

## THE PROGNOSTIC VALUE OF CATASTROPHIZING FOR PREDICTING THE CLINICAL EVOLUTION OF LOW BACK PAIN PATIENTS.

A study in routine clinical practice within the Spanish National Health Service [NCT00502333].

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Francisco Kovacs, Jesús Seco, Ana Royuela and Josep Corcoll designed the forms used to collect the data, and the database in which they were introduced for analysis. Francisco

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## Abstract

**Background/context:** Experimental studies suggest that catastrophizing may worsen the prognosis of low back pain (LBP) and LBP-related disability, and increase the risk of chronicity.

**Purpose:** To assess: a) The prognostic value of baseline catastrophizing for predicting the clinical evolution of LBP patients in routine clinical practice b) The association between the evolution of pain and catastrophizing.

**Study design/setting:** Prospective study in routine clinical practice of the Spanish National Health Service.

**Patient sample:** 1,422 acute and chronic adult LBP patients treated in primary and hospital care.

**Outcome measures:** pain, disability and catastrophizing, measured through validated instruments.

**Methods:** Patients were managed according to routine clinical practice. Outcome measures were assessed at baseline and 3 months later. Logistic regression models were developed to estimate the association between baseline catastrophizing score and the improvement of LBP and disability, adjusting for baseline LBP and leg pain severity, disability, duration of the pain episode, workers' compensation coverage, radiological findings, failed back surgery, diagnostic procedures and treatments undertaken throughout the study. Another model was developed to estimate the association between the evolution of LBP and the change in catastrophizing, adjusting for the same possible confounders plus the evolution of leg pain and disability. Models were repeated excluding the treatments undergone after the baseline assessment.

**Results:** Regression models showed that the degree of baseline catastrophizing does not predict the evolution of LBP and disability. Conversely, as the degree of pain improvement increases, so does the OR for improvement in catastrophizing, ranging from 3 (CI 95% 2.00; 4.50,  $p < 0.001$ ) for improvements in pain between 1.1 and 4 VAS points, to 7.3 (CI 95% 3.49; 15.36,  $p < 0.001$ ) for improvements in pain  $> 6.1$ . Similar results were obtained when treatments were excluded from the models.

**Conclusions.** In routine practice, assessing the baseline score for catastrophizing does not help clinicians to predict the evolution of LBP and disability at 3 months.

**Key words:** Low back pain, disability, prediction, catastrophizing, routine clinical practice.

## Introduction

Nonspecific or common low back pain (LBP) is defined as pain between the costal margins and the inferior gluteal folds, which may be associated with pain referred down to the leg (“leg pain”), and is usually accompanied by painful limitation of movement. Diagnosing common LBP implies that the pain is not related to conditions such as fractures, spondylitis, direct trauma, or neoplastic, infectious, vascular, metabolic, or endocrine-related processes.<sup>1</sup>

Two of the main psychological factors which have been considered to negatively influence the prognosis of pain and disability in LBP patients, are fear-avoidance beliefs (FAB) and catastrophizing.<sup>1-9</sup> FAB refer to the fear-induced avoidance of movements or activities which are expected to be painful, whereas catastrophizing is defined as an exaggerated negative mental state related to an actual or anticipated painful experience.<sup>1-9</sup> In the Spanish cultural environment, FAB have shown to have an either negligible or non-existent influence on LBP among elderly populations and among acute, subacute and chronic LBP patients treated in routine practice,<sup>10-13</sup> whereas catastrophizing correlates with disability and explains approximately one fourth of its variance,<sup>13,14</sup> suggesting that it may have an influence on the prognosis of LBP patients.

From a theoretical point of view, pre-existing catastrophizing thoughts may hamper patients’ clinical evolution. Conversely, it could also be hypothesized that catastrophizing would appear or be reinforced in patients who experience a disappointing clinical evolution, successive failed treatments and continued pain and disability. This poses a “chicken and egg” dilemma, on the potential reciprocal influence between catastrophizing and lack of clinical improvement.<sup>3,7-9</sup>

In fact, previous cross-sectional studies have shown that catastrophizing, pain and disability correlate with each other,<sup>13-18</sup> but results from prospective studies are inconsistent. Some randomized controlled trials and small studies in routine practice suggest that baseline catastrophizing is associated with the evolution of pain and disability, some suggest the contrary, and others conclude that catastrophizing predicts the outcome of acute LBP, but not before 6 weeks after the onset of pain.<sup>2,4,7,19-31</sup> Results from the only large prospective study conducted in routine practice, suggest that baseline catastrophizing does not predict the evolution of LBP-related disability.<sup>32</sup>

If catastrophizing were to actually have a negative influence on prognosis, it would follow that, in routine practice, clinicians should identify patients in whom psychological treatment to address catastrophizing should be considered. To this end, a cut-off value for baseline catastrophizing, above which reducing it would be required to treat LBP successfully, should be identified.

Therefore, the objectives of this study were to: a) Determine whether assessing baseline catastrophizing would help clinicians to predict the clinical evolution of low back pain patients, in routine clinical practice, while establishing the cut-off point to identify subjects in whom catastrophizing may hinder recovery and should therefore be treated, b) Assess the association between improvement in pain and the evolution of catastrophizing.

## Methods

## Setting

This study was performed in 14 Health Care Centers from 7 different regions in Spain. Twelve belonged to the Spanish National Health Service (SNHS), and 2 to not-for-profit Foundations working for the SNHS.

