

**Basic science for the clinician****Biomechanical factors in atherosclerosis: mechanisms and clinical implications[†]****Brenda R. Kwak^{1*}, Magnus Bäck², Marie-Luce Bochaton-Piallat³, Giuseppina Caligiuri⁴, Mat J.A.P. Daemen⁵, Peter F. Davies⁶, Imo E. Hoefer⁷, Paul Holvoet⁸, Hanjoong Jo⁹, Rob Krams¹⁰, Stephanie Lehoux¹¹, Claudia Monaco¹², Sabine Steffens¹³, Renu Virmani¹⁴, Christian Weber¹³, Jolanda J. Wentzel¹⁵, and Paul C. Evans^{16*}**

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Blood vessels are exposed to multiple mechanical forces that are exerted on the vessel wall (radial, circumferential and longitudinal forces) or on the endothelial surface (shear stress). The stresses and strains experienced by arteries influence the initiation of atherosclerotic lesions, which develop at regions of arteries that are exposed to complex blood flow. In addition, plaque progression and eventually plaque rupture is influenced by a complex interaction between biological and mechanical factors—mechanical forces regulate the cellular and molecular composition of plaques and, conversely, the composition of plaques determines their ability to withstand mechanical load. A deeper understanding of these interactions is essential for designing new therapeutic strategies to prevent lesion development and promote plaque stabilization. Moreover, integrating clinical imaging techniques with finite element modelling techniques allows for detailed examination of local morphological and biomechanical characteristics of atherosclerotic lesions that may be of help in prediction of future events. In this ESC Position Paper on biomechanical factors in atherosclerosis, we summarize the current ‘state of the art’ on the interface between mechanical forces and atherosclerotic plaque biology and identify potential clinical applications and key questions for future research.

Keywords Atherosclerosis • Haemodynamics • Blood flow • Mechanotransduction • Endothelial cell • Plaque rupture

Biomechanical forces

This Position Paper is focused on the influence of biomechanical forces on the development, function, and pathophysiology of the vasculature. In each cardiac cycle, blood is transported under pulsatile pressure through the aorta for distribution to the peripheral organs through the branching arterial system. The interactions of pulsatile blood flow with arterial geometries generate complex biomechanical forces on the vessel wall with spatial and temporal variations.

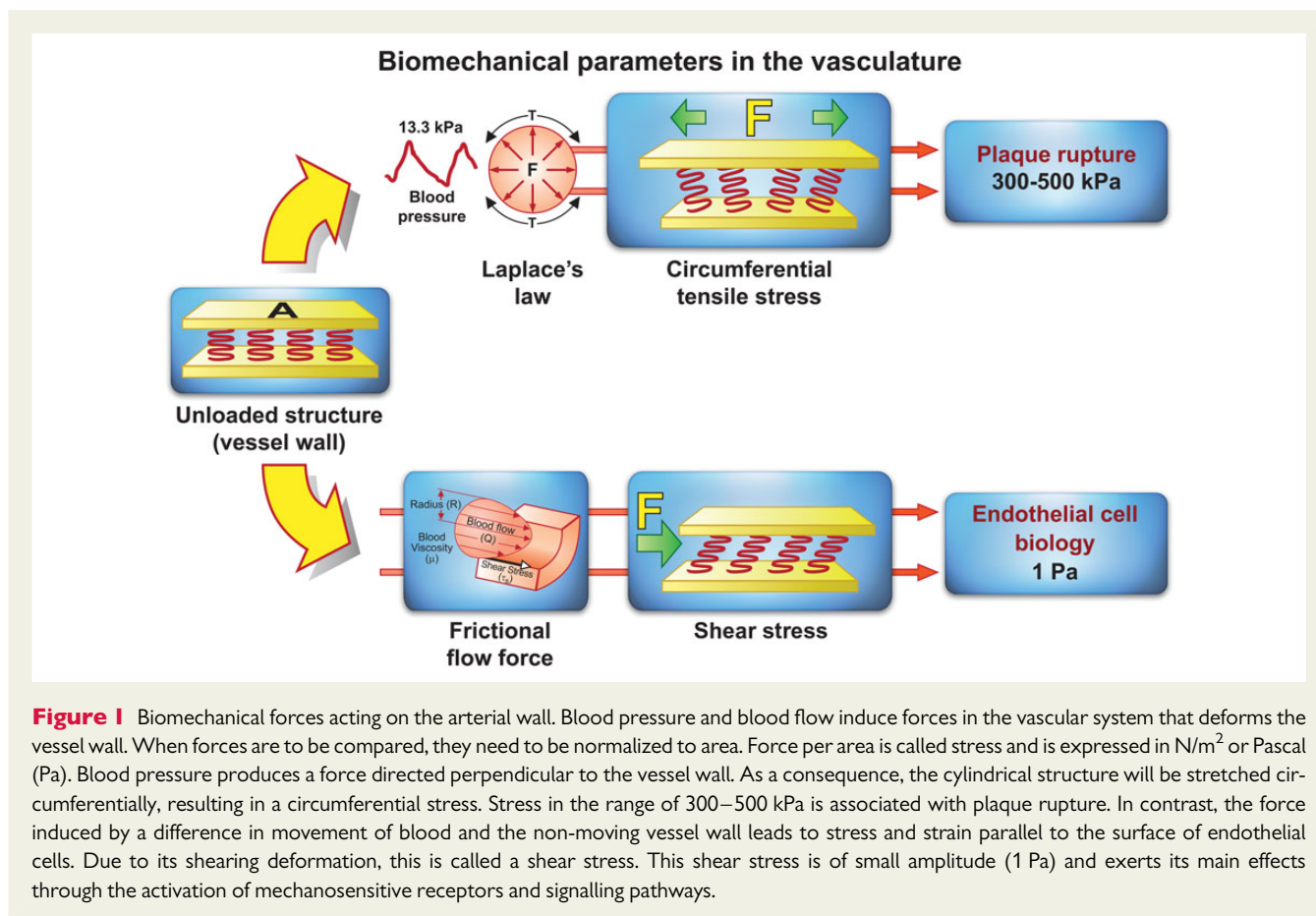
Thus, arteries are exposed to circumferential and longitudinal stresses, i.e. perpendicular and longitudinal forces generated by intraluminal pressure, and axial stress (shear stress), which acts

