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¹ Redox Kinetics Study of Fuel Reduced Ceria for Chemical-Looping ² Water Splitting

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ABSTRACT: Chemical-looping water splitting is a novel and 7 promising technology for hydrogen production with CO₂ separation. 8 Its efficiency and performance depend critically on the reduction and 9 oxidation (redox) properties of the oxygen carriers (OC). Ceria is 10 recognized as one of the most promising OC candidates, because of 11 its fast chemistry, high ionic diffusivity, and large oxygen storage 12 13 capacity. The fundamental surface redox pathways, including the complex interactions of mobile ions and electrons between the bulk 14 and the surface, along with the adsorbates and electrostatic fields, 15 remain yet unresolved. This work presents a detailed redox kinetics 16 17 study with emphasis on the surface ion-incorporation kinetics pathway, using time-resolved and systematic measurements in the 18



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temperature range 600–1000 °C. By using fine ceria nanopowder, we observe an order-of-magnitude higher hydrogen production rate compared to the state-of-the-art thermochemical or reactive chemical-looping water splitting studies. We show that the reduction is the rate-limiting step, and it determines the total amount of hydrogen produced in the following oxidation step. The redox kinetics is modeled using a two-step surface chemistry (an H₂O adsorption/dissociation step and a chargetransfer step), coupled with the bulk-to-surface transport equilibrium. Kinetics and equilibrium parameters are extracted with excellent agreement with measurements. The model reveals that the surface defects are abundant during redox conditions, and charge transfer is the rate-determining step for H₂ production. The results establish a baseline for developing new materials and provide guidance for the design and the practical application of water splitting technology (e.g., the design of OC characteristics, the choice of the operating temperatures, and periods for redox steps, etc.). The method, combining well-controlled experiment and detailed kinetics modeling, enables a new and thorough approach for examining the defect thermodynamics in the bulk and at the surface, as well as redox reaction kinetics for alternative materials for water splitting.

1. INTRODUCTION

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³⁰ Hydrogen is an important environmentally friendly energy ³¹ carrier because of its high gravimetric energy density and zero ³² emission. Moreover, hydrogen finds wide applications in a variety ³³ of industries, including crude oil refining, chemical production, ³⁴ aerospace, metal refining, food processing, and electronics ³⁵ manufacturing. Currently, steam methane reforming (SMR) is ³⁶ the major hydrogen production method. However, SMR leads to ³⁷ significant greenhouse gas emission, and it has already reached its ³⁸ maximum efficiency (70–85%).¹ The growing demand for clean ³⁹ and cost-efficient hydrogen sources has led to significant efforts ⁴⁰ to develop alternative technologies.^{2,3}

Among a variety of options, thermochemical water splitting 42 (TCWS) has recently attracted significant attention, because 43 of its potential for high conversion efficiencies with limited 44 emissions.^{4,5} This approach is based on a two-step mechanism 45 using a metal oxide (such as ceria-based materials) to dissociate 46 H_2O into H_2 and heat to reduce the metal oxide:

47 endothermic reduction step:

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$$\mathrm{Me}_{x}\mathrm{O}_{y} \to \mathrm{Me}_{x}\mathrm{O}_{y-1} + \frac{1}{2}\mathrm{O}_{2}$$

exothermic oxidation step:

$$H_2O + Me_xO_{y-1} \rightarrow H_2 + Me_xO_y \tag{2}$$

The reduction step proceeds at higher temperature (above $_{51}$ 1400 °C) to form oxygen vacancies and release O_2 , while the $_{52}$ oxidation step takes place at lower temperature (below 1000 °C) $_{53}$ to dissociate H_2O and generate H_2 . During this process, the metal $_{54}$ oxide transports oxygen between the two steps, remaining intact $_{55}$ at the end of the cycle. As such, it is commonly referred to as the $_{56}$ "oxygen carrier (OC)". Various studies have examined different $_{57}$ material options for TCWS, and a brief summary is presented in $_{58}$ Table 1. Although exhibiting remarkable potentials, major challenges $_{59}$ are related to the need for expensive high quality heat and large $_{60}$ temperature swing which can render the process less efficient. $_{61}$

An immediate extension of TCWS is reactive chemical- 62 looping water splitting (RCLWS), in which, the reduction step 63

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Table 1. Reported Total and Peak H₂ Production Rates for Two-Step Thermochemical Water Splitting^a

temp (°C; red/ox)	tot H_2 prodn (μ mol/g)	peak H_2 rate (μ mol/g/s)	feed H_2O (%)	oxygen carrier	ref
1500/800	278	6.8	44-52	CeO ₂	6
1500/1500	126	1.0	15	CeO ₂ (with Rh)	7
1350/1000	32	0.15	40	CeO ₂	8
1500/1150	414	4.2	50, 84	CeO ₂	9
1350/1000	28		81	CeO ₂	10
1500/750	161		83	CeO ₂ (10% Pr)	11
1400/1050	338		5.8	CeO ₂ (25%Zr 1%Gd)	12
1300/800	109		30	Ce _{0.15} Zr _{0.85} O ₂	13
1400/1050	467.7		38.3	CeO ₂ (50% Zr)	14, 15
1500/500	210		84	$Ce_{0.9}Hf_{0.1}O_2$	16
1500/1000	153		83	CeO ₂ (10% Mn)	17
1290/1000	62	16.4	21	CeO ₂ (20% Zn)	18
1200/900	57		81	$Ni_{0.5}Mn_{0.5}Fe_2O_4$	10
1190/900	2120	9.4	56	Zn-Fe-O	19
1700/575	4270	9.1	56	FeO	20
1400/1000	195		5.8	$La_{0.5}Sr_{0.5}MnO_3$	21
1350/1000	307	1.3	40	LaAlO ₃ (with Sr, Mn)	8
1400/1000	407	5.6		La _{0.5} Ca _{0.5} MnO ₃	22

"Note some studies tested various material compositions for repeated cycles. The highest values are chosen and listed here for comparison. The conversion of the H_2 production unit from mL/g to μ mol/g utilizes the ideal gas law at standard temperature and pressure (25 °C, 1 atm).

temp (°C; red/ox)	tot H ₂ prodn (μ mol/g)	peak H_2 rate (μ mol/g/s)	feed H ₂ O (%)	oxygen carrier	reducer	ref
800/800	3460	4.8	77	Fe ₂ O ₃	CH_4	29
900/900	4140	8.1	30	Fe ₂ O ₃	H_2	30
900/900	8900	34	31	Fe_2O_3 (with 5% CeO_2)	H ₂ +CO	31
800/800	47	0.36	5.5	Cu (with Co Pr)	H_2	32
650/350	150		20	CuO	H ₂ +CO	33
900/800	11300		20	CuFe ₂ O ₄	CH_4	34
900/700	12000		31	$Cu_{0.7}Fe_{2.3}O_4/Ce-ZrO_2$	CH_4	35
900/800	2780		31	$CuFe_2O_4/ZrO_2$	CH_4	36
900/800	2130		31	CuFe ₂ O ₄ /CeO ₂	CH_4	36
900/800	3000		47	$Ni_{0.39}Fe_{2.61}O_4$ (with ZrO_2)	CH_4	37
750/750	3500	3.8	20	WO ₃ (with CeO ₂ , ZrO ₂)	CH_4	38
800/500	840	0.29	2.4	$Ce_{0.8}Zr_{0.2}O_2$ (with Pt)	CH_4	39
850/700	500	1.1	83	CeO ₂	CH_4	40
850/700	1580	1.3	83	Ce _{0.7} Zr _{0.3} O ₂	CH_4	41
800/800	326	0.65	27	10 wt % CeO ₂ /ZrO ₂	CH_4	42
800/700	1020	1.0	83	CeO ₂ (30% Fe ₂ O ₃)	CH_4	43
1000/1000	1240	160	26	CeO ₂	H_2	this study
700/700	260	60	26	CeO ₂	H_2	this study

Table 2. Reported Total and Peak H₂ Production Rates for CLWS

64 is replaced by a fuel reduction reaction:

65 reduction step with fuel:

$$Me_xO_y + CH_4 \rightarrow Me_xO_{y-1} + CO + 2H_2$$
(3)

The utilization of fuel ensures an enhanced catalytic reaction 68 at lower temperature with significantly improved extent of 69 OC reduction and, hence, larger oxygen carrying capacity. The 70 required high quality heat and large temperature swing could be 71 replaced by an isothermal redox operation, hence leading to 72 a much reduced cost, enhanced stability,^{23–26} and improved 73 system efficiency.^{27,28} In contrast to TCWS, the net reaction, 74 combining eqs 2 and 3, is a fuel reforming reaction, where a fuel 75 is selectively oxidized to form hydrogen and syngas. In the case 76 of natural gas, the syngas stream has a H₂:CO close to 2:1, 77 ideal for the production of H₂ (after shift), methanol, or liquid 78 fuel via Fischer–Tropsch process with CO₂ separation. With 79 the abundance and low price of natural gas, RCLWS offers a simple and promising solution for co-producing hydrogen and 80 syngas.

Several studies have examined various metal oxide candidates 82 for RCLWS, including ceria, copper, ferrites, tungsten, and perov-83 skites, etc. The reported H₂ production rates and total production 84 using RCLWS are summarized in Table 2. It is generally observed 85 that the use of ceria as an OC leads to higher H₂ production 86 because of its fast surface kinetics, high oxygen ionic diffusivity, 87 large oxygen carrying capacity, and robust structural stability. 88 The ability of ceria to accommodate high surface active site con- 89 centrations facilitates a relatively quick surface ion-incorporation 90 process.^{44,45} The large nonstoichiometry capacity allows it to 91 effectively adsorb and release oxygen as it responds to the oper- 92 ating conditions. This property has been exploited in a large 93 number of applications,^{46,47} including three-way catalyst, solid 94 oxide fuel cells, and electrolyzers. For the same reason, CeO2 has 95 been suggested as a promising OC candidate for RCLWS. 96



Figure 1. (a) Schematics of the experimental setup. (b) Schematics of the expansion tube, the sample, and the gas flow. Probe 2 is located close to the outer tube, about 1-2 mm above the top of the expansion section. Note that the *y*-direction of the drawing in panel b is compressed by 2.5 times as compared to *x*-axis for a better demonstration.

The bulk-phase properties of ceria-based materials have been 97 widely studied. The physical, chemical, and electrochemical 98 properties of pure and doped ceria at equilibrium have been 99 100 examined and documented.⁴⁷⁻⁴⁹ Recently, there is an increas-101 ing effort toward a better understanding of the surface-oriented 102 defect chemistry of ceria, owing to the growing interests in fuel 103 cells, electrolyzers, and water splitting.^{45,50} Density functional 104 studies examined surface defect formation and the energy ¹⁰⁵ landscape of the redox process.^{51–53} In situ techniques, such as 106 in situ X-ray photoelectron spectroscopy (XPS), have emerged 107 recently and have been successfully applied to ceria. 44,45,54-The majority of the work concentrated on the surface and 108 109 intermediate species at equilibrium. Despite the recent efforts in developing analytical models for reaction kinetics,⁵⁷⁻⁶⁰ the 110 fundamental surface redox pathway of ceria is not well under-111 stood. The complexities involved in the interactions of mobile 112 ions, and electrons between the bulk and the surface, along with 113 114 the adsorbates and electrostatic fields remain yet unresolved. 115 Applying ceria in RCLWS requires a good knowledge of 116 the time-resolved reactivity under conditions relevant for its application (temperature and gaseous composition), which is 117 118 still missing.

