

*Ergod. Th. & Dynam. Sys.* (1981), 1, 419–429  
Printed in Great Britain

# On the entropy in $\mathbb{II}_1$ von Neumann algebras

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(Received 14 October 1981)

**Abstract.** Let  $\alpha$  be an automorphism of a finite von Neumann algebra and let  $H(\alpha)$  be its Connes–Størmer’s entropy. We show that  $H(\alpha) = 0$  if  $\alpha$  is the induced automorphism on the crossed product of a Lebesgue space by a pure point spectrum transformation. We also show that  $H$  is not continuous in  $\alpha$  and we compute  $H(\alpha)$  for some  $\alpha$ .

## 0. Introduction

Let  $M$  be a finite von Neumann algebra with separable pre-dual and with faithful normal normalized trace  $\tau$ , and let  $\theta$  be an automorphism of  $M$  preserving the trace  $\tau$ . In [4] Connes & Størmer have defined a notion of *entropy*  $H(\theta)$  of  $\theta$ . This notion extends the classical entropy of Kolmogorov in the sense that, if  $(X, \mathcal{B}, \mu)$  is a probability space and  $T$  is an automorphism of this space with entropy  $h(T)$  and if we also denote by  $T$  the automorphism induced on the abelian algebra  $A = L^\infty(X, \mu)$ , then

$$H(T) = h(T).$$

However, the following important question is still open. Let  $M$  be the crossed product of  $A$  by  $T$  and  $\theta$  be the inner automorphism of  $M$  induced by  $T$ . Is it true that  $H(\theta) = h(T)$ ? Our main result is a partial answer (see theorem 1.9):

**THEOREM.** *If  $T$  is ergodic and has pure point spectrum, then  $H(\theta) = 0$ , so  $H(\theta) = h(T)$ .*

One of the ingredients of our proof is the following result (see proposition 1.7): endow the group  $\text{Aut } M$  of automorphisms of  $M$  with the topology of pointwise convergence in  $M_*$ .

**PROPOSITION.** *Let  $G$  be a compact subgroup of  $\text{Aut } M$ . Then, for all  $g \in G$ ,  $H(g) = 0$ .*

The compactness of  $G$  is easily seen to be essential.

In the second part of this paper we prove the following, which is a generalization of a result of Abramov (see theorem 2.1).

PROPOSITION. *Let  $R$  be the injective factor of type  $II_1$  and let  $\alpha : \mathbb{R} \rightarrow \text{Aut } R$  be a continuous homomorphism. Then*

$$H(\alpha_t) = |t|H(\alpha_1) \quad \text{for all } t \in \mathbb{R}.$$

This proposition might lead one to believe that the entropy is continuous (as a map from  $\text{Aut } M$  to  $\overline{\mathbb{R}}_+$ ). Indeed, Connes has asked whether this is true for the norm topology on  $\text{Aut } M$ . The answer is that it is never continuous when  $M$  is of type  $II_1$  (see corollary 3.2).

PROPOSITION. *The map  $H : \text{Aut } M \rightarrow \overline{\mathbb{R}}_+$  is not continuous for the norm topology on  $\text{Aut } M$ .*

This proposition and its proof remain true for the new notion of entropy introduced in [5].

As in the classical case, the notion of entropy is an invariant which is far from complete. At the end of this paper we give an example of an uncountable family  $(\theta_\lambda)_{\lambda \in \mathbb{R}_+}$  of automorphisms of the factor  $R$  which have zero entropy, are all aperiodic [3, p. 293] (and hence are all outer conjugate [3, theorem 2]) but are not pairwise conjugate.

Throughout this paper we shall use the notation of [4] for entropy and relative entropy. If  $N$  is a finite-dimensional subalgebra of  $M$ , we denote by  $E_N$  the unique faithful normal conditional expectation of  $M$  on  $N$  which is  $\tau$ -preserving.

1. *Entropy and compact groups*

Let  $M$  be a type  $II_1$  von Neumann algebra with separable pre-dual and let  $\tau$  be a faithful normal trace on  $M$  with  $\tau(1) = 1$ .