longitudinally on the surface of the arterial wall (*Figure 1*). Blood vessels alter their morphology and function in response to changes in blood flow that are detected by vascular cells through decentralized mechanotransduction mechanisms.^{1,2} Endothelial cells (ECs) are exquisitely sensitive to shear stress, the frictional force generated by blood flow. Average wall shear stress in the healthy human aorta varies from 10 to 20 dynes/cm² and circumferential stress varies from 1 to 2 × 10⁶ dynes/cm² according to anatomical site. In areas of arterial stenosis (decreased lumen area and thus radius), the same blood volume is pushed through a lower cross-sectional area and thus the blood velocity increases and as a consequence the wall shear stress increases inside the stenotic region. Furthermore, the

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endothelium downstream the stenosis is exposed to disturbed flow and oscillatory shear stress. Flow simulation studies describe the complex situation near arterial bifurcations and side branches, regions associated with disturbed blood flow showing repetitive phases of flow reversal resulting in steep spatial and temporal gradients in wall shear stress.³

Biomechanical regulation of arterial homeostasis

Mechanical forces regulate multiple aspects of vascular physiology and function and play a key role in vascular development and homeostatic mechanisms as well as during arterial disease. In the short term, acute increases in shear stress trigger activation of ECs and the generation of substances such as nitric oxide (NO) and prostacyclin, which promote vasodilation. On the other hand, long-term alterations in flow can lead to structural adjustments to restore vascular and mechanical homeostasis. Arterial remodelling processes including angiogenesis (growth of new blood vessels from pre-existing vessels) and arteriogenesis (collateral artery growth) are highly sensitive to local mechanical conditions.^{4–6} Raised levels of shear stress represent a major stimulus for exercise-induced angiogenesis, a process that involves NO signalling.⁷ In addition, increased flow leads to increases in arterial diameter, which promotes tissue perfusion. For example, animal studies revealed that unilateral carotid

artery occlusion leads to outward remodelling of the contralateral carotid artery (in response to increased flow) and inward remodelling of the occluded artery (due to reduced flow).^{8,9} The molecular and cellular mechanisms that accompany arterial remodelling and repair in response to mechanical forces have only been partially defined. Studies of cultured ECs and animals demonstrated that high shear stress activates transcriptional programmes that promote proliferation and matrix remodelling, processes that are intimately involved in structural remodelling of arteries,^{10,11} as well as survival of ECs by inhibiting the expression of pro-apoptotic factors.^{12–14} Flow also influences EC migration by regulating actin cytoskeleton remodelling, cell polarity, formation of lamellipodia, and stress fibre contraction; factors that are essential for cell traction.¹⁵

While the effects of shear stress on vascular physiology have been studied in detail, the effects of mechanical stretch have received little attention. Thus, although axial and circumferential stretches also play an important role in regulating EC physiology, vascular cell proliferation, and matrix remodelling, the mechanisms involved are not well understood. Mechanical stretch regulates smooth muscle cell (SMC) functions by inducing deformation of the extracellular matrix in which SMCs are embedded, a change that is detected by mechanoreceptors.¹⁶ Physiological pulsatile circumferential stress on the arterial wall maintains medial SMCs in their contractile differentiated state.^{17,18} In contrast, excessive pressure increase due to hypertension or compressive forces produced by balloon angioplasty and/or stent placement stretches the artery and activates

SMCs, which subsequently undergo phenotypic adaptation to a dedifferentiated synthetic state.^{19–21} Thus, mechanical circumferential stress modulates gene expression and SMC functions such as proliferation, survival/apoptosis, migration, and extracellular matrix remodelling through receptor-tyrosine kinases (e.g. platelet-derived growth factor receptor), focal adhesions that link the extracellular matrix and the intracellular cytoskeleton, and ion channels activating complex intracellular signalling pathways including Ras homologue gene family, member A (RhoA)/Rho kinase, mitogen-activated protein kinases (MAPKs), phosphatidylinositol-4,5-bisphosphate 3-kinase (PI3 K)/Akt, forkhead transcription factors of the FoxO subfamily, and other signalling pathways.^{11,19,22–24} Of note, some of these molecular mechanisms have been revealed using *in vitro* models and now require validation using *ex vivo* or *in vivo* systems.^{25,26}

