i T_i) \quad (22)$$

Radiation heat exchange between surfaces is appeared in the energy balance between nodes 2, 4 and between 12 and 14.

Node (2):

$$\left(\frac{K_{g1}}{x_{g1}}\right)T_1 + \left(-\frac{K_{gl}}{x_{g1}} - h_{C1} - h_r\right)T_2 + h_{C1}T_3 + h_r T_4 = -I_{tr1}\left(\frac{a_{g1}}{2}\right) \quad (23)$$

Node (4):

$$h_r T_2 + h_{C1}T_3 + \left(-h_r - h_{C1} - \frac{k_{pv}}{x_{pv}}\right)T_4 + \left(\frac{k_{pv}}{x_{pv}}\right)T_5 = -I_{tr2}\left(\frac{a_{pv}}{2}\right) \quad (24)$$

Node (12):

$$\left(\frac{k_{g2}}{x_{g2}}\right)T_{11} + \left(-h_r - h_{C2} - \frac{k_{g2}}{x_{g2}}\right)T_{12} + h_{C2}T_{13} + h_r T_{14} = -I_{tr4}\left(\frac{a_{g2}}{2}\right) \quad (25)$$

Node (14):

$$\left(\frac{K_{g3}}{x_{g3}}\right)T_{15} + \left(-\frac{K_{g3}}{x_{g3}} - h_{C2} - h_r\right)T_{14} + h_{C2}T_{13} + h_r T_{12} = -I_{tr5}\left(\frac{a_{g3}}{2}\right) \quad (26)$$

205 Forced convection was implemented within both cavities represented by node 3 and 13 in equations 27 and 28 respectively.

$$h_{C1}T_2 + (-2h_{C1} - \dot{m}c)T_3 + h_{C1}T_4 = -(\dot{m}c)T_o \quad (27)$$

$$h_{C2}T_{12} + (-2h_{C2} - \dot{m}c)T_{13} + h_{C2}T_{14} = -(\dot{m}c)T_o \quad (28)$$

In Nodes 5 and 11 thermal conductivity is the only heat transfer mode dominating the energy balance.

Node (5):

$$\left(\frac{k_{pv}}{x_{pv}}\right)T_4 + \left(-\frac{k_{pv}}{x_{pv}} - \frac{k_{p1}}{x_{p1}}\right)T_5 + \left(\frac{k_{p1}}{x_{p1}}\right)T_{p1} = -I_{tr2}\left(\frac{a_{pv}}{2}\right) \quad (29)$$

Node (11):

$$\left(\frac{k_{p5}}{x_{p5}}\right)T_{p5} + \left(-\frac{k_{p5}}{x_{p5}} - \frac{k_{g2}}{x_{g2}}\right)T_{11} + \left(\frac{k_{g2}}{x_{g2}}\right)T_{12} = -I_{tr4}\left(\frac{a_{g2}}{2}\right) \quad (30)$$

Each homogeneous sub layer from the five nodes composing PCM layer (nodes from P1 to
 210 P5, as shown in Figure 4) is represented by a conductive resistance and an enthalpy term, for
 the sake of brevity; clarified only one node thermal balance node P2, in equation 31:

$$\begin{aligned} &\left(\frac{k_p}{x_p}\right)T_{p1} + \left(-2\frac{k_p}{x_p} - \frac{\rho x_p (c^A)_{p2}}{\Delta\tau}\right)T_{p2} + \left(\frac{k_p}{x_p}\right)T_{p3} = \\ &-I_{tr3}\left(\frac{a_{pcm}}{2}\right) + \left(\frac{\rho x_p (c^A)_{p2}}{\Delta\tau}\right)^{n-1} T_{p2}^{n-1} - \rho \frac{x_p}{\Delta\tau} [H_p^o - H_P^{n-1}] \end{aligned} \quad (31)$$

2.4. MATLAB-TRNSYS coupling

In order to obtain a reliable simulation of the impact of a PV-PCM combination on the
 building energy demand, a yearly thermal loads analysis has to be clarified. The previously
 215 presented PV-PCM 1-D model developed in MATLAB has to be linked to TRNSYS/16 (Klein
 et al. [42]). TRNSYS is a dynamic thermal model that takes into account both the external
 and internal loads and the stored heat in the building components. The interaction between
 MATLAB and TRNSYS Simulation Studio has been carried out using TYPE155 from TRNYS
 library. This type is dedicated to read external codes executed by MATLAB. The numerical
 220 algorithm starts by linking the required weather condition from TYPE16 to both the MATLAB
 and the zone built in TRNbfd TYPE56. Generally, it is mandatory to link the weather file to
 TYPE56 in order to operate the simulation model . On the other hand, for each listed inner
 zone on TYPE56, there is availability to set its input data and boundary conditions as a user
 defined option. The PV-PCM -1D numerical code estimates the temperature and transmitted
 225 solar radiation for each of the fixed grid nodes including the last node which represents the
 inner surface layer temperature i.e. node 15. However, the transient interface between TRNSYS
 and MATLAB models occurs in air node 13 (see Figure 4). The estimated transmitted solar
 radiation and air temperature with a 15 minutes time step are read as a user defined value for the
 inner layer zone on TYPE56. The reason behind considering the coincident interface between

230 the two models in node 13 is that to obtain the correct inner glazed surface energy balance and its final temperature, the complete room structure and the correspondent radiative/convective heat exchange between all room inner surfaces(which includes also thermal storage of the room walls) have to be considered. The inner glass layer has been identified in TRNbld TYPE56 library with the specifications of Table 2.

