

# Randomized Trial of Letrozole Following Tamoxifen as Extended Adjuvant Therapy in Receptor-Positive Breast Cancer: Updated Findings from NCIC CTG MA.17

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**Background:** Most recurrences in women with breast cancer receiving 5 years of adjuvant tamoxifen occur after 5 years. The MA.17 trial, which was designed to determine whether extended adjuvant therapy with the aromatase inhibitor letrozole after tamoxifen reduces the risk of such late recurrences, was stopped early after an interim analysis showed that letrozole improved disease-free survival. This report presents updated findings from the trial. **Methods:** Postmenopausal women completing 5 years of tamoxifen treatment were randomly assigned to a planned 5 years of letrozole ( $n = 2593$ ) or placebo ( $n = 2594$ ). The primary endpoint was disease-free survival (DFS); secondary endpoints included distant disease-free survival, overall survival, incidence of contralateral tumors, and toxic effects. Survival was examined using Kaplan–Meier analysis and log-rank tests. Planned subgroup analyses included those by axillary lymph node status. All statistical tests were two-sided. **Results:** After a median follow-up of 30 months (range = 1.5–61.4 months), women in the letrozole arm had statistically significantly better DFS and distant DFS than women in the placebo arm (DFS: hazard ratio [HR] for recurrence or contralateral breast cancer = 0.58, 95% confidence interval [CI] = 0.45 to 0.76;  $P < .001$ ; distant DFS: HR = 0.60, 95% CI = 0.43 to 0.84;  $P = .002$ ). Overall survival was the same in both arms (HR for death from any cause = 0.82, 95% CI = 0.57 to 1.19;  $P = .3$ ). However, among lymph node–positive patients, overall survival was statistically significantly improved with letrozole (HR = 0.61, 95% CI = 0.38 to 0.98;  $P = .04$ ). The incidence of contralateral breast cancer was lower in women receiving letrozole, but the difference was not statistically significant. Women receiving letrozole experienced more hormonally related side effects than those receiving placebo, but the incidences of bone fractures and cardiovascular events were the same. **Conclusion:** Letrozole after tamoxifen is well-tolerated and improves both disease-free and distant disease-free survival but not overall survival, except in node-positive patients. [*J Natl Cancer Inst* 2005;97:1262–71]

Estrogen is intimately linked to the pathogenesis of breast cancer (1). Tamoxifen antagonizes growth of estrogen-dependent breast cancer, and 5 years of tamoxifen has been the standard adjuvant endocrine therapy for women with estrogen receptor (ER)–positive breast cancer (2,3). Improvements in disease-free survival and overall survival from 5 years of tamoxifen continue up to at least 15 years following diagnosis (4). Extending adjuvant

tamoxifen for more than 5 years has not been shown to further improve survival (5,6), and in 1995 the U.S. National Cancer Institute issued a clinical directive to limit adjuvant tamoxifen use to 5 years (7).

Despite these benefits, women who have been treated with 5 years of tamoxifen subsequently experience substantial rates of both new primary tumors and relapses at all sites, the latter at a frequency related to nodal status at presentation, and these events are associated with ongoing mortality (8). Indeed, most recurrences in women receiving 5 years of adjuvant tamoxifen treatment for breast cancer occur after 5 years. The MA.17 trial was designed to determine whether the aromatase inhibitor letrozole, given after 5 years of tamoxifen, could further decrease the risk of late relapse and improve survival. The hypothesis was that, if the micrometastatic cells that are the source of subsequent breast cancer in tamoxifen-treated women become resistant to or dependent on tamoxifen, then these cells might be particularly vulnerable to aromatase inhibition (9–16). The choice of letrozole was supported by the findings of its substantial benefits in preclinical models (17) and in women with metastatic breast cancer, including those with disease progression on tamoxifen (18,19).

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See “Notes” following “References.”

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The MA.17 trial began accruing breast cancer patients who had been treated with 5 years of tamoxifen in August 1998. The targeted sample size, 4800, was reached at the end of May 2002. However, the study stayed open for several more months in selected centers to allow recruitment to a bone density and bone biomarker substudy to meet its target accrual. Enrollment to MA.17 was closed on September 4, 2002, with 5187 patients randomly assigned to 5 years of letrozole or placebo. However, the study was stopped by the Data Safety Monitoring Committee (DSMC) of the National Cancer Institute of Canada Clinical Trials Group (NCIC CTG) approximately 1 year earlier than planned, in October 2003, after the first protocol–prespecified interim efficacy analysis. The DSMC was presented with the results of the interim analysis in August 2003, at a median follow-up of 2.4 years, after 207 events had occurred; it revealed a 6% difference in 4-year disease-free survival, which increased from 87% in the placebo arm to 93% in the letrozole arm ( $P < .001$ ). This difference exceeded the predefined O’Brien–Fleming stopping boundary. Prespecified subset analyses were not planned for the first interim analysis, but an unplanned analysis demonstrated that the improvement in disease-free survival with letrozole was statistically significant in both lymph node–positive and lymph node–negative patients. All study participants were notified of the results, and those who had been taking placebo were offered the option to cross over to letrozole treatment.

Because of the nature of interim analyses, our first report of the MA.17 trial (20) included only the efficacy results based on events observed before August 19, 2003, and toxic effects documented before February 28, 2003. In this article, we present the final efficacy and toxicity results, including all preplanned subset analyses, based on all events that occurred up to the unblinding of study participants in October 2003.

