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1 Mucoadhesive polysaccharides modulate sodium retention, release and taste perception

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10 Abstract

11 The mucoadhesion between polymeric substances and mucosal membranes, widely
12 exploited in the pharmaceuticals industry to prolong drug residence, has been investigated as
13 a means of retaining taste or aroma molecules in the oral cavity. This study shows that the
14 mucoadhesive properties of carboxymethyl cellulose, a commonly used polysaccharide in
15 the food and pharmaceuticals industry, can modify retention, release and perception of
16 sodium over time. A three-part study was designed coupling *in vitro* retention using *ex vivo*
17 porcine tongue, sensory perception with a trained panel and *in vivo* retention of sodium
18 ions in human volunteers. The findings suggest that although salt perception is stunted in
19 samples containing a random coil, ionic, mucoadhesive thickener, the retention of sodium
20 ions in the mouth is prolonged due to the mucoadhesive nature of the polysaccharide. Not
21 only has this study-investigated mucoadhesion of liquid formulations in the oral cavity but it
22 is also the first to link the mucoadhesive nature of a commonly used polysaccharide to the
23 organoleptic properties of a food.

24 Key words: Mucoadhesion, polymer, salt, tastant, retention, release, and perception.

25 1. Introduction

26 Mucoadhesion describes the adhesive forces between a polymeric substance (a
27 mucoadhesive) and a mucosal membrane in the body. The mucoadhesive strength between
28 a polymer and mucosal surface will depend on many factors including the polymer
29 characteristics and the target environment. In pharmaceuticals, mucoadhesives can be
30 incorporated into various formulations such as tablets, patches, films, sprays and viscous
31 liquids containing an active pharmaceutical ingredient (API). The mucoadhesive polymer
32 excipient can be designed to control the residence time and rate of release of the API. The
33 mechanisms leading to mucoadhesion and the various techniques to assess the
34 mucoadhesion of formulations have been described in the literature (Davidovich-Pinhas &
35 Bianco-Peled, 2010; Nair et al., 2013; Peppas & Huang, 2004; Smart, 2014). However,
36 mucoadhesion has not been fully exploited by the food industry as a means of retaining
37 small molecules, such as tastants, at the mucosal surfaces in the mouth.

38 Mucoadhesion in the oral cavity has been investigated with a regard to enhancing delivery
39 of a diverse range of APIs by the prolonged contact on these surfaces (Perioli, Ambrogi,
40 Angelici, et al., 2004; Perioli, Ambrogi, Rubini, et al., 2004; Salamat-Miller, Chittchang, &
41 Johnston, 2005; Yehia, El-Gazayerly, & Basalious, 2009). Target areas for drug delivery in the
42 mouth include buccal and gingival epithelia as these are typically thinner and non-
43 keratinised. Various food grade polysaccharides are considered as mucoadhesives because
44 they enhance retention and can control the release of APIs in the oral cavity. These include
45 food grade polysaccharides such as carboxymethyl cellulose (Yehia et al., 2009), sodium
46 alginate (J. C. Richardson, Dettmar, Hampson, & Melia, 2004) and pectin (Thirawong,
47 Nunthanid, Puttipipatkachorn, & Sriamornsak, 2007).

48 Polysaccharides are employed in the food industry for their use as thickeners, emulsifiers
49 and stabilisers. They are commonly employed to mimic the functions that fat imparts to a
50 food matrix in reduced fat, liquid or semi-solid products such as increased viscosity, lubricity
51 and bulk. Gums such as xanthan, guar and carrageenan, starches, and modified cellulose
52 derivatives such as carboxymethyl cellulose (CMC) and hydroxypropyl methylcellulose are
53 frequently used for such products. Although polysaccharides increase viscosity of liquid and
54 semi-solid foods their chemical and physical properties vary drastically. For example, CMC is
55 a linear polysaccharide made of β 1 \rightarrow 4 linked glucose units with some of the hydroxyl
56 groups substituted with carboxymethyl groups to render it soluble in water. Starch, on the
57 other hand, is a branched polysaccharide consisting of glucose units joined by α 1 \rightarrow 4
58 glycosidic bonds in the form of amylose (helical) or amylopectin (linear). Unlike CMC, starch
59 swells within granules, unless gelatinised, limiting the formation of interconnecting chains.

60 Many studies have investigated the impact on the sensory perception and *in vivo* aroma
61 release when increasing liquid and semi-solid foods viscosity with polysaccharide thickeners
62 (Boland, 2004; D. J. Cook, Linforth, & Taylor, 2003; Han et al., 2014; Keršiene, Adams, Dubra,
63 Kimpe, & Leskauskaitė, 2008; Koliandris, Lee, Ferry, Hill, & Mitchell, 2008; Secouard,
64 Malhiac, Grisel, & Decroix, 2003). It is well known that an increase in viscosity results in a
65 stunted perception of most tastants and some aromas. This is very apparent at the critical
66 point where random coils of polymers in solution begin to overlap and pass one another,
67 referred to as the coil overlap concentration (c^*) (Hollowood, Linforth, & Taylor, 2002).
68 However, the temporal release and perception of these compounds, particularly the non-
69 volatile components, is seldom investigated. Of these that have used temporal experiments,
70 the adhesive nature of polysaccharides has never been investigated separately to

71 perception and only seldom alluded to as a potential mechanism (Mälkki, Heiniö, & Autio,
72 1993).