In this work, we investigate the ceria redox mechanism with 119 120 an emphasis on the surface ion-incorporation kinetics pathway, 121 using a detailed time-resolved measurement under conditions 122 relevant for RCLWS. Isothermal redox cycles of CeO₂ nanopowder are carried out in a button cell reactor in the tempera-123 124 ture range 600-1000 °C. H₂ is used as a surrogate fuel in this 125 study in order to explore the fundamentals of redox reactions on ceria. The reaction kinetics is determined by quantifying 126 the flue stream composition using an online quadrupole mass 127 spectrometer (QMS). H_2 is produced by water splitting during 128 129 the oxidation cycle as a mixture of H₂O vapor and Ar is flown 130 over CeO₂ samples. An order-of-magnitude higher hydrogen production rate is observed as compared to the state-of-the-art 131 132 TCWS (Table 1) and RCLWS (Table 2) methods, resulting 133 from the utilization of fine ceria nanopowder, which also ensures 134 a surface-reaction-limited process. Kinetic models are subse-135 quently developed to characterize the oxygen-ion-incorporation 136 dynamics during the redox process. The model consists of a

series of intermediate steps: adsorption/dissociation of gaseous 137 reactant, charge transfer on the surface, and the bulk-to-surface 138 transport. The model reveals the importance of the surface defect 139 and its connection to the bulk phase. Driven by the difference of 140 the defect formation energy, the surface is enriched with the key 141 defects (oxygen vacancy and polarons), consistent with the in situ 142 observations reported in the literature.^{44,45} With the proposed 143 kinetics, the rate-limiting step is identified, and suggestions are 144 obtained for the development of better materials in the future. 145

2. EXPERIMENT

The experimental setup consists of a gas delivery system, 146 a control unit, a central quartz reactor tube, and a real time 147 flue gas analysis system with an online mass spectrometer. 148 The system layout and the details of the reactor are shown in 149 Figure 1.⁶¹ Four Brooks GF40 MultiFlo digital thermal mass 150 flow controllers (MFCs) are used for the gas flow control. The 151 reactor is made of a quartz tube positioned inside an ATS 3210 152 split tube furnace that provides an isothermal environment 153 up to 1100 °C. As shown in Figure 1b, the reactor consists of 154 an outer tube (305 mm length, 25.4 mm outer diameter (o.d.), 155 and 21.6 mm inner diameter (i.d.)), and an inner concentric 156 6.4 mm o.d. quartz tube with an expanding section of 19.1 mm 157 o.d., 38.1 mm length. Gases flow through the central tube, 158 impinge on the bottom of the outer tube, and exit reversely 159 through the exhaust. Capillary probes made of quartz (0.53 mm 160 i.d., 0.80 mm o.d.) are used to sample minute amounts of gases, 161 before and after reactions. The probe sampling the exhaust flow 162 is located close to the outer tube, around 1-2 mm above the 163 top of the expansion section of the inner tube. A quadrupole 164 mass spectrometer (HPR20 from Hiden Analytical Inc.) is used 165 to analyze the flue gas composition. The QMS has a response 166 time of less than 300 ms and a wide bandwidth of species detec- 167 tion capability.

Ceria powder from Sigma-Aldrich (99.95% purity) is used for 169 the reaction study. Table 3 lists the properties of the sample. 170 The BET surface area is $15.4 \text{ m}^2/g$, and the average size of the 171 particle is 50 nm. A 100 mg amount of ceria powder is embedded 172 in quartz wool and placed at the bottom of the outer quartz tube. 173

Table 3. Some Physical Properties of the CeO₂

value (unit)
7.22 g cm^{-3}
$4.19 \times 10^4 \text{ mol m}^{-3}$
0.54112 nm
$2.27 \times 10^{-5} \text{ mol m}^{-2}$
2750 K
11
0.53 g cm^{-3}
99.95%
$15.4 \text{ m}^2 \text{ g}^{-1}$
~50 nm
$3.99 \text{ m}^2 \text{ g}^{-1}$
~200 nm

174 A lesser amount of sample is also tested (50 and 25 mg), and 175 negligible difference is found in the obtained redox kinetics. The sample undergoes redox cycles, with argon as purging gas 176 flowing in between. Oxidation is performed using a gas mixture 177 of water vapor and argon. Ar is slowly bubbled through a 1 gallon 178 bottle filled with deionized water maintained at 80 \pm 0.5 °C in 179 an insulated heat bath to prepare the oxidizing mixture. The 180 steam mixture is further diluted with Ar to achieve the desirable 181 182 H₂O concentration. The total flow rate into the reactor during the oxidation step is maintained constant at 337 cm³(STP)/min, 183 184 and the H₂O mole fraction is varied between 5% and 26%. 185 H_2 -Ar mixture is used for the reduction, with the total flow fixed 186 at 350 cm³(STP)/min and the H₂ mole fraction from 5% to 20%. While the ultimate technology objective is to use methane 187 for reduction, H₂ is used as a surrogate to examine the process 188 while simplifying the modeling of the redox reactions. All con-189 necting stainless steel tubes are heated above 140 °C to avoid 190 water condensation. The oxidation and reduction times are 191 192 fixed at 2 min each for the base case. Before measurements, the samples are pretreated for 100 redox cycles at 1000 °C to reach 193 periodic stationary states. Afterward, the measurements are taken 194 from 1000 °C until 600 °C with a step of 50 °C. Each operating 195 condition is repeated for at least five times, and results are 196 averaged to reduce the noise. The measurements at 500 °C are 197 also taken as a reference, although the reactivity is too low and 198 can hardly be distinguished from background noise. Experiments 199 with different oxidation and reduction conditions are also carried 200 out to evaluate the effects on the H₂ production reactivity. 201

The redox process can be written as a reversible reaction: 2.02

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$$H_2O(g) + \frac{1}{\Delta\delta}CeO_{2-\delta_1} \rightleftharpoons H_2(g) + \frac{1}{\Delta\delta}CeO_{2-\delta_2}$$
(4)

²⁰⁴ where $\Delta \delta = \delta_1 - \delta_2$ is the bulk-phase nonstoichiometry change. $_{\rm 205}$ In order to derive the $\rm H_2$ production rate based on the flue stream composition, we consider a control volume as out-207 lined in Figure 1b. In the redox process, the production (or consumption) of 1 mol of H_2O leads to the consumption 208 209 (or production) of 1 mol of H₂. Therefore, the total molar 210 flow rate throughout the control volume remains constant; i.e., 211 $\dot{n}_{\rm in} = \dot{n}_{\rm out}$. Thus, we can express the reaction rates as 212 oxidation:

$$\omega_{\rm H_2} = \frac{X_{\rm H_2,out} \dot{n}_{\rm ox,out}}{m_{\rm CeO_2}} = \frac{X_{\rm H_2,out}}{m_{\rm CeO_2}} \frac{P^0 \dot{V}_{\rm ox,in}^0}{RT^0}$$
(5)

reduction:

$$\omega_{\rm H_2O} = \frac{X_{\rm H_2O,out} \dot{n}_{\rm red,out}}{m_{\rm CeO_2}} = \frac{X_{\rm H_2O,out}}{m_{\rm CeO_2}} \frac{P^0 \dot{V}_{\rm red,in}^0}{RT^0}$$
(6) 215

 $X_{\rm H_2,out}$ and $X_{\rm H_2O,out}$ are the measured mole fractions of the 216 produced H₂ and H₂O at the exit. $\dot{n}_{ox,in}$ and $\dot{n}_{red,in}$ are the total 217 molar inflow rates of the gas mixture for the oxidation and 218 reduction, respectively. P^0 , T^0 , and V^0 are the pressure, tempera- 219 ture, and the total volumetric inflow rate at standard tempera- 220 ture and pressure (STP). The reaction rates (unit, μ mol g⁻¹s⁻¹) 221 are normalized by the total ceria sample $m_{CeO,r}$ i.e., 100 mg, ₂₂₂ used in the measurement. The derivation assumes a quasi- 223 steady state and neglects the accumulation or depletion effect in 224 the control volume. This is valid as the flow residence time is 225 much shorter (~ 0.1 s) than the characteristic time of the redox 226 conversion.⁶¹ $\Delta \delta$ is calculated as 227

$$|\Delta\delta(t)| = n_{\rm O}(t)/n_{\rm CeO_2}$$
 (7) 228

where $n_{\rm O}(t) = \int_0^t \dot{\omega}_{\rm H_2} dt$ is the accumulated intake of oxygen ₂₂₉ ions. $n_{\rm CeO_2} = m_{\rm CeO_2}/M_{\rm CeO_2}$ is the moles of ceria used in the ₂₃₀ experiment. M_{CeO_2} is the molecular weight. 231

3. THEORY

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To model the reaction kinetics, ceria particles at cyclic stationary 232 state are treated as identical spheres with diameter $r_{\rm p} = 100$ nm 233 (Table 3). The particle size is assumed to remain unchanged 234 during the redox cycle, as CeO₂ is known to maintain its 235 fluorite structure even under large nonstoichiometry at elevated 236 temperatures.⁴⁷ 237

The overall reaction between the bulk ceria and the external 238 gas-phase reactants may be written as⁴⁷⁻⁴ 239

$$H_2O(g) + 2Ce'_{Ce} + V_0^{\bullet \bullet} \rightleftharpoons H_2(g) + O_0^{\times} + 2Ce_{Ce}^{\times}$$
 (8) ₂₄₀

where $V_0^{\bullet \bullet}$ is a doubly charged oxygen vacancy, O_0^{\times} is an oxygen 241 ion on a normal site. Ce'_{Ce} denotes a polaron (a localized 242 electron, Ce³⁺), and Ce[×]_{Ce} is a regular Ce⁴⁺ cation. Ce[']_{Ce} and V^{••}_O 243 are believed to be the major defects in the bulk as well as on the 244 surface.44,47 245

Equation 8 merely describes the overall equilibrium between 246 the defects in the bulk ceria and oxygen from the H_2O/H_2 247 environment. The electrochemical process, however, involves 248 serial steps of important heterogeneous surface reactions, i.e., 249 adsorption/dissociation of gaseous reactant forming adsorbates, 250 ion/electron transfer on the surface, and association and desorp- 251 tion of products. The surface chemistry is further connected 252 with the bulk phase via bulk-to-surface transport driven by 253 the electrochemical potential gradient. Bulk-phase diffusion con- 254 tinues to adjust the spatial defect distribution and eventually 255 equilibrates the sample with the environment. Figure 2 schemati- 256 cally highlights the key steps in the oxidation direction. 257

In the following two subsections, we will present the submodels 258 for the surface chemistry and diffusion process, respectively. 259

Surface Chemistry. The surface water splitting and oxygen- 260 incorporation reactions are modeled using a two-step mec- 261 hanism (Figure 2a):^{45,50-53} 262 263

R1:

D

$$H_2O(g) + V_0^{\bullet\bullet}(s) + O_0^{\mathsf{X}}(s) \underset{k_{1,b}}{\stackrel{k_{1,f}}{\rightleftharpoons}} 2OH_0^{\bullet}(s)$$
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Figure 2. (a) Schematics of the water splitting pathway. The ion-incorporation surface process comprises the adsorption and dissociation of H_2O forming OH^- (R1) and charge transfer, association, and desorption of H_2 (R2). The heterogeneous chemistry is linked to bulk phase via the bulk-to-surface transport of the electron defect, *e*, and the oxygen vacancy defect, $V_0^{\bullet\bullet}$. (b) Schematics of the surface enrichment of Ce^{3+} relative to the bulk.

