



Archived at the Flinders Academic Commons:

<http://dspace.flinders.edu.au/dspace/>

‘This is the peer reviewed version of the following article: Badaruddin, S., Werner, A. D., & Morgan, L. K. (2017). Characteristics of active seawater intrusion. *Journal of Hydrology*, 551, 632–647. <https://doi.org/10.1016/j.jhydrol.2017.04.031>

which has been published in final form at

<http://doi.org/10.1016/j.jhydrol.2017.04.031>

© 2017 Elsevier. This manuscript version is made available under the CC-BY-NC-ND 4.0 license <http://creativecommons.org/licenses/by-nc-nd/4.0/>

1 **Characteristics of active seawater intrusion**

2
3 Sugiarto Badaruddin^{2,3}, Adrian D. Werner^{1,2*}, Leanne K. Morgan^{2,4}

4
5 ¹National Centre for Groundwater Research & Training, Flinders University, GPO Box 2100,
6 Adelaide SA 5001, Australia

7 ²School of the Environment, Flinders University, GPO Box 2100, Adelaide SA 5001, Australia

8 ³Civil Engineering Department, the State Polytechnic of Ujung Pandang, P.O. Box 90245,
9 South Sulawesi, Indonesia

10 ⁴Waterways Centre for Freshwater Management, University of Canterbury and Lincoln
11 University, Private Bag 4800, Christchurch 8140, New Zealand

12
13 Email addresses:

14 Sugiarto Badaruddin: sugi0040@flinders.edu.au

15 *Adrian D. Werner: adrian.werner@flinders.edu.au; Corresponding author

16 Leanne K. Morgan: leanne.morgan@canterbury.ac.nz

17
18 Resubmitted to Journal of Hydrology, 31st March 2017

19

20 **Abstract**

21

22 The inland migration of seawater in coastal aquifers, known as seawater intrusion (SWI), can
23 be categorised as passive or active, depending on whether the hydraulic gradient slopes
24 downwards towards the sea or the land, respectively. Despite active SWI occurring in many
25 locations, it has received considerably less attention than passive SWI. In this study, active
26 SWI caused by an inland freshwater head decline (FHD) is characterised using numerical
27 modelling of various idealised unconfined coastal aquifer settings. Relationships between key
28 features of active SWI (e.g., interface characteristics and SWI response time-scales) and the
29 parameters of the problem (e.g., inland FHD, freshwater-seawater density contrast,
30 dispersivity, hydraulic conductivity, porosity and aquifer thickness) are explored for the first
31 time. Sensitivity analyses show that the SWI response time-scales under active SWI situations
32 are influenced by both the initial and final boundary head differences. The interface is found
33 to be steeper under stronger advection (i.e., caused by the inland FHD), higher dispersivity and
34 hydraulic conductivity, and lower aquifer thickness, seawater density and porosity. The
35 interface movement is faster and the mixing zone is wider with larger hydraulic conductivity,
36 seawater-freshwater density difference, and aquifer thickness, and with lower porosity.
37 Dimensionless parameters (Peclet number and mixed convection ratio) from previous steady-
38 state analyses offer only limited application to the controlling factors of passive SWI, and are
39 not applicable to active SWI. The current study of active SWI highlights important functional
40 relationships that improve the general understanding of SWI, which has otherwise been
41 founded primarily on steady-state and passive SWI.

42

43 **Keywords:** Seawater intrusion, density-dependent flow, solute transport, coastal aquifer,
44 buoyancy

45

46 **1. Introduction**

47

48 Seawater intrusion (SWI) is a phenomenon where seawater displaces fresh groundwater in
49 coastal aquifers (Bear, 1979). The global significance of SWI is well-established (Wu et al.,
50 1993; Bocanegra et al., 2010; Custodio, 2010; Werner et al., 2013b). Previous studies have
51 recognized two types of SWI: passive and active (Mahesha, 1995; Morgan et al., 2012; Werner
52 et al., 2012). In passive SWI, the hydraulic gradient slopes towards the sea. This results in
53 density-induced forces acting in the opposite direction to fresh groundwater flow, creating the
54 classical wedge-shaped seawater plumes that are traditionally associated with SWI (e.g., Pinder
55 and Cooper, 1970). In active SWI, the hydraulic gradient slopes towards the land, and forces
56 caused by density differences and fresh groundwater flow act in the same direction, causing
57 more aggressive salinization.

58

59 The current understanding of SWI is based primarily on studies that assume a steady-state
60 condition (Werner et al., 2013a). For example, a considerable body of SWI research adopts the
61 Henry problem (Henry, 1964), and modifications thereof, to investigate the effects of density,
62 heterogeneities and dispersion on steady-state SWI (e.g., Simpson and Clement, 2003; Held et
63 al., 2005; Abarca et al., 2007; Sebben et al., 2015). Several studies use the shift in the interface
64 between one steady-state condition and another in evaluating long-term extents of SWI (e.g.,
65 Werner and Simmons, 2009; Morgan et al., 2012), thereby neglecting altogether transient
66 effects and precluding the evaluation of active SWI processes. Morgan et al. (2012) showed
67 that if the freshwater-saltwater interface moves slowly enough, steady-state solutions
68 reproduce approximately the transient interface. This permits use of quasi-equilibrium

69 predictions of the transient interface, thereby avoiding the numerical burden of transient
70 analyses.

71

72 Previous studies of the transience of SWI have mainly considered passive SWI (e.g., Chang et
73 al., 2011; Webb and Howard, 2011; Morgan et al., 2015). For example, Watson et al. (2010)
74 investigated transient SWI in response to both sea-level rise (SLR) and sea-level drop in
75 unconfined coastal aquifers, and defined a SWI response time-scale as the time needed for the
76 freshwater-saltwater interface toe (i.e., the inland limit of the saltwater wedge along the aquifer
77 basement) to reach 95% of the new steady-state condition. They observed temporal asymmetry
78 in the SWI responses to rises and falls in sea level, and discovered the phenomenon known as
79 ‘SWI overshoot’ (e.g., Morgan et al., 2013c). Following Watson et al. (2010), Lu and Werner
80 (2013) employed the same definition of SWI time-scales in their investigation of response
81 times associated with passive SWI, created by variations in the inland or coastal water level.
82 They showed that for a particular coastal aquifer, the SWI response time-scale is determined
83 by the final boundary head difference (i.e., the difference between inland and coastal boundary
84 heads after an inland freshwater head decline (FHD)), regardless of the toe response distance
85 associated with particular FHD events. In contrast, the toe response distance controls the time-
86 scale of seawater retreat for cases with the same initial boundary head differences.

87

88 Compared to passive SWI, active SWI has received considerably less research attention, and
89 general intuition about the controlling factors and time-scales of active SWI is under-
90 developed, despite that active SWI is known to occur in many areas (i.e., Yakirevich et al.,
91 1998; Fetter, 2001; Werner and Gallagher, 2006; Morgan and Werner, 2015). A prominent case
92 study of active seawater intrusion is Vázquez-Suñé et al.’s (2006) investigation of the Llobregat
93 Delta (Spain), where groundwater levels fell to more than 25 m below sea level in the 1970s,

94 creating active SWI conditions that led to rapid and extensive salinization of the coastal
95 aquifers. Studies of the processes accompanying active SWI include that of Badaruddin et al.
96 (2015), who used physical and numerical modelling to show that under active SWI conditions
97 and in the absence of recharge, the potential for watertable salinization (WTS) was significant
98 for non-tidal unconfined coastal aquifers. The transition from passive to active SWI, which is
99 accompanied by cessation of fresh groundwater discharge to the sea, leads to WTS arising from
100 the landward flow of seawater. WTS may occur at rates up to, or temporarily faster than, the
101 speed of SWI along the aquifer base (Badaruddin et al., 2015). SWI causes more extensive
102 WTS in tidal settings relative to non-tidal conditions (Werner and Lockington, 2006). Active
103 SWI is largely an unstudied phenomenon from the perspective of its primary characteristics
104 and key controlling forces. Thus, intuition on the behaviour of active SWI is based largely on
105 case studies, where the individual contributions of buoyancy, dispersive and advective forces
106 to aquifer salinization are not investigated. The studies by Werner and Lockington (2006) and
107 Badaruddin et al. (2015) did not explore the key features of active SWI and their relationships
108 with the main system parameters, and rather, they focused on tidal effects and WTS,
109 respectively.

110

111 This study investigates the characteristics of transient, active SWI occurring in cross section in
112 various non-tidal, unconfined coastal aquifer settings, which are homogeneous, of simple
113 geometry, and devoid of surface recharge. For the purpose of comparison, passive SWI
114 conditions are also considered. Research by Badaruddin et al. (2015) and Abarca et al. (2004;
115 2007), who provide general guidance on steady-state SWI, are extended in this study by
116 attempts to draw relationships between key features of active SWI (e.g., interface slope, mixing
117 zone width and SWI time-scales) and the main controlling forces (e.g., density, dispersion and

118 advection). We also extend the passive SWI characterisation of Lu and Werner (2013) using a
119 modification to their approach to quantify active SWI time-scales.

120

121 **2. Methodology**

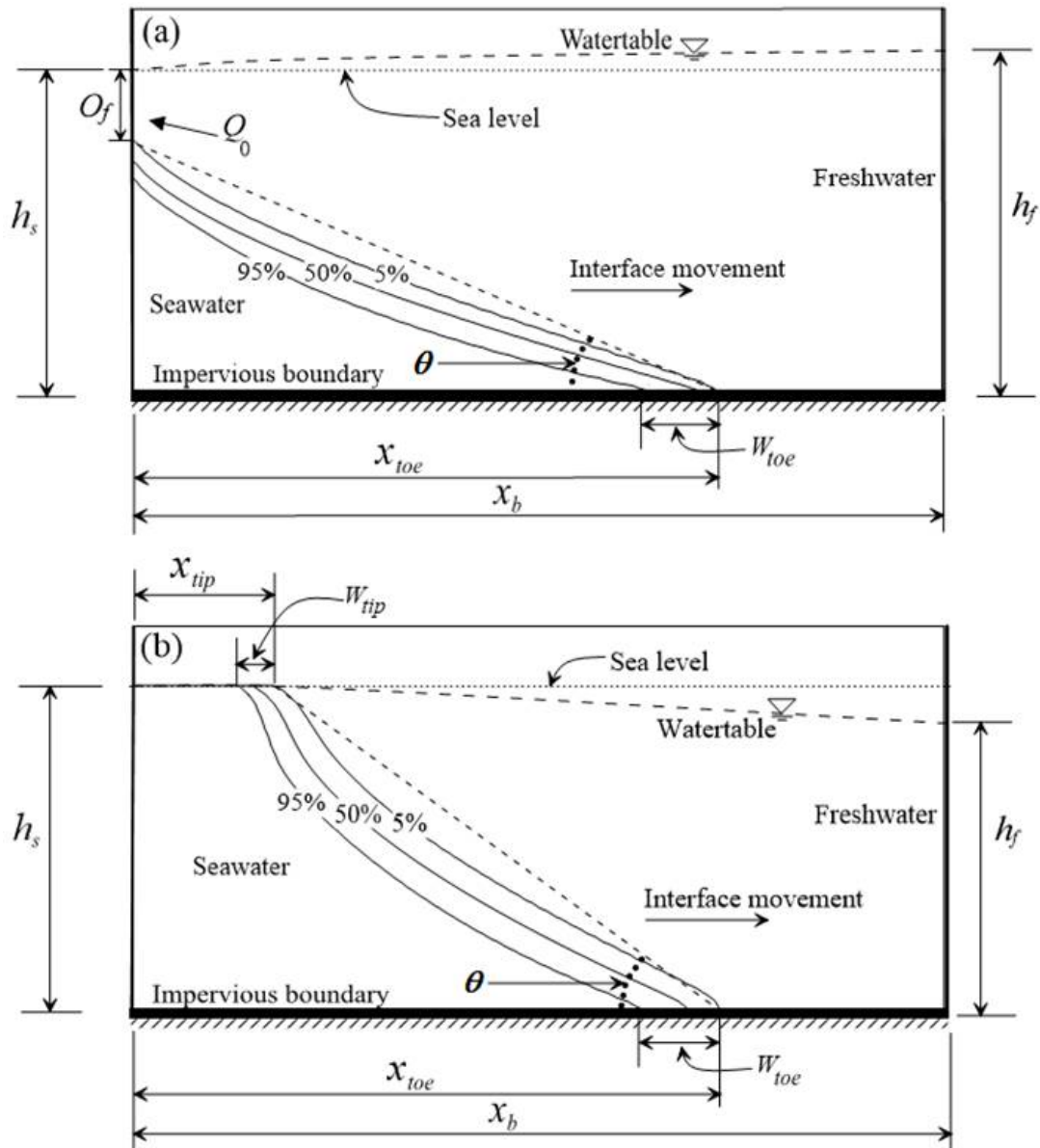
122

123 **2.1. Conceptual model**

124

125 Figure 1 shows a schematic representation of a simple unconfined coastal aquifer, and
126 identifies the key parameters adopted in quantifying the main features of active SWI. The
127 analysis applies to unconfined aquifers because these more often support freshwater extraction
128 given their shallow occurrence relative to confined systems (Watson et al., 2010; Werner et al.,
129 2013b).