Participating centers included 6 primary care centers and 8 specialty centers in rehabilitation, neuroreflexotherapy, orthopedic surgery, and rheumatology.

## Subjects

Inclusion criteria were: seeking care in a participating center for LBP with or without leg pain, not caused by direct trauma or systemic diseases, not complying with criteria for referral to surgery, and being able to read in Spanish.

Pain not caused by systemic diseases was defined as pain in patients who had not been diagnosed with cancer, fibromyalgia or inflammatory diseases, such as rheumatoid arthritis or Bechterew's disease (Ankylosing Spondylitis), and who did not show signs suggesting fibromyalgia or "red flags" for potential underlying systemic diseases.

"Signs suggesting fibromyalgia" were defined as diffuse pain with unexplained fatigue or sleep disturbances, and "red flags" for potential underlying systemic diseases were defined as oncologic disease during the previous 5 years, constitutional symptoms (unexplained weight loss, fever, chills), history of intravenous drug use, or immunocompromised host.<sup>1,33-35</sup>

Criteria for referral to surgery were defined as signs suggesting cauda equina syndrome, or nerve root compression due to disk herniation or spinal stenosis potentially qualifying for surgery. Relevant or progressive paresia, loss of sphincter control or saddle anesthesia, were considered as signs suggesting cauda equina syndrome. Potential surgical criteria for disk herniation were defined as disabling sciatic pain lasting 6 weeks or more, caused by a compromised nerve root demonstrated by magnetic resonance (MRI). Potential surgical criteria for symptomatic lumbar spinal stenosis were defined as radicular pain lasting 3 or more months, or claudication unrelated to peripheral vascular disease, with evidence of stenosis on MRI or CT scans.<sup>1</sup>

Patients who had undergone unsuccessful spine surgery ("failed back surgery") and those with "red flags" in which appropriate test procedures had ruled out systemic diseases, were invited to participate in the study.

Exclusion criteria were: treated or untreated central nervous system impairment, refusal to sign the informed consent, and leaving a questionnaire assessing any of the variables unanswered.

The design of this study did not imply any variation in the patients' clinical management. Therefore, as opposed to randomized clinical trials, there were no ethical reasons for keeping the sample size as small as possible. As a result, sample size for this study was established at 1,500, in order to ensure enough statistical power, given that: a) previous studies had suggested that the potential effect of catastrophizing in Spanish

subjects could be small,<sup>10,11,16</sup> b) approximately 80% of LBP patients treated in routine practice within the Spanish National Health Service, report a clinically relevant improvement at 3 months,<sup>36,37</sup> and previous studies have shown that improvements are not normally distributed,<sup>36,38</sup> which excludes linear regression analysis and implies the need to dichotomize continuous variables for logistic regression analyses, which in turn may reduce statistical power, d) this sample size would allow the introduction of up to 30 variables in the regression models as potential confounders.<sup>39</sup>

### Procedure

The study protocol was approved by the Ethical Committees of the participating Hospitals and institutions.

All patients seeking care for LBP who were treated by physicians participating in this study, were screened for inclusion and exclusion criteria. The physicians explained the study's characteristics to eligible patients, as well as how important it was for them to fully and accurately answer the questionnaires. They finally invited patients to sign the corresponding informed consent. The patients who signed it were included in the study. Neither patients nor recruiting physicians received any compensation for their participation in this study.

Patients were assessed upon recruitment and three months later. At both assessments, patients completed all the self-administered questionnaires by themselves, in private. The only instructions they received, were those included in the standard validated versions of the self-administered questionnaires. They received no help or further directions from health care personnel, research staff or other third parties. Once completed, the questionnaires were collected by auxiliary personnel not related to the study. Data were introduced into a database at a central coordination office by two administrative assistants, who double-checked that the data introduced coincided with ratings on the questionnaires.

Following routine practice conditions, all decisions on clinical management, including the prescription of any kind of diagnostic tests or treatments, were left up to the treating clinicians, and no measures were taken to homogenize their criteria. Clinicians had access to the scores for pain and disability, since these data can influence their clinical recommendations, but not to the score for catastrophizing.

### Variables

At the first assessment, patients were asked to complete questionnaires gathering data on gender, age (date of birth), duration of current pain episode (days), and working status (classified as "not eligible"-i.e. students, housewives, unemployed, retired-, or "eligible for worker's compensation benefits", which in Spain can represent up to 100% of the salary irrespective of whether the worker is working or not -i.e., working, on sick leave or disabled-).

In this study, LBP and leg pain severity, and LBP-related disability were considered the main indicators of patients' clinical evolution.<sup>40</sup> At both assessments, patients were asked to rate the intensity of low back pain (LBP), leg pain (LP), LBP-related disability, and catastrophizing. Pain intensity was measured with a 10-cm visual analog scale (VAS, for

which 0 = no pain and 10= worst possible pain).<sup>41</sup> Low back pain-related functional disability was measured using the validated Spanish version of the Roland-Morris questionnaire (RMQ),<sup>42</sup> in which disability is scored from 0 to 24 points (better to worse). Catastrophizing was measured using the catastrophizing subscale of the validated Spanish version of the Coping Strategies Questionnaire, in which patients' use of catastrophizing strategies to cope with pain is scored from 0 (no use) to 36 (maximum possible use of those strategies).<sup>43</sup>

Recruiting physicians provided data on patients' radiological findings (no findings, disc degeneration, scoliosis, spondylolisthesis, spondylolysis, annular tear, disc protrusion, disc herniation, > 1 cm. difference in leg length, lumbarization of S1, sacralization of L5, other radiological findings), and history of failed back surgery related to current episode (yes/no), as well as diagnostic procedures (X-Rays, scanner, MRI, EMG, blood analyses, scintigraphy, other) and treatments which the patient had undergone throughout the study (drugs –NSAIDs, muscle relaxants, other drugs-, physiotherapy or rehabilitation, neuroreflexotherapy (NRT) intervention, surgery, other treatments).