73 Flavour balance is a challenge presented in low fat food formulations as the reduction of the
74 hydrophobic matrix of a food results in the increased release of hydrophobic aroma
75 compounds from food matrices. This results in an aroma release that peaks and rapidly falls
76 compared to higher fat counterparts where the release is more uniform over time (Mark E.
77 Malone & Appelqvist, 2003). Furthermore, the relative increase in the hydrophilic
78 component of the food can reduce the perception of hydrophilic tastants such as sodium
79 (Boisard et al., 2014). Flavour perception is a combination of the senses of taste and smell,
80 with tastants and aroma molecules having a complex relationship that results in signals
81 transmitted to the brain interpreting the flavour of a food. It has been shown in numerous
82 studies that perception of taste influences aroma perception, even when the in-nose aroma
83 concentration stays the same (D. J. Cook et al., 2003; Koliandris et al., 2008). Therefore, if
84 mucoadhesives can deliver tastants at a lower rate over time, then aroma perception may
85 be adjusted accordingly, resulting in a product with a flavour profile like that of a high fat
86 product.

87 Lian et al. (2004) and Malone and Appelqvist (2003) attempted to prolong aroma delivery
88 using gelled emulsion particles of calcium alginate. The results suggest that aroma release
89 can be controlled by particle size. Emulsions and encapsulation of aromas have been widely
90 researched, however, utilising mucoadhesion to prolong flavour delivery is a relatively novel
91 concept. For the past few decades mucoadhesion has been researched in relation to
92 pharmaceutical applications, however, more recently the potential for their use in food
93 products to prolong flavour delivery has been considered (Le Révérend, Norton, Cox, &

94 Spyropoulos, 2010; M.E Malone, Appelqvist, & Norton, 2003; Modh & Bakalis, 2011). This
95 current study investigates the temporal retention, release and subsequent perception of a
96 tastant, sodium chloride, in a model liquid food prepared containing two different
97 polysaccharide thickeners and water. Firstly, the retention of matrices was tested on *ex vivo*
98 porcine tongue to determine differences in residence time between each matrix.

99 Mucoadhesion on the dorsal mucosa of the tongue has been reported in only one study to
100 date which investigated the binding of different milk proteins to distinct areas of the tongue
101 in an attempt to explain negative sensory attributes such as drying (Withers, Cook,
102 Methven, Godney, & Khutoryanskiy, 2013). Therefore, this current study is the first to
103 develop a method for assessing the adhesion of viscous polysaccharide solutions to *ex vivo*
104 porcine tongue tissue.

105 We are the first to show that food grade mucoadhesives are retained on the tongue *in vitro*,
106 alter the temporal perception of saltiness over time compared to non-mucoadhesives, and
107 prolong sodium retention in the mouth despite a reduction in perception. Perception data
108 was collected after consuming samples by a progressive profiling method to understand
109 changes in perception over time. Furthermore, an *in vivo* retention experiment was
110 developed to ascertain the differences in sodium levels retained by the mucoadhesive
111 sample compared to non-mucoadhesive samples. Our hypothesis is that mucoadhesives
112 may retain tastant and aroma molecules, extending the residence time in the oral cavity,
113 delaying release and prolonging flavour perception.

114

115

116 2. Methods

117 2.1. Materials

118 The 3 matrices were prepared for all parts of this experiment; they were all aqueous
119 solutions made with deionised water (DW), or deionised water plus sodium carboxymethyl
120 cellulose (CMC) as the mucoadhesive polysaccharide, or an amylase resistant starch (Nutrilis
121 brand, Boots UK Ltd). The CMC used was kindly provided by Akucell upon request (sample
122 code: AF0305, molecular weight of 140 kDa and a substitution degree of 0.8). The starch
123 was purchased from a local Boots store to be used for thickening liquids for patients with
124 dysphagia. It is a modified maize starch resistant to amylase due to its composition with
125 more amylose units than amylopectin. Other minor ingredients in the amylase resistant
126 starch are maltodextrin, xanthan gum, tara gum and guar gum.

127 The aqueous samples were freshly prepared on the day that they were used for analysis.

128 Both CMC and starch were dispersed in deionised water to obtain a final concentration of
129 2.6% (w/w). CMC samples were prepared on the morning before experiments and left in the
130 fridge for at least 3 hours to remove air bubbles. Starch and water samples were prepared
131 no longer than 30 min before commencing experiments to prevent the starch from thinning.

132 All samples contained the same concentration of sodium (final concentration 0.18% Na⁺ or
133 786 μM) either from NaCl salt added or Na⁺ inherently present in the polysaccharide. The
134 CMC contains a high amount of Na⁺ to make it soluble in water. Flame photometry
135 (Economical Flame Photometer; 230 VAC, 50/60 Hz) was used to determine the amount of
136 Na⁺ in CMC (51.5 mg/g) and therefore, the amount of NaCl added to these samples was
137 adjusted to account for this inherent sodium concentration. This ensured that the dosage of

138 sodium in each matrix was the same, but the amount of accompanying chloride was
139 different.

140 The viscosities of the CMC and the starch sample were determined using a TA AR2000
141 rheometer with 40mm parallel plate geometry (TA Instruments, Herts, UK). After the initial
142 amplitude sweep to determine the linear viscoelastic regions of the samples, the amplitude
143 was set to 1% strain and frequency sweeps were then carried out to determine the complex
144 viscosity over increasing frequency (Figure S1a & b). Various concentrations of CMC were
145 measured to match the 2.6% (w/w) starch viscosity (55 mPa.s) at a shear rate of 50 rad/s
146 (Figure S3) as this is typically quoted as the shear rate in the mouth (R. K. Richardson,
147 Morris, Ross-Murphy, Taylor, & Dea, 1989; Wood, 1968).