265 R2:

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$$2OH_{O}^{\bullet}(s) + 2C_{Ce}^{\prime}(s) \underset{k_{2,b}}{\stackrel{\kappa_{2,f}}{\rightleftharpoons}} 2O_{O}^{\times}(s) + 2Ce_{Ce}^{\times}(s) + H_{2}(g)$$

$$(10)$$

267 OH_O° is a hydroxyl ion group on an oxygen anion site. The 268 surface reactions are assumed to occur only within the first unit 269 cell layer on the surface, and s in the parentheses emphasizes 270 this assumption. R1 describes the adsorption and dissociation 271 process: a H₂O molecule is adsorbed onto an oxygen vacancy 272 site and dissociates into a hydroxyl ion and an extra proton, 273 which then bonds to an adjacent oxygen to form a second OH_O° 274 group. R2 describes the charge-transfer process, followed by the 275 association and desorption of H₂.

Using the law of mass action, we express the species reaction $_{277}$ rates for R1 and R2 as

$$r_{1} = k_{1,f} p_{H_{2}O} [V_{O}^{\bullet \bullet}]_{s} [O_{O}^{\times}]_{s} - k_{1,b} [OH_{O}^{\bullet}]_{s}^{2}$$

2

$$r_{2} = k_{2,f} [OH_{O}^{\bullet}]_{s}^{2} [Ce_{Ce}']_{s}^{2} - k_{2,b} p_{H_{2}} [O_{O}^{\times}]_{s}^{2} [Ce_{Ce}^{\times}]_{s}^{2}$$
(12)

280 In eqs 11 and 12, the brackets denote the mole of species 281 per mole of CeO₂. The subscript, s, again, emphasizes that the 282 concentrations of the reactant are taken on the surface. $k_{i,l}$ and 283 $k_{i,b}$ denote the rate coefficients (unit, s⁻¹) of the aforemen-284 tioned reactions and are assumed to follow the Arrhenius 285 expression. Partial pressure of H₂ or H₂O in the gas phase is 286 defined with respect to the reference value (i.e., 1 atm). Because 287 of the high flow rates used in this study, the gas residence 288 time through the control volume (Figure 1b) is much shorter 289 (<300 ms) as compared to chemistry, and thus the reactant 290 partial pressure on the surface is essentially identical to that in 291 the gas phase as measured in the QMS. Therefore, the measured $_{\rm 292}~p_{\rm H_{2}O}$ and $p_{\rm H_{2}}$ accurately represent the redox environment to which the ceria sample is exposed. At equilibrium, r_1 and r_2 293 294 are zero. This leads to the definition of the corresponding 295 equilibrium constants K_1 and K_2 .

A similar pathway has been discussed in the literature. ^{45,50–53} ²⁹⁷ Feng et al.⁴⁵ emphasized the importance of the charge-²⁹⁸ transfer process, by further breaking R2 into $OH_O^{\bullet} + Ce'_{Ce} \rightarrow$ ²⁹⁹ $OH_O^{\times} + Ce'_{Ce}$, followed by the dissociation $2OH_O^{\circ} = 2O_O^{\circ} +$ ³⁰⁰ $H_2(g)$. Similar steps were calculated in a theoretical study by Marrocchelli and Yildiz.⁵¹ Hansen and Wolverton⁵² calculated 301 the minimum energy pathway during R2 and concluded that 302 the process may happen asymmetrically: Ce^{3+} hops close to 303 OH_{O}^{\bullet} and weakens the O–H bond; the weakly bonded proton 304 then moves toward the adjacent OH_{O}^{\bullet} and forms H₂ as the 305 last Ce^{3+} is oxidized. Identifying the detailed elementary steps 306 during R2 is beyond the scope of this study. Here we couple 307 the charge transfer with the H₂ formation process and model it 308 as a single step. 309

The governing equations for the surface species are written as 310

$$\frac{\partial \tilde{C}_i}{\partial t} = \dot{R}_i + \dot{J}_i \qquad i = OH_O^{\bullet}, V_O^{\bullet \bullet}$$
(13) 311

where \tilde{C}_i is the species concentration on the surface, \dot{R}_i is the ³¹² production/consumption rate of species *i*, and \dot{J}_i is the diffusion ³¹³ flux from the bulk phase. We proceed by coupling these species ³¹⁴ equations with the O- and Ce-site conservation equations and ³¹⁵ the electroneutrality condition: ³¹⁶

O-site:

$$[V_{O}^{\bullet\bullet}]_{s} + [OH_{O}^{\bullet}]_{s} + [O_{O}^{\times}]_{s} = 2$$
(14) (14) (14)

Ce-site:

(11)

 $[Ce'_{Ce}]_{s} + [Ce^{\times}_{Ce}]_{s} = 1$ (15) 320

electroneutrality:

$$2[V_{O}^{\bullet\bullet}]_{s} + [OH_{O}^{\bullet}]_{s} = [Ce'_{Ce}]_{s}$$
(16) 322

It is worth noting that the electroneutrality condition may 323 break down in the space-charge region (SC) on the surface. 324 The doubly charged oxygen vacancies along with the polarons 325 form a double layer (i.e., positive charge from $V_0^{\bullet\bullet}$ on one 326 layer and negative charge from Ce'_{Ce} on the other), creating a 327 large disturbance of the spatial electrostatic potential gradient 328 near the surface. This may lead to charge enrichment and 329 simultaneous countercharge depletion in this region. However, 330 Chueh and co-workers reported surface enrichment for both 331 $V_0^{\bullet\bullet\bullet5}$ and $Ce'_{Ce}^{\bullet\pm4}$ in SC for Sm-doped CeO₂. Feng et al.⁴⁵ 332 further quantified the contribution of the electrostatic potential 333 gradient near the surface under redox conditions and concluded 334 that the charge neutrality is preserved near the surface. As such, 335 we adopt the electroneutrality assumption in this study for the 336

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 $\tilde{\rho}_{\mathrm{Ce},\mathrm{s}}\frac{\partial[\mathrm{OH}_{\mathrm{O}}^{\bullet}]_{\mathrm{s}}}{\partial t} = \tilde{\rho}_{\mathrm{Ce},\mathrm{s}}(2r_{1}-2r_{2})$

337 sake of simplicity. This assumption can be relaxed and examined 338 in depth in future study.

With eqs 14–16, the two species equations $(OH_O^{\bullet}, V_O^{\bullet\bullet})$ 340 describe the surface kinetics. Since the proton conductivity is 341 less pronounced compared to the major defects (vacancies 342 and polarons) in the bulk, we assume that all hydroxyl ions 343 are confined to the surface layer and hence neglect its diffusion. 344 Thus, we express the species conservation equations for the 345 surface hydroxyl group and the surface oxygen vacancy as

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$$\tilde{\rho}_{\text{Ce},s} \frac{d[\mathcal{V}_{\text{O}}^{\bullet\bullet}]_{s}}{dt} = -\tilde{\rho}_{\text{Ce},s}r_{1} + \dot{J}_{\mathcal{V}_{\text{O}}^{\bullet\bullet}}$$
(18)

348 Here $\tilde{\rho}_{Ce,s}$ is the surface molar density of the unit cell (unit, 349 mol m⁻²). With the knowledge of $\dot{J}_{VO}^{\bullet\bullet}$, eqs 17 and 18 complete 350 the description of the surface species evolution under the redox 351 conditions.

Bulk-to-Surface Transport. The conservation of a defect sequence is can be expressed as

$$\frac{\partial C_i}{\partial t} + \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 \dot{J}_i) = 0 \qquad i = V_0^{\bullet \bullet}, \ Ce'_{Ce}$$
(19)

355 where C_i is the molar concentration and \dot{J}_i the flux of the defect 356 species *i*. In eq 19, we assume a 1D spherically symmetric 357 diffusion. The flux density is expressed using the Nernst–Planck 358 equation:

$$j_{i} = -\frac{C_{i}D_{i}}{RT}\frac{\partial\tilde{\mu}_{i}^{*}}{\partial r}$$
(20)

³⁶⁰ where D_i is the diffusion coefficient, R the universal gas constant, ³⁶¹ and T the temperature. $\tilde{\mu}_i^*$ is the electrochemical potential. ³⁶² The diffusion process involves the exchange of defects between ³⁶³ two points, x_1 and x_2 :⁶²

₃₆₄
$$V_O^{\bullet\bullet}(x_1) + O_O^{\times}(x_2) \rightleftharpoons V_O^{\bullet\bullet}(x_2) + O_O^{\times}(x_1)$$
 (21)

₃₆₅
$$\operatorname{Ce}'_{\operatorname{Ce}}(x_1) + \operatorname{Ce}'_{\operatorname{Ce}}(x_2) \rightleftharpoons \operatorname{Ce}'_{\operatorname{Ce}}(x_2) + \operatorname{Ce}'_{\operatorname{Ce}}(x_1)$$
 (22)

366 By rearranging the above equations, we obtain equivalently

$$_{367} \quad \mathrm{V}_{\mathrm{O}}^{\bullet\bullet}(x_{1}) - \mathrm{O}_{\mathrm{O}}^{\times}(x_{1}) \rightleftharpoons \mathrm{V}_{\mathrm{O}}^{\bullet\bullet}(x_{2}) - \mathrm{O}_{\mathrm{O}}^{\times}(x_{2}) \tag{23}$$

₃₆₈
$$\operatorname{Ce}'_{\operatorname{Ce}}(x_1) - \operatorname{Ce}'_{\operatorname{Ce}}(x_1) \rightleftharpoons \operatorname{Ce}'_{\operatorname{Ce}}(x_2) - \operatorname{Ce}'_{\operatorname{Ce}}(x_2)$$
 (24)

³⁶⁹ Equations 23 and 24 restate the diffusion process in terms of the ³⁷⁰ "defect elements", i.e., the structural defect minus the original ³⁷¹ normal site.⁶² Thus, $\tilde{\mu}_i^*$ for the oxygen vacancy and polaron can ³⁷² be expressed as

$$\tilde{\mu}_{V_{0}^{*}}^{*} = \tilde{\mu}_{V_{0}^{*}} - \tilde{\mu}_{O_{0}^{\times}}$$
(25)

$$\tilde{\mu}_{e}^{*} = \tilde{\mu}_{Ce_{Ce}^{'}} - \tilde{\mu}_{Ce_{Ce}^{\times}}$$
(26)

³⁷⁵ Here $\tilde{\mu}_i$ is the electrochemical potential for each species. We ³⁷⁶ note that the contribution of the normal sites ($\tilde{\mu}_{OO}^{\times}$ and $\tilde{\mu}_{CeCe}^{\times}$) ³⁷⁷ to the diffusion process cannot be neglected here, because the ³⁷⁸ redox conversion involves a large nonstoichiometry change, and ³⁷⁹ the availability of the normal sites significantly influences the ³⁸⁰ diffusion.