130



131

132 **Figure 1.** Conceptual model of an unconfined coastal aquifer subjected to: (a) passive SWI and
 133 (b) active SWI (modified after Badaruddin et al., 2015).

134

135 The left and the right sides of the conceptual model (Figure 1) are the coastal and inland
 136 boundaries, respectively. Q_0 [L^2/T] is freshwater discharge to the sea, and O_f [L] is the depth
 137 of freshwater discharging at the shoreline (i.e., ‘outflow face’), which is shown in Figure 1 as
 138 the distance from the watertable to the 5% relative salinity on the ocean boundary. Obviously,
 139 O_f is dependent on the choice of relative salinity value used to define ‘freshwater’. The regional

140 head difference (h_{f-s} [L]) is the advective force driving groundwater flow between the
141 boundaries, and is represented by $h_f - h_s$, where h_s [L] is the depth of the horizontal aquifer
142 base below sea level, and h_f [L] is the inland freshwater head (Figure 1). Surface recharge is
143 neglected for simplicity. Recharge creates a mitigating effect on watertable salinization during
144 active SWI, as shown by Werner (2017), and therefore, the results of the analysis that follows
145 may overestimate the watertable salinization that is likely to occur in regions that experience
146 persistent, significant recharge. Three different salinity values (i.e., 5%, 50% and 95% of
147 seawater, termed ‘relative salinity’ in what follows) provide the basis for evaluating the
148 behaviour of the interface, and both the interface toe (x_{toe} [L]) and the interface tip (x_{tip} [L]) are
149 reported (Figure 1). The horizontal length between the 5% and 95% relative salinity contours
150 is adopted as the width of the dispersion zone, which is calculated both at the interface toe (W_{toe}
151 [L]) and at the watertable (i.e., the interface tip) (W_{tip} [L]). The interface slope (θ) is obtained
152 from a straight line connecting the interface toe and tip.

153

154 A number of relationships between key hydrogeological parameters and the nature of active
155 SWI are expected based on direct application of Darcy’s Law, and given studies by Lu and
156 Werner (2013) and Badaruddin et al. (2015). For example, the interface will migrate faster with
157 higher hydraulic conductivity (K), lower effective porosity (n), steeper hydraulic gradient and
158 greater density difference between freshwater and seawater. In addition, the mixing zone will
159 be wider in models that adopt higher values of dispersion parameters. However, other aspects
160 of active SWI behaviour remain unclear, including links between density differences and the
161 mixing zone width, relationships between time-scales and parameter combinations, and the
162 factors that control the steepness of the interface during active SWI. More generally,
163 investigation is warranted of the relative contributions of advective, dispersion and buoyancy
164 forces in controlling the nature of active SWI.

165

166 The approach to modelling SWI is similar to numerical experiments by Lu and Werner (2013),
167 whereby the initial interface position represents the steady-state condition, and then an
168 instantaneous inland FHD of Δh_f [L] causes the interface to move landward. Under active SWI,
169 there is no final steady-state condition, because the interface toe eventually intrudes to the
170 inland boundary, unlike passive SWI, in which seawater eventually restabilises to a new
171 location. On the basis of sharp-interface theory, SWI will reach the inland boundary unless h_f
172 exceeds the equivalent freshwater head at the base of the coastal boundary (h_{base}) (Werner et
173 al., 2012). Here, $h_{base} = h_s \rho_s / \rho_f$, where ρ_s [M/L³] is saltwater density and ρ_f [M/L³] is
174 freshwater density. Active SWI occurs if $h_f < h_{base}$.

175

176 The aquifer properties of the base case reflect those used by Lu and Werner (2013). That is,
177 the coastal aquifer is homogeneous and isotropic, K is 10 m/d, n is 0.3, specific yield is 0.25,
178 distance to the inland specified-head boundary (x_b) is 1000 m and h_s is 30 m. The values of ρ_s
179 and ρ_f are 1025 kg/m³ and 1000 kg/m³, respectively. The longitudinal dispersivity (α_L) is 1 m
180 and the transverse dispersivity (α_T) is one tenth of α_L (Lu and Werner, 2013; Abarca et al.,
181 2007). Molecular diffusion (D_m) is 8.64×10^{-5} m²/d.

182

183 The behaviour of the interface under various hydrogeological conditions and rates of passive
184 and active SWI was explored primarily using sensitivity analysis. Table 1 outlines the various
185 cases, which encompass several values of the final boundary head difference (h'_{f-s}) (i.e., after
186 FHD), K , α_L , n , ρ_s and h_s , resulting in 64 SWI cases at the 1-km scale. Negative values of h'_{f-s}
187 indicate a lower h_f relative to h_s (Table 1, Figure 1). A field-scale case, i.e., using parameters
188 typical of the Pioneer Valley aquifer, Australia (Case 65) (Werner and Gallagher, 2006) is
189 included. Cases 1 ($h'_{f-s} = 1$ m) and 3 ($h'_{f-s} = -1$ m) are the passive and active SWI base cases,

190 respectively. In Table 1, Cases 1, 5, 9, ... 41 represent passive SWI, and the other cases involve
 191 active SWI. We use a similar method to that adopted by Lu and Werner (2013) to seek
 192 empirical relationships between SWI response time-scales and the inland FHD.

193

194 **Table 1.** Parameter values for SWI cases.

Case	Initial h_f	Post-FHD h_f	FHD Δh_f	h_s	h'_{f-s}	x_b	K	n	α_L	ρ_s
	M	m	m	m	m	m	m/d	-	m	kg/m ³
1 to 4	32	31 to 28	1 to 4	30	1 to -2	1000	10	0.30	1	1025
5 to 8	32	31 to 28	1 to 4	30	1 to -2	1000	5	0.30	1	1025
9 to 12	32	31 to 28	1 to 4	30	1 to -2	1000	20	0.30	1	1025
13 to 16	32	31 to 28	1 to 4	30	1 to -2	1000	10	0.30	0.1	1025
17 to 20	32	31 to 28	1 to 4	30	1 to -2	1000	10	0.30	10	1025
21 to 24	32	31 to 28	1 to 4	30	1 to -2	1000	10	0.30	1	1020
25 to 28	32	31 to 28	1 to 4	30	1 to -2	1000	10	0.30	1	1030
29 to 32	28	27 to 24	1 to 4	26	1 to -2	1000	10	0.30	1	1025
33 to 36	36	35 to 32	1 to 4	34	1 to -2	1000	10	0.30	1	1025
37 to 40	32	31 to 28	1 to 4	30	1 to -2	1000	10	0.25	1	1025
41 to 44	32	31 to 28	1 to 4	30	1 to -2	1000	10	0.35	1	1025
45	31.5	29.5	2	30	-0.5	1000	10	0.30	1	1025
46	32.5	30.5	2	30	0.5	1000	10	0.30	1	1025
47 to 50	33 to 31.5	30.5 to 29	2.5	30	0.5 to -1	1000	10	0.30	1	1025
51	31.5	28.5	3	30	-1.5	1000	10	0.30	1	1025
52 to 54	33.5 to 32.5	30.5 to 29.5	3	30	0.5 to -0.5	1000	10	0.30	1	1025
55	31.5	28	3.5	30	-2	1000	10	0.30	1	1025
56 to 59	34 to 32.5	30.5 to 29	3.5	30	0.5 to -1	1000	10	0.30	1	1025
60 to 64	34.5 to 32.5	30.5 to 28.5	4	30	0.5 to -1.5	1000	10	0.30	1	1025
65	41.6	36.2	5.4	37	-0.8	4750	166	0.10	10	1025

195

196 2.2. Numerical model

197

198 The variable-density groundwater flow and transport code SEAWAT version 4 (Langevin et
 199 al., 2008) was used to conduct numerical experiments of SWI in two-dimensional cross-
 200 sections. SEAWAT is widely applied, and has been tested against several benchmark problems
 201 (e.g., Langevin et al., 2003; Brovelli et al., 2007; Goswami and Clement, 2007). The governing
 202 equations and the numerical implementation of SEAWAT are given in the user manual (e.g.,
 203 Langevin et al., 2008), and are therefore not shown here for brevity.

204

205 The base case model domain is 35 m high and 1000 m long. The mesh Peclet number (Pe_m [-])
206 suggested by Voss and Souza (1987) was used in specifying the discretization of the model
207 domain:

$$208 \quad Pe_m = \frac{\Delta L}{\alpha_L} < 4 \quad (1)$$

209
210 where ΔL [L] is the grid spacing. Initially, a uniform grid size of $\Delta x = 1.0$ m and $\Delta z = 0.5$ m
211 was used, resulting in a grid of 70,000 cells and a Pe_m of 1. A grid-dependence test was
212 conducted using both passive and active SWI base cases, and considering alternative levels of
213 discretization, namely $(\Delta x, \Delta z)$ equal to (0.5 m, 0.5 m), (0.5 m, 0.25 m) and (2 m, 1 m). The
214 simulation results showed differences of less than 1% in the transient interface locations
215 between the initial grid spacing and finer grids, and more than 5% compared to the coarser grid
216 model. Therefore, the initial grid spacing (1.0 m, 0.5 m) was adopted in this study. For Case
217 65 (the field case), the domain height was 47 m, and a uniform grid size of $\Delta x = 10$ m and Δz
218 = 0.5 m (i.e., $Pe_m = 1$) was applied.

219
220 The left and right boundaries of the model (Figure 1) represent seawater and freshwater
221 hydrostatic conditions, respectively, defined by specified-head boundary conditions. The
222 solute boundary condition at the coastal boundary is one where inflowing water has the
223 concentration of seawater, whereas outflowing water is assigned the ambient concentration of
224 groundwater at the boundary. The base of the domain is a no-flow condition. The initial steady-
225 state condition (pre-FHD) was obtained by running transient simulations for 150 y, by which
226 time no change was observed in salinity distributions in all cases. Instantaneous inland FHD
227 simulations were conducted using SEAWAT's CHD package (Langevin et al., 2003), which

228 was assigned only to the part of the inland boundary that remained fully saturated after the
229 FHD.

230

231 **2.3. Dimensionless ratios in passive and active SWI**

232

233 The primary controlling factors that affect transient interface behaviour in SWI problems
234 include buoyancy forces (i.e., water density variations), advective forces (i.e., resulting from
235 boundary head differences) and dispersion (Goswami and Clement, 2007; Werner et al.,
236 2013a). Abarca et al. (2004; 2007) used Henry's (1964) dimensionless parameters, which we
237 refer to as mixed-convection ratio (*MCR*) and Peclet number (*Pe*), to characterise mixed-
238 convective (i.e., hydraulically driven versus density-driven convection) and advective-
239 dispersive processes, respectively, in the steady-state Henry problem. *MCR* is defined as:

$$240 \quad MCR = \frac{q_f}{K\delta} \quad (2)$$

241

242 where $\delta [-]$ is dimensionless buoyancy, calculated as $\delta = (\rho_s - \rho_f) / \rho_f$, and $q_f [L/T]$ is the
243 freshwater Darcy velocity ($q_f = Q_f/h_f$). $Q_f [L^2/T]$ is freshwater flow at the inland boundary.
244 Advective forces are more dominant relative to density (i.e., buoyancy) forces with higher
245 values of *MCR*. Abarca et al. (2007) found that penetration of the steady-state saltwater wedge
246 toe decreases with increasing *MCR*, which infers strengthening advective forces (acting
247 towards the sea) relative to the buoyancy force (in the inland direction).

248

249 Both mixed-convective and advective-dispersive processes differ between passive and active
250 transient SWI situations. For example, density forces oppose advective forces in passive SWI,
251 whereas in active SWI, density and advective forces act in the same direction. In the context

252 of modelling a coastal cross section, this is invoked by freshwater outflow at the inland
 253 boundary under active SWI conditions and inflow for passive SWI conditions. It follows that
 254 the ratio of advective to buoyancy forces (i.e., MCR) is unlikely to be a feasible quantity for
 255 characterising the active SWI toe penetration extent, i.e., in the same manner that it is used in
 256 steady-state analyses (e.g., Abarca et al., 2007). However, other features of active SWI may
 257 respond to the balance of advective and buoyancy forces, and therefore, MCR may yet provide
 258 some useful application to the characterisation of active SWI.