235

Density	2500 kg/m^3
Capacity	0.84 $kJ/(kgK)$
Conductivity	0.27 $kJ/(hmK)$

Table 2: Glazed layer specifications

The inside set temperatures, adopted in the analysis, for the summer and winter seasons are defined in Table 3. The considered façade is west oriented, with an area of $70m^2$ (3.5m height, 20m width). The office area is $80m^2$ and internal loads were evaluated as $24W/m^2$. All internal walls were considered adjacent to other offices with the same design set of temperature.

	Period	Working days	Weekend
Cooling season	Working hours (07:00 19:00)	25°C	29°C
	Idle hours (19:00 07:00)	32°C	32°C
Heating season	Working hours (07:00 19:00)	20°C	17°C
	Idle hours (19:00 07:00)	15°C	15°C

Table 3: Inside Set Temperature

240 The physical characteristics of the used PCM is shown in Table 4, [43].

PCM(35RT)	
Solid temperature (lower limit of phase change range)	34 °C
Nominal, melting temperature	35 °C
Liquid temperature (upper limit of phase change range)	36 °C
Specific heat capacity	2 kJkg ⁻¹ K ⁻¹
Latent heat of fusion	160 kJkg ⁻¹
Max. Operation Temperature	70 °C
Density Liquid, 40°C	0.77 kgl ⁻¹
Density Solid, 25°C	0.88 kgl ⁻¹

Table 4: Physical characteristics of PCM

2.5. Genetic Algorithm Optimization

Genetic algorithms represent one of the most powerful tool to analyse problems characterized by the influence of several parameters that lead to the definition of multiple optimal solutions. The representation of the optimization schema adopted for the proposed analysis is shown in Figure 5. The overall optimization is lead by MATLAB gamultiobj algorithm; the genes represent the parameters for the PV-PCM façade calculation (carried in MATLAB) and the resulting distributions of the cavity air node temperature T_{ac} , transmitted solar energy I_{tr} and cavity convection heat transfer coefficient h_C are saved. The inner zone calculation, implemented in the TRNSYS module, uses the previous distributions in order to evaluate the thermal load Q_{th} and the operating temperature T_{op} evolutions in each time step of the year. In the second MATLAB module, different in-house functions elaborate the data in order to determine two single parameter value to describe the performances of the individual.

The population adopted for optimization consists of 40 individuals composed by a set of 8 genes; the optimization run for a total number of 50 generations. The first population considered in the algorithm is random initialized.

2.5.1. Design Variables

In the optimization terminology, an *individual* represents the codification of a certain setting of the analysis and the resulting performances are calculated starting from its parameters; furthermore, the individual's *chromosome* consists of the sequence of parametric values to be optimized.

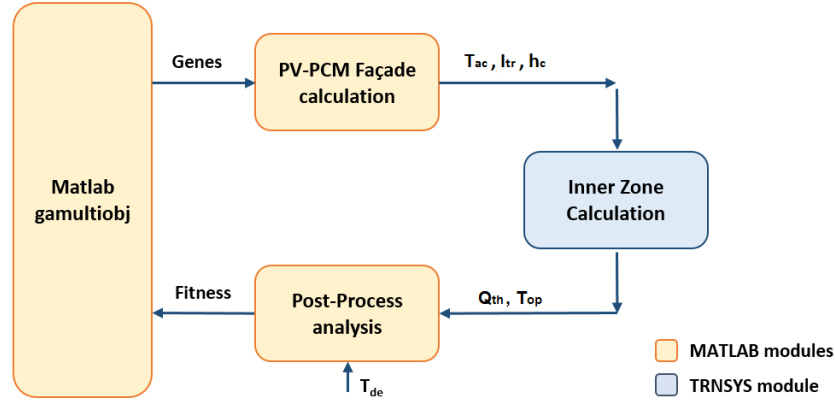


Figure 5: Schematic representation of the genetic algorithm.

In the present optimization, the considered design variables (defined with the X array, illustrated in Table 5) determines the schedule of the ventilation inside the two cavities; genes $X(1)$ and $X(2)$ represent the mass flow values multiplied by the air specific heat capacity (mc_{p1} and mc_{p2} in $[J/sK]$), in genes from $X(3)$ to $X(5)$ the starting hour of the ventilation system is fixed for Winter, Mid-Seasons and Summer; finally genes from $X(6)$ to $X(8)$ establish the duration of the ventilation during the three periods. The parameters that determine the schedules of ventilation are represented by an integer number: the values are further converted in a starting hour or a duration using a factor of 30 minutes. For instance, the ventilation in winter of the baseline individual (3^{rd} row of Table 5) starts at $8.00a.m.$ ($16 * 0.5h$, Gene $X(3)$) and is turned off after 8 hours ($16 * 0.5h$, Gene $X(3)$).

	X(1)	X(2)	X(3)	X(4)	X(5)	X(6)	X(7)	X(8)
Lower Bounds	60	60	0	0	0	0	0	0
Upper Bounds	360	360	48	48	48	20	20	20
Baseline	100	100	16	16	16	16	16	16

Table 5: Upper and lower bounds adopted for the design variables, chromosome of the baseline individual considered for the optimization.