LEMMA 1.1. *Let  $G$  be a topological group and  $\alpha : G \rightarrow \text{Aut } M$  be an action continuous for the topology of pointwise convergence in 2-norm on  $\text{Aut } M$  and such that  $\tau(\alpha_g(x)) = \tau(x)$  for all  $x \in M$ . Then, for all compact subsets  $K$  of  $M$  in the 2-norm topology, we have*

$$\sup_{x \in K} \|x - \alpha_g(x)\|_2 \rightarrow 0 \quad \text{if } g \rightarrow e,$$

where  $e$  is the neutral element of  $G$ .

*Proof.* Let  $\varepsilon > 0$  be given. For any  $x \in K$  let

$$B(x, \varepsilon) = \{y \in M : \|x - y\|_2 < \varepsilon\}.$$

Since  $K$  is compact, there exist  $x_1, \dots, x_m \in K$  such that

$$K \subset \bigcup_{i=1}^m B(x_i, \varepsilon).$$

By hypothesis on  $\alpha$ , there exists a neighbourhood  $W_i$  of  $e$  in  $G$  such that, for all  $g \in W_i$ ,

$$\|x_i - \alpha_g(x_i)\|_2 < \varepsilon.$$

For all  $x \in B(x_i, \varepsilon)$  we have:

$$\|x - \alpha_g(x)\|_2 \leq 2\|x - x_i\|_2 + \|x_i - \alpha_g(x_i)\|_2 < 3\varepsilon \quad \text{if } g \in W_i.$$

Let

$$W = \bigcap_{i=1}^m W_i.$$

We obtain

$$\|x - \alpha_g(x)\|_2 < 3\varepsilon$$

for all  $x \in K$  and all  $g \in W$ . □

*Remark 1.2.* When  $M$  is a  $\text{II}_1$  factor with separable pre-dual, the topology of pointwise convergence in 2-norm is equivalent to the  $p$ -topology, so to the  $u$ -topology [7, corollary 3.8] and to the pointwise strong convergence on  $\text{Aut } M$  [2, p. 541].

Let  $F$  be the set of all finite dimensional von Neumann subalgebras of  $M$ .

**LEMMA 1.3.** *Let  $N$  and  $P$  be in  $F$ , then  $H(N|P) = 0$  if and only if  $N \subset P$ .*

*Proof.* Let  $S_1$  be the set

$$S_1 = \{x = (x_i)_{i \in \mathbb{N}}: x_i \in M_+, \sum x_i = 1 \text{ and } x_i = 0 \text{ for almost all } i\}.$$

By definition,

$$H(N|P) = \sup_{x \in S_1} \sum_i \tau\eta E_P(x_i) - \tau\eta E_N(x_i),$$

where  $\eta$  is the function  $x \in [0, \infty] \rightarrow -x \log x \in \mathbb{R}$  (see [4]).

Assume that  $H(N|P) = 0$  and let  $x = (x_i) \in S_1$ ,  $x_i \in N$ . By Jensen's inequality, we have

$$\tau\eta E_P(x_i) \geq \tau\eta(x_i) \quad \text{for all } i$$

[4, p. 293], so

$$\sum_i \tau\eta E_P(x_i) - \tau\eta(x_i) \geq 0.$$

As  $H(N|P) = 0$ , we obtain

$$\sum_i \tau\eta E_P(x_i) - \tau\eta(x_i) = 0,$$

hence

$$\tau\eta E_P(x_i) = \tau\eta(x_i) \quad \text{for all } i.$$

Let  $B_i$  be the abelian von Neumann subalgebra of  $P$  generated by  $E_P(x_i)$  and 1. We have

$$E_{B_i} E_P(x_i) = E_P(x_i).$$

So

$$E_{B_i}(x_i) = E_P(x_i),$$

hence

$$\tau\eta E_{B_i}(x_i) = \tau\eta(x_i).$$

By [10, inequality 9.5', p. 84], we obtain  $x_i \in B_i$ , so  $x_i \in P$  for all  $i$  and  $N \subset P$ .