## SUBJECTS AND METHODS

### Patients and Study Design

The MA.17 trial was a randomized, double-blind, placebo-controlled trial of letrozole (2.5 mg orally daily) versus placebo (orally daily), given for a period of 5 years. Criteria for eligibility in the trial included: previous adjuvant tamoxifen therapy lasting 4.5–6 years; histologically confirmed primary breast cancer; a tumor that was positive for estrogen receptor (ER), progesterone receptor (PR), or both (defined by a level of 10 fmol/mg protein or a positive result on immunohistochemical analysis of ER or PR); discontinuation of tamoxifen therapy less than 3 months before enrollment; an Eastern Cooperative Oncology Group (ECOG) performance status of 0, 1, or 2 (scored on a scale of 0 to 4, with lower scores indicating better function); a life expectancy of more than 5 years; and postmenopausal status. Women were defined as being postmenopausal if they were at least 50 years of age at the start of adjuvant tamoxifen therapy, were younger than 50 years at the start of tamoxifen therapy but postmenopausal at the initiation of tamoxifen therapy, were younger than 50 years at the start of tamoxifen therapy but had undergone bilateral oophorectomy, were premenopausal and younger than 50 years of age at the start of tamoxifen therapy but became amenorrheic during chemotherapy or treatment with tamoxifen, or were any age but had postmenopausal levels of luteinizing hormone or follicle-stimulating hormone prior to study enroll-

ment. Women with unknown hormone receptor status were eligible, provided an effort was made to determine the receptor status of the primary tumor. Patients were stratified according to tumor hormone receptor status (ER- and/or PR-positive or unknown), lymph node status (negative, positive, or unknown), and prior adjuvant chemotherapy (yes or no). The MA.17 trial was led by the NCIC CTG and included the North American Breast Intergroup and the Breast International Group. Each institution’s ethics review board approved the study protocol. All patients gave written informed consent. Data were received, reviewed, and analyzed by NCIC CTG.

### Statistical Analysis

The primary endpoint of MA.17 was disease-free survival, which was defined as time from randomization to the earliest recurrence of breast cancer (breast, chest wall, regional nodes, or distant metastasis) or a contralateral new primary breast cancer. Secondary endpoints defined in the original protocol included overall survival, calculated as the time from randomization until death from any cause; annual incidence rate of contralateral breast cancer; long-term safety and tolerability; and overall and menopause-specific quality of life. Distant disease-free survival was a secondary endpoint defined in the final analysis; it was calculated as the time from random assignment until the first observation of distant metastasis.

The survival curves for all time-to-event endpoints were estimated by the Kaplan–Meier method (21) and compared primarily with a stratified log-rank test adjusting for the three stratification factors (hormone receptor status, lymph node status, and prior adjuvant chemotherapy). The hazard ratios (HRs) between treatment groups for these endpoints and associated 95% confidence intervals (CIs) were calculated from the stratified Cox proportional hazards model with a single treatment covariate and adjustment for the same three stratification factors. For the primary endpoint, exploratory multivariable analyses were performed with the same stratified Cox proportional hazards model but that included as covariates treatment and the other two potential prognostic factors—menopausal status at the start of tamoxifen treatment ( $\geq 50$  years of age at the start of treatment with adjuvant tamoxifen versus other definitions of menopause) and duration of tamoxifen treatment ( $\leq 5$  years versus  $> 5$  years)—to verify the impact of the three stratification factors and these two additional potential prognostic factors on the treatment effect. The proportional hazards assumption in the Cox model was verified by the Grambsch–Therneau test (22).

In an analysis plan prepared before the interim analysis was conducted, it was specified that the analyses for disease-free and overall survival would be presented for the subgroups defined by the levels of the three stratification factors and the two additional potential prognostic factors mentioned above for the exploratory multivariable analysis.

A total of 4800 patients were needed to permit the detection of a hazard rate of 0.78, which corresponds to a 2.5% improvement in 4-year disease-free survival with letrozole, from 88% to 90.5%, with 80% power and a two-sided test of significance at the 5% level. Two interim analyses were scheduled when 171 and 342 events were observed. Lan–DeMets alpha spending function with conventional O’Brien–Fleming stopping rules (23) were specified a priori for interim monitoring.

All available events occurring on or before October 9, 2003, were analyzed for this update. All patients, apart from 17 (all of the patients from one center) who were excluded due to “good clinical practice” violations, were included in all the analyses of pretreatment characteristics and of survival and breast cancer outcomes. Safety and study drug exposure were analyzed on all patients who received at least one dose of study medication. Statistical Analysis System (SAS) version 8 was used in all the analyses except the verification of proportional hazard assumptions, which used S-Plus version 5. All *P* values were two-sided.

## RESULTS

### Study Population

A total of 5187 patients were randomly assigned to the letrozole (*n* = 2593) and placebo (*n* = 2594) arms (Fig. 1). Because of noncompliance with “good clinical practice” guidelines, 17 patients (10 in the letrozole arm and 7 in the placebo arm) were excluded from all analyses, leaving 5170 patients (2583 in the letrozole arm and 2587 in the placebo arm). All other patients were included in the analyses of time-to-event endpoints based on the treatment groups to which they had been randomly assigned. Among the randomly assigned patients, 50 (25 receiving letrozole and 25 receiving placebo) were deemed ineligible for the following reasons: improper duration of time on (*n* = 7) or off (*n* = 7) adjuvant tamoxifen, premenopausal status (*n* = 6), prior recurrence (*n* = 12), prior or concurrent malignancy (*n* = 2), inadequate primary surgery (*n* = 2), hormone receptor–negative tumor (*n* = 6), inadequate baseline investigation (*n* = 3), simultaneous hormone therapy (*n* = 3), or other concomitant medication (*n* = 2). Thirty-three patients (22 receiving letrozole and 11 receiving placebo) had major protocol violations during the study. All 50 ineligible patients and the 33 patients with major protocol violations were included in the analyses. Twenty-one patients (7 in the letrozole arm and 14 in the placebo arm) never received study medication and were excluded from the safety analyses. Five patients who had been randomly assigned to letrozole received placebo, and one who had been assigned to placebo received letrozole. These patients were included in the safety analyses but in the treatment group to which they crossed over; therefore, the safety analyses included 5149 patients (2572 receiving letrozole and 2577 receiving