259

260 The steady-state definition of Pe is (Abarca et al., 2004):

$$261 \quad Pe = \frac{D_m n + \alpha_g q_f}{Q_f} \quad (3)$$

262

263 where α_g [L] is the geometric mean of dispersivity, i.e., $\sqrt{\alpha_T \alpha_L}$. Dispersion is more dominant
 264 relative to advection with higher values of Pe . This is invoked by wider mixing zones, but also
 265 the seawater penetration (at least at the toe) is shorter, for higher Pe values where the saltwater
 266 wedge is at steady state (Abarca et al., 2004). Under active SWI, both buoyancy and advective
 267 forces drive seawater advance, with the relative contributions of each likely to be reflected in
 268 MCR (although this is yet untested for active SWI problems). Thus, the form of Pe given in
 269 equation (3) is unlikely to inform active SWI behaviour in the same way that Abarca et al.
 270 (2004, 2007) found application of Pe to steady-state SWI. We examine this hypothesis in the
 271 main body of this article. An alternative to Pe for classifying active SWI problems is proposed
 272 and evaluated, involving the ratio of dispersion to the summation of buoyancy and advection.
 273 This accounts for the co-directional nature of buoyancy and advection, as:

$$274 \quad A_{SWI} = \frac{D_m n + \alpha_g q_f}{Q_f + K \delta h_f} \quad (4)$$

275

276 Given similarities between steady-state and passive SWI (e.g., Morgan et al., 2012), MCR and
277 Pe , defined respectively by equations (2) and (3), are expected to provide insights into passive
278 SWI, if passive SWI is considered simple transitions from one steady-state condition to
279 another. However, whether or not the same dimensionless parameters assist in characterising
280 active SWI is unknown. For completeness, we compare Abarca et al.'s (2004; 2007)
281 dimensionless parameters and A_{SWI} to both passive and active SWI to evaluate whether these
282 offer some indication of transient SWI behaviour. This is the first attempt to link MCR and Pe
283 to the characteristics of transient SWI.

284

285 We adopt $|q_f|$ and $|Q_f|$ for q_f and Q_f in discussing Pe , MCR and A_{SWI} in the remainder of the
286 article to avoid negative values of these. Obviously, where steady conditions occur (i.e., after
287 the cessation of passive SWI), Q_f equals Q_0 . Preliminary model testing showed that in active
288 SWI scenarios, q_f is largely stable once the abrupt hydraulic effects of the FHD have dissipated,
289 and prior to the invasion of seawater at the inland boundary. Under these conditions, the rates
290 of both seawater and freshwater flow (Q_s and Q_f , respectively) towards the inland boundary
291 are equal. We considered this period of temporary flow constancy in applying non-dimensional
292 parameters to active SWI. That is, q_f was obtained 15 y after the inland FHD in applying the
293 above equations to active SWI. A check after 15 y showed that the mixing zone had not reached
294 the inland boundary in all of the SWI cases, and Q_s and Q_f were effectively the same.

295

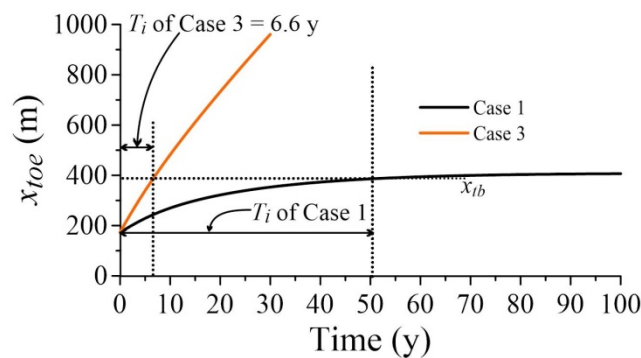
296 **2.4. SWI response time-scales**

297

298 Previously, Watson et al. (2010) and Lu and Werner (2013) measured SWI response time-
299 scales by considering the final steady state as the terminal condition of passive SWI events. An

300 alternative approach is required for active SWI cases given the lack of a steady-state condition,
 301 as discussed earlier. SWI response time-scales (T_i [T]) are defined in this article as the time for
 302 the 5%, 50% and 95% relative salinity contours at the aquifer base to reach a somewhat
 303 arbitrary inland location (termed here as x_{tb} [L]), measured from the sea boundary. x_{tb} was set
 304 to 95% of the distance between the original and post-FHD steady-state interface locations from
 305 the passive SWI base case (Case 1), with the 5% relative salinity contour representing the
 306 interface location. This is somewhat comparable to the Watson et al. (2010) and Lu and Werner
 307 (2013) approaches. Figure 2 shows the use of x_{tb} to determine T_i for the 5% relative salinity
 308 contour in the passive and active SWI base cases. For the passive SWI base case, x_{tb} is 386 m
 309 from the sea boundary and the corresponding T_i is 50.4 y. The same value of x_{tb} subsequently
 310 defines the values of T_i in all other SWI cases by obtaining the time required for the 5%, 50%
 311 and 95% relative salinity contours to move along the aquifer base to the position x_{tb} . For
 312 example, the value of T_i for the 5% relative salinity contour in the active SWI base case is 6.6
 313 y (see Figure 2).

314



315

316 **Figure 2.** Estimation of T_i for the 5% relative salinity contour in the passive SWI (Case 1) and
 317 active SWI (Case 3) base cases.

318

319 3. Results and discussion

320

321 **3.1. SWI sensitivity to parameter changes**

322

323 **3.1.1. Base cases of passive and active SWI**

324

325 Figure 3 shows the transient interface movement of the passive and active SWI base cases.

326 Following an instantaneous inland FHD, the interface advanced inland faster in the active SWI

327 case relative to the passive SWI case, as expected. The mixing zone was wider under active

328 SWI, and active SWI led to major salinization of the watertable, which was minor in the passive

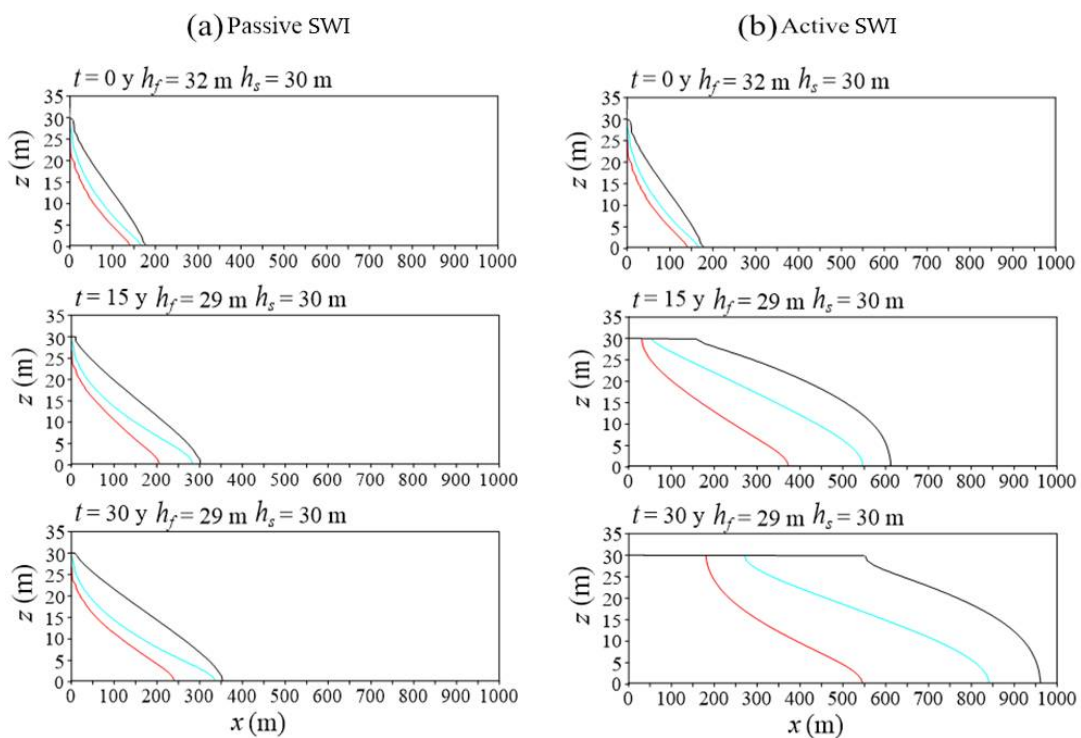
329 SWI case. Badaruddin et al. (2015) also reported these characteristics of active SWI. There was

330 no outflow face (O_f) in both the active and passive SWI base cases, because the 5% relative

331 salinity reached the watertable at the shoreline in the initial steady-state condition due to

332 dispersive processes causing brackish groundwater discharge to the sea.

333



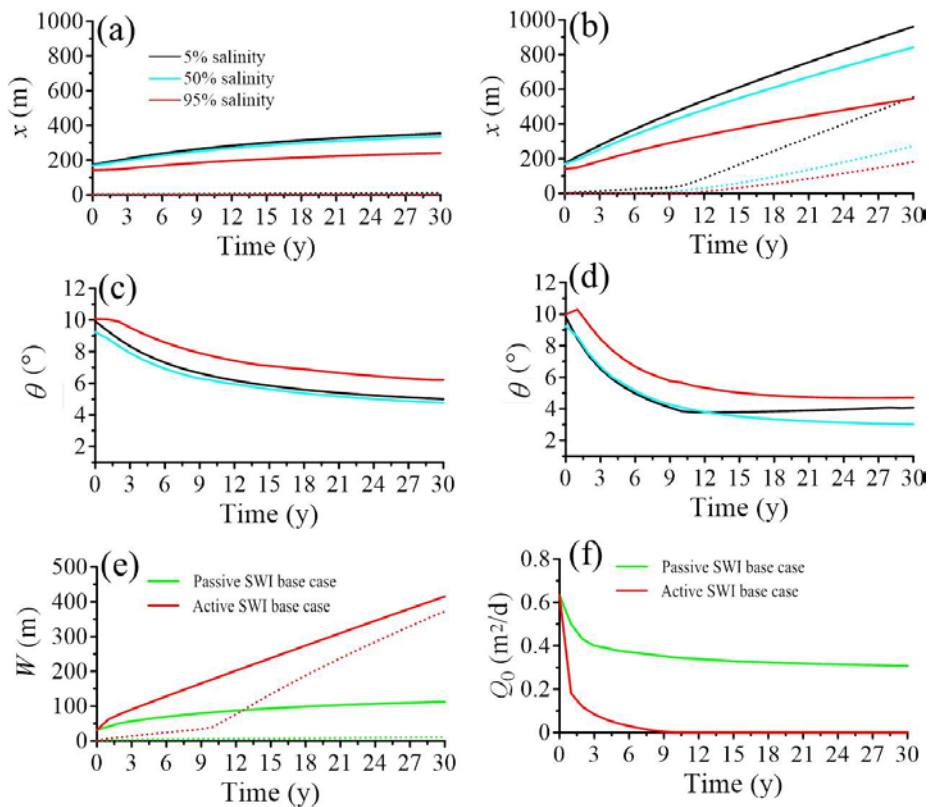
334

335 **Figure 3.** Distribution of the 5% (black line), 50% (blue line) and 95% (red line) relative
 336 salinity contours at 0 y, 15 y and 30 y for: (a) passive SWI base case (Case 1), and (b) active
 337 SWI base case (Case 3).

338

339 Figure 4 shows the temporal behaviour of key SWI measurables for the passive and active SWI
 340 base cases. Only the first 30 y are shown because simple continuations of trends were observed
 341 beyond that time (e.g., up to 100 y in the passive SWI base case).

342



343

344 **Figure 4.** Transient changes in SWI measurables. (a) Case 1 toe and tip position, (b) Case 3
 345 toe and tip position, (c) Case 1 interface slope, (d) Case 3 interface slope, (e) Cases 1 and 3
 346 mixing zone widths, and (f) Cases 1 and 3 freshwater discharge to the sea. In (a), (b) and (e),
 347 solid and dotted lines are the interface toe and tip, respectively. The black, blue and red lines
 348 in (a) to (d) are the 5%, 50% and 95% relative salinities.

349

350 In the passive SWI base case, the toe (i.e., defined using three alternative salinities: 5%, 50%
351 and 95%) moved inland gradually (Figure 4a), ceasing to advance after about 95 y. The tip
352 effectively remained at the shoreline, although the 5% relative salinity contour stabilized at
353 18.0 m from the sea boundary (i.e., at the watertable). In contrast, the toe (e.g., in terms of the
354 5% relative salinity contour) almost reached the inland boundary (i.e., $x = 961$ m) after 30 y in
355 the active SWI base case (Figure 4b). The tip (in terms of the 5% relative salinity contour)
356 increased only slightly during the first 10 y of the active SWI case. Subsequently, the tip
357 accelerated and maintained a higher inland velocity. Badaruddin et al. (2015) reported that this
358 phenomenon is caused by the lag in the reduction of Q_0 to zero (and the accompanying
359 reduction of O_f to zero), following the instantaneous FHD at the inland boundary. This is
360 apparent in Figure 4f, which shows that in the active SWI base case, Q_0 dropped from the initial
361 value of $0.63 \text{ m}^2/\text{d}$ to $0 \text{ m}^2/\text{d}$ after about 10 y, which coincides with the tip's acceleration (Figure
362 4b). In the passive SWI base case, Q_0 decreased and stabilised at $0.29 \text{ m}^2/\text{d}$.