Biomechanical regulation of focal atherosclerosis

Shear stress and plaque initiation

Atherosclerosis is characterized by the accumulation of inflammatory cells, lipids, extracellular matrix, and other materials in the artery wall. Although atherosclerosis is associated with systemic risk factors (e.g. gender, age, and high serum cholesterol), plaques form preferentially at branches and bends in arteries that are exposed to non-uniform, disturbed patterns of blood flow.²⁷ Two mechanisms have been identified, which could explain the link between disturbed blood flow and atherosclerosis development, namely alterations in mass transport and vascular responses to mechanical stimuli.²⁸ The 'mass transport theory' states that the transport of certain bioactive substances [e.g. low-density lipoproteins (LDL)] from the circulation to the vessel wall may be promoted at sites of disturbed flow due to prolonged contact between blood and vascular ECs. This differs from the 'shear stress theory', which emphasizes the effects of blood flow-induced mechanical forces on vascular physiology. Of note, these theories are not mutually exclusive. Both mass transport and shear stress influence plaque formation, and these factors interact at a functional level, e.g. shear stress alters vessel permeability that, in turn, regulates molecular transport.²⁹ Several lines of evidence suggest that shear stress regulates plaque initiation. First, fluid dynamic studies revealed that the spatial distribution of EC dysfunction, inflammation, and lesion formation in arteries correlates with the magnitude and pattern of shear stress.^{30–32} For example, regions exposed to low, oscillatory shear in the murine aorta are prone to lesion formation. These sites are also characterized by a highly heterogeneous population of ECs that display enhanced expression of inflammatory molecules, higher rates of apoptosis and senescence, and a reduced proliferative reserve, which compromises vascular repair potential.^{33–41} A second important evidence for the 'shear stress theory' was provided in studies demonstrating a causal relationship between shear stress and atherosclerosis by applying a constrictive cuff to generate distinct shear stress environments (low, low/oscillatory, and high shear fields) in carotid arteries in rabbits and mice.^{42,43} Flow-dependent atherosclerosis in mice has been confirmed with other models inducing disturbed flow by partial ligation or tandem ligations of the carotid artery.^{44,45} There has been considerable debate over the relative importance of

shear stress magnitude, frequency, or direction (e.g. oscillations, tangential shear) in dictating vascular function,⁴⁶ but it is conceivable that ECs can detect changes in each of these parameters and respond accordingly. This question has been addressed using the shear stress-altering cuff model that demonstrated that low shear and low, oscillatory shear induced different vascular responses.^{42,43}

Mechanoreceptors

Evidence for the 'shear stress theory' has also been obtained through the identification and characterization of mechanoreceptors. A large variety of membrane-associated molecules and microdomains have been proposed as potential shear stress sensors including ion channels [e.g. transient receptor potential (TRP) channels and P2X4 receptors], receptor-tyrosine kinases [e.g. vascular endothelial growth factor receptor (VEGFR) and angiotensin receptor], adhesion molecules (e.g. PECAM-1/VE-cadherin/VEGFR2), the glycocalyx, membrane microdomains (e.g. primary cilia and caveolae), the cytoskeleton, and the lipid bilayer plasma membrane^{47–49} (Figure 2). Several mechanoreceptors have pleiotropic functions and, therefore, influence atherosclerosis at multiple levels. For example, bone marrow cell-derived PECAM-1 has been reported to be both pro-atherogenic⁵⁰ and atheroprotective,⁵¹ irrespective of the haemodynamic environment, whereas PECAM-1 in ECs accelerates atherogenesis in low shear environments.^{50,52} While the exact mechanisms have yet to be elucidated, targeting such receptors therapeutically will require a cell-type and context-specific strategy. Despite these insights, the mechanisms that allow cells to respond specifically to distinct mechanical conditions remain largely unknown. Thus, further studies involving specialized techniques to apply force to specific receptors or discrete regions of the cell (e.g. magnetic tweezers) are required to characterize the mechanisms that regulate the activity and function of mechanoreceptors.⁵³

Shear stress and inflammatory signalling

The application of flow to cultured ECs has been used to identify causal relationships between shear stress and EC function and to define the signalling pathways involved. Shear stress influences EC inflammatory responses by modulating the expression of non-coding RNAs as well as mRNAs. Regions with disturbed flow display a focal enrichment and luminal redistribution of endothelial junctional adhesion molecule-A (JAM-A) that promotes mononuclear cell recruitment into the arterial wall. Conversely, atheroprotective laminar flow mediates repression of JAM-A through microRNA (miR)-145.⁵⁴ These data identify endothelial JAM-A as a crucial effector molecule guiding inflammatory cell entry at predilection sites of atherosclerosis. Low, oscillatory shear stress influences EC expression of adhesion proteins and other inflammatory molecules through multiple mechanisms that target the MAPK pathway and the nuclear factor-kappa-B (NF- κ B) pathway.^{36,55} In contrast, atheroprotective shear stress induces several negative regulators of inflammatory pathways including the transcription factors Kruppel-like family 2 (KLF2) and 4 (KLF4)^{56–58} and nuclear factor erythroid 2-related factor (Nrf2).^{59–63} The mechanism for KLF2 activation by shear stress involves ERK5-MEF2 signalling, which activates the KLF2 promoter,^{58,64–66} and suppression of miR-92a, which is a negative regulator of KLF2 and KLF4 mRNA expressions.^{67,68} Conversely, miR-92a is expressed by ECs in atheroprone low shear stress regions,