We proceed by defining the electrochemical potential of the structural defects and the normal sites as

$$\tilde{\mu}_{j} = \mu_{j}^{0} + RT \ln a_{j} + z_{j}F\phi \qquad j = V_{O}^{\bullet\bullet}, O_{O}^{\times}, Ce_{Ce}^{\prime}, Ce_{Ce}^{\times}$$
(27)

392

397

399

401

where μ_j^0 is the chemical potential at the reference condition 384 and z_j the effective charge. ϕ is the internal electrostatic 385 potential. *F* is the Faraday constant. a_j is the activity, defined as 386

$$a_j = \gamma_j \frac{C_j}{C_{\text{ref}}} \tag{28}_{387}$$

where γ_j is the activity coefficient and $C_{\text{ref}} = \text{constant}$ is a 388 reference molar concentration. Assuming that γ_j is independent 389 of concentration, we express the spatial derivatives of $\tilde{\mu}_i$ as 390

$$\frac{\partial \tilde{\mu}_{j}}{\partial r} = \frac{\partial \mu_{j}^{0}}{\partial r} + RT \frac{\partial \ln C_{j}}{\partial r} + z_{j}F \frac{\partial \phi}{\partial r}$$
(29) 391

Substituting eqs 25–29 into eq 19 yields

$$\frac{\partial [\mathbf{V}_{O}^{\bullet\bullet}]}{\partial t} = \frac{1}{r^{2}} \frac{\partial}{\partial r} \left\{ \frac{r^{2} [\mathbf{V}_{O}^{\bullet\bullet}] D_{\mathbf{V}_{O}^{\bullet\bullet}}}{RT} \left[\frac{\partial (\mu_{\mathbf{V}_{O}^{\bullet\bullet}}^{0} - \mu_{O_{O}^{\times}}^{0})}{\partial r} + RT \frac{\partial}{\partial r} \ln \left(\frac{[\mathbf{V}_{O}^{\bullet\bullet}]}{[\mathcal{O}_{O}^{\times}]} \right) + 2F \frac{\partial \phi}{\partial r} \right] \right\}$$
(30) 393

$$\frac{\partial [\operatorname{Ce}_{\operatorname{Ce}}']}{\partial t} = \frac{1}{r^2} \frac{\partial}{\partial r} \left\{ \frac{r^2 [\operatorname{Ce}_{\operatorname{Ce}}'] D_{\operatorname{Ce}_{\operatorname{Ce}}'}}{RT} \left[\frac{\partial (\mu_{\operatorname{Ce}_{\operatorname{Ce}}}^0 - \mu_{\operatorname{Ce}_{\operatorname{Ce}}}^0)}{\partial r} + RT \frac{\partial}{\partial r} \ln \left(\frac{[\operatorname{Ce}_{\operatorname{Ce}}']}{[\operatorname{Ce}_{\operatorname{Ce}}^\times]} \right) - F \frac{\partial \phi}{\partial r} \right] \right\}$$
(31) 394

Coupling with the Ce- and O-site conservation equations and 395 the electroneutrality condition: 396

O-site:

(17)

$$[V_0^{\bullet\bullet}] + [O_0^{\times}] = 2$$
 (32) 398

Ce-site:

$$[Ce'_{Ce}] + [Ce^{\times}_{Ce}] = 1$$
(33) (33) (33)

elecroneutrality:

$$2[V_{O}^{\bullet\bullet}] = [Ce'_{Ce}]$$
(34) 402

Equations 30–34 formulate a complete description of the bulk 403 diffusion process. 404

The characteristics and the properties of the ceria sample 405 allow us to significant simplify these equations. The electronic 406 and ionic diffusivities $(D_{CeCe}, D_{VO}^{\bullet \bullet})$ of ceria are very high 407 and bring the bulk defects to dynamic equilibrium during the 408 redox process. Using the diffusivity data reported in ref 63, 409 the characteristic time, t, for a diffusion-limited process from 410 the expression $t \sim R^2/4D$, is estimated to be less than 200 ms, 411 which is significantly faster compared to the surface chemistry. 412 A more rigorous calculation that takes into account the temporal 413 and spatial variation of the defect concentration is included in 414 Appendix A, which shows that the concentration variation caused 415 by the mass-transfer resistance is less than 4%. This estimation 416 indicates that the bulk diffusion within the ceria macroparticle 417 is fast enough that any spatial variations of $\tilde{\mu}^*_{V_0^{o}}$ and $\tilde{\mu}^*_{Ce'_{Ce}}$ will be 418 readily removed via diffusion. As such, the conversion process is 419 essentially surface-reaction-limited. Thus, $\tilde{\mu}^*_{V_0^{\bullet}}$ and $\tilde{\mu}^*_{Ce'_{Ce}}$ remain $_{_{420}}$ uniform throughout the particle while responding dynamically 421

422 to the changing environment. Equations 30 and 31 can be 423 simplified as

$$\frac{\partial}{\partial r} \left(\mu_{V_{O}^{\bullet}}^{0} - \mu_{O_{O}^{\times}}^{0} \right) + RT \frac{\partial}{\partial r} \ln \left(\frac{[V_{O}^{\bullet\bullet}]}{[O_{O}^{\times}]} \right) + 2F \frac{\partial \phi}{\partial r} = 0$$

$$(35)$$

₄₂₆ $\tilde{\mu}^*_{Ce'_{Ce}}$ = constant:

. .

427

$$\frac{\partial}{\partial r} (\mu_{\mathrm{Ce}_{\mathrm{Ce}}}^{0} - \mu_{\mathrm{Ce}_{\mathrm{Ce}}}^{0}) + RT \frac{\partial}{\partial r} \ln \left(\frac{[\mathrm{Ce}_{\mathrm{Ce}}]}{[\mathrm{Ce}_{\mathrm{Ce}}^{\times}]} \right) - F \frac{\partial \phi}{\partial r} = 0$$
(36)

However, the uniformity of the $\tilde{\mu}_{V_0}^{**}$ and $\tilde{\mu}_{Ce'_{Ce}}^{*}$ does not 429 necessarily means the same value of the defect concentration, 430 $V_0^{\bullet\bullet}$ and $Ce'_{Ce'}$ in the bulk and at the surface. In fact, owing to 431 the difference of the standard chemical potentials ($\mu_{V_0}^{\bullet\bullet} - \mu_{O_0}^{\bullet}$ 432 and $\mu_{Ce'_{Ce}}^{0} - \mu_{Ce'_{Ce}}^{0}$) between the bulk and the surface, a pro-433 nounced surface defect segregation phenomenon (see schematics 434 in Figure 2b) has been observed in several studies. 44,45,55 Chueh 435 et al.⁴⁴ reported a two-order-of-magnitude surface-to-bulk Ce'_{Ce} 436 enrichment for Sm-doped ceria at 466 °C. Similar results were 437 observed for the oxygen vacancies by Feng et al.⁴⁵ To model the 438 surface effect, we assume that μ_j^0 varies from the bulk ($r < r_p$) to 439 the surface ($r = r_p$) according to a step function, as depicted in 440 Figure 3. Thus, the defect concentration in the bulk phase is



Figure 3. Schematics of (a) the bulk-to-surface transport model and (b) the difference of the defect formation energy. b and s in parentheses denote the defects in the bulk phase and on the surface, respectively.

⁴⁴¹ uniform, and it connects dynamically to the kinetic process on ⁴⁴² the surface via diffusion. Eliminating ϕ in eqs 35 and 36 and ⁴⁴³ integrating from the bulk to the surface yield

$$\frac{\left[\mathbf{V}_{O}^{\bullet\bullet}\right]_{s}\left[\mathbf{Ce}_{Ce}^{\star}\right]_{s}^{2}}{\left[\mathbf{O}_{O}^{\times}\right]_{s}\left[\mathbf{Ce}_{Ce}^{\star}\right]_{s}^{2}} / \frac{\left[\mathbf{V}_{O}^{\bullet\bullet}\right]_{b}\left[\mathbf{Ce}_{Ce}^{\star}\right]_{b}^{2}}{\left[\mathbf{O}_{O}^{\times}\right]_{b}\left[\mathbf{Ce}_{Ce}^{\times}\right]_{b}^{2}} = \exp\left(-\frac{\Delta\mu_{T}^{0}}{RT}\right) = K_{T}$$
(37)

445 where

444

446

$$\Delta \mu_T^0 = (\mu_{V_0}^0 - \mu_{O_0}^0 + 2\mu_{Ce_{ce}}^0 - 2\mu_{Ce_{ce}}^0)_{\text{surface}} - (\mu_{V_0}^0 - \mu_{O_0}^0 + 2\mu_{Ce_{ce}}^0 - 2\mu_{Ce_{ce}}^0)_{\text{bulk}}$$
(38)

⁴⁴⁷ Equation 37 essentially describes the transport equilibrium between ⁴⁴⁸ the bulk and the surface, by combining reactions 23 and 24, as

$$V_{O}^{\bullet\bullet}(b) - O_{O}^{\times}(b) + 2Ce'_{Ce}(b) - 2Ce^{\times}_{Ce}(b)$$

$$\Rightarrow V_{O}^{\bullet\bullet}(s) - O_{O}^{\times}(s) + 2Ce'_{Ce}(s) - 2Ce^{\times}_{Ce}(s)$$
(39) (39) (39)

If we add $\frac{1}{2}O_2$ to both sides of eq 39, we arrive at the defect $_{450}$ formation reaction: $^{47-49}$

$$O_{O}^{\times}(b) + 2Ce_{Ce}^{\times}(b) \rightleftharpoons V_{O}^{\bullet \bullet}(b) + 2Ce_{Ce}^{\prime}(b) + \frac{1}{2}O_{2}$$

(40) 452

$$O_{O}^{\times}(s) + 2Ce_{Ce}^{\times}(s) \Rightarrow V_{O}^{\bullet\bullet}(s) + 2Ce_{Ce}'(s) + \frac{1}{2}O_{2}$$
 (41) 453

for the bulk and the surface, respectively. Therefore, $\Delta \mu_T^0$ is the 454 difference in the formation energy of the defect between the 455 surface (eq 41) and the bulk phase (eq 40), $\Delta \mu_T^0 = \Delta \mu_{R,s}^0 - 456 \Delta \mu_{R,b}^0$, where $\Delta \mu_{R,b}^0$ and $\Delta \mu_{R,s}^0$ are the Gibbs free energy of 457 reaction for eqs 40 and (41), respectively. $\Delta \mu_T^0$ can be further 458 related to Δh_T^0 , and Δs_T^0 , as 459

$$\Delta\mu_T^0 = \Delta h_T^0 - T\Delta s_T^0 \tag{42}$$

Creation of one defect involves breaking up four Ce–O bonds 461 in the bulk, but fewer on the surface. Thus, defects are more 462 energetically favored on the surface. On the other hand, the 463 defect formation causes the relaxation of the adjacent atoms 464 with reduced vibrational frequency and increased amplitude, 465 leading to increased entropy.⁶² The entropy increase is higher in 466 the bulk, because more adjacent atoms are relaxed, and the 467 relaxation from its dense-packed crystal is more dramatic. Thus, 468 both Δh_T^0 and Δs_T^0 are negative. Based on the measurements by 469 Chueh et al.,⁴⁴ we obtain $\Delta h_T^0 = -113.7$ kJ mol⁻¹, and $\Delta s_T^0 = 470$ -50.2 J mol⁻¹ K⁻¹ for the Sm-doped ceria (see Appendix B for 471 calculation).