### Analysis

Absolute and relative frequencies were calculated for categorical variables, and mean and standard deviation (SD) for continuous ones. The characteristics of the patients who improved and did not improve were compared using the Mann-Whitney test for continuous variables. Categorical variables were compared through the chi-squared test, or the Fisher's exact probability test when chi-squared was not applicable.

Improvements in pain and disability were defined as any reduction in the score of VAS or RMQ being higher than the minimal clinically important change (MCIC). Previous studies have established MCIC for pain and disability at 30% of their baseline score, with a minimum value of 1.5 for VAS and 2.5 for RMQ.<sup>37</sup> RMQ cannot be scored with decimals so, in this study, improvement was defined as "clinically relevant" when  $\geq 1.5$  VAS points or  $\geq 3$  RMQ points. Similarly, a "relevant reduction" in the CSQ score was defined as any reduction  $\geq 30\%$  of its baseline value.<sup>37,44</sup> Since no data on MCIC for CSQ are available, a sensitivity analysis was conducted in which "change in the CSQ score" was defined as any positive difference between assessments at baseline and 3 months. According to these definitions, improvement was impossible when baseline scores were  $\leq 1,5$  VAS points for pain,  $\leq 3$  RMQ points for disability, or  $\leq 1$  CSQ point for catastrophizing. Therefore, patients with such a baseline score for a given variable, were excluded from the analysis which focused on the improvement of that variable.

Two logistic regression models were developed to estimate the association between baseline CSQ score and the improvement of LBP and LBP-related disability during the study period, adjusting for other possible confounders. In order to relax the assumption of linearity among dependent variables and baseline CSQ, baseline CSQ score was categorized in quartiles and introduced into the models as dummy variables, using the first quartile as the reference one.

At the design phase of this study, it was decided that all recorded variables that might exert an influence on the evolution of pain and disability, would be included as potential confounders in the models. These included gender, age (in years), baseline values for LBP (VAS points), leg pain (VAS points) and LBP-related disability (RMQ points), duration of

the current episode (collected in days and classified as acute –less than 14 days-, subacute –14 to 90 days-, chronic -91 to 365 days- and extremely chronic -over 365 days-),<sup>38,45</sup> workers' compensation coverage, diagnosis of “failed back surgery”, radiological findings (disc degeneration, spondylolisthesis/spondylolysis, spinal stenosis, disc protrusion/hernia, no findings), diagnostic procedures performed throughout the study (X-Rays, MRI, CT scan, scintigraphy, electromyography, blood analysis), and treatments received before and during the study (NSAIDs, steroids, muscle relaxants, other drugs, physiotherapy, rehabilitation, NRT intervention, or surgery). In order to assess whether chronicity modified the association between baseline CSQ score and the evolution of pain and disability, the interaction between baseline CSQ score and chronicity was also included in the maximal model. CSQ was forced into a non-automatic backward elimination strategy oriented at providing a valid estimate, so that the variable with the highest P value that was not a confounder was excluded at every step.<sup>46,47</sup> Variables were considered to be confounders if the estimate of the coefficient of CSQ changed by more than 10% when that variable was removed from the maximal model. In order to avoid the loss of statistical power, independent continuous variables were not categorized.<sup>48</sup>

It was hypothesized that, although clinicians did not have access to patients' baseline CSQ scores, the degree of baseline catastrophizing might have been linked to some patients' characteristics which, in turn, could have influenced clinicians' decisions with regards to treatment. Therefore, analyses were repeated excluding treatments received during the follow-up period.

Another logistic regression model was developed to estimate the association between the evolution of LBP (categorized in quartiles and introduced in the model as dummy variables), and the change in CSQ (defined as any change in the score being  $\geq 30\%$  of baseline value), adjusting for other possible confounders. In addition to all the potential confounders listed in the models described above, the maximal model also included the evolution of LP and disability. Evolution of LBP, LP and disability was defined as the baseline score minus the final one, so that positive values reflect improvement. Criteria used to consider a variable as a confounder were the same as described above.

To validate these three models, the sample was randomly split into two sub-samples for each model. The first one (training sample) included 80% of patients. The second one (validation sample), included the remaining patients (i.e., 20%).<sup>47</sup> Collinearity of the maximal model in training samples was evaluated using the criteria proposed by Belsley.<sup>49</sup>

The SPSS v17 (SPSS Inc, Chicago, IL) was used for statistical analysis.

## Results

Thirty three clinicians screened 1,565 patients. Sixty-five patients declined to commit to the follow-up visit and did not sign the informed consent. The remaining 1,500 (95.67%) patients were included. There were no losses to follow-up, but 78 subjects (5.2%) were excluded at the analysis phase for having left questionnaires on LBP (32 patients), LP (47), disability (39), catastrophizing (34), or workers' compensation coverage (4), unanswered. Sixty-two out of those 78 patients left two or more questionnaires unanswered. Therefore, 1,422 patients were included in the analysis. Their mean age was 52.6 years, and most were women (62.6%) with chronic LBP (58.4%). At baseline, their mean CSQ score was



15 points, although 143 patients (10.1%) had a CSQ score over 75% of the maximum possible. Patients' baseline characteristics are shown in Table 1.