363

364 In Figure 4c, θ in the passive SWI base case decreased throughout the first 30 y of the
365 simulation for all three relative salinity contours. This reflects the lack of inland movement in
366 the interface tip. In the active SWI base case, more complex trends in θ are apparent (Figure
367 4d). For the 5% relative salinity contour, θ decreased for the first 10 y and then increased
368 thereafter. This shows that the interface tip velocity exceeded the interface toe velocity for
369 times greater than 10 y, at least in terms of the 5% relative salinity contour. For the 50% relative
370 salinity contour, θ decreased for the entire 30 y simulation period, while θ for the 95% relative
371 salinity contour increased only in the early period of the simulation (i.e., 1 y after FHD). This
372 occurred because of the rapid upward movement of the 95% relative salinity contour that
373 accompanied the closure of the outflow face at the sea boundary.

374

375 Transient changes in the interface width (Figure 4e) show gradual widening, approaching
376 asymptotic values of W_{toe} and W_{tip} , in the passive SWI base case. Lu et al. (2009) attributed
377 interface widening under passive SWI to increases in flow velocities accompanying sea-level
378 rise or an inland FHD. Interface widths at the tip and toe increased more rapidly in the active
379 SWI base case, relative to the passive SWI base case, with W_{toe} following an almost linear trend
380 after the cessation of Q_0 , in a similar fashion to W_{tip} .

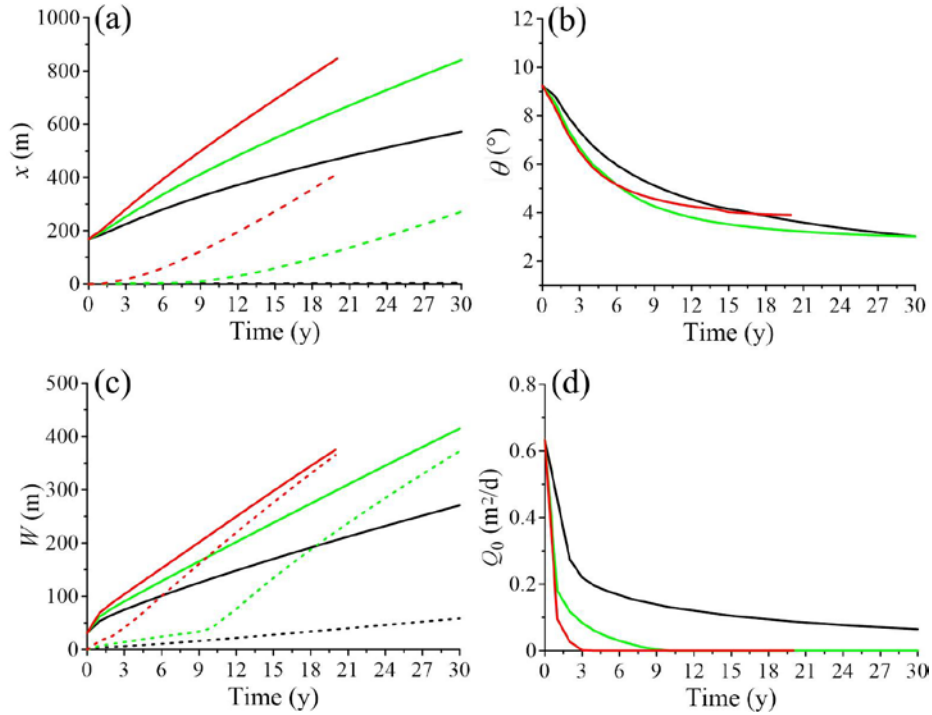
381

382 **3.1.2. Effects of boundary head difference on active SWI**

383

384 Figure 5 shows transient interface behaviour during the first 30 y of three active SWI
385 simulations, in which different advective forcings were created by imposing alternative values
386 of h'_{f-s} . That is, h'_{f-s} is 0 m ($\Delta h_f = 2$ m), -1 m ($\Delta h_f = 3$ m; base case) and -2 m ($\Delta h_f = 4$ m) in
387 Cases 2, 3 and 4, respectively. For h'_{f-s} of -2 m, only the results for the first 20 y are shown
388 because the 5% relative salinity contour reached the inland boundary around that time. Figure
389 5a shows that the tip and toe moved inland monotonically for all three h'_{f-s} cases, approaching
390 linearity at later times, although no significant movement of the interface tip was observed for
391 the smallest head drop (Case 2). The decreasing trend in θ for the 50% relative salinity contour
392 for all h'_{f-s} variants (Figure 5b) indicates that the interface toe moved faster than the interface
393 tip throughout the simulations. θ appears to tend towards asymptotic values with time that are
394 higher (i.e., θ is steeper) for larger values of boundary head difference. That is, the landward
395 advance of the interface tip eventually keeps pace with intrusion of the toe, and this occurs
396 sooner with larger FHDs.

397



398

399 **Figure 5.** Effects of different final boundary head differences (h'_{f-s} of 0 m (black; Case 2), -1
400 m (green; Case 3) and -2 m (red; Case 4)) on active SWI: (a) tip and toe, (b) slope based on the
401 50% relative salinity contour, (c) interface width, and (d) seaward freshwater discharge. Solid
402 and dashed lines in (a) and (c) are the interface toe and tip, respectively.

403

404 Figure 5c shows that larger FHDs lead to more enhanced widening of the mixing zone with
405 time. This effect is more pronounced for W_{tip} compared to W_{toe} . That is, W_{tip} increases are subtle
406 (at least initially) where h'_{f-s} is 0 m and -1 m, whereas a steep W_{tip} trend is obtained for h'_{f-s}
407 equal to -2 m. Inflexion in the W_{tip} trends for h'_{f-s} -1 and -2 m occurred at 10 and 3 y,
408 respectively, coinciding with closure of the outflow face and the cessation of Q_0 (Figure 5d).
409 The largest FHD (Case 4) creates similar interface widening at the tip and toe.

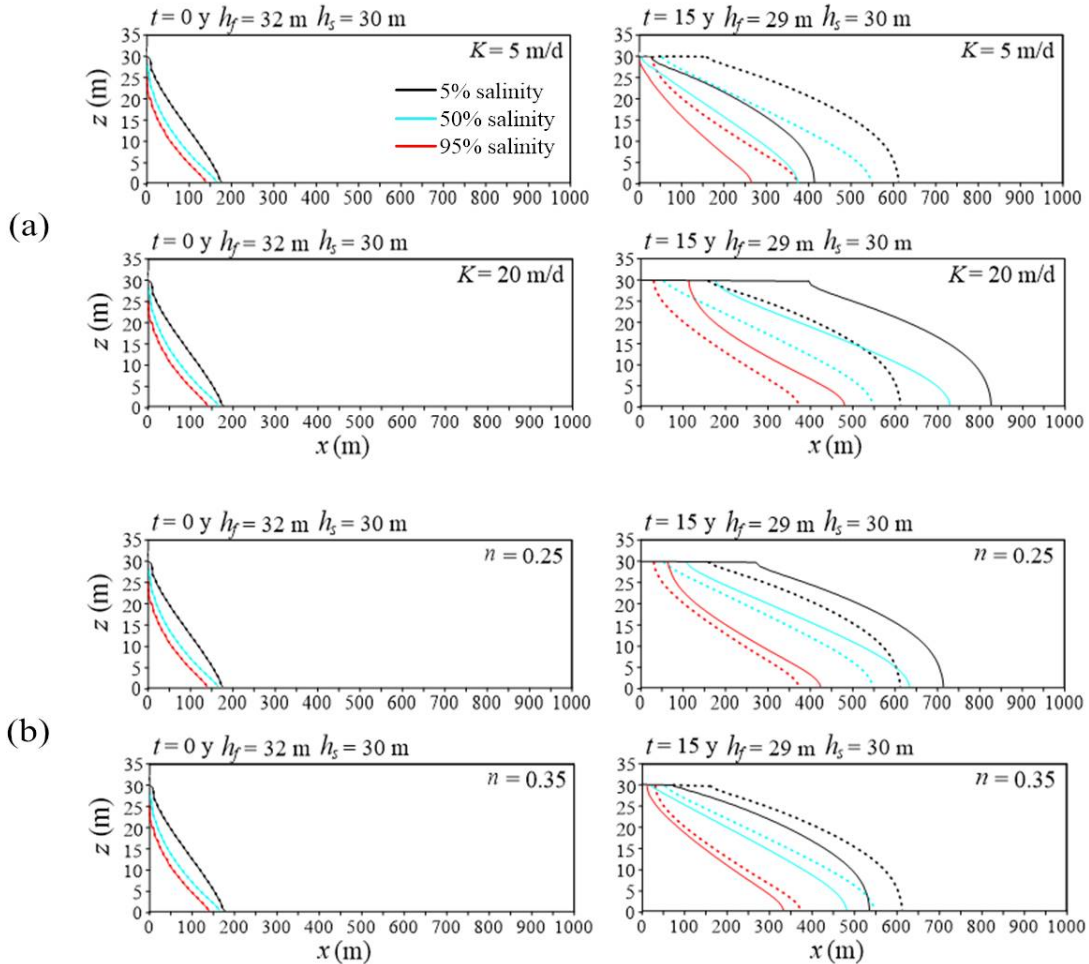
410

411 3.1.3. Effects of K , n , α_L and h_s on active SWI

412

413 The effects of varying K (5, 10 and 20 m/d) and n (0.25, 0.30 and 0.35) on active SWI behaviour
 414 are presented in Figures 6a and 6b, respectively.

415



416

417 **Figure 6.** Distribution of the 5%, 50% and 95% relative salinity contours (solid lines) at 0 and
 418 15 y using various values of: (a) hydraulic conductivity (Cases 7 and 11) and (b) porosity
 419 (Cases 39 and 43). Dashed lines represent salinity distributions of the active SWI base case.

420

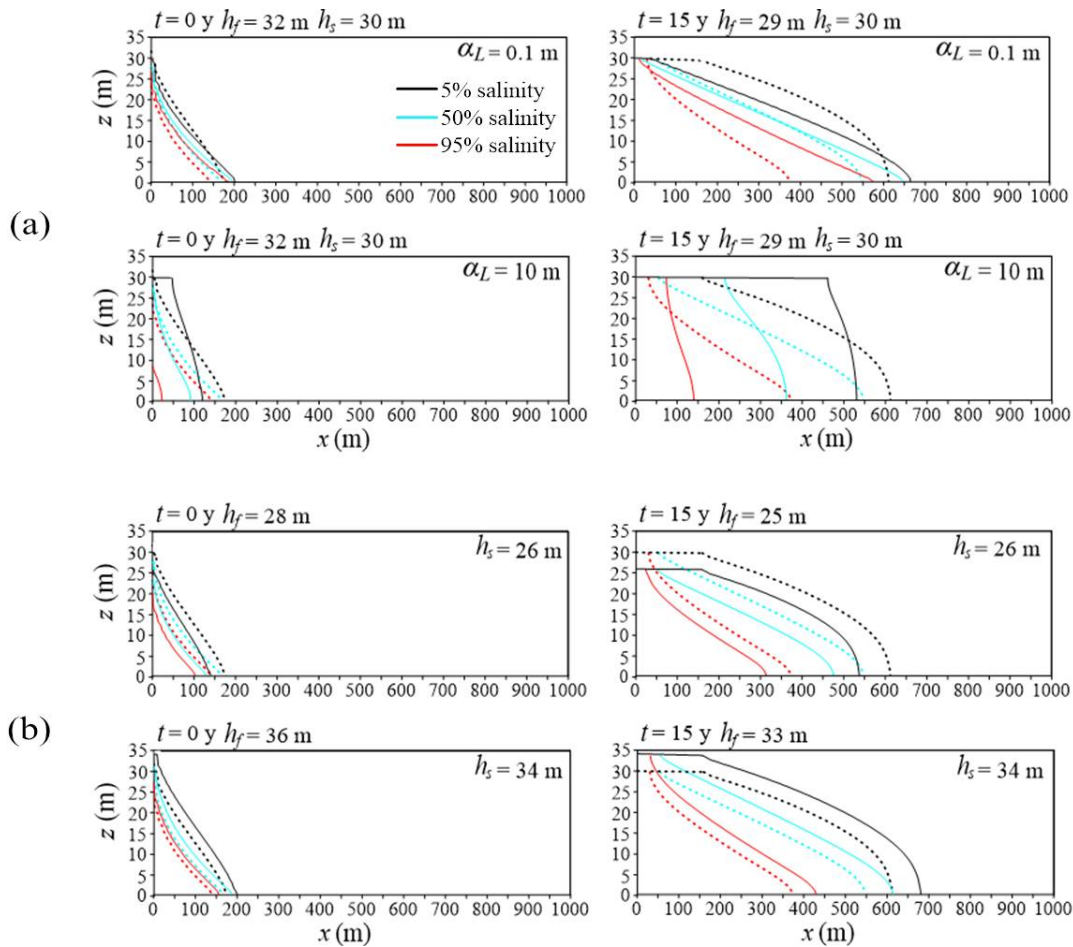
421 Figure 6 shows that the initial steady-state salinity distribution was virtually unmodified by
 422 changes to K and/or n . This is justified below (Section 3.3) using Pe and MCR . The interface
 423 toe and tip moved faster and the mixing zone was wider with higher K and lower n , both of
 424 which create higher flow velocities. These active SWI observations are consistent with the
 425 passive SWI results of Lu and Werner (2013). They found that the change in the interface toe

426 position (from one steady-state condition to another) is independent of the value of K and n ,
427 but that larger values of K or lower values of n lead to shorter interface toe response time-scales
428 (i.e., faster interface movements). Figure 6 also shows that both higher K and lower n produced
429 more WTS, resulting in steeper interface angles.