Numerical Simulation. With the assumption of a uniform 473 bulk defect concentration, and eq 37 to connect bulk to surface, 474 we can simplify eq 18 by considering the conservation of the 475 overall oxygen vacancy within the particle: 476

$$\frac{\partial}{\partial t} \left(\tilde{\rho}_{\text{Ce}} V_R [V_O^{\bullet \bullet}]_b \right) = -S_R \tilde{\rho}_{\text{Ce},s} r_1 \tag{43}$$

where V_{R} , and S_R are the volume and the surface area of the 478 particle, and $\tilde{\rho}_{Ce}$ is the molar density of the unit cell in the bulk. 479 Equation 43 describes the fact that the surface splitting reaction 480 leads to the consumption of oxygen vacancy. We note here that 481 the moles of the oxygen vacancy on the surface is negligible 482 compared to the bulk, and thus it is neglected from the left- 483 hand side of eq 43.

Equations 17, 37, and 43 form the complete description of 485 the redox process. The unknown (not all kinetic) parameters 486 are $k_{i,b}$, K_i (i = 1, 2), Δh_T^0 , and Δs_T^0 . With the time-resolved 487 profiles of $[H_2O]$ and $[H_2]$ measured using the QMS, the 488 entire conversion process can be predicted. These equations are 489 integrated numerically, and the predicted reactivity is compared 490 to the experimental measurement. The unknown parameters 491 are then varied to minimize the difference: 492

$$f = \sum_{\text{alltests}} \sqrt{\sum_{0 \le t \le t^*} (\hat{\omega}_{\text{predicted}} - \hat{\omega}_{\text{measured}})^2}$$
(44) 493

Here the reaction rates ($\hat{\omega}_{\text{predicted}}$ or $\hat{\omega}_{\text{measure}}$) are normalized by 494 the maximum rate in each test. The minimization is performed 495 numerically in MATLAB using fminsearch solver.⁶⁴ The redox 496 measurements at 600, 700, 800, 900, and 1000 °C are used to 497 extract the unknown parameters. Δh_T^0 and Δs_T^0 for Sm-doped 498 ceria calculated in Appendix B are taken as the initial guess, but 499

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500 variations are allowed to account for the difference between 501 Sm-doped and undoped ceria. To ensure a global minimum, 502 the initial guess is randomly sampled over a wide range of 503 values (ln k_0 from -20 to 20, *E* from 0 to 200) for 200 tests, 504 and the results with minimum *f* are chosen.

4. RESULTS

 $_{505}$ **CeO₂ Morphology Evolution and Cyclic Repeatability.** $_{506}$ Figure 4 shows the SEM images of the fresh (panel a) and aged



Figure 4. SEM images of ceria powder (a) before redox cycles and (b) after 100 redox cycles.

 $_{507}$ (panel b) ceria powder. It is found that the fresh sample $_{508}$ contains particles of size ~ 50 nm, clumping together into $_{509}$ a mushroom-like structure. During pretreatment, the surface $_{510}$ area is reduced and the particles sinter into an interconnected

structure with a size ~ 200 nm (based on the BET measure- 511 ment). This structural relaxation is caused by sintering during 512 the initial redox treatment (first 100 cycles). Samples after 513 additional 20 redox cycles are also examined, and the same 514 microstructure and redox rates are obtained, indicating that a 515 periodic and reversible stationary equilibrium is reached. 516

Figure 5 shows the profiles of H_2 and H_2O for three redox 517 cycles at 1000 °C. Reduction proceeds for 2 min with 14% H₂ 518 at 350 cm³(STP)/min, while oxidation with 26% H₂O for 519 2 min. Two min Ar purging is used in between to remove 520 residuals. H_2 spikes with the rise of H_2O , with the peak H_2 over 521 7%, roughly a quarter of the feed H_2O . After the peak, H_2 drops 522 quickly, and diminishes after 0.5 min. The H₂ near the end of 523 the oxidation phase is essentially zero. After oxidation, the ceria 524 sample is also tested with 1% O2 (Ar balance), and no further 525 consumption of O2 is observed. This indicates a complete 526 reoxidation of ceria with H2O. Similarly, the produced H2O 527 during reduction spikes at the beginning of each reduction 528 cycle. The peak H₂O reaches around 3%, approximately one- 529 fifth of the feed H₂ during reduction. H₂O decays slower as 530 compared to H₂ during oxidation, and approaches zero after 531 2 min, indicating a slower reactivity compared to oxidation. 532 The cycles are repeatable with no noticeable difference. 533 Figure 6 compares the total cumulative H_2 and H_2O production 534 during the oxidation and reduction steps, respectively, for eight 535 cycles measured at 1000 °C. The total H₂ production closely 536 matches with the H₂O production, indicating cyclic regener- 537 ability. The total produced H₂ is about 1250 μ mol g⁻¹ ceria, 538 corresponding to a $\Delta\delta$ of 0.215. 539

Effect of Temperature. Figure 7 compares $\omega_{\rm H_2}$ and $\omega_{\rm H_2O}$ 540 as a function of temperature from 500 to 1000 °C. In each plot, 541 the reaction rate exhibits a fast initial stage, followed by a 542 quick decrease. During oxidation, the fast initial rise of H₂ 543 corresponds to the rapid ion-incorporation process with the 544 enriched surface oxygen vacancies, as shown in section 5. The 545 reactant concentration on the surface plays a significant role 546 in determining the maximum rate. For temperatures lower than 547 700 °C, H₂ production is limited, owing to the slow oxygen 548 removal kinetics and hence limited oxygen vacancy created 549 in the previous reduction step. Increasing the temperature until 550



Figure 5. Three redox cycles at 1000 $^{\circ}$ C with 26% H₂O for oxidation and 13.7% H₂ for reduction. The redox step takes 2 min each, and the purging section takes 2 min in between. The total CeO₂ is 100 mg.



Figure 6. Total H₂ and H₂O production during the oxidation and reduction, respectively, for eight cycles at 1000 °C.



Figure 7. (a) H_2 production rate (μ mol $g^{-1} s^{-1}$) during the oxidation step and (b) H_2O production rate (μ mol $g^{-1}s^{-1}$) during the reduction step. Temperature is varied from 1000 to 500 °C. 26% H_2O at 337 cm³(STP)/min is used for oxidation, and 14.3% H_2 at 350 cm³(STP)/min is used for reduction. The uncertainties of the rate measurements are $\pm 0.5 \ \mu$ mol $g^{-1}s^{-1}$ for H_2 production, and $\pm 0.75 \ \mu$ mol $g^{-1}s^{-1}$ for H_2O production.

ss1 850 °C leads to a significant jump, and the peak rate doubles ss2 almost every 50 °C. Further increase in the temperature, ss3 however, only mildly improves H_2 production rates during the ss4 initial stage. As will be discussed in section 5, the nonlinear ss5 temperature dependence is caused by the surface defect ss6 segregation. Following the peak, H_2 production sharply decays and approaches zero after 0.5 min, exhibiting linear dependence 557 on temperature, as it is mainly controlled by the available 558 oxygen vacancy in the bulk phase. 559

Compared with oxidation, the reduction step is slower 560 and more sensitive to temperature. A fast initial spike is again 561 observed, followed by a slower decay. The peak occurs around 562



Figure 8. Peak H₂ and H₂O production rates as a function of temperature during oxidation and reduction cycles.



Figure 9. Total H_2 and H_2O production as a function of temperature during oxidation and reduction steps.

563 0.08 min similar to that shown in Figure 7a, while the decay 564 continues even after 1 min (see Figure 5). During the initial

stage, the rise of $\omega_{\rm H_2O}$ depends almost linearly on the temperature throughout the entire range, indicating a large activation see energy barrier. However, $\omega_{\rm H_2O}$ profiles start to overlap during see the decay stage for temperature above 850 °C, as the removal see of oxygen essentially brings the surface closer to the beginning see of the oxidation phase, where the segregation effect reduces the so difference among different temperatures. S71

Figure 8 emphasizes the observed behavior of the peak rates at 572 varying temperature. The peak H₂ rate exhibits a sigmoidal profile 573 with a rapid increase around a threshold temperature of $700 \,^{\circ}$ C, 574 while the peak H₂O rate continuously increases with temperature. 575 The total H₂/H₂O production during the 2 min oxidation/ 576 reduction process is illustrated in Figure 9. In all the cases, a close 577 match is found between the H₂ and H₂O production. Governed 578 by the temperature sensitive reduction step, the overall H₂ pro- 579 duction rises continuously with temperature. Figure 10 compares 580



Figure 10. Nonstoichiometry change $\Delta\delta$ during (a) the oxidation step and (b) the reduction step as a function of temperature.



Figure 11. (a) Oxidation rate at different H_2O concentrations. (b) Peak rate and total production as a function of H_2O concentration. The temperature is fixed at 1000 °C. The H_2 concentration is fixed at 13.7% during reduction.



Figure 12. (a) Reduction rate at different H_2 concentrations. (b) Peak rate and total production as a function of H_2 concentration. The temperature is fixed at 1000 °C. The H_2O concentration is fixed at 26% during reduction.

