

# WITT GROUPS OF THE PUNCTURED SPECTRUM OF A 3-DIMENSIONAL REGULAR LOCAL RING AND A PURITY THEOREM

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## 0. Introduction

Let  $A$  be a regular local ring with quotient field  $K$ . Assume that 2 is invertible in  $A$ . Let  $W(A) \rightarrow W(K)$  be the homomorphism induced by the inclusion  $A \hookrightarrow K$ , where  $W(\cdot)$  denotes the Witt group of quadratic forms. If  $\dim A \leq 4$ , it is known that this map is injective [6, 7]. A natural question is to characterize the image of  $W(A)$  in  $W(K)$ . Let  $\text{Spec}^1(A)$  be the set of prime ideals of  $A$  of height 1. For  $P \in \text{Spec}^1(A)$ , let  $\pi_P$  be a parameter of the discrete valuation ring  $A_P$  and  $k(P) = A_P/\pi_P A_P$ . For this choice of a parameter  $\pi_P$ , one has the *second residue homomorphism*  $\partial_P: W(K) \rightarrow W(k(P))$  [9, p. 209]. Though the homomorphism  $\partial_P$  depends on the choice of the parameter  $\pi_P$ , its kernel and cokernel do not. We have a homomorphism

$$\partial = (\partial_P): W(K) \rightarrow \bigoplus_{P \in \text{Spec}^1(A)} W(k(P)).$$

A part of the so-called Gersten conjecture is the following question on ‘purity’. Is the sequence

$$W(A) \rightarrow W(K) \xrightarrow{\partial} \bigoplus_{P \in \text{Spec}^1(A)} W(k(P))$$

exact? This question has an affirmative answer for  $\dim(A) \leq 2$  [1; 3, p. 277]. There have been speculations by Pardon and Barge-Sansuc-Vogel on the question of purity. However, in the literature, there is no proof for purity even for  $\dim(A) = 3$ . One of the consequences of the main result of this paper is an affirmative answer to the purity question for  $\dim(A) = 3$ .

We briefly outline our main result. For any scheme  $X$  let  $W^\epsilon(X)$  denote the Witt group of  $\epsilon$ -symmetric spaces on  $X$ ,  $\epsilon = \pm 1$  ( $W^{+1}(X) = W(X)$  being the usual Witt group of symmetric spaces over  $X$ ). Let  $A$  be a regular local ring of dimension 3 with maximal ideal  $m$  and  $Y = \text{Spec}(A) \setminus \{m\}$ . We associate (§3) to an  $\epsilon$ -symmetric space over  $Y$  a  $(-\epsilon)$ -symmetric space over a finite-length  $A$ -module. This assignment leads to a homomorphism  $W^\epsilon(Y) \rightarrow W_{\text{fin}}^{-\epsilon}(A)$ , where  $W_{\text{fin}}^\epsilon(A)$  is the Witt group of  $\epsilon$ -symmetric spaces of finite-length  $A$ -modules (cf. §1). Then we prove (§4) that the sequence

$$0 \rightarrow W^\epsilon(A) \rightarrow W^\epsilon(Y) \rightarrow W_{\text{fin}}^{-\epsilon}(A) \rightarrow 0$$

is exact, where the map  $W^\epsilon(A) \rightarrow W^\epsilon(Y)$  is induced by the restriction. Since  $W_{\text{fin}}^\epsilon(A) \simeq W^\epsilon(A/m)$ , it follows that  $W_{\text{fin}}^{-1}(A) = 0$ . Thus the map  $W(A) \rightarrow W(Y)$  is an isomorphism. This leads to the purity theorem for the Witt groups. On the other hand, since every skew-symmetric space over  $A$  is hyperbolic,  $W^{-1}(A) = 0$  and we get an isomorphism  $W^{-1}(Y) \simeq W(A/m)$ . We observe the curious fact that if  $A$  is complete,  $W^{\pm 1}(Y)$  is isomorphic to  $W(A/m)$ .

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A crucial result used in our proof of the main theorem is a theorem of Horrocks [2] on vector bundles on the punctured spectrum  $Y = \text{Spec}(A) \setminus \{m\}$ , where  $A$  is a regular local ring of dimension 3 and  $m$  is its maximal ideal. We use his theorem on the equivalence of the category of ‘ $\Phi$ -equivalence’ classes of vector bundles on  $Y$  with the category of finite-length  $A$ -modules.

We would like to remark parenthetically that purity for dimension 3 was used in [8] while establishing the equivalence of the finite generation of Witt groups of affine real 3-folds and the finite generation of Chow groups of codimension 2 cycles modulo 2.

1.  $\epsilon$ -symmetric spaces reminisced

Let  $A$  be a regular local ring of dimension 3 in which 2 is invertible. We recall the definition of  $\epsilon$ -symmetric spaces on finite-length  $A$ -modules and their Witt groups. For  $A$ -modules  $M, N$  and  $i \geq 0$ , let  $\text{Ext}^i(M, N)$  denote the group of congruence classes of  $i$ -fold extensions of  $N$  by  $M$  [4, p. 84]. For any homomorphism  $f: M \rightarrow M'$  of  $A$ -modules, let  $\text{Ext}^i(N, f): \text{Ext}^i(N, M) \rightarrow \text{Ext}^i(N, M')$  be the induced homomorphisms defined as follows. Let

$$\zeta = 0 \rightarrow M \xrightarrow{\alpha} Z_i \xrightarrow{\partial_i} Z_{i-1} \rightarrow \dots \rightarrow Z_2 \xrightarrow{\partial_2} Z_1 \xrightarrow{\beta} N \rightarrow 0$$

be an  $i$ -fold extension of  $N$  by  $M$ . Let  $Z = (Z_i \oplus M') / (\{(\alpha(x), f(x)) \mid x \in M\})$  be the push-out of Figure 1 [4].

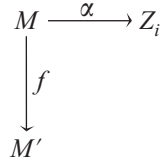


FIGURE 1.

Then

$$\text{Ext}^i(N, f)(\zeta) = 0 \rightarrow M' \xrightarrow{\alpha'} Z \xrightarrow{\partial'} Z_{i-1} \xrightarrow{\partial_{i-1}} \dots \rightarrow Z_2 \xrightarrow{\partial_2} Z_1 \xrightarrow{\beta} N \rightarrow 0$$

where  $\alpha'$  and  $\partial'$  are the natural homomorphisms induced by the push-out. Similarly, we define  $\text{Ext}^i(f, N)$  as the pull-back under  $f$  of an  $i$ -fold extension of  $N$  by  $M'$ . Let  $M$  be a finite-length  $A$ -module and  $M^\vee = \text{Ext}^3(M, A)$ . If  $M, M'$  are two finite-length  $A$ -modules and  $f: M \rightarrow M'$  is an  $A$ -linear map, then we denote  $\text{Ext}^3(f, A)$  by  $f^\vee$ . Let

$$\mathcal{P} = 0 \rightarrow P_3 \xrightarrow{\partial_3} P_2 \xrightarrow{\partial_2} P_1 \xrightarrow{\partial_1} P_0 \xrightarrow{\theta} M \rightarrow 0$$

be a projective resolution of  $M$ . Since  $\text{Ext}^i(M, A) = 0$  for  $i = 0, 1, 2$  [5, Theorem 18.1], by dualizing the above exact sequence we see that

$$\mathcal{P}^* = 0 \rightarrow P_0^* \xrightarrow{\partial_1^*} P_1^* \xrightarrow{\partial_2^*} P_2^* \xrightarrow{\partial_3^*} P_3^* \xrightarrow{\theta'} M^\vee \rightarrow 0$$

is a projective resolution of  $M^\vee$ , where  $P_i^* = \text{Hom}_A(P_i, A)$ ,  $\partial_i^*$  is induced by  $\partial_i$  and for any  $f \in P_3^*$ ,

$$\theta'(f) = \text{Ext}^3(f, M)(\mathcal{P}) \in M^\vee.$$

Throughout this paper, for any surjection  $\theta: P_0 \rightarrow M$  as above,  $\theta'$  denotes the map defined as above. We define a canonical homomorphism  $\mathcal{C}: M \rightarrow M^{\vee\vee}$  as follows. Let  $x \in M$ . Choose  $y \in P_0$  such that  $\theta(y) = x$ . We define

$$\mathcal{C}(x) = \text{Ext}^3(-e_y, M^\vee)(\mathcal{P}^*) \in M^{\vee\vee},$$

where, for  $f \in P_0^*$ ,  $e_y(f) = f(y)$ . Then it is easy to see that  $\mathcal{C}(x)$  is independent of the choice of  $y$  and Figure 2 is commutative, where  $\mathcal{C}: P_i \rightarrow P_i^{**}$  are the canonical isomorphisms.

$$\begin{array}{ccccccccccccccc} 0 & \longrightarrow & P_3 & \xrightarrow{\partial_3} & P_2 & \xrightarrow{\partial_2} & P_1 & \xrightarrow{\partial_1} & P_0 & \xrightarrow{\theta} & M & \longrightarrow & 0 \\ & & \downarrow \mathcal{C} & & \downarrow \mathcal{C} & & \downarrow \mathcal{C} & & \downarrow \mathcal{C} & & \downarrow -\mathcal{C} & & \\ 0 & \longrightarrow & P_3^{**} & \xrightarrow{\partial_3^{**}} & P_2^{**} & \xrightarrow{\partial_2^{**}} & P_1^{**} & \xrightarrow{\partial_1^{**}} & P_0^{**} & \xrightarrow{\theta''} & M^{\vee\vee} & \longrightarrow & 0 \end{array}$$

FIGURE 2.