430

431 Figure 7 illustrates the effect of modifying (i.e., relative to Case 3) α_L and aquifer thickness on
432 active SWI. Higher α_L led to rates of interface movement that were lower at the toe but higher
433 at the tip (thereby increasing θ), and resulted in mixing zone widths that were larger both at the
434 toe and tip (Figure 7a). This is consistent with the steady-state SWI findings of Kerrou and
435 Renard (2010), who found that stronger dispersion leads to decreased density contrasts due to
436 the wider mixing zone. This condition causes rotation of the mixing zone alignment such that
437 the interface toe moves seaward relative to the interface tip. Figure 7b shows that under
438 transient conditions, the rates of both toe and tip movement were higher for thicker aquifers.
439 This is in accordance with Badaruddin et al. (2015), who showed that under active SWI
440 conditions, the toe and tip move faster inland in thicker aquifers, for a given h'_{f-s} . The interface
441 slope was slightly shallower for thicker aquifers. This is attributable to the stronger buoyancy
442 effect in deeper aquifers (e.g., the equivalent freshwater head increases with depth at the sea
443 boundary) that drives landward rotation of the interface toe relative to the interface tip.

444



445

446 **Figure 7.** Distribution of the 5%, 50% and 95% relative salinity contours at 0 and 15 y using
 447 various values of: (a) longitudinal dispersivity (Cases 15 and 19) and (b) aquifer thickness
 448 (Cases 31 and 35). Dashed lines represent salinity distributions of the active SWI base case.

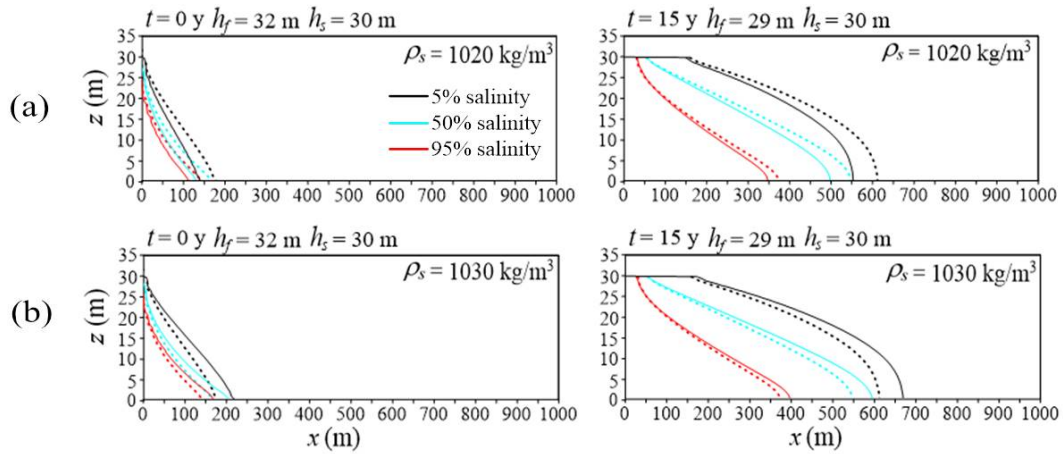
449

450 3.1.4. Effects of buoyancy on active SWI

451

452 The influences of modifying seawater density relative to the active SWI base case are shown
 453 in Figure 8. As expected, the interface toe and tip moved faster with higher ρ_s , and the stronger
 454 buoyancy force produced a shallower interface slope. Higher ρ_s also resulted in wider mixing
 455 zones. This adds to previous observations of density effects on SWI, although Schincariol
 456 (1998) noticed increased mixing with larger density contrasts in free convection problems.

457



458

459 **Figure 8.** Distribution of the 5%, 50% and 95% relative salinity contours at 0 and 15 y using
 460 various values of seawater density: (a) 1020 kg/m³ (Case 23), and (b) 1030 kg/m³ (Case 27).

461 Dashed lines represent salinity distributions of the active SWI base case.

462

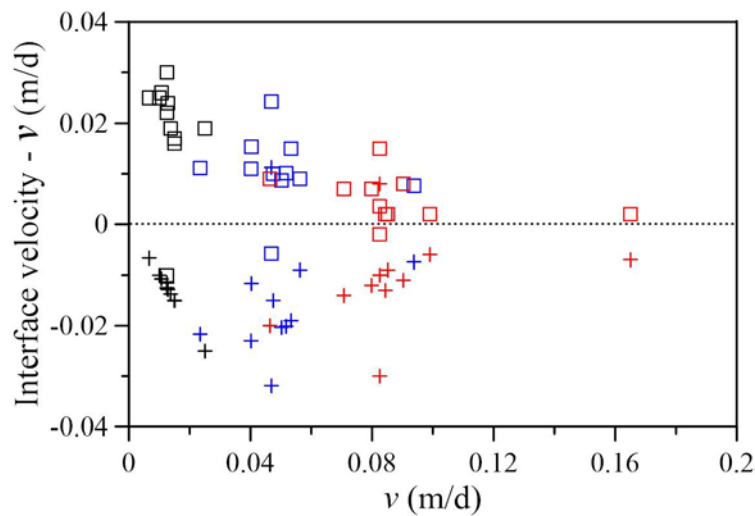
463 Under the initial steady-state conditions illustrated in Figure 8, increasing ρ_s from 1020 kg/m³
 464 to 1030 kg/m³ lowered q_f from 0.017 m/d to 0.015 m/d. The active SWI results showed
 465 contrasting ρ_s effects on q_f , which increased with higher ρ_s (i.e., after 15 y of active SWI, q_f
 466 was 0.013 m/d and 0.015 m/d in Cases 23 and 27, respectively). Higher ρ_s led to wider mixing
 467 zones in both steady-state and active SWI modes. That is, in Cases 23 and 27, W_{toe} was initially
 468 21.4 and 44.5 m, respectively, and after 15 y of active SWI, these values increased to 205 and
 469 271 m, respectively.

470

471 The evaluation of buoyancy effects was extended by comparing advective velocities
 472 ($v = q_f/n$) to the velocities of the toe and tip at 15 years after the FHD for all 1 km-scale active
 473 SWI cases in Table 1. The same analysis is not possible for passive SWI cases, because there
 474 is no corresponding period of stable toe velocity. The 50% salinity contour was used to
 475 represent the toe and tip. The interface positions at 15.08 y and 15.16 y for both the toe and the
 476 tip were adopted in calculating their respective velocities. The temporal toe and tip trends were

477 near-linear at this time (see Figure 5a) and hence we adopt these as representative toe and tip
 478 velocities for each case. We presume that differences between the interface velocities of active
 479 SWI and the advective transport rate (v [L/T]) are an indication of the effect of density on active
 480 SWI. The results are presented in Figure 9, which shows the velocity differences (interface
 481 velocity minus v) of active SWI cases (i.e. h'_{f-s} equal to 0, -1 and -2 m).

482



483

484 **Figure 9.** Scatter plot of the differences between the groundwater velocity (v) and the interface
 485 toe (\square) and tip ($+$) in active SWI simulations. Black, blue and red symbols represent the cases
 486 with h'_{f-s} of 0, -1 and -2 m, respectively.

487

488 Figure 9 shows that differences between active interface velocities and v become smaller as
 489 SWI becomes more active (i.e., as the interface velocity increases), highlighting the relatively
 490 stronger effect of advection. In general, the tip moves slower and the toe moves faster than v .
 491 The toe velocity is closer to v than the tip velocity, indicating that the tip velocity is more
 492 responsive to density effects. The relative effect of buoyancy on velocities is quantified using
 493 $|1 - \text{interface velocity}/v|$, which falls to less than 0.10 (< 10% buoyancy effect on velocities)
 494 for $v > 0.08$ m/d. For these cases, we argue that the rate of active SWI can be reasonably
 495 estimated using density-independent formulae.

496

497 **3.2. Active SWI response time-scales**

498

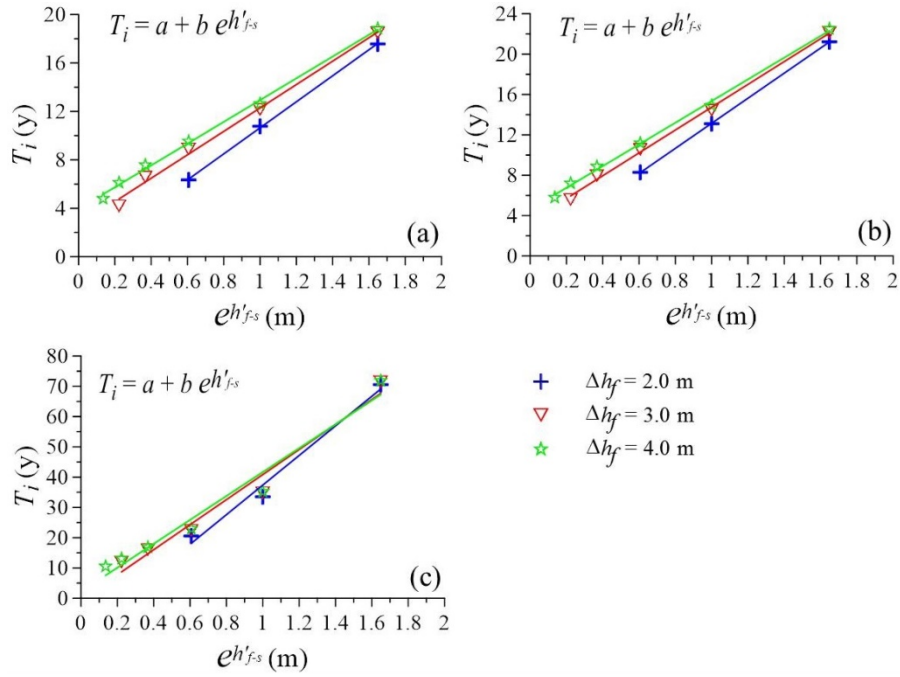
499 In this section, we compare time-scales of active SWI to those of passive SWI reported by Lu
500 and Werner (2013), who observed a linear relationship between the response time-scale T_i and
501 the exponential of the final boundary head differences ($e^{h'_{f-s}}$). Figure 10 shows T_i for 5%,
502 50% and 95% relative salinity contours versus $e^{h'_{f-s}}$. Several different values of Δh_f were used
503 (i.e., Δh_f ranging from 2 to 4 m; Cases 2 to 4 and 45 to 64) to create multiple series of T_i versus
504 $e^{h'_{f-s}}$. The two variables were related using a simple linear relationship similar to the approach
505 of Lu and Werner (2013), as:

$$506 \quad T_i = a + be^{h'_{f-s}} \quad (5)$$

507

508 Here, a and b are coefficients obtained by linear regression. Their values differed depending
509 on Δh_f . A strong correlation was observed in the linear regression between T_i and $e^{h'_{f-s}}$ values,
510 as indicated by values of the determination coefficient R^2 [-], which ranged from 0.97 to 0.99
511 (the values of a , b , and R^2 resulted from a larger modelling dataset than the subset used to
512 produce Figure 10 are provided in Appendix A).

513



514

515 **Figure 10.** Linear regressions between $e^{h'_{f-s}}$ and T_i for: (a) the 5% relative salinity contour,
 516 (b) the 50% relative salinity contour, and (c) the 95% relative salinity contour, based on Cases
 517 2 to 4 and 45 to 64.

