International Council for the Exploration of the Sea

## ICES Journal of Marine Science

ICES Journal of Marine Science (2013), 70(4), 707-721. doi:10.1093/icesjms/fst077

### Review

# Can fisheries-induced evolution shift reference points for fisheries management?

Mikko Heino<sup>1,2,3\*</sup>, Loïc Baulier<sup>1,2,4</sup>, David S. Boukal<sup>1,2,5</sup>, Bruno Ernande<sup>6,7</sup>, Fiona D. Johnston<sup>3,8</sup>, Fabian M. Mollet<sup>3,9</sup>, Heidi Pardoe<sup>10</sup>, Nina O. Therkildsen<sup>11</sup>, Silva Uusi-Heikkilä<sup>8,12</sup>, Anssi Vainikka<sup>13,14</sup>, Robert Arlinghaus<sup>8,15</sup>, Dorothy J. Dankel<sup>2</sup>, Erin S. Dunlop<sup>1,2,16</sup>, Anne Maria Eikeset<sup>17</sup>, Katja Enberg<sup>1,2</sup>, Georg H. Engelhard<sup>18</sup>, Christian Jørgensen<sup>19</sup>, Ane T. Laugen<sup>6,20</sup>, Shuichi Matsumura<sup>3,8,21</sup>, Sébastien Nusslé<sup>22,23</sup>, Davnah Urbach<sup>3,24</sup>, Rebecca Whitlock<sup>3,25,26</sup>, Adriaan D. Rijnsdorp<sup>9,27</sup>, and Ulf Dieckmann<sup>3</sup>

<sup>1</sup>Department of Biology, University of Bergen, Box 7803, 5020 Bergen, Norway

<sup>2</sup>Institute of Marine Research, Bergen, Norway

<sup>4</sup>Agrocampus Ouest, Fisheries and Aquatic Sciences Center, Rennes, France

- <sup>6</sup>Ifremer, Laboratoire Ressources Halieutiques, Port-en-Bessin, France
- <sup>7</sup> Ifremer, Laboratoire Ressources Halieutiques, Boulogne-sur-Mer, France
- <sup>8</sup>Department of Biology and Ecology of Fishes, Leibniz-Institute of Freshwater Ecology and Inland Fisheries, Berlin, Germany

<sup>9</sup>Wageningen IMARES, IJmuiden, the Netherlands

<sup>12</sup>Division of Genetics and Physiology, Department of Biology, University of Turku, Turku, Finland

<sup>13</sup>Department of Biology, University of Eastern Finland, Joensuu, Finland

<sup>14</sup>Institute of Coastal Research, Swedish Board of Fisheries, Öregrund, Sweden

<sup>15</sup>Inland Fisheries Management Laboratory, Department for Crop and Animal Sciences, Humboldt-Universität zu Berlin, Berlin, Germany

<sup>16</sup>Aquatic Research and Development Section, Ontario Ministry of Natural Resources, Peterborough, Canada

<sup>18</sup>Centre for Environment, Fisheries & Aquaculture Science (Cefas), Lowestoft, UK

<sup>19</sup>Computational Ecology Unit, Uni Research, Bergen, Norway

<sup>20</sup>Swedish University of Agricultural Sciences, Department of Ecology, Uppsala, Sweden

<sup>21</sup>Faculty of Applied Biological Sciences, Gifu University, Gifu, Japan

<sup>22</sup>Department of Ecology and Evolution, University of Lausanne, Lausanne, Switzerland

<sup>23</sup>Bern University, Conservation Biology, Bern, Switzerland

<sup>24</sup>Department of Biological Sciences, Dartmouth College, Hanover, New Hampshire, USA

<sup>25</sup>Stanford University, Hopkins Marine Station, Pacific Grove, California, USA

<sup>26</sup>Finnish Game and Fisheries Research Institute, Turku, Finland

<sup>27</sup>Aquaculture and Fisheries Group, Department of Animal Sciences, Wageningen University, Wageningen, the Netherlands

This article has been prepared jointly by participants of the Study Group on Fisheries-Induced Adaptive Change (SGFIAC) of the International Council for the Exploration of the Sea (ICES). MH and UD coordinated preparations and integrated the writing. LB, DSB, UD, BE, MH, FJ, FM, HP, ADR, NOT, SUH, and AV wrote and reviewed sections. RA, DJD, ESD, AME, KE, GHE, CJ, ATL, SM, SN, DU, and RW contributed suggestions and comments. In the meanwhile, SGFIAC has become the Working Group on Fisheries-induced Evolution (WGEVO). For further information about the working group, please contact the WGEVO chairs UD, MH, or ADR.

© 2013 International Council for the Exploration of the Sea. Published by Oxford University Press. All rights reserved. For Permissions, please email: journals.permissions@oup.com

<sup>&</sup>lt;sup>3</sup>Evolution and Ecology Program, International Institute for Applied Systems Analysis, Laxenburg, Austria

<sup>&</sup>lt;sup>5</sup>Department of Ecosystems Biology, Faculty of Science, University of South Bohemia, Ceske Budejovice, Czech Republic

<sup>&</sup>lt;sup>10</sup>MARICE, Faculty of Life and Environmental Sciences, University of Iceland, Reykjavik, Iceland

<sup>&</sup>lt;sup>11</sup>Section for Population Ecology and Genetics, National Institute of Aquatic Resources, Technical University of Denmark, Silkeborg, Denmark

<sup>&</sup>lt;sup>17</sup>University of Oslo, Department of Biosciences, Centre for Ecological and Evolutionary Synthesis (CEES), Oslo, Norway

#### \*Corresponding Author: tel: +47 5558 4544; fax: +47 5558 4450; e-mail: mikko@imr.no

Heino, M., Baulier, L., Boukal, D. S., Ernande, B., Johnston, F. D., Mollet, F. M., Pardoe, H., Therkildsen, N. O., Uusi-Heikkilä, S., Vainikka, A., Arlinghaus, R., Dankel, D. J., Dunlop, E. S., Eikeset, A. M., Enberg, K., Engelhard G. H., Jørgensen, C., Laugen, A. T., Matsumura, S., Nusslé, S., Urbach, D., Whitlock, R., Rijnsdorp, A. D., and Dieckmann, U. 2013. Can fisheries-induced evolution shift reference points for fisheries management? – ICES Journal of Marine Science, 70: 707 – 721.

Received 30 November 2012; accepted 5 May 2013

Biological reference points are important tools for fisheries management. Reference points are not static, but may change when a population's environment or the population itself changes. Fisheries-induced evolution is one mechanism that can alter population characteristics, leading to "shifting" reference points by modifying the underlying biological processes or by changing the perception of a fishery system. The former causes changes in "true" reference points, whereas the latter is caused by changes in the yardsticks used to quantify a system's status. Unaccounted shifts of either kind imply that reference points gradually lose their intended meaning. This can lead to increased precaution, which is safe, but potentially costly. Shifts can also occur in more perilous directions, such that actual risks are greater than anticipated. Our qualitative analysis suggests that all commonly used reference points are susceptible to shifting through fisheries-induced evolution, including the limit and "precautionary" reference points for spawning-stock biomass,  $B_{lim}$  and  $B_{pa}$ , and the target reference point for fishing mortality,  $F_{0.1}$ . Our findings call for increased awareness of fisheries-induced changes and highlight the value of always basing reference points on adequately updated information, to capture all changes in the biological processes that drive fish population dynamics.

**Keywords:** biological reference points, fisheries-induced evolution, fisheries management, population dynamics, precautionary approach, uncertainty.

#### Introduction

Reference points are tools that facilitate assessing the status of a fishery system in relation to management objectives (Table 1). Over the last two decades, reference points have become established as important tools for fisheries management (FAO, 1996; Gabriel and Mace, 1999; ICES, 2007a). Fishery reference points are expressed as targets that management should aim to reach, or as limits beyond which a system should not pass (Caddy and Mahon, 1995; Mace, 2001). Reference points are most commonly based on stock-recruitment relationships, yield-per-recruit relationships, or production models. Inherent to these models are the influences of growth, reproduction, and survival on population dynamics. If these underlying processes change over time, the "true" values of reference points that depend on them change accordingly. In particular, any trend in a stock's life-history traits will have demographic repercussions that could lead to a gradual change in their true values. Similarly, a trend in life-history traits can lead to a gradual change in our perception of a system's state relative to its reference points. We refer to both types of gradual change collectively as "shifting" reference points. Such shifts should be accounted for if reference points are to maintain their intended interpretation and utility for management.

Fisheries-induced evolution (FIE, Table 1) is a mechanism that can alter life-history traits and resultant stock properties directionally, causing reference points to shift. Starting from the seminal articles by Silliman (1975), Ricker (1981), Law and Grey (1989), and Rijnsdorp (1993), there is an increasing body of observational studies, experiments, and theoretical work supporting the hypothesis that fishing causes contemporary evolution in traits related to growth, maturation, and fecundity (for reviews, see Jørgensen *et al.*, 2007; Kuparinen and Merilä, 2007; Conover and Baumann, 2009; Dieckmann *et al.*, 2009; Dunlop *et al.*, 2009b; Sharpe and Hendry, 2009). FIE is therefore likely contributing to many of the ubiquitous phenotypic changes in fish life histories (e.g. Trippel, 1995; Hutchings and Baum, 2005; Hsieh *et al.*, 2010), even though phenotypic field studies alone can never conclusively prove that an observed phenotypic change has a genetic component or a particular cause (e.g. Dieckmann and Heino, 2007; Kuparinen and Merilä, 2007).

FIE is not the only source of concern regarding directional changes in reference points. Fishing also changes life histories through phenotypic plasticity, and these changes often occur in the same direction as the effects of evolution (e.g. Trippel, 1995). For example, a well-documented compensatory response to fishing is earlier maturation due to the faster body growth that may occur when population density is reduced by fishing (Trippel, 1995). The term "fisheries-induced adaptive change" (Table 1) covers such plastic changes together with evolutionary changes. Furthermore, factors that are exogenous to fishery systems (e.g. regime shifts, eutrophication or other changes in productivity, climate change) alter fish population dynamics, and consequently may cause shifts in reference points (e.g. Cook and Heath, 2005; Kell *et al.*, 2005; Köster *et al.*, 2009).

Management decisions based on reference points that do not account for directional changes in life histories may become either more or less precautious than originally intended. As a concrete example, ICES continues to use a maturity ogive from the early 1980s in their stock assessment of North Sea plaice (Enberg *et al.*, 2010), despite evidence for significant changes in life-history traits (van Walraven *et al.*, 2010, and references therein). Since not all reference points utilize the same biological information (Gabriel and Mace, 1999; Hall and Mainprize, 2004), the robustness of any particular reference point to FIE will depend on which data are used to establish it, which traits are affected by FIE, and how large the resultant changes are.