148 *2.2 Ex vivo retention experiments*

149 A dynamic retention method previously developed by Khutoryanskiy and coworkers (Cave,
150 Cook, Connon, & Khutoryanskiy, 2012; Cook, Smith, & Khutoryanskiy, 2015; Irmukhametova,
151 Mun, & Khutoryanskiy, 2011; Withers et al., 2013) was adapted for this experiment. The
152 retention experiment allows indirect quantification of the amount of sample retained on a
153 mucosal surface after being repeatedly washed with an artificial eluent. To visualise
154 retention of the sample sodium fluorescein (0.01%) was added to the solutions prior to
155 placement on the tissue. For this experiment, *ex vivo* porcine tongue was used as the
156 mucosal surface and an artificial saliva (AS) formulation was used as adapted from Madsen
157 *et al* (2013), as the eluent. This AS recipe was found to best simulate the retention profile
158 achieved with real human saliva (Madsen et al., 2013). The AS was comprised of CaCl (4
159 mM), KCl (10 mM), NaHCO₃ (2mM), NaCl (7mM), KH₂PO₄ (6.7mM) and pig gastric mucin (2.5
160 % w/v) (Sigma Aldrich Poole, UK).

161

162 *2.2.1 Tissue preparation*

163 Pig tongues were collected up to 24 hours post slaughter from P & D Jennings (Hurst, UK)
164 butchers where they were kept at -4°C, and kept on ice during transit (20 min). Most
165 connective tissue and muscle was removed from the underside of the tongue and the
166 epithelial layer was kept in airtight bags at -20° (until required). The dorsal of the tongue is
167 covered with a specialised epithelium consisting of keratinised and non-keratinised regions
168 and many protrusions and crevices due to the ubiquitous papillae. The structure of the
169 mucosa varies significantly in the different areas of the tongue so the front, rear and side
170 portions were selected based on their differing morphologies. When required, the tissue
171 sections were thawed at room temperature and cut into 1 cm² sections (around 2 mm
172 thick). These sections were glued mucosal side up onto microscope slides in order to enable
173 handling of the tissue.

174 *2.2.2. Retention procedure*

175 The polysaccharide samples were mixed with sodium fluorescein stock (1% sodium
176 fluorescein in deionised water) for a final concentration of 0.01% (w/v). This addition of
177 fluorophore allowed the visualisation and quantification of fluorescence under a fluorescent
178 stereomicroscope (Leica MZ10F). After conditioning the tissue with 1 mL of AS, 30 µL of
179 sample was applied to the mucosal surface with a syringe and allowed to equilibrate for 30
180 seconds. A picture of the unperturbed sample on the tissue was taken under the fluorescent
181 microscope at this point, which would later be referred to as wash 0 or 100 % fluorescence.
182 The tissue was then placed on a plastic slide angled at 45 ° and washed with 20 mL AS,

183 controlled by a syringe in an automatic pump set to 6 mL/ min. At 1, 2, 3, 5, 10, 15 and 20
184 mL the flow of eluent was stopped and images were taken under the fluorescent
185 microscope. The rate of salivary production in the mouth is estimated to be around 1 mL/
186 min dependent on the stimulation (Fenoli-Palomares et al., 2004; Gaviao, Engelen, & Van
187 der Bilt, 2004), therefore, this could be thought of as up to 20 min residence time in the
188 mouth. The tissue was kept in an incubator set to 37 °C whilst being washed with eluent.
189 Although this method simulates the oral cavity conditions to a certain extent, tongue and
190 mouth movements cannot be simulated and therefore the residence time is unlikely to be
191 as long *in vivo*. The fluorescent pictures were analysed using ImageJ software (National
192 Institutes of Health) to quantify the intensity of fluorescence after each wash. Each sample
193 and each area of the tongue was repeated three times on three different pig tongues. The
194 WO_{50} values were calculated from the retention results. These WO_{50} values represent the
195 volume (mL) of artificial saliva required to wash off 50% of the fluorescent sample (Mun,
196 Williams, & Khutoryanskiy, 2016).

197 2.3. Sensory perception

198 The University of Reading screened and trained sensory panel of 11 people were trained to
199 assess three attributes in the samples using a progressive profile method. After initial
200 exposure to the samples, the panel decided on the attributes saltiness, adhesion and
201 mouthcoating to best describe the samples. Panellists were trained on the saltiness
202 attribute with a range standard samples that varied in concentration. They were given 0.4%
203 NaCl in water as their extreme anchor. Two more standards 0.2% and 0.1% were given that
204 were approximately 50% and 25% of the line scale. These were given to the panellists on
205 several occasions to familiarise themselves with the scoring intensities. Adhesion was

206 defined as the stickiness of the sample to the roof of the mouth and mouthcoating was
207 defined as the feeling of something present on the mouth lining.

208 Progressive profiling produces a time-dependent descriptive profile showing the intensity of
209 attributes over specific time period during or after consumption. The test was made in
210 Compusense using standard unstructured line scales (scaled 0-100) (Figure S4). In this
211 experiment, the progressive profiling took place after the sample was swallowed in order to
212 gather insights into the influence of adhesion on salt perception. Panellists were given 5 mL
213 of each sample in opaque shot glasses and asked to score the attributes immediately after
214 swallowing. They were then instructed to sit quietly and swallow a consistent number of
215 times (dependent on the panellists individual defined times in 1 min), predetermined during
216 training, for 20 seconds until the next scoring session in the progressive profile. Panellists
217 took an average of 10 seconds to score the samples at each time point and therefore the
218 time interval between scores was, on average, 30 seconds. Compusense collected data and
219 the raw data was exported and analysed in SPSS. Panellists rinsed their mouth thoroughly
220 with water for 2 minutes between samples.