518

519 The current analysis of time-scales differs to the approach of Lu and Werner (2013) in that the
 520 final interface location for defining T_i in the current study (i.e., $x_{tb} = 386$ m from the sea
 521 boundary) was the same in all cases, as discussed above. Figure 10 shows that for equal values
 522 of Δh_f , T_i values increased with less steep head gradients in the inland direction (i.e., h'_{f-s}
 523 becomes more positive). In other words, SWI slows down and the time-scale increases as the
 524 inland head gradient becomes shallower, as expected. This is in accordance with the passive
 525 SWI results of Lu and Werner (2013). Figure 10 also shows that for a given h'_{f-s} , T_i increases
 526 with larger Δh_f , more noticeably for the 5% and 50% relative salinities. Longer time-scales
 527 occur with larger Δh_f because the interface has further to travel, given that the initial interface
 528 is closer to the coast with increasing Δh_f . This indicates that besides the final boundary head
 529 difference, the initial boundary head difference also influences active SWI time-scales, unlike

530 Lu and Werner's (2013) observation of passive SWI, in which only h'_{f-s} modifies T_i . This
531 outcome is at least partly attributable to the manner in which T_i has been obtained in the two
532 studies, whereby Lu and Werner (2013) recalculated x_{tb} for each case, rather than the fixed
533 value adopted in the current analysis, as described above. Nevertheless, the key outcome of
534 this analysis is that active SWI time-scales are linearly related to $e^{h'_{f-s}}$, consistent with the
535 passive SWI findings of Lu and Werner (2013), despite that our definition of T_i is unavoidably
536 modified relative to that used by Lu and Werner (2013).

537

538 **3.3. MCR , Pe and A_{SWI} as indicators of passive and active SWI characteristics**

539

540 In this section, dimensionless parameters are evaluated in terms of their ability to predict
541 various characteristics of steady-state interface conditions and active SWI. Steady-state
542 conditions were adopted as a surrogate for passive SWI in testing dimensionless parameters
543 for reasons given in Section 2.3. Firstly, we reviewed the direction of sensitivities between
544 SWI variables and model parameters, as given in Table 2. The complete results of SWI
545 variables for the passive and active SWI cases listed in Table 1 are provided in Appendix B
546 and C, respectively.

547

548 **Table 2.** Trends in SWI variables as a function of increases in the values of model parameters,
 549 arising from the sensitivity analysis.

SWI variable	Model parameters					
	K	α_L	ρ_s	h_s	n	$ h'_{f-s} $
Passive SWI						
x_{toe} (50% contour)	None	Falling	Rising	Rising	None	Falling
W_{toe}	None	Rising	Rising	Rising	None	Falling
θ (50% contour)	None	Rising	Falling	Falling	None	Rising
Active SWI						
x_{toe} (50% contour)	Rising	Falling	Rising	Rising	Falling	Rising
x_{tip} (50% contour)	Rising	Rising	Rising*	Rising	Falling	Rising
W_{toe}	Rising	Rising	Rising	Rising	Falling	Rising
W_{tip}	Rising	Rising	Rising	Rising	Falling	Rising
θ (50% contour)	Falling*	Rising	Falling	Falling*	Rising*	Mixed

550 “None” means that the SWI variable is insensitive to the parameter
 551 “Rising” means that the SWI variable increases with an increase in parameter value
 552 “Falling” means that the SWI variable decreases with an increase in parameter value
 553 “Mixed” means that there is no predominant trend.
 554 “*” refers to variables where a predominant trend is noted, but exceptions apply.

555

556 Table 2 highlights complex relationships between SWI variables and model parameters,
 557 whereby none of the SWI variables show the same type of response (i.e. rising, falling, etc.) to
 558 parameter changes under both passive and active SWI conditions. Under steady-state
 559 conditions, x_{toe} (50% contour) and θ (50% contour) respond in an opposing manner because of
 560 the general immobility of x_{tip} . Under transient (i.e., active SWI) conditions, x_{tip} (50% contour),
 561 W_{toe} and W_{tip} respond in the same general fashion, but differently to θ (50% contour) and x_{toe}
 562 (50% contour).

563

564 Some of the SWI responses are predictable using Pe and MCR . For example, the initial steady-
 565 state salinity distribution of the base case model was virtually unmodified by changes to K
 566 and/or n (see Section 3.1.3). These insensitivities are recorded in Table 2 as “None”, and can
 567 be justified by considering Pe and MCR for steady-state conditions. That is, Pe is dominated
 568 by α_g/h_f given that D_m is small, and therefore Pe is largely independent of both K and n (see
 569 equation (3)). In equation (2), q_f/K is approximately proportional to h'_{f-s} under steady-state

570 conditions due to the specified-head boundaries, and therefore MCR is also essentially
571 independent of K and n . However, both K and n play an important role in active SWI, as
572 illustrated in Figure 6 and identified in Table 2. This highlights important differences between
573 the controlling factors of active and steady-state SWI.

574

575 Drawing on equations (2) to (4), some of the responses in SWI variables to parameter changes
576 can be linked to dimensionless parameters. For example, steady-state x_{toe} (50% salinity
577 contour) increases with lower α_L and h'_{f-s} , and with higher ρ_s and h_f . The effects of ρ_s and h'_{f-s}
578 are captured within the definition of MCR (equation (2)), whereas the effects of α_L and h_f are
579 contained within Pe and A_{SWI} (equations (3) and (4)). Linear correlation between steady-state
580 x_{toe} (50% salinity contour) and MCR results in a falling trend and $R^2 = 0.77$, indicating the
581 dominant influences of ρ_s and h'_{f-s} in controlling steady-state x_{toe} . Efforts to correlate steady-
582 state x_{toe} with Pe were unsuccessful ($R^2 < 0.14$), and therefore α_L and h_f are minor factors
583 relative to ρ_s and h'_{f-s} in controlling x_{toe} for the steady-state cases considered here.

584

585 MCR showed similar correlation statistics when linearly related to the transient x_{toe} and x_{tip}
586 positions, producing R^2 values of 0.61 and 0.71, respectively. While steady-state x_{toe} generally
587 reduces with increasing MCR , transient x_{toe} and x_{tip} tend to be larger with higher MCR . It is
588 important to note that relatively few unique values of δ have been tested in obtaining these
589 relationships (see Table 1). Thus, the active SWI results reflect x_{toe} and x_{tip} that are further
590 inland with stronger discharge (q_f , in the landward direction), rather than mixed convection
591 processes. Hence, we maintain that MCR is a poor indicator of active SWI behaviour, in terms
592 of the effects of mixed-convective processes on x_{toe} and x_{tip} . However, MCR has a more logical
593 association with the interface slope, given Kerrou and Renard's (2010) observations, as
594 mentioned earlier, and indeed, MCR shows some correlation with steady-state θ (50% contour),

595 with $R^2 = 0.65$. No significant correlation was apparent between MCR and active SWI θ (50%
596 contour). Rather, both steady-state and active SWI θ (50% contour) show some correlation to
597 A_{SWI} , with R^2 values of 0.61 and 0.80, respectively. A weaker correlation between Pe and
598 steady-state and active SWI θ was obtained (R^2 equal to 0.30 and 0.49, respectively). These
599 results highlight the complex mixed convective and convective-dispersive relationships that
600 govern interface slope under transient SWI conditions.

601

602 Surprisingly, Pe was found to be a poor indicator of steady-state W_{toe} , with R^2 of 0.11. In fact,
603 MCR outperformed Pe in terms of its linear correlation with steady-state W_{toe} , producing $R^2 =$
604 0.67. This is in contradiction to the findings of Abarca et al. (2004). In active SWI cases, Pe
605 was similarly a poor predictor ($R^2 < 0.26$) of W_{toe} and W_{tip} . A_{SWI} also produced a weak match to
606 active SWI W_{toe} and W_{tip} (R^2 of 0.42 and 0.44, respectively), albeit slightly improved relative
607 to Pe .

608

609 No correlation was found between Pe and steady-state x_{toe} or active SWI x_{toe} and x_{tip} ($R^2 <$
610 0.12), despite Abarca et al. (2004) suggesting smaller toe penetration with higher Pe . A_{SWI} was
611 similarly poorly performing as a measure of interface location in active SWI cases ($R^2 < 0.30$),
612 although R^2 for A_{SWI} versus steady-state x_{toe} was 0.56 using a power function.

613

614 The results suggest that the dimensionless forms of Pe and MCR used in the current study
615 cannot be used to generalise without exception the sensitivity and response of the freshwater-
616 saltwater interface to changes in various aquifer parameters. Nonetheless, the dimensionless
617 numbers remain useful indicators of buoyancy, advective and dispersive controls, which
618 influence the interface behaviour in predictable ways under certain conditions, as described
619 above. Despite the inability of the dimensionless numbers tested here to consistently predict

620 interface changes arising within the one-at-a-time sensitivity analysis carried out in this study,
621 both under steady-state and active SWI conditions, we have been unable to define new
622 dimensionless variables with improved performance in characterising active SWI.

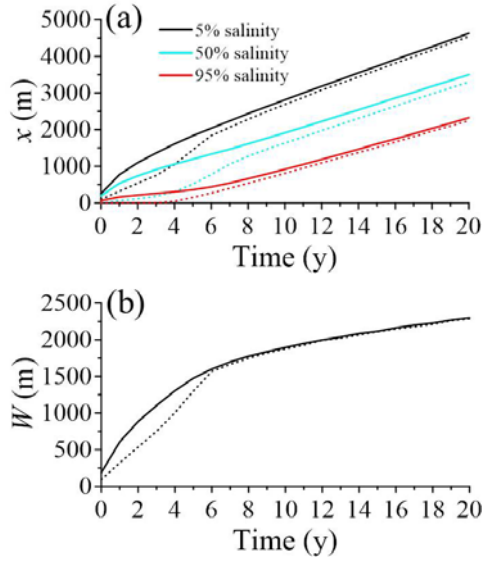
623

624 **3.4. Field-scale example of SWI**

625

626 A field-scale SWI example is included here to extent the 1 km-scale analysis described above,
627 and to test whether previous sensitivities have application that is more general. As stated
628 previously, Case 65 represents a field-scale SWI case, with parameters typical of those found
629 in the Pioneer Valley aquifer, Australia (Werner and Gallagher, 2006). The relevant parameters
630 are listed in Table 1, and the transient interface behaviour for this case is presented in Figure
631 11, which shows aggressive inland movement of the interface toe and tip, following the inland
632 FHD. At the initial steady-state, the values of Pe and MCR in this case are 0.076 and 0.030,
633 respectively. At 15 y after the FHD, Pe and MCR increased to 0.087 and 0.037, respectively.
634 These values of Pe and MCR are best matched, in terms of 1 km-scale active SWI cases, to
635 those of Case 19 (i.e. $Pe = 0.11$; $MCR = 0.056$). Nevertheless, the magnitudes of W_{toe} and W_{tip}
636 (after 15 y) for Case 65 are approximately five times larger than those in Case 19 (see Appendix
637 C). This is likely due to the higher K (166 m/d) and lower n (0.1) values (in Case 65), which
638 increase the flow velocity in this case ($q_f = 0.15$ m/d) relative to Case 19 ($q_f = 0.014$ m/d).

639



640

641 **Figure 11.** Transient changes of: (a) interface locations and (b) interface width, for Case 65.

642 Solid and dotted lines represent the interface toe and tip, respectively.

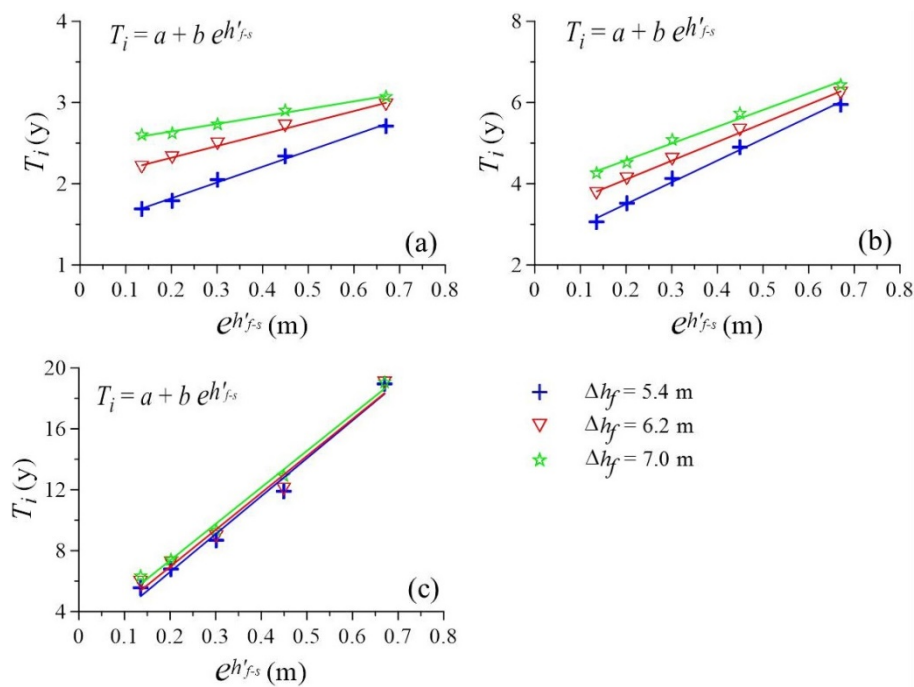
643

644 To examine the effects of aquifer parameters on the interface position and width in the field-
 645 scale case, sensitivity analyses were conducted with increasing K (332 m/d), α_L (20 m), ρ_s
 646 (1030 kg/m^3), h_s (40 m) and n (0.2), using Case 65 as the base case (the results are not shown
 647 for brevity). It was observed that for a given h'_{f-s} , the x_{toe} increased with increasing K , ρ_s and h_s
 648 and decreased with increasing α_L and n . In addition, the x_{tip} and the mixing zone width (at the
 649 toe and tip) increased with increasing K , ρ_s , h_s and α_L and decreased with increasing n . These
 650 trends are consistent with those found for the cases adopting smaller domain sizes (Table 2).