2.5.2. Objective Functions and Constraints

The optimization problems concerns the minimization of two conflicting objective functions. The first objective function f_Q is represented by the ratio between the total thermal load of a

year, of the considered individual and the baseline setting, calculated as:

$$f_Q = \frac{\sum_{i=1}^n |Q_{th,i}|}{Q_{th,bas}} \quad (32)$$

275 The ITV $f_{\Delta T}$ is the second objective function. In the present formulation, it is defined as the differences between the calculated operating temperature T_{op} and the desired design temperature T_{de} in order to guarantee the minimal deviation from the ideal trend of temperature. The value is normalized with respect the value of the baseline configuration:

$$f_{\Delta T} = \frac{\sum_{i=1}^n |T_{op,i} - T_{de,i}|}{\Delta T_{bas}} \quad (33)$$

The calculated values for the baseline configuration are: $Q_{th,bas} = 33220kWh$ and
280 $\Delta T_{bas} = 97679K$; these values represent the summation of the thermal loads and differences in temperatures during one year.

Furthermore, a linear inequality constraint is set in order to limit the sum of mass flow rate multiplied by the air specific heat capacity mc_p in cavities 1 and 2 to $400J.s^{-1}K^{-1}$, i.e. $0.4kg.s^{-1}$.

$$X(1) + X(2) \leq 400 \quad (34)$$

285 The choice of using two contrasting functions allows to determine a Pareto front and to identify several solutions, based on the importance given to the considered objectives.

3. Results and Discussion

The results are divided into two main sections; first, the baseline configuration with and without PCM is presented in order to highlight the effect of PCM on the performance, the
290 second section contains the results of the optimization and different individuals belonging to the Pareto Front are analysed.

3.1. Exploratory analysis: PV with and without PCM integrated façade

For a complete test reference year data TRY, two simulations were run considering cases with and without PCM. Forced ventilation system is assumed, the air flow rate from external
295 ambinet for both cavities were considered $10l/s$ per façade meter [44], [45] and it was ON

during working hours, which started from 7.00 to 19.00. The inside set temperatures have been previously specified in Table 3.

Thermal analysis has been investigated for both cases to highlight the influence of the PCM latent heat storage capability. In Figure 6 the thermal energy profile for the week of 16th – 23th of July is presented; in fact, according to the TRY database, this month is recorded as the hottest month in Venice summer season. As it is shown, thermal cooling loads are always higher during the day working hours. The implementation of PCM reduced thermal cooling loads all over the summer season by 48 %.

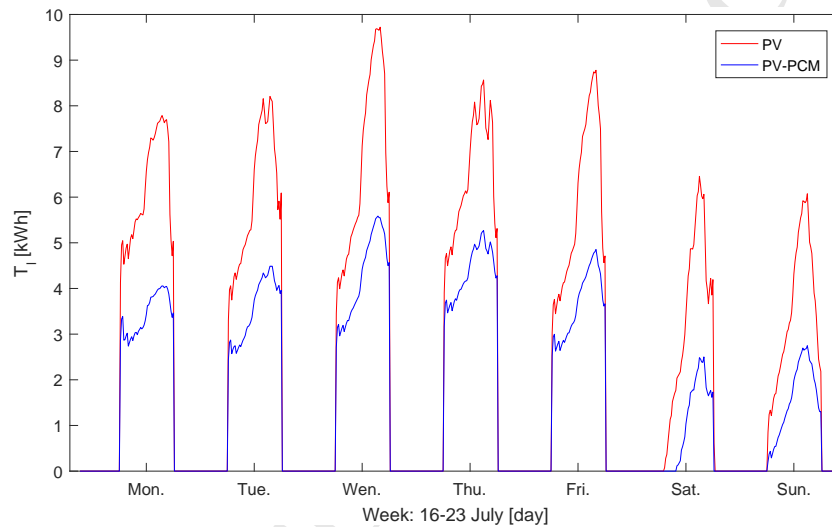


Figure 6: Week thermal energy profiles.

This initial exploratory study is followed by the optimization analysis to determine which are the parameters that influence on the PCM performance.

3.2. Optimization Analysis

3.2.1. Analysis of Genetic Algorithm results

The results of the optimization of the PV-PCM façade configuration are presented in terms of Pareto front analysis. The evolution of the Pareto front through the generation is shown in Figure 7: as can be noticed, the genetic algorithm greatly improved the performances of the individual until the 30th generation, after that, the convergence seems to be reached and no further improvements are found. On the other hand, Figure 8 presents an overview of the

optimization in terms of Pareto front scheme where the blue points indicate all the calculated individuals, hence all the configurations tested by the optimization algorithm. While, the red line is connecting the set of individuals belonging to the overall Pareto front: some of these
 315 line is connecting the set of individuals belonging to the overall Pareto front: some of these individuals have been taken into account in the analysis of the results.