Table 4. Fitted Kinetic Parameters for Both the Forward and Backward Reactions

		$k (s^{-1})$
	reaction 1	reaction 2
forward	$1.3 \times 10^2 \exp((-7.0 \pm 7 \text{ kJ mol}^{-1})/RT)$	$1.5 \times 10^{14} \exp((-190 \pm 50 \text{ kJ mol}^{-1})/RT)$
backward	$8.2 \times 10^{14} \exp((-210 \pm 50 \text{ kJ mol}^{-1})/RT)$	$4.4 \times 10^4 \exp((-97 \pm 5 \text{ kJ mol}^{-1})/RT)$
equilibrium	$1.6 \times 10^{-13} \exp((203 \pm 50 \text{ kJ mol}^{-1})/RT)$	$3.4 \times 10^9 \exp((-93 \pm 50 \text{ kJ mol}^{-1})/RT)$

Table 5. Parameters for the Transport and Bulk Defect Equilibria

		CeO ₂		Sm _{0.2} Ce _{0.8} O _{1.9}
	this work	ref 48 ^a	ref 49	ref 44 ^b
$\Delta h_T^0 ~(\mathrm{kJ}~\mathrm{mol}^{-1})$	-107.6 ± 16.8			-95.7
$\Delta s_T^0 (\text{J mol}^{-1} \text{K}^{-1})$	-54.0 ± 11.9			- 26.5
$\Delta h_b^0~({ m kJ~mol^{-1}})$	467.4 ± 8.9	455.2	450.2	373.2
$\Delta s_b^0 (\mathrm{J} \mathrm{mol}^{-1} \mathrm{K}^{-1})$	172.9 ± 6.4	144.3	131.8	101.5

"Note that ref 48 reports varying enthalpy and entropy with δ ; the values are averaged for $\delta = 0-0.05$." The values are calculated based on the chemical potential of atom oxygen as reported in ref 44. See Appendix B for calculations.

⁵⁸¹ the difference in the profiles of the nonstoichiometry $\Delta\delta$, ⁵⁸² as calculated in eq 7, during oxidation and reduction. The initial ⁵⁸³ stage of oxidation ends within 15 s, but accounts for more than ⁵⁸⁴ 80% of the overall change, and the residual oxidation only leads to ⁵⁸⁵ a minor increase. Raising the temperature enlarges the overall ⁵⁸⁶ oxygen carrying capacity, but the conversion follows a similar pattern. In comparison, reduction proceeds more gradually, and it 587 is more temperature sensitive. The transition between the initial 588 and residual stages is less obvious, and both stages equally 589 contribute to the overall nonstoichiometry change. The reduction 590 continues after 2 min although the rate is too slow to be of 591 practical interest. 592

The measured peak H₂ rate is ~160 μ mol g⁻¹s⁻¹ at 1000 °C 593 s94 and 60 μ mol g⁻¹s⁻¹ at 700 °C (also included in Table 2 for comparison). The fast H₂ production rate is because of the fine 595 particles, and hence large surface area, used in the measurements. 596 Comparing to TCWS, the utilization of fuel in the reduction step 597 creates many oxygen vacancies and hence leads to an enhanced 598 H_2 production. The oxygen removal in reduction is the limiting 599 step and is more temperature sensitive. 700 °C is the threshold 600 601 temperature to achieve a large oxygen nonstoichiometry and hence enables a transition to a fast H₂ production rate in the 602 following oxidation step. Therefore, 700 °C (or above) along 603 with a longer residence time in reduction is beneficial. 604



Figure 13. Isothermal oxygen nonstoichiometry as a function of p_{O_2} for CeO₂ from 800 to 1000 °C (solid lines). Dashed lines are based on the conductivity measurements by Tuller and Nowick.⁴⁹ Symbols are from Panlener et al.⁴⁸ Gray lines are for guiding the eyes.

Effect of H₂O/H₂ Concentration. Figure 11 and Figure 12 605 show the effect of H₂O and H₂ concentration, respectively. The 606 measured rates are plotted in panel a, while the peak rate and 607 the total production are highlighted in panel b. ω_{H_2} becomes 608 taller and narrower at higher H₂O concentration. The peak rate 609 linearly depends on the H₂O concentration, while the total 610 production remains the same. In contrast, a stronger reducing 611 environment shifts the entire ω_{H_2O} curve outwardly and hence 612 enlarges the overall oxygen carrying capacity. The peak rate also 613 linearly depends on the H₂ concentration. 614

5. DISCUSSION

The kinetics and the defect equilibrium parameters derived in 615 this study for undoped ceria are summarized in Table 4 and 616 Table 5. The values of Δh_T^0 and Δs_T^0 are close to those obtained 617 for Sm-doped ceria (see Appendix B). The bulk equilibrium for 618 reaction 40 is also calculated by combining eqs 9, 10, and 39 619 along with the water splitting reaction, $H_2(g) + \frac{1}{2}O_2(g) = 620$ $H_2O(g)$, as

$$K_{\rm b} = \exp\left(-\frac{\Delta h_{\rm b}^{0} - T\Delta s_{\rm b}^{0}}{RT}\right) = \frac{p_{\rm O_{2}}^{-1/2} [\rm V_{O}^{\bullet \bullet}]_{\rm b} [\rm Ce_{Ce}^{\prime}]_{\rm b}^{-2}}{[\rm O_{O}^{\times}]_{\rm b} [\rm Ce_{Ce}^{\times}]_{\rm b}^{-2}}$$
$$= (K_{\rm I} K_{\rm 2} K_{\rm T} K_{\rm W})^{-1}$$
(45) 622

Here K_1 , K_2 , K_T , and K_W are the equilibrium constants for the 623 surface reactions R1 and R2, the bulk-to-surface transport, and 624 the water splitting reaction, respectively. The calculated bulk- 625 phase equilibrium (Δh_b^0 and Δs_b^0) is in a close match with 626 the literature,^{48,49} as shown in Table 5. Figure 13 depicts the 627 isothermal bulk oxygen vacancy concentration as a function of 628 the equivalent $p_{O,2}$ compared with the measurements reported 629 by Panlener et al.⁴⁸ and Tuller and Nowick.⁴⁹ Quantitative agree- 630 ment is found at large nonstoichiometry, although discrepancy 631



Figure 14. Comparison between the model predictions (lines) and the measurements (circles) for both oxidation (upper panels) and reduction (lower panels). The scales in the *y*-axis are different at lower temperature for clarity.

⁶³² is seen when p_{O_2} is high, resulting from a slightly larger Δs_b^0 . ⁶³³ Both ref 49 and the current study report a dependence of -1/6⁶³⁴ near stoichiometry, while Panlener et al.⁴⁸ reported a depen-⁶³⁵ dence close to -1/5, possibly due to the existence of impurities. ⁶³⁶ Figure 14 shows a comparison between the measured reactiv-⁶³⁷ ity and model predictions for both oxidation and reduction.



Figure 15. Energy landscape for the reaction pathway (black), and comparison with the theoretical calculations by Hansen and Wolverton⁵² (red) and Marrocchelli and Yildiz⁵¹ (blue). The dashed black line on the left side denotes the bulk-phase defect. Δh_b^0 and Δh_s^0 denote the defect formation energy for bulk (eq 40) and surface (eq 41), respectively, and Δh_T^0 denotes the difference. Unit is kJ mol⁻¹.

An excellent match is found for all cases. The predictions 638 well-characterize the spike-decay behaviors of both redox steps. 639 The temperature dependence is precisely represented; the subtle 640 difference between the reduction and oxidation steps is also 641 adequately captured. 642

Figure 15 shows the calculated energy landscape (black lines) 643 for the surface chemistry (see Table 4). The energy levels for 644 the intermediate species on the surface as well as the transi- 645 tion states are plotted in reference to the perfect crystal as the 646 ground state. R1 is highly exothermic with a minor barrier. The 647 second step is highly endothermic, and requires a significant 648 energy to break up the O-H bond. The intermediate species, 649 OH₀, lies in a deep valley, and hence one may expect high 650 surface coverage at low temperature. The overall H₂ production 651 process (eq 8) is exothermic with the enthalpy of reaction 652 around -120 kJ mol⁻¹. The theoretical calculations by Hansen 653 and Wolverton⁵² (red lines) and Marrocchelli and Yildiz⁵¹ 654 (blue lines) are also included in this plot for comparison. Both 655 obtained similar reaction energy for the first step, although 656 they split it and simulated the adsorption and the dissociation 657 processes separately. Similarly, no substantial activation barriers 658 were found for R1 in either work. Marrochelli and Yildiz⁵¹ 659 modeled the breaking of the O-H bond as a symmetric process 660 and reported a significant barrier around 400 kJ mol⁻¹ for H₂ 661 formation. In contrast, Hansen and Wolverton⁵² identified an 662 asymmetric pathway with a much lower barrier (281 kJ mol⁻¹). 663 The current study reported a barrier around 200 kJ mol⁻¹. 664 The lower barrier may result from alternative fast desorption 665



Figure 16. Concentrations of the bulk and surface species for oxidation (a, b) and reduction (c, d) at 1000 and 700 °C.

666 pathways, especially at large nonstoichiometry when the sur-667 face defect vacancy concentrations are high. Marrocchelli and 668 Yildiz⁵¹ reported a similar overall energy of reaction (Δh_s^0 for 669 eq 41) as in this study, while Hansen and Wolverton⁵² reported 670 a slightly lower value. The bulk-phase defect formation energy, 671 Δh_b^0 , is also included in Figure 15, and the difference between 672 the bulk and the surface is Δh_T^0 .

Figure 16 plots the species concentration in the bulk and on 673 674 the surface. The reduction reaction starts from stoichiometry (complete oxidation from the previous step), while oxidation 675 676 begins with the defects determined from the previous reduction 677 step. During the oxidation step, all species undergo a quick decay, leading to a rapid H₂ production during the initial stage 678 as observed in Figure 7. The initial stage is followed by a much 679 slower decay as the conversion transits to the second stage 680 where the species concentrations are mainly affected by the 681 equilibrium with the H_2O/H_2 environment. Hydroxyl is quickly 682 683 formed as the reaction starts and remains in a quasi-steady state during the rest of the process. Low temperature favors the 684 formation of hydroxyl ions, owing to the large energy barriers 685 as seen in Figure 15. The bulk defect concentrations are highly 686 sensitive to temperature, as evident from the large $\Delta h_{\rm b}^0$ shown 687 688 in Table 5, leading to a significant difference between the high and the low temperature cases. On the other hand, the surface 689 defect is less dependent on the temperature. In all cases, the 690 concentrations on the surface are observed to be much higher 691 692 than the bulk. The surface segregation effect is more significant at low temperature, causing over an order-of-magnitude 693 improvement compared to the bulk phase. Similar behavior is 694 observed during the reduction step, where the segregation 695 effect is more pronounced near the end of conversion. 696

To further examine the surface segregation effect, Figure 17a 697 shows the calculated equilibrium concentration of $\mathrm{Ce}_{\mathrm{Ce}}'$ in the 698 bulk and on the surface as a function of the effective p_{O_2} . Each 699 line corresponds to the same range of $H_2O:H_2$ ratio, from 10^{-3} 700 (reducing) to 10^3 (oxidizing). Under all conditions, the surface 701 702 $\left[\operatorname{Ce}_{\operatorname{Ce}}^{\prime}\right]$ value is significantly greater than the bulk, indicating that the surface is more reduced. The ratio between surface and 703 704 bulk, as shown in Figure 17b, ranges from 1.5 to 15, favoring the low temperature. Apart from the difference in the absolute 705 values, the temperature and oxygen dependence also differs 706 remarkably between bulk and surface. $[Ce'_{Ce}]$ on the surface is 707 only weakly sensitive to temperature and becomes almost the 708 same above 800 °C (with $H_2O:H_2$), causing the overlap of the 709 measured reaction rates during the first stage of oxidation 710 (Figure 7a) and the second stage of reduction (Figure 7b). 711 $[Ce'_{Ce}]$ in the bulk generally exhibits -1/6 dependence on the 712 $_{713} p_{O_2}$, as expected from eq 45. On the other hand, the p_{O_1} 714 dependence for surface $[Ce'_{Ce}]$ flattens from -1/6 with decreasing p_{O_2} and eventually goes to zero as the surface becomes fully 715 716 reduced. This nonlinearity results from the reduced concen-717 tration of the normal sites $(O_{O}^{\times}, Ce_{Ce}^{\times} \text{ in eq } 45)$ on the surface. 718 As a result, the ratio in panel b exhibits a nonlinear pattern with p_{0} . 719

Figure 18 shows the forward and the backward reaction rates for the redox processes. At all temperatures, the H_2O adsorption and dissociation step (R1) during oxidation is much faster; equilibrium is quickly established and maintained throughout the rest of the conversion. In contrast, the ion/electron-transfer process (R2) is slower and its backward reaction rate is close to zero. This indicates that the reaction is limited by the the rest of the process (R2), as also observed by Feng et al.⁴⁵



Figure 17. (a) Ce'_{Ce} concentration at equilibrium on the surface (solid) and in the bulk (dashed). Value of 1 corresponds to a complete reduction to the reduced 3+ state. (b) Ratio of the surface to bulk Ce'_{Ce} concentration.