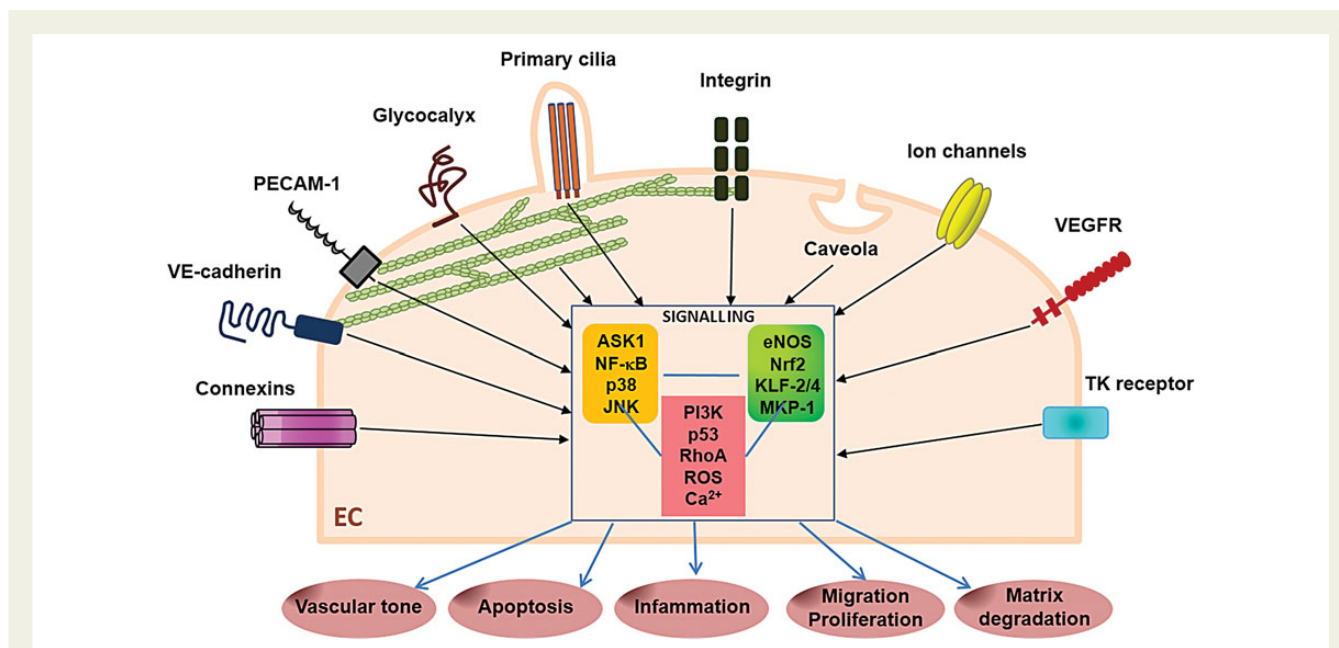


Figure 2 Mechanoreceptors and intracellular signalling in arterial endothelium. Schematic representation of a large variety of membrane-associated molecules and microdomains that have been proposed as potential shear stress sensors converting a mechanical signal into a chemical response. Shear stress activates receptor-tyrosine kinase, such as the vascular endothelial growth factor receptor and PECAM-1, which regulate leukocyte adhesion and endothelial cell–endothelial cell coupling as well as mechanoresponsiveness. In addition to these mechanoreceptors, shear stress can also activate ion channels, actin filaments, caveolae, the glycocalyx, primary cilia, and adherence or gap junction proteins. Shear stress influences activation of endothelial cells through multiple mechanisms that target the mitogen-activated protein kinases, nuclear factor-kappa-B, and regulators of these pathways including mitogen-activated protein kinase phosphatase-1, Kruppel-like factors-2 and -4, nuclear factor erythroid 2-related factor, and endothelial nitric oxide synthase.

increased by hypercholesterolaemia, and *in vivo* miR-92a blockade by antagomir treatment protects against the development of atherosclerosis.⁶⁹ High unidirectional shear stress also reduces inflammatory MAP kinases by inhibiting ASK-1 (an inflammatory MAP kinase kinase),⁷⁰ blocking cleavage of protein kinase C epsilon (PKC ζ),⁷¹ inducing MAPK phosphatase-1 (MKP-1), a negative regulator of p38 and JNK MAP kinases,³⁷ and via down-regulation of the angiotensin II type 1 receptor.^{72,73}

In contrast, low shear stress enhances NF- κ B expression via activation of a JNK1-ATF2 transcriptional programme³⁶ and promotes NF- κ B activation via induction of positive regulators [e.g. Toll-like receptors,⁷⁴ bone morphogenic proteins,^{75–77} inhibitor of κ B kinase 2 (IKK2)³⁸, and reactive oxygen species^{55,78,79}]. In addition to microRNA control,⁶⁸ recent epigenetic regulation of pro- and anti-inflammatory gene expression in disturbed flow regions has been demonstrated including altered flow-induced DNA methylation of endothelium mediated by DNA methyltransferases.^{80–83} Thus, low oscillatory shear stress induces pro-atherogenic epigenetic and transcriptional programmes in EC, whereas high unidirectional shear induces multiple anti-inflammatory processes.

Shear stress and endothelial apoptosis, senescence, and proliferation

Shear stress can also influence EC injury by inducing signalling pathways that regulate apoptosis or senescence (Figure 3).

Disturbed flow induces EC apoptosis through multiple mechanisms including activation of PKC ζ ,⁴⁰ JNK MAP kinase,^{84,85} and p53,⁸⁶ and through up-regulation of an unfolded protein response signalling pathway.³⁹ In contrast, uniform flow suppresses apoptosis via the up-regulation and/or activation of protective signalling pathways involving superoxide dismutase and NO synthase, for example.^{70,84,87–91}

Atheroprone sites are associated with higher rates of EC proliferation compared with protected regions,^{33,41,85,92} a feature that may enhance vascular permeability to LDL and other atherogenic molecules. However, a recent study also indicates that low, oscillatory shear stress can induce EC senescence via activation of p53.⁹³ This seemingly paradoxical situation emphasizes the complex heterogeneous nature of EC phenotypes at atheroprone sites. The molecular mechanisms linking shear stress with EC mitosis are uncertain, but it has been established that JNK1 positively regulates proliferation at atheroprone sites, whereas the induction of the cyclin-dependent kinase regulator GADD45 promotes quiescence under high shear stress conditions.⁹⁴ In addition, down-regulation of miR-126-5p by disturbed flow abrogates EC proliferation at atherosusceptible sites by up-regulating the Notch1 inhibitor Dlk1.⁴¹ Administration of miR-126-5p rescued EC proliferation at disease-prone sites and limited atherosclerosis, demonstrating the importance of an EC proliferative reserve in the prevention of atherosclerosis and pointing towards a possible therapeutic approach.

