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Transient self-assembly of molecular nanostructures driven by chemical fuels

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- Transient self-assembly of molecular nanostructures
   driven by chemical fuels
- Flavio della Sala<sup>\*</sup>, Simona Neri<sup>\*</sup>, Subhabrata Maiti, Jack L-Y
- Chen and Leonard J Prins
- 6 Over the past decades, chemists have mastered the art of
- 7 assembling small molecules into complex nanostructures
- 8 using non-covalent interactions. The driving force for self-
- 9 assembly is thermodynamics: the self-assembled structure is
- <sup>10</sup> more stable than the separate components. However,
- biological self-assembly processes are often energetically
- uphill and require the consumption of chemical energy. This
- allows nature to control the activation and duration of chemical
- 14 functions associated to the assembled state. Synthetic
- 15 chemical systems that operate in the same way are essential
- <sup>16</sup> for creating the next generation of intelligent, adaptive
- 17 materials, nanomachines and delivery systems. This review
- 18 focuses on synthetic molecular nanostructures which
- 19 assemble under dissipative conditions. The chemical function
- 20 associated to the transient assemblies is operational as long as
- 21 chemical fuel is present.

#### Address

 $^{22}$ O2 Department of Chemical Sciences, University of Padova, Padova, Italy

Corresponding author: Prins, Leonard J (leonard.prins@unipd.it) <sup>23</sup> These authors contributed equally.

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### 29 Introduction

<sup>30</sup>Q3 Over the past decades self-assembly has emerged as the most powerful strategy for the formation of molecular 31 nanostructures. It has permitted the development of 32 33 innovative systems for diagnostics and catalysis and has enabled enormous advances in the fields of materials 34 chemistry and nanotechnology [1]. Although inspired 35 by nature, there is a strong current awareness that nature 36 is only mimicked to a certain extent [2<sup>•</sup>]. While many 37 biological self-assembly processes are driven by thermo-38 dynamics [3], just as in synthetic self-assembly, there are 39 also situations in which self-assembly is associated with 40 an energy consumption process, referred to as dissipative 41

self-assembly [4,5]. Nature exploits dissipative self-42 assembly as a way to obtain temporal control over the 43 chemical functions associated with the assembled state 44 [6–10]. There is currently a strong drive to implement the 45 same principle also in synthetic systems, with the ulti-46 mate aim of creating intelligent materials and devices 47 able to perform different functions based on the stimuli 48 provided in the form of energy [11,12,13<sup>•</sup>,14–20]. In the 49 last years this has led to the development of various 50 chemical systems that require energy to self-assemble 51 into functional structures. Most frequently, energy is 52 provided in the form of physical stimuli, mainly as light 53 [16,21–27], but also as ultrasound [28], electrical current 54 [29], osmotic pressure [30] or, alternatively, by (tran-55 siently) changing the pH [31,32]. This is highly attractive, 56 because this energy can be delivered in a clean manner to 57 the system and is consumed without the creation of waste. 58 However, nature predominantly exploits chemical energy 59 as a trigger for the selective activation of function. The 60 design of synthetic systems that rely on chemical fuels for 61 self-assembly is challenging and has mainly focused on 62 the development of hybrid structures in which natural 63 dissipative systems, such as microtubules, are conjugated 64 with synthetic elements such as nanoparticles [33-38]. 65 Another successful approach relies on the coupling of a 66 self-assembly process to a chemical oscillator, such as the 67 Belousov-Zhabotinsky (BZ) reaction, which operates 68 intrinsically out-of-equilibrium [39-43]. However, 69 although functional, these systems do not provide much 70 flexibility since the energy dissipation process is 71 extremely well-defined and difficult to modulate [44<sup>•</sup>]. 72 The scope of this short review is to highlight recent 73 advances made in the design of synthetic molecular 74 assemblies that require chemical fuels to be functional. 75 It will be shown that such systems maintain the assem-76 bled state only as long as chemical fuel is present. The 77 result is that the chemical functions exerted by the 78 assemblies have a transient character. 79