Compared to oxidation, the reduction rate is much slower. 728 The forward and backward rates for both steps are at similar 729 magnitude, and equilibria are established during most of the 730 conversion process. The low rates for R1 are mainly attributed 731 to the low H_2O produced during reduction. A more reducing 732 environment (e.g., higher H_2 concentration) effectively shifts 733 the equilibrium backward, leading to more reduced ceria, as 734 observed in Figure 12.

The surface segregation effect along with the plausible rate- 736 limiting step observed in this study suggests directions for 737 improving the water splitting activity of ceria and potentially 738 other oxygen-incorporation materials. The reduction step is in 739 general much slower, and it limits the redox capability at low 740 temperature (\leq 700 °C). Therefore, promoting the reduction 741 step is essential to the low temperature water splitting process. 742 On the other hand, the surface is nearly enriched with the 743 defects at high temperature (>700 °C), and the overall H₂ 744 production is constrained by the charge-transfer step. Thus, 745 efforts should concentrate on accelerating the charge-transfer 746 step at high temperature.

6. CONCLUSIONS

This work presents a detailed redox study with emphasis on 748 identifying the surface ion-incorporation kinetics pathway. 749 Time-resolved kinetics is measured for ceria nanopowder in a 750 button cell reactor for 600-1000 °C at atmospheric pressure. 751 The ceria sample is alternatively exposed to water vapor in 752 the oxidation cycle to produce H₂ and H₂/Ar mixture in the 753 reduction cycle to remove the lattice oxygen. The ceria sample 754 undergoes structural and morphological changes during the 755 initial redox treatment before reaching cyclic equilibrium.



Figure 18. Surface reaction rates for both steps in oxidation (a, b) and reduction (c, d). The forward reaction rates are plotted as positive values, and the backward rates are negative. Note different scales are used in panels c and d for clarity.

We find an over 1 order-of-magnitude higher H₂ produc-757 758 tion rate compared to the state-of-art thermochemical water splitting and reactive chemical-looping water splitting studies. 759 The high redox rates are attributed to the fine particles 760 and hence large surface areas used in the study, which ensure 761 surface-limited-process. The peak rates measured are 762 а 160 μ mol g⁻¹s⁻¹ at 1000 °C and 60 μ mol g⁻¹s⁻¹ at 700 °C. 763 The maximum nonstoichiometry change ($\Delta\delta$) achieved is 764 0.215 at 1000 °C. It is found that the H₂ production rate 765 depends weakly on temperature in the range 800-1000 °C, 766 while the reduction process critically depends on the reaction 767 temperature. Overall, reduction is the limiting step especially 768 at low temperature, and it determines the total amount of the 769 hydrogen produced in the following oxidation step. 770

The redox kinetics is modeled using a two-step surface 771 chemistry while considering bulk-to-surface transport equilibrium. 772 The proposed surface chemistry comprises an H₂O adsorption/ 773 dissociation step and a charge-transfer step. Kinetics and equi-774 librium parameters are extracted and excellent agreement is 775 achieved between the model predictions and the measurements. 776 Driven by the difference in the free energy of formation, the 777 surface defect concentration is found to be an order-of-magnitude 778 higher than the bulk. The model reveals that the surface defects 779 780 are abundant during the redox conditions, and the charge-781 transfer process is the rate-determining step for H₂ production.

The kinetic model along with the surface-controlled experiments 782 provides a new approach to examine the redox pathways and 783 defect equilibrium for alternative materials. The kinetics study 784 also provides guidance for the design and the practical applica- 785 tion of the chemical-looping water splitting technology: (1) finer 786 particles are preferred to enable faster kinetics; (2) the operating 787 temperature is recommended to be higher than the threshold 788 700 °C to ensure fast redox conversion; (3) an oxidation period 789 less than 30 s suffices to regenerate the oxygen vacancy while a 790 slightly longer residence time in reduction is beneficial.

The diffusion flux for $V_0^{\bullet \bullet}$ and Ce'_{Ce} can be expressed as 793

$$-\frac{\int_{V_{O}^{\bullet}}}{\tilde{\rho}_{C_{e}}[V_{O}^{\bullet\bullet}]D_{V_{O}^{\bullet\bullet}}} = \frac{\partial[V_{O}^{\bullet\bullet}]}{\partial r} \left(\frac{1}{[V_{O}^{\bullet\bullet}]} + \frac{1}{2 - [V_{O}^{\bullet\bullet}]}\right) + \frac{2F}{RT}\frac{\partial\phi}{\partial r}$$
(A1) 794

$$-\frac{J_{Ce'_{Ce}}}{\tilde{\rho}_{Ce}[Ce'_{Ce}]D_{Ce'_{Ce}}} = \frac{\partial[Ce'_{Ce}]}{\partial r} \left(\frac{1}{[Ce'_{Ce}]} + \frac{l}{1 - [Ce'_{Ce}]}\right) - \frac{F}{RT}\frac{\partial\phi}{\partial r}$$
(A2) 795

Here we consider the region sufficiently away from the surface, 796 such that the defect segregation effect is not important (i.e., μ_j^0 797 is constant).

$$-\frac{\int_{V_{0}^{\bullet}}}{\tilde{\rho}_{Ce}[V_{0}^{\bullet\bullet}]D_{V_{0}^{\bullet\bullet}}} - \frac{2\int_{Ce'_{Ce}}}{\tilde{\rho}_{Ce}[Ce'_{Ce}]D_{Ce'_{Ce}}}$$
$$= \frac{\partial[V_{0}^{\bullet\bullet}]}{\partial r} \left(\frac{1}{[V_{0}^{\bullet\bullet}]} + \frac{1}{2 - [V_{0}^{\bullet\bullet}]}\right)$$
$$+ \frac{2\partial[Ce'_{Ce}]}{\partial r} \left(\frac{1}{[Ce'_{Ce}]} + \frac{1}{1 - [Ce'_{Ce}]}\right)$$
(A3)

The electroneutrality assumption in the bulk phase requires that 801 802 charge neutral:

$$_{803}$$
 2[V_O^{••}] = [Ce'_{Ce}] (A4)

804 zero net current:

$$_{805}$$
 $2J_{V_0^{\bullet}} = J_{Ce'_{Ce}}$ (A5)

806 With substitution of eqs A4 and A5 into A3, we have

$$J_{V_0^{\bullet\bullet}} = -\tilde{D} \frac{\partial C_{V_0^{\bullet\bullet}}}{\partial r}$$
(A6)

808 where

807

800

$$\tilde{D} = \frac{3D_{V_{0}^{\bullet\bullet}}D_{Ce_{Ce}^{\prime}}}{D_{Ce_{Ce}^{\prime}} + 2D_{V_{0}^{\bullet\bullet}}} \left(1 + \frac{[V_{0}^{\bullet\bullet}]}{6 - 3[V_{0}^{\bullet\bullet}]} + \frac{4[V_{0}^{\bullet\bullet}]}{3 - 6[V_{0}^{\bullet\bullet}]}\right)$$

809 \tilde{D} is the chemical (or ambipolar) diffusion coefficient, which 810 critically depends on the operating condition, as well as the 811 dopant/impurity concentrations. A range of values have been 812 reported in the literature. To evaluate the contribution of the 813 solid-phase diffusion to the overall redox process, we use the chemical diffusivity reported by Stan et al.,63 which is in the 814 815 lower range of the available data in the literature (see ref 57 for 816 comparison) and, hence, leads to an estimation of the upper bound for the diffusional resistance. 817

The governing equations for $V_0^{\bullet\bullet}$ in the bulk phase can be 818 expressed as 819

$$\frac{\partial C_{V_0^{\bullet\bullet}}}{\partial t} + \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 J_{V_0^{\bullet\bullet}}) = 0$$
(A7)

821 With substitution of eq A6 into eq A7, we have

$$\frac{\partial C_{V_{o}^{\bullet}}}{\partial t} = \frac{1}{r^{2}} \frac{\partial}{\partial r} \left(r^{2} \tilde{D} \frac{\partial C_{V_{o}^{\bullet}}}{\partial r} \right)$$
(A8)

823 with initial condition

$$C_{V_{0}^{\bullet}}(t=0) = C_{V_{0}^{\bullet},0}$$
(A9)

825 and the following boundary conditions:

$$s\tilde{D}\frac{\partial C_{V_0^{\bullet}}}{\partial r}\bigg|_{r=R} = \omega \tag{A10}$$

826

827

82

$$\frac{\partial C_{V_0^{\bullet}}}{\partial r}\bigg|_{r=0} = 0 \tag{A11}$$

In eq A9, s is the surface area, as listed in Table 3. ω is the 828 surface H_2 (or H_2O) production rate (unit, mol s⁻¹). Equations 830 A8-A11 are solved for the oxidation reaction of the particle 831 with R = 100 nm. The peak H₂ production rate at each s32 temperature is used to represent ω_i and $\Delta\delta$ is used as the initial



1073

Temperature (K)

1173

1273

Relative Difference of Defect Concentration

873

Figure 19. Relative difference of the oxygen vacancy concentration between the center and the surface. Line is for guiding the eyes.

condition. Calculation shows an upper bound for the diffusional 833 contribution. Figure 19 plots the normalized difference of $C_{VO}^{\bullet\bullet}$ 834 between the center and the surface: 835

$$\Delta = \frac{C_{V_0^{\bullet\bullet}}(r=0) - C_{V_0^{\bullet\bullet}}(r=R)}{C_{V_0^{\bullet\bullet}}(r=R)} \qquad \text{when } C_{V_0^{\bullet\bullet}}(r=R) = \frac{1}{2}C_{V_0^{\bullet,0}}$$
(A12) 836

From Figure 19, the maximum difference is found to be less 837 than 4% at 600 °C and reduces to less than 0.001% at 1000 °C. 838 Calculation here indicates that the bulk diffusion is unlikely to 839 be rate-limiting in the redox process. 840