221 *2.4. In vivo sodium retention*

222 An *in vivo* retention study was designed to determine the actual amounts of sodium
223 retained in the mouth over time. It is well known that mucoadhesives retain small
224 compounds at mucosal sites, hence, it was hypothesised that this would be the case with
225 sodium chloride. Five participants were recruited, 1 female and 4 males, between the ages
226 of 22 and 30. Ethical approval was sought and granted by the University of Reading's School
227 of Chemistry, Food and Pharmacy ethics committee prior to experiments (project code
228 27/15). Participants were asked to brush their teeth and rinse their mouth thoroughly with

229 filtered water 15 min before they started each session. Each sample was tested in triplicate
230 so each data point reported was a mean of 15 individual saliva collections.

231 *2.4.1. Saliva collection*

232 For each session, the participants were given one of the three matrices containing salt each
233 session of the experiment. Compusense software was used for timing each experiment and
234 the breaks between each sample. For each sample, the participant was presented with 5 mL
235 and asked to hold the sample in the mouth for 10 seconds before spitting out the sample
236 into a disposable spittoon. To avoid excessive consumption of sodium chloride participants
237 spat out the sample instead of swallowing. This first expectoration was not measured as this
238 was in place of the participants swallowing. After this initial spitting, a timer started and
239 once this had finished, the participant was prompted to scrape their tongue with their teeth
240 and rid their whole mouth of saliva into a pre-weighed, appropriately labelled tube that
241 would later be analysed. The timer counted down from either 5, 30, 60, 120, 180, 240 or
242 300 seconds to gather measurements of sodium retained at each of these time points. For
243 every time point, a new sample was presented to the participant in order to accurately
244 measure how much would be retained at each time point over the total 5 min period. There
245 was at least a two min break between each sample in the series. Timings were randomised
246 and swallowing was controlled during each experiment so that each individual participant
247 was swallowing the same amount of times for each sample and all time points. Due to
248 individual variances of saliva production the number of swallows per person was different.

249 *2.4.2. Analysis of sodium in saliva*

250 The tubes were weighed before and after collection in order to determine the amount of
251 saliva collected. The saliva samples were diluted with 40 mL deionised water and agitated so
252 the sodium content could be measured by flame photometry set for sodium detection.
253 Sodium chloride standards were used for a calibration curve ranging from 0 mg/ L to 10 mg/
254 L Na⁺ (Figure S1) as this was in the linear range. A blank saliva sample was taken each day
255 before experiments started to measure the sodium present in resting saliva. These blanks
256 were averaged over the 9 sessions to give a value for baseline sodium content of each
257 participant's saliva. This was then subtracted from the results obtained from the
258 experiments.

259 *2.5. Statistical testing*

260 For all experiments two way repeated measures ANOVA was used in the statistical analysis
261 software, SPSS (IBM software). Bonferroni adjustments were made for multiple
262 comparisons of time points. Fisher's Least Significant Difference was used when comparing
263 between the three matrices.

264 2. Results & Discussion

265 *3.1 In vitro retention of solutions*

266 Figure 1 shows the retention profile of CMC at several concentrations. As the concentration
267 of CMC increased in the sample, the viscosity also increased (Figure S2). This is reflected in
268 the retention profiles obtained (Figure 1) where the least viscous sample (1.4% (w/w) CMC)
269 was the least retentive followed by 2.6% (w/w), 5% (w/w) and 5.5%(w/w). Figure 1 (inset)
270 shows the linear relationship between complex viscosity (η^*) and WO₅₀ values. Therefore,
271 these results suggest that the retentive ability of the sample is viscosity dependent.

272 Rheology results showed that CMC is relatively non-shear thinning at the concentrations
273 below 5.5% (Figure S2) and may explain the extended residence time on the mucosa.
274 Although viscosity can be quoted at a single shear rate, the shear behaviour of the sample
275 will be an important factor when considering the impact on mucoadhesion and retention of
276 molecules.

277 Figure 2 shows the retention profiles of the CMC, starch (matched viscosities) and water
278 samples on different areas of *ex vivo* pig tongue (Exemplary images in Figure S5). The
279 different areas of the tongue have different retention profiles with the front of the tongue
280 retaining the polysaccharide matrices longer than the rear and side of the pig tongue. This is
281 in accordance with previous results investigating milk protein retention on different tongue
282 areas (Withers et al., 2013). This is probably due to the morphology of the front surface of
283 the tongue, as it possesses a high density of fungiform and filiform papillae that increase the
284 surface area and surface roughness, facilitating mucoadhesion. The rear of the tongue has
285 larger protrusions and the side is mostly smooth, non-keratinised tissue with few papillae
286 present. Figure 3 shows some exemplar fluorescent photographs of the three areas
287 highlighting the differing morphological surfaces.

288 As a control, sodium fluorescein in water was applied to the tissue and washed off. Figure 2
289 shows that this solution was not retained on any of the areas of the tongue after the first
290 wash with 1 mL AS. This shows that the dye is not being retained on the tissue without the
291 presence of the polysaccharide. The starch sample was retained on the tongue longer than
292 the water sample and this is most likely due to viscosity factors. On the front of the tongue
293 most the sample was washed off after 5 mLs, whereas for the rear and side of the tongue 3

294 and 2 mL was sufficient, respectively. CMC on the other hand was still visible after 20 mL of
295 AS washing on the front of the tongue.