## Soft materials

The first step towards artificial systems able to mimic the 81 transient nature of microtubule-formation was reported 82 by Van Esch et al. [45<sup>••</sup>] Their approach was based on 83 dibenzoyl-L-cystine (DBC), which is a pH-responsive 84 gelator (Figure 1a). Above the pK<sub>a</sub>-value of the carboxylic 85 acids (around 4.5) gel formation does not occur, because 86 of electrostatic repulsion between the carboxylate groups. 87 Protonation of the carboxylic groups at pH-values below 88

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#### 2 Nanobiotechnology



Figure 1

Transient gel formation relying on (a) the rapid esterification of the pro-gelator DBC or (b) the rapid formation of a dipeptide hydrogelators under hydrolytic conditions.

the pK<sub>a</sub> results in neutralization and consequent self-89 assembly of the molecule in long fibers, stabilized by 90 intermolecular hydrogen-bonding. On the other hand, the 91 corresponding DBC-diester (DBC-(OMe)<sub>2</sub>) assembles at 92 all pH-values, even above the pKa. The properties of 93 these molecules were used to design a dissipative cycle in 94 which methyl-iodide (MeI) was used to methylate DBC 95 under ambient conditions (35°C). Under these conditions 96 a spontaneous hydrolysis of the formed esters also took 97 place leading to a return to the starting compound, which 98 crucially was at a rate that is lower than that of ester 99 formation. This implies that the addition of MeI leads to 100 the transient presence of the gelator DBC- $(OMe)_2$  in the 101 system, with a lifetime that depends on the amount of 102 fuel added. Transient gel formation was confirmed by 103 light scattering studies and scanning electron microscopy 104 (SEM). Confirmation that the system returned to the 105 original state was demonstrated by the observation that 106 the addition of a new batch of MeI induced a second cycle 107 of transient gel formation. This first system suffered from 108 relatively long response times with life-cycles in the order 109 of days. In a follow-up study, the life times could be 110 reduced to hours by changing the chemical fuel and 111 optimizing the pH level [46]. However, the importance 112 of this study lays in the demonstration that the 113

mechanical properties of the gel could be controlled by 114 the initial level of the chemical fuel. The addition of low 115 concentrations of MeI resulted in short-lived weak gels, 116 whereas long-lived stiff gels were obtained at high con-117 centrations of fuel. Furthermore, it was also shown that 118 these materials had a much higher capacity for self-119 regeneration after destruction when high fuel levels were 120 present. 121

Debnath et al. developed an alternative hybrid biosyn-122 thetic system for transient gel formation which relied on 123 the gelating properties of naphthalene-dipeptides and the 124 ability of enzymes to form and cleave peptide bonds 125 (Figure 1b) [47<sup>•</sup>]. Starting point was the  $\alpha$ -chymotrypsin 126 catalyzed transacylation of a series of hydrophobic amino 127 acids X-NH<sub>2</sub> (with X = Y, F or L) using Nap-Y-OMe as an 128 acyl-donor which rapidly yielded the dipeptide hydro-129 gelator Nap-YX-NH<sub>2</sub>. However, in time  $\alpha$ -chymotrypsin 130 caused the installment of an equilibrium between the 131 hydrogelator Nap-YX-NH2 and the hydrolysis products 132 Nap-Y-OH and the original amino acid X-NH<sub>2</sub> leading to 133 a constant equilibrium concentration of the gelator. When 134 F-NH<sub>2</sub> was used, the final concentration of Nap-YF-NH<sub>2</sub> 135 was above the critical gelation concentration (CGC) lead-136 ing to the formation of a stable gel. On the other hand, 137

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transient gel formation was observed when amino acids 138 Y-NH<sub>2</sub> and L-NH<sub>2</sub> were used, as the concentration of the 139 dipeptide in these systems remained only for a limited 140 time above the CGC. The lifetime of these gels could be 141 tuned by changing the pH. It was shown that the system 142 could be refueled up to three times by adding additional 143 equivalents of Nap-Y-OMe. After three cycles the system 144 was no longer able to reach the dipeptide-concentrations 145 required to reach the CGC, presumably because of inter-146 ference with the accumulating amounts of the waste 147

148 product Nap-Y-OH in the system.