Thus  $\mathcal{C}: M \rightarrow M^{\vee\vee}$  is an isomorphism and it is obvious that it is independent of the choice of the projective resolution. We use this isomorphism to identify  $M$  with  $M^{\vee\vee}$ . The choice of the negative sign at  $e_y$  in the definition of  $\mathcal{C}$  is explained in the following. Let  $m = (x_1, x_2, x_3)$  be the maximal ideal of  $A$  and

$$\zeta = 0 \longrightarrow A \xrightarrow{\delta_3} A^3 \xrightarrow{\delta_2} A^3 \xrightarrow{\delta_1} A \xrightarrow{\eta} A/m \longrightarrow 0$$

be the Koszul resolution of  $A/m$  with respect to  $(x_1, x_2, x_3)$ . With respect to the standard basis  $\{e_1, e_2, e_3\}$  of  $A^3$ , we have

$$\delta_1 = (x_1 \quad x_2 \quad x_3), \quad \delta_2 = \begin{pmatrix} -x_2 & -x_3 & 0 \\ x_1 & 0 & -x_3 \\ 0 & x_1 & x_2 \end{pmatrix}, \quad \delta_3 = \begin{pmatrix} x_3 \\ -x_2 \\ x_1 \end{pmatrix}$$

and  $\eta: A \rightarrow A/m$  is the natural homomorphism. Let  $M$  be a finite-dimensional vector space over  $A/m$ . Then  $M$  is a finite-length  $A$ -module. Let  $\tilde{M} = \text{Hom}(M, A/m)$ . The assignment  $f \mapsto \text{Ext}^3(f, A)(\zeta) \in M^\vee$  induces a homomorphism

$$\Phi_M: \tilde{M} \rightarrow M^\vee.$$

The following lemmas are well known, but for the sake of completeness we give their proofs here.

LEMMA 1.1. *The homomorphism  $\Phi_M$  is an isomorphism and Figure 3 is commutative, where  $\iota: M \rightarrow \tilde{M}$  is the canonical isomorphism.*

$$\begin{array}{ccc} M & \xrightarrow{\mathcal{C}} & M^{\vee\vee} \\ \downarrow \iota & & \downarrow \Phi_M^\vee \\ \tilde{M} & \xrightarrow{\Phi_{\tilde{M}}} & (\tilde{M})^\vee \end{array}$$

FIGURE 3.

*Proof.* Since  $M \simeq \bigoplus_1^n A/m$ ,  $M^\vee \simeq \bigoplus_1^n (A/m)^\vee$  and  $\tilde{M} \simeq \bigoplus_1^n \widetilde{A/m}$ , it is enough to prove the lemma in the case when  $M = A/m$ . In this case it is easy to see that  $\Phi_M \neq 0$ . Since  $M^\vee \simeq A/m$  [5, Theorem 18.1] and  $\tilde{M} \simeq A/m$ ,  $\Phi_M$  is an isomorphism. We now prove the commutativity of Figure 3. For all  $x \in M$  and  $f \in \tilde{M}$  we have  $\iota(x)(f) = f(x)$  and

$$\Phi_{\tilde{M}}(\iota(x)) = 0 \longrightarrow A \xrightarrow{\delta_3} A^3 \xrightarrow{\delta_2} A^3 \xrightarrow{\delta_1} A \xrightarrow{\iota(x)^{-1}\eta} (A/m)^\vee \longrightarrow 0.$$

Let  $y \in A$  be such that  $\eta(y) = x$ . Then we have

$$\mathcal{C}(x) = 0 \longrightarrow A \xrightarrow{-\delta_1^* e_y^{-1}} A^{3*} \xrightarrow{\delta_2^*} A^{3*} \xrightarrow{\delta_3^*} A^* \xrightarrow{\eta'} (A/m)^\vee \longrightarrow 0.$$

Since  $\Phi_M$  is an isomorphism, we have

$$\Phi_M^\vee(\mathcal{C}(x)) = 0 \longrightarrow A \xrightarrow{-\delta_1^* e_y^{-1}} A^{3*} \xrightarrow{\delta_2^*} A^{3*} \xrightarrow{\delta_3^*} A^* \xrightarrow{\Phi_M^{-1}\eta'} (A/m)^\vee \longrightarrow 0.$$

Let  $\{e_1^*, e_2^*, e_3^*\}$  be the dual basis of  $A^{3*}$ . For  $i = 1, 2$ , let  $\theta_i: A^3 \longrightarrow A^{3*}$  be given by the following matrices, with respect to the bases  $\{e_1, e_2, e_3\}$  and  $\{e_1^*, e_2^*, e_3^*\}$ .

$$\theta_1 = \begin{pmatrix} 0 & 0 & -y^{-1} \\ 0 & y^{-1} & 0 \\ -y^{-1} & 0 & 0 \end{pmatrix}, \quad \theta_2 = \begin{pmatrix} 0 & 0 & y^{-1} \\ 0 & -y^{-1} & 0 \\ y^{-1} & 0 & 0 \end{pmatrix}.$$

Let  $\theta_3: A \longrightarrow A^*$  be the homomorphism defined by  $\theta_3(1) = l_y$ , where  $l_y(a) = ay$  for all  $a \in A$ . It is easy to see that Figure 4 is commutative. Thus  $\Phi_{\tilde{M}}\iota = \Phi_M^\vee\mathcal{C}$ .

$$\begin{array}{ccccccccccc} 0 & \longrightarrow & A & \xrightarrow{\delta_3} & A^3 & \xrightarrow{\delta_2} & A^3 & \xrightarrow{\delta_1} & A & \xrightarrow{\iota(x)^{-1}\eta} & (A/m)^\vee & \longrightarrow & 0 \\ & & \downarrow \text{id} & & \downarrow \theta_1 & & \downarrow \theta_2 & & \downarrow \theta_3 & & \downarrow \text{id} & & \\ 0 & \longrightarrow & A & \xrightarrow{-\delta_1^* e_y^{-1}} & A^{3*} & \xrightarrow{\delta_2^*} & A^{3*} & \xrightarrow{\delta_3^*} & A^* & \xrightarrow{\Phi_M^{-1}\eta'} & (A/m)^\vee & \longrightarrow & 0 \end{array}$$

FIGURE 4. □

LEMMA 1.2. *Let  $\psi: M \longrightarrow \tilde{M}$  be a homomorphism and  $\tilde{\psi}: \tilde{M} \longrightarrow \tilde{M}$  be the induced homomorphism. Then Figure 5 is commutative.*

$$\begin{array}{ccc} \tilde{\tilde{M}} & \xrightarrow{\Phi_{\tilde{M}}} & (\tilde{M})^\vee \\ \downarrow \tilde{\psi} & & \downarrow \psi^\vee \\ \tilde{M} & \xrightarrow{\Phi_M} & M^\vee \end{array}$$

FIGURE 5.

*Proof.* Let  $f \in \tilde{M}$ . Then  $\Phi_M(f)$  is the pull-back of the Koszul resolution  $\zeta$  under  $f: \tilde{M} \rightarrow A/m$  and  $\psi^\vee(\Phi_{\tilde{M}}(f))$  is the pull-back of the extension  $\Phi_{\tilde{M}}(f)$  under  $\psi$ . Thus  $\psi^\vee(\Phi_{\tilde{M}}(f))$  is the pull-back of the Koszul resolution under the homomorphism  $f\psi: M \rightarrow A/m$ . Since  $\tilde{\psi}(f) = f\psi$ ,  $\Phi_M(\tilde{\psi})(f)$  is the pull-back of the Koszul resolution under  $f\psi$ . Thus  $\Phi_M\psi^\vee = \psi^\vee\Phi_{\tilde{M}}$ .  $\square$

Lemmas 1.1 and 1.2 enable us to embed the category of  $\epsilon$ -symmetric spaces on finite-dimensional  $A/m$ -vector spaces into the category of  $\epsilon$ -symmetric spaces on finite-length  $A$ -modules (cf. Corollary 1.3)).

Let  $\epsilon = \pm 1$ . An  $\epsilon$ -symmetric space of finite length is a pair  $(M, \psi)$  where  $M$  is a finite-length  $A$ -module and  $\psi: M \rightarrow M^\vee = \text{Ext}^3(M, A)$  is an isomorphism with  $\psi^\vee \mathcal{C} = \epsilon\psi$ . Let  $\psi_1$  and  $\psi_2$  be two  $\epsilon$ -symmetric spaces on finite-length  $A$ -modules  $M_1$  and  $M_2$  respectively. We say that  $\psi_1$  is *isometric to*  $\psi_2$  if there exists a homomorphism  $\theta: M_1 \rightarrow M_2$  such that  $\psi_1 = \theta^\vee \psi_2 \theta$ . An  $\epsilon$ -symmetric space  $\psi$  on  $M$  is called *metabolic* if there exists a submodule  $N$  of  $M$  such that

$$0 \longrightarrow N \xrightarrow{i} M \xrightarrow{i^\vee \psi} N^\vee \longrightarrow 0$$

is exact, where  $i: N \rightarrow M$  is the inclusion. The *Witt group* of  $\epsilon$ -symmetric spaces of finite-length  $A$ -modules is defined as the quotient of the Grothendieck group of isometry classes of  $\epsilon$ -symmetric spaces with the orthogonal sum as addition, modulo the subgroup generated by metabolic spaces. It is denoted by  $W_{\text{fin}}^\epsilon(A)$ .

**COROLLARY 1.3.** *Let  $M$  be a finite-dimensional vector space over  $A/m$ . Let  $\psi: M \rightarrow M$  be an  $\epsilon$ -symmetric space, that is,  $\tilde{\psi}t = \psi$  and  $\psi$  is an isomorphism. Then  $\Phi_M\psi: M \rightarrow M^\vee$  is an  $\epsilon$ -symmetric space.*

*Proof.* By Lemma 1.1, we have  $(\Phi_M\psi)^\vee \mathcal{C} = \psi^\vee \Phi_M^\vee \mathcal{C} = \psi^\vee \Phi_M t$ . Using Lemma 1.2, we get that  $(\Phi_M\psi)^\vee \mathcal{C} = \Phi_M \tilde{\psi}t = \epsilon \Phi_M \psi$ . Thus  $\Phi_M\psi$  is an  $\epsilon$ -symmetric space.  $\square$

We need the following lemma.

