

Surveillance of Transmitted Drug-Resistant HIV Among Young Pregnant Women in Ouagadougou, Burkina Faso

Antoine Somda,¹ Lassana Sangare,² Monique Soro,² Saydou Yameogo,² Babou Bazie,³ Françoise Bigirimana,³ Silvia Bertagnolio,⁴ Martine Peeters,⁵ Fatima Mouacha,⁶ Ana Maria Rivera,⁶ Michael R. Jordan,^{4,6} and Marie-Joseph Sanou¹

¹Ministry of Health/BFA, HIV Surveillance, and ²Ministry of Health–LNR HIV, ³World Health Organization, Ouagadougou, Burkina Faso; ⁴World Health Organization, Geneva, Switzerland; ⁵UMI233, Institut de Recherche pour le Développement and Université de Montpellier 1, France; and ⁶Tufts University School of Medicine, Boston, Massachusetts

Burkina Faso began rapid antiretroviral therapy (ART) scale-up in 2003 and by December 2009, 26 448 individuals were on treatment. With rapid scale-up of ART, some degree of human immunodeficiency virus transmitted drug resistance (TDR) is inevitable. Following World Health Organization methods, between June 2008 and July 2009, Burkina Faso assessed TDR in primigravid pregnant women aged <25 years attending antenatal care clinics in Ouagadougou, Burkina Faso. TDR was classified as moderate (5%–15%) for both nucleoside reverse-transcriptase inhibitors and nonnucleoside reverse-transcriptase inhibitors. The observed moderate TDR in Ouagadougou is a cause for concern and calls for closer monitoring of Burkina Faso's ART program.

Burkina Faso is a landlocked country in West Africa with 274 200 square kilometers and an estimated population of 15 224 780. The country is divided into 13 regions, 45 provinces, and 350 departments. Burkina Faso has one of the world's lowest gross domestic products per capita and faces a generalized human immunodeficiency virus (HIV) epidemic. In 2009 there were an estimated 130 000 individuals (63 429 women) infected with HIV [1]. In the capital city Ouagadougou, HIV prevalence among pregnant women is 4% [3.0% – 5.3%], and the prevalence in women aged 15–24 years is 2.4% [1.4%–4.0%]. By December 2009, the national antiretroviral therapy (ART) program estimates that 26 448 individuals were receiving ART [2].

Successful ART scale-up in Burkina Faso has been possible because of the use of a standardized public

health approach to HIV care and treatment. Since 2010, ART has been provided free of charge in the public sector, and patients are predominantly prescribed standardized first-line ART regimens and monitored following World Health Organization (WHO) clinical staging guidelines and CD4 cell count. The first-line ART regimen and 3 alternate first-line regimens consist of 2 nucleoside reverse-transcriptase inhibitors (NRTI) combined with a non-NRTI (NNRTI). Protease inhibitor–based regimens are generally reserved for second-line regimens. Despite Burkina Faso's unprecedented rapid ART scale-up, coverage of those patients in need is estimated at 52%, suggesting a large and ongoing unmet treatment need.

Given the current economic situation, limited human resources, and existing infrastructure within the country, individual HIV drug resistance (HIVDR) testing is neither routinely available nor recommended. However, as ART is scaled up in Burkina Faso, the emergence and transmission of HIVDR remains a fundamental concern. Beginning in 2008, following WHO recommendations, Burkina Faso implemented a comprehensive national HIVDR prevention and assessment strategy that includes

Correspondence: Antoine Somda, MD, MPH, Ministry of Health/BFA; HIV Surveillance, Burkina Faso (toniosomda@yahoo.fr).

Clinical Infectious Diseases 2012;54(S4):S317–9

© The Author 2012. Published by Oxford University Press on behalf of the Infectious Diseases Society of America. All rights reserved. For Permissions, please e-mail: journals.permissions@oup.com.

DOI: 10.1093/cid/cir988

assessment of HIVDR early warning indicators and surveys of transmitted and acquired HIVDR [3–5]. This comprehensive national strategy will provide Burkina Faso's ART program with standardized information evaluating HIVDR in the country and how well the program is functioning to minimize its emergence and transmission.

This report documents Burkina Faso's pilot of the WHO transmitted HIVDR (transmitted drug resistance [TDR]) survey. The presence of HIVDR before ART initiation is an important predictor of virological response to antiretroviral regimens [6, 7]. Therefore, the existence of significant population level TDR may undermine the success of the national ART scale-up initiative. WHO TDR surveys provide important programmatic information regarding the likely efficacy of future first-line ART and current pre- and postexposure prophylactic regimens and will indirectly provide evidence of how well current HIV prevention programs function in preventing new infections. Ouagadougou, the capital of Burkina Faso, was chosen for this pilot because it is a large urban area where ART had been available since 1999.

The WHO TDR survey method supports classification of TDR as low (<5%), moderate (5%–15%), or high (>15%) in a specific population in a specific geographic region using ≤ 47 specimens. The TDR mutations are determined using the WHO TDR mutations list available through the Stanford HIVDR Calibrated Population Resistance tool [8, 9].