The converse implication is clear. □

PROPOSITION 1.4. *The map  $d : F \times F \rightarrow \mathbb{R}$ ,*

$$d(N, P) = H(N|P) + H(P|N)$$

*is a distance on  $F$ .*

*Proof.* It is clear that  $d$  is positive and symmetric; the triangular inequality follows from [4, property G]; and, if  $d(N, P) = 0$ , then  $N = P$  by lemma 1.3. □

PROPOSITION 1.5. *Let  $K$  be a compact set in  $F$ . Then, for any sequence  $(N_j)_{j \in \mathbb{N}}$ ,  $N_j \in K$ , we have*

$$\lim_{n \rightarrow \infty} \frac{1}{n} H(N_1, \dots, N_n) = 0.$$

*Proof.* Let  $\varepsilon > 0$  be given. There exists an integer  $m > 0$  such that, for all  $n \geq m$ , there exists  $i < m$  with  $d(N_n, N_i) < \varepsilon$ ; so

$$H(N_1, \dots, N_n) - H(N_1, \dots, N_{n-1}) \leq H(N_n|N_i) < \varepsilon$$

[4, property F]. Hence

$$\begin{aligned} \frac{1}{n} H(N_1, \dots, N_n) &= \frac{1}{n} \left[ \sum_{i=m}^{n-1} (H(N_1, \dots, N_{i+1}) - H(N_1, \dots, N_i)) + H(N_1, \dots, N_m) \right] \\ &\leq \frac{1}{n} [(n - m)\varepsilon + H(N_1, \dots, N_m)]. \end{aligned}$$

As  $\varepsilon$  is arbitrary, we obtain the conclusion. □

Let  $G$  be a subgroup of  $\text{Aut } M$ , compact for the topology of pointwise convergence in 2-norm on  $\text{Aut } M$  and such that  $\tau(g(x)) = \tau(x)$  for all  $x \in M$  and all  $g \in G$ .

LEMMA 1.6. *For all  $N \in F$ , the closure in  $F$  of the set  $\{g^n(N) : n \in \mathbb{N}\}$  is compact.*

*Proof.* Let  $(n_k)_{k \in \mathbb{N}}$  be a sequence of positive integers. There exists a subsequence of  $(n_k)$ , still to be denoted by  $(n_k)$ , such that  $(g^{n_k})$  converges for the topology of uniform convergence in 2-norm on compact sets of  $M$  (lemma 1.1). Hence the sequence  $(g^{n_k}(N))$  converges in  $F$  by [4, theorem 1]. □

The following proposition is an immediate consequence of proposition 1.5 and lemma 1.6.

PROPOSITION 1.7. *With the above assumptions we have  $H(g) = 0$  for all  $g \in G$ .*

Let  $(X, \mathcal{B}, \mu)$  be a standard Borel space with  $\mu(X) = 1$  and let  $T$  be an ergodic automorphism of  $X$  preserving  $\mu$ . Let

$$R = L^\infty(X, \mu) \times_T \mathbb{Z}$$

be the hyperfinite  $\text{II}_1$  factor, the crossed product of  $X$  by  $T$ . Let  $A$  be the canonical image of  $L^\infty(X, \mu)$  in  $R$ ,  $E$  be the conditional expectation of  $R$  on  $A$ , and  $U$  be the unitary of  $R$  corresponding to the translation by 1 in  $\mathbb{Z}$ . Set

$$R_0 = \left\{ y \in R : y = \sum_{n \in J} a_n U^n, a_n \in A, J \subset \mathbb{Z}, J \text{ finite} \right\}.$$

For any  $f \in L^1(X, \mu)$  and any  $y \in R_0$ , the map  $\phi_{y,f}$  defined by

$$\phi_{y,f}(x) = \int_X E(y^*xy)f \, d\mu$$

is a  $\sigma$ -weakly continuous linear functional on  $R$ .

**PROPOSITION 1.8.** *The linear space generated by  $\phi_{y,f}$ ,  $y \in R_0$ ,  $f \in L^1(X, \mu)$  is dense in  $R_*$ .*

*Proof.* See [1, § 1.2]. □

**THEOREM 1.9.** *Suppose that  $T$  has pure point spectrum. Then  $H(\text{Ad } U) = 0$ , so  $H(\text{Ad } U) = h(T)$ .*

*Proof.* By [11, theorem 3.4, p. 68] we can suppose that  $X$  is a compact abelian group and  $T$  is a rotation on  $X$ ; i.e. there exists  $g \in X$  with  $T = T_g$ , where

$$T_g(h) = g \cdot h \quad \text{for all } h \in X.$$

As  $X$  is abelian, we have

$$T_g T_k = T_k T_g \quad \text{for all } k \in X.$$

Hence  $T_k$  extends to an automorphism  $\theta_k$  of  $R$  with

$$\theta_k(a) = T_k(a) \quad \text{for all } a \in A$$

and

$$\theta_k(U) = U.$$

We shall show that the action of  $X$  on  $R$  given by  $k \in X \rightarrow \theta_k \in \text{Aut } R$  is continuous for the  $p$ -topology.