**LEMMA 1.4.** *Let  $M$  be a finite-length  $A$ -module and  $\psi: M \rightarrow M^\vee$  be an  $\epsilon$ -symmetric space. If  $(M, \psi)$  is stably metabolic, then it is metabolic.*

*Proof.* If  $M$  is an  $A/m$ -module, then the result follows from the corresponding result for  $\epsilon$ -symmetric spaces over the field  $A/m$ . We reduce the general case to the above case by induction on the length of  $M$ . Assume that the length of  $M$  is at least 2. Let  $V$  be a maximal submodule of  $M$  which is an  $A/m$ -module. Suppose that  $\psi$  restricted to  $V$  is singular. Then there exists a non-zero submodule  $L$  of  $V$  such that

$$L \subset L^\perp = \ker(M \xrightarrow{i^\vee \psi} L^\vee)$$

and  $\psi$  induces an  $\epsilon$ -symmetric form  $\bar{\psi}$  on  $L^\perp/L$  which is Witt equivalent to  $(M, \psi)$ . Suppose that  $(M, \psi)$  is stably metabolic. Then  $(L^\perp/L, \bar{\psi})$  is stably metabolic. By induction there exists a submodule  $N_1$  of  $L^\perp/L$  such that

$$0 \longrightarrow N_1 \xrightarrow{i} L^\perp/L \xrightarrow{i^\vee \bar{\psi}} N_1^\vee \longrightarrow 0$$

is exact. Let  $N$  be the submodule of  $M$  containing  $L$  such that  $N/L = N_1$ . Then it is easy to see that the sequence

$$0 \longrightarrow N \xrightarrow{i} M \xrightarrow{i^\vee \psi} N^\vee \longrightarrow 0$$

is exact and  $(M, \psi)$  is metabolic. We may therefore assume that  $\psi$  restricted to  $V$  is non-singular. Then  $(M, \psi) \simeq (V, \psi|_V) \perp (M_1, \psi_1)$ . If  $M_1 \neq 0$ , then  $M_1$  contains a non-zero submodule which is an  $A/m$ -module, contradicting the maximality of  $V$ . Thus  $M_1 = 0$  and  $M = V$  is an  $A/m$ -module. This completes the proof of the lemma.  $\square$

Let  $X$  be a scheme such that 2 is invertible in  $\Gamma(X)$ . Let  $\mathcal{E}$  be a vector bundle over  $X$  of finite rank. An  $\epsilon$ -symmetric space on  $\mathcal{E}$  is an isomorphism  $\mathbf{q}: \mathcal{E} \longrightarrow \mathcal{E}^* = \text{Hom}(\mathcal{E}, \mathcal{O}_X)$  such that  $\mathbf{q}^* \mathcal{E} = \epsilon \mathbf{q}$ , where  $\mathcal{C}: \mathcal{E} \longrightarrow \mathcal{E}^{**}$  is the canonical identification. Let  $W^\epsilon(X)$  be the Witt group of  $\epsilon$ -symmetric spaces on vector bundles over  $X$  [3, p. 144]. If  $X = \text{Spec}(A)$ , then we denote  $W^\epsilon(X)$  by  $W^\epsilon(A)$ .

Throughout this paper, by an  $A$ -module we mean a finitely generated  $A$ -module. We call an  $\epsilon$ -symmetric space simply a *quadratic space* if  $\epsilon = +1$  and a *symplectic space* if  $\epsilon = -1$ . We also denote  $W^{+1}(X)$  by  $W(X)$ . For a vector bundle  $\mathcal{E}$  over  $X$ , we denote the hyperbolic space on  $\mathcal{E}$  by  $\mathbb{H}(\mathcal{E})$  [3, p. 130].

### 2. Reflexive modules

Let  $A$  be a regular local ring of dimension 3 with 2 invertible. An  $A$ -module  $E$  is said to be *reflexive* if it is finitely generated and the canonical homomorphism  $E \longrightarrow E^{**}$  is an isomorphism. For a reflexive  $A$ -module  $E$  we use the canonical isomorphism to identify  $E^{**}$  with  $E$ . It is well known that a reflexive module over a regular ring of dimension 3 has projective dimension at most 1. Let  $E$  be a reflexive  $A$ -module and  $M = \text{Ext}^1(E^*, A)$ , where  $E^* = \text{Hom}_A(E, A)$ . Since reflexive modules over regular rings of dimension at most 2 are projective,  $M$  is a finite-length  $A$ -module. We define a homomorphism  $\beta_E: \text{Ext}^1(E, A) \longrightarrow M^\vee = \text{Ext}^3(M, A)$  as follows. Let

$$0 \longrightarrow P_1 \xrightarrow{\partial_1} P_0 \xrightarrow{\partial_0} E^* \longrightarrow 0 \tag{2.1}$$

be a projective resolution of  $E^*$ . Then by dualizing, we get an exact sequence

$$0 \longrightarrow E \xrightarrow{\partial_0^*} P_0^* \xrightarrow{\partial_1^*} P_1^* \xrightarrow{\delta} \text{Ext}^1(E^*, A) = M \longrightarrow 0$$

where  $\delta$  is defined by push-outs. We have the following lemma.

LEMMA 2.1. *The Yoneda composition [4, p. 82]  $\beta_E: \text{Ext}^1(E, A) \longrightarrow M^\vee$  given by*

$$\beta_E(0 \longrightarrow A \xrightarrow{\eta} Z \xrightarrow{\eta'} E \longrightarrow 0) = (0 \longrightarrow A \xrightarrow{\eta} Z \xrightarrow{\partial_0^* \eta'} P_0^* \xrightarrow{\partial_1^*} P_1^* \xrightarrow{\delta} M \longrightarrow 0)$$

*is an isomorphism and is independent of the choice of the projective resolution (2.1) of  $E^*$ .*

*Proof.* Consider the long exact sequence of cohomology associated to the short exact sequences

$$0 \longrightarrow E \xrightarrow{\partial_0^*} P_0^* \xrightarrow{\partial_1^*} \ker(\delta) \longrightarrow 0 \quad \text{and} \quad 0 \longrightarrow \ker(\delta) \hookrightarrow P_1^* \xrightarrow{\delta} M \longrightarrow 0.$$

Since  $\text{Ext}^i(M, A) = 0$  for  $i \leq 2$  and  $P_1^*$  is a projective module,  $\text{Ext}^1(\ker(\delta), A) = 0$  and the connecting homomorphisms

$$\text{Ext}^1(E, A) \longrightarrow \text{Ext}^2(\ker(\delta), A) \quad \text{and} \quad \text{Ext}^2(\ker(\delta), A) \longrightarrow \text{Ext}^3(M, A)$$

induced by the above short exact sequences are isomorphisms. Since  $\beta_E$ , up to sign, is the composition of these two connecting homomorphisms [4, Theorem 9.1, p. 97],  $\beta$  is an isomorphism.

Suppose that

$$0 \longrightarrow F_1 \xrightarrow{\partial'_1} F_0 \xrightarrow{\partial'_0} E^* \longrightarrow 0$$

is another projective resolution of  $E^*$ . Then by lifting the identity map on  $E^*$ , we get homomorphisms  $P_i \longrightarrow F_i$ ,  $i = 0, 1$ , such that Figure 6 is commutative.

$$\begin{array}{ccccccccc} 0 & \longrightarrow & P_1 & \xrightarrow{\partial_1} & P_0 & \xrightarrow{\partial_0} & E^* & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow \text{id} & & \\ 0 & \longrightarrow & F_1 & \xrightarrow{\partial'_1} & F_0 & \xrightarrow{\partial'_0} & E^* & \longrightarrow & 0 \end{array}$$

FIGURE 6.

By dualizing this diagram we get a commutative diagram (Figure 7) where  $\delta'$  is defined by push-outs.

$$\begin{array}{ccccccccc} 0 & \longrightarrow & E & \xrightarrow{\partial_0^*} & F_0^* & \xrightarrow{\partial_1^*} & F_1^* & \xrightarrow{\delta'} & M & \longrightarrow & 0 \\ & & \downarrow \text{id} & & \downarrow & & \downarrow & & \downarrow \text{id} & & \\ 0 & \longrightarrow & E & \xrightarrow{\partial_0^*} & P_0^* & \xrightarrow{\partial_1^*} & P_1^* & \xrightarrow{\delta} & M & \longrightarrow & 0 \end{array}$$

FIGURE 7.

This implies that

$$(0 \longrightarrow E \xrightarrow{\partial_0^*} F_0^* \xrightarrow{\partial_1^*} F_1^* \xrightarrow{\delta'} M \longrightarrow 0) = (0 \longrightarrow E \xrightarrow{\partial_0^*} P_0^* \xrightarrow{\partial_1^*} P_1^* \xrightarrow{\delta} M \longrightarrow 0)$$

in  $\text{Ext}^2(M, E)$ . Thus the homomorphism  $\beta_E$  is independent of the choice of the projective resolution of  $E^*$ .  $\square$

LEMMA 2.2. (i) For any reflexive  $A$ -module  $E$  we have

$$\beta_{E^*} = -\beta_E^\vee \mathcal{C}.$$

(ii) Let  $E$  and  $E'$  be reflexive  $A$ -modules. Then, for any isomorphism  $f: E \longrightarrow E'$ , we have

$$\text{Ext}^1(f^*)^\vee \beta_{E'} = \beta_E \text{Ext}^1(f).$$

*Proof.* Let  $0 \longrightarrow P_1 \xrightarrow{\partial_1} P_0 \xrightarrow{\partial_0} E^* \longrightarrow 0$  and  $0 \longrightarrow F_1 \xrightarrow{\partial'_1} F_0 \xrightarrow{\partial'_0} E \longrightarrow 0$  be projective resolutions of  $E^*$  and  $E$  respectively. By dualizing these exact sequences, we get exact sequences

$$0 \longrightarrow E \xrightarrow{\partial_0^*} P_0^* \xrightarrow{\partial_1^*} P_1^* \xrightarrow{\delta} \text{Ext}^1(E^*, A) \longrightarrow 0$$

and

$$0 \longrightarrow E^* \xrightarrow{\partial_0'^*} F_0^* \xrightarrow{\partial_1'^*} F_1^* \xrightarrow{\delta_1} \text{Ext}^1(E, A) \longrightarrow 0.$$

Let  $\zeta = (0 \longrightarrow A \xrightarrow{\alpha} Z \xrightarrow{\beta} E^* \longrightarrow 0) \in \text{Ext}^1(E^*, A)$ . Since  $P_0$  and  $P_1$  are projective, there exist homomorphisms  $f: P_1 \longrightarrow A$  and  $g: P_0 \longrightarrow Z$  such that Figure 8 is commutative.

$$\begin{array}{ccccccc} 0 & \longrightarrow & P_1 & \xrightarrow{\partial_1} & P_0 & \xrightarrow{\partial_0} & E^* \longrightarrow 0 \\ & & \downarrow f & & \downarrow g & & \downarrow \text{id} \\ 0 & \longrightarrow & A & \xrightarrow{\alpha} & Z & \xrightarrow{\beta} & E^* \longrightarrow 0 \end{array}$$

FIGURE 8.