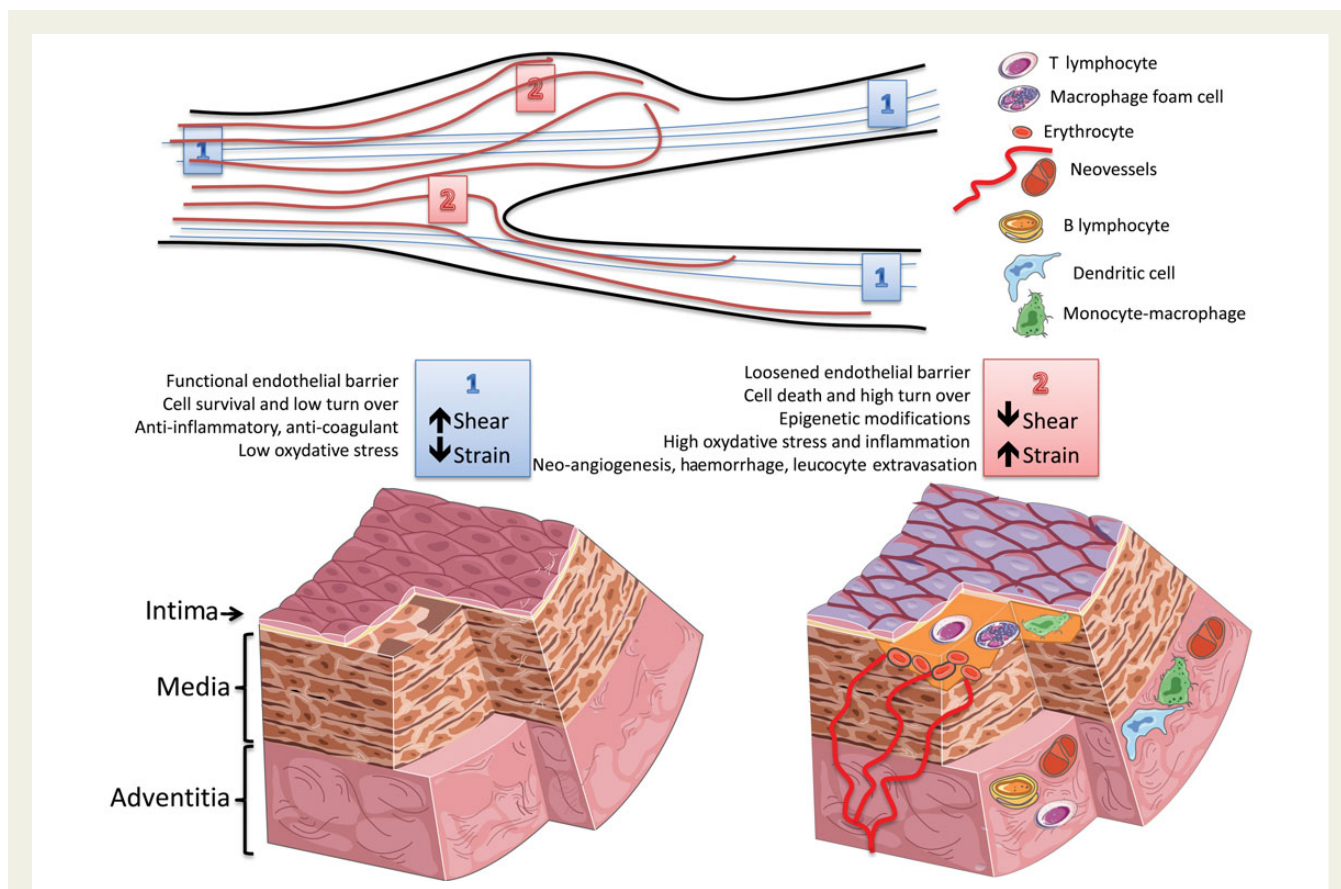


Figure 3 Effects of shear and strain on the arterial wall. (top) Schematic representation of different biomechanical forces along the arterial tree; 1 = laminar flow (blue lines) imposing a high shear stress parallel to the vascular wall and a low circumferential strain; 2 = arterial regions with a change in the diameter (lack of wall parallelism) and/or proximity to bifurcations (presence of disturbed flow, red line) are subjected to a relatively lower shear stress and higher strain. (bottom left) High shear stress and low strain ('1') contribute to maintenance of the physiological properties of the endothelial barrier (anti-coagulant, anti-inflammatory, and anti-oxidant properties) and of the vessel wall (homeostatic cell and matrix turn-over). (bottom right) Low shear and high strain ('2') cause endothelial cell death and reduce the physiological endothelial barrier function, thus favouring the formation of atherosclerotic plaques (yellow matter). Plaque progression can also be affected by biomechanical factors inducing an accelerated cell and matrix turn-over, modifications of the vascular stromal cells, inflammation, and intraplaque haemorrhage. This can boost plaque growth and in turn impact on the local flow dynamics, thus generating a vicious circle between biomechanical factors and atherosclerosis.

Gene discovery platforms for endothelial cell mechanosignalling pathways

The identification of biomarkers for atherosclerosis (e.g. proteins, lipids, or RNA) is an emerging area of research. While classical techniques focus on individual or few factors, the development of high-throughput strategies, i.e. -omics approaches, allows comparisons of protein expression patterns or lipidomic profiles at a broad level in a single experiment.⁹⁵ Omics studies have been performed to characterize mechanosensitive signalling pathways directly in ECs from arterial sites^{33,34} and in many cultured cell experiments.^{10,96–99} In cultured ECs, 900–1800 genes were regulated by varying levels of fluid flow, whereas studies performed *in vivo* show a lower number of differentially expressed genes. The gene profiles associated with the differentially regulated genes show high variability depending on experimental conditions, and importantly, the bioinformatics methods used to analyse the data.

