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博士 学位 论文

**选择性多聚腺苷化在水稻发育过程中的基
因表达调控作用以及适应性的研究**

**The Role of Messenger RNA Alternative Polyadenylation
During Developmental Gene Expression Regulation and
Adapdation of Rice**

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摘要

水稻 (*Oryza sativa*) 不仅是世界上最重要的粮食作物之一，也是单子叶模式植物。水稻品种 *japonica* Nipponbare 和 *indica* 93-11 为两个水稻亚种代表，广泛的种植于我国的南北区域，对环境与气候形成一定的适应性，在我国形成北粳南籼的生产格局。它们各自具有独自的生理特性和生长周期。转录组为特定生理条件下，细胞中全部转录产物的集合。通过对水稻发育过程转录组的研究，可以全面地了解基因表达调控在水稻发育过程中的调节作用以及对环境适应性。多聚腺苷化是基因在转录形成成熟的转录本之前的加工过程之一，即在转录本的 3' 末端适当位点进行切割并加上 50-250 个腺苷酸残基，即 poly(A)尾巴，通常也是产生一个成熟的转录本的最后一步。Poly(A)在基因的转录后调控过程中具有重要的生物学作用，比如决定转录子细胞核外运、参与蛋白质翻译起始复合物的形成、以及防止 mRNA 降解。水稻发育过程的转录组一直都是国内外学者研究的热点，但是利用高通量测序技术全基因组范围内对水稻发育过程中相关基因的 3' 末端的信息研究的非常少。

本论文利用 PAT-seq (Poly(A) tag sequence) 高通量测序技术研究了水稻两个模式品系日本晴和 93-11 发育过程中不同时期不同组织中基因的选择性多聚腺苷化 (Alternative polyadenylation, 或 APA) 动态变化以及多聚腺苷化信号使用情况，同时利用统计学方法对日本晴数量性状基因 (Quantitative trait loci, 或 QTL) 的表达和 APA 的关系进行阐述，最后对 93-11 和日本晴形成南北适应性的分子机制进行探讨。通过全面而系统的了解两个水稻亚种发育过程中选择性多聚腺苷化动态变化以及对基因表达调控的影响，并深入探索 APA 在水稻发育过程中的生物学作用，为理解水稻发育过程中基因表达转录后调控提供新的认识，同时为育种工作提供参考。

主要结果如下：

(1) 利用 PAT-seq 测序技术对水稻日本晴发育过程中不同时期不同组织共 14 个样本的转录本进行 3' 末端测序，共得到 136,955,352 个 PAT，聚成 68,220 个 PAC(Poly(A) site cluster)，分布在 28,032 个基因。其中，有 13,419 个基因(47.9%) 有 2 个或者 2 个以上的 PAC，说明在水稻日本晴发育过程中广泛发生 APA 现象。

在日本晴水稻花粉中发现最多组织特异性的 PAC，其中有大约一半来源于表达基因的内含子区域。进一步分析发现，这些组织特异性的 PAC 富集在能量代谢、重要氨基酸代谢以及防御等通路之中。主成分分析(Principal component analysis, 或 PCA)发现日本晴花粉中具有明显不同的 PAC 表达模式，并且发现花粉表达的基因内含子区域的 PAC 单核苷酸轮廓和 PAS (Poly(A) signal) 与其他组织明显不同，进一步研究发现日本晴花粉的多聚腺苷化因子的表达水平与其他组织（比如 20 天的叶）也不同。这暗示水稻花粉的多个生物学事件与其他组织的不同可能与花粉的多聚腺苷化因子的表达水平发生变化有关。在水稻日本晴发育过程中发现许多 APA 位点变换基因，日本晴发育的早期阶段基因倾向于使用远端的 PAC，后期倾向使用近端的 PAC，同时发现 APA 变换现象改变了基因的表达水平。我们还发现差异表达的 PAC 和差异表达的基因是两个独立的生物学事件，两类基因富集的生物学过程也基本不同。通过统计学方法发现，QTL 倾向于使用 APA 基因。QTL 可以在不增加基因数量的前提下通过使用 APA 基因从而达到对 QTL 的微调。同时发现，与 QTL 关联的高表达基因的 PAC 主要分布在植株高度、抽穗日数、穗数、千粒重、分蘖数、根干重、籽粒产量、穗长和穗数等，暗示 APA 在调控水稻农艺性状方面的潜能。通过比较两个水稻亚种的 PAT-seq 数据，发现冷胁迫关键应答因子 *OsDREB1F* 在日本晴中发生了 APA 现象，而在 93-11 中没有发生 APA 现象，可能与日本晴耐受相对低温环境而适合北方种植有关。