Chueh et al.⁴⁴ measured both the bulk and the surface con- 842 centration Ce³⁺ (or Ce_{Ce}) for Sm_{0.2}Ce_{0.8}O_{1.9} under equilibrium 843 for the temperatures at 466, 521, 586, and 650 °C. The authors 844 compared the chemical potential of atomic oxygen for the 845 surface and bulk and attributed the higher concentration of 846 surface Ce³⁺ to the higher entropy of the surface oxygen. Here, 847 we take into account the difference of the defect formation 848 energy as well as the entropy and present a detailed analysis 849 following an approach similar to that detailed in section 3 (see 850 Figure 3), 851

The site conservation and electroneutrality conditions are 852

$$[V_0^{\bullet\bullet}] + [O_0^{\star}] = 1.9$$
 (B1) ₈₅₃

$$[Ce'_{Ce}] + [Ce^{\times}_{Ce}] + [Sm'_{Ce}] = 1$$
(B2) ₈₅₄

$$2[V_{O}^{\bullet\bullet}] = [Sm'_{Ce}] + [Ce'_{Ce}]$$
(B3)₈₅₅

In the bulk phase, $[Sm'_{Ce}]_b = 0.2$. By substituting eqs B1–B3 856 into eq 45, and fitting with respect to the measurements of the 857 bulk $[Ce'_{Ce}]$ and $p_{o_2}^{-1/2}$, we obtain the equilibrium constant for $_{858}$ the bulk-phase defects, $K_{\rm b}$: 859

$$K_{\rm b} = \exp\left(-\frac{\left(379.5\frac{\rm kJ}{\rm mol}\right) - \left(97.1\frac{\rm J}{\rm mol\ K}\right)T}{RT}\right) \tag{B4}_{860}$$

The bulk-to-surface transport equilibrium is described by 861 eq 37. The dopant concentration on the surface, $[Sm'_{Ce}]_{st}$ is in 862 the range between 0.26 and 0.35, slightly greater than the bulk. 863 8

864 For simplicity, we use $[Sm'_{Ce}]_s = 0.3$. Note here the right-hand 865 side of eq B1 becomes 1.85 for the surface $(Sm_{0.3}Ce_{0.7}O_{1.85})$. 866 By substituting eqs B1–B3 into eq 37, and comparing with 867 the measurements of the surface $[Ce'_{Ce}]$ at given temperature 868 and oxygen partial pressure, we obtain the equilibrium constant 869 for the transport, $\Delta \mu_T^0$:

$$\Delta \mu_T^0 = \left(-113.7 \frac{\text{kJ}}{\text{mol}}\right) - \left(-50.2 \frac{\text{J}}{\text{mol K}}\right) T \tag{B5}$$

The model predictions are plotted in Figure 20 along with measurements, and an excellent agreement is found for both the surface and the bulk defect concentrations.



Figure 20. (a) Comparison of the surface (closed) and bulk Ce^{3+} (open) concentrations between the measurements (symbols) by Chueh et al.⁴⁴ and the model predictions (lines). (b) Ratio of the surface to bulk Ce^{3+} concentrations. Symbols are from ref 44, and lines are from the modeling.

It is interesting to note that compared to the bulk, the surface rs has 30% less defect formation enthalpy and over 50% less red defect formation entropy. The ratio of the surface-to-bulk Ce³⁺ ris highest at low temperature and drops dramatically as the remeasure is raised. Crossover, $\Delta \mu_T^0 = 0$, is reached when refer to the surface-to-bulk Ce³⁺ remeasure is raised. Crossover, $\Delta \mu_T^0 = 0$, is reached when refer to the surface-to-bulk ce³⁺

⁸⁸⁰ Chueh et al.⁴⁴ reported the chemical potential of atomic ⁸⁸¹ oxygen for the surface and bulk, defined as

$$\mu_{\rm O} = \frac{1}{2}\mu_{\rm O_2} = \frac{1}{2}(\mu_{\rm O_2}^0 + RT \ln p_{\rm O_2}) \tag{B6}$$

⁸⁸³ where $\mu_{0_2}^0$ is the standard potential at 1 atm. μ_O can further ⁸⁸⁴ be related to the partial molar enthalpy (H_O) and entropy ⁸⁸⁵ (S_O) from $\mu_O = H_O - TS_O$. The reported H_O and S_O are ⁸⁸⁶ -373.2 kJ mol⁻¹ and -86.1 J mol⁻¹ K⁻¹ for [Ce³⁺]_{bulk} = 0.0071, ⁸⁸⁷ and -277.5 \pm 28.7 kJ mol⁻¹ and 28.7 \pm 28.7 J mol⁻¹ K⁻¹ for ⁸⁸⁸ [Ce³⁺]_{surf} = 0.36.

To relate the partial molar free energy of the oxygen atom to the defect formation free energy, we consider the defect formation reaction, eqs 40 and 41, at equilibrium. The Gibbs free energy is 895

zero: $\Delta G = 0 = \mu_{V_0^{\bullet\bullet}} + 2\mu_{Ce_{Ce}} + \frac{1}{2}\mu_{O_2} - \mu_{O_0^{\times}} - 2\mu_{Ce_{Ce}}$. With substitution of eqs 27 and B6, we obtain 893

$$\begin{split} \mu_{O} &= H_{O} - TS_{O} \\ &= -(\mu_{V_{O}^{\bullet}}^{0} + RT \ln[V_{O}^{\bullet\bullet}] + 2\mu_{Ce_{Ce}^{\bullet}}^{0} + 2RT \ln[Ce_{Ce}^{\prime}] - \mu_{O_{O}^{\bullet}}^{0} \\ &- RT \ln[O_{O}^{\times}] - 2\mu_{Ce_{Ce}^{\times}}^{0} - 2RT \ln[Ce_{Ce}^{\times}]) \\ &= -\left\{ (h_{V_{O}^{\bullet\bullet}}^{0} + 2h_{Ce_{Ce}^{\prime}}^{0} - h_{O_{O}^{\bullet}}^{0} - 2h_{Ce_{Ce}^{\times}}^{0}) \\ &- T(s_{V_{O}^{\bullet\bullet}}^{0} + 2s_{Ce_{Ce}^{\prime}}^{0} - s_{O_{O}^{\bullet}}^{0} - 2s_{Ce_{Ce}^{\bullet}}^{0}) + RT \ln\left(\frac{[V_{O}^{\bullet\bullet}][Ce_{Ce}^{\prime}]^{2}}{[O_{O}^{\times}][Ce_{Ce}^{\times}]^{2}}\right) \right\} \end{split}$$

$$(B7) 894$$

Therefore,

$$H_{\rm O} = -\left(\Delta h^0 - \frac{1}{2}h_{\rm O_2}^0\right) \tag{B8}_{896}$$

$$S_{\rm O} = -\left(\Delta s^0 - R \ln\left(\frac{[V_{\rm O}^{\bullet\bullet}][{\rm Ce}_{\rm Ce}^{\prime}]^2}{[{\rm O}_{\rm O}^{\times}][{\rm Ce}_{\rm Ce}^{\times}]^2}\right) - 0.5s_{\rm O_2}^0\right)$$
(B9) (B9)

where Δh^0 and Δs^0 are the defect formation energy and entropy. 898 Based on the reported values for H_O and S_O , we obtain $\Delta h_b^0 = 899$ 373.2 kJ mol⁻¹ and $\Delta s_b^0 = 101.5$ J mol⁻¹ K⁻¹ for $[Ce^{3+}]_{bulk} = 900$ 0.0071, and $\Delta h_s^0 = 277.5 \pm 28.7$ kJ mol⁻¹ and $\Delta s_b^0 = 75.0 \pm 901$ 28.7 J mol⁻¹ K⁻¹ for $[Ce^{3+}]_{surf} = 0.36$. Therefore, the differences 902 between the surface and the bulk are $\Delta h_T^0 = -95.7 \pm 903$ 28.7 kJ mol⁻¹ and $\Delta s_T^0 = -26.5 \pm 28.7$ J mol⁻¹ K⁻¹.

The results here are in reasonable agreement with the fitted 905 value using the defect model. The difference in Δh_T^0 and Δs_T^0 906 may be attributed to the fact that ref 44 used $[Ce^{3+}]_{bulk} = 907$ 0.0071 and for $[Ce^{3+}]_{surf} = 0.36$ for the calculation, while the 908 results from our model are based on the entire data set. 909 Nevertheless, the difference is within the error bar.

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Notes	915
The south and dealers are second time for a stall interest	

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NOMENCLATURE

Symbols

а	lattice constant, m	923
a _i	activity of species <i>i</i>	924
C_{i}	species molar concentration, mol m ⁻³	925
\tilde{C}_i	species molar concentration on the surface, mol m^{-2}	926
$\dot{D_i}$	diffusion coefficient, $m^2 s^{-1}$	927
Ē	activation energy, kJ mol ⁻¹	928
F	Faraday constant	929
f	difference between the measurements and the model	930
·	predictions	
h	molar enthalpy, kJ mol ⁻¹	931
Ì.	diffusion flux from the bulk phase mol m^{-2}	032

933 K _i	equilibrium constant
	the rate coefficients for the forward and the backward
934 $k_{i,b}$ $k_{i,b}$	reactions, s ⁻¹
935 m	mass of sample, mg
936 n	molar flow rate, mol s^{-1}

- 937 p_i partial pressure of species *i*, bar
- 938 R gas constant
- 939 \dot{R}_i production/consumption rate of species *i*, mol m⁻² s⁻¹
- 940 $r_{\rm p}$ radius of ceria particle, m
- 941 r_i reaction rate for reaction *i*, s⁻¹
- 942 S_R surface area of the spherical particle, m²
- 943 s_0 , s_1 specific surface area of the fresh and aged samples, m² g⁻¹
- 944 *s* molar enthalpy, J mol⁻¹ K⁻¹
- 945 T temperature, K
- 946 V_R volume of the spherical particle, m³
- 947 \dot{V} volumetric flow rate, m³ s⁻¹
- 948 X_i species molar fraction
- 949 z_i effective charge of species i

951 Greek Letters

- 952 γ_i activity coefficient
- 953 $\Delta\delta$ bulk-phase nonstoichiometry change
- 954 $\tilde{\mu}_i$ electrochemical potential of species *i*, J mol⁻¹
- 955 $\tilde{\mu}_i^*$ electrochemical potential with the diffusion of the defect *i*, J mol⁻¹
- 956 $ilde{
 ho}_{
 m Ce}$ molar density, mol m $^{-3}$
- 957 $\tilde{\rho}_{Ce,s}$ surface molar density, mol m⁻²
- 958 ϕ electrostatic potential, V
- 969 ω measured splitting rate, μ mol g⁻¹s⁻¹
- 961 Acronyms
- 962 OC oxygen carrier
- 963 QMS quadrupole mass spectrometer
- 964 RCLWS reactive chemical-looping water splitting
- 965 SC space-charge region
- 966 SMR steam methane reforming
- 968 TCWS thermochemical water splitting

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