Despite this high variability, the current data sets can be summarized with the concept of endothelial priming. In this concept, unidirectional high shear stress confers protection by an up-regulation of anti-atherogenic, anti-thrombotic, and anti-inflammatory gene signatures, whereas low oscillatory shear stress induces pro-thrombotic and pro-inflammatory genes.⁹⁹ Consequently, regions exposed to low shear stress are pre-disposed to atherosclerosis and are more sensitive to high cholesterol levels and inflammatory mediators, whereas regions exposed to high shear stress are protected. Recent studies in intact non-atherosclerotic animals confirmed these *in vitro* studies and suggest that endothelial priming occurs *in vivo*, and this might be one of the reasons for the presence of predilection sites.¹⁰⁰ New studies focused on obtaining changes in gene networks in ECs during plaque development are under way and will shed new light on how ECs react to a combination of mechanical stimuli and an inflamed sub-endothelium.

Plaque progression and remodelling

Arterial sites with developing atherosclerotic plaques undergo compensatory expansive remodelling to maintain their luminal diameter, a process that presumably normalizes shear stress to a constant level.^{101,102,103} Although compensatory remodelling is considered as the 'classical' remodelling response during plaque growth, constrictive remodelling (defined as shrinkage of the vessel radius)¹⁰⁴ and excessive compensatory remodelling¹⁰⁵ (defined as over compensation leading to radius increase) are observed in a small percentage of arteries.¹⁰⁶ In general, outward remodelling will lead to a persistence of low shear stress, thereby exaggerating lipid uptake and inflammation. Since inflammation has been associated with positive outward remodelling,¹⁰⁷ it may contribute to further development of vulnerable plaques. As a result, plaques with a large necrotic core are found at low shear stress locations.^{105,108–110} Interestingly, regional differences have been observed in vascular remodelling responses, e.g. there is less compensation for plaque growth in arteries in the lower extremities.¹¹¹

Plaque evolution after remodelling

Upon further progression of plaques, positive remodelling can no longer compensate plaque growth resulting in narrowing of the vessel lumen. In general, lumen narrowing initiates when plaque burden exceeds 40%.¹⁰¹ While the precise mechanism underlying the limitation in outward remodelling is unknown, intraplaque bleeding,^{112,113} multiple plaque ruptures,¹¹⁴ and a circumferential extension of endothelial dysfunction at the plaque site have been put forward as possible explanations.¹⁰¹ Once atherosclerotic plaques encroach into the lumen, ECs experience a change in local shear stress, i.e. high shear stress at the upstream part and low, oscillatory shear stress at the downstream side of the plaque, where initially low shear stress was present.¹⁰⁸ There is a lack of detailed information as to whether ECs covering the advanced atherosclerotic lesion remain responsive to changes in local shear stress. On the one hand, the shear stress-dependent transcription factor KLF2 seems down-regulated,⁵⁷ cross-talk between ECs via connexins is diminished¹¹⁵ and endothelial nitric oxide synthase expression is decreased at plaques.¹¹⁶ On the other hand, a preferential occurrence of apoptosis of ECs is present in the downstream regions of advanced human carotid lesions.¹⁴ In addition, studies of stented arteries suggest that ECs overlaying plaques retain the ability to respond to flow.^{117–119} In summary, whereas plaque initiation typically occurs in low shear stress regions, plaque progression may be accompanied by (excessive) compensatory remodelling, thereby keeping the lumen open and maintaining the low shear stress exposure to the plaque. Plaques may also encroach into the lumen resulting in exposure to high shear stress for ECs in the upstream region of the plaque.

The influence of biomechanical forces on plaque destabilization and rupture

Pathological studies suggest that a large necrotic core, high macrophage content, reduced collagen levels, and thin fibrous cap are the

hallmarks of plaque vulnerability^{120–123} and thus may be the precursors of plaque rupture. However, a recent study indicates that only 5% of the identified vulnerable plaques (thin-capped fibroatheromas, TCFAs) are associated with plaque rupture and suggests that plaque morphology is not sufficient to predict plaque rupture.^{124,125} As biomechanical factors are involved in plaque rupture, they might help to identify vulnerable plaques.

The role of wall stress in plaque rupture

A plaque ruptures if the local wall stress (i.e. stress within an atherosclerotic lesion) exceeds the fracture stress (strength) of the fibrous cap. Note that the stress in the wall is caused by a variety of factors, including the blood pressure, local geometry, and local tissue composition and is 1×10^4 to 2×10^6 times higher than the shear stress at the endothelium.¹²⁶ Moreover, maximal predicted plaque stresses in symptomatic patients are higher than those predicted in asymptomatic patients, suggesting that plaques with higher stresses may be more prone to rupture and thus leading to cardiovascular events.¹²⁷ Biomechanical stress could therefore potentially act as a useful tool for risk assessment of plaque rupture. However, the threshold value for wall stress to be used for risk prediction is currently under debate.¹²⁸

Plaque composition influences rupture as it is a key determinant of cap strength. The highest wall stress is typically found at the thinnest areas of the fibrous cap,^{129,130} a region that co-localizes with increased macrophage density,¹³¹ intraplaque haemorrhage,¹³² and local microcalcifications.¹³³