At baseline, 24 patients had a pain score  $\leq 1,5$  VAS points, 77 had a disability score  $\leq 3$  RMQ points, and 6 had a score on the CSQ  $\leq 1$ . Therefore, they were excluded from the analysis focusing on the improvement of the corresponding variable (Table 2). Pain worsened in only 36 patients (2.5% of the sample), disability in 40 (2.8%) and catastrophizing in 91 (6.4%). Therefore, no separate analyses were made for these patients and they were included in the "did not improve" category for the corresponding variable.

There were some differences between patients who experienced improvements in pain, disability and catastrophizing, and those who did not (Table 2). Among patients who improved, failed back surgery was less common, diagnostic procedures were less frequently performed, and the treatments prescribed differed from those undergone by patients who did not improve. In addition, among the 1,043 patients in whom pain improved throughout the study period, baseline pain severity was higher, fewer were chronic, and fewer showed disk protrusion or hernia on MRI. Among the 879 in whom disability improved, baseline RMQ scores were higher and fewer showed no radiological findings in imaging. Among the 1,010 in whom catastrophizing improved, baseline CSQ scores were higher, leg pain was more common, and fewer showed no radiological findings in imaging (Table 2).

The model analyzing the association between baseline catastrophizing and the evolution of low back pain had to be adjusted by baseline severity of low back pain, baseline degree of disability, and whether the patient received NRT intervention. The training sample included 1,103 patients, and the validation one, 295. There were no collinearity problems. No association was found between baseline CSQ score and the evolution of LBP (Table 3). This was the case for both the training and validation samples. When treatments were removed from the model, changes in results were minor (Table 3).

The model analyzing the association between baseline catastrophizing and the evolution of disability, had to be adjusted by baseline score for disability, and whether the patient received NRT intervention. The training sample included 1,088 patients, and the validation one, 257. There were no collinearity problems. No association was found between baseline CSQ and the evolution of disability (Table 4). This was the case for both the training and validation samples. When treatments were removed from the model, changes in results were minor (Table 4).

The last regression model showed that the evolution of catastrophizing throughout the study period was associated with the evolution of LBP (Table 5). The training sample included 1,124 patients and the validation one, 292. As the degree of pain improvement increased, so did the OR for improvement in catastrophizing, ranging from 3 (CI 95% 2.00; 4.50,  $p < 0.001$ ) for improvements in pain between 1.1 and 4 VAS points, to 7.3 (CI 95% 3.49; 15.36,  $p < 0.001$ ) for improvements in pain  $> 6.1$  VAS points (Table 5). The ORs (95% CI) in the validation sample were similar to the ones found in the training sample (data not shown). When treatments were removed from the model, changes in results were minor (Table 5). There were no collinearity problems. In the sensitivity analysis in which "change" in the CSQ score was defined as "any change", instead of a variation  $\geq 30\%$  of its baseline score, the results were virtually identical (Table 5).

Among the 34 patients who left the CSQ unanswered at the follow-up assessment, 27 had answered it at the baseline assessment and their median (IQR) score was 7 (2,11). The baseline CSQ score was unknown for only 7 patients (0.5%). Therefore, it was decided not to perform a sensitivity analysis.

## Discussion

Results from this study show that baseline catastrophizing is of no clinical value for predicting the evolution of low back pain (LBP) and disability. Hence, it is not appropriate to use catastrophizing for early identification of those patients with a bad clinical prognosis.

“Association” is different from “causality”. Therefore, results from this study might be interpreted as suggesting that improvement in pain leads to improvement in catastrophizing, or viceversa. However, from both a psychological and a biological perspective, it is more likely that catastrophizing may appear or worsen when pain does not improve despite treatments received. The fact that the baseline degree of catastrophizing does not predict the evolution of pain and disability (Tables 3 and 4), further supports this interpretation. However, it might be argued that, in patients with a higher degree of catastrophizing, pain needs to improve further before they notice any improvement, and that the placebo effect may be smaller or shorter. Therefore, further studies should assess the potential influence of catastrophizing on the minimal clinically important change for pain and disability, and on the size and duration of the placebo effect.

These results suggest that the correlation previously found between catastrophizing, LBP and LBP-related disability in cross-sectional studies,<sup>13-18</sup> may be of little practical clinical value, since it might be explained by the fact that the OR for improvement in catastrophizing increases with pain improvement (Table 5). Results from a study conducted in the same cultural setting, showed that the correlation of catastrophizing and other psychological variables with disability, ceases to be significant when variations of trait anxiety are taken into account, suggesting that other psychological variables may play a more relevant role than catastrophizing.<sup>50</sup> This is also consistent with results from a large study conducted in routine practice in the Anglo-Saxon cultural context, which showed that among 20 potential psychological obstacles to recovery, only 4 (including neither FAB nor catastrophizing) were predictive of the clinical evolution of disability.<sup>32</sup> Results from randomized controlled trials and small prospective studies are inconsistent.<sup>2,4,7,12,18-31</sup> Differences in sample size, recruitment context (e.g., patients treated in routine practice vs. secondary analyses of data gathered in clinical trials), patients’ characteristics, and statistical methods used across studies (e.g., use of hierarchical vs. non-hierarchical models) can account for this inconsistency. For instance, the use of hierarchical models implies pre-hoc assumptions and favors those variables which the authors choose to enter first, since these have a greater chance of attaining statistical significance.