By the definition of  $\delta$  we have  $\delta(f) = \zeta$ . Since

$$0 \longrightarrow F_1 \xrightarrow{\partial_1'} F_0 \xrightarrow{\partial_0'^* \partial_0} P_0^* \xrightarrow{\partial_1^*} P_1^* \xrightarrow{\delta} \text{Ext}^1(E^*, A) \longrightarrow 0$$

is a projective resolution of  $\text{Ext}^1(E^*, A)$ , by dualizing it we get an exact sequence

$$0 \longrightarrow P_1 \xrightarrow{\partial_1} P_0 \xrightarrow{\partial_0'^* \partial_0} F_0^* \xrightarrow{\partial_1'^*} F_1^* \xrightarrow{\delta_1'} \text{Ext}^1(E^*, A)^\vee \longrightarrow 0.$$

Thus  $\mathcal{C}(\zeta) = -\xi$ , where

$$\xi = (0 \longrightarrow A \xrightarrow{\alpha} Z \xrightarrow{\partial_0'^* \beta} F_0^* \xrightarrow{\partial_1'^*} F_1^* \xrightarrow{\delta_1'} \text{Ext}^1(E^*, A)^\vee \longrightarrow 0).$$

From the definitions of  $\delta$ ,  $\delta'$  and  $\beta_E$ , it follows that Figure 9 is commutative.

$$\begin{array}{ccccccc} 0 & \longrightarrow & E^* & \xrightarrow{\partial_0'^*} & F_0^* & \xrightarrow{\partial_1'^*} & F_1^* \xrightarrow{\delta_1} \text{Ext}^1(E, A) \longrightarrow 0 \\ & & \downarrow \text{id} & & \downarrow \text{id} & & \downarrow \text{id} \\ 0 & \longrightarrow & E^* & \xrightarrow{\partial_0'^*} & F_0^* & \xrightarrow{\partial_1'^*} & F_1^* \xrightarrow{\delta_1'} \text{Ext}^1(E^*, A)^\vee \longrightarrow 0 \end{array}$$

FIGURE 9.

It follows from the definition of  $\beta_E^\vee$  that

$$\beta_E^\vee(\xi) = (0 \longrightarrow A \xrightarrow{\alpha} Z \xrightarrow{\partial_0'^* \beta} F_0^* \xrightarrow{\partial_1'^*} F_1^* \xrightarrow{\delta_1'} \text{Ext}^1(E, A) \longrightarrow 0).$$

On the other hand, we have

$$\beta_{E^*}(\zeta) = (0 \longrightarrow A \xrightarrow{\alpha} Z \xrightarrow{\partial_0'^* \beta} F_0^* \xrightarrow{\partial_1'^*} F_1^* \xrightarrow{\delta_1'} \text{Ext}^1(E, A) \longrightarrow 0) = \beta_E^\vee(\xi).$$



Thus  $-\beta_E^\vee \mathcal{C} = \beta_{E^*}$ .

Let  $f: E \rightarrow E'$  be an isomorphism. Then  $0 \rightarrow P_1 \xrightarrow{c_1} P_0 \xrightarrow{f^{*-1}c_0} E'^* \rightarrow 0$  is a projective resolution of  $E'^*$ . By dualizing it we get an exact sequence

$$0 \rightarrow E' \xrightarrow{\partial_0^* f^{-1}} P_0^* \xrightarrow{\partial_1^*} P_1^* \xrightarrow{\delta_2} \text{Ext}^1(E'^*, A) \rightarrow 0$$

with  $\delta_2 = \text{Ext}^1(f^*) \delta$ . Let  $\zeta = (0 \rightarrow A \xrightarrow{\alpha} Z \xrightarrow{\beta} E' \rightarrow 0) \in \text{Ext}^1(E', A)$ . Then

$$\beta_E(\zeta) = (0 \rightarrow A \xrightarrow{\alpha} Z \xrightarrow{\partial_0^* f^{-1} \beta} P_0^* \xrightarrow{\partial_1^*} P_1^* \xrightarrow{\delta_2} \text{Ext}^1(E'^*, A) \rightarrow 0)$$

and

$\text{Ext}^1(f^*)^\vee \beta_E(\zeta) = (0 \rightarrow A \xrightarrow{\alpha} Z \xrightarrow{\partial_0^* f^{-1} \beta} P_0^* \xrightarrow{\partial_1^*} P_1^* \xrightarrow{\delta} \text{Ext}^1(E^*, A) \rightarrow 0)$   
 since  $\delta = \text{Ext}^1(f^*)^{-1} \delta_2$ . On the other hand, we have

$$\text{Ext}^1(f)(\zeta) = (0 \rightarrow A \xrightarrow{\alpha} Z \xrightarrow{f^{-1} \beta} E \rightarrow 0)$$

and

$$\begin{aligned} \beta_E \text{Ext}^1(f)(\zeta) &= (0 \rightarrow A \xrightarrow{\alpha} Z \xrightarrow{\partial_0^* f^{-1} \beta} P_0^* \xrightarrow{\partial_1^*} P_1^* \xrightarrow{\delta} \text{Ext}^1(E^*, A) \rightarrow 0) \\ &= \text{Ext}^1(f^*)^\vee \beta_E(\zeta). \end{aligned}$$

This proves the lemma. □

Let  $A$  be any local ring in which 2 is invertible and let  $m$  be its maximal ideal. Let  $E$  be a reflexive  $A$ -module. By an  $\epsilon$ -symmetric space on  $E$  we mean an isomorphism  $q: E \rightarrow E^*$  such that  $q^* \mathcal{C} = \epsilon q$ , where  $\mathcal{C}: E \rightarrow E^{**}$  is the canonical isomorphism.

Let  $V$  be an  $A$ -module. By a unimodular element of  $V$  we mean an element  $x \in V$  such that  $f(x) = 1$  for some  $A$ -linear map  $f: V \rightarrow A$ . For example, an element  $(a_1, \dots, a_n) \in A^n$  is unimodular if and only if  $a_i \notin m$  for some  $i$ . Thus, if an  $A$ -module  $V$  has no unimodular elements and  $\eta: V \rightarrow A^n$  is an  $A$ -linear map, then  $\eta(V) \subset mA^n$ .

LEMMA 2.3. *Let  $E$  be a reflexive  $A$ -module and  $q$  be an  $\epsilon$ -symmetric space on  $E$ . Suppose that  $E = E_0 \oplus A^n$  with  $E_0$  having no unimodular elements. Then there exist  $\epsilon$ -symmetric spaces  $q_1$  and  $q_2$  over  $E_0$  and  $A^n$  respectively such that*

$$(E, q) \simeq (E_0, q_1) \perp (A^n, q_2).$$

*Proof.* Let  $E = E_0 \oplus A^n$  be such that  $E_0$  has no unimodular elements. Then

$$q = \begin{pmatrix} q_1 & \eta \\ \epsilon \eta^* & q'_1 \end{pmatrix}$$

for some  $q_1: E_0 \rightarrow E_0^*$ ,  $q'_1: A^n \rightarrow A^{n*}$  and  $\eta: A^n \rightarrow E_0^*$ . Since  $E_0$  has no unimodular elements,  $\eta^*(E_0) \subset mA^{n*}$  and hence  $\eta(A^n) \subset mE_0^*$ . This implies that

$$q \equiv \begin{pmatrix} q_1 & 0 \\ 0 & q'_1 \end{pmatrix} \pmod{mE^*}.$$

Since  $q$  is an isomorphism,  $q_1$  and  $q'_1$  are isomorphisms. We have

$$\begin{pmatrix} 1 & 0 \\ -\epsilon\eta^*q_1^{-1} & 1 \end{pmatrix} \begin{pmatrix} q_1 & \eta \\ \epsilon\eta^* & q'_1 \end{pmatrix} \begin{pmatrix} 1 & -q_1^{-1}\eta \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} q_1 & 0 \\ 0 & -\eta^*q_1^{-1}\eta + q'_1 \end{pmatrix}.$$

Let  $q_2 = -\eta^*q_1^{-1}\eta + q'_1: A^n \longrightarrow A^{n*}$ . Since  $q_1^* = \epsilon q_1$ ,  $(E, q) \simeq (E_0, q_1) \perp (A^n, q_2)$ .  $\square$

3. Spaces over the punctured spectrum and on finite-length modules

We begin by recalling from a paper of Horrocks [2] an equivalence between the categories  $\Phi\mathcal{P}$  of  $\Phi$ -equivalence classes of vector bundles on the punctured spectrum of a regular local ring  $A$  of dimension 3 and the category  $\mathcal{M}$  of finite-length  $A$ -modules. Let  $m$  be the maximal ideal of  $A$  and  $Y = \text{Spec}(A) \setminus \{m\}$ . Let  $\mathcal{E}$  be a vector bundle over  $Y$  and  $E = \Gamma(\mathcal{E})$  be the module of sections of  $\mathcal{E}$ . Then  $E$  is a reflexive  $A$ -module [2, Theorem 4.1] and  $M = \text{Ext}^1(E^*, A)$ , which is isomorphic to  $H^1(Y, \mathcal{E})$  [2, §5], is a finite-length  $A$ -module [2, Corollary 7.2.5]. The functor  $T: \Phi\mathcal{P} \longrightarrow \mathcal{M}$  given by  $T(\mathcal{E}) = \text{Ext}^1(E^*, A)$  is an equivalence of categories [2, Corollary 7.2.5]. Let  $M$  be a finite-length  $A$ -module. The construction below gives a vector bundle  $\mathcal{E}$  on  $Y$  such that  $T(\mathcal{E}) = M$ . Let, in fact,

$$0 \longrightarrow P_3 \xrightarrow{\partial_3} P_2 \xrightarrow{\partial_2} P_1 \xrightarrow{\partial_1} P_0 \xrightarrow{\eta} M \longrightarrow 0$$

be a projective resolution of  $M$ . Let  $E = \ker(\partial_1)$ . Then  $E$  is an  $A$ -module of projective dimension at most 1 and  $\text{Ext}^1(E^*, A) = M$ . Since  $M$  is a finite-length module, for any prime ideal  $p$  of  $A$ ,  $p \neq m$ ,  $M_p = 0$  and hence  $E_p$  is free. Thus  $E = \Gamma(\mathcal{E})$  for some vector bundle  $\mathcal{E}$  on  $Y$  [2, Theorem 4.1].