Let

$$y = \sum_{i=1}^r b_i U^{n_i} \in R_0$$

and

$$x = \sum_{n=-\infty}^{\infty} a_n U^n \in R, \quad a_n \in A.$$

Then

$$\begin{aligned} y^*xy &= \sum_{i,j,n} U^{-n_i} b_i^* a_n U^n b_j U^{n_j} \\ &= \sum_{i,j,n} T_g^{-n_i}(b_i^* a_n) T_g^{n-n_i}(b_j) U^{n-n_i+n_j}. \end{aligned}$$

Thus

$$E(y^*xy) = \sum_{i,j} T_g^{-n_i}(b_i^*) T_g^{-n_j}(b_j) T_g^{-n_i}(a_{n_i-n_j})$$

and

$$E(y^*\theta_k(x)y) = \sum_{i,j} T_g^{-n_i}(b_i^*) T_g^{-n_j}(b_j) T_g^{-n_i}(T_k(a_{n_i-n_j})).$$

For  $f \in L^1(X, \mu)$  we obtain

$$\phi_{y,f}(x - \theta_k(x)) = \int_X \left[ \sum_{i,j} T_g^{-n_i}(b_i^*) T_g^{-n_i}(b_j) T_g^{-n_i}(a_{n_i-n_j} - T_k(a_{n_i-n_j})) \right] f \, d\mu.$$

Hence

$$|\phi_{y,f}(x - \theta_k(x))| \leq \sum_{i,j} \|b_i\| \|b_j\| \int_X |T_g^{-n_i}(a_{n_i-n_j} - T_k(a_{n_i-n_j}))| |f| \, d\mu$$

and

$$|\phi_{y,f}(x - \theta_k(x))| \rightarrow 0 \quad \text{when } k \rightarrow e,$$

where  $e$  is the neutral element of  $X$ .

Clearly, the same result remains true for all finite linear combinations of  $\phi_{y,f}$ . So, by proposition 1.8, the action  $k \rightarrow \theta_k$  is continuous for the  $p$ -topology.

Hence, from remark 1.2 and proposition 1.7, we have that

$$H(\theta_k) = 0 \quad \text{for all } k \in X$$

and, as  $\theta_g = \text{Ad } U$ , we obtain the conclusion. □

### 2. Entropy of a flow

In this section we prove the following theorem:

**THEOREM 2.1.** *Let  $(\alpha_t)_{t \in \mathbb{R}}$  be a one-parameter group of automorphisms of the hyperfinite  $\text{II}_1$  factor, continuous for the  $u$ -topology. Then*

$$H(\alpha_t) = |t|H(\alpha_1) \quad \text{for all } t \in \mathbb{R}.$$

*Proof.* As  $H(\theta) = H(\theta^{-1})$ , for all  $\theta \in \text{Aut } R$ , we can suppose that  $t > 0$ . As in [8, p. 127], we shall prove that, for  $0 < s < t$ ,

$$H(\alpha_t) \leq (t/s)H(\alpha_s).$$

Let  $m$  be a positive integer and let  $N$  be a finite-dimensional von Neumann subalgebra of  $R$ . We denote by  $k(n)$  a positive integer such that

$$nt \leq k(n)s < (n+1)t$$

and by  $r(p)$  the integer such that

$$r(p) \cdot s/m \leq pt < (r(p) + 1)s/m.$$

For  $k = k(n)$  we see that

$$\begin{aligned} H(N, \alpha_t(N), \dots, \alpha_{nt}(N)) &\leq H(N, \alpha_{s/m}(N), \dots, \alpha_{(km+m-1)s/m}(N)) \\ &\quad + \sum_{p=1}^n H(\alpha_{pt}(N) | \alpha_{r(p)s/m}(N)). \end{aligned}$$

But

$$H(\alpha_{pt}(N) | \alpha_{r(p)s/m}(N)) = H(\alpha_\lambda(N) | N),$$

where

$$\lambda = pt - r(p)s/m \quad \text{and} \quad 0 \leq \lambda < s/m.$$

By remark 1.2 and lemma 1.1, we can suppose that  $(\alpha_t)$  is continuous for the topology of uniform convergence on compact sets of  $R$  in the 2-norm topology.