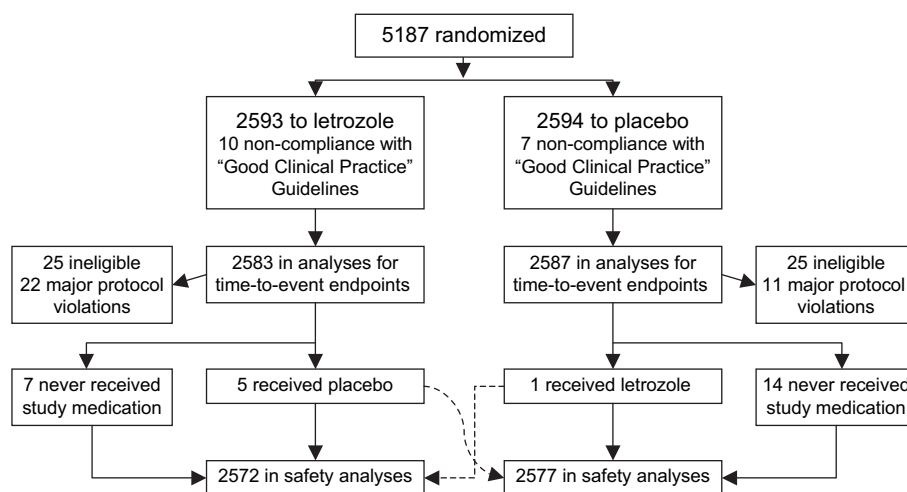
ing placebo). The median follow-up of patients was 30 months, and the range was 1.5 to 61.4 months. The two treatment arms appeared balanced in terms of baseline pretreatment characteristics, tumor characteristics, and prior therapy for breast cancer (Table 1). The median time between initial diagnosis of breast cancer and random assignment in this study was 64.3 months (range 0.1 to 204 months).

The initial analysis of the MA.17 trial (20), which was published in October 2003, was based on data received by August 2003. That analysis included 207 breast cancer events, 73 deaths, 384 patients followed for 40 months, and a median follow-up of 2.4 years. This final analysis, updated to the time of unblinding (October 9, 2003) includes 247 breast cancer events; 113 deaths; 1115 and 503 patients followed for 40 and 48 months, respectively; and a median follow-up of 2.5 years.

### Disease-Free Survival

Among the 247 events observed for the disease-free survival analysis, 92 occurred in women in the letrozole arm of the trial and 155 occurred in women in the placebo arm. The sites of recurrence are summarized in Table 2. The Kaplan–Meier curves for disease-free survival are presented in Fig. 2 for the two treatment groups. The 4-year disease-free survival for patients receiving letrozole was 94.4% and for patients receiving placebo was 89.8%, representing an absolute reduction in recurrence of 4.6% for patients receiving letrozole. The stratified log-rank test for the difference in disease-free survival, adjusting for receptor status, lymph node status, and prior adjuvant treatment at random assignment, yielded *P* < .001. The hazard ratio for recurrence or contralateral breast cancer in those receiving letrozole relative to those receiving placebo was 0.58 (95% CI = 0.45 to 0.76), a relative reduction in risk of disease recurrence of 42% for women receiving letrozole. The treatment effect remained statistically significant after adjustment for two additional potential prognostic factors in a stratified Cox model—menopausal status at the start of tamoxifen treatment and duration of tamoxifen treatment (adjusted HR = 0.59; 95% CI = 0.45 to 0.76). Prespecified subgroup analyses (Fig. 3) showed that letrozole was superior to placebo in almost all of the subgroups, except for the subgroups of patients with unknown hormone receptor status and those with unknown lymph node status, both of which contained very few patients.

Fig. 1. CONSORT trial flow diagram for MA.17 trial.





**Table 1.** Pretreatment characteristics at baseline\* for all patients randomly assigned to letrozole or placebo in MA.17

Characteristic	Letrozole, no. (%)	Placebo, no. (%)
All patients	2583 (100)	2587 (100)
Race		
White	2339 (90.6)	2369 (91.6)
Black	86 (3.3)	93 (3.6)
Other	117 (4.5)	87 (3.4)
Unknown	27 (1.0)	17 (0.7)
Missing	14 (0.5)	21 (0.8)
Age, years		
<70	1901 (73.6)	1946 (75.2)
≥70	682 (26.4)	641 (24.8)
Median	62 years	62 years
Menopausal status†		
Postmenopausal (i.e., ≥50 years of age)	1964 (76.0)	1961 (75.8)
Postmenopausal but <50 years of age‡	179 (6.9)	144 (5.6)
Postmenopausal (<50 years of age, underwent bilateral oophorectomy)	92 (3.6)	101 (3.9)
Postmenopausal (<50 years of age, became amenorrheic)	332 (12.9)	364 (14.1)
Postmenopausal levels of luteinizing hormone or follicle-stimulating hormone at random assignment	14 (0.5)	15 (0.6)
Missing	2 (0.1)	2 (0.1)
Axillary lymph node status		
Negative	1292 (50.0)	1276 (49.3)
Positive	1171 (45.3)	1189 (46.0)
Unknown	113 (4.4)	113 (4.4)
Missing	7 (0.3)	9 (0.3)
Hormone receptor status§		
Positive	2516 (97.4)	2519 (97.4)
Negative	2 (0.1)	6 (0.2)
Unknown	45 (1.7)	46 (1.8)
Missing	20 (0.8)	16 (0.6)
Duration of tamoxifen treatment		
≤5 years	1160 (44.9)	1208 (46.7)
>5 years	1420 (55.0)	1374 (53.1)
Median	5.0	5.0
Missing	3 (0.1)	5 (0.2)
Prior adjuvant chemotherapy		
No	1402 (54.3)	1418 (54.8)
Yes	1177 (45.6)	1166 (45.1)
Missing	4 (0.2)	3 (0.1)
Prior surgery		
Lumpectomy or segmental mastectomy	1482 (57.4)	1499 (57.9)
Mastectomy	1328 (51.4)	1334 (51.6)
Axillary node dissection	2474 (95.8)	2479 (95.8)

\*Baseline refers to assessments made at the time of the randomization.

†At the start of adjuvant tamoxifen treatment.

‡Women were considered postmenopausal as defined in the “Subjects and Methods” section.

§Positive refers to positivity for the estrogen receptor, progesterone receptor, or both.

Letrozole also led to a statistically significant improvement in distant disease-free survival: there was a 40% reduction in risk of distant recurrence in the letrozole group as compared with the placebo group (HR = 0.60, 95% CI = 0.43 to 0.84,  $P = .002$ ) (Fig. 4).