Let  $A$  be a regular local ring of dimension 3 in which 2 is invertible. Let  $\mathcal{E}$  be a vector bundle over  $Y$  and  $\mathbf{q}$  be an  $\epsilon$ -symmetric space on  $\mathcal{E}$ . We associate to  $(\mathcal{E}, \mathbf{q})$  a  $(-\epsilon)$ -symmetric space  $\rho(\mathbf{q})$  of finite length. The  $\epsilon$ -symmetric space  $\mathbf{q}$  on  $\mathcal{E}$  gives rise to an  $\epsilon$ -symmetric space  $(E, q)$ , where  $E = \Gamma(\mathcal{E})$ . Then  $M = \text{Ext}^1(E^*, A)$  is a finite-length  $A$ -module. The isomorphism  $q: E \longrightarrow E^*$  induces an isomorphism  $\text{Ext}^1(q): M = \text{Ext}^1(E^*, A) \longrightarrow \text{Ext}^1(E, A)$ . Let  $\rho(\mathbf{q}) = \beta_E \text{Ext}^1(q)$ . We have the following lemma.

LEMMA 3.1.  $\rho(\mathbf{q}): M \longrightarrow M^\vee$  is a  $(-\epsilon)$ -symmetric space.

*Proof.* In Figure 10, clearly, all the squares except perhaps the top left one commute.

$$\begin{array}{ccccc} M = \text{Ext}^1(E^*, A) & \xrightarrow{\text{Ext}^1(q)} & \text{Ext}^1(E, A) & \xrightarrow{\beta_E} & \text{Ext}^1(E^*, A)^\vee = M^\vee \\ \downarrow \beta_{E^*} & & \downarrow \beta_E & & \downarrow \text{id} \\ \text{Ext}^1(E, A)^\vee & \xrightarrow{\epsilon \text{Ext}^1(q)^\vee} & \text{Ext}^1(E^*, A)^\vee & \xrightarrow{\text{id}} & \text{Ext}^1(E^*, A)^\vee \\ \downarrow \beta_E^{\vee-1} & & \downarrow \epsilon \text{Ext}^1(q)^{\vee-1} & & \downarrow \text{id} \\ \text{Ext}^1(E^*, A)^{\vee\vee} & \xrightarrow{\beta_E^\vee} & \text{Ext}^1(E, A)^\vee & \xrightarrow{\epsilon \text{Ext}^1(q)^\vee} & \text{Ext}^1(E^*, A)^\vee \end{array}$$

FIGURE 10.

Since  $q^* = \epsilon q$ , by Lemma 2.2 this square also commutes. By Lemma 2.2, the composition of maps on the first column is equal to  $-\mathcal{C}$ . Thus

$$\rho(q)^\vee \mathcal{C} = \text{Ext}^1(q)^\vee \beta_E^\vee \mathcal{C} = -\epsilon \beta_E \text{Ext}^1(q) = -\epsilon \rho(q). \quad \square$$

LEMMA 3.2. *Let  $M$  be a finite-length  $A$ -module and  $\psi$  be an  $\epsilon$ -symmetric form on  $M$ . Suppose that there exists an exact sequence*

$$N \xrightarrow{f} M \xrightarrow{f^\vee \psi} N^\vee$$

*of finite-length  $A$ -modules. Then  $(M, \psi)$  is metabolic.*

*Proof.* Since the map  $f$  factors as  $N \longrightarrow N/\ker(f) \xrightarrow{\bar{f}} M$ , we have an exact sequence

$$0 \longrightarrow N/\ker(f) \xrightarrow{\bar{f}} M \xrightarrow{\bar{f}^\vee \psi} (N/\ker(f))^\vee.$$

Since, the dimension of  $A$  being 3,  $\text{Ext}^4(L, A) = 0$ , the map  $\bar{f}^\vee \psi$  is surjective and hence  $(M, \psi)$  is metabolic.  $\square$

LEMMA 3.3. *If  $(\mathcal{E}, \mathbf{q})$  is metabolic, then  $(M, \rho(\mathbf{q}))$  is metabolic.*

*Proof.* Suppose that  $(\mathcal{E}, \mathbf{q})$  is metabolic. Let  $\mathcal{F}$  be a subbundle of  $\mathcal{E}$  such that the sequence

$$0 \longrightarrow \mathcal{F} \xrightarrow{i} \mathcal{E} \xrightarrow{i^* \mathbf{q}} \mathcal{F}^* \longrightarrow 0$$

is exact, where  $\mathcal{F} \xrightarrow{i} \mathcal{E}$  is the inclusion. By taking global sections and then applying the Ext functor to the following exact sequence of bundles

$$0 \longrightarrow \mathcal{F} \xrightarrow{\mathbf{q}^i} \mathcal{E}^* \xrightarrow{i^*} \mathcal{F}^* \longrightarrow 0$$

we get an exact sequence

$$\text{Ext}^1(F^*, A) \longrightarrow \text{Ext}^1(E^*, A) \longrightarrow \text{Ext}^1(F, A)$$

of finite-length modules, where  $F = \Gamma(\mathcal{F})$ . Let  $N = \text{Ext}^1(F^*, A)$ . Then the canonical identification of  $\text{Ext}^1(F, A)$  with  $N^\vee$  gives an exact sequence

$$N \xrightarrow{f} M \xrightarrow{f^\vee \rho(\mathbf{q})} N^\vee.$$

Now the lemma follows from Lemma 3.2.  $\square$

LEMMA 3.4. *The assignment  $(\mathcal{E}, \mathbf{q}) \mapsto (M, \rho(\mathbf{q}))$  induces a homomorphism*

$$\rho: W^\epsilon(Y) \longrightarrow W_{\text{II}}^{-\epsilon}(A).$$

*Proof.* Since  $\rho$  is clearly additive, it is enough to show that  $\rho$  takes stably metabolic spaces to metabolic spaces. Let  $(\mathcal{E}, \mathbf{q})$  be an  $\epsilon$ -symmetric space over  $Y$  which is stably metabolic. Then there exists a metabolic space  $(\mathcal{E}_1, \mathbf{q}_1)$  such that  $(\mathcal{E}, \mathbf{q}) \perp (\mathcal{E}_1, \mathbf{q}_1)$  is metabolic. By Lemma 3.3,  $\rho(\mathbf{q}_1)$  and  $\rho(\mathbf{q} \perp \mathbf{q}_1) = \rho(\mathbf{q}) \perp \rho(\mathbf{q}_1)$  are metabolic. Thus  $\rho(\mathbf{q})$  is stably metabolic.  $\square$

We note that if  $\mathcal{E}$  is a trivial bundle then  $M = 0$ . Thus, if  $(\mathcal{E}, \mathbf{q})$  comes from an  $\epsilon$ -symmetric space on  $A$ , then  $\rho(\mathbf{q}) = 0$ .

The proof of the following lemma is by straightforward verification; hence we omit it.

LEMMA 3.5. *Let  $R$  be a ring. Let  $0 \longrightarrow N \xrightarrow{i} M \xrightarrow{j} N' \longrightarrow 0$  be an exact sequence of  $R$ -modules. Assume that the projective dimensions of  $N$  and  $N'$  are finite. Let*

$$0 \longrightarrow P_n \xrightarrow{\partial_n} P_{n-1} \xrightarrow{\partial_{n-1}} \cdots \longrightarrow P_1 \xrightarrow{\partial_1} P_0 \xrightarrow{\alpha} N \longrightarrow 0$$

and

$$0 \longrightarrow Q_n \xrightarrow{\partial'_n} Q_{n-1} \xrightarrow{\partial'_{n-1}} \cdots \longrightarrow Q_1 \xrightarrow{\partial'_1} Q_0 \xrightarrow{\beta} N' \longrightarrow 0$$

be projective resolutions of  $N$  and  $N'$  respectively. Let, for  $l \geq 1$ ,  $\phi_l: Q_l \longrightarrow P_{l-1}$  and  $\theta: Q_0 \longrightarrow M$  be  $R$ -linear homomorphisms. Let

$$\delta_l = \begin{pmatrix} \partial_l & (-1)^l \phi_l \\ 0 & \partial'_l \end{pmatrix}.$$

Then Figure 11 is commutative if and only if Figure 12 is commutative.

$$\begin{array}{cccccccccccccccc}
 0 & \longrightarrow & P_n & \xrightarrow{\partial_n} & P_{n-1} & \cdots & \longrightarrow & P_1 & \xrightarrow{\partial_1} & P_0 & \xrightarrow{\alpha} & N & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & & \downarrow & & \downarrow & & \downarrow i & & \\
 0 & \longrightarrow & P_n \oplus Q_n & \xrightarrow{\delta_n} & P_{n-1} \oplus Q_{n-1} & \cdots & \longrightarrow & P_1 \oplus Q_1 & \xrightarrow{\delta_1} & P_0 \oplus Q_0 & \xrightarrow{(i\alpha, \theta)} & M & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & & \downarrow & & \downarrow & & \downarrow j & & \\
 0 & \longrightarrow & Q_n & \xrightarrow{\partial'_n} & Q_{n-1} & \cdots & \longrightarrow & Q_1 & \xrightarrow{\partial'_1} & Q_0 & \xrightarrow{\beta} & N' & \longrightarrow & 0
 \end{array}$$

FIGURE 11.

$$\begin{array}{cccccccccccccccc}
 & & 0 & \longrightarrow & Q_n & \xrightarrow{\partial'_n} & Q_{n-1} & \xrightarrow{\partial'_{n-1}} & \cdots & \longrightarrow & Q_2 & \xrightarrow{\partial'_2} & Q_1 & \xrightarrow{\partial'_1} & Q_0 & \xrightarrow{\beta} & N' & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow \phi_n & & \downarrow \phi_{n-1} & & & & \downarrow \phi_2 & & \downarrow \phi_1 & & \downarrow \theta & & \downarrow \text{id} & & \\
 0 & \longrightarrow & P_n & \xrightarrow{\partial_n} & P_{n-1} & \xrightarrow{\partial_{n-1}} & P_{n-2} & \xrightarrow{\partial_{n-2}} & \cdots & \longrightarrow & P_1 & \xrightarrow{\partial_1} & P_0 & \xrightarrow{i\alpha} & M & \xrightarrow{j} & N' & \longrightarrow & 0
 \end{array}$$

FIGURE 12.