It seems theoretically plausible that catastrophizing may be influenced by the duration of pain, the radiological findings (and potential explanations given to the patients with regards to it), and having undergone aggressive treatments. However, in this study catastrophizing scores were not influenced by these variables. In fact, the association between pain improvement and catastrophizing was the same among acute and chronic patients, and the prognostic value of baseline catastrophizing for predicting the clinical

evolution was null among both acute and chronic patients (Tables 3-5). Results from the logistic regression models showed that the only relevant feature with respect to the evolution of catastrophizing, is whether pain severity improves (Table 5). This might be interpreted as suggesting that patients do not tend to catastrophize if pain severity is improving, independently of its duration.

The objective of this study was to appraise the usefulness of assessing catastrophizing in the management of LBP patients in routine clinical practice. As a result, all kinds of LBP patients were included in this study; acute and chronic, with and without leg pain, having undergone unsuccessful spine surgery or not, etc. However, regression models show that none of these characteristics influence the null prognostic value of baseline catastrophizing for predicting patients' clinical evolution. At the design phase it was also decided that this study should be conducted in conditions as close as possible to routine practice in the Spanish National Health Service (SNHS). As a result, patients were managed as they usually are in routine practice, and clinical decisions were left up to the treating physician. However, LBP-related clinical practice is roughly consistent within the SNHS, and it generally follows current evidence-based guidelines.<sup>36,51,52</sup> The seven Spanish regions in which this study was conducted represent most of the economic and cultural spectrum of the country. Acute (41.9%) and chronic (58.1%) patients were recruited in routine clinical practice, in primary and specialty centers belonging to or working for the SNHS. The SNHS is a tax-funded public organization in which all health care services are provided free to every resident in Spain (except aesthetic surgery and some dental procedures).<sup>36</sup> Only a small minority of patients in the upper economic class seek health care exclusively through private health care. Low rates of included patients among those screened, losses to follow-up and missing data, may introduce a risk of bias. In this study, over 95% of the patients screened were included, there were no losses to follow-up, and only 78 out of 1,500 patients (5.2%) were excluded from the analysis due to missing data. All these features suggest that generalizability of these results to LBP patients treated within the SNHS should not be a concern.

It has been suggested that the role of psychological variables in the evolution of LBP, may vary across cultural contexts. In fact, while associations between LBP and catastrophizing have been observed in some studies conducted in the Northern European and Anglo-Saxon cultural contexts.<sup>2-4,7,20-31,53-56</sup>, to date no psychological variable has shown to be relevant with regards to the treatment or clinical evolution of LBP patients in the Spanish context.<sup>11-13,18</sup> Therefore, further studies should assess the generalizability of current results to other cultural environments, and identify which psychological variables influence the prognosis of LBP in the Spanish cultural context. Those studies should be longitudinal, include large representative samples of acute and chronic patients recruited in clinical practice and use non-hierarchical models, in order to be comparable with the current one and to lead to results which are potentially relevant to clinical practice.

According to the results of this study, a high baseline score for catastrophizing does not predict the clinical course of pain or disability (Tables 3 and 4), whereas improvement in pain is associated with a higher OR for improvement in catastrophizing (Table 5). This might be interpreted as suggesting that it is more likely that a high score in catastrophizing follows lack of improvement in pain, than that a high baseline catastrophizing score hinders improvement in pain or disability. This interpretation would contribute to the "chicken and egg dilemma" debate with regards to the potential reciprocal influence between catastrophizing and lack of clinical improvement.<sup>3,7-9</sup>

The strengths of this study include its large sample, the low number of exclusions, losses to follow-up and missing data, and generalizability of its results to routine clinical practice. Weaknesses are its observational design, relatively short follow-up, that catastrophizing was the only psychological variable assessed, that continuous variables had to be dichotomized at the analysis stage, and the lack of evidence on the size of the minimal clinically important change (MCIC) for catastrophizing. These potential limitations are discussed in the following paragraphs.

Some patients included in this study showed high levels of catastrophizing and low levels of pain and disability at baseline, while others showed the opposite (Tables 1 and 2). The large sample size and the absence of losses to follow-up, allowed the identification of sizeable subgroups comprising those in whom each variable improved and did not improve throughout the study period. This allowed this study to assess the prognostic value of baseline catastrophizing for predicting the evolution of pain and disability, despite its observational design.

Chronic patients generate most of the social and economic burden associated with LBP.<sup>57,58</sup> Therefore, in this study follow-up was planned at 3 months to ensure that all patients who were still symptomatic at the follow-up assessment would be chronic,<sup>45</sup> and to minimize the risk of losses associated with longer follow-up periods within the routine clinical practice of the SNHS.<sup>36</sup> However, since baseline catastrophizing does not predict the clinical evolution of pain or disability during the first three months, it is not likely for it to become relevant at a later stage. In fact, the prognosis of chronic low back pain is determined by changes in pain and disability occurring in the initial period.<sup>59</sup>

Catastrophizing might influence other psychological variables which have not been assessed in this study and which may influence patients' general health and well being, such as fear, anxiety or depression.<sup>20,21,52,53,60-62</sup> Therefore, although catastrophizing score cannot be used to identify those patients in whom LBP has a worse prognosis, it may still be useful to identify those subjects with underlying emotional or psychological comorbidities. Further studies should compare the validity, reliability and feasibility of measuring catastrophizing or other psychological variables (e.g., anxiety or depression) to identify these patients in routine practice. On the other hand, results from this study show that the improvement of pain is associated with the improvement of catastrophizing, suggesting that successfully treating pain might be an effective way of improving catastrophizing and other potentially related psychological variables. Further studies should test this hypothesis.