### Contralateral Breast Cancer Incidence

The annual incidence rate of contralateral breast cancer, per 1000 patients, was 4.8 for those receiving placebo and 3.0 for

**Table 2.** Summary of sites of events in the analysis of disease-free survival

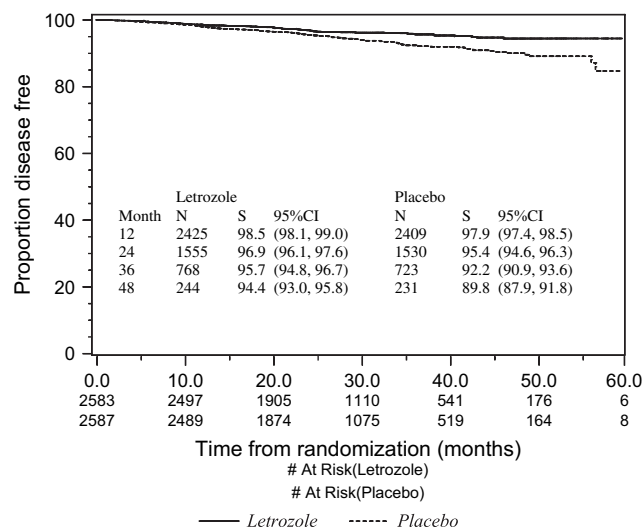
Event	Letrozole, no. (%)	Placebo, no. (%)
All patients	2583 (100)	2587 (100)
Any event	92 (3.6)	155 (6.0)
Recurrence	75	127
Local breast recurrence only	9	22
Local chest wall recurrence only	2	8
Regional recurrence only	7	3
Distant recurrence only*	52	82
Bone marrow	4	6
Lungs	11	20
Bone	37	55
Pleural effusion	1	10
Liver	16	15
Central nervous system	0	2
Other	13	21
Multiple sites of recurrence	5	12
Contralateral breast cancer only	17	28

\*Patients may have had more than one site of recurrence.

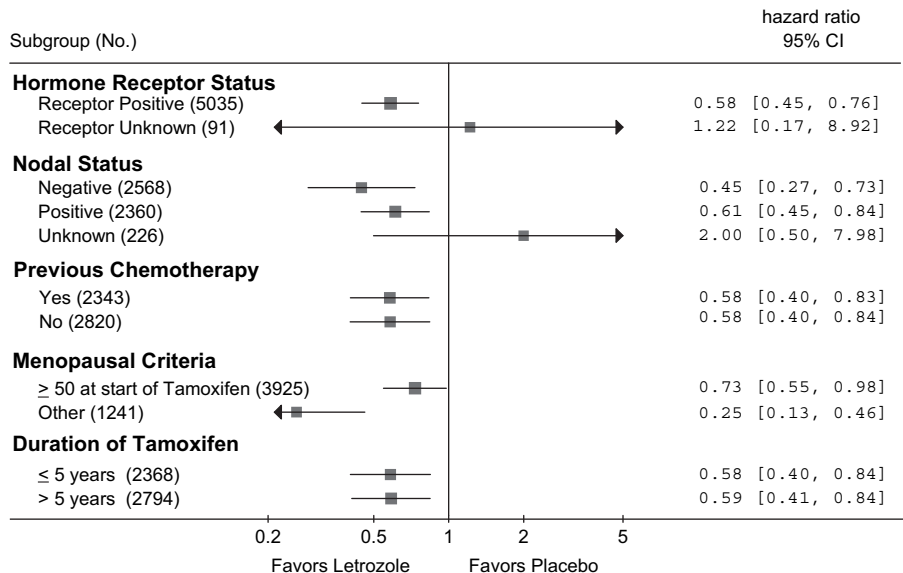
those receiving letrozole (difference = 1.8 per 1000, 95% CI = -1.3 to 4.9 per 1000). Comparison of time-to-contralateral breast cancer curves (Fig. 5) showed a 37.5% relative risk reduction with letrozole that was not statistically significant (HR = 0.63, 95% CI = 0.18 to 2.21,  $P = .12$ ).

### Overall Survival

A total of 113 patients had died at the time of unblinding (51 in the letrozole arm and 62 in the placebo arm). Of these, breast cancer was the cause of death for 16 patients in the letrozole arm and 22 in the placebo arm, a combination of breast cancer and nonprotocol treatment complication was the cause of one death in each arm, other primary malignancies were the cause of nine deaths in the letrozole arm and 11 in the placebo arm, other conditions or circumstances were the cause of 24 deaths in the letrozole arm and 28 in the placebo arm, and one death in the letrozole arm was due to unknown causes. Four-year overall survival was



**Fig. 2.** Kaplan-Meier curves for disease-free survival. An event is defined as recurrence of breast cancer (breast, chest wall, regional nodes, or distant metastasis) or a contralateral breast cancer (whichever occurs first). N = number at risk; S = survival percent, with 95% confidence intervals in parentheses.



**Fig. 3.** Forest plots of the treatment effect (letrozole versus placebo), in terms of disease-free survival, in subgroups defined by hormone receptor status, lymph node status, previous chemotherapy, menopausal criteria, and duration of tamoxifen treatment. For each subgroup, the hazard ratio for recurrence or contralateral breast cancer is plotted as a **solid square**, and the area of the square is proportional to the variance of the estimated effect. The length of the horizontal line through the square indicates the 95% confidence interval (CI). The **arrow** at the end of the horizontal line indicates that the confidence interval is larger than the scale of the figure.

95.4% for patients receiving letrozole and 95.0% for patients receiving placebo, an absolute increase of 0.4%. Kaplan–Meier analysis (Fig. 6) showed a reduced risk of death in the letrozole arm compared with the placebo arm, but the difference was not statistically significant (HR of death from any cause = 0.82, 95% CI = 0.57 to 1.19, stratified log-rank  $P = .3$ ). The results of prespecified subgroup analyses (Fig. 7) revealed that letrozole was associated with statistically significant improvements in overall survival, compared with placebo, both in node-positive patients (HR = 0.61, 95% CI = 0.38 to 0.98,  $P = .04$ ) and in patients who had taken tamoxifen for more than 5 years (HR = 0.56, 95% CI = 0.33 to 0.97,  $P = .04$ ).