296 During these experiments the shear force that the sample is put under is that from the
297 droplet encountering the tissue. The shear rate that the sample viscosities were matched at
298 was very high in order to emulate the reported conditions in the mouth. Therefore, at lower
299 shear rates there is a large discrepancy between viscosities, with starch having a much
300 higher viscosity than CMC (Figure S2). Despite this, it was found that CMC was retained for
301 longer than starch on the front of the tongue with a similar trend in the other areas. This
302 suggests that viscosity is not the only driving factor for mucoadhesion, though this study
303 (Figure 1) and previous studies have shown that an increase in viscosity does result in
304 enhanced mucoadhesion. The solubility of a polymeric substance in the mucosal secretion
305 will also play an important role in the mucoadhesion observed. In this study both
306 polysaccharides are hydrophilic and will, therefore, be soluble in saliva, which has a neutral
307 pH.

308 There are many possible reasons why CMC is more retentive on the tongue mucosa than
309 starch. Starch is a shear thinning polysaccharide used for its thickening properties in a range
310 of liquid and semi solid food applications. Starch was chosen as a negative control for
311 mucoadhesion in this experiment as it thickens solutions whilst being relatively non-
312 adherent to the mucosal surface of the mouth, as illustrated by the *in vitro* retention (Figure
313 2). Starch has a granular structure in solution where its polymer chains swell and form
314 colloidal hydrated particles that exhibit limited chain entanglement (Mackley et al., 2013).
315 Nutrilis is a modified form of starch, however, it still exhibits a granular, swollen texture
316 rather than a continuous network of polymer chains (Mackley et al., 2013). This granular

317 structure will affect the ability of the polymer chains to interpenetrate within the mucus
318 layer to form physical entanglements with mucin, promoting adhesion. Conversely, the CMC
319 polymer chains can settle into the micro cracks (papillae) that are present on the surface of
320 the tongue leading to an increased polymer – surface interface. Furthermore, CMC is an
321 anionic polysaccharide due to the presence of COO⁻ groups. This will contribute to
322 mucoadhesion through hydrogen bonds and van der Waals forces with the mucin
323 oligosaccharide side chains.

324 *3.2. Sensory perception: Saltiness*

325 Scores of saltiness intensity were recorded several times over 6 min using standard line
326 scales (Figure S4). The results for this attribute show that all three samples decreased in the
327 intensity of saltiness over time (Figure 4). Saltiness perception was significantly higher in the
328 water samples compared to starch over time ($p < 0.05$), however, after 2 min the difference
329 between them became non-significant ($p > 0.05$). The saltiness of the CMC sample was
330 reduced compared to starch ($p < 0.01$) and water ($p < 0.001$) initially, and this difference
331 persisted over time (Figure 4). Saltiness intensity was significantly higher for water samples
332 compared to samples with CMC at all time points. The starch samples were significantly
333 higher than CMC until 480 secs after which the scores were not significantly different.

334 There are various factors to consider with salt taste perception such as viscosity, matrix-
335 tastant interactions and adaptation. An increase in viscosity is known to reduce the diffusion
336 of tastant molecules as predicted by the Stokes-Einstein and Wilke- Chang equations (Wilke
337 & Chang, 1955) and subsequently decrease taste perception in foods (Christensen, 1980; D.
338 J. Cook et al., 2003; Hollowood et al., 2002; Kokini, Bistany, Poole, & Stier, 1982).

339 Furthermore, interactions between ionic thickeners can slow the diffusion of charged

340 molecules and recent research suggests that sodium ion availability from food matrices is
341 the most important factor to consider for salt taste (Scherf, Pflaum, Koehler, & Hofmann,
342 2015). The interactions are often due to adsorption, entrapment in microregions,
343 complexation, encapsulation, and hydrogen bonding (Kinsella, 1989; Scherf et al., 2015).
344 Therefore, if the tastant is being chemically or physically prevented from diffusing out of the
345 food matrix to reach taste bud receptors, then this will stunt perception.

346 How well the matrix mixes with saliva has also been proposed as an explanation to why
347 starch does not stunt perception as much as random coil polysaccharides (Ferry et al.,
348 2006). Another possibility is that adaptation effects are artificially turning down the saltiness
349 signal, however, this would be more likely with stronger tasting solutions than weaker more
350 prolonged taste.

351 The most likely explanation for the results found in this study, however, is the anion effect
352 stunting the perception of sodium (Ye, Heck, & DeSimone, 1991). Although sodium ions
353 themselves are responsible for activating taste cells, the anion associated with it serves an
354 important purpose. In order to be perceived, sodium ions must diffuse from the food matrix
355 into the saliva where they then diffuse into the papillae where the taste bud receptor cells
356 are located. The anion associated with the sodium cation has great implications on the
357 amount of saltiness perceived from a given concentration of sodium. The anion effect
358 explains why smaller anions such as chloride facilitate a salty perception and larger anions
359 do not (Delwiche, Halpern, & Desimone, 1999; Lewandowski, Sukumaran, Margolskee, &
360 Bachmanov, 2016; Ye et al., 1991). Briefly, as the sodium ions diffuse paracellularly to
361 permeate the basolateral cells of a taste bud pore, anions larger than chloride will stay
362 behind. This leads to the development of a transepithelial potential and hyperpolarisation of

363 the taste cell. In the experiments in this study, the sodium levels were matched regardless
364 of the counter ion so it makes sense that with CMC being the anion in this circumstance, the
365 sodium ions will not produce a salty perception. (Ferry et al., 2006).

366 Due to these reasons, it is not clear whether the presence of a mucoadhesive would prolong
367 the taste perception of saltiness as the salt perception was already lower with CMC at the
368 start of the profile due to the large anion effect. The amount of added NaCl to the CMC
369 samples was 25% of that added to the other samples. The average intensity (0-100)
370 recorded by participants at the first scoring point was 16 for CMC, 55 for starch and 66 for
371 water. This means that the CMC scores were 29% of the score for starch and 24% of the
372 score for the water samples. It could therefore be argued if the amount of NaCl added was
373 the same for all the samples then the CMC samples may not have had such a drop in
374 intensity.