651

652 To explore the relationship between SWI response time-scales and the inland FHD in the field-
 653 scale case, more SWI simulations were undertaken using five different values of h'_{f-s} (from -
 654 0.4 to -2 m, in increments of 0.4 m), using Case 65 as the base case. Five values of Δh_f were
 655 adopted for each h'_{f-s} value (i.e., 5.4, 5.8, 6.2, 6.6 and 7 m), resulting in 25 more SWI
 656 simulations. The arbitrary point of x_{tb} used for the field-scale cases was 1133 m (obtained from
 657 the 95% of the distance between the original and post-FHD steady-state interface locations of

658 the 5% relative salinity in the passive SWI field case with $h'_{f,s} = 1$ m) from the sea boundary.
 659 It was observed that the final and the initial boundary head differences influence the SWI time-
 660 scales in the field-scale cases (Figure 12). The SWI response time-scales are also linearly
 661 related to $e^{h'_{f-s}}$, as indicated by high values of R^2 that ranged from 0.98 to 0.99 (the values of
 662 a , b and R^2 resulted from a larger modelling dataset than that given in Figure 12 and are
 663 provided in Appendix D). These results are in accordance with the results of the non-field cases.
 664



665
 666 **Figure 12.** Linear regressions between $e^{h'_{f-s}}$ and T_i for: (a) the 5% relative salinity contour,
 667 (b) the 50% relative salinity contour, and (c) the 95% relative salinity contour, in the field-scale
 668 cases.

669
 670 There are important features of field settings that the current analysis has neglected, but that
 671 may be important factors in real-world occurrences of active SWI. For example, sudden
 672 changes in boundary conditions, as adopted here, are rare. Even where groundwater declines
 673 are considerable, such as the Llobregat Delta aquifer (Vázquez-Suñé et al., 2006), the rate of

674 water level fall usually occurs over several decades. Our sudden head drop creates immediate
675 disequilibrium between the boundary conditions and the flow and salinity distributions,
676 whereas disequilibrium may occur to less extreme degrees in field situations where active SWI
677 is observed. Another practical limitation of the current analysis is that we truncate the aquifer
678 at the shoreline, despite that in many cases, coastal aquifers continue offshore. It is likely that
679 the active SWI processes shown in the current study hold some relevance to the freshwater
680 bodies of offshore, confined and semi-confined aquifers, although further analysis is required
681 to assess SWI under subsea conditions. Finally, the ubiquitous heterogeneity of coastal
682 sediments has been neglected in our modelling experiments, whereas the spatial variability in
683 aquifer properties no doubt plays an important role in the occurrence of active SWI in real-
684 world systems.

685

686 **4. Conclusions**

687

688 The current study is the first attempt to characterize freshwater-saltwater interface
689 characteristics during active SWI conditions. Aside from conforming to several of the active
690 SWI observations of Badaruddin et al. (2015), our sensitive analysis reveals other important
691 features of active SWI. For example, while the interface slope gradually became shallower
692 during passive SWI, trends in the interface angle during active SWI simulations were complex.
693 That is, active SWI can sometimes lead to interface tip movements that are faster than the
694 interface toe velocity. The interface tip eventually kept pace with the toe, particularly for
695 increasingly active SWI, which also led to widening of the mixing zone with time, especially
696 at the interface tip. Furthermore, the interface toe and tip moved faster and the mixing zone
697 was wider with higher K and lower n , both of which created higher flow velocities. These
698 observations of active SWI match those by Lu and Werner (2013) for passive SWI. However,

699 only in active SWI does higher K and lower n produce more watertable salinization, resulting
700 in steeper interface angles. In addition, higher α_L led to rates of interface movement that were
701 lower at the toe but higher at the tip (thereby increasing interface alignment), and resulted in
702 mixing zone widths that were larger both at the toe and tip. This result overlaps with
703 observations of steady-state SWI characteristics by Kerrou and Renard (2010). The interface
704 slope was slightly shallower for thicker aquifers due to stronger buoyancy effects in deeper
705 aquifers.

706

707 As expected, the interface toe and tip moved faster with higher seawater density, and the
708 stronger buoyancy force produced a shallower interface slope. Higher seawater density also
709 resulted in wider mixing zones. This adds to previous observations of density effects on SWI.
710 Differences between interface velocities under active SWI and the advective transport rates
711 were used as an indication of the effect of density on active SWI. The effect of advection was
712 found to increase as SWI became more active. The toe velocity was closer to the advective
713 transport rate than the tip velocity, indicating that the tip velocity was more responsive to
714 density effects. It was found that the rate of active SWI could be reasonably estimated using
715 density-independent formulae when the advective transport rate was greater than 0.08 m/d.

716

717 Based on the numerical modelling results, we conclude that active SWI time-scales are linearly
718 related to $e^{h'_{f-s}}$, consistent with the passive SWI findings of Lu and Werner (2013), despite
719 that our definition of T_i was unavoidably modified relative to that used by Lu and Werner
720 (2013). A field-scale SWI example showed that the effects of aquifer parameters on interface
721 behaviour and the time-scales showed consistent trends to the 1 km-scale models.

722

723 The transient nature of our active SWI investigation adds to the primarily steady-state
724 assessment in a concurrent analysis by Werner (2017). For example, the link between mixing
725 zone width and the freshwater-seawater density difference highlight critical differences
726 between active SWI and slower rates of SWI. As a general concept, coastal aquifer custodians
727 should consider SWI to increasing resemble density-independent plumes as the disequilibrium
728 between the coastal head and inland heads increases. It follows that under intensive active SWI
729 conditions (i.e., steep head gradients sloping towards the land), salinization is more likely to
730 eliminate the freshwater normally found in the shallow part of the aquifer. The current results,
731 combined with Werner's (2017) modelling show that this effect is particularly dependent on
732 density and dispersive parameters, and the degree of disequilibrium. We also find that the
733 cessation of discharge to the sea is the trigger for considerable losses of fresh groundwater in
734 the upper aquifer, in some circumstances at rates that exceed the rate of the wedge toe
735 movements. Thus, coastal aquifer custodians are encouraged to adopt management strategies
736 that avoid the termination of freshwater discharge to the sea even where active SWI is
737 occurring, to avoid this deleterious effect.

738

739 Our attempts to describe active SWI in terms of dimensionless parameters, which are widely
740 used for steady-state SWI, were unsuccessful. Specifically, the results demonstrate that the
741 dimensionless parameters of Pe and MCR were unable to consistently predict interface changes
742 arising within the sensitivity analysis, at least in terms of consistency in both steady-state and
743 active SWI conditions. Complex relationships were found to occur between SWI variables and
744 model parameters, whereby none of the SWI variables show the same type of response (i.e.,
745 rising, falling, etc.) to parameter changes under both passive and active SWI conditions. This
746 highlights important differences between the controlling factors of active and steady-state SWI.

747 Further work is needed to define new dimensionless variables with improved performance in
748 characterising active SWI.

749

750 **Acknowledgements**

751

752 This research is a part of the PhD thesis of the first author at Flinders University. We thank the
753 Australia Awards Scholarship for funding the first author's study at Flinders University. Adrian
754 Werner is the recipient of an Australian Research Council Future Fellowship (project number
755 FT150100403). We also gratefully acknowledge the suggestions of three anonymous reviewers
756 and the guest editor (Vincent Post).

757 **References**

758

759 Abarca, E., J. Carrera, R. Held, X. Sanchez-Vila, M. Dentz, W. Kinzelbach and E. Vazquez-
760 Sune (2004). Effective dispersion in seawater intrusion through heterogeneous aquifer.
761 18th Salt Water Intrusion Meeting, Cartagena, Spain, IGME, 31 May – 3 June 2004.

762 Abarca, E., Carrera, J., X. Sánchez-Vila and M. Dentz (2007). Anisotropic dispersive Henry
763 problem. *Advances in Water Resources* 30: 913-926, doi:
764 10.1016/j.advwatres.2006.08.005.

765 Badaruddin, S., A. D. Werner and L. K. Morgan (2015). Watertable salinization due to
766 seawater intrusion. *Water Resources Research* 51: 8397-8408, doi:
767 10.1002/2015WR017098.

768 Bear, J. (1979). *Hydraulics of Groundwater*. First ed. McGraw-Hill. New York. 569pp.

769 Bocanegra, E., G. C. Da Silva Jr, E. Custodio, M. Manzano and S. Montenegro (2010). State
770 of knowledge of coastal aquifer management in South America. *Hydrogeology Journal*
771 18: 261-267, doi: 10.1007/s10040-009-0520-5.

772 Brovelli, A., X. Mao and D. A. Barry (2007). Numerical modeling of tidal influence on density-
773 dependent contaminant transport. *Water Resources Research* 43: 1-15, doi:
774 10.1029/2006WR005173.

775 Chang, S. W., T. P. Clement, M. J. Simpson and K. K. Lee (2011). Does sea-level rise have an
776 impact on saltwater intrusion? *Advances in Water Resources* 34: 1283-1291, doi:
777 10.1016/j.advwatres.2011.06.006.

778 Custodio, E. (2010). Coastal aquifers of Europe: an overview. *Hydrogeology Journal* 18: 269-
779 280, doi: 10.1007/s10040-009-0496-1.

780 Fetter, C. W. (2001). *Applied hydrogeology*. Fourth ed. Prentice Hall Inc. New Jersey. 598pp.

781 Goswami, R. R. and T. P. Clement (2007). Laboratory-scale investigation of saltwater intrusion
782 dynamics. *Water Resources Research* 43: 1-11, doi: 10.1029/2006WR005151.

783 Henry, H. R. (1964). Effects of dispersion on salt encroachment in coastal aquifers. *Sea Water*
784 *in Coastal Aquifers*. US Geological Survey Water Supply Paper 1613 – C: 70-84.

785 Held, R., S. Attinger and W. Kinzelbach (2005). Homogenization and effective parameters for
786 the Henry problem in heterogeneous formations. *Water Resources Research* 41: 1-14,
787 doi: 10.1029/2004WR003674.

788 Kerrou, J. and P. Renard (2010). A numerical analysis of dimensionality and heterogeneity
789 effects on advective dispersive seawater intrusion processes. *Hydrogeology Journal* 18:
790 55-72, doi: 10.1007/s10040-009-0533-0.

791 Langevin, C. D., W. B. Shoemaker and W. Guo (2003). MODFLOW-2000, the US Geological
792 Survey Modular Ground-Water Model-Documentation of the SEAWAT-2000 Version
793 with the Variable-Density Flow Process (VDF) and the Integrated MT3DMS Transport
794 Process (IMT). USGS Department of the Interior.
795 http://fl.water.usgs.gov/PDF_files/ofr03_426_langevin.pdf (accessed 13 April, 2016).

796 Langevin, C. D., D. T. Thorne Jr., A. M. Dausman, M. C. Sukop and W. Guo (2008). SEAWAT
797 Version 4: a computer program for simulation of multi-species solute and heat transport
798 (No. 6-A22). USGS Department of the Interior.
799 <http://pubs.usgs.gov/tm/tm6a22/pdf/tm6A22.pdf> (accessed 13 April, 2016).

800 Lu, C., P. K. Kitanidis and J. Luo (2009). Effects of kinetic mass transfer and transient flow
801 conditions on widening mixing zones in coastal aquifers. *Water Resources Research*
802 45: 1-17, doi: 10.1029/2008WR007643.

803 Lu, C. and A. D. Werner (2013). Timescales of seawater intrusion and retreat. *Advances in*
804 *Water Resources* 59: 39-51, doi: 10.1016/j.advwatres.2013.05.005.