The objective of this article is to assess how currently used reference points are expected to shift as a result of FIE and to draw attention to possible management implications. We restrict the analysis to situations in which a fish population with an iteroparous life history is adapting to ongoing exploitation of both immature and

#### Table 1. Terms and definitions.

| Term                                  | Definition   |
|---------------------------------------|--|
| Biological reference points           | Quantitative benchmarks against which fish biomass, fishing mortality rate, or other stock properties can be compared to determine stock status and provide management advice (Caddy and Mahon, 1995; Gabriel and Mace, 1999). Reference points can be used either as limits or targets (e.g. Caddy and Mahon, 1995; Mace, 2001).  |
| Target reference points               | Desirable levels of stock properties such as biomass or fishing mortality that a management regime should aim to achieve on average (Hall and Mainprize, 2004). For example, these could be values that allow for the largest possible catch, while ensuring sustainable exploitation over the long-term (Cadima, 2003).   |
| Limit or threshold reference          | Benchmark values of stock properties that, if passed, indicate that a stock is being over-exploited and that its capacity for self-renewal and its long-term sustainability may  |
| points                                | be impaired (Caddy and Mahon, 1995; Cadima, 2003). Biomass levels below, and/or fishing mortality rates above, limit reference points are considered undesirable and should be avoided by management actions (Caddy and Mahon, 1995).  |
| B <sub>lim</sub> and F <sub>lim</sub> | Limit reference points based on spawning-stock biomass SSB and fishing mortality <i>F</i> , respectively. B <sub>lim</sub> is defined by ICES (2007a) such that if SSB is depressed below this level, there is a high risk that recruitment will be impaired (i.e. that, on average, it will be significantly lower than at higher SSB), or alternatively, B <sub>lim</sub> is defined as the lowest observed SSB, below which the stock dynamics are unknown. <i>F</i> <sub>lim</sub> is the fishing mortality that, if maintained, will drive the stock to B <sub>lim</sub> (ICES, 2007a).       |
| B <sub>pa</sub> and F <sub>pa</sub>   | So-called precautionary reference points (ICES, 2007a). These reference points provide a buffer zone relative to B <sub>lim</sub> and F <sub>lim</sub> , and were established to account for the uncertainty associated with estimating F and SSB. B <sub>pa</sub> is defined such that if the estimated SSB exceeds this benchmark, then the true SSB exceeds B <sub>lim</sub> with a high probability (usually 95%). F <sub>pa</sub> is defined analogously.   |
| B <sub>MSY</sub> and F <sub>MSY</sub> | Reference points that describe, respectively, the biomass and fishing mortality that enable maximum sustainable yield (MSY). Reference points based on MSY can be used either as targets or as limits (Mace, 2001; ICES, 2007a). B <sub>MSY</sub> and F <sub>MSY</sub> are usually estimated using a production model or an age-based model coupled with a stock-recruitment model (Gabriel and Mace, 1999).   |
| F <sub>max</sub> and F <sub>0.1</sub> | Reference points based on yield-per-recruit relationships. <i>F</i> <sub>max</sub> is the level of fishing mortality that maximizes the average fishing yield from a recruit, given a constant selection pattern of the fishery (Caddy and Mahon, 1995). <i>F</i> <sub>0.1</sub> is defined as the fishing mortality at which the slope of the yield-per-recruit relationship equals 10% of its value at the origin. Even though this choice of slope is somewhat arbitrary, it guarantees that <i>F</i> <sub>0.1</sub> is more conservative than <i>F</i> <sub>max</sub> (Caddy and Mahon, 1995). |
| Recruitment overfishing               | A situation in which the rate of fishing is so high that recruitment to the stock becomes significantly reduced, characterized by greatly reduced SSB (e.g. ICCAT, 2009).  |
| Growth overfishing                    | A situation in which fish are harvested too early in their life, before they have realized most of their growth potential. Usually defined relative to F <sub>max</sub> , with fishing mortalities in excess of F <sub>max</sub> implying growth overfishing (e.g. ICCAT, 2009).   |
| Phenotypic plasticity                 | Dependence of an individual's phenotype on the environmental conditions it encounters. For example, conditions allowing for rapid growth usually facilitate earlier maturation (Trippel, 1995).  |
| Fisheries-induced evolution<br>(FIE)  | Defined by ICES (2007b) as a genetic change in a population with fishing serving as the driving force of evolution.  |
| Fisheries-induced adaptive change     | Defined as genetic and phenotypically plastic individual-level changes that increase the fitness of phenotypes in an exploited system (see also ICES 2007b).   |

mature fish. We compare how reference points based on the current life history differ from those estimated in the past, when no or less FIE had occurred. Specifically, we do not consider consequences of future FIE in this assessment (which is the remit of evolutionary impact assessments or EvoIAs; Jørgensen *et al.*, 2007; Laugen *et al.*, 2013). We first review the possible influences of FIE on life-history traits, stock dynamics, and productivity, and then examine how such changes are expected to affect various types of reference points.

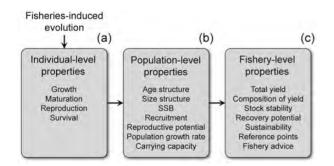
## Fisheries-induced evolution and its consequences for the dynamics and productivity of fish stocks

The potential for sustainably exploiting a fish stock depends on stock renewal through recruitment and on how existing individuals grow and die. These processes are influenced by life-history traits such as those governing maturation, reproductive effort, and somatic growth. As the basis for understanding how reference points are influenced by FIE, this section provides an overview of how FIE might influence these life-history traits, and how these changes then influence fish population dynamics and the fishery (Figure 1). We focus on the evolution of growth rates, maturation schedules, and reproductive efforts because FIE in these traits is theoretically best understood and empirically most widely documented, and also because such changes have direct consequences for stock dynamics and productivity (Law and Grey, 1989; Kaitala and Getz, 1995; Heino, 1998; Ernande et al., 2004; de Roos et al., 2006; Andersen and Brander, 2009; Dunlop et al., 2009a; Enberg et al., 2009, 2010; Jørgensen et al., 2009; Okamoto et al., 2009; Matsumura et al., 2011; Vainikka and Hyvärinen, 2012).

#### Individual-level consequences of FIE

In general terms, fishing, like any other factor reducing life expectancy, can be expected to lead to the evolution of "faster" life histories: under many fishing regimes, fish improve their lifetime reproductive success by reaping fitness gains early in life, even if this trades off with their survival and reproduction later on. Such an acceleration of life histories can result from changes in a number of traits (for a general discussion, see Jeschke and Kokko, 2009).

When fishing increases the mortality of both immature and mature fish, evolution towards earlier maturation is expected (Law, 2000). All else being equal, earlier maturation increases the abundance of potential spawners (Enberg *et al.*, 2010). An average



**Figure 1.** Fisheries-induced evolution impacts life-history traits and other individual-level properties (**a**), with repercussions for the demography of fish stocks (**b**), and for fisheries (**c**). Impacts and interdependencies also exist within each group, e.g. maturation influences growth and reproduction, and changes in age structure influence spawning-stock biomass.

spawner will be younger and smaller; the latter because of the younger age, but also because encountering the trade-off between growth and reproduction earlier in life leads to smaller body size-at-age (Enberg *et al.*, 2012). Average *per capita* fecundity will be reduced, because gonad size and fecundity show an isometric or positively allometric relationship with body weight (Roff, 1983). Moreover, the duration of spawning can decline with female body size or age, as shown for some batch spawners (Rijnsdorp, 1989; Kjesbu *et al.*, 1996). Furthermore, size-dependent maternal effects have been observed in several fish species, with smaller and younger females producing offspring that suffer from lower viability compared to offspring of larger and older females (Birkeland and Dayton, 2005; but see Marshall *et al.*, 2010). When present, such maternal effects may aggravate the negative impacts of FIE on *per capita* reproductive capacity.

Theory also suggests that FIE will increase reproductive effort among mature individuals, leading not only to elevated fecundity in relation to body size, but also to a reduced frequency of skipped spawning (Jørgensen et al., 2006). Increased energy allocated to reproduction will lessen somatic growth and therefore negatively impact fecundity later in life (Roff, 1983). Furthermore, increased reproductive effort might reduce survival (Gunderson, 1997; Kuparinen and Hutchings, 2012). Current models (Andersen and Brander, 2009; Dunlop et al., 2009a, c; Enberg et al., 2009; Matsumura et al., 2011) suggest that the FIE of reproductive effort might be relatively slow, and only of modest magnitude. In line with these expectations, empirical studies of exploited stocks have so far reported little or no change in reproductive effort (Yoneda and Wright, 2004; Rijnsdorp et al., 2005; Baulier, 2009; Nusslé et al., 2009; Thomas et al., 2009; van Walraven et al., 2010; Wright et al., 2011). It thus appears that earlier maturation, rather than elevated reproductive effort, more readily absorbs the selection for a faster life history.

For adult fish, the aforementioned changes in maturation and reproductive effort cause somatic growth to decrease. Positively sizeselective fishing mortality may further favour evolution towards smaller adult size. For juvenile fish, the situation is more complex (Enberg et al., 2012): current models show that evolution towards either faster or slower growth is possible (Andersen and Brander, 2009; Dunlop et al., 2009c; Enberg et al., 2009; Wang and Höök, 2009; Matsumura et al., 2011). This is because, under conditions of positively size-selective fishing, reduced somatic growth lessens an individual's cumulative exposure to fishing mortality, but this fitness benefit comes at a cost: cumulative energy intake is reduced, time to reach maturation size is prolonged, and individuals maturating at smaller sizes will have reduced fecundity, implying three types of fitness cost of reduced somatic growth (Bodin et al. 2012; Enberg et al., 2012). Furthermore, smaller size usually leads to higher predation mortality, amounting to a fourth type of fitness cost. The balance among all resultant selection pressures needs to be addressed on a case-by-case basis; an expectation of slower growth based on the widely recognized laboratory experiment by Conover and Munch (2002) is not readily generalized (Enberg et al., 2012).

The aforementioned life-history adaptations caused by fishing may result in increased natural mortality (Jørgensen and Fiksen, 2010). This is a compound effect of several potential mechanisms. First, as already mentioned, decreased growth can result in increased mortality from predation because the latter usually declines with body size (Heino and Godø, 2002; Jørgensen and Fiksen, 2010). Second, increased fishing mortality, by devaluing future, favours more risky behaviours (e.g. foraging and mating behaviours), in turn implying higher natural mortality. Third, increased investment in reproduction may elevate the mortality costs of reproduction (Jørgensen and Fiksen, 2010). While there is empirical evidence for increased natural mortality in some fish stocks, in accordance with these predications, the observed effects could alternatively be explained by non-evolutionary changes (Jørgensen and Holt, 2013).

#### Population-level consequences of FIE

Any evolutionary changes in individual traits that affect recruitment or mortality will have population-level consequences. Combining insights from life-history theory and models of FIE, we are now beginning to understand the generalities that apply to population-level consequences of FIE. Synthesizing current knowledge, we derive two main predictions. First, we can often expect that a population that adapts to fishing can maintain higher population biomass under fishing than a population not adapted to fishing would under the same conditions; conversely, if fishing is stopped after a population has been adapting to fishing, it will usually recover to a lower equilibrium biomass (i.e. carrying capacity) than observed before fishing started. Second, when fishing drives evolution towards faster life histories, the consequence is that, at least initially, maximum population growth rate will often increase. The reasoning behind these predictions is given below. The predictions are not fully general, but we argue that they are general enough to guide us further in understanding how FIE may change reference points.