375 *3.3. Sensory perception: Adhesion & Mouthcoating*

376 Panellists scored the attributes adhesion and mouthcoating at the same time as scoring the
377 saltiness attribute. As these attributes are closely linked and have a similar response from
378 the panellists, they will be discussed together. The scores for adhesion (Figure 5a) and
379 mouthcoating (Figure 5b) were significantly higher for CMC samples overall compared to
380 starch and water samples. During training the panel described the CMC samples as sticky
381 and gummy whereas the starch was described as globular and gritty.

382 Immediately after swallowing and 30 seconds later the starch samples were perceived as
383 more adhesive than water, which is unsurprising considering the added viscosity and bulk it
384 imparts to a sample. CMC on the other hand scored significantly higher for adhesion up to

385 210 seconds for water ($p > 0.05$) and 480 seconds for starch ($p > 0.05$) (Figure 5a). Adhesion
386 scores were paralleled by mouthcoating scores (Figure 5b), though starch scored higher for
387 this attribute, presumably because it spreads throughout the oral cavity well but is
388 extremely shear thinning (Figure S3) so not particularly sticky when manipulated with the
389 tongue. Mouthcoating scores for starch were initially higher than the water samples but
390 dropped quickly, whereas CMC was significantly higher than the other samples for over 2
391 min after swallowing (Figure 5b).

392 This is evidence that although panellists perceived that starch coated their mouth
393 somewhat after swallowing, it was not adhesive in the same way as CMC. These results are
394 in line with the *in vitro* retention experiments (Figure 2), where CMC retained for longer on
395 the tongue than starch. This prolonged adherence of the liquid formulation could be
396 beneficial when delivering flavour molecules in liquid and semi solid food products.

397 3.4. *In vivo* salt retention

398 Five volunteers were used for retention experiments and each time point was carried out in
399 triplicate for each sample. At set time points after consuming the sample, their whole saliva
400 was extracted for analysis. This was to measure how much sodium was retained after the
401 bulk of the sample had been swallowed. It was hypothesised that the presence of the
402 mucoadhesive polysaccharide, CMC, would enhance the retention of sodium ions in the oral
403 cavity. Figure 6 shows the total amount of sodium present in the participants' whole saliva
404 at each time point. The total sodium amounts in the panellists' saliva after tasting samples
405 containing CMC were higher than the starch ($p < 0.05$) and water ($p < 0.05$) samples (Figure
406 6). This suggests that the CMC samples were better at retaining the sodium ions because
407 this polymer is more adhesive and, therefore, keeps the ions associated with it in the mouth

408 for a prolonged period of time. This is supported by the results from the *in vitro* retention
409 experiments (Figure 2) and the sensory perception scores for adhesion and mouthcoating
410 (Figures 5a & b). Although the perception of sodium was stunted due to the anion effect,
411 the actual amounts of the tastant were higher and retained for longer.

412 CMC is an ionic polysaccharide and this ionic nature lends itself to mucoadhesion due to
413 ionic and hydrogen bond formation and Van der Waals interactions with the oral mucosa.
414 However, the drawback of this from a nutritional perspective is that CMC inherently has
415 sodium associated with the negatively charged carboxylic groups. This is the case for many
416 ionic polysaccharides and therefore adding these types of polysaccharides to foods will
417 increase the sodium content without necessarily adding to the salty taste. In this study, the
418 sodium content of the samples were matched in order to ascertain whether the inherent
419 sodium in CMC would elicit a salt response and prolong this perception over time. However,
420 the amount of sodium already in the CMC samples meant that the amount of NaCl added to
421 the CMC samples was a quarter of that which was added to the other samples. If there were
422 equal amounts of NaCl added then the anion effect would be minimized and perhaps there
423 would be a prolonged perception of saltiness. Of course, this would then mean that there
424 was much more sodium in those samples making it less ideal from an application point of
425 view.

426 As mucoadhesion is correlated with viscosity (Figure 1), a non-ionic polysaccharide could be
427 used to overcome the excess sodium issue. The mucoadhesive strength of polymers does
428 not solely rely on viscosity; however, in liquid and semi-solid formulations this may be an
429 overriding factor. The rheological behaviour is also an important consideration as CMC is
430 relatively less shear thinning compared to starch, which may explain the retention further.

431 The force required to remove the CMC samples may need to be higher than for the starch
432 for example. Therefore, similar cellulose derivatives that are non-ionic such as
433 hydroxypropyl methylcellulose may be retentive due to the rheological behaviour but will
434 not have the associated sodium with them. Liquid mucoadhesion is heavily influenced by
435 viscosity, the more viscous a sample is the more resistant it is to force. It is, therefore,
436 difficult to control for viscosity in such experiments as most polysaccharides that can form
437 viscous solutions are also going to exert some mucoadhesive strength. Furthermore,
438 polymer chain flexibility that facilitates chain entanglement is inherently related to
439 mucoadhesion, so this further complicates the endeavour to find a polymer that exhibits
440 this characteristic in solution and is not mucoadhesive. Therefore, starch was chosen as one
441 of the few polymeric substances that thicken solutions without forming an interconnecting
442 polymer chain network.

443 Although water was not statistically different to starch at retaining sodium ions ($p > 0.05$)
444 there was a general trend that more sodium was retained in the water samples over the
445 different time points (Figure 6). This could be explained due to the viscosity of starch; some
446 of the sodium ions would reside in the starch matrix and be swallowed in the bolus as it is
447 not mucoadhesive, thus reducing the amount left in the mouth. As there is no bolus
448 formation in the water samples and water poses no physical barrier to the mucosa, the
449 sodium ions are free to diffuse into the taste bud pores to be perceived and remain in the
450 mouth.