### Treatment Discontinuation and Toxicity

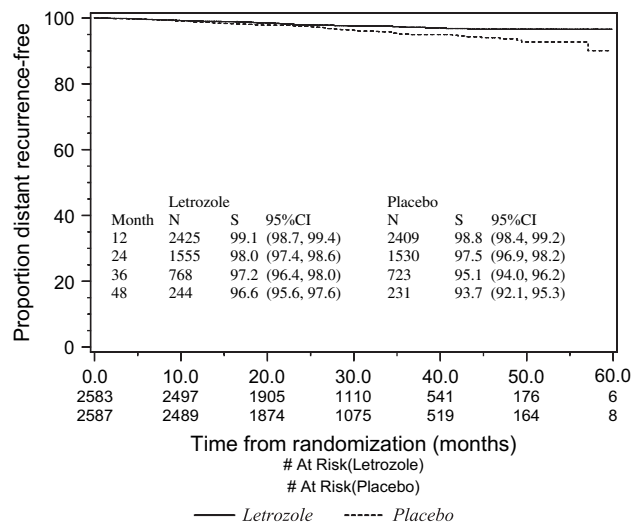
There were three major reasons for patients discontinuing protocol treatment: patient refusal (11.4% of the patients receiving letrozole and 11.1% of those receiving placebo,  $P = .79$ ), toxicity (4.9% of the patients receiving letrozole and 3.6% of those receiving placebo,  $P = .019$ ), and “other reasons” (3.8% of the patients receiving letrozole and 4.7% of those receiving placebo,  $P = .097$ ). Table 3 shows toxicities for which there was more than 1 percentage point difference between the two treatment groups or an incidence rate greater than or equal to 5% in either arm during the protocol treatment. Hot flashes, anorexia, arthralgia, myalgia, and alopecia were all statistically significantly more common in those receiving letrozole, and vaginal bleeding was statistically significantly more common in those receiving placebo. Additional specific toxicities related to bone metabolism and cardiovascular disease are shown in Table 4. More patients receiving letrozole had a fracture, a new diagnosis of osteoporosis, or cardiovascular disease on study, but only the incidence of self-reported new osteoporosis was statistically significantly different between the two arms. Diagnoses of new osteoporosis were reported by 364 patients, 209 (8.1%) of those receiving letrozole and 155 (6.0%) of those receiving placebo ( $P = .003$ ), with median times to occurrence of 0.70 years for those receiving letrozole and 0.52 years for those receiving placebo. Of a total of 256 patients who experienced a clinical fracture during the study period, 137 (5.3%) were taking letrozole and 119 (4.6%) were

taking placebo ( $P = .25$ ). Median time from random assignment to a new bone fracture was 1.06 years for those taking letrozole and 0.86 years for those taking placebo. Cardiovascular events were observed in 149 (5.8%) and 144 (5.6%) of patients in the letrozole and placebo arms, respectively ( $P = .76$ ).

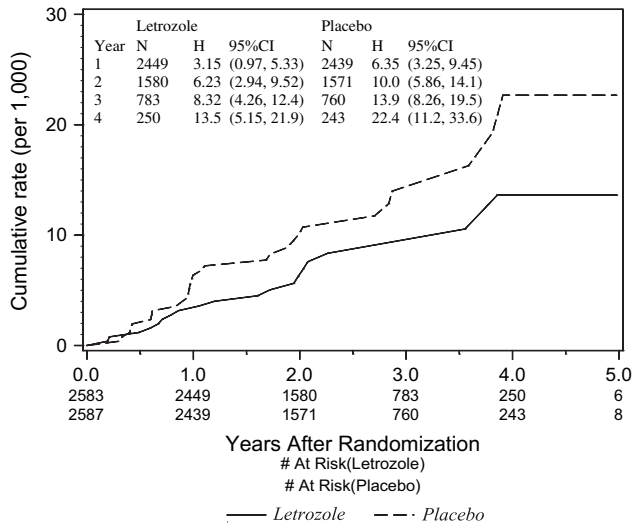
Finally, we analyzed the occurrence of other malignancies in the two arms. Four patients who received letrozole and 11 who received placebo developed endometrial cancer ( $P = .12$ ); no differences were observed in the incidence of any other malignancies.

### DISCUSSION

Despite the benefits of 5 years of adjuvant tamoxifen, more than 50% of breast cancer relapses and more than two-thirds of deaths occur after the initial 5 years after surgery (2,3). These recurrences are predominantly distant visceral and skeletal metastases, whatever the patient’s initial lymph node status.



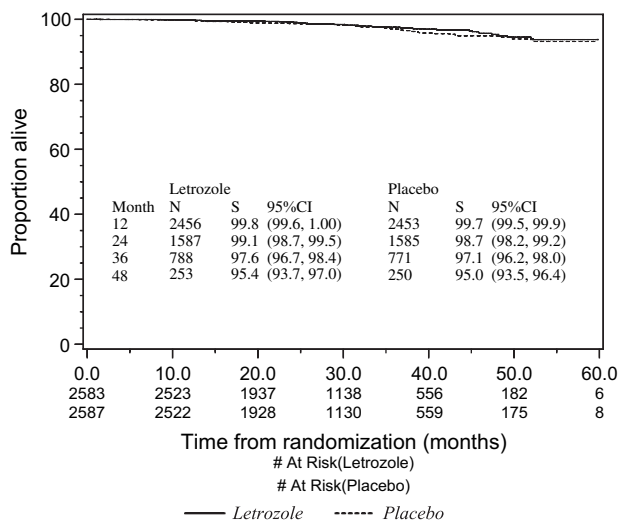
**Fig. 4.** Kaplan–Meier curves for distant recurrence–free survival. Any distant metastasis is defined as an event. N = number at risk; S = survival percent, with 95% confidence intervals in parentheses.