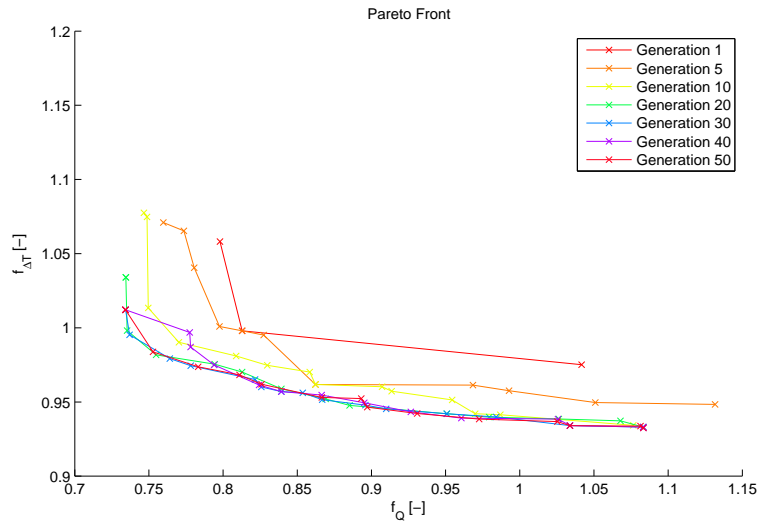


Figure 7: Evolution of the Pareto front through the generations.

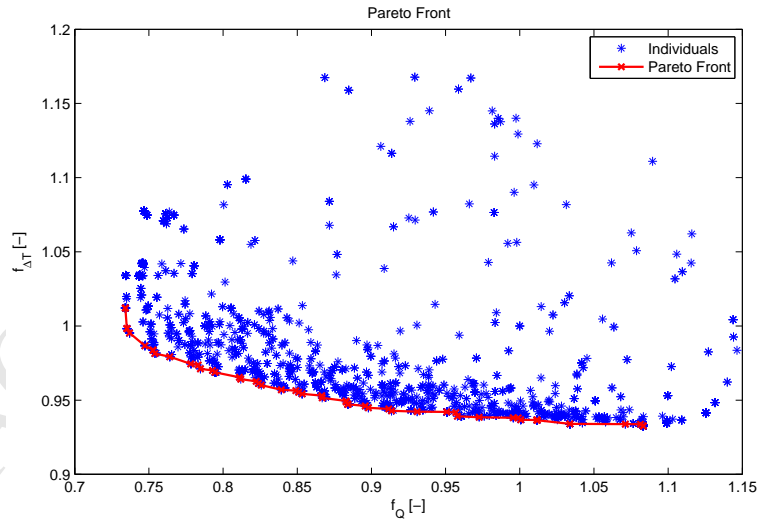


Figure 8: Final Pareto front of the optimization.

	X(1)	X(2)	X(3)	X(4)	X(5)	X(6)	X(7)	X(8)	f_Q	$f_{\Delta T}$
Basel.	100.00	100.00	16	16	16	16	16	16	1.000	1.000
Ind01	158.25	106.96	13	19	15	1	16	20	0.734	1.012
Ind02	166.61	104.81	11	20	15	2	17	20	0.735	0.998
Ind03	186.37	106.12	9	19	15	4	19	19	0.754	0.981
Ind04	186.11	106.74	9	19	15	5	19	17	0.785	0.971
Ind05	181.54	106.42	10	19	15	4	19	14	0.826	0.960
Ind06	183.76	106.75	10	19	15	4	19	11	0.867	0.951
Ind07	176.60	106.58	10	19	15	5	19	6	0.958	0.939
Ind08	162.41	106.11	15	18	16	11	20	5	1.083	0.932

Table 6: Genetic pool and fitness values of the considered individuals belonging to the Pareto front.

Individuals belonging to the front represent the best solutions in, at least, one objective function of the optimization. A single solution can not be considered as the best configuration, hence several individuals distributed along the front are hereby analysed. Table 6 compares the genetic pools and the fitness values of the considered individuals belonging to the Pareto front and the baseline configuration.

The first solutions to be discussed are located in the upper-left part of the Pareto front, they represent the best configuration for the thermal load reduction with no significant improvements in the ITV (Figure 9). The setting of *Ind01* allows the greatest reduction in the thermal load f_Q (-26.4%), however $f_{\Delta T}$ has been slightly increased of 1.2%. *Ind02* presents similar performances in terms of f_Q with *Ind01* and almost the same $f_{\Delta T}$ of the baseline. It is interesting to notice how the greatest reductions in thermal load have been obtained with the limitation of the ventilation time in winter season to 1 and 2 hours; it can be concluded the ventilation in winter is not necessary to improve the thermal load performance.

Moving along the Pareto Front towards right, the solutions improve the performances in terms of $f_{\Delta T}$ and at the same time get worse considering f_Q . Great increments in thermal load can be observed for *Ind03* (-24.6%) and *Ind04* (-21.5%) with small improvements in $f_{\Delta T}$ (Figure 10). As can be seen in Figure 11, improvements in thermal load are reduced for *Ind05* (-17.4%) and *Ind06* (-13.3%) however the ITV decreases, being the operating temperature closer to the design temperature (decrease of 4.0% and 4.9% in $f_{\Delta T}$).