451 Individual differences in salivary flow, composition and viscosity would likely have an impact
452 on the retention of the sample. For example, a high salivary flow would dilute the sample
453 and reduce the relative amount of polysaccharide chains interacting with the mucosa,

454 therefore, reducing the mucoadhesive strength. This data was not collected during these
455 experiments but would make an interesting follow up study to link individual saliva
456 properties, mucoadhesion and the impact on sensory perception of food containing
457 mucoadhesives.

458 4. Conclusions

459 The results from this study show that a formulation containing mucoadhesive CMC prolongs
460 the adherence of the matrix to the mucosa; *in vitro* and *in vivo* studies show that it also
461 retains the model tastant, sodium, within it for longer than starch and water matrices.
462 However, this study found that, due to the large anion effect, the perception of the retained
463 sodium was diminished. This study suggests that mucoadhesive matrices may result in a
464 controlled release of flavour compounds after consumption when the anion effect is not an
465 issue. Although there is much work needed in this area to better understand the role of
466 mucoadhesion in foods, this evidence can be used to design foods to sustain delivery of
467 flavour ingredients.

468

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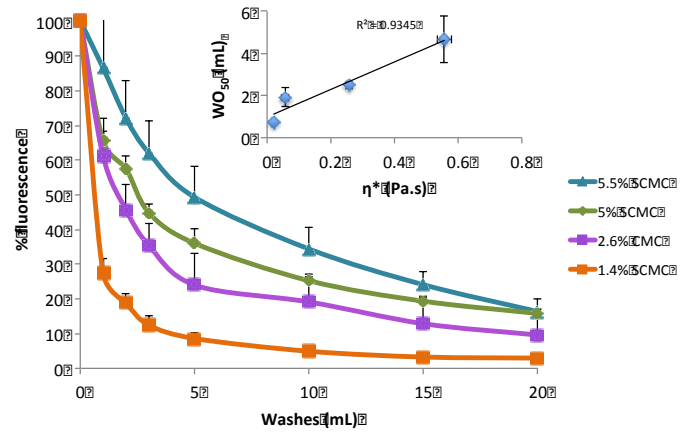
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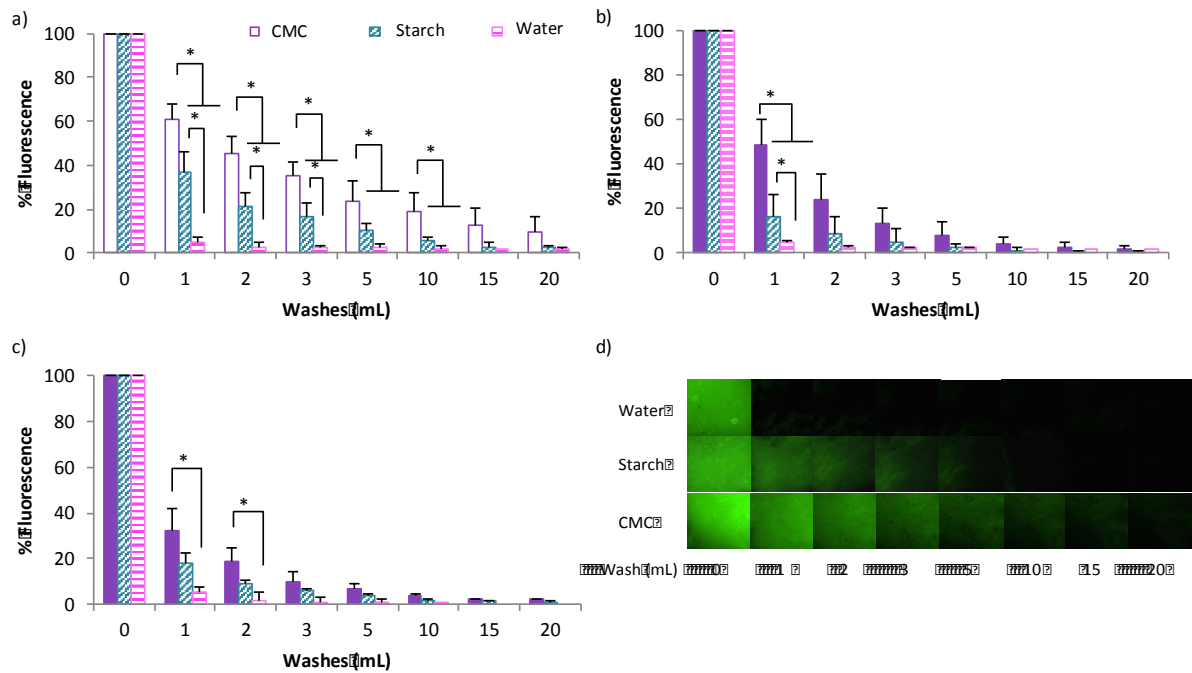
637 **Figure 1. Wash off profile of various concentrations of CMC on the front of *ex vivo* porcine tongue.**

638 Each point represents the mean of 3 repeats of different tongues and is the percentage of

639 fluorescence retained after washing with artificial saliva. Inset graph is the complex viscosity (η^*) in

640 Pa.s plotted against the amount of artificial saliva (mL) it took to wash off 50% of the sample (WO_{50}).

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643 **Figure 2. *In vitro* retention profiles of samples on the front (a), rear (b) and side (c) of *ex vivo* pig**

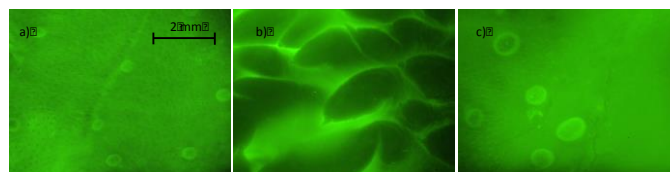
644 **tongue and example fluorescent images (d). Significance value of $p < 0.05$ is represented by ***

645 between respective groupings ($n=3$). Error bars are \pm standard deviation. Fluorescence intensity of

646 the retained polysaccharide was quantified by ImageJ software after being washed with artificial

647 saliva up to 20 mL.