PROPOSITION 3.6. *Let  $(\mathcal{E}, \mathbf{q})$  be an  $\epsilon$ -symmetric space over  $Y$ . Suppose that  $E = \Gamma(\mathcal{E})$  has no unimodular elements and that  $\rho(\mathbf{q})$  is metabolic. Then there exist  $\epsilon$ -symmetric spaces  $\mathbf{q}_1$  and  $\mathbf{q}_2$  on  $\mathcal{E}$  and  $\mathcal{O}_Y^n$  respectively such that  $\mathbf{q}_1 \perp \mathbf{q}_2$  is metabolic and  $\rho(\mathbf{q}) \simeq \rho(\mathbf{q}_1)$ .*

*Proof.* Let  $M = \text{Ext}^1(E^*, A)$  and  $\rho(\mathbf{q})$  be the  $\epsilon$ -symmetric space on  $M$ . Since  $\rho(\mathbf{q})$  is metabolic, there exists an exact sequence

$$0 \longrightarrow N \xrightarrow{i} M \xrightarrow{i^\vee \rho(q)} N^\vee \longrightarrow 0.$$

Let

$$0 \longrightarrow Q_3 \xrightarrow{\partial_3} Q_2 \xrightarrow{\partial_2} Q_1 \xrightarrow{\partial_1} Q_0 \xrightarrow{\eta} N \longrightarrow 0$$

be a projective resolution of  $N$ . By dualizing this resolution, we get a projective resolution

$$0 \longrightarrow Q_0^* \xrightarrow{\partial_1^*} Q_1^* \xrightarrow{\partial_2^*} Q_2^* \xrightarrow{\partial_3^*} Q_3^* \xrightarrow{\eta'} N^\vee \longrightarrow 0$$

of  $N^\vee$ . By lifting the identity map of  $N^\vee$ , we obtain a commutative diagram (Figure 13).

$$\begin{array}{ccccccccccccccc} 0 & \longrightarrow & Q_0^* & \xrightarrow{\partial_1^*} & Q_1^* & \xrightarrow{\partial_2^*} & Q_2^* & \xrightarrow{\partial_3^*} & Q_3^* & \xrightarrow{\eta'} & N^\vee & \longrightarrow & 0 \\ \downarrow & & \downarrow \phi_3 & & \downarrow \phi_2 & & \downarrow \phi_1 & & \downarrow \theta & & \downarrow \text{id} & & \\ Q_3 & \xrightarrow{\partial_3} & Q_2 & \xrightarrow{\partial_2} & Q_1 & \xrightarrow{\partial_1} & Q_0 & \xrightarrow{i\eta} & M & \xrightarrow{i^\vee \rho(q)} & N^\vee & \longrightarrow & 0 \end{array}$$

FIGURE 13.

Let

$$\delta_1 = \begin{pmatrix} \partial_1 & -\phi_1 \\ 0 & \partial_3^* \end{pmatrix}, \quad \delta_2 = \begin{pmatrix} \partial_2 & \phi_2 \\ 0 & \partial_2^* \end{pmatrix}, \quad \delta_3 = \begin{pmatrix} \partial_3 & -\phi_3 \\ 0 & \partial_1^* \end{pmatrix}.$$

By Lemma 3.5, Figure 14 is commutative.

$$\begin{array}{ccccccccccccccc} & & 0 & & 0 & & 0 & & 0 & & 0 & & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & Q_3 & \xrightarrow{\partial_3} & Q_2 & \xrightarrow{\partial_2} & Q_1 & \xrightarrow{\partial_1} & Q_0 & \xrightarrow{\eta} & N & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow i & & \\ 0 & \longrightarrow & Q_3 \oplus Q_0^* & \xrightarrow{\delta_3} & Q_2 \oplus Q_1^* & \xrightarrow{\delta_2} & Q_1 \oplus Q_2^* & \xrightarrow{\delta_1} & Q_0 \oplus Q_3^* & \xrightarrow{(i\eta, \theta)} & M & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow i^\vee \rho(q) & & \\ 0 & \longrightarrow & Q_0^* & \xrightarrow{\partial_1^*} & Q_1^* & \xrightarrow{\partial_2^*} & Q_2^* & \xrightarrow{\partial_3^*} & Q_3^* & \xrightarrow{\eta'} & N^\vee & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & 0 & & 0 & & 0 & & \end{array}$$

FIGURE 14.

Since the first row, the last rows and all the columns in Figure 14 are exact and the diagram is commutative, from the long exact homology sequence [4, Theorem 4.1, p. 45] we get that the middle row is also exact. By dualizing Figure 14, we get the commutative diagram in Figure 15, with exact rows and columns.

$$\begin{array}{ccccccccc}
 & 0 & & 0 & & 0 & & 0 & & 0 \\
 & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & Q_3 & \xrightarrow{\partial_3} & Q_2 & \xrightarrow{\partial_2} & Q_1 & \xrightarrow{\partial_1} & Q_0 & \xrightarrow{\eta''} & N^{\vee\vee} & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \rho(q)^\vee i^{\vee\vee} & & \\
 0 & \longrightarrow & Q_3 \oplus Q_0^* & \xrightarrow{\delta_1^*} & Q_2 \oplus Q_1^* & \xrightarrow{\delta_2^*} & Q_1 \oplus Q_2^* & \xrightarrow{\delta_3^*} & Q_0 \oplus Q_3^* & \xrightarrow{(\mu, \nu)} & M^\vee & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow i^\vee & & \\
 0 & \longrightarrow & Q_0^* & \xrightarrow{\partial_1^*} & Q_1^* & \xrightarrow{\partial_2^*} & Q_2^* & \xrightarrow{\partial_3^*} & Q_3^* & \xrightarrow{\eta'} & N^\vee & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & & 0 & & 0 & & 0 & & 
 \end{array}$$

FIGURE 15.

$$\begin{array}{ccccccccccc}
 0 & \longrightarrow & Q_0^* & \xrightarrow{\partial_1^*} & Q_1^* & \xrightarrow{\partial_2^*} & Q_2^* & \xrightarrow{\partial_3^*} & Q_3^* & \xrightarrow{\eta'} & N^\vee & \longrightarrow & 0 \\
 \downarrow & & \downarrow \phi_1^* & & \downarrow \phi_2^* & & \downarrow \phi_3^* & & \downarrow \nu & & \downarrow \text{id} & & \\
 Q_3 & \xrightarrow{\partial_3} & Q_2 & \xrightarrow{\partial_2} & Q_1 & \xrightarrow{\partial_1} & Q_0 & \xrightarrow{\rho(q)^\vee i^{\vee\vee} \eta''} & M^\vee & \xrightarrow{i^\vee} & N^\vee & \longrightarrow & 0
 \end{array}$$

FIGURE 16.

By Lemma 3.5, Figure 16 is commutative. From the definition of  $\eta''$  and  $\mathcal{C}: N \rightarrow N^{\vee\vee}$  (cf. Figure 2) it follows that  $\mathcal{C}\eta = -\eta''$ . Since  $\rho(q)^\vee \mathcal{C} = -\epsilon\rho(q)$ , we have the commutative diagram in Figure 17, where  $\nu' = \epsilon\rho(q)^{-1}\nu$ .

$$\begin{array}{ccccccccccc}
 0 & \longrightarrow & Q_0^* & \xrightarrow{\partial_1^*} & Q_1^* & \xrightarrow{\partial_2^*} & Q_2^* & \xrightarrow{\partial_3^*} & Q_3^* & \xrightarrow{\eta'} & N^\vee & \longrightarrow & 0 \\
 \downarrow & & \downarrow \phi_1^* & & \downarrow \phi_2^* & & \downarrow \phi_3^* & & \downarrow \nu' & & \downarrow \epsilon \text{id} & & \\
 Q_3 & \xrightarrow{\partial_3} & Q_2 & \xrightarrow{\partial_2} & Q_1 & \xrightarrow{\partial_1} & Q_0 & \xrightarrow{i\eta} & M & \xrightarrow{i^\vee \rho(q)} & N^\vee & \longrightarrow & 0
 \end{array}$$

FIGURE 17.

From Figure 13 and Figure 17 we get maps  $s_1: Q_2^* \longrightarrow Q_1$  and  $s_2: Q_1^* \longrightarrow Q_2$  such that  $\phi_2 - \epsilon\phi_2^* = \partial_2 s_2 - s_1 \partial_2^*$ . Let  $\phi = \phi_2 - \epsilon\partial_2 s_1^*$ . Then we have

$$\begin{aligned} \partial_1 \phi &= \partial_1 \phi_2 \\ &= \partial_1(\phi_2 - \epsilon\phi_2^*) + \epsilon\partial_1 \phi_2^* \\ &= \partial_1(\partial_2 s_2 - s_1 \partial_2^*) + \epsilon\partial_1 \phi_2^* \\ &= \epsilon\partial_1(\phi_2^* - \epsilon s_1 \partial_2^*) \\ &= \epsilon\partial_1 \phi^*. \end{aligned}$$

Let

$$\delta = \begin{pmatrix} \partial_2 & \frac{\phi + \epsilon\phi^*}{2} \\ 0 & \epsilon\partial_2^* \end{pmatrix}.$$

It is easy to see that Figure 18 commutes.