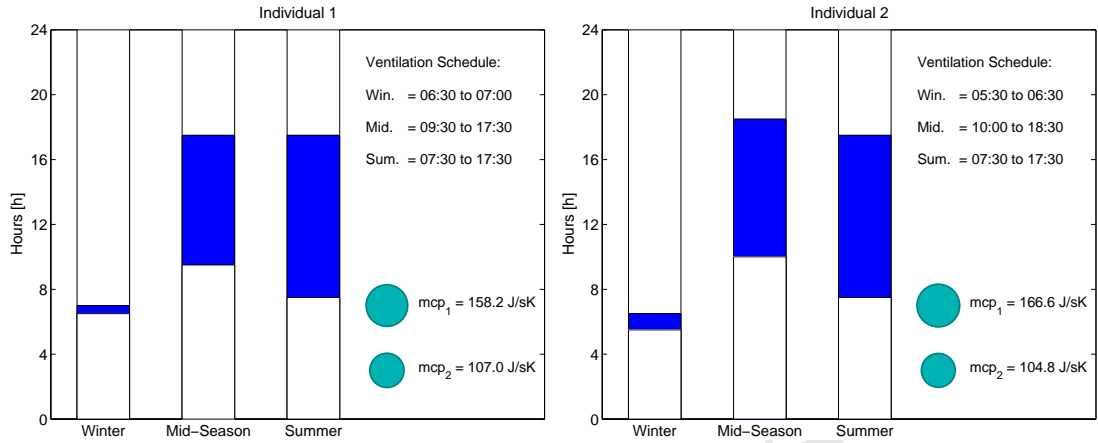


Figure 9: Graphical representation of the schedule of Individuals 1 (on the left) and 2 (on the right).

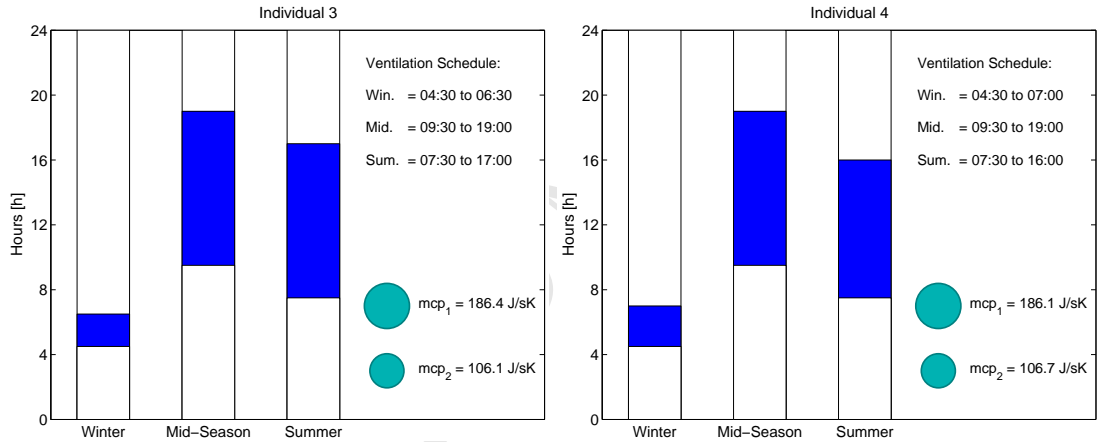


Figure 10: Graphical representation of the schedule of Individuals 3 (on the left) and 4 (on the right).

Finally, the last two considered individuals are found in the down-right zone of the Pareto Front (Figure 12). *Ind07* improves $f_{\Delta T}$ of 6.1% with a limited reduction of thermal load of 4.2%. The best individual of the optimization for the reduction of $f_{\Delta T}$ (- 6.8%) is represented by *Ind08*, however its performance, in terms of f_Q , is decreased compared to the baseline, with an increment in thermal load of 8.3%.

Some considerations can also be done observing the trend of parameters along the Pareto front (from *Ind01* to *Ind08*). The slightly progressive increment in the ventilation duration during the winter season is opposed to the marked decrease in the Summer season. A clear trend in the parameters can be identified: thermal loads were decreased by limiting the ventilation

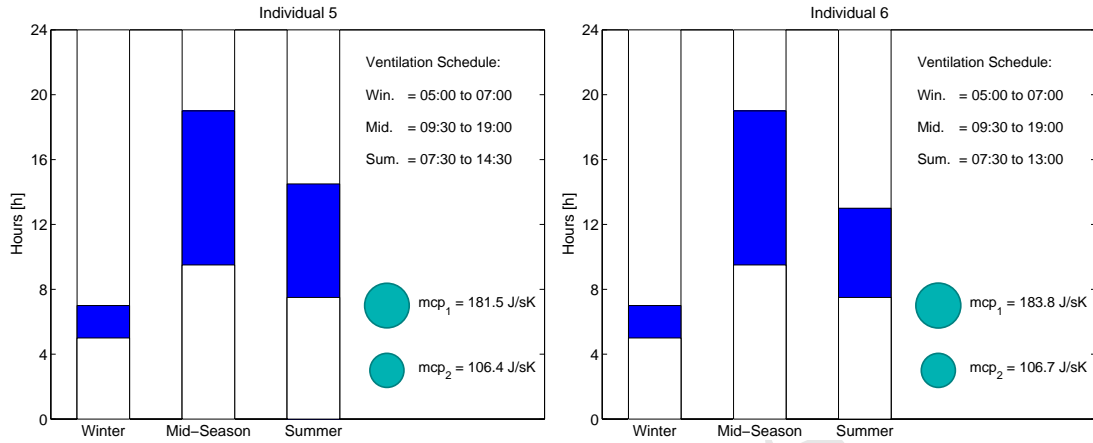


Figure 11: Graphical representation of the schedule of Individuals 5 (on the left) and 6 (on the right).