This approach was then extended to a system of tripep-149 tide-gelators in which structurally diverse amino acids 150 were ligated in an analogous manner to aspartame, a DF-151 dipeptide methylester [48]. Only for F-NH<sub>2</sub> and Y-NH<sub>2</sub> 152 transient gel formation was observed; in the presence of 153 amino acids W, L, V, S and T, no gelation was observed. 154 155 For the latter amino acids, rapid formation of the end product DF-OH was seen. Hardly any formation of the 156 tripeptide was observed, despite the fact that some of 157 these amino acids (L, V, S) were used as effective 158 nucleophiles in previous studies. The observation of 159 gel formation for F and Y suggests that these transient 160 nanofibers are less prone to enzymatic hydrolysis and 161 thus permit conditions for transient structure formation 162 (rate<sub>formation</sub> > rate<sub>destruction</sub>). Interestingly, while the 163 DFF-NH<sub>2</sub> peptide turned out to be thermodynamically 164 more stable compared to DFY-NH<sub>2</sub>, direct competition 165 experiments revealed that the selection in this system 166 relied on kinetic control, yielding DFY-NH<sub>2</sub> as the 167 major product. 168

An alternative biocatalytic approach towards transient 169 hydrogel formation relied on the sucrose-fueled produc-170 tion of  $CO_2$  by yeast [49]. Acidification of an aqueous 171 solution upon the dissolution of CO<sub>2</sub> resulted in the 172 protonation of a peptide-based surfactant causing the 173 formation of a gel. Gradual elimination of CO2 from 174 the system upon evaporation resulted in spontaneous 175 return to the original state. 176

A different approach towards transient polymer self-177 178 assembly was developed by Kumar *et al.* and relies on the exploitation of naphthalenediimide chromophores 179 appended with Zn(II)-complexes [50]. Whereas the 180 building block by itself showed no signs of aggregation, 181 the addition of adenosine phosphates (AXP with X = M, 182 D, or T) resulted in the formation of helical stacks with 183 the anionic AXPs lined up against the outward-pointing 184 cationic side-groups [51]. Interestingly, it was observed 185 that the handedness of the supramolecular polymer 186 depended on the nature of the adenosine phosphate. 187 This provided an important tool to follow the spontane-188 ous transition of the structures across the supramolecular 189 energy landscape upon the enzyme-catalysed hydrolysis 190 of  $ATP \rightarrow ADP \rightarrow AMP \rightarrow P_i$ . The system is in 191

principle amenable to repetitive cycles by displacing P<sub>i</sub> 192 with the high-affinity binder ATP under dissipative 193 conditions. 194

## Nanostructures

### Surfactant-based systems

The self-assembly of surfactants into large structures, 197 such as micelles and vesicles, has always attracted great 198 interest because of the similarity of these structures to 199 cells and also for their numerous practical applications 200 [52]. The functional properties of these systems mainly 201 originate from the presence of an internal compartment 202 that is separated from the bulk and from the presence of 203 an apolar phase in aqueous media. Methodology to con-204 trol the formation of these systems through the addition of 205 chemical fuel under dissipative conditions would give 206 temporal control over their associated functions. As illus-207 tration, Wang et al. coupled the formation of supra-amphi-208 philes to the chemical oscillator IO<sub>3</sub><sup>-</sup>-NH<sub>3</sub>OH<sup>+</sup>-OH<sup>-</sup> 209 which periodically generates iodine [53]. Reaction of 210 iodine with the PEG segment of a hydrophilic block 211 copolymer increased the hydrophobicity of that domain 212 and induced its self-assembly into supra-amphiphiles. 213 The oscillating concentration of iodine caused spontane-214 ous transitions between assembled and dissociated states 215 as a function over time. Although not surfactant-based, 216 the system nicely illustrates the possibility to regulate the 217 self-assembly process in time using a chemical fuel. The 218 following examples illustrate how this can be used to 219 control the chemical functions associated with the assem-220 bled state. 221