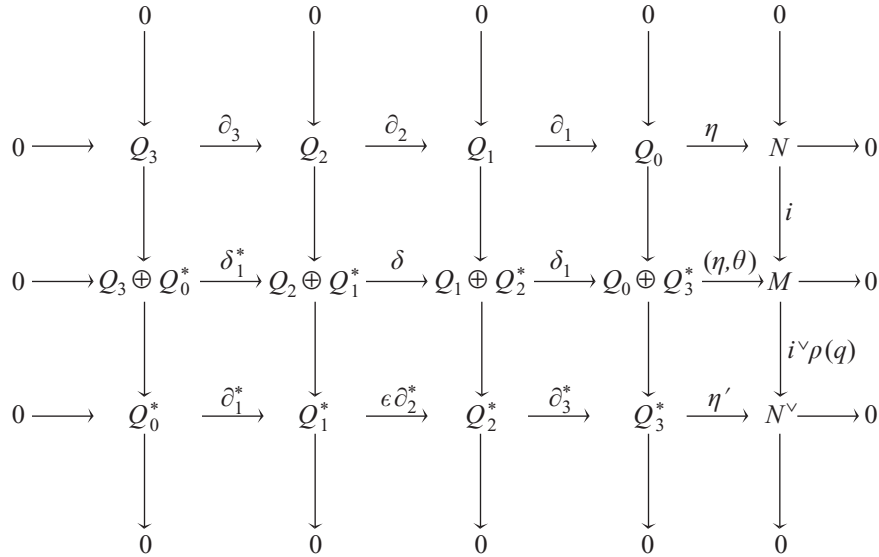


FIGURE 18.

Since the first row, the last row and all the columns are exact, the middle row is also exact. Let  $E' = \ker(\partial_1)$ . Since  $\delta^* \mathcal{C} = \epsilon\delta$ , from the middle row of Figure 18 it is easy to see that  $\delta$  induces an  $\epsilon$ -symmetric isomorphism  $q': E' \longrightarrow E'^*$ . Let  $(\mathcal{E}', \mathbf{q}')$  be the  $\epsilon$ -symmetric space over  $Y$  with  $\Gamma(\mathcal{E}') = E'$  and  $\Gamma(\mathbf{q}') = q'$ . Since  $\text{Ext}^1(E', A) \simeq M = \text{Ext}^1(E^*, A)$  and  $E$  has no unimodular elements, by [2, Corollary 7.2.5, Lemma 7.1] we have  $E' = E \oplus A^n$ . Then by Lemma 2.3,  $(E', q') \simeq (E, q_1) \perp (A^n, q_2)$  for some  $\epsilon$ -symmetric spaces  $q_1$  and  $q_2$  on  $E$  and  $A^n$  respectively. Let  $\mathbf{q}_1$  be the  $\epsilon$ -symmetric space on  $\mathcal{E}$  such that  $\Gamma(\mathbf{q}_1) = q_1$ . Let  $F = \ker(\partial_1)$  and  $\mathcal{F}$  be the vector bundle over  $Y$  with  $\Gamma(\mathcal{F}) = F$ . Then using Figure 18, it is easy to see that  $\mathcal{F}$  is a Lagrangian for  $(\mathcal{E}', \mathbf{q}') \simeq (\mathcal{E}, \mathbf{q}_1) \perp (\mathcal{O}_Y^n, \mathbf{q}_2)$ , where  $\Gamma(\mathbf{q}_2) = q_2$ . Since the map  $E' \longrightarrow F^*$  induced by Figure 18 induces  $i^v \rho(\mathbf{q}): M \longrightarrow N^v$ , we have  $i^v \rho(\mathbf{q}) = i^v \rho(\mathbf{q}_1)$ . Thus, by Lemma 3.7 below, we have  $\rho(\mathbf{q}) \simeq \rho(\mathbf{q}_1)$ .  $\square$

LEMMA 3.7. *Let  $\psi_1$  and  $\psi_2$  be two  $\epsilon$ -symmetric spaces on  $M$ . Suppose there exists a submodule  $N$  such that*

$$0 \longrightarrow N \xrightarrow{i} M \xrightarrow{i^\vee \psi_1} N^\vee \longrightarrow 0$$

*is exact and  $i^\vee \psi_1 = i^\vee \psi_2$ . Then  $\psi_1 \simeq \psi_2$ .*

*Proof.* Since  $i^\vee \psi_1 = i^\vee \psi_2$ , there exists a map  $\theta: M \longrightarrow N$  such that  $\psi_1^{-1} \psi_2 - 1 = i\theta$ , that is,  $\psi_1 i\theta = \psi_2 - \psi_1 = \theta^\vee i^\vee \psi_1$ . We have

$$\begin{aligned} \frac{(1 + \theta^\vee i^\vee)}{2} \psi_1 \frac{(1 + i\theta)}{2} &= \frac{(\psi_1 + \theta^\vee i^\vee \psi_1)(1 + i\theta)}{2 \cdot 2} \\ &= \psi_1 + \psi_1 \frac{i\theta}{2} + \frac{\theta^\vee i^\vee}{2} \psi_1 + \frac{\theta^\vee i^\vee}{2} \psi_1 \frac{i\theta}{2} \\ &= \psi_1 + \frac{\psi_2 - \psi_1}{2} + \frac{\psi_2 - \psi_1}{2} \\ &= \psi_2. \end{aligned} \quad \square$$

4. *The Witt groups of the punctured spectrum and purity*

Let  $A$  be a regular local ring of dimension 3 with 2 invertible. Let  $Y = \text{Spec}(A) \setminus \{m\}$ , where  $m$  is the maximal ideal of  $A$ .

PROPOSITION 4.1. *Let  $\mathcal{E}$  be a vector bundle on  $Y$  and  $\mathbf{q}: \mathcal{E} \longrightarrow \mathcal{E}^*$  be an  $\epsilon$ -symmetric isomorphism. Suppose that  $\Gamma(\mathcal{E})$  has no unimodular elements. If  $\rho(\mathbf{q})$  is isomorphic to a hyperbolic space, then  $\mathbf{q}$  is in the image of  $W^\epsilon(A)$ .*

*Proof.* Let  $N$  be a finite-length  $A$ -module such that  $(M, \rho(\mathbf{q}))$  is isomorphic to the hyperbolic space  $\mathbb{H}(N)$ . Let  $\mathcal{F}$  be the vector bundle on  $Y$  with  $\Gamma(\mathcal{F})$  having no unimodular elements and such that  $H^1(Y, \mathcal{F}) \simeq N$  (cf. §3). Since

$$H^1(Y, \mathcal{E}) \simeq N \oplus N^\vee \simeq H^1(Y, \mathcal{F} \oplus \mathcal{F}^*)$$

with  $\Gamma(\mathcal{E})$  and  $\Gamma(\mathcal{F} \oplus \mathcal{F}^*)$  admitting no unimodular elements, by [2, Lemma 7.1, Corollary 7.2.5] we can and do identify  $\mathcal{E}$  with  $\mathcal{F} \oplus \mathcal{F}^*$ . Let  $\tilde{\psi}$  be an isometry of  $\rho(\mathbf{q})$  with

$$\rho \left( \begin{pmatrix} 0 & 1 \\ \epsilon & 0 \end{pmatrix} \right).$$

Then by [2, Corollary 7.2.5] there exists an automorphism  $\psi$  of  $\mathcal{E}$  such that  $H^1(\psi) = \tilde{\psi}$ . By the definition of  $\rho$  we have

$$\begin{aligned} \rho(\psi^* \mathbf{q} \psi) &= \beta_E \text{Ext}^1(\Gamma(\psi^*) q \Gamma(\psi)) \\ &= \beta_E \text{Ext}^1(\Gamma(\psi^*)) \text{Ext}^1(q) \text{Ext}^1(\Gamma(\psi)) \end{aligned}$$

where  $E = \Gamma(\mathcal{E})$  and  $q = \Gamma(\mathbf{q})$ . By Lemma 2.2(ii), we have  $\beta_E \text{Ext}^1(\Gamma(\psi^*)) = \text{Ext}^1(\Gamma(\psi))^\vee \beta_E$ , so that

$$\begin{aligned} \rho(\psi^* \mathbf{q} \psi) &= \text{Ext}^1(\Gamma(\psi))^\vee \beta_E \text{Ext}^1(q) \text{Ext}^1(\Gamma(\psi)) \\ &= \text{Ext}^1(\Gamma(\psi))^\vee \rho(\mathbf{q}) \text{Ext}^1(\Gamma(\psi)) \\ &= H^1(\psi)^\vee \rho(\mathbf{q}) H^1(\psi) \\ &= \tilde{\psi} \rho(\mathbf{q}) \tilde{\psi}. \end{aligned}$$

We replace  $\mathbf{q}$  by  $\psi^* \mathbf{q} \psi$  and assume that



$$\rho(\mathbf{q}) = \begin{pmatrix} 0 & 1 \\ \epsilon & 0 \end{pmatrix}.$$

Let

$$\mathbf{q} = \begin{pmatrix} \alpha & \delta \\ \epsilon\delta^* & \beta \end{pmatrix}$$

with  $\alpha: \mathcal{F} \rightarrow \mathcal{F}^*$ ,  $\beta: \mathcal{F}^* \rightarrow \mathcal{F}$ ,  $\delta: \mathcal{F}^* \rightarrow \mathcal{F}^*$  maps such that  $\alpha^* = \epsilon\alpha$ ,  $\beta^* = \epsilon\beta$ . Since

$$\rho(\mathbf{q}) = \begin{pmatrix} 0 & 1 \\ \epsilon & 0 \end{pmatrix},$$

$H^1(\alpha) = 0$  and  $H^1(\beta) = 0$ . Therefore, by [2, Corollary 7.2.5], there exist

$$f_1: \mathcal{F} \rightarrow \mathcal{O}_Y^n, \quad f_2: \mathcal{F}^* \rightarrow \mathcal{O}_Y^n, \quad g_1: \mathcal{O}_Y^n \rightarrow \mathcal{F}^* \quad \text{and} \quad g_2: \mathcal{O}_Y^n \rightarrow \mathcal{F}$$

such that  $\alpha = g_1 f_1$  and  $\beta = g_2 f_2$ . Let us consider the automorphism

$$\psi = \begin{pmatrix} 1 & 0 & -g_1/2 & f_1^* \\ 0 & 1 & -g_2/2 & f_2^* \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

of  $\mathcal{F} \oplus \mathcal{F}^* \oplus \mathcal{O}_Y^n \oplus \mathcal{O}_Y^{n*}$ . We have

$$\mathbf{q}' = \psi \begin{pmatrix} \alpha & \delta & 0 & 0 \\ \epsilon\delta^* & \beta & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & \epsilon & 0 \end{pmatrix} \quad \psi^* = \begin{pmatrix} 0 & X & \epsilon f_1^* & -g_1/2 \\ \epsilon X^* & 0 & \epsilon f_2^* & -g_2/2 \\ f_1 & f_2 & 0 & 1 \\ -\epsilon g_1^*/2 & -\epsilon g_2^*/2 & \epsilon & 0 \end{pmatrix}$$