To understand the reasoning behind the predicted populationlevel consequences of FIE, we need to review life-history theory that is somewhat technical and little known outside of theoretical biology. Below we first introduce the general theory underlying the predictions, discuss the specific predictions and the conditions under which they apply, and finally, review the supporting evidence.

A population's equilibrium biomass in the absence of fishing is a measure of its carrying capacity K. This metric is jointly determined by the environment in which a population lives and by its current life history. Consequences of FIE on population biomass can be assessed based on so-called pessimization principles derived from lifehistory theory (Mylius and Diekmann, 1995; Metz et al., 2008). These principles generalize the earlier, less general predictions that evolution maximizes a population's equilibrium size (Roughgarden, 1976), or more specifically, the equilibrium size of the population's age group that is critical for its density regulation (Charlesworth, 1994). In general, pessimization principles state that the life-history trait that allows a population to persist under the worst environmental condition cannot be invaded by any other such trait (Mylius and Diekmann, 1995; Metz et al., 2008). This implies that the biomass is maximized of the life stage that is critical for the population's density regulation. It must be noted, however, that this general prediction only holds for populations regulated by a single source of density dependence (in which the strength of density regulation depends on just a single quantitative feature of the population or its environment).

The pessimization principle has interesting implications. For example, for a population in which density regulation occurs only at the newborn stage, theory predicts that evolution maximizes newborn abundance, and thus, spawning-stock biomass (as the former usually requires the latter), provided that the stockrecruitment relationship is not overcompensatory. Thus, the life history that is best adapted to the current conditions is also the one that has the highest spawning-stock biomass under these conditions. This implies that a population that is adapted to fishing is able to maintain a higher equilibrium spawning-stock biomass in the presence of fishing than a hypothetical population that is exposed to the same fishing pressure without being adapted to it. Likewise, a population adapted to fishing will have a lower equilibrium spawning-stock biomass in the absence of fishing, and thus a reduced carrying capacity *K*, than its hypothetical counterpart best adapted to the fishing-free environment.

A more heuristic argument as to why FIE reduces K can be made by noting that in general, better adaptation to one particular set of conditions trades off against adaptedness under other conditions. Thus, good performance under fishing occurs at the expense of good performance in the absence of fishing (Conover, 2000; Heino and Dieckmann, 2008; Jørgensen *et al.*, 2008). When equilibrium population biomass is a relevant metric of performance (which it is under a suitable pessimization principle), the equilibrium population biomass a population would reach in the absence of fishing (that is, K) is expected to decline under FIE. This effect is readily seen in evolutionary fish population models (Enberg *et al.*, 2009; Kuparinen and Hutchings, 2012).

In populations in which density regulation comes from multiple sources-for example, through density-dependent survival among newborns and from density-dependent somatic growth during later life stages-the pessimization principle no longer holds. Nevertheless, when there is a single dominant source of density regulation, the corresponding pessimization principle can still serve as an approximation, although it is difficult to assess how accurate such an approximation will be. For example, in a model including density regulation in recruitment (pre-recruit survival declines as population egg production increases) and in somatic growth (growth declines as total population biomass increases), Enberg et al. (2009) showed that FIE causes total population biomass to increase relative to the hypothetical non-adapted population. When fishing ceases, the adapted population recovers to a lower total population biomass than the non-adapted population. Thus, the model shows behaviour that is in agreement with the expectations based on the pessimization principle for populations in which density regulation depends solely on total population biomass. In other words, from an evolutionary perspective, growth regulation dominates recruitment regulation in the analysed model. In line with this conclusion, the model also shows that a population adapted to fishing recovers to a higher spawning-stock biomass than the non-adapted population, which, as expected, contradicts what would apply to a purely recruitment-regulated population.

The result that populations adapted to fishing can maintain higher population biomass under fishing than those with the original, non-adapted life history has now been reported in several models (Enberg *et al.*, 2009, 2010), the most extreme case being that the nonadapted population goes extinct (Kaitala and Getz, 1995; Heino, 1998). That a population adapted to fishing recovers to a lower equilibrium total biomass under a fishing moratorium has been found in models by Enberg *et al.* (2009) and Kuparinen and Hutchings (2012). Recovery to a lower equilibrium spawning-stock biomass under a fishing moratorium could also occur, in the special case that previous fishing has led to an evolutionary regime shift (de Roos *et al.*, 2006).

Consequences of FIE on maximum population growth rate  $(r_{max})$  can be predicted by combining insights from life-history theory with fundamentals of population demography. The rate  $r_{max}$  is defined by the Euler–Lotka equation and measures a population's instantaneous growth rate at low density (i.e. in the absence of

negative effects of density dependence) and in the absence of fishing. Another metric, the basic reproduction ratio  $(R_0, also called the$ expected lifetime reproductive success), measures relative population growth on a generational time scale; like for  $r_{max}$ , we assume that  $R_0$  is evaluated at low density and in the absence of fishing. Despite their ignoring of population regulation, these measures are useful in determining evolutionary outcomes in densityregulated populations, but, as already discussed above, only in those that are regulated by a single source of density dependence (Mylius and Diekmann, 1995; Metz et al., 2008). Specifically, when density dependence reduces the expected lifetime production of offspring in a multiplicative manner-like in fish populations that are recruitment-regulated—the life history maximizing  $R_0$  corresponds to an evolutionary optimum in that environment. In this case, a fish population adapted to its natural environment possesses the maximum possible  $R_0$ , so any change in its life history lowers  $R_0$ . A change caused by FIE is no exception to this rule, and thereby will necessarily decrease  $R_0$  in the environment without fishing (Figure 2). When such a life-history change occurs in the direction of faster life histories (e.g. through earlier maturation),  $r_{\rm max}$  will simultaneously increase, at least as an initial response. This somewhat counterintuitive result is obtained because in viable populations (with  $r_{\text{max}} > 0$ ),  $r_{\text{max}}$  is maximized for a life history that is faster than the one maximizing  $R_0$ , barring some artificial examples (J. A. J. Metz, pers. comm.). A heuristic explanation is that in viable populations an offspring produced late in life counts less towards determining  $r_{max}$  than one produced early in life, whereas

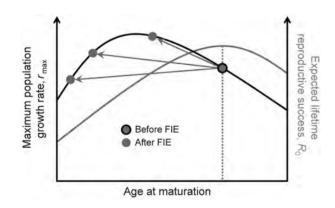


Figure 2. Evolution towards a faster life history can result in an increased maximum population growth rate ( $r_{max}$ ; black curve), here illustrated for an evolving age at maturation. In populations that are recruitment-limited (recruitment success declines as population density increases), selection favours an age at maturation that corresponds to the maximum of the basic reproduction ratio ( $R_0$ ; grey curve). Both metrics describe a population's capacity to grow ( $r_{max}$ measures its absolute instantaneous rate of increase, while R<sub>0</sub> measures its relative increase per generation) under standard environmental conditions, usually in the absence of fishing and without density dependence. Because R<sub>0</sub> is blind to changes in generation length, whereas a shorter generation length increases  $r_{max}$  in a viable population  $r_{max}$  almost always reaches its maximum for a lower age at maturation than  $R_0$ . Under these conditions, acceleration of the life history results in increased  $r_{max}$  and decreased  $R_{0}$ , at least as an initial response. However, such acceleration might not stop near the maximal  $r_{\rm max}$  (upper arrow), but may continue beyond this maximum and thus result in an  $r_{max}$  that again decreases (middle arrow) and eventually may even fall below its original value (lower arrow). See text for a detailed explanation.

offspring produced early and late in life are equally valuable for determining  $R_0$ . Therefore, it is possible to increase  $r_{\text{max}}$  while decreasing  $R_0$ .

The prediction of increased  $r_{\text{max}}$  is supported by models showing that populations adapted to fishing can tolerate higher fishing pressures than non-adapted populations (Kaitala and Getz, 1995; Heino, 1998; Enberg et al., 2009), and that the slope at the origin in their stock-recruitment relationships increases (Enberg et al., 2010). The limitations to the generality of this prediction is that it may not apply to populations that are not recruitment-regulated, and that it may not apply after the early phases of FIE. Nevertheless we know of no example showing a significant deviation from this prediction. A slight decrease in population growth rate was observed by Kuparinen and Hutchings (2012) in a model population that was regulated through both somatic growth and recruitment, and for which the prediction based on recruitment-regulated populations does not apply. A larger effect was reported by Hutchings (2005), who showed that in a model of cod a reduction in the age at maturation from 6 to 4 years could result in a reduced  $r_{\text{max}}$  (or more precisely, in a reduction of the proxy for  $r_{\rm max}$  considered in that study). However, reduced age at maturation was an assumption, not an outcome of evolution. Consequently, what Hutchings (2005) showed was that a reduced age at maturation can reduce  $r_{\rm max}$ , but not that FIE reduces  $r_{\rm max}$ . Thus, we consider the prediction that FIE increases maximum population growth rate as a good working hypothesis, in the absence of evidence to the contrary.

#### Fishery-level consequences of FIE

FIE can have important implications for fisheries. The most dramatic consequence is that FIE might allow a population to avoid extinction caused by excessive fishing (Kaitala and Getz, 1995; Heino, 1998; Ernande et al., 2004; Enberg et al., 2009). Other effects, however, are often negative from a human perspective. A spawning stock consisting of young and small individuals may reduce a population's resilience to low-frequency environmental perturbations (Longhurst, 2002; Jørgensen et al., 2008). FIE will usually lead to smaller average adult size (Heino, 1998; Matsumura et al., 2011), while consumers, recreational anglers, and the fishing industry tend to prefer large fish and are willing to pay a higher price for such fish (Hilborn and Walters, 1992; Oh et al., 2005; Zimmermann et al., 2011). Models also suggest that FIE leads to reduced sustainable yield (Law and Grey, 1989; Heino, 1998; Andersen and Brander, 2009; Matsumura et al., 2011; Vainikka and Hyvärinen, 2012), and experimental work supports these results (Edley and Law, 1988; Conover and Munch, 2002). Moreover, FIE may also result in reduced overall catchability when the vulnerability to capture is a heritable trait (Philipp et al., 2009).

Finally, FIE will influence fishery advice, even when it is not explicitly accounted for. Management advice is based on estimating the past and predicting the future. The advice is therefore influenced by changing stock parameters, with FIE being one of the drivers of such change. An important avenue through which FIE will influence advice is that reference points for fisheries management are likely to change, as we shall show below.

#### Consequences of fisheries-induced evolution for reference points

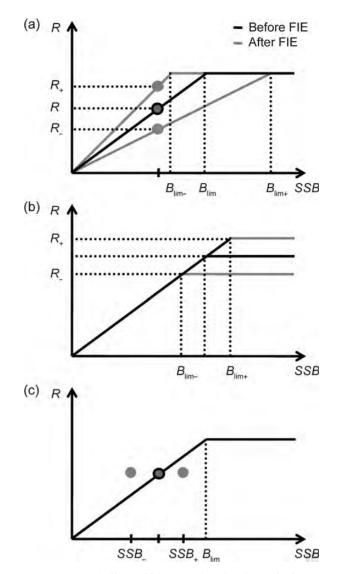
In this section we review how FIE might influence reference points that are often used in fisheries management. We start with reference points based on stock-recruitment relationships and yieldper-recruit analyses that focus on certain parts of the life cycle. In contrast, production models cover the whole life cycle but in much less detail. Finally, we briefly discuss reference points based on virgin biomass.