**Fig. 5.** Cumulative hazard curves for contralateral breast cancer-free survival. Development of contralateral breast cancer is defined as an event. N = number at risk; H = cumulative hazard rate per 1000, with 95% confidence intervals in parentheses.

We demonstrated a statistically significant improvement in disease-free survival for women taking letrozole after standard adjuvant tamoxifen, with substantial reductions in local, distant, and contralateral events, and an improvement in overall survival in women with lymph node-positive disease. At the outset of the trial, we anticipated that additional genetic changes would have occurred when primary breast tumors metastasize to the micro-metastatic environment and that this progressive genetic instability at the site of metastases would be reflected in lower proportional reductions in distant disease recurrence than in local recurrence. However, we found that distant micrometastases that have survived 5 years of tamoxifen therapy appear to remain highly estrogen sensitive and responsive to extended adjuvant letrozole treatment and, therefore, to be as preventable as early in-breast or locoregional lesions.

Although we did not observe an increase in overall survival, it should be noted that disease-free survival has historically been an



**Fig. 6.** Kaplan-Meier curves for overall survival. An event is defined as death from any cause. N = number at risk; S = survival percent, with 95% confidence intervals in parentheses.

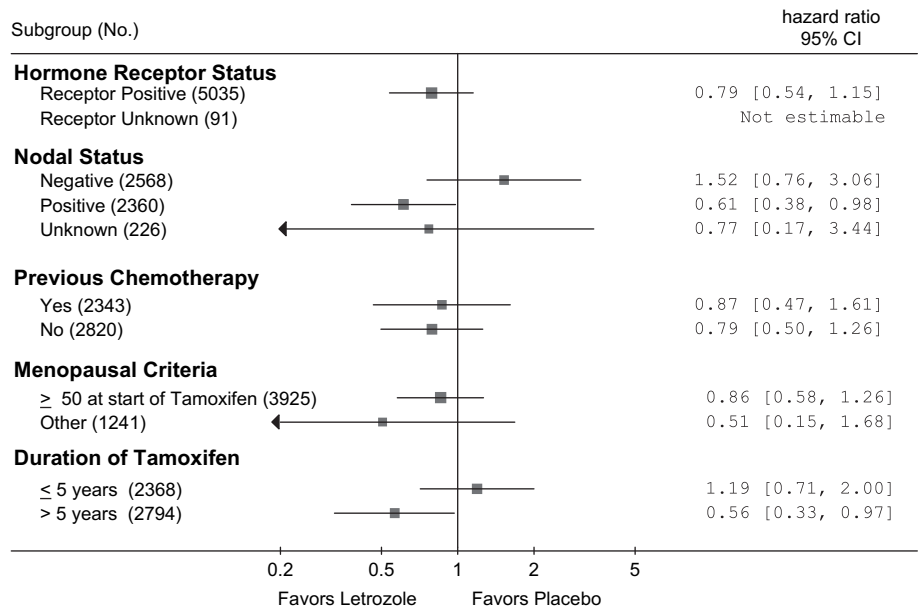
acceptable endpoint of both Food and Drug Administration and National Cancer Institute trials of endocrine therapy in the adjuvant setting. We feel that it is the appropriate primary endpoint for trials of well-tolerated anticancer endocrine therapies, such as this trial, for two major reasons. First, accumulated experience from studies of other endocrine therapies, including oophorectomy and tamoxifen, suggests that disease-free survival is a surrogate for overall survival (2,3). Second, preventing breast cancer recurrence is, of itself, important because women with distant metastases inevitably die of breast cancer; moreover, because recurrences can have adverse psychological effects, preventing recurrence is important for psychological reasons as well. Women who suffer an in-breast recurrence often require the mastectomy that their initial management was intended to avoid, and women who develop new breast cancer repeat the trauma of their initial diagnosis and treatment. Indeed, the psychological morbidity of a second breast cancer event has been shown to have a greater impact on women than their first diagnosis (24–27). The importance of preventing breast cancer recurrences is also underscored by the rate of these events in our study. In the placebo arm of MA.17, the event rate in lymph node-negative women was approximately 2% per year and in lymph node-positive women was 4% per year, indicating an ongoing and substantial rate of late recurrences that did not decrease over the study period and is comparable to that seen in both the Oxford overview (2–4) and in reports by others (8).

Since our initial publication, it has been suggested that MA.17 was unblinded prematurely because of the stopping rules related to overall disease-free survival and that a statistically significant improvement in distant disease-free survival would have been a more meaningful endpoint (28). Our updated analysis indicates that letrozole treatment did result in a statistically significant improvement in distant disease-free survival ( $P = .002$ ).

Our prespecified subset analyses indicate that letrozole use was also associated with a statistically significant improvement in overall survival among women with positive axillary lymph nodes. Although subgroup analyses have some limitations—including, for example, multiple comparison issues—these analyses provide what is, to our knowledge, the first suggestion of a survival advantage obtained with the use of any aromatase inhibitor in early-stage breast cancer and also the first suggestion that extending adjuvant endocrine therapy beyond 5 years of tamoxifen can afford a survival advantage. The reduction in the risk of overall recurrence was greater for lymph node-negative patients (55%) than for lymph node-positive patients (39%) (Fig. 3), although the reduction in the risk of recurrence with letrozole in lymph node-negative women did not translate into an improvement in overall survival (HR of death from any cause = 1.52, 95% CI = 0.76 to 3.06; Fig. 7).

All-cause mortality, as well as breast cancer-specific mortality, is important when offering adjuvant therapy to relatively healthy elderly women. Among 33 deaths in women with lymph node-negative disease (20 receiving letrozole and 13 receiving placebo), there were 17 non-breast cancer deaths in women receiving letrozole (five cardiovascular, two fatal strokes, five second malignancies, and five other) and 11 in women receiving placebo (five cardiovascular, one fatal stroke, two second malignancies, and three other). A review, blinded to treatment allocation, of the medical information submitted as supporting documentation for the cause of death among the 33 women with lymph node-negative disease found no probable causal

**Fig. 7.** Forest plots of the treatment effect (letrozole versus placebo), in terms of overall survival, in subgroups defined by hormone receptor status, lymph node status, previous chemotherapy, menopausal criteria, and duration of tamoxifen treatment. For each subgroup, the hazard ratio for death from any cause is plotted as a **solid square**, and the area of the square is proportional to the variance of the estimated effect. The length of the horizontal line through the square indicates the 95% confidence interval (CI). The **arrow** at the end of the horizontal line indicates that the confidence interval is larger than the scale of the figure.



relationship between these deaths and letrozole. In addition, there was no excess of non-breast cancer deaths in women on the letrozole arm as compared with women on the placebo arm in the study overall, and no reason to think that nodal status should be related to the risk of intercurrent deaths.