where  $X = \delta - \epsilon f_1^* g_2^*/2 - g_1 f_2/2$ . Since  $\Gamma(\mathcal{F})$  and  $\Gamma(\mathcal{F}^*)$  admit no unimodular elements,  $f_1 \equiv f_2 \equiv 0 \pmod{m}$ . Hence  $X$  is an isomorphism. Since  $\mathbf{q}'$  restricted to  $\mathcal{F} \oplus \mathcal{F}^*$  is

$$\begin{pmatrix} 0 & X \\ \epsilon X^* & 0 \end{pmatrix}$$

with  $X$  an isomorphism,  $\mathbf{q}'$  splits as

$$\begin{pmatrix} 0 & X \\ \epsilon X^* & 0 \end{pmatrix} \perp \mathbf{q}''$$

for some  $\mathbf{q}''$  supported on a bundle  $\mathcal{E}''$ . Since  $\mathcal{E} \oplus \mathcal{O}_Y^n \oplus \mathcal{O}_Y^{n*} \simeq \mathcal{E} \oplus \mathcal{E}''$ , by [2, Corollary 7.2.5],  $\mathcal{E}''$  is a trivial bundle and hence  $\mathbf{q}''$  is in the image of  $W^\epsilon(A)$ . Since

$$\mathbf{q} \perp H(\mathcal{O}_Y^n) \simeq \mathbf{q}' \simeq \begin{pmatrix} 0 & X \\ \epsilon X^* & 0 \end{pmatrix} \perp \mathbf{q}''$$

it follows that  $\mathbf{q}$  is in the image of  $W^\epsilon(A)$ . □

LEMMA 4.2. *Let  $M$  be a finite-length  $A$ -module and  $\psi: M \rightarrow M^\vee$  be an  $\epsilon$ -symmetric isomorphism. Then there exists a vector bundle  $\mathcal{E}$  over  $Y$  with a  $(-\epsilon)$ -symmetric isomorphism  $\mathbf{q}: \mathcal{E} \rightarrow \mathcal{E}^*$  such that  $\rho(\mathbf{q}) = \psi$ .*

*Proof.* Let  $\mathcal{E}$  be a vector bundle on  $Y$  such that  $H^1(Y, \mathcal{E}) = M$  (cf. §3) and  $E = \Gamma(\mathcal{E})$  has no unimodular elements. Then (cf. §3) the projective dimension of  $E$  is less than or equal to 1 and  $\text{Ext}^1(E^*, A) \simeq M$ . Let

$$0 \longrightarrow P_1 \longrightarrow P_0 \longrightarrow E \longrightarrow 0$$

and

$$0 \longrightarrow Q_1 \longrightarrow Q_0 \longrightarrow E^* \longrightarrow 0$$

be projective resolutions of  $E$  and  $E^*$  respectively. By dualizing the projective resolution of  $E^*$  we get an exact sequence

$$0 \longrightarrow E \longrightarrow Q_0^* \longrightarrow Q_1^* \longrightarrow M \longrightarrow 0.$$

By taking the Yoneda composition of this exact sequence with the projective resolution of  $E$  we get a projective resolution

$$0 \longrightarrow P_1 \longrightarrow P_0 \longrightarrow Q_0^* \longrightarrow Q_1^* \longrightarrow M \longrightarrow 0$$

of  $M$ . By dualizing this we get a projective resolution

$$0 \longrightarrow Q_1 \longrightarrow Q_0 \longrightarrow P_0^* \longrightarrow P_1^* \longrightarrow M^\vee \longrightarrow 0$$

of  $M^\vee$ . By lifting the  $\epsilon$ -symmetric isomorphism  $\psi: M \longrightarrow M^\vee$ , we get a commutative diagram of exact sequences (Figure 19).

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & P_1 & \longrightarrow & P_0 & \longrightarrow & Q_0^* & \longrightarrow & Q_1^* & \longrightarrow & M & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
 & & & & 3 & & 2 & & 1 & & 0 & & \\
 & & & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & Q_1 & \longrightarrow & Q_0 & \longrightarrow & P_0^* & \longrightarrow & P_1^* & \longrightarrow & M^\vee & \longrightarrow & 0
 \end{array}$$

FIGURE 19.

Since  $E$  has no unimodular elements it is easy to see, as in the proof of Proposition 3.6, that Figure 19 induces a  $(-\epsilon)$ -symmetric space  $\mathbf{q}$  on  $\mathcal{E}$  such that  $\rho(\mathbf{q}) = \psi$ .  $\square$

**THEOREM 4.3.** *Let  $A$  be a regular local ring of dimension 3 and let  $m$  be its maximal ideal. Assume that 2 is invertible in  $A$ . Let  $Y = \text{Spec}(A) \setminus \{m\}$ . Then the complex*

$$0 \longrightarrow W^\epsilon(A) \xrightarrow{\iota} W^\epsilon(Y) \xrightarrow{\rho} W_{\text{ri}}^{-\epsilon}(A) \longrightarrow 0$$

*is exact, where  $\iota$  is induced by the restriction.*

*Proof.* If  $\epsilon = 1$ , then the injectivity of  $\iota$  follows from the injectivity of the canonical homomorphism  $W(A) \longrightarrow W(K)$  [6, Theorem 23], where  $K$  is the quotient field of  $A$ . If  $\epsilon = -1$ ,  $\iota$  is injective because  $W^{-1}(A) = 0$ .

We now prove the exactness in the middle. As we remarked in §3,  $\rho\iota = 0$ . Let  $(\mathcal{E}, \mathbf{q})$  be an  $\epsilon$ -symmetric space over  $Y$  such that  $\rho(\mathbf{q})$  is zero in  $W_{\text{ri}}^{-\epsilon}(A)$ . Then, by Lemma 1.4,  $\rho(\mathbf{q})$  is metabolic. We show that  $(\mathcal{E}, \mathbf{q})$  is in the image of  $\iota$ . In view of Lemma 2.3, we assume that  $\Gamma(\mathcal{E})$  has no unimodular elements. Then, by Proposition 3.6, there exist  $\epsilon$ -symmetric spaces  $\mathbf{q}_1$  and  $\mathbf{q}_2$  supported respectively on  $\mathcal{E}$  and  $\mathcal{O}_Y^n$  for some integer  $n$ , such that  $\mathbf{q}_1 \perp \mathbf{q}_2$  is metabolic and  $\rho(\mathbf{q}) \simeq \rho(\mathbf{q}_1)$ . Thus  $\rho(\mathbf{q} \perp -\mathbf{q}_1)$  is isomorphic to a hyperbolic space. Since  $\Gamma(\mathcal{E} \oplus \mathcal{E})$  has no unimodular elements, it

follows from Proposition 4.1 that  $\mathbf{q} \perp -\mathbf{q}_1$  is in the image of  $\iota$ . Since  $\mathbf{q}_2$  is in the image of  $\iota$  and  $\mathbf{q}_1 \perp \mathbf{q}_2$  is metabolic,  $\mathbf{q}_1$  and hence  $\mathbf{q}$  is in the image of  $\iota$ .

The surjectivity of  $\rho$  follows from Lemma 4.2. □

Let  $A$  be any regular ring. Let  $\text{Spec}^1(A)$  denote the set of prime ideals of  $A$  of height 1. Then for any  $P \in \text{Spec}^1(A)$ , the local ring  $A_P$  is a discrete valuation ring. Let  $\hat{\partial}_P: W(K) \rightarrow W(A_P/PA_P)$  denote the second residue homomorphism with respect to some choice of a parameter of  $PA_P$ , where  $K$  is the quotient field of  $A$ .

**COROLLARY 4.4.** *Let  $A$  be a regular local ring of dimension 3,  $m$  be its maximal ideal and  $K$  be its quotient field. Assume that 2 is invertible in  $A$ . The sequence*

$$0 \longrightarrow W(A) \longrightarrow W(K) \xrightarrow{\bigoplus \hat{\partial}_P} \bigoplus_{P \in \text{Spec}^1(A)} W(A_P/PA_P)$$

is exact.

*Proof.* The injectivity of  $W(A) \rightarrow W(K)$  is proved in [6, Theorem 23]. Since  $W_{\mathbb{N}}^{-1}(A) \simeq W^{-1}(A/m) = 0$ , by Theorem 4.3 we have  $W(A) \simeq W(Y)$ . Thus it is enough to prove that the complex

$$W(Y) \longrightarrow W(K) \xrightarrow{\bigoplus \hat{\partial}_P} \bigoplus_{P \in \text{Spec}^1(A)} W(A_P/PA_P)$$

is exact. Let  $q$  be a quadratic space over  $K$  such that  $\hat{\partial}_P(q) = 0$  for all height 1 prime ideals  $P$  of  $A$ . Since  $Y$  is a regular scheme of dimension 2, by [1, 2.5, p. 112], there exists a quadratic space  $(\mathcal{E}, \mathbf{q})$  over  $Y = \text{Spec}(A) \setminus \{m\}$ , such that its image in  $W(K)$  under the restriction map is equal to  $q$ . This completes the proof. □

Using Corollary 4.4, one can prove the following theorem (cf. [8, Proposition 2.1]).

**COROLLARY 4.5.** *Let  $A$  be a regular ring of dimension 3 and  $K$  be its quotient field. Assume that 2 is invertible in  $A$ . The sequence*

$$0 \longrightarrow W(A) \longrightarrow W(K) \xrightarrow{\bigoplus \hat{\partial}_P} \bigoplus_{P \in \text{Spec}^1(A)} W(A_P/PA_P)$$

is exact.

We end this paper by giving a computation of  $W^{-1}(Y)$  using Theorem 4.3.

**COROLLARY 4.6.** *Let  $A$  be a regular local ring of dimension 3 and  $m$  be its maximal ideal. Assume that 2 is invertible in  $A$ . Let  $Y = \text{Spec}(A) \setminus \{m\}$ . Then  $W^{-1}(Y) \simeq W(A/m)$ .*

*Proof.* Since  $W^{-1}(A) = 0$  and  $W_{\mathbb{N}}^{-1}(A) \simeq W(A/m)$ , the result follows from Theorem 4.3. □

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