Our group developed a strategy for the transient stabili-222 zation of vesicular aggregates (Figure 2a) [54<sup>••</sup>] based on 223 a previous study aimed at transient signal generation by a 224 nanoparticle-based system [55]. A surfactant containing 225 a cationic 1,4,7-triazacyclononane (TACN)·Zn(II) head 226 group was found to form micellar aggregates with a 227 critical micelle concentration (CMC) of around 228 100 µM. However, the presence of ATP resulted in 229 the formation of vesicular aggregates at much lower 230 concentrations. This is attributed to the stabilizing inter-231 actions between ATP and the oppositely charged head 232 groups, which also causes a repositioning of the surfac-233 tants. Importantly, previous studies using monolayer 234 protected gold nanoparticles containing identical head 235 groups had demonstrated a strong dependence between 236 the number of negative charges present in a series of 237 adenosine phosphates (AXP with X = M, D, or T) and 238 the affinity for the multivalent surface [55]. The 239 incapacity of AMP to stabilize aggregates below the 240 cmc was then exploited for the transient self-assembly 241 of vesicular aggregates. ATP was added to surfactants at 242 concentrations below the cmc in the presence of potato 243 apyrase, which is an enzyme that hydrolyses ATP into 244  $AMP + 2P_i$ . Since the rate of aggregate formation 245 induced by ATP is more rapid than the decay rate of 246

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(a) The transient formation of vesicles driven by ATP and (b) the transient formation of membrane channels driven by the activation of precursor A.

ATP, a transient period exists in which aggregates are 247 formed. Upon depletion of ATP, the system spontane-248 ously reverted to the non-aggregated state, which was 249 confirmed by a series of techniques which included 250 DLS, UV-vis, fluorescence and confocal microscopy. 251 The process of transient aggregate formation could be 2.52 repeated multiple times upon the addition of new 253 batches of ATP. Next, this process was coupled to a 254 chemical reaction that was strongly favored by the apolar 255 bilayer of the aggregates. It was shown that the lifetime 256 of the vesicles determined the amount of reaction prod-257 uct formed by the system. Thus this system provides a 258 new means to indirectly control the outcome of a chem-259 ical reaction through the exploitation of a transient 260 phenomenon driven by a chemical fuel. 26

The group of Fyles described the transient formation 262 of channels in a membrane system driven by a chemi-263 cal fuel (Figure 2b) [56<sup>•</sup>]. The project was based on 264 the knowledge that compounds analogous to C are able 265 to span a bilayer membrane and create a hydrophilic 266 pore able to translocate ions across the membrane. The 267 key novel feature of molecule C is the presence of a 268 labile thioester-bond. In the absence of the acyl part 269 (such as in A), channel activity was not observed and 270 this represents the inactive resting state. Upon the 271 addition of thioester **B** as a chemical fuel, thiol-272 thioester exchange occurs spontaneously leading to 273

the in situ formation of the channel-forming compound 274 C. Channel activity was measured using the voltage-275 clamp technique which measures changes in conduc-276 tivity upon the transport of ions across the membrane 277 [57]. Importantly, compound C is terminated with a 278 nucleophilic amine, which is able to intramolecularly 279 attack the thioester bond leading to the spontaneous 280 re-formation of the resting compound A and the cyclic 281 waste product D. The rate of the intramolecular reac-282 tion can be tuned by changing the spacer length 283 separating the amine and the carbonyl-group of the 284 thioester-bond. Transient accumulation of the pore-285 forming compound C occurs if the intramolecular 286 cyclization-rate is slower than the transthioesterifica-287 tion reaction. Time-dependent conductance measure-288 ments confirmed the spontaneous decrease in pore-289 activity, which could be regenerated upon the addition 290 of a fresh batch of fuel. It is noted that this system is 291 intrinsically dissipative in the sense that formation of 292 the active compound automatically installs a mecha-293 nism of self-destruction because of the presence of the 294 nucleophile. This makes it different from most other 295 systems discussed here, that rely on the creation of 296 dissipative conditions by external elements (such as 297 enzymes or bases). The ability to tune the efficacy of 298 the intramolecular reaction and thus control the dissi-299 pative process illustrates the advantages and potential 300 of synthetic systems. 301