The role of low and high shear stress in plaque destabilization

The causative role of low shear stress in vulnerable plaque formation was elegantly shown in several animal studies imposing low shear stress in defined arterial regions.^{42,134} Although these studies clearly demonstrate that low shear stress modulates local inflammation and thereby cap thickness and strength, the majority of such studies have concentrated on relatively few locations in mature arteries and thus may have introduced an underestimation of the variety of mechanical factors involved in disease development as was eloquently pointed out by Peiffer et al.⁴⁶

The notion that plaque ruptures/ulcerations are most frequently observed at the upstream side of advanced plaques has strengthened the idea that high shear stress may be involved in upstream plaque destabilization.^{135–137} Moreover, plaque composition at the upstream side of the plaque is markedly different from the downstream side, i.e. enhanced macrophage accumulation and apoptosis, lipid accumulation, intraplaque haemorrhage, and thinner fibrous caps.^{135,137} As a result, upstream plaque regions that are exposed to high shear stress show an increased strain—a local measure for plaque weakness—implying that those regions are more prone to rupture.¹³⁸ *In vivo* studies on the role of shear stress in plaque destabilization confirmed increased vulnerability for the high shear stress plaque regions at 6 months of follow-up.¹¹⁰ High shear stress is known to activate matrix metalloproteinases (MMPs), favouring thinning of the artery wall and eccentric remodelling in an *in vivo* arteriovenous fistula model.¹³⁹ If a similar process occurs in the advanced atherosclerotic lesion, this might account for a thin fibrous cap in high shear regions of the stenosis. Clearly, more studies are needed to

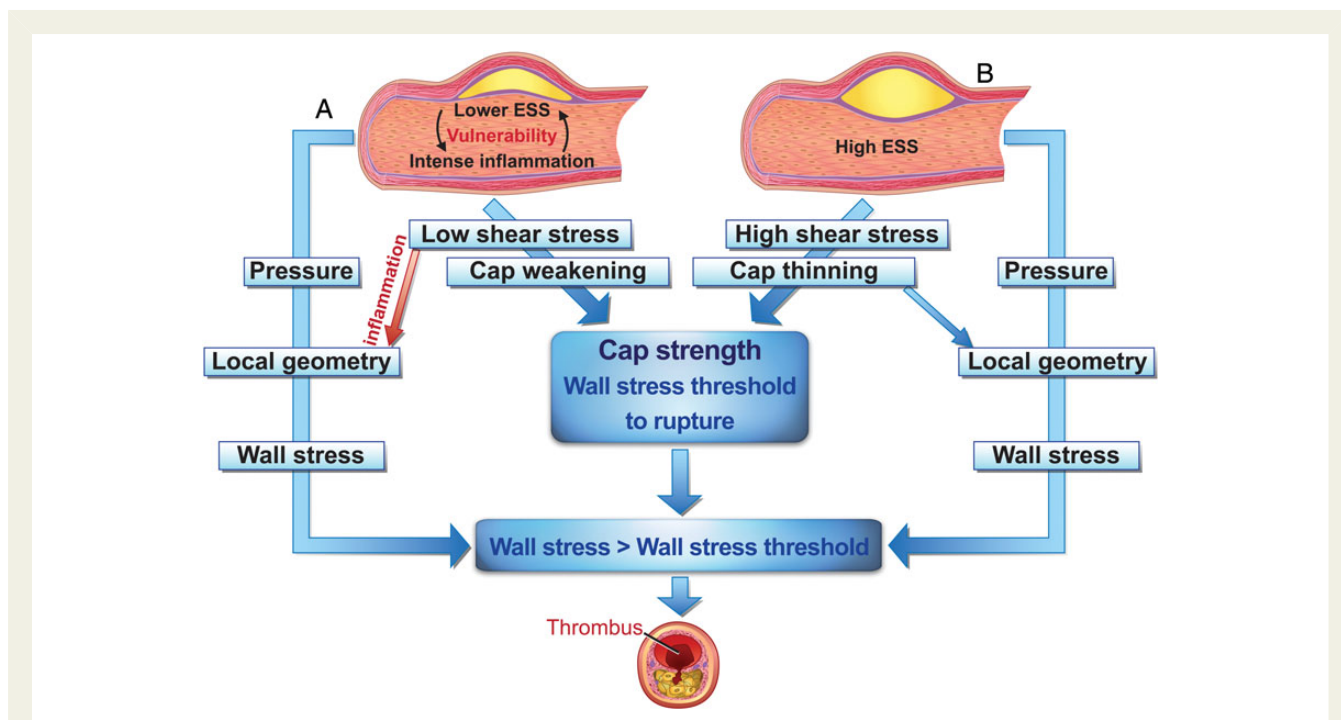


Figure 4 Concept of the influence of shear stress and wall stress on plaque rupture. Co-localization of peak wall stress and shear stress-induced cap thinning and cap strength will dictate location and timing of plaque rupture. (A) (Excessive) compensatory remodelling induces low shear stress stimulating local inflammation and thereby fibrous cap thinning and plaque weakening, influencing the cap strength, (B) high shear stress induces cap thinning and weakening. Wall stress inside the cap is related to blood pressure and the local cap geometry and thickness. If the local wall stress exceeds the cap strength (the wall stress threshold at which it ruptures), the cap will rupture.

investigate the potential causative role of high shear stress in plaque destabilization.

Location of plaque rupture

As plaque rupture depends on both the local wall stress and the local strength of the tissue, we would like to propose that co-localization of high wall stress and shear stress-induced plaque weakening will finally lead to plaque rupture. *Figure 4* depicts the relationship between shear stress, plaque geometry, the plaque strength—the stress threshold at which a plaque ruptures—and the local wall stress in the process of plaque rupture. The minor co-occurrence that wall stress exceeds the local plaque strength and the short time frame may offer an explanation why only 5% of TCFA rupture. Shear stress is a biomechanical parameter acting for many years on TCFA formation and cap weakening through biological processes. On the other hand, wall stress concentrations are thought to lead to plaque rupture over much shorter time frames. Further experimental and clinical imaging studies are needed to investigate the co-localization of these biomechanical parameters in identifying ‘rupture-prone TCFA’s.