#### Reference points based on stock-recruitment relationships

Stock-recruitment relationships describe the average relationship between the size of a stock's spawning component and its offspring production (e.g. Quinn and Deriso, 1999). The spawning component is typically characterized by its spawning-stock biomass (SSB). Offspring production is often measured as the mean number of recruits (R), defined as members of the first age class for which effective abundance estimation becomes possible (because such individuals appear either in catches or in surveys). Typically, stockrecruitment relationships are not meant to account for variations in R through other important factors, including environmental conditions and spawning-stock composition (for exceptions, see e.g. Marshall et al., 2000; Mantzouni et al., 2010). Stock-recruitment relationships thus mainly capture two biological processes: spawning limitation (when few fish spawn, R increases with SSB) and survival limitation (when many fish spawn, pre-recruit survival is diminished through density regulation).

The most commonly used stock-recruitment models are the Ricker model and the Beverton-Holt model (Ouinn and Deriso, 1999). These specify, respectively, humped (over-compensatory) and monotonically increasing (compensatory) dependences of R on SSB. However, for many fish stocks, stochasticity in R overwhelms the average effect of SSB on R across a large range of SSB. Stock-recruitment relationships can then be approximated in a piecewise fashion by two linear parts: (i) R is proportional to SSB when SSB is low, and (ii) R is constant, and thus independent of SSB, when SSB is high (Figure 3). When fisheries management aims to avoid recruitment overfishing, SSB must be prevented from falling below the range across which R is thought to be unaffected by SSB. The lower boundary  $B_{lim}$  of that range thus assumes the role of a limit reference point, operationally defined through the simplistic "hockey-stick" stock-recruitment relationship just described (ICES, 2007a). By analysing how FIE may affect stock-recruitment relationships and estimations of SSB, we can assess its impacts on  $B_{\rm lim}$ , as well as on the reference points whose values depend on  $B_{\rm lim}$  by definition: the precautionary reference point  $B_{\rm pa}$ , and the corresponding fishing-mortality reference points Flim and Fpa (Table 1).

Stock-recruitment relationships of the simple form described above are determined by two variables: at low SSB, by the mean number of recruits per spawning-stock biomass (the slope of the relationship when R is proportional to SSB), and at high SSB, by the mean number of recruits (the ceiling attained when R is constant). FIE can affect both values. When recruitment at a given SSB is higher  $(R_+)$  or lower  $(R_-)$  than before, the relationship's slope is, respectively, increased or decreased (Figure 3a). This may occur when FIE changes a species' reproductive investment (either in egg number or size), or the survival of its pre-recruits. Figure 3a shows that  $R_+$  lowers  $B_{\text{lim}}$ , whereas  $R_-$  shifts  $B_{\text{lim}}$  to a higher value. Shifts of this kind are particularly likely when FIE causes earlier maturation, because skewing spawning-stock composition towards younger and smaller fish can lower pre-recruit survival (e.g. Kjesbu et al., 1991; Trippel et al., 1997; Marteinsdóttir and Steinarsson, 1998; Brunel, 2010). Such evolutionary changes thus raise Blim. If undetected, implications of a raised  $B_{lim}$  for the sustainable exploitation of a



**Figure 3.** Potential effects of fisheries-induced evolution (FIE) on reference points based on stock-recruitment relationships (**a** and **b**) and on the estimation of spawning-stock biomass SSB (c). Recruitment is measured by the mean number *R* of offspring reaching the age of recruitment. FIE may increase reproductive effort ( $R_+$  in **a**), decrease pre-recruit survival ( $R_-$  in **a** and **b**), or decrease pre-recruit growth ( $R_+$  in **b**), resulting in corresponding shifts of the limit reference point  $B_{\text{lim}}$  for avoiding recruitment overfishing. FIE may also bias the estimation of SSB, resulting in the underestimation of SSB (SSB- in **c**) when FIE causes earlier maturation and old maturity ogives are used, or in the overestimation of SSB (SSB+ in **c**) when FIE lowers weight-at-age and old weight-at-age keys are used.

stock are potentially serious: fishing at levels based on the lower  $B_{\text{lim}}$  (uncorrected for FIE) could diminish *SSB* below the actual threshold  $B_{\text{lim}}$ , and thus impair the stock's reproductive potential.

Shifts in the ceiling of a stock-recruitment relationship can also be caused by FIE ( $R_+$  and  $R_-$  in Figure 3b). Reflecting the density-dependent survival of pre-recruits, such a ceiling describes a stock's carrying capacity for pre-recruits expressed in the resultant number R of recruits. For example, if FIE caused slower pre-recruit growth, without prolonging the pre-recruit stage, each pre-recruit would require fewer resources, and the ceiling might increase accordingly ( $R_+$ ). By contrast, if FIE causes lower pre-recruit survival after the early density-dependent phase, the ceiling might decrease ( $R_-$ ). The ecological mechanisms underlying changes in the ceiling's position could be manifold and naturally become more involved when pre-recruits undergo ontogenetic niche shifts; generalized predictions are therefore difficult to make. However, any changes in the ceiling that do occur will alter  $B_{\text{lim}}$ . Figure 3b shows that  $R_+$  raises  $B_{\text{lim}}$ , whereas  $R_-$  reduces  $B_{\text{lim}}$ . This suggests that FIE towards slower pre-recruit growth could be most problematic, since it may lead to the underestimation of  $B_{\text{lim}}$ , and thus to the stock's exploitation beyond safe biological limits.

FIE not only alters stock-recruitment relationships, but may also bias estimations of SSB (Enberg et al., 2010; Rijnsdorp et al., 2010). In practice, SSB is often estimated in two steps. First, a stock's observed abundance-at-age structure is multiplied by the stock's maturity ogive to determine the population size of its spawning component. Second, the result is translated into SSB by multiplication with the stock's weight-at-age key and summing this product over all mature ages. Because FIE can affect the maturity ogive as well as the weight-at-age key, and because the former may not be updated in every assessment cycle, FIE will interfere with such estimations of SSB. Naturally, the resultant bias depends on the degree to which the maturity ogive used, and potentially the weight-at-age key used, are determined by old data. For example, when FIE has shifted maturation to younger ages, using an old ogive will underestimate SSB (SSB- in Figure 3c). The same may happen if skipped spawning negatively biases maturity-at-age and FIE has reduced the frequency of skipped spawning (Jørgensen et al., 2006). Conversely, when FIE has diminished the weight-at-age of mature fish, using an old weight-at-age key would result in an overestimation of SSB (SSB<sub>+</sub> in Figure 3c). In terms of comparing SSB with  $B_{\text{lim}}$ , a systematic under- or overestimation of SSB bears the same risks for sustainable exploitation as if  $B_{lim}$  were, respectively, increased or decreased. In particular, when SSB is overestimated, recruitment overfishing becomes more likely.

Finally, FIE can affect stock-recruitment relationships beyond the simplified piecewise linear shapes assumed so far. In particular, FIE could lead to, or aggravate, the effects of depensation, that is, declining *per capita* reproductive success at low abundances. For example, once fishing has removed large fish and FIE has caused maturation at younger ages and smaller sizes, Allee effects (Myers *et al.*, 1995; Frank and Brickman, 2000) in the remaining spawning population of small fish may result in impaired reproduction. Given that stock-recruitment data tend to be scarce and highly variable at low *SSB*, reliable detection of depensation tends to be difficult (Shelton and Healey, 1999). This means that FIE not only changes limit reference points for recruitment overfishing, but can also elevate the risk of collapse once such limits are violated.

#### Reference points based on yield-per-recruit analyses

Yield-per-recruit analysis is a tool to study how the yield Y from a cohort, divided by the number of recruits R, depends on the fishing mortality rate F (age-unspecific, describing overall fishing intensity) and on the age-specific vulnerability to fishing, captured by the so-called selection pattern. Usually, the goal is to find a combination of fishing mortality rate and selection pattern that confers a high yield. Such analyses assume growth rates and natural mortalities to be constant and independent of changes in recruitment (Figure 4a). The challenge is to find an exploitation regime that avoids harvesting fish too early, when they have not yet realized

much of their growth potential (growth overfishing; Table 1), but also not too late, when too much potential harvest has been lost to natural mortality (Figure 4b). A standard result derived from simple models (Beverton and Holt, 1957; Quinn and Deriso, 1999) is that the maximum yield from a single cohort is obtained by harvesting all fish at the age  $a_{opt}$  (Figure 4b) at which a cohort's biomass reaches its maximum.

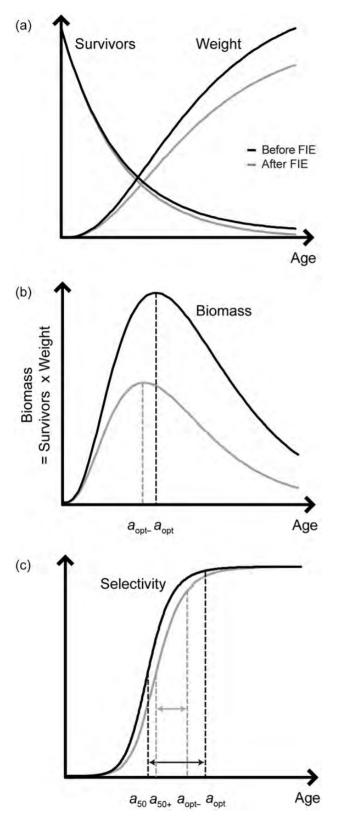
The selection pattern of an exploitation regime is assumed to be fixed, and often has a logistic shape (Figure 4c) that can be summarized by the age  $a_{50}$  at which half of the maximal selectivity is reached. If the selection pattern is such that harvesting starts late relative to a cohort's peak biomass ( $a_{50} > a_{opt}$ ), Y/R increases monotonically with *F*. In the more typical alternative case (which we will focus on here) in which harvesting starts early relative to a cohort's peak biomass ( $a_{50} < a_{opt}$ ), the relationship between Y/R and *F* is humped, and a finite fishing mortality rate, known as  $F_{max}$ , maximizes the yield from a cohort (Figure 5). Situations with  $F > F_{max}$  will then lead to growth overfishing.

Yield-per-recruit relationships are the basis for defining two commonly used reference points (e.g. Caddy and Mahon, 1995). The goal of maximizing yield and avoiding growth overfishing suggests  $F_{\text{max}}$  as a biological reference point. However, because  $F_{\text{max}}$  can be very sensitive to changes in growth, natural mortality, and selection pattern, its use as a target reference point is discouraged (Quinn and Deriso, 1999). Moreover, fishing at the rate  $F_{\text{max}}$  even when accurately estimated and implemented, could still result in recruitment overfishing (Hilborn and Walters, 1992; Table 1). Therefore,  $F_{\text{max}}$  has largely been replaced by the more conservative reference point  $F_{0.1}$ , which is defined as the fishing mortality rate for which the slope of the yield-per-recruit curve is 10% (rather than 0%) of its value at the origin (Table 1, Figure 5).

