

## COMMENTARY

# Nationwide Benchmarking of Hand Hygiene Performance

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(See the article by Behnke et al, on pages 618–620.)

Hand hygiene promotion is key to patient safety and a cornerstone of effective healthcare-associated infection prevention efforts.<sup>1</sup> Many healthcare settings worldwide have committed to the implementation of hand hygiene campaigns with set targets for improvement.<sup>2,3</sup> Evaluation of these strategies, especially by performance monitoring and infrastructure indicators, is a critical element to their success. This provides managers with information on the impact of campaign implementation and healthcare workers with feedback to motivate and sustain behavior change. Several countries have recently taken up this challenge at national level and included hand hygiene in quality improvement goals and indicators.<sup>4–6</sup>

In this issue, Behnke et al<sup>7</sup> present alcohol-based hand rub (ABHR) consumption data collected prospectively on a voluntary basis through the German national nosocomial infection surveillance system<sup>8</sup> since January 2008. Hospitals report data to a centralized system stratified by type of ward (intensive care unit [ICU] and non-ICU) and specialty. The overall objective is to facilitate improvement through both intrahospital (ie, between wards) and interhospital benchmarking. Established in 2008, the national German hand hygiene campaign<sup>5</sup> includes more than 900 healthcare settings and is one of the largest worldwide. In line with the World Health Organization (WHO) hand hygiene improvement strategy, participating facilities are requested to (1) secure the active support of administrators; (2) participate in a 1-day introductory course, national workshops, and a national hand hygiene day; (3) organize training of healthcare workers at least once a year; (4) increase ABHR availability, monitor its consumption, and provide feedback; and (5) implement the WHO's My 5 Moments for Hand Hygiene model.<sup>1,9</sup> Considering the broad national scope, these features and organization are exceptional, particularly the nationwide ABHR consumption data collection system. Behnke et al<sup>7</sup> report the 4-year results for a sample of 152 hospitals and show a 40.9%

and 27.2% increase in median ABHR consumption per patient-day in ICUs and non-ICUs, respectively. Although such increases may be easily achieved in institutions where ABHR is newly introduced and replaces hand washing, these are astonishing in a context where ABHR was widely available for many years before the start of the national campaign. This dramatic increase strongly supports the effectiveness of the multimodal promotion strategy, particularly the performance feedback provided. However, establishing whether this increase reflects actual hand hygiene compliance improvement remains challenging.

ABHR consumption measurement was chosen as a surrogate parameter for hand hygiene performance in Germany because direct observation of compliance was considered resource demanding and unfeasible over long periods. This choice was possible as the vast majority of hand hygiene actions in German hospitals involve ABHR, a crucial prerequisite for the use of its consumption as a surrogate of compliance. On the basis of 2010 ABHR consumption results, the reported estimates of the median number of hand hygiene actions per patient-day are low compared with that reported in the literature and would suggest defective hand hygiene behavior. Although the authors emphasize the existence of a good correlation between ABHR consumption and hand hygiene compliance rates reported elsewhere, their results are controversial and raise concerns about the ultimate outcome of hand hygiene promotion.

ABHR consumption as a surrogate marker for hand hygiene compliance has several limitations. First, there is a need for accurate validation of consumption data entered in the system. Second, as recognized by Behnke and colleagues, consumption does not allow estimates of hand hygiene performance according to actual opportunities and is vulnerable to the influence of unnecessary hand hygiene actions by healthcare workers, use for other purposes (eg, surface disinfection), and use by patients and visitors. Hand hygiene compliance

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monitoring through direct observation provides a much more accurate evaluation of staff practices at the point of care and allows an analysis of compliance stratified by healthcare worker profession or hand hygiene indication. As widely documented,<sup>1</sup> healthcare workers usually overestimate their own compliance level with hand hygiene recommendations. We strongly believe in the unique power of direct observation data feedback to convince staff, including senior professionals, of the need for performance improvement and to motivate them to achieve and sustain best practice targets. Furthermore, public reporting—or at least feedback of performance data to administrators and government representatives—helps maintain commitment to action, support, and resource allocation. If observational surveys are conducted periodically, the potential observation bias and the so-called Hawthorne effect can be mitigated by the frequent and unobtrusive presence of observers and thus distributed equally among all observations. Furthermore, while conducting frequent observations, this effect can be used deliberately to stimulate hand hygiene compliance with a promotional intention.<sup>10</sup>

Germany is not the only country where ABHR consumption is used as a national surrogate marker for hand hygiene performance. In France, each hospital is allocated an annual individualized target for ABHR consumption on the basis of their activities. The ratio of actual consumption to the target consumption, expressed as a percentage, is used as an indicator of infection prevention practice implementation.<sup>11</sup> Hospital participation is mandatory, and results have been reported publicly since 2005.<sup>5,11,12</sup> In a WHO survey conducted in 2009 among 29 national and subnational hand hygiene campaigns worldwide, ABHR consumption was monitored in 19 campaigns (65%), while hand hygiene compliance observation was reported by 22 (76%).<sup>6</sup> Several national programs have indeed accepted the challenge of regular hand hygiene compliance monitoring by direct observation according to the WHO's My 5 Moments approach and public reporting.<sup>1,5,13</sup> Among the latter, Hand Hygiene Australia<sup>14</sup> collects such data from public and private hospitals nationwide and reports aggregated national data online. Observers enter hand hygiene compliance results directly into the national database using an innovative web-based data-entry application via Internet browsers or web-enabled mobile devices.<sup>15,16</sup> According to data from 521 Australian hospitals, a significant increase in hand hygiene compliance from 2009 to 2010 was associated with a significant decline in the incidence of methicillin-resistant *Staphylococcus aureus* bacteremia nationwide.<sup>16</sup> Although these findings do not permit a definitive causal association, this example demonstrates the feasibility of compliance monitoring and reporting at the national level. In addition, a new initiative was introduced in February 2012 whereby each hospital's hand hygiene compliance result is publicly available online (<http://www.MyHospitals.gov.au>).

At a recent meeting convened by the European Centre for

Disease Prevention and Control, the idea of establishing a European surveillance network of hand hygiene compliance and ABHR consumption was welcomed by participants from European Union/European Economic Area countries. The wide participation in the 2010 WHO call for worldwide data collection on compliance with the moment 1 indication for hand hygiene—that is, before touching a patient—demonstrates that international monitoring of this indicator by using a standardized method is feasible.<sup>17</sup>

The establishment of a national surveillance system for ABHR consumption is a major achievement within the German system and an opportunity to integrate an infrastructure indicator with outcome measurements. Many more countries should follow this example. However, the best approach to assessing hand hygiene practices and tracking behavioral change over time remains direct observation of practices at the point of care. WHO has developed a validated method<sup>13</sup> and a range of tools for data detection and observer training<sup>18</sup> that are currently used in many countries. Repeated, regular compliance monitoring is feasible, even at the national level. Innovative systems for automatic monitoring offer new perspectives with little human resources and expertise investment, but these still need to be validated against the gold standard of direct monitoring. Ideally, the combination of these indicators should be monitored both locally and on a large scale to provide the most accurate evaluation of hand hygiene improvement efforts.

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