(2) 利用同日本晴相同的方法，对籼稻品系 93-11 的发育过程中不同时期不同组织共 14 个样本的转录本进行 3'末端测序，共得到 137,398,368 个 PAT，聚成 63,502 个 PAC，分布在 24,786 个基因中。其中，有 10,724 (42%) 个基因有 2 个或者 2 个以上的 PAC，说明在 93-11 发育过程中也广泛发生 APA 现象。在 93-11 花粉中也发现最多组织特异性的 PAC，其中有大约一半来源于表达基因的内含子区域。这些组织特异性的 PAC 富集在一些杂环化合物分解代谢相关的生物学过程，暗示组织特异性的 PAC 参与了花粉中的一些防御性物质的生成。聚类分析发现 93-11 花粉中也具有明显不同的 PAC 表达模式，但是花粉内含子区域的 PAC 单核苷酸轮廓和 PAS 与其他组织相似，这一点不同于日本晴。在 93-11 发育过程中也发现许多 APA 位点变换基因，早期阶段基因也倾向于使用远端的

PAC，后期阶段也倾向使用近端的 PAC，也发现 APA 变换现象改变了基因的表达水平。研究发现，差异表达的 PAC 和差异表达的基因是两个独立的生物学事件，两类基因富集的生物学过程也基本不同。

关键词：水稻；日本晴；93-11；信使 RNA；发育；多聚腺苷化；选择性的多聚腺苷化；3'末端形成；基因表达调控；转录后调控

Abstract

Rice is not only one of the most important food crops in the world, but also is the model plant of monocots. Two subspecies of rice (represented by cultivars *japonica* Nipponbare and *indica* 93-11) were widely planted throughout China's north and south regions, and it had certain adaptability on the environment and climates. Transcriptome is a collection of all transcripts in cells under specific physiological conditions. Through transcriptome analysis during developmental process of rice, we could understand the role of gene expression regulation. Polyadenylation is an important biological event, where pre-mRNA is cleaved and was added to a poly(A) tail in the 3'UTR post-transcriptionally. Poly(A) plays its role in mRNA nuclear export to cytoplasm, mRNA stability, and the formation of a translational initiation complex. However, the 3'-end information of genes about rice is scares. Most previous studies did not reveal the poly(A) sites at the nucleotide level. Hence, we used a poly(A) tag sequencing approach (PAT-seq) to investigate the genome-wide landscape of alternative polyadenylation (APA) and poly(A) signals (PAS) in 14 different tissues and developmental stages of both rice subspecies, Japonica and Indica, and revealed the relationship of APA and QTL (Quantitative trait loci) using statistical methods. We comprehensively and systematically studied the dynamic changes of the APA in the development of rice and the effect of APA in the regulation of gene expression. We further investigated the role of APA in the growth of rice, which will provide for new view for understanding the post-transcriptional regulation of the development of rice and provide potential basis for future breeding work.

(1)To study the 3'-end of genes during the different developmental stages and tissues of rice, we used a poly(A) tags sequencing (PAT-seq) protocol and identified 136,955,352 PATs and 68,220 poly(A) site clusters (PACs), which were dispersed in 28,032 genes. Of these genes, 13,419 genes (47.9%) had more than 2 PACs. It was suggested that extensive APA event occurred in the growth of rice. PACs in the mature pollen were the most and nearly half PACs were from the introns, which were

enriched in the following pathways, including energy production, defense and core amino acid of pollen. Principal component analysis (PCA) and cluster analyses found that the expression model of PACs in mature pollen was different from other tissues and the single nucleotide profile around PAS in mature pollen were also distinguished from other tissues. To probe into the reason why of such differences, the expression levels of several poly(A) factors in mature pollen were found very different from other tissue. This is indicative of the change poly(A) site selection is associated with the change in the expression level of these poly(A) factors. We further found that many APA switching happened during development of rice, and many genes tend to use distal PAC in the early developmental stages of rice while tend to use proximal PAC in later stages, and APA site switching was able to change the expression level of genes. Meanwhile, we found that differentially expressed (DE) PAC and DE genes were two independent biological events in the growth of rice and they were enriched in different biological processes. Through statistical analysis, we found that QTL tend to use APA genes. This may be associated with QTL controlled by many genes, and thus QTL can be finely regulated using APA. Highly expressed PACs were mainly enriched in the following QTLs, including plant height, days to heading, spikelet number, 1000-seed weight, root dry weight, tiller number, panicle number, panicle length and grain yield, indicated that the potential role of APA in the determination of important agricultural traits. By comparing the PAT-seq data of two sub-species of rice, we found that a cold stress response factor, *OsDREB1F*, use APA in *Japonica* Nipponbare but not in *Indica* 93-11, indicating that APA may be involved in post-transcriptional regulation of the genes and potentially enhance low temperature tolerance.

(2) To study the 3'-end of genes during the different developmental stages and tissues of 93-11 using PAT-seq, we obtained 137,398,368 PATs and 63,502 PACs, dispersed in 28,032 genes. Of these genes, 10,724 genes (42%) had more than 2 PACs. This result demonstrated that extensive APA also occurred in the development of indica rice. Tissue specific PAC in mature pollen was the most and half PAC was from intron of gene the same as *japonica* Nipponbare, which was mainly enriched in the

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