As discussed above, FIE typically favours "fast" life histories characterized by an earlier onset of maturation and an increased reproductive effort. Both effects occur at the expense of somatic growth after maturation, and may also entail riskier behaviours (Jørgensen and Fiksen, 2010) and reduced investments into maintenance, leading to diminished survival. All else being equal, these life-history changes therefore imply a lower expected size after maturation and lower survival. Under these conditions, we can predict how FIE changes the dynamics of a cohort: owing to the fast life histories, the cohort's biomass will reach its peak earlier than in the absence of FIE (Figure 4b). For a selection pattern that has fixed size selectivity, age-specific selectivity is reduced (Figure 4c). These changes translate into changes in yield-per-recruit curves (Figure 5). As more of a cohort's biomass production is realized earlier in that cohort's lifespan, it would be optimal to increase fishing mortality on those early ages. However, when the selection pattern is fixed, this can only be achieved through elevating the overall fishing intensity F, which means that F<sub>max</sub> shifts to higher fishing mortalities (Figure 5). Because  $F_{0.1}$  is correlated with  $F_{max}$ , we can usually expect that  $F_{0,1}$  follows this shift and thereby increases too.

These changes can be amplified when selection is primarily sizespecific and only secondarily age-specific, which is almost always the case. When FIE reduces size-at-age, a fixed size-specific selection pattern means that selectivity-at-age is effectively lowered, so the resultant age-specific selection pattern shifts to older ages (Figure 4c), which in turn shifts  $F_{max}$  and  $F_{0.1}$  to even higher values.

In summary, we thus expect FIE to shift the true reference points  $F_{\text{max}}$  and  $F_{0.1}$  to higher values. This implies that managers failing to account for FIE would allow less intensive harvesting than those who do.



**Figure 4.** Potential effects of fisheries-induced evolution (FIE) on the optimal age at harvest (**a** and **b**) and on the age-specific selection pattern (**c**). The illustrative example shown here is based on a quantitative model for trawl fisheries of North Sea plaice in which FIE results in earlier maturation, slower growth, and increased reproductive effort (Mollet, 2010). The development of a cohort's abundance (left

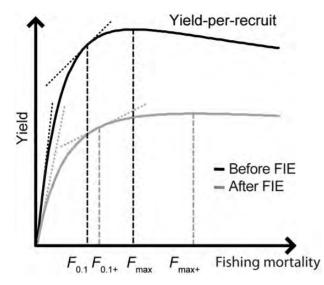
#### Reference points based on production models

The Johannesburg Declaration's goal (United Nations, 2002) to "maintain or restore stocks to levels that can produce the maximum sustainable yield" has considerably raised the profile of the time-honored concept of maximum sustainable yield (MSY), and consequently, of the corresponding reference points for stock biomass,  $B_{MSY}$ , and for fishing mortality,  $F_{MSY}$ , despite criticisms and uncertainties associated with the MSY concept (Larkin, 1977; Hilborn and Walters, 1992; Caddy and Mahon, 1995; Mace, 2001; ICES, 2007a). Estimating  $B_{MSY}$  and  $F_{MSY}$  requires models that cover a population's full life cycle, i.e. from spawning stock to recruitment and from recruitment back to spawning stock. The simplest full-life-cycle models are surplus-production models (Schaefer, 1954; Pella and Tomlinson, 1969). These are also known as biomass-dynamic models (Hilborn and Walters, 1992) and can be used to estimate MSY, B<sub>MSY</sub>, and F<sub>MSY</sub>. Despite their relative simplicity (e.g. lack of age structure), production models are still in use for the assessment of several fish stocks, in particular when age-specific data are unavailable. Production models therefore provide a useful starting point for understanding the possible effects of FIE on  $B_{MSY}$  and  $F_{MSY}$ .

The simplest production model, known as the Schaefer (1954) model, is based on the logistic population model and predicts the well-known parabolic dependence of equilibrium yield on fishing effort. Our argument in what follows below is readily extended to the more general Pella-Tomlinson (1969) model, but we nevertheless use the Schaefer model for the sake of greater clarity. The aforementioned parabolic relationship arises from the assumption of two underlying linear relationships (Figure 6): when fishing mortality increases from zero to F<sub>crash</sub> [the (lowest) fishing mortality that brings the stock to a collapse], total population abundance linearly decreases from its carrying capacity K to zero (Figure 6a), whereas an individual's biomass growth rate linearly increases from zero to its maximum (Figure 6b). Surplus production, corresponding to equilibrium yield, is defined in terms of population-level growth rate, and is therefore obtained as the product of the biomass growth rate of each individual with total population abundance. Because in this model  $F_{\text{crash}}$  is equal to the maximum growth rate  $r_{\text{max}}$ , the assumed linear dependences, and thus the effort-yield relationship, are determined by just two parameters: the carrying capacity K and the maximum growth rate  $r_{\text{max}}$ .

The principles of life-history theory we have reviewed above provide relevant indications as to how K and  $r_{max}$  are expected to be influenced by FIE. As explained, fish populations adapted to

black curve in **a**) and of the mean weight of its individuals (right black curve in **a**) as the cohort ages determine its biomass in dependence on its age (black curve in **b**). The yield from a single cohort can be maximized by harvesting all fish at the age  $a_{opt}$  at which the cohort's biomass peaks. Because FIE typically results in lower weight-at-age and lower survival-to-age, we expect that a cohort's biomass peaks at an earlier age  $(a_{opt}_{-})$  after evolution (grey curves). However, in a typical fishery's selection pattern (black curve in **c**), fishing starts earlier than the optimum, so the age  $a_{50}$  at which selectivity equals 50% is less than optimal ( $a_{50} < a_{opt}$ ). When selectivity is size-dependent, slower somatic growth caused by FIE leads to a rightward shift of the age-dependent selectivity ( $a_{50+}$ ). Consequently, the distance between  $a_{opt}$  and  $a_{50}$  diminishes ( $a_{opt-} - a_{50+} < a_{opt} - a_{50}$ , as highlighted by the arrows in **c**).

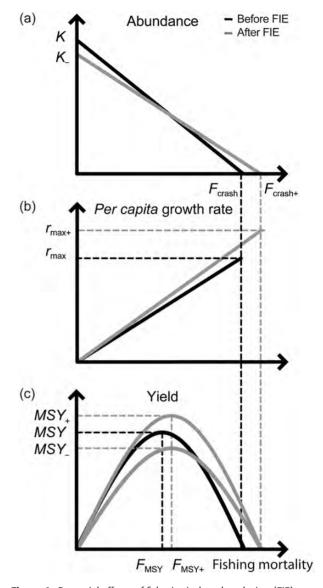


**Figure 5.** Potential effects of fisheries-induced evolution (FIE) on reference points based on yield-per-recruit models. When fishing starts before the age of maximum biomass ( $a_{50} < a_{opt}$ ), yield-per-recruit is a humped function of fishing mortality *F* (continuous thick black curve). The reference point  $F_{max}$  (right dashed black line) is defined as the fishing mortality that maximizes yield-per-recruit. As explained in the text, FIE is expected to change the yield-per-recruit curve (continuous grey curve) so as to shift this reference point to the right ( $F_{max+r}$ , right dashed grey line). The reference point  $F_{0.1}$  (left dashed black line) is defined as the fishing mortality for which the slope of the yield-per-recruit curve equals 10% (dotted black line) of its value at the origin (thin continuous black line). Also this reference point is expected to shift to the right ( $F_{0.1+r}$ , left dashed grey line). The shown curves are based on the same quantitative analysis as Figure 4.

fishing can tolerate higher fishing pressures (Kaitala and Getz, 1995; Heino 1998; Enberg *et al.*, 2009), because evolution towards faster life histories increases  $r_{max}$ ; consequently  $F_{crash}$  increases too. As explained, predictions regarding *K* are more ambiguous, but when density regulation has a single source such that a pessimization principle applies, we can expect *K* to decline.

In the Schaefer model, the parabolic effort-yield relationship implies  $F_{MSY} = F_{crash}/2$ , so  $F_{MSY}$  is expected to increase through FIE (Figure 6c). Analogously,  $B_{MSY} = K/2$ , so  $B_{MSY}$  is expected to decrease through FIE. Both predictions are supported by an age-structured model (Heino, 1998), which is considerably more realistic than the simple Schaefer model. The effect of FIE on *MSY* is qualitatively ambiguous, because  $MSY = r_{max}K/4$ , so that the net change resulting from the increase of  $r_{max}$  and the decrease of *K* depends on which of these two quantities is changing more as the result of FIE. Models that are more realistic than the simple Schaefer model suggest that FIE usually reduces *MSY* (Law and Grey, 1989; Heino, 1998; Andersen and Brander, 2009; Matsumura *et al.*, 2011; Vainikka and Hyvärinen, 2012).

A problem associated with production models is their aggregate nature, which does not distinguish between the various processes affecting a stock's dynamics. However, even in more complex models, yield can still be determined as the product of *per capita* growth rate with population abundance. The dependence of these two factors on fishing mortality will remain qualitatively similar, with the former being an increasing function of fishing mortality, and the latter a decreasing function (as long as Allee effects do not come into play). For this reason, we can expect that the predictions



**Figure 6.** Potential effects of fisheries-induced evolution (FIE) on reference points based on production models. The Schaefer production model describes how fishing mortality *F* affects population abundance (black curve in **a**) and *per capita* growth rate  $r_{max}$  (black curve in **b**), and thus sustainable yield (black curve in **c**). The maximum sustainable yield MSY occurs at an intermediate level of *F*, where the product of abundance and *per capita* growth rate is maximized (**c**). Under FIE, carrying capacity *K* is often expected to decline (*K*\_, grey curve in **a**), whereas  $F_{crash}$ , which is equal to the maximum *per capita* growth rate  $r_{max}$  is expected to increase ( $F_{crash+r}$ , grey curve in **b**). Depending on whether the decline in *K* is larger or smaller than the increase in  $r_{max}$  MSY is expected to decline (MSY\_, for the lower grey curve in **c**) or increase (MSY<sub>+</sub>, for the upper grey curve in **c**), respectively. Either way, the reference point defined by the fishing mortality corresponding to MSY is expected to increase ( $F_{MSY+r}$ , for both grey curves in **c**).

provided by the simple Schaefer model provide a valuable indication of how more complex models will behave.

#### Reference points based on virgin biomass

Biomass reference points are sometimes defined in terms of a stock's virgin biomass  $B_0$  (Beddington and Cooke, 1983; Caddy and

Mahon, 1995; Hilborn, 2002; ICCAT, 2009), where  $B_0$  describes a stock's pristine, unfished equilibrium biomass and thus equals its carrying capacity *K*. The objective is usually to secure a spawning-stock biomass that is sufficiently large to ensure that recruitment is not impaired, without specific knowledge about the stock-recruitment relationship. The reference point  $pB_0$  is therefore expressed as a fraction of the virgin biomass, with *p* usually set to 20% or 30%.