METHODS

Burkina Faso adapted its TDR survey from the WHO generic protocol. Between May 2008 and June 2009, specimens for HIVDR testing were obtained from consecutively diagnosed HIV-positive women attending 11 antenatal care sites in Ouagadougou. Specimens consecutively identified as positive were eligible for HIVDR testing if they were from primigravidae (and therefore not previously exposed to antiretrovirals for prevention of mother-to-child transmission), aged <25 years (and therefore more likely to be recently infected with HIV) and with no previous history of HIV infection. Remnant diagnostic plasma specimens from women meeting TDR survey inclusion criteria were transported within 72 hours to the University Hospital Center–Yalgado Ouedraogo (CHU Yalgado), frozen at -20°C , and then shipped on dry ice to the Institut de Recherche pour le Développement, a WHO-accredited HIVDR testing laboratory in Montpellier, France.

HIV reverse-transcriptase and protease were amplified by means of previously published methods [10]. TDR mutations were identified using the 2009 WHO TDR mutations list [6], and TDR was classified following WHO TDR guidance [2]. HIV subtypes and circulating recombinant forms

(CRFs) were determined by phylogenetic tree and recombination analysis [11].

RESULTS

In total, 52 HIV-positive specimens meeting eligibility criteria were obtained between June 2008 and July 2009. Among the first 47 specimens, 3 had detected HIVDR: the first specimen had the NRTI mutations M184I and G190A, the second had the NNRTI mutation Y181C, and the third had both NRTI (K70R, M184I, T2115I/T, K219E) and NNRTI mutations (K103N, Y181C). To exclude erroneous inclusion of ART-exposed women, eligibility criteria were double-checked for specimens with HIVDR. The observed HIV subtype distribution was CRF 06_CPX (34%), CRF 02_AG (10.6%), G (6.38%), and A1 (2.1%). Following WHO truncated sequential sampling guidance [2], TDR was classified as moderate (5%–15%) for both NRTIs and NNRTIs.

DISCUSSION

ART scale-up began rapidly in Burkina Faso in 2003. Some degree of HIVDR is inevitable even when appropriate regimens are prescribed and optimal adherence to treatment is supported. Routine surveillance of TDR provides important public health information regarding the efficacy of current pre- and postexposure prophylaxis and may predict the population-level efficacy of first-line regimens when recently infected populations require ART.

Burkina Faso is one of the first countries in sub-Saharan Africa to report moderate TDR using the WHO TDR survey method. Notably, a previous TDR survey conducted in 2005 following WHO guidance in Bobo Dioulasso, the second largest city in Burkina Faso, classified TDR as <5% for all drug classes [11], and much of the published literature suggests low rates of TDR in sub-Saharan Africa [13–18]. The findings of moderate (5%–15%) TDR for NRTIs and NNRTIs in young primigravidae with no previous antiretroviral exposure merit concern and warrant further investigation. Rigorous quality assurance of both laboratory and epidemiological data were performed to ensure that each specimen came from a woman meeting eligibility criteria. This was of particular importance, given that the third specimen had multiple NRTI and 2 NNRTI mutations, which raised concern for possible acquired HIVDR.

Results of TDR surveys cannot be interpreted adequately in isolation and require a programmatic context for interpretation; therefore, the Burkina Faso ART program is implementing early warning indicators, such as rates of lost to follow-up, retention rates on first-line ART at 12 months, on-time pill pick-up, and drug supply continuity at ART clinics. The routine monitoring of these indicators will provide important clinic and program

information about factors contributing to emergence of HIVDR in populations receiving ART in Ouagadougou and throughout the country. Additionally, the result of this TDR survey reinforces the need to strengthen prevention and adherence support interventions for those receiving ART.

To further investigate the relationship between clinic and site factors that may be leading to emergence of HIVDR, Burkina Faso has implemented WHO surveys of acquired HIVDR in 2 ART clinics and plans to repeat the TDR survey in the same population in Ouagadougou and expand it to other areas of the country in the near future.

CONCLUSIONS

In conclusion, although the findings of moderate TDR are of concern, they should be treated with caution. Present findings are insufficient to change current ART guidelines in Burkina Faso but do signal the importance of routine robust programmatic monitoring of factors known to be associated with the emergence of HIVDR at all treatment sites and the need for ongoing routine surveillance of transmitted and acquired HIVDR.

Notes

Acknowledgements. We thank the staff of the Service of Bacteriology-Virology of the Centre Hospitalier Universitaire Yalgado Ouédraogo and the participants at the various study sites.

Disclaimer. The conclusions and opinions expressed in this article are those of the authors and do not reflect those of the World Health Organization.

Financial support. This work was supported by The Bill & Melinda Gates Foundation and the National Institutes of Health (grants 5 T32 AI007438-18 to A. M. R. and NIH K23 AI074423-05 to M. R. J.).