In summary, low shear stress promotes the initiation and progression of atherosclerotic lesions. Non-stenotic vulnerable plaques are typically associated with low shear stress, which can promote inflammation and influence plaque stability. This contrasts with stenotic high-risk plaques that are typically exposed to high shear stress. Evidence is accumulating for a role of high shear stress in plaque

destabilization. Finally, co-localization of high wall stress and low plaque strength may be considered as a novel future marker for identification of vulnerable plaques.

Clinical perspectives

Interactions between drugs and mechanoresponses

Biomechanical factors may affect the responsiveness of ECs to pharmacological agents, as demonstrated by the synergy between statins and laminar shear stress in inducing KLF2-dependent atheroprotective signalling in ECs both *in vivo* and *in vitro*.^{140,141} Consequently, the endothelium lining atherosusceptible sites of low shear stress may be less responsive to the pleiotropic effects of statins,¹⁴⁰ highlighting the importance of considering biomechanical factors in the development of atheroprotective therapeutics. In addition, changes in biomechanical forces can be used for targeted drug delivery to atherosclerotic lesions.¹⁴² Mechanosensitive liposomes can be used to preferentially release preloaded drugs under increased shear stress¹⁴³ and could thus potentially selectively target the upstream segment of the advanced plaque (before the point of maximal stenosis) that shows an increased incidence of plaque rupture, as discussed above. *In silico* tests with these 1,3-diaminophospholipid vesicles show promising results,¹⁴³ but validation in more complex fluids and large animals are mandatory. Another approach includes

shear-activated nanotherapeutic aggregates.¹⁴⁴ This strategy also uses high shear stress caused by vascular narrowing as a targeting mechanism to deliver drugs to (partially) obstructed blood vessels. Microscale aggregates of nanoparticles coated with tissue plasminogen activator (tPA) break into nanoscale components when exposed to abnormally high fluid shear stress. When administered intravenously in mice, these shear-activated nanotherapeutics induce rapid clot dissolution in a mesenteric injury model.

Diagnostic and prognostic implications of biomechanical factors

The implementation of biomechanical factors in the clinical decision-making for patients with atherosclerosis is today restricted to measurements of flow or pressure alterations. The fractional flow reserve (FFR) of a coronary atherosclerotic lesion can be measured as the pressure fall from the proximal aorta to the coronary segment distal to the lesion, during maximal coronary vasodilatation. According to the latest ESC guidelines, percutaneous coronary intervention (PCI) is indicated if FFR is ≤ 0.8 .¹⁴⁵ FFR-guided PCI has been associated with improved clinical outcomes and fewer stents implanted. Likewise, non-invasively measured coronary flow reserve (CFR) by transthoracic Doppler echocardiography of the left anterior descending coronary artery is recommended for patients with suspected coronary microvascular disease.¹⁴⁵ However, limiting interventions to only obstructive coronary disease may be insufficient, since plaques not causing haemodynamically significant flow restriction may be prone to rupture. One important clinical application of the above outlined role of biomechanical factors in atherosclerosis could be to identify sites exposed to unfavourable biomechanical forces, associated with high risk of plaque rupture, and to use this information to guide treatment. The development of novel imaging tools has rendered the evaluation of wall shear stress possible in coronary patients, by integrating clinical examination techniques (Doppler ultrasound, CT, IVUS, OCT, MRI, VH) with computational flow dynamics (CFD). Of note, a recent study revealed that rotational coronary angiography and CFD could be used to accurately measure FFR in patients with stable angina, thus informing PCI without the need for invasive catheter-based measurements.^{146,147} In addition, phase-contrast MRI-based shear stress measurement techniques are currently under development to assess the local wall shear stress distribution in human carotid arteries, likely facilitating in the near future the clinical assessment of local wall shear stress without extensive technical expertise. Finally, intravascular palpography can be used for measures of plaque deformation (strain) during pulsating blood flow.

Flow-mediated dilatation (FMD) of a conduit artery following limb ischaemia is a measure of endothelial function, and an impaired FMD is a sign of endothelial dysfunction in for example diabetes and subclinical atherosclerosis.¹⁴⁸ In contrast to the transient increase in shear stress during reactive hyperaemia, exercise-induced elevations of shear stress may be associated with a more sustained FMD increase in the supplying conduit artery.¹⁴⁹ In addition to these immediate flow alterations, also long-term effects on FMD have been demonstrated after repetitive exercise, suggesting that exercise-induced changes in shear stress induce beneficial effects in terms of flow-mediated endothelial function and vascular remodelling,¹⁵⁰ which may be implicated

in the protective value of physical activity in reducing vascular dysfunction and atherosclerosis.

Recently, the PREDICTION study revealed that low shear stress was an independent predictor for luminal obstruction in patients with acute coronary syndrome, but was not associated with a change in plaque area.¹⁰⁸ Although clinical events rates were too low to evaluate the effects of shear stress on outcome in terms of acute coronary syndromes,¹⁰⁸ this study provides an initial indication that considering biomechanical factors may be clinically relevant for assessing locations with progressive disease.

Knowledge from basic science is increasingly being translated into the clinical setting. A deeper understanding of the effects of mechanical forces on vascular biology will further these developments of novel shear regulated drugs, enhance diagnostic tools and inform clinical decision-making for interventional cardiologists and cardiovascular surgeons. Similarly, innovations in the clinic should feedback to drive new basic science questions in the fields of vascular biology, engineering, and computational modelling.

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