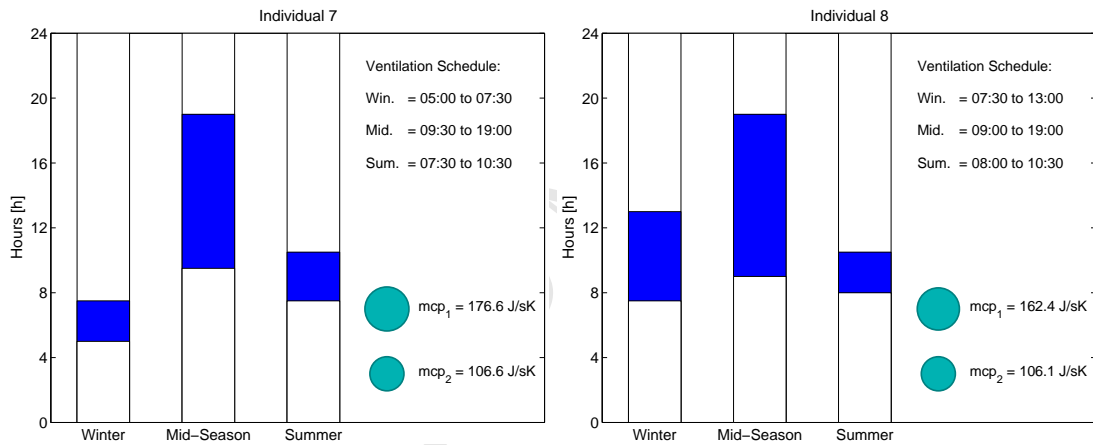


Figure 12: Graphical representation of the schedule of Individuals 7 (on the left) and 8 (on the right).

345 periods in winter, this is due to the reduction in solidification phase time intervals i.e. more solar radiation transmission into inside zone. While in summer season, in order to reduce thermal loads, the ventilation is needed to keep PCM under its melting/solidification phase. On the other hand, if the ITV is the main target of the optimization by obtaining the minimum difference between the design inside and operative temperature, as mentioned in Equation 33,

350 the ventilation strategies are reversed. In summer season, air cavities were not ventilated in order to reduce the flattering influence caused by high specific heat capacities values of PCM under its melting/solidification phase. While, during winter season, the ventilation of the cavities allowed the PCM to be under its solid states; accordingly the inside temperatures were

more closer to the design profile clarified in Table 3, allowing to obtain the minimum differences
 355 all over the heating season. Take into consideration that Figures from 9 to 12 represent an
 equidistant set among the best solutions of the optimization. However, to better illustrate
 how the PCM and ventilation timing are affecting temperature profiles, transient behaviour
 of temperature profiles for two days, i.e. one in summer season (Figure 13a) and the the
 other in winter season (Figure 13b), were shown. In summer season and during weekends, the
 360 temperature profile of case *Ind08* is much closer to the design profile compared to *Ind01* during
 idle hours of the day. During the working hours, the differences between the two individuals
 profiles have not exceeded 0.5°C in respect to design temperature profile. In winter season,
 also *Ind08* was closer than *Ind01* in respect to design temperature profile along the day hours.

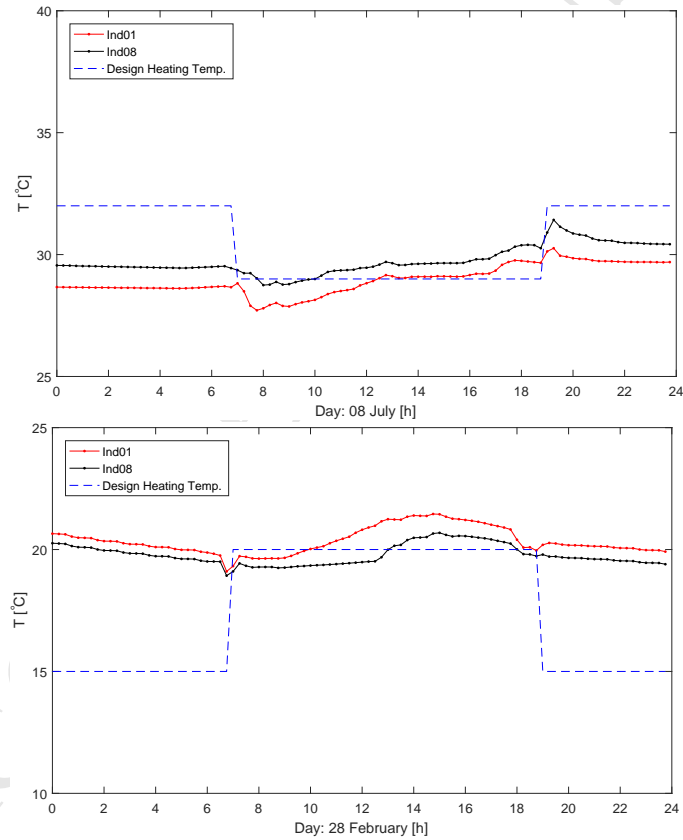


Figure 13: Indoor temperature profiles for different individuals: (a) Summer season, (b) Winter season.