So, for any  $\varepsilon > 0$ , there exists  $m$  sufficiently large such that

$$H(\alpha_\lambda(N)|N) < \varepsilon$$

[4, theorem 1]. Hence

$$\begin{aligned} \frac{1}{n} H(N, \alpha_t(N), \dots, \alpha_{nt}(N)) &\leq \frac{km + m - 1}{n} \frac{1}{km + m - 1} \\ &\quad \times H(N, \dots, \alpha_{(km+m-1)s/m}(N)) + \varepsilon. \end{aligned}$$

Moreover, if  $n \rightarrow \infty$ ,  $k(n) \rightarrow \infty$  and  $k(n)/n \rightarrow t/s$ . Hence

$$H(N, \alpha_t) \leq \frac{t}{s} mH(N, \alpha_{s/m}) + \varepsilon.$$

Let  $N$  be such that

$$H(\alpha_t) \leq H(N, \alpha_t) + \varepsilon.$$

Then

$$\begin{aligned} H(\alpha_t) &\leq H(N, \alpha_t) + \varepsilon \leq \frac{t}{s} mH(N, \alpha_{s/m}) + 2\varepsilon \\ &\leq \frac{t}{s} mH(\alpha_{s/m}) + 2\varepsilon \\ &= \frac{t}{s} H(\alpha_s) + 2\varepsilon, \end{aligned}$$

because  $R$  is hyperfinite [4, remark 6]. Since  $\varepsilon$  is arbitrary, we obtain

$$H(\alpha_t) \leq \frac{t}{s} H(\alpha_s).$$

Let  $q$  be an integer such that  $0 < t/q < s$ . By the above statement we have

$$H(\alpha_s) \leq \frac{s}{t} qH(\alpha_{t/q}) = \frac{s}{t} H(\alpha_t).$$

Hence

$$H(\alpha_t) = \frac{t}{s} H(\alpha_s). \quad \square$$

### 3. Non-continuity of the entropy

Here we prove that the map

$$\theta \in \text{Aut } M \rightarrow H(\theta) \in \overline{\mathbb{R}_+}$$

is not norm continuous.

**PROPOSITION 3.1.** *The set of periodic unitaries of  $M$  is dense in the group of all unitaries of  $M$  in the norm topology.*

*Proof.* If  $n$  is a positive integer, write

$$\omega_{n,k} = \exp(2ik\pi/n)$$

and

$$\begin{aligned} \Omega_{n,k} = \{ \omega \in \mathbb{C} : \omega = \exp(it) \text{ with } t \in \mathbb{R} \text{ and } 2ik\pi/n \leq t < 2i(k+1)\pi/n \} \\ (k = 0, \dots, n-1). \end{aligned}$$

Define a Borel function  $F_n$  on the unit circle  $S^1$  of  $\mathbb{C}$  by

$$F_n(z) = \omega_{n,k} \quad \text{if } z \in \Omega_{n,k}.$$

Then

$$|F_n(z) - z| \leq \varepsilon_n = |\omega_{n,1} - \omega_{n,0}|$$

for each  $z \in S^1$ . Obviously,

$$\lim_{n \rightarrow \infty} \varepsilon_n = 0.$$

Let  $u$  be a unitary in  $M$ . For each integer  $n$ , let

$$u_n = F_n(u).$$

Then  $u_n$  is a periodic unitary of  $M$  and

$$\lim_{n \rightarrow \infty} \|u_n - u\| \leq \lim_{n \rightarrow \infty} \varepsilon_n = 0. \quad \square$$

**COROLLARY 3.2.** *If  $M$  is a type  $II_1$  von Neumann algebra, the map  $H : \text{Aut } M \rightarrow \overline{\mathbb{R}_+}$ ,  $\theta \rightarrow H(\theta)$  is not norm continuous.*

*Proof.* Since  $M$  is of type  $II_1$ , it contains the hyperfinite  $II_1$  factor  $R$ . Let  $T$  and  $U$  be as defined just above proposition 1.8 and suppose that  $h(T) > 0$ . Then

$$H(\text{Ad } U) \geq h(T) > 0.$$

Hence there exists  $U$  unitary of  $M$  with  $H(\text{Ad } U) > 0$ . By proposition 3.1, there is a sequence  $(v_n)$  of periodic unitaries of  $M$  such that

$$\|U - v_n\| \rightarrow 0 \quad \text{as } n \rightarrow \infty,$$

so

$$\|\text{Ad } U - \text{Ad } v_n\| \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

As  $H(\text{Ad } v_n) = 0$  for all  $n$ , we obtain the conclusion. □

#### 4. An uncountable family of automorphisms

In this section we give an uncountable family of aperiodic automorphisms with zero entropy.