Although letrozole was associated with statistically significantly improved disease-free survival in women who had taken tamoxifen both for more or less than 5 years, an apparent overall survival advantage with letrozole was seen only in those who had taken tamoxifen for more than 5 years. This difference may reflect the inherently better prognosis and slower growth of

tumors among women who took tamoxifen for longer or may suggest that longer duration of tamoxifen-resistant or -dependent disease is more vulnerable to the benefits achieved with subsequent letrozole. The question of the optimal duration of initial tamoxifen treatment is of major interest given the recent report of Coombes et al. (29), who showed that switching to exemestane after 2–3 years of tamoxifen treatment is superior in terms of disease-free recurrence than staying on tamoxifen for a full 5 years. Thus, since the original publication of MA.17 switching to an aromatase inhibitor after 5 years, or after 2–3 years, of prior tamoxifen treatment have both become choices in the clinic.

**Table 3.** Acute toxicities reported by patients in MA.17\*

Toxicity	Letrozole (N = 2572)					Placebo (N = 2577)					P value†
	Grade 1	Grade 2	Grade 3	Grade 4	Total, no. (%)	Grade 1	Grade 2	Grade 3	Grade 4	Total, no. (%)	
Edema	470	96	5		571 (22)	428	110	3	1	542 (21)	.31
Hypertension	54	21	55		130 (5)	48	13	68		129 (5)	.94
Hot flashes/flushes	823	661	2		1486 (58)	782	601			1383 (54)	.003
Fatigue	801	183	14	1	999 (39)	795	195	7	1	998 (39)	.95
Sweating	551	231			782 (30)	543	217			760 (29)	.48
Anorexia	115	26	1		142 (6)	87	19	3	1	110 (4)	.039
Constipation	297	60	6		363 (14)	313	66	3		382 (15)	.48
Diarrhea	125	29	14		168 (7)	140	26	10		176 (7)	.69
Nausea	267	35	6		308 (12)	267	38	9		314 (12)	.83
Vaginal bleeding	121	22	2		145 (6)	141	50	3	2	196 (8)	.005
Infection without neutropenia	34	63	27		124 (5)	35	62	13	2	112 (4)	.42
Arthritis	110	46	10	1	167 (6)	92	41	4		137 (5)	.07
Hypercholesterolemia	379	37	2		418 (16)	357	48	6		411 (16)	.79
Dizziness	386	59	13		458 (18)	383	51	6	1	441 (17)	.53
Insomnia	119	45	2		166 (6)	103	30	2		135 (5)	.06
Depression	85	42	14	2	143 (6)	74	49	7	1	131 (5)	.45
Headache	546	138	22		706 (27)	519	140	25	1	685 (27)	.49
Arthralgia	381	245	25		651 (25)	338	172	22		532 (21)	<.001
Myalgia	241	121	18		380 (15)	211	88	11		310 (12)	.004
Bone pain	81	46	13	1	141 (5)	92	44	12	1	149 (6)	.67
Dyspnea		143	14	4	161 (6)		142	18	3	163 (6)	.95
Alopecia	114	12			126 (5)	84	5			89 (3)	.01
Vaginal dryness	75	72			147 (6)	60	69			129 (5)	.26

\*Only toxicities that affected more than 5% of subjects or that differed by more than 1 percentage points between arms are shown. Toxicities were graded according to Common Toxicity Criteria Version 2.0. Empty cells indicate that the toxicity was not observed.

†P values are from Fisher's exact test.



**Table 4.** Bone and cardiovascular toxicities, adverse events, and intercurrent illnesses in patients on MA.17\*

Event	Letrozole, no. (%)	Placebo, no. (%)	<i>P</i> value†
All patients	2572	2577	
Clinical bone fractures			
Yes	137 (5.3)	119 (4.6)	.25
No	2424 (94.2)	2446 (94.9)	
Missing	11 (0.4)	12 (0.5)	
Location of bone fracture			
Spinal	15 (0.6)	10 (0.4)	
Wrist	33 (1.3)	22 (0.9)	
Pelvis	5 (0.2)	4 (0.2)	
Hip	5 (0.2)	8 (0.3)	
Femur	3 (0.1)	2 (0.1)	
Tibia	6 (0.2)	2 (0.1)	
Ankle	13 (0.5)	11 (0.4)	
Other	75 (2.9)	69 (2.7)	
New osteoporosis			
Yes	209 (8.1)	155 (6.0)	.003
No	2352 (91.4)	2410 (93.5)	
Missing	11 (0.4)	12 (0.5)	
Cardiovascular disease			
Yes	149 (5.8)	144 (5.6)	.76
No	2412 (93.8)	2421 (93.9)	
Missing	11 (0.4)	12 (0.5)	
Type of cardiovascular disease			
Myocardial infarction	9 (0.3)	11 (0.4)	
Stroke/transient ischemic attack	17 (0.7)	15 (0.6)	
New or worsening angina	31 (1.2)	23 (0.9)	
Angina requiring PTCA	3 (0.1)	7 (0.3)	
Angina requiring CABG	5 (0.2)	12 (0.5)	
Thromboembolic event	11 (0.4)	6 (0.2)	
Other	100 (3.9)	95 (3.7)	

\*A patient may have more than one type of fracture or cardiovascular disease. PTCA = percutaneous transluminal coronary angioplasty; CABG = coronary artery bypass graft.

†*P* values are from Fisher's exact test.