Continuous variables had to be dichotomized into categories for logistic regression analyses. This might have led to a loss of statistical power. Anticipating this, a large sample was established at the design phase, and measures to reduce missing data and losses to follow-up were planned and successfully implemented. In fact, statistical power was enough to show that pain improvement is associated with the evolution of catastrophizing (Table 5).

Previous studies have shown that the cut-off point to consider a change in pain as "clinically relevant", corresponds to 30% of its baseline value, and that the same criterion is valid for disability.<sup>37,44</sup> Therefore, at the design phase of this study it was decided to establish the same cut-off point for catastrophizing. It was also decided to perform a

sensitivity analysis in which “any positive change” –regardless of its size- would be considered as clinically relevant. Results from analyses using both cut-off points are consistent, which suggests that the lack of previous evidence on the MCIC for catastrophizing does not challenge the validity of results from this study (Table 5).

In conclusion, this study shows that, in routine clinical practice, assessing baseline catastrophizing does not help clinicians to predict the evolution of LBP and disability at 3 months.

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Table 1. Baseline characteristics of patients included in the study

Variables	All patients (n = 1422)
Gender (males) *	532 (37.4)
Age (years) ▫	52.6 (15.0)
Eligible for workers' compensation	824 (58.0)
Duration of pain *	
Acute (< 14 days)	113 (7.9)
Subacute (14-90 days)	479 (33.7)
Chronic:	
91-365 days	553 (38.9)
> 365 days	277 (19.5)
Failed back syndrome *	21 (1.5)
Findings on imaging tests *	
No findings	255 (17.9)
Disc degeneration	890 (62.6)
Spondylolisthesis/spondylolysis	91 (6.4)
Spinal stenosis	118 (8.3)
Disc protrusion/herniation	485 (34.1)
Annular tear	3 (0.2)
> 1cm difference in leg length	12 (0.8)
Lumbarization of S1	14 (1.0)
Sacralization of L5	9 (0.6)
Other radiological findings	15 (1.1)
Diagnostic procedures *	
X-Rays	359 (25.2)
Magnetic Resonance	355 (25.0)
CT-scan	50 (3.5)
Electromiography	58 (4.1)
Blood analysis	53 (3.7)
Scintigraphy	3 (0.2)
Drug treatment *	
NSAIDs	806 (56.7)
Corticoids	96 (6.8)
Muscle relaxants	274 (19.3)
Other	139 (9.8)
Physiotherapy or rehabilitation *	176 (12.4)
Neuro-reflexotherapy *	1242 (87.3)
Surgery *	8 (0.6)
Other treatments*	15 (1.1)
LBP (VAS) ▫	6.7 (2.1)
LP (VAS) (n= 1098) ▫	6.2 (2.4)
Disability (RMQ) ▫	12.8 (5.6)
Catastrophizing (CSQ) ▫	15.0 (8.5)

\* Frequency (%) ▫ Mean (SD) LBP: Severity of low back pain LP: Severity of referred pain down into the leg (leg pain) (in the 1098 patients who had it) RMQ: Score in the Roland-Morris Questionnaire CSQ: Score in the Coping Strategies Questionnaire

Table 2. Baseline characteristics of patients in whom low back pain, disability and catastrophizing improved and did not improve throughout the study.