Let  $(X, \mathcal{B}, \mu)$  be a standard Borel space with  $\mu(X) = 1$  and let  $T$  be an ergodic automorphism of  $X$  preserving  $\mu$ . Let  $R = L^\infty(X, \mu) \times_T \mathbb{Z}$ ,  $U$  and  $A$  be as defined just above proposition 1.8.

For  $t \in [0, 1[$ , let

$$\chi_t = \exp(2i\pi t) \in S^1 = \hat{\mathbb{Z}}$$

and let  $V_t$  be the unitary operator on  $L^2(\mathbb{Z}, L^2(X, \mu))$  defined by

$$V_t \xi(n) = \chi_t^{-n} \xi(n).$$

For any  $a \in A$  we have

$$V_t a V_t^* = a$$

and

$$V_t U^n V_t^* = \chi_t^{-n} U^n$$



for all  $n \in \mathbb{Z}$ , so the map

$$\theta_t(x) = V_t x V_t^*$$

is an automorphism of  $R$ . The action  $\theta$  of  $S^1$  on  $R$  so defined is called the dual action (see [9]). We note that  $\theta_t$  is not ergodic and that the system  $(R, \theta, \tau)$  is not asymptotically abelian in mean for any  $t$  [6, definition 1, p. 12], where  $\tau$  is the canonical trace on  $R$ .

**PROPOSITION 4.1.** *The dual action is continuous for the topology of pointwise strong convergence on  $\text{Aut } R$ .*

*Proof.* See [9, p. 257]. □

From this proposition, remark 1.2 and proposition 1.7 we deduce:

**COROLLARY 4.2.** *For all  $t \in [0, 1[$ ,  $H(\theta_t) = 0$ .*

We shall denote by  $P(T)$  the point spectrum of  $T$ .

**PROPOSITION 4.3.** *For  $t \in [0, 1[$ ,  $\theta_t$  is an inner automorphism of  $R$  if and only if  $\chi_t = \exp(2i\pi t) \in P(T)$ .*

*Proof.* Assume that  $\theta_t$  is inner, i.e. there is  $v$  unitary in  $R$  such that

$$\theta_t = \text{Ad } v.$$

As  $\theta_t(a) = a$  for all  $a \in A$ , we have  $v \in A$  because  $A$  is maximal abelian in  $R$ . Moreover,

$$vUv^* = \chi_t^{-1}U$$

so

$$T(v) = \chi_t v,$$

hence  $\chi_t \in P(T)$ .

Conversely, assume that  $\chi_t \in P(t)$  and let  $f \in L^2(X, \mu)$  be an eigenfunction corresponding to the eigenvalue  $\chi_t$ . We have

$$|f(T\omega)| = |\chi_t| |f(\omega)| = |f(\omega)|$$

for almost all  $\omega \in X$ . Since  $T$  is ergodic,  $|f| = k$  constant almost everywhere and  $f \in L^\infty(X, \mu)$ . Let  $v$  be the canonical image of  $k^{-1}f$  in  $A$ ;  $v$  is unitary and is an eigenfunction of  $T$ . So

$$T(v) = \chi_t v = UvU^*$$

and

$$vUv^* = \chi_t^{-1}U = \theta_t(U).$$

Since  $vav^* = a$  for all  $a \in A$ , we have

$$\theta_t = \text{Ad } v. \quad \square$$

**COROLLARY 4.4.** *If  $T$  is weak-mixing, then for any irrational number  $t \in [0, 1[$ ,  $\theta_t$  is aperiodic.*