3.2.2. PV-PCM Integration Air Thermal Analysis

365 The eight individuals analysed from Figures 9 to 12 are reflecting the thermal performances of the optimization different solutions. Considering *Ind01*, during the winter season both cavities *c1* and *c2* were almost not ventilated. It was enough to depend on the PCM latent heat storage capability. However, the pattern has changed during both the summer and mid seasons. In the mid-season, the cavity ventilation started in 9:30 am and lasted for 8 hours
370 while in the summer season, the ventilation started in 7:30 am and lasted till 17:30 pm. This ventilation schedule has enforced the PCM charging/discharging process efficiently to confront the external and internal loads, in which the PCM works under its highest values of specific heat capacity. With almost the same ventilation schedule in *Ind02*, the first fitness function i.e. thermal loads has not improved much. On the other hand, by reducing the summer ventilation
375 time period, thermal loads started to increase more. The ventilation flow rates as a whole were considered not to exceed 16 l/s per façade meter.

The influence of ventilating cavity *c1* is higher on the required thermal loads in comparing to cavity *c2*. The ventilation flow rate of cavity *c2* is almost constant and equal to 4.5 l/s per façade meter in all seasons while in cavity *c1* it ranged between 6 to 8 l/s per façade meter. This
380 indicates that higher flow rates implemented to cool the PV surface is improving the overall energy balance of the inner zone. On the other hand, PCM requires lesser mass flow rates in respect to its variable physical states and thermal inertia.

The hourly energy profiles for three individuals (*Ind01*, *Ind05* and *Ind08*) in summer, winter and mid seasons were compared to highlight the performance between different scenarios estimated
385 by the optimization algorithm. During summer season (Figure 14a) the required cooling load in *Ind01* is in between the range of 2 and 4 kWh till almost 18 h and it increases to 5 kWh , this peak load meets the ventilation turning off at cavity 2 (see Figure 9a). The same pattern occurred in the other cases; however the thermal requirements rise at 15.00 h in *Ind03* and at 11.00 h in *Ind08*; which again confirming the importance of the cavity ventilation schedule. In
390 winter season (Figure 14b) the hourly energy profiles for *Ind01* and *Ind05* are very close. The daily heating energy requirements were recorded as 35 kWh and 35.5 kWh for both individuals respectively. The trends reflect the similar patterns in ventilation schedule and mass flow rates. On the other hand, *Ind08* with the highest ventilation period had the highest heating loads requirements equalled to 43.2 kWh per day. Finally, during the mid season the ventilation
395 schedule and mass flow rates are still the prominent parameters of thermal performance. As

illustrated in Figure 14c, the minimum daily heating loads of $9kWh$ were recorded to *Ind01*, followed by similar performances of hourly energy profiles for *Ind05* and *Ind08* with a daily consumption of $14kWh$ and $15kWh$ per day respectively.

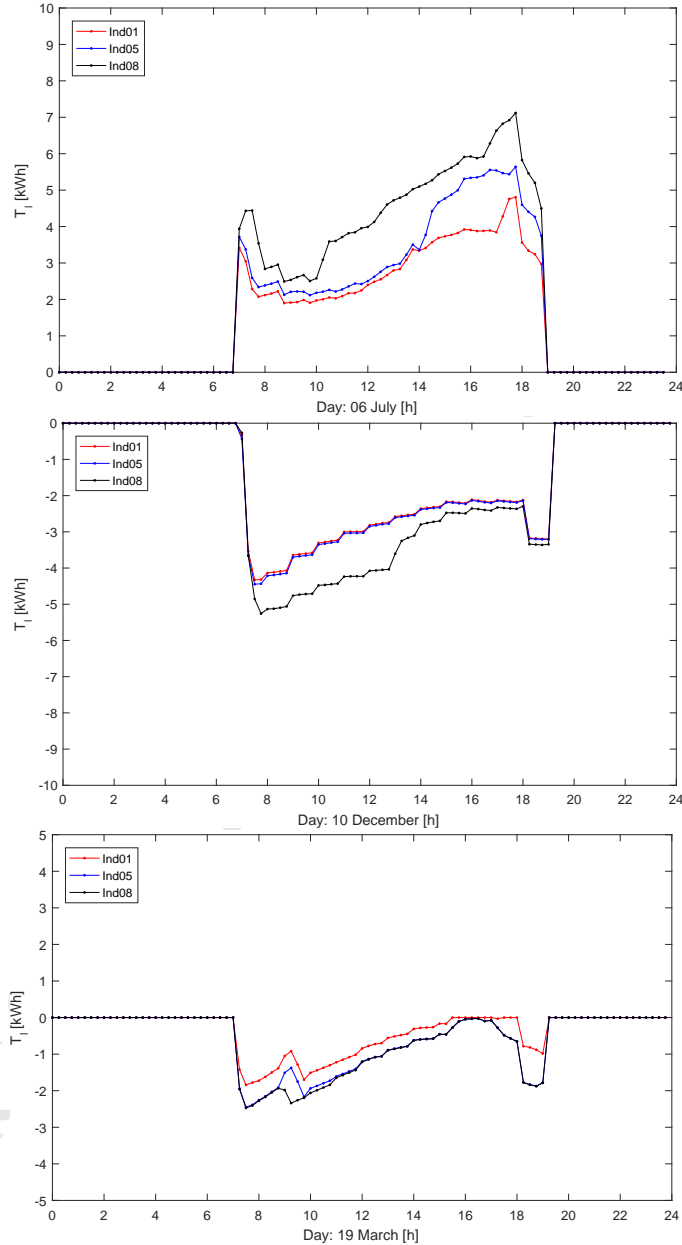


Figure 14: Comparison of daily thermal energy profiles of different solutions (*Ind01*, *Ind05* and *Ind08*) for days a) 19th March, b) 06th July and c) 10th December.