A stock's virgin biomass is often inferred from the earliest available observations and corresponding stock assessments. Thus,  $pB_0$  is expressed in terms of a static quantity  $B_0$  that describes past conditions, when fishing pressure was low and the stock's biomass might therefore have been closer to its *K*. As a result,  $B_0$  reflects the properties the stock had then and, by definition, is unaffected by FIE.

Yet, accounting for FIE might change our perception of what a stock's virgin biomass was, or currently is. First, as explained above, ongoing FIE will gradually erode the hypothetical *K* characterizing the current stock. Using the reference point  $pB_0$  to prevent recruitment overfishing can then lead to harvest policies that are more conservative than intended. A second effect is more worrisome. If the stock had already been exposed to significant fishing pressure by the time observations underlying  $B_0$  were taken, and had already been adapting to fishing, the reference point  $pB_0$  would be affected by past, undocumented FIE. Because FIE is typically expected to reduce a stock's *K*, this is likely to result in an underestimate of the true  $B_0$ , defined for a hypothetical stock not yet adapted to fishing.

#### Discussion

Reference points for fisheries management are not static quantities, but instead may shift when the environment in which a population is living is altering, or when the population itself is changing (Murawski *et al.*, 2001; ICES, 2007c). Here we have argued that FIE is one mechanism that can drive trends in population characteristics, leading to the shifting of reference points either by changing their "true" values or by confounding their estimation. If unaccounted for, such shifting means that reference points can become systematically biased, gradually losing their intended meaning, and hence, their utility as reliable tools for fisheries management (Enberg *et al.*, 2010).

Our qualitative analysis suggests that the biomass reference point Blim derived from stock-recruitment relationships, together with its precautionary counterpart B<sub>pa</sub>, will shift under FIE. These shifts will influence the associated fishing-mortality reference points, Flim and  $F_{\rm pay}$  denoting the fishing mortalities that would drive a stock to the respective biomass reference point. These reference points currently form an important part of many fisheries-management frameworks, including the advice provided by ICES for northeast Atlantic fish stocks (ICES, 2007a, 2012). When populations evolve to mature earlier, the resultant younger spawning stock might, at least initially, produce less viable pre-recruits, which will increase the true  $B_{\text{lim}}$ . If undetected, this shift can have detrimental consequences. At the same time, maturation evolution can cause a population's true spawning-stock biomass to be underestimated, which could counteract the aforementioned negative effect of FIE. Similarly, gradual erosion of a population's carrying capacity undermines the meaning of the static limit reference point  $pB_0$ , expressed relative to the stock's estimated virgin biomass  $B_0$ . Also reference points based on yield-per-recruit analyses—including  $F_{0.1}$ , a widely used fishing-mortality reference point also serving as a proxy for  $F_{\rm MSY}$  (ICES, 2007a)—are predicted to increase through FIE. The same applies to  $F_{\rm MSY}$  itself, at least when derived from the Schaefer model. The corresponding biomass reference point  $B_{\rm MSY}$  is predicted to decrease. Curiously, these changes imply that management ignoring the shifting of these reference points would act more cautiously than when accounting for FIE. However, this might not apply in the longer term, as *MSY* itself is likely to erode under FIE (Law and Grey, 1989; Kaitala and Getz, 1995; Heino, 1998). In the long run, accounting for FIE is thus likely to pay off.

FIE is one of several mechanisms that can lead to shifting reference points. More generally, all fisheries-induced adaptive changes (Table 1), whether plastic or genetic, can shift reference points. Factors extraneous to fishery systems can have similar effects. For example, if a stock's productivity changes because of a regime shift, eutrophication, or other environmental fluctuations, precautionary reference points need to be adjusted (King and McFarlane, 2006; ICES, 2007c; Kell and Fromentin, 2007; Köster et al., 2009). Climate change is another potential driver of changes in the true values of reference points (Cook and Heath, 2005; Kell et al., 2005; Perry et al., 2010). In some respects, the way climate change affects reference points is akin to the influence of FIE: both kinds of change typically accrue slowly and become prominent only at decadal timescales. Thus, while the effects of climate change and FIE may appear insignificant in the short term, their cumulative effects can be significant, warranting timely attention by fisheries managers.

Our analyses here are based on qualitative insights arising from general life-history theory and from models specifically addressing FIE. To date, only one quantitative study has focused on the influence of FIE on reference points (Enberg et al., 2010). We therefore highlight that our qualitative analyses may be subject to important limitations. In particular, the considerations presented here do not address how rapidly, or how much, FIE is expected to shift reference points. Such information can only be obtained by studying quantitative models that are sufficiently detailed biologically and calibrated to specific systems. For example, eco-genetic models (Dunlop et al., 2009c) have been specifically designed for addressing such tasks. Several stock-specific eco-genetic models have recently been developed (Dunlop et al., 2007; Thériault et al., 2008; Okamoto et al., 2009; Pardoe, 2009; Eikeset, 2010; Mollet, 2010), enhancing the scientific basis for making reliable quantitative predictions. A second limitation concerns the generality of our qualitative analyses. Our investigations of FIE effects on reference points have deliberately focused on "typical" fishery systems, featuring iteroparous fish populations with several age classes, and harvesting regimes that do not discriminate between immature and mature fish. The selection pressures underlying FIE, and therefore the implications of FIE for reference points, are different for those few stocks in which harvesting primarily targets mature fish (Law and Grey, 1989; Heino, 1998; Ernande et al., 2004; Andersen and Brander, 2009; Dunlop et al., 2009a), as well as for semelparous species (Heino and Godø, 2002) and sequential hermaphrodites (Sattar et al., 2008). Populations undergoing important ontogenetic niche shifts or migrations may also show responses deviating from our general predictions. Furthermore, we emphasize that, in a changing environment, it cannot be taken for granted that FIE makes fish populations more robust to exploitation, as models so far have suggested (Kaitala and Getz, 1995; Heino, 1998; Ernande et al., 2004; Enberg et al., 2009). Instead, general theoretical arguments lead us to expect that FIE might reduce a population's resilience to low-frequency environmental perturbations (Longhurst, 2002; Jørgensen *et al.*, 2008; Hsieh *et al.*, 2010). Ultimately, no natural system is ever truly typical, and care must always to be taken to assess whether its special characteristics may invalidate the general qualitative predictions presented here.

While existing reference points are subject to changes caused by FIE, new reference points can (and should) be devised to monitor and manage FIE. For example, Olsen *et al.* (2005) suggested the use of a reference point based on monitoring trends in a stock's maturation schedule (as quantified through its probabilistic maturation reaction norm). Another, simpler, option is to define limit reference points relative to estimated or assumed pre-fishing trait values, considering as undesirable those changes that exceed a certain percentage. Moreover, Hutchings (2009) suggested a reference point  $F_{\text{evob}}$  defined as the highest fishing mortality for which evolution in the considered traits is avoided. However, this fishing mortality will usually be very low or equal to zero (Matsumura *et al.*, 2011), unless a stock has already significantly adapted to fishing.

While it has been suggested that FIE has occurred in many fish stocks, unequivocal evidence for its occurrence in the wild is still lacking. Nevertheless, in qualitative terms, it is difficult to argue against the position that some FIE is likely occurring. However, there is considerable uncertainty, and no scientific consensus, regarding rates of FIE (is FIE slow or fast?) or the relative contributions of evolutionary and plastic processes in documented long-term changes in life histories (are they mostly genetic or mostly plastic?). This uncertainty has led to differing conclusions regarding the importance of considering FIE in fisheries management (e.g. Jørgensen *et al.*, 2007; Andersen and Brander, 2009; Laugen *et al.*, 2013). We have highlighted a new angle in this discussion by showing how reference points for fisheries management can be affected by FIE.

Whether reference points shift because of FIE, climate change, or other drivers, our work emphasizes that their intended meaning can only be relied upon if the biological information underlying their estimation is kept up to date. In this context, we must also bear in mind that estimates of reference points and of the metrics they are based on can be highly uncertain, which implies that distinguishing between trends and noise is often challenging. This applies in particular to reference points based on stock-recruitment relationships, which by their very nature require the integration of information over many years. In practice, stochasticity and lack of contrast in the data may result in insufficient statistical power to discern changes caused by FIE. Nonetheless, the potential for significant recruitment decline if changes are undetected calls for an acknowledgement of, and heightened attention to, the additional model uncertainty caused by FIE, i.e. uncertainty in structural assumptions and parameter values in models of stock dynamics (Francis and Shotton, 1997). Similarly, natural mortality estimates, which are notoriously difficult to obtain, are needed when calculating reference points based on yield-per-recruit analyses, or when age-structured models are used to estimate reference points related to spawning-stock biomass and MSY. On the positive side, maturity ogives and weight-at-age keys, which are crucial for estimating spawning-stock biomass and for process-based assessments of MSY and yield-per-recruit, are more readily estimated. Such estimates can therefore be updated annually, which can help reduce undetected shifts in the corresponding reference points. Furthermore, the general qualitative insights laid out in this paper, particularly when accompanied by quantitative predictions derived from stock-specific models, should help guard against unpleasant surprises caused by shifting reference points.

#### M. Heino et al.

#### Funding

Preparation of this article was supported by the Marie Curie Research Training Network FishACE (Fisheries-induced Adaptive Changes in Exploited Stocks; contract number MRTN-CT-2004-005578) and by the Specific Targeted Research Programme FinE (Fisheries-induced Evolution; contract number SSP-2006-044276), funded through the European Community's Sixth Framework Programme (LB, DSB, DJD, UD, ESD, BE, ATL, FM, MH, HP, ADR, DU, AV, RW). The authors gratefully acknowledge additional financial support by the Research Council of Norway (MH, ESD, CJ), the Bergen Research Foundation (MH, KE, CJ, LB), the European Science Foundation (UD), the Austrian Science Fund (UD), the Austrian Ministry for Science and Transport (UD), the Vienna Science and Technology Fund (UD), the Adaptfish Programme funded by the Leibniz-Community (RA, UD, FJ, SM, SUH), the Besatzfisch Project funded by the Federal Ministry of Education and Research (RA), and the strategic research programme "Sustainable spatial development of ecosystems, landscapes, seas and regions" funded by the Dutch Ministry of Agriculture, Nature Conservation and Food Quality (ADR).

#### Acknowledgements

We thank Hans Metz for his insightful comments on fitness measures. The article does not necessarily reflect the views of the European Commission and does not anticipate the Commission's future policy in this area.