*Proof.* If  $T$  is weak-mixing, then  $P(T) = \{1\}$ . If  $t$  is an irrational number in  $[0, 1[$ , then

$$\chi_t^n \neq 1$$

for any integer  $n \neq 0$ . Hence  $\theta_t^n$  is an outer automorphism for all  $n \neq 0$ ; that is,  $\theta_t$  is aperiodic. □

Now let  $t \in [0, 1[$  be a fixed irrational number and let  $S$  and  $T$  be ergodic automorphisms of  $X$  preserving the measure  $\mu$ . Let

$$R = L^\infty(X, \mu) \times_T \mathbb{Z} = L^\infty(X, \mu) \times_S \mathbb{Z}$$

and let  $U$  (resp.  $V$ ) be the unitary operator in  $R$  corresponding to  $T$  (resp.  $S$ ).

Let  $\theta$  be the dual action for  $T$  and  $\sigma$  be the dual action for  $S$ . Suppose that there is  $\psi \in \text{Aut } R$  such that

$$\sigma_t = \psi \theta_t \psi^{-1}.$$

For all  $a \in A$  we have

$$a = \sigma_t(a) = \psi \theta_t \psi^{-1}(a)$$

so  $\psi^{-1}(a) \in A$ , because  $t$  is irrational. Hence

$$\psi(A) = A.$$

Moreover,

$$\sigma_t(V) = \chi_t^{-1} V = \psi \theta_t \psi^{-1}(V).$$

Hence

$$\chi_t^{-1} \psi^{-1}(V) = \theta_t \psi^{-1}(V)$$

so

$$\theta_t(U^* \psi^{-1}(V)) = U^* \psi^{-1}(V)$$

and

$$U^* \psi^{-1}(V) = a \in A$$

because  $t$  is irrational. Hence

$$S(b) = VbV^* = \psi(U)\psi(a)b\psi(a)^*\psi(U)^* = \psi(U)b\psi(U)^*$$

so

$$S = \psi T \psi^{-1}.$$

Consequently, if  $\sigma_t$  and  $\theta_t$  are conjugate in  $R$ , then  $S$  and  $T$  are conjugate in  $A$ .

Conversely, assume that there is  $\psi \in \text{Aut } A$  such that  $S = \psi T \psi^{-1}$ . We shall still denote by  $\psi$  its canonical extension to  $R$  ( $\psi(U) = V$ ). We then have

$$\psi \theta_t \psi^{-1}(V) = \chi_t^{-1} V = \sigma_t(V)$$

and

$$\psi \theta_t \psi^{-1}(a) = a$$

for all  $a \in A$ . Hence

$$\sigma_t = \psi \theta_t \psi^{-1}.$$

We have proved the theorem:

**THEOREM 4.5.** *With the above notation,  $S$  and  $T$  are conjugate if and only if  $\sigma_t$  and  $\theta_t$  are conjugate for some irrational number  $t \in [0, 1[$ .*

**COROLLARY 4.6.** *There is an uncountable family of aperiodic automorphisms in the hyperfinite  $\text{II}_1$  factor with zero entropy.*

*Proof.* For  $\lambda \in \mathbb{R}_+^*$  let  $S_\lambda$  be the Bernoulli shift with entropy  $\lambda$  on a Lebesgue space  $(X, \mathcal{B}, \mu)$ . Let  $\theta^\lambda$  be the dual action for  $S^\lambda$  in  $R = L^\infty(X, \mu) \times_{S_\lambda} \mathbb{Z}$  and let  $t \in [0, 1[$  be an irrational number. For all  $\lambda \in \mathbb{R}_+^*$ , the action  $\alpha_\lambda$  of  $\mathbb{Z}$  on  $R$  given by

$$\alpha_\lambda(n) = (\theta_t^\lambda)^n$$

is outer by corollary 4.4, and

$$H(\alpha_\lambda(1)) = 0$$

by corollary 4.2. Moreover, if  $\lambda \neq \lambda'$ , then  $S_\lambda$  and  $S_{\lambda'}$  are not conjugate because their entropies differ. Hence, by theorem 4.5,  $\alpha_\lambda$  and  $\alpha_{\lambda'}$  are not conjugate.  $\square$

I am grateful to R. Bader for his constant encouragement and stimulating conversations, to P. L. Aubert, T. Giordano, P. de la Harpe, V. Jones and C. Series for helpful conversations, and to the Fond National Suisse de la Recherche Scientifique which has partially supported this work.

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