#### 302 Molecular cages

The first examples are appearing in which the self-assem-303 bly of molecularly well-defined structures is governed by 304 the transient action of chemical fuels. Wood et al. reported 305 a self-assembled cage composed of porphyrin building 306 blocks and Cu(I)-metal ions that dissociate upon the 307 addition of triphenylphosphine (PPh<sub>3</sub>) [58<sup>•</sup>]. This is 308 because of the preferential formation of heteroleptic N, 309 P-complexes with Cu(I) (Figure 3a). However, when 310 PPh<sub>3</sub> is added under oxidative conditions (because of 311 the presence of pyridine N-oxide as an oxidant and the 312 oxo-transfer catalyst ReCat as an accelerator), it is slowly converted to triphenylphospine oxide which no longer 313 coordinates Cu(I). Consequently, the system reverts back 314 to the assembled state. A new cycle can be initiated by 315 adding a new batch of PPh<sub>3</sub>. Transient dissociation of the 316 cage occurs because the oxidation rate is much lower 317 compared to rate of the ligand exchange. A hint of a 318 possible application as delivery agent was provided by 319 demonstrating the transient release of an encapsulated 320 C<sub>60</sub>-guest upon the addition of fuel. 321

Finally, a very intriguing example was reported by Fanlo-Virgos *et al.* which described the transient adaptation of a

324 dynamic molecular network to the addition of a guest

325 (Figure 3b) [59<sup>••</sup>]. A library of very diverse molecular

326 structures including catenanes and tetramers was spon-

327 taneously formed upon the partial oxidation of a building

block containing two thiol moieties. The reversibility of 328 the disulfide bond permitted interconversion between 329 the library members and imparted adaptability to the 330 network. A remarkable spontaneous shift in the library 331 composition towards the tetrameric species was observed 332 upon the addition of compound **E** ascribed to the install-333 ment of favorable interactions between the tetramers and 334 compound E. In the absence of other events this would 335 just have been an example of guest-induced templated 336 synthesis, but in this particular case it was observed that in 337 time the system spontaneously returned to the original 338 composition. It turned out that the tetramers catalyze the 330 conversion of compound E into product F and dimethy-340 lamine through an *aza*-Cope rearrangement. The fact that 341 a second addition of guest induces a new transient shift in 342 library composition confirms the reversibility of the pro-343 cess and demonstrates the capacity of the system to 344 spontaneously dissipate the energy provided by the guest. 345 Like the transmembrane pore-formation discussed 346 above, also this system is intrinsically dissipative. The 347 exciting prospect offered by these results is the develop-348 ment of dynamic networks that are able to transiently 349 evolve into different directions depending on the input of 350 chemical information. 351

## Outlook

Compared to traditional self-assembly processes which rely on the installment of a functional thermodynamically 354

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(a) Transient displacement of fullerene from a molecular cage driven by triphenylphosphine and (b) transient adaptation of a molecular network to a substrate.

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stable state, the key novelty introduced by performing 355 self-assembly under dissipative conditions is that control 356 can be gained over the lifetime of the chemical function 357 associated with the assembled state. Energy can also be 358 delivered using a variety of physical means, but the use of 359 chemical fuels brings us one step closer to mimicking 360 biological networks that mostly rely on fluxes of energy 361 stored in molecules. The examples presented here are 362 still rather primitive and in most cases dissipative condi-363 tions are artificially created by the addition of an external 364 component (catalyst, enzyme, reagent) to the system that 365 dissipates the energy stored in the fuel. Yet, some of the 366 systems discussed are intrinsically dissipative, implying 367 that it is the self-assembled structure itself that causes 368 energy dissipation. One further step up the ladder is the 369 design of structures that assemble as a result of energy 370 dissipation. The ability to use time as a regulatory ele-371 ment in designing chemical systems offers new and 372 373 exciting possibilities for the design of reaction networks, functional materials and delivery systems. 374

## 375 Acknowledgement

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