#### References

- Andersen, K. H., and Brander, K. 2009. Expected rate of fisheries-induced evolution is slow. Proceedings of the National Academy of Sciences of the USA, 106: 11657–11660.
- Baulier, L. 2009. Evolutionary and statistical modeling of life-time schedules of energy allocation in Atlantic herring and cod. PhD thesis, University of Bergen, Bergen, Norway. 113 pp.
- Beddington, J. R., and Cooke, J. G. 1983. The potential yield of fish stocks. FAO Fisheries Technical Paper 242. FAO, Rome, Italy. 47 pp.
- Beverton, R. J. H., and Holt, S. J. 1957. On the dynamics of exploited fish populations. Fishery Investigations Series II. Ministry of Agriculture, Fisheries and Food, London, UK. 533 pp.
- Birkeland, C., and Dayton, P. K. 2005. The importance in fishery management of leaving the big ones. Trends in Ecology and Evolution, 20: 356–358.
- Bodin, M., Brännström, Å., and Dieckmann, U. 2012. A systematic overview of harvesting-induced maturation evolution in predator-prey systems with three different life-history tradeoffs. Bulletin of Mathematical Biology, 74: 2842–2860.
- Brunel, T. 2010. Age-structure-dependent recruitment: a meta-analysis applied to Northeast Atlantic fish stocks. ICES Journal of Marine Science, 67: 1921–1930.
- Caddy, J. F., and Mahon, R. 1995. Reference points for fisheries management. FAO Fisheries Technical Paper 347. FAO, Rome, Italy. 83 pp.
- Cadima, E. L. 2003. Fish stock assessment manual. FAO Fisheries Technical Paper 393. FAO, Rome, Italy. 161 pp.
- Charlesworth, B. 1994. Evolution in Age-structured Populations. Cambridge University Press, Cambridge, UK. 306 pp.
- Conover, D. O. 2000. Darwinian fishery science. Marine Ecology Progress Series, 208: 303-307.
- Conover, D. O., and Baumann, H. 2009. The role of experiments in understanding fishery-induced evolution. Evolutionary Applications, 2: 276–290.
- Conover, D. O., and Munch, S. B. 2002. Sustaining fisheries yields over evolutionary time scales. Science, 297: 94–96.

- Cook, R. M., and Heath, M. R. 2005. The implications of warming climate for the management of North Sea demersal fisheries. ICES Journal of Marine Science, 62: 1322–1326.
- de Roos, A. M., Boukal, D. S., and Persson, L. 2006. Evolutionary regime shifts in age and size at maturation of exploited fish stocks. Proceedings of the Royal Society B: Biological Sciences, 273: 1873–1880.
- Dieckmann, U., and Heino, M. 2007. Probabilistic maturation reaction norms: their history, strengths, and limitations. Marine Ecology Progress Series, 335: 253–269.
- Dieckmann, U., Heino, M., and Rijnsdorp, A. D. 2009. The dawn of Darwinian fishery management. ICES Insight, 46: 34–43.
- Dunlop, E. S., Baskett, M. L., Heino, M., and Dieckmann, U. 2009a. Propensity of marine reserves to reduce the evolutionary effects of fishing in a migratory species. Evolutionary Applications, 2: 371–393.
- Dunlop, E. S., Enberg, K., Jørgensen, C., and Heino, M. 2009b. Toward Darwinian fisheries management. Evolutionary Applications, 2: 245–259.
- Dunlop, E. S., Heino, M., and Dieckmann, U. 2009c. Eco-genetic modeling of contemporary life-history evolution. Ecological Applications, 19: 1815–1834.
- Dunlop, E. S., Shuter, B. J., and Dieckmann, U. 2007. Demographic and evolutionary consequences of selective mortality: predictions from an eco-genetic model for smallmouth bass. Transactions of the American Fisheries Society, 136: 749–765.
- Edley, T., and Law, R. 1988. Evolution of life histories and yields in experimental populations of *Daphnia magna*. Biological Journal of the Linnean Society, 34: 309–326.
- Eikeset, A. M. 2010. The ecological and evolutionary effects of harvesting Northeast Arctic cod — insights from economics and implications for management. PhD thesis, University of Oslo, Oslo, Norway.
- Enberg, K., Jørgensen, C., Dunlop, E. S., Heino, M., and Dieckmann, U. 2009. Implications of fisheries-induced evolution for stock rebuilding and recovery. Evolutionary Applications, 2: 394–414.
- Enberg, K., Jørgensen, C., Dunlop, E. S., Varpe, Ø., Boukal, D. S., Baulier, L., Eliassen, S., *et al.* 2012. Fishing-induced evolution of growth: concepts, mechanisms, and the empirical evidence. Marine Ecology, 33: 1–25.
- Enberg, K., Jørgensen, C., and Mangel, M. 2010. Fishing-induced evolution and changing reproductive ecology of fish: the evolution of steepness. Canadian Journal of Fisheries and Aquatic Sciences, 67: 1708–1719.
- Ernande, B., Dieckmann, U., and Heino, M. 2004. Adaptive changes in harvested populations: plasticity and evolution of age and size at maturation. Proceedings of the Royal Society B: Biological Sciences, 271: 415–423.
- FAO (Food and Agriculture Organisation of the United Nations). 1996. Precautionary approach to capture fisheries and species introductions. Elaborated by the Technical Consultation on the Precautionary Approach to Capture Fisheries (Including Species Introductions). Lysekil, Sweden, 6–13 June 1995. FAO Technical Guidelines for Responsible Fisheries 2. FAO, Rome, Italy. 54 pp.
- Francis, R. I. C. C., and Shotton, R. 1997. "Risk" in fisheries management: a review. Canadian Journal of Fisheries and Aquatic Sciences, 54: 1699–1715.
- Frank, K. T., and Brickman, D. 2000. Allee effects and compensatory population dynamics within a stock complex. Canadian Journal of Fisheries and Aquatic Sciences, 57: 513–517.
- Gabriel, W. L., and Mace, P. M. 1999. A review of biological reference points in the context of the precautionary approach. *In* Proceedings of the Fifth National NMFS Stock Assessment Workshop: Providing Scientific Advice to Implement the Precautionary Approach under the Magnuson-Stevens Fishery Conservation and Management Act, pp. 34–45. Ed. by V. R. Restrepo. NOAA Technical Memorandum NMFS-F/SPO-40. National Marine Fisheries Service, USA.

- Gunderson, D. R. 1997. Trade-offbetween reproductive effort and adult survival in oviparous and viviparous fishes. Canadian Journal of Fisheries and Aquatic Sciences, 54: 990–998.
- Hall, S. J., and Mainprize, B. 2004. Towards ecosystem-based fisheries management. Fish and Fisheries, 5: 1–20.
- Heino, M. 1998. Management of evolving fish stocks. Canadian Journal of Fisheries and Aquatic Sciences, 55: 1971–1982.
- Heino, M., and Dieckmann, U. 2008. Evolution and sustainability of harvested populations. *In* Conservation Biology: Evolution in Action, pp. 308–323. Ed. by S. C. Carroll, and C. W. Fox. Oxford University Press, Oxford, UK. 392 pp.
- Heino, M., and Godø, O. R. 2002. Fisheries-induced selection pressures in the context of sustainable fisheries. Bulletin of Marine Science, 70: 639–656.
- Hilborn, R. 2002. The dark side of reference points. Bulletin of Marine Science, 70: 403–408.
- Hilborn, R., and Walters, C. J. 1992. Quantitative Fisheries Stock Assessment: Choice, Dynamics and Uncertainty. Chapman and Hall, New York, USA. 570 pp.
- Hsieh, C., Yamauchi, A., Nakazawa, T., and Wang, W. 2010. Fishing effects on age and spatial structures undermine population stability of fishes. Aquatic Sciences, 72: 165–178.
- Hutchings, J. A. 2005. Life history consequences of overexploitation to population recovery in Northwest Atlantic cod (*Gadus morhua*). Canadian Journal of Fisheries and Aquatic Sciences, 62: 824–832.
- Hutchings, J. A. 2009. Avoidance of fisheries-induced evolution: management implications for catch selectivity and limit reference points. Evolutionary Applications, 2: 324–334.
- Hutchings, J. A., and Baum, J. K. 2005. Measuring marine fish biodiversity: temporal changes in abundance, life history, and demography. Philosophical Transactions of the Royal Society B: Biological Sciences, 360: 315–338.
- ICCAT. 2009. ICCAT Manual. International Commission for the Conservation of Atlantic Tuna. ICCAT Publications. http://www.iccat.int/en/ICCATManual.htm (last accessed 22 February 2010).
- ICES. 2007a. Report of the Workshop on Limit and Target Reference Points (WKREF). 29 January to 2 February 2007. Gdynia, Poland. ICES Document CM 2007/ACFM: 05. 89 pp.
- ICES 2007b. Report of the Study Group on Fisheries Induced Adaptive Change (SGFIAC). 26 February to 2 March 2007. Lisbon, Portugal. ICES Document CM 2007/RMC: 03. 25 pp.
- ICES. 2007c. Report of the Workshop on the Integration of Environmental Information into Fisheries Management Strategies and Advice (WKEFA), 18–22 June 2007, ICES Headquarters, Copenhagen, Denmark. ICES Document CM 2007/ACFM: 25. 182 pp.
- ICES. 2012. Report of the ICES Advisory Committee 2012. Book 1. ICES Advice 2012. ICES, Copenhagen.
- Jeschke, J. M., and Kokko, H. 2009. The roles of body size and phylogeny in fast and slow life histories. Evolutionary Ecology, 23: 867–878.
- Jørgensen, C., Dunlop, E. S., Opdal, A. F., and Fiksen, Ø. 2008. The evolution of spawning migrations: state dependence and fishinginduced changes. Ecology, 89: 3436–3448.
- Jørgensen, C., Enberg, K., Dunlop, E. S., Arlinghaus, R., Boukal, D. S., Brander, K., Ernande, B., *et al.* 2007. Managing evolving fish stocks. Science, 318: 1247–1248.
- Jørgensen, C., Ernande, B., and Fiksen, Ø. 2009. Size-selective fishing gear and life history evolution in the Northeast Arctic cod. Evolutionary Applications, 2: 356–370.
- Jørgensen, C., Ernande, B., Fiksen, Ø., and Dieckmann, U. 2006. The logic of skipped spawning in fish. Canadian Journal of Fisheries and Aquatic Sciences, 63: 200–211.
- Jørgensen, C., and Fiksen, Ø. 2010. Modelling fishing-induced adaptations and consequences for natural mortality. Canadian Journal of Fisheries and Aquatic Sciences, 67: 1086–1097.