Variable	LBP improved (n=1043) †	LBP did not improve (n=355) †	p	Disability improved (n=879) ‡	Disability did not improve (n=466) ‡	p	Catastrophizing improved (n=1010) ¥	Catastrophizing did not improve (n=406) ¥	p
Gender (males) *	391 (37.5)	126 (37.2)	0.501	333 (37.9)	167 (35.8)	0.460	378 (37.4)	152 (37.4)	0.996
Age (years) †	52 (41;63)	52 (41;64)	0.983	52 (41;63)	53 (43;65)	0.071	53 (42;64)	50 (40;62)	0.035
Eligible for workers' compensation	598 (57.3)	207 (58.6)	0.668	519 (59.0)	255 (55.0)	0.149	585 (57.9)	235 (58.2)	0.932
Chronicity *			0.006			0.177			0.944
< 14 days	91 (8.7)	18 (5.1)		71 (8.1)	30 (6.4)		82 (8.1)	30 (7.4)	
14-90 days	362 (34.7)	105 (29.6)		307 (34.9)	143 (30.7)		341 (33.8)	137 (33.7)	
91-365 days	403 (38.6)	146 (41.1)		341 (38.8)	193 (41.4)		394 (39.0)	157 (38.7)	
> 365 days	187 (17.9)	86 (24.2)		160 (18.2)	100 (21.5)		193 (19.1)	82 (20.2)	
Failed back surgery *	8 (0.8)	13 (3.7)	<0.001	8 (0.9)	13 (2.8)	0.008	8 (0.8)	12 (3.0)	0.002
Findings on imaging tests *									
Disc degeneration	662 (63.5)	221 (62.3)	0.681	546 (62.1)	314 (67.4)	0.056	651 (64.5)	238 (58.6)	0.040
Spondylolisthesis/spondylolysis	71 (6.8)	19 (5.4)	0.335	55 (6.3)	33 (7.1)	0.561	63 (6.2)	28 (6.9)	0.647
Spinal stenosis	79 (7.6)	38 (10.7)	0.066	74 (8.4)	41 (8.8)	0.813	84 (8.3)	34 (8.4)	0.972
Disc protrusion/hernia	330 (31.6)	145 (40.8)	0.002	298 (33.9)	169 (36.3)	0.386	333 (33.0)	151 (36.9)	0.130
Annular tear	2 (0.2)	0 (0)	1.000	2 (0.2)	1 (0.2)	1.000	1 (0.1)	2 (0.5)	0.199
> 1cm difference in leg length	8 (0.8)	3 (0.8)	1.000	7 (0.8)	3 (0.6)	1.000	9 (0.9)	2 (0.5)	0.738
Lumbarization of S1	9 (0.9)	4 (1.1)	0.749	8 (0.9)	6 (1.3)	0.576	7 (0.7)	4 (1.0)	0.522
Sacralization of L5	6 (0.6)	2 (0.6)	1.000	4 (0.5)	5 (1.1)	0.290	6 (0.6)	2 (0.5)	0.818
No finding	177 (17.0)	70 (19.7)	0.241	142 (16.2)	88 (18.9)	0.026	160 (15.8)	94 (23.2)	0.001
Diagnostic procedures *									
X-Rays	223 (21.4)	127 (35.8)	<0.001	178 (20.3)	157 (33.7)	<0.001	213 (21.1)	141 (34.7)	<0.001
Magnetic Resonance	230 (22.1)	114 (32.1)	<0.001	206 (23.4)	134 (28.8)	0.033	226 (22.4)	126 (31.0)	<0.001
CT scan	23 (2.2)	26 (7.3)	<0.001	18 (2.0)	28 (6.0)	<0.001	21 (2.1)	28 (6.9)	<0.001
Electromyography	25 (2.4)	28 (7.9)	<0.001	21 (2.4)	32 (6.9)	<0.001	21 (2.1)	35 (8.6)	<0.001
Blood analysis	22 (2.1)	28 (7.9)	<0.001	17 (1.9)	31 (6.7)	<0.001	17 (1.7)	34 (8.4)	<0.001
Scintigraphy	2 (0.2)	0 (0)	1.000	2 (0.2)	1 (0.2)	1.000	1 (0.1)	2 (0.5)	0.199
Drug treatment *									
NSAIDs	584 (56.0)	209 (58.9)	0.344	492 (56.0)	278 (59.7)	0.194	550 (54.5)	254 (62.6)	0.005
Corticoids	51 (4.9)	41 (11.5)	<0.001	42 (4.8)	47 (10.1)	<0.001	44 (4.4)	51 (12.6)	<0.001
Muscle relaxants	183 (17.5)	84 (23.7)	0.011	169 (19.2)	94 (20.2)	0.677	163 (16.1)	109 (26.8)	<0.001
Other	100 (9.6)	39 (11.0)	0.447	84 (9.6)	54 (11.6)	0.243	93 (9.2)	46 (11.3)	0.225
Physiotherapy or rehabilitation *	101 (9.7)	70 (19.7)	<0.001	86 (9.8)	78 (16.7)	<0.001	92 (9.1)	81 (20.0)	<0.001
Neuro-reflexotherapy *	975 (93.5)	258 (72.7)	<0.001	832 (94.7)	365 (78.3)	<0.001	947 (93.8)	295 (72.7)	<0.001
Surgery *	3 (0.3)	5 (1.4)	0.029	3 (0.3)	5 (1.1)	0.134	2 (0.2)	6 (1.5)	0.009
Other treatments*	7 (0.7)	6 (1.7)	0.106	5 (0.6)	9 (1.9)	0.025	6 (0.6)	9 (2.2)	0.017
Severity of LBP (VAS) †	7 (6.8)	6 (4.8)	<0.001	7 (5.8)	7 (5.8)	0.290	7 (5.8)	7 (5.8)	0.009
Patients with LP*	775 (74.4)	247 (69.6)	0.078	647 (73.7)	348 (74.7)	0.694	754 (74.7)	277 (78.2)	0.013
Severity of LP (VAS) †	7 (5.8)	1 (0.6)	0.154	6 (2.8)	5 (2.8)	0.481	5 (1.8)	5 (2.7)	0.911
Disability (RMQ) †	14 (0;18)	12 (8;16)	0.451	14 (10;18)	12 (8;16)	<0.001	13 (9;17)	13 (8;17)	0.970
Catastrophizing (CSQ) †	16 (9;22)	13 (7;19)	0.443	15 (9;21)	14 (8;20)	0.028	15 (8;21)	14 (8;20)	<0.001

\* Frequency (%) † Median (p25;p75) LBP: Low back pain LP: Referred pain down into the leg (in the 1098 patients who had it) RMQ: Score in the Roland-Morris Questionnaire CSQ: Score in the Coping Strategies Questionnaire ‡ Only includes patients whose pain severity at baseline was high enough to allow for a clinically relevant improvement (i.e., baseline VAS > 1.5). ‡ Only includes patients whose disability at baseline was high enough to allow for a clinically relevant improvement (i.e., baseline RMQ > 3). ¥ Only includes patients whose catastrophizing at baseline was high enough to allow for improvement (i.e., baseline CSQ ≥ 1).

Table 3. Association between catastrophizing at baseline, and the evolution of the severity of low back pain throughout the study period (3 months).