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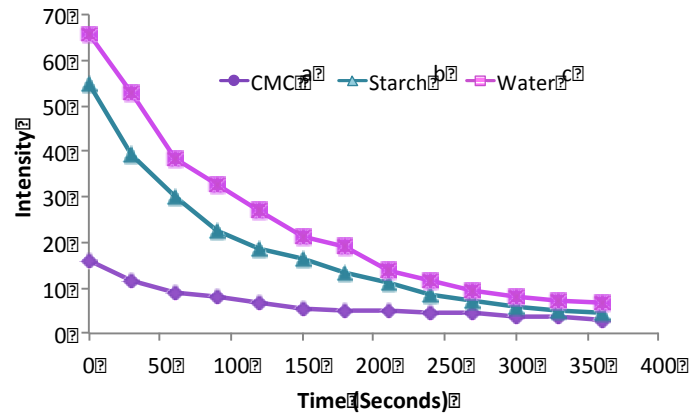


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650 **Figure 3. Fluorescence images of the differing morphologies of the different areas of the**

651 **tongue. The front (a), rear (b) and side (c) of *ex vivo* porcine tongue after 0.1% sodium**

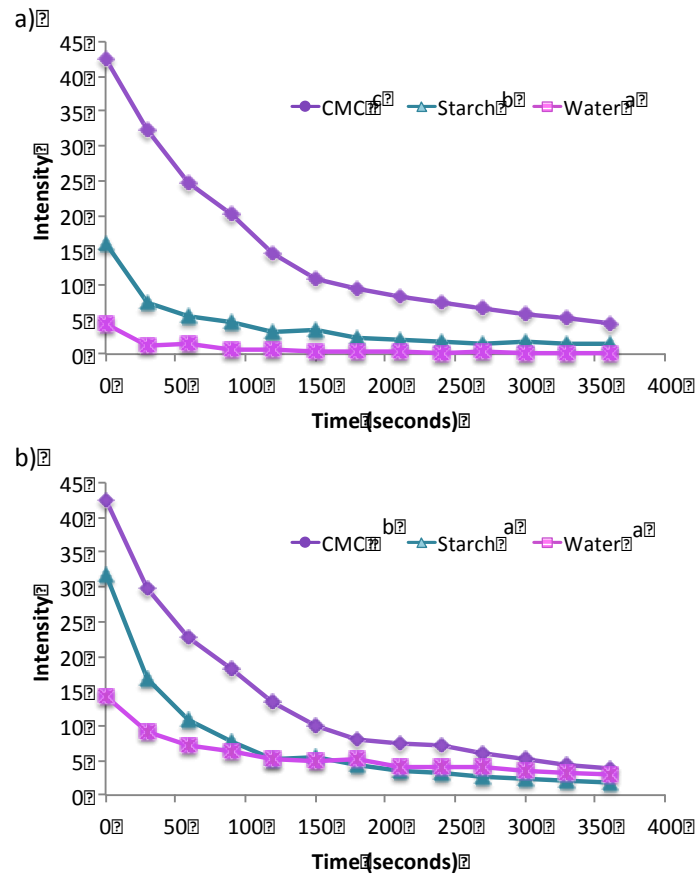
652 **fluorescein was placed onto the different areas of tissue.**



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654 **Figure 4. Progressive profiling data for *Saltiness*.** Each data point represents the mean for
 655 the 11 panellists and their duplicate tests. Error bars are not included in this graph as there
 656 is large individual variation in scores over the time period. The letters next to the sample key
 657 represent statistically significant groupings. Different letters represents a significant
 658 difference of $p < 0.05$.

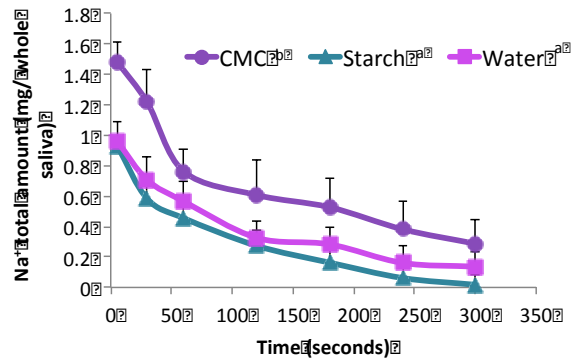
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661 **Figure 5. Progressive profiling data for a) adhesion and b) mouthcoating.** Each data point
 662 represents the mean for the 11 panellists and their duplicate tests. Error bars are not
 663 included in this graph as there is large individual variation in scores over the time period.
 664 The letters next to the sample key represent statistically significant groupings. Different
 665 letters represents a significant difference of $p < 0.05$.

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668 **Figure 6. Amount of sodium present in participants' whole saliva over 5 min.** Each data
 669 point represents the mean of 15 (5 participants, 3 repeats) saliva collections analysis. Each
 670 sample presented to the participants contained 9mg Na⁺, therefore, the first data point on
 671 this graph represents the residual sample left in the mouth after participants swallowed the
 672 sample. Error bars represent ± standard error mean. The letters next to the sample key
 673 represent statistically significant groupings. Different letters represents a significant
 674 difference of p <0.05 using Bonferroni correction.

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