4. Conclusions

400 The objective of the study is to highlight that not only energy trends and savings are essential to evaluate the success of innovative applications, but other aspects such as variations in indoor temperature profiles have to be taken into account. The study has illustrated co-simulation concept by linking the innovative integration of PV-PCM external façade model to TRNSYS software. Later, the transient linked model is optimized using a genetic algorithm to assess the
405 influence of ventilation flow rates and timing on both the energy performance and changes in indoor temperature profiles due to PCM application. The multi-objective optimization study allowed to identify a set of solutions, which were between the best in thermal loads and best in indoor temperature differences in respect to the design values; accordingly, compromise decisions to ensure both objectives are met.

410 The results demonstrates how both the schedule of ventilation and air flow rates represent key parameters for the optimization: the thermal energy requirements were reduced and the maximum advantage of the implemented PCM layer was obtained. Thermal loads are proportional to ventilation starting hour and duration, especially during the cooling season. When the duration dropped below 7 hours, the required thermal loads started to deteriorate.
415 High solar radiation, intensity and external temperature need the ventilation system to support the PCM solidification process. During winter season, heating loads were inverse proportional to the cavities ventilation. The best thermal performance solution was obtained by not ventilating the cavities. This is due to the low external loads, accordingly the PCM is almost working under its solid states. On the other hand, the second fitness function concluded in the difference
420 between design and the operating inside temperatures has a different trend. This temperature difference is inverse proportional to the cavities ventilation duration. In the summer season; not ventilating the cavity is delaying the PCM solidification phase. Thus, the PCM latent heat storage capability, which produce a more flatter temperature profile, is in its minimum level i.e. specific heat capacity is $2kJkg^{-1}K^{-1}$. Finally, to expand the study on urban scale, it is
425 important to highlight that the PCM type has to be properly selected to suit the boundary conditions of each case under investigation.

Future works shall upgrade the 1D PV-PCM model to 2D in order to include the stratification influence that might occurs in the higher-altitude façades. Furthermore, PV electrical energy improvements due to PCM integration shall be evaluated. More important, the upcoming

430 optimization should focus on the economical aspects to expand the results on urban scale deployment. In fact, the cost of energy definitely represents a main factor and a third contrasting objective to be taken into account during the decision process regarding the PV-PCM module implementation.

ACCEPTED MANUSCRIPT

Nomenclature

a	Solar absorption coefficient	–
c_l	PCM Liquid specific heat capacity	J/kgK
c_s	PCM Solid specific heat capacity	J/kgK
C^A	Heat Capacity	J/kgK
h	Convection heat transfer coefficient	W/m^2K
h_c	Air cavity convection heat transfer coefficient	W/m^2K
H	Enthalpy	J/kg
H_P	Enthalpy node value	J/kg
H_p^o	Enthalpy node value in previous time step	J/kg
f_h	Floor height	m
f_Q	Thermal load fitness	–
$f_{\Delta T}$	Operating temperature fitness	–
i	Iteration number	–
I_{tr}	Transmitted solar radiation	kWh
L	Latent heat of fusion	J/kgK
\dot{m}	Mass flow rate	kg/s
n	Maximum iteration number	–
N_u	Nusselt Number	–
Q_{th}	Thermal load	kWh
$Q_{th,bas}$	Thermal load of baseline individual	kWh
P_r	Prandtl Number	–
R_e	Reynolds Number	–
t	Time	s
T	Temperature	K
T_{aC}	Temperature of air cavity	K
T_{de}	Design temperature	K
T_m	Melting peak temperature	K
T_{nb}	Adjacent nodes Temperature	K
T_{op}	Operating temperature	K
T_P	PCM node Temperature	K

k	Thermal conductivity coefficient	W/mK
R	Thermal Resistance	m^2K/W
v	Air velocity	m/s
X	Vector of Genes	–
ΔT_{bas}	Temperature difference in baseline individual	K
ε	Arbitrary small temperature value	K
ρ	Mass density	kg/m^3
τ	Time step	s

435 **Acronyms**

<i>HVAC</i>	Heating Ventilation and Air Conditioning
<i>ITV</i>	Indoor Temperature Variation
<i>PCM</i>	Phase Change Material
<i>PV</i>	Photo Voltaices
<i>nZEB</i>	nearly Zero Energy Building

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- Develop 1-D model describing thermal and energy performance of PV-PCM module
- Clarify how to couple TRNSYS software to the 1-D model
- Develop a genetic optimization algorithm to investigate parameters affect thermal performance of PV-PCM glazed modules

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