Supplement sponsorship. This article was published as part of a supplement entitled "The World Health Organization HIV Drug Resistance Prevention and Assessment Strategy: Global, Regional, and Country Progress," sponsored by The Bill & Melinda Gates Foundation (38180).

Potential conflicts of interest. All authors: No reported conflicts.

All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

References

1. Joint United Nations Program on HIV/AIDS. UNAIDS report on the global AIDS epidemic. Geneva, Switzerland: UNAIDS, 2010. Available at: http://www.unaids.org/globalreport/documents/20101123_Global_Report_full_en.pdf. Accessed 15 July 2011.
2. World Health Organization, Joint United Nations Program on HIV/AIDS, UNICEF. Towards Universal Access: scaling up priority HIV/AIDS interventions in the health sector. Geneva: World Health Organization, 2010. Available at: http://www.who.int/hiv/pub/2010_progressreport/en/index.html. Accessed 15 July 2011.
3. Bennett DE, Bertagnolio S, Sutherland D, Gilks CF. The World Health Organization's global strategy for prevention and assessment of HIV drug resistance. *Antivir Ther* 2008; 13(Suppl 2):1–13.
4. Bennett DE, Myatt M, Bertagnolio S, Sutherland D, Gilks CF. Recommendations for surveillance of transmitted HIV drug resistance in countries scaling up antiretroviral treatment. *Antivir Ther* 2008; 13(Suppl 2):25–36.
5. Jordan MR, Bennett DE, Bertagnolio S, Gilks CF, Sutherland D. World Health Organization surveys to monitor HIV drug resistance prevention and associated factors in sentinel antiretroviral treatment sites. *Antivir Ther* 2008; 13(Suppl 2):15–23.
6. De Gruttola V, Dix L, D'Aquila R, et al. The relation between baseline HIV drug resistance and response to antiretroviral therapy: re-analysis of retrospective and prospective studies using a standardized data analysis plan. *Antivir Ther* 2000; 5:41–8.
7. Hanna GJ, D'Aquila RT. Clinical use of genotypic and phenotypic drug resistance testing to monitor antiretroviral chemotherapy. *Clin Infect Dis* 2001; 32:774–82.
8. Bennett DE, Camacho RJ, Otelea D, et al. Drug resistance mutations for surveillance of transmitted HIV-1 drug-resistance: 2009 update. *PLoS One* 2009; 4:e4724.
9. Gifford RJ, Liu TF, Rhee SY, et al. The calibrated population resistance tool: standardized genotypic estimation of transmitted HIV-1 drug resistance. *Bioinformatics* 2009; 25:1197–8.
10. Vergne L, Diagbouga S, Kouanfack C, et al. HIV-1 drug-resistance mutations among newly diagnosed patients before scaling-up programmes in Burkina Faso and Cameroon. *Antivir Ther* 2006; 11:575–9.
11. Dagnra AY, Vidal N, Niama FR, Prince-David M, Delaporte E, Peeters M. Genetic characterization of HIV-1 strains in Togo reveals a high genetic complexity and genotypic drug-resistance mutations in ARV naive patients. *Infect Genet Evol* 2009; 9:646–52.
12. Ayoub A, Lien TT, Nouhin J, et al. Low prevalence of HIV type 1 drug resistance mutations in untreated, recently infected patients from Burkina Faso, Côte d'Ivoire, Senegal, Thailand, and Vietnam: the ANRS 12134 study. *AIDS Res Hum Retroviruses* 2009; 25:1193–6.
13. Somi GR, Kibuka T, Diallo K, et al. Surveillance of transmitted HIV drug resistance among women attending antenatal clinics in Dar es Salaam, Tanzania. *Antivir Ther* 2008; 13(Suppl 2):77–82.
14. Kamoto K, Aberle-Grasse J. Malawi HIV Drug Resistance Task Force. Surveillance of transmitted HIV drug resistance with the World Health Organization threshold survey method in Lilongwe, Malawi. *Antivir Ther* 2008; 13(Suppl 2):83–7.
15. Abegaz WE, Grossman Z, Wolday D, et al. Threshold survey evaluating transmitted HIV drug resistance among public antenatal clinic clients in Addis Ababa, Ethiopia. *Antivir Ther* 2008; 13(Suppl 2):89–94.
16. Maphalala G, Okello V, Mndzebele S, et al. Surveillance of transmitted HIV drug resistance in the Manzini-Mbabane corridor, Swaziland, in 2006. *Antivir Ther* 2008; 13(Suppl 2):95–100.
17. Pillay V, Ledwaba J, Hunt G, et al. Antiretroviral drug resistance surveillance among drug-naive HIV-1-infected individuals in Gauteng Province, South Africa in 2002 and 2004. *Antivir Ther* 2008; 13(Suppl 2):101–7.
18. Jordan MR, Parkin N, Bertagnolio S. Surveillance of transmitted and acquired HIV drug resistance using World Health Organization survey methods in resource limited settings. *Antivir Ther* 2011; 16(Suppl 1):A41.ZZ