	Crude analysis		Adjusted analysis**	
	OR (CI 95%)	p	OR (CI 95%)	P
Catastrophizing at baseline*		0.253		0.163
Q1 ( $\leq 8$ )	Ref. cat		Ref. cat	
Q2 (9-15)	1.42 (0.99; 2.02)	0.055	0.87 (0.58; 1.31)	0.509
Q3 (16-21)	1.13 (0.79; 1.61)	0.502	0.66 (0.43; 1.01)	0.056
Q4 ( $\geq 22$ )	1.28 (0.88; 1.85)	0.197	0.63 (0.39; 1.02)	0.060

\*: Categorized in quartiles.

\*\* : Variables included in the model were: gender, age, baseline values for LBP, leg pain and LBP-related disability, duration of the current episode (acute, subacute, chronic and extremely chronic), the interaction between baseline CSQ and chronicity, workers' compensation coverage, diagnosis of "failed back surgery", radiological findings, diagnostic procedures performed throughout the study, and treatments received. Results had to be adjusted only by baseline severity of low back pain, baseline degree of disability, and having undergone neuro-reflexotherapy intervention. OR's for these variables are not shown, since they may have been confounded by unknown variables which were not controlled for.<sup>24</sup>

In the model in which prescribed treatments were removed from the models, only baseline severity of low back pain showed a confounding effect, and changes in results were minor [adjusted global p: 0.080, and adjusted OR (CI95%) for Q2, Q3 and Q4: 1.16 (0.80;1.69), 0.81 (0.55;1.18) and 0.71 (0.47;1.07), respectively].

Table 4. Association between catastrophizing at baseline, and the evolution of disability throughout the study period (3 months).

	Crude analysis		Adjusted analysis**	
	OR (CI 95%)	p	OR (CI 95%)	P
Catastrophizing at baseline*		0.003		0.167
Q1 ( $\leq 8$ )	Ref. cat		Ref. cat	
Q2 (9-15)	1.43 (1.04; 1.97)	0.028	0.87 (0.61; 1.23)	0.428
Q3 (16-20)	1.71 (1.21; 2.41)	0.002	0.76 (0.51; 1.12)	0.167
Q4 ( $\geq 21$ )	1.73 (1.24; 2.40)	0.001	0.64 (0.43; 0.96)	0.029

\*: Categorized in quartiles.

\*\* : Variables included in the maximal model were: gender, age, baseline values for LBP, leg pain and LBP-related disability, duration of the current episode (acute, subacute, chronic and extremely chronic), the interaction between baseline CSQ and chronicity, workers' compensation coverage, diagnosis of "failed back surgery", radiological findings, diagnostic procedures performed throughout the study, and treatments received. Results had to be adjusted only by baseline degree of disability, and having undergone neuro-reflexotherapy intervention. OR's for these variables are not shown, since they may have been confounded by unknown variables which were not controlled for.<sup>24</sup>

In the model in which prescribed treatments were removed from the models, only baseline severity of disability showed a confounding effect, and changes in results were minor [adjusted global p: 0.459, and adjusted OR (CI95%) for Q2, Q3 and Q4: 1.14 (0.82;1.60), 1.01 (0.70;1.47) and 0.85 (0.58;1.25), respectively]

Table 5. Association between the evolution of the severity of low back pain and the improvement of catastrophizing.†

	Crude analysis		Adjusted analysis**	
	OR (CI 95%)	p	OR (CI 95%)	P
Evolution of severity of LBP*		<0.001		<0.001
Q1 ( $\leq 1.0$ )	Ref. cat		Ref. cat	
Q2 (1.1-4.0)	4.97 (3.54; 6.97)	<0.001	3.00 (2.00; 4.50)	<0.001
Q3 (4.1-6.0)	10.61 (6.92; 16.27)	<0.001	3.18 (1.91; 5.29)	<0.001
Q4 ( $\geq 6.1$ )	35.87 (18.65; 68.98)	<0.001	7.32 (3.49; 15.36)	<0.001

\*: Categorized in quartiles. The evolution of LBP was defined as the baseline score minus the final one, so that positive values reflect improvement.

\*\* : Variables included in the maximal model were: gender, age, baseline values for LBP, leg pain and LBP-related disability, duration of the current episode (acute, subacute, chronic and extremely chronic), the interaction between baseline CSQ and chronicity, workers' compensation coverage, diagnosis of "failed back surgery", radiological findings, diagnostic procedures performed throughout the study, treatments received, the evolution of referred pain and the evolution of disability. Results had to be adjusted only by baseline degree of disability, improvement in disability throughout the study period, and having undergone neuro-reflexotherapy intervention. OR's for these variables are not shown, since they may have been confounded by variables which were not controlled for.<sup>24</sup>

† Defining "improvement" as any reduction in the CSQ score being  $\geq 30\%$  of its baseline value. In the sensitivity analyses in which "improvement" was defined as "any reduction in the CSQ score" – regardless of its size-, results had to be adjusted only by improvement in disability throughout the study period and adjusted ORs (CI 95%) for Q2, Q3 and Q4 were: 2.87 (1.91;4.32), 4.04 (2.28;7.16) and 5.49 (2.46;12.24), respectively.

In the model in which prescribed treatments were removed from the models, only baseline degree of disability and improvement in disability throughout the study period showed a confounding effect, and changes in results were minor [adjusted global p: <0.001, and adjusted OR (CI95%) for Q2, Q3 and Q4: 3.43 (2.30;5.10), 4.06 (2.48;6.66) and 9.58 (4.62;19.84), respectively]