- Jørgensen, C., and Holt, R. E. 2013. Natural mortality: its ecology, how it shapes fish life histories, and why it may be increased by fishing. Journal of Sea Research, 75: 8–18.
- Kaitala, V., and Getz, W. M. 1995. Population dynamics and harvesting of semelparous species with phenotypic and genotypic variability in reproductive age. Journal of Mathematical Biology, 33: 521–556.
- Kell, L. T., Pilling, G. M., and O'Brien, C. M. 2005. Implications of climate change for the management of North Sea cod (*Gadus morhua*). ICES Journal of Marine Science, 62: 1483–1491.
- Kell, L. T., and Fromentin, J. 2007. Evaluation of the robustness of maximum sustainable yield based management strategies to variations in carrying capacity or migration pattern of Atlantic bluefin tuna (*Thunnus thynnus*). Canadian Journal of Fisheries and Aquatic Sciences, 64: 837–847.
- King, J. R., and McFarlane, G. A. 2006. A framework for incorporating climate regime shifts into the management of marine resources. Fisheries Management and Ecology, 13: 93–102.
- Kjesbu, O., Klungsøyr, J., Kryvi, H., Witthames, P., and Walker, M. G. 1991. Fecundity, atresia, and egg size of captive Atlantic cod (*Gadus morhua*) in relation to proximate body composition. Canadian Journal of Fisheries and Aquatic Sciences, 48: 2333–2343.
- Kjesbu, O. S., Solemdal, P., Bratland, P., and Fonn, M. 1996. Variation in annual egg production in individual captive Atlantic cod (*Gadus morhua*). Canadian Journal of Fisheries and Aquatic Sciences, 53: 610–620.
- Köster, F. W., Vinther, M., MacKenzie, B. R., Eero, M., and Plikshs, M. 2009. Environmental effects on recruitment and implications for biological reference points of Eastern Baltic cod (*Gadus morhua*). Journal of Northwest Atlantic Fishery Science, 41: 205–220.
- Kuparinen, A., and Hutchings, J. A. 2012. Consequences of fisheries-induced evolution for population productivity and recovery potential. Proceedings of the Royal Society B: Biological Sciences, 279: 2571–2579.
- Kuparinen, A., and Merilä, J. 2007. Detecting and managing fisheries-induced evolution. Trends in Ecology and Evolution, 22: 652–659.
- Larkin, P. A. 1977. An epitaph for the concept of maximum sustained yield. Transactions of the American Fisheries Society, 106: 1–11.
- Laugen, A. T., Engelhard, G. H., Whitlock, R., Arlinghaus, R., Dankel, D. J., Dunlop, E. S., Eikeset, A. M., *et al.* 2013. Evolutionary impact assessment: accounting for evolutionary consequences of fishing in an ecosystem approach to fisheries management. Fish and Fisheries, doi:10.1111/faf.12007.
- Law, R. 2000. Fishing, selection, and phenotypic evolution. ICES Journal of Marine Science, 57: 659–668.
- Law, R., and Grey, D. R. 1989. Evolution of yields from populations with age-specific cropping. Evolutionary Ecology, 3: 343–359.
- Longhurst, A. 2002. Murphy's law revisited: longevity as a factor in recruitment to fish populations. Fisheries Research, 56: 125–131.
- Mace, P. M. 2001. A new role for MSY in single-species and ecosystem approaches to fisheries stock assessment and management. Fish and Fisheries, 2: 2–32.
- Mantzouni, I., Sorensen, H., O'Hara, R. B., and MacKenzie, B. R. 2010. Hierarchical modelling of temperature and habitat size effects on population dynamics of North Atlantic cod. ICES Journal of Marine Science, 67: 833–855.
- Marshall, C. T., Yaragina, N. A., Ådlandsvik, B., and Dolgov, A. V. 2000. Reconstructing the stock-recruit relationship for Northeast Arctic cod using a bioenergetic index of reproductive potential. Canadian Journal of Fisheries and Aquatic Sciences, 57: 2433–2442.
- Marshall, D., Heppell, S., Munch, S., and Warner, R. 2010. The relationship between maternal phenotype and offspring quality: do older mothers really produce the best offspring? Ecology, 91: 2862–2873.
- Marteinsdóttir, G., and Steinarsson, A. 1998. Maternal influence on the size and viability of Iceland cod *Gadus morhua* eggs and larvae. Journal of Fish Biology, 52: 1241–1258.

- Matsumura, S., Arlinghaus, R., and Dieckmann, U. 2011. Assessing evolutionary consequences of size-selective recreational fishing on multiple life-history traits, with an application to northern pike (*Esox lucius*). Evolutionary Ecology, 25: 711–735.
- Metz, J. A. J., Mylius, S. D., and Diekmann, O. 2008. When does evolution optimize? Evolutionary Ecology Research, 10: 629–654.
- Mollet, F. M. 2010. Fisheries-induced life history evolution and perspectives for sustainable exploitation: case studies in North Sea plaice and sole. PhD thesis, University of Wageningen, Wageningen, the Netherlands. 202 pp.
- Murawski, S. A., Rago, P. J., and Trippel, E. A. 2001. Impacts of demographic variation in spawning characteristics on reference points for fishery management. ICES Journal of Marine Science, 58: 1002–1014.
- Myers, R. A., Barrowman, N. J., Hutchings, J. A., and Rosenberg, A. A. 1995. Population dynamics of exploited fish stocks at low population levels. Science, 269: 1106–1108.
- Mylius, S. D., and Diekmann, O. 1995. On evolutionarily stable life histories, optimization and the need to be specific about density dependence. Oikos, 74: 218–224.
- Nusslé, S., Bornand, C. N., and Wedekind, C. 2009. Fishery-induced selection on an Alpine whitefish: quantifying genetic and environmental effects on individual growth rate. Evolutionary Applications, 2: 200–208.
- Oh, C. O., Ditton, R. B., Gentner, B., and Riechers, R. 2005. A stated preference choice approach to understanding angler preferences for management options. Human Dimensions of Wildlife, 10: 173–186.
- Okamoto, K. W., Whitlock, R., Magnan, P., and Dieckmann, U. 2009. Mitigating fisheries-induced evolution in lacustrine brook charr (*Salvelinus fontinalis*) in southern Quebec, Canada. Evolutionary Applications, 2: 415–437.
- Olsen, E. M., Lilly, G. R., Heino, M., Morgan, M. J., Brattey, J., and Dieckmann, U. 2005. Assessing changes in age and size at maturation in collapsing populations of Atlantic cod (*Gadus morhua*). Canadian Journal of Fisheries and Aquatic Sciences, 62: 811–823.
- Pardoe, H. E. 2009. Spatial and temporal variation in life history traits of Atlantic cod (*Gadus morhua*) in Icelandic waters. PhD thesis, University of Iceland, Reykjavik. 236 pp.
- Pella, J. J., and Tomlinson, P. K. 1969. A generalized stock production model. Bulletin of the Inter-American Tropical Tuna Commission, 13: 416–496.
- Perry, R. I., Cury, P., Brander, K., Jennings, S., Möllmann, C., and Planque, B. 2010. Sensitivity of marine systems to climate and fishing: concepts, issues and management responses. Journal of Marine Systems, 79: 427–435.
- Philipp, D. P., Cooke, S. J., Claussen, J. E., Koppelman, J. B., Suski, C. D., and Burkett, D. P. 2009. Selection for vulnerability to angling in largemouth bass. Transactions of the American Fisheries Society, 138: 189–199.
- Quinn, T. J., and Deriso, R. B. 1999. Quantitative Fish Dynamics. Oxford University Press, New York, USA. 560 pp.
- Ricker, W. E. 1981. Changes in the average size and average age of Pacific salmon. Canadian Journal of Fisheries and Aquatic Sciences, 38: 1636–1656.
- Rijnsdorp, A. D. 1989. Maturation of male and female North Sea plaice (*Pleuronectes platessa* L.). Journal du Conseil International pour l'Exploration de la Mer, 46: 35–51.
- Rijnsdorp, A. D. 1993. Fisheries as a large-scale experiment on lifehistory evolution: disentangling phenotypic and genetic effects in changes in maturation and reproduction of North Sea plaice, *Pleuronectes platessa* L. Oecologia, 96: 391–401.
- Rijnsdorp, A. D., Grift, R. E., and Kraak, S. B. M. 2005. Fisheries-induced adaptive change in reproductive investment in North Sea plaice (*Pleuronectes platessa*)? Canadian Journal of Fisheries and Aquatic Sciences, 62: 833–843.
- Rijnsdorp, A. D., van Damme, C. J. G., and Witthames, P. R. 2010. Implications of fisheries-induced changes in stock structure and

reproductive potential for stock recovery of a sex-dimorphic species, North Sea plaice. ICES Journal of Marine Science, 67: 1931–1938.

- Roff, D. A. 1983. An allocation model of growth and reproduction in fish. Canadian Journal of Fisheries and Aquatic Sciences, 40: 1395–1404.
- Roughgarden, J. 1976. Resource partitioning among competing species—a coevolutionary approach. Theoretical Population Biology, 9: 388–424.
- Sattar, S. A., Jørgensen, C., and Fiksen, Ø. 2008. Fisheries-induced evolution of energy and sex allocation. Bulletin of Marine Science, 83: 235–250.
- Schaefer, M. B. 1954. Some aspects of the dynamics of populations important to the management of commercial marine fisheries. Bulletin of the Inter-American Tropical Tuna Commission, 1: 27–56.
- Sharpe, D. M. T., and Hendry, A. P. 2009. Life history change in commercially exploited fish stocks: an analysis of trends across studies. Evolutionary Applications, 2: 260–275.
- Shelton, P. A., and Healey, B. P. 1999. Should depensation be dismissed as a possible explanation for the lack of recovery of the northern cod (*Gadus morhua*) stock? Canadian Journal of Fisheries and Aquatic Sciences, 56: 1521–1524.
- Silliman, R. P. 1975. Selective and unselective exploitation of experimental populations of *Tilapia mossambica*. Fishery Bulletin, 73: 495–507.
- Thériault, V., Dunlop, E. S., Dieckmann, U., Bernatchez, L., and Dodson, J. J. 2008. The impact of fishing-induced mortality on the evolution of alternative life-history tactics in brook charr. Evolutionary Applications, 1: 409–423.
- Thomas, G., Quoß, H., Hartmann, J., and Eckmann, R. 2009. Human-induced changes in the reproductive traits of Lake Constance common whitefish (*Coregonus lavaretus*). Journal of Evolutionary Biology, 22: 88–96.

- Trippel, E. A. 1995. Age at maturity as a stress indicator in fisheries. BioScience, 45: 759–771.
- Trippel, E. A., Kjesbu, O. S., and Solemdal, P. 1997. Effects of adult age and size structure on reproductive output in marine fishes. *In* Early Life History and Recruitment in Fish Populations, pp. 63–102. Ed. by R. C. Chambers, and E. A. Trippel. Chapman and Hall, London, UK. 638 pp.
- United Nations. 2002. Plan of Implementation of the World Summit on Sustainable Development. UN Document A/CONF.199/20. 62 pp.
- Vainikka, A., and Hyvärinen, P. 2012. Ecologically and evolutionarily sustainable fishing of the pikeperch *Sander lucioperca*: Lake Oulujärvi as an example. Fisheries Research, 113: 8–20.
- van Walraven, L., Mollet, F. M., van Damme, C. J. G., and Rijnsdorp, A. D. 2010. Fisheries-induced evolution in growth, maturation and reproductive investment of the sexually dimorphic North Sea plaice (*Pleuronectes platessa* L.). Journal of Sea Research, 64: 85–93.
- Wang, H., and Höök, T. O. 2009. Eco-genetic model to explore fishing-induced ecological and evolutionary effects on growth and maturation schedules. Evolutionary Applications, 2: 438–455.
- Wright, P. J., Gibb, F. M., Gibb, I. M., and Millar, C. P. 2011. Reproductive investment in the North Sea haddock: temporal and spatial variation. Marine Ecology Progress Series, 432: 149–160.
- Yoneda, M., and Wright, P. J. 2004. Temporal and spatial variation in reproductive investment of Atlantic cod *Gadus morhua* in the northern North Sea and Scottish west coast. Marine Ecology Progress Series, 276: 237–248.
- Zimmermann, F., Heino, M., and Steinshamn, S. I. 2011. Does size matter? A bioeconomic perspective on optimal harvesting when price is size-dependent. Canadian Journal of Fisheries and Aquatic Sciences, 68: 1651–1659.

Handling editor: Sarah Kraak