It is known that aromatase inhibitors do not fully suppress estrogen production in premenopausal women and may induce ovulation and result in an ovarian hyperstimulation syndrome (30,31). Consequently, we recommend that aromatase inhibitors not be used as monotherapy in premenopausal women. Because a proportion of women who are younger than 50 years of age at diagnosis may regain ovarian function during or after tamoxifen cessation, women being considered for letrozole treatment should meet stringent criteria for being postmenopausal. Because the outcome of women in our trial was not affected by our definition of menopause, it would be appropriate to use the criteria defined in our study when applying the results in clinical practice.

The value of adjuvant aromatase inhibitors in women who had received prior chemotherapy has been questioned since the initial report of the ATAC (Arimidex, tamoxifen, alone or in combination) trial failed to show a benefit of anastrozole in women who had received prior adjuvant chemotherapy (32). In our trial of post-tamoxifen treatment, letrozole was equally effective in women who had or had not received prior adjuvant chemotherapy.

Letrozole was extremely well tolerated in the MA.17 trial. Hot flashes, myalgia, arthralgia, and alopecia—all of which differed between the trial arms—are probably related to depleted estrogen levels. However, the role of estrogen in the incidence of arthralgia and arthritis in menopause generally is controversial, and symptoms of myalgia and arthralgia appeared promptly in many women receiving letrozole, leaving their etiology unclear. More rigorous

evaluation of these symptoms to distinguish arthralgia from arthritis and myalgia would be desirable in future trials. Although alopecia was more common in women receiving letrozole than in women receiving placebo, it was generally mild and of minimal, if any, cosmetic significance.

Of importance, no excess of urogenital symptoms was reported by women receiving letrozole. Women enrolled on MA.17 had recently completed 5 years of tamoxifen, which is associated with an increased risk of vaginal bleeding (2–4). It is therefore of note that vaginal bleeding was more common in women receiving placebo than in women receiving letrozole. This difference may reflect inhibition of endometrial proliferation by the aromatase inhibitor. Indeed, Garrone et al. (33) found that endometrial thickness is reduced more rapidly after tamoxifen by administering an aromatase inhibitor than by simply stopping tamoxifen. The absence of endometrial stimulation has also been demonstrated for anastrozole in the ATAC endometrial substudy (34). A phase II trial of letrozole in advanced endometrial cancer has further described the antiproliferative effects of this agent (35).

Letrozole was associated with a statistically significant increase in newly diagnosed osteoporosis but only with a non-statistically significant increase in clinical fractures. These findings are compatible with the known increase in bone resorption associated with aromatase inhibitor–induced estrogen depletion (36). Of importance, however, is the fact that a loss of bone mineral density over the 5 years of MA.17 in women assigned to letrozole may be offset in part by the benefit experienced from tamoxifen during the preceding 5 years. The latter was demonstrated in the bone substudy of the ATAC trial (37). Because decreases in bone mineral density can be monitored and treated, the important improvements in cancer outcome achieved by extended adjuvant letrozole should not be outweighed by excessive concern about bone loss. All patients enrolled on the MA.17 trial will continue to be followed with respect to new diagnoses of osteoporosis and clinical fractures. In the interim, women given extended adjuvant letrozole therapy should be advised to take calcium and vitamin D as per osteoporosis guidelines and to follow recommendations for bone health, including regular monitoring of their bone mineral density, as suggested by an expert panel of the American Society of Clinical Oncology (38).

The optimal duration of adjuvant tamoxifen remains controversial. In the National Surgical Adjuvant Breast and Bowel Project B-14 trial (5,6), the Scottish trial (39), and the ECOG (40) trial, women completing their initial 5 years of adjuvant tamoxifen were randomly assigned to a further 5 years of tamoxifen or placebo. In addition, the French Breast Cancer Group (41) randomly assigned patients late in follow-up who had not taken initial tamoxifen to adjuvant tamoxifen or not, starting 2–6 years after diagnosis. MA.17 is unique in that patients were randomly assigned to a novel agent after initial adjuvant therapy, thus extending the duration of the adjuvant treatment period beyond 5 years. MA.17 is also the first double-blind, placebo-controlled trial of an aromatase inhibitor in early breast cancer, allowing a true assessment of toxicities. However, it should be borne in mind that all participants received 5 years of prior tamoxifen, which could influence end-organ and other toxic effects, such as bone metabolism and cardiovascular risk. This extended influence is a particular concern because tamoxifen has a long plasma and tissue half-life (42,43).

This study shows that extended adjuvant letrozole given for 5 years after tamoxifen reduces the risk of breast cancer recurrence



and may be associated with an improvement in overall survival in women with lymph node–positive disease. Letrozole was well tolerated, and the patients were highly compliant. An extension to MA.17, randomly assigning patients to a further 5 years of letrozole versus placebo, is under way. This extension of MA.17 will allow a better determination of the optimal duration of treatment both for efficacy and long-term toxicities.

The MA.17 trial results have changed clinical practice, but because the median follow-up was short the question of duration of therapy remains unanswered for the time being. In addition, it is uncertain from the results whether women should be offered extended adjuvant therapy with letrozole if more than 3 months have elapsed since cessation of tamoxifen treatment. A reanalysis of the entire study after unblinding, including those patients who switched to letrozole after variable times of taking placebo, will address this question. Chronic toxicity assessment, although currently limited, will be further addressed by ongoing follow-up of all MA.17 participants and by the extension of MA.17.

In summary, this final analysis of unblinded data confirms our earlier finding (20) of a substantial reduction in risk of recurrence and excellent tolerability with extended adjuvant letrozole. The findings of a distant disease–free survival advantage with letrozole and an apparent overall survival advantage in women presenting with metastasis to the lymph nodes are particularly noteworthy. The American Society of Clinical Oncology Technology Assessment Committee has recently recommended the use of an aromatase inhibitor as initial therapy or in sequence with tamoxifen as appropriate treatment for postmenopausal receptor-positive early-stage breast cancer (44). Our results support these recommendations. Adjuvant letrozole should be discussed with all postmenopausal women completing standard adjuvant tamoxifen therapy.

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## NOTES

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