805 Mahesha, A. (1995). Parametric studies on the advancing interface in coastal aquifers due to
806 linear variation of the freshwater level. *Water Resources Research* 31: 2437-2442, doi:
807 10.1029/95WR02040.

808 Morgan, L. K., A. D. Werner and C. T. Simmons (2012). On the interpretation of coastal
809 aquifer water level trends and water balances: A precautionary note. *Journal of*
810 *Hydrology* 470: 280-288, doi: 10.1016/j.jhydrol.2012.09.001.

811 Morgan, L. K., L. Stoeckl, A. D. Werner and V. E. A. Post (2013). An assessment of seawater
812 intrusion overshoot using physical and numerical modelling. *Water Resources Research*
813 49: 6522-6526, doi: 10.1002/wrcr.20526.

814 Morgan, L. K. and A. D. Werner (2015). A national inventory of seawater intrusion
815 vulnerability for Australia. *Journal of Hydrology: Regional Studies* 4, 686-698, doi;
816 10.1016/j.ejrh.2015.10.005.

817 Morgan, L. K., M. Bakker and A. D. Werner (2015). Occurrence of seawater intrusion
818 overshoot. *Water Resources Research* 51: 1989-1999, doi: 10.1002/2014WR016329.

819 Schincariol, R. A. (1998). Dispersive mixing dynamics of dense miscible plumes: natural
820 perturbation initiation by local-scale heterogeneities. *Journal of Contaminant*
821 *Hydrology* 34: 247-271, doi: 10.1016/S0169-7722(98)00081-3.

822 Sebben, M. L., A. D. Werner and T. Graf (2015). Seawater intrusion in fractured coastal
823 aquifers: A preliminary numerical investigation using a fractured Henry problem,
824 *Advances in Water Resources* 85: 93-108, doi: 10.1016/j.advwatres.2015.09.013.

825 Simpson, M. J. and T. P. Clement (2003). Theoretical analysis of the worthiness of Henry and
826 elder problems as benchmarks of density-dependent groundwater flow models.
827 *Advances in Water Resources* 26: 17-31, doi: 10.1016/S0309-1708(02)00085-4.

828 Vázquez-Suñé, E., E. Abarca, J. Carrera, B. Capino, D. Gámez, M. Pool, T. Simó, F. Batlle, J.
829 M. Niñerola and X. Ibáñez (2006). Groundwater modelling as a tool for the European

830 Water Framework Directive (WFD) application: The Llobregat case. *Physics and*
831 *Chemistry of the Earth* 31(17): 1015-1029, doi: 10.1016/j.pce.2006.07.008.

832 Voss, C. I. and W. R. Souza (1987). Variable density flow and solute transport simulation of
833 regional aquifers containing a narrow freshwater-saltwater transition zone. *Water*
834 *Resources Research* 23: 1851-1866, doi: 10.1029/WR023i010p01851.

835 Watson, T. A., A. D. Werner and C. T. Simmons (2010). Transience of seawater intrusion in
836 response to sea-level rise. *Water Resources Research* 46: 1-10, doi:
837 10.1029/2010WR009564.

838 Webb, M. D. and K. W. Howard (2011). Modeling the transient response of saline intrusion to
839 rising sea-levels. *Ground Water* 49: 560-569, doi: 10.1111/j.1745-6584.2010.00758.x.

840 Werner, A. D. (2017). On the classification of seawater intrusion. *Journal of Hydrology*, In
841 press, doi: 10.1016/j.jhydrol.2016.12.012.

842 Werner, A. D. and D. A. Lockington (2006). Tidal impacts on riparian salinities near estuaries.
843 *Journal of Hydrology* 328: 511-22, doi: 10.1016/j.jhydrol.2005.12.011.

844 Werner, A. D. and M. R. Gallagher (2006). Characterisation of sea-water intrusion in the
845 Pioneer Valley, Australia using hydrochemistry and three-dimensional numerical
846 modelling. *Hydrogeology Journal* 14: 1452-1469, doi: 10.1007/s10040-006-0059-7.

847 Werner, A. D. and C. T. Simmons (2009). Impact of sea-level rise on sea water intrusion in
848 coastal aquifers. *Ground Water* 47: 197-204, doi: 10.1111/j.1745-6584.2008.00535.x.

849 Werner, A. D., J. D. Ward, L. K. Morgan, C. T. Simmons, N. I. Robinson and M. D. Teubner
850 (2012). Vulnerability indicators of sea water intrusion. *Ground Water* 50: 48-58, doi:
851 10.1111/j.1745-6584.2011.00817.x.

852 Werner, A. D., M. Bakker, V. E. A. Post, A. Vandenbohede, C. Lu and B. Ataie-Ashtiani
853 (2013a). Seawater intrusion processes, investigation and management: Recent advances

854 and future challenges. *Advances in Water Resources* 51: 3-26, doi:
855 10.1016/j.advwatres.2012.03.004.

856 Werner, A. D., Q. Zhang, L. Xue, B. D. Smerdon, X. Li, L. Yu and L. Li (2013b). An initial
857 inventory and indexation of groundwater mega-depletion cases. *Water Resources*
858 *Management* 27: 507-533, doi: 10.1007/s11269-012-0199-6.

859 Wu, J., Y. Xue, P. Liu, J. Wang, Q. Jiang and H. Shi (1993). Sea-water intrusion in the coastal
860 Area of Laizhou Bay, China: 2. Sea-water intrusion monitoring. *Ground Water* 31: 740-
861 745, doi: 10.1111/j.1745-6584.1993.tb00584.x.

862 Yakirevich, A., A. Melloul, S. Sorek, S. Shaath and V. Borisov (1998). Simulation of seawater
863 intrusion into the Khan Yunis area of the Gaza Strip coastal aquifer. *Hydrogeology*
864 *Journal* 6: 549-559, doi: 10.1007/s100400050175.

865

866 **Figure Captions**

867

868 **Figure 1.** Conceptual model of an unconfined coastal aquifer subjected to: (a) passive SWI,
869 and (b) active SWI (modified after Badaruddin et al., 2015).

870

871 **Figure 2.** Estimation of T_i for the 5% relative salinity contour in the passive SWI (Case 1) and
872 active SWI (Case 3) base cases.

873

874 **Figure 3.** Distribution of the 5% (black line), 50% (blue line) and 95% (red line) relative
875 salinity contours at 0 y, 15 y and 30 y for: (a) passive SWI base case (Case 1), and (b) active
876 SWI base case (Case 3).

877

878 **Figure 4.** Transient changes in SWI measurables. (a) Case 1 toe and tip position, (b) Case 3
879 toe and tip position, (c) Case 1 interface slope, (d) Case 3 interface slope, (e) Cases 1 and 3
880 mixing zone widths, and (f) Cases 1 and 3 freshwater discharge to the sea. In (a), (b) and (e),
881 solid and dotted lines are the interface toe and tip, respectively. The black, blue and red lines
882 in (a) to (d) are the 5%, 50% and 95% relative salinities.

883

884 **Figure 5.** Effects of different final boundary head differences (h'_{f-s} of 0 m (black; Case 2), -1
885 m (green; Case 3) and -2 m (red; Case 4)) on active SWI: (a) tip and toe, (b) slope based on the
886 50% relative salinity contour, (c) interface width, and (d) seaward freshwater discharge. Solid
887 and dashed lines in (a) and (c) are the interface toe and tip, respectively.

888

889 **Figure 6.** Distribution of the 5%, 50% and 95% relative salinity contours (solid lines) at 0 and
890 15 y using various values of: (a) hydraulic conductivity (Cases 7 and 11), and (b) porosity
891 (Cases 39 and 43). Dashed lines represent salinity distributions of the active SWI base case.

892

893 **Figure 7.** Distribution of the 5%, 50% and 95% relative salinity contours at 0 and 15 y using
894 various values of: (a) longitudinal dispersivity (Cases 15 and 19), and (b) aquifer thickness
895 (Cases 31 and 35). Dashed lines represent salinity distributions of the active SWI base case.

896

897 **Figure 8.** Distribution of the 5%, 50% and 95% relative salinity contours at 0 and 15 y using
898 various values of seawater density: (a) 1020 kg/m³ (Case 23), and (b) 1030 kg/m³ (Case 27).
899 Dashed lines represent salinity distributions of the active SWI base case.

900

901 **Figure 9.** Scatter plot of the differences between the groundwater velocity (v) and the interface
902 toe (\square) and tip ($+$) in active SWI simulations. Black, blue and red symbols represent the cases
903 with h_{f-s} of 0, -1 and -2 m, respectively.

904

905 **Figure 10.** Linear regressions between $e^{h_{f-s}}$ and T_i for: (a) the 5% relative salinity contour,
906 (b) the 50% relative salinity contour, and (c) the 95% relative salinity contour, based on Cases
907 2 to 4 and 45 to 64.

908

909 **Figure 11.** Transient changes of: (a) interface locations, and (b) interface width, for Case 65.
910 Solid and dotted lines represent the interface toe and tip, respectively.

911

912 **Figure 12.** Linear regressions between $e^{h'_{f-s}}$ and T_i for: (a) the 5% relative salinity contour,
913 (b) the 50% relative salinity contour, and (c) the 95% relative salinity contour, in the field-scale
914 cases.

915

916

917 **Appendix A. The values of linear regression and determination coefficient in Figure 10**

918

Figure	FHD Δh_f	Number of data points	a	b	R^2
(-)	m	(-)	(-)	(-)	(-)
10a	2.00	3	-0.10	10.7	0.99
	2.50	4	2.42	9.48	0.99
	3.00	5	2.62	9.67	0.99
	3.50	6	3.17	9.48	0.99
	4.00	6	3.94	8.99	0.99
10b	2.00	3	0.73	12.4	0.99
	2.50	4	2.48	11.7	0.99
	3.00	5	3.43	11.3	0.99
	3.50	6	3.96	11.1	0.99
	4.00	6	4.61	10.7	0.99
10c	2.00	3	-11.5	48.9	0.98
	2.50	4	-4.37	44.1	0.97
	3.00	5	-0.50	41.3	0.97
	3.50	6	1.74	39.7	0.97
	4.00	6	2.21	39.5	0.97

919

920 **Appendix B. Passive SWI cases (at the initial steady-state and the second steady-state conditions after FHD)**

921

Case	Initial θ (50% contour)	Final θ (50% contour)	Initial x_{toe} (50% contour)	Final x_{toe} (50% contour)	Initial W_{toe}	Final W_{toe}	Initial Pe	Final Pe	Initial MCR	Final MCR	Initial A_{SWI}	Final A_{SWI}	Initial Q_f	Final Q_f
1	9.240	4.290	169.0	386.2	31.50	130.2	0.009930	0.01034	0.06771	0.02486	0.000630	0.000251	0.54167	0.19265
5	9.240	4.290	169.0	386.2	31.50	130.2	0.009980	0.01047	0.06771	0.02325	0.000633	0.000254	0.27083	0.09635
9	9.240	4.290	169.0	386.2	31.50	130.2	0.009910	0.01027	0.06771	0.02486	0.000628	0.000249	1.08333	0.38537
13	8.310	3.530	198.6	470.5	8.000	45.00	0.001030	0.001154	0.06771	0.02486	0.0000660	0.0000280	0.54167	0.19268
17	17.20	9.540	92.50	186.5	94.50	183.0	0.09887	0.1021	0.06771	0.02486	0.00627	0.00248	0.54167	0.19273
21	12.23	5.760	131.5	292.5	21.40	81.00	0.009920	0.01032	0.08854	0.03471	0.000808	0.000346	0.56667	0.21521
25	8.050	3.440	208.5	490.5	44.50	193.0	0.009930	0.01035	0.05382	0.01829	0.000507	0.000186	0.51667	0.17016
29	9.710	4.790	140.2	286.7	27.20	79.20	0.01135	0.01184	0.06513	0.02886	0.000694	0.000332	0.45594	0.19480
33	9.120	3.730	190.1	475.2	34.50	187.4	0.008830	0.009170	0.06178	0.02254	0.000514	0.000202	0.55603	0.19719
37	9.240	4.290	169.0	386.2	31.50	130.2	0.009920	0.01031	0.06771	0.02486	0.000629	0.000250	0.54171	0.19270
41	9.240	4.290	169.0	386.2	31.50	130.2	0.009940	0.01036	0.06771	0.02486	0.000630	0.000251	0.54164	0.19260

922

923 **Appendix C. Active SWI cases (at 15 y after FHD)**

924

Case	θ (50% contour)	x_{toe} (95% contour)	x_{toe} (50% contour)	x_{toe} (5% contour)	x_{tip} (95% contour)	x_{tip} (50% contour)	x_{tip} (5% contour)	W_{toe}	W_{tip}	Pe	A_{swi}	MCR	Q_f
2	4.110	280.2	410.6	450.4	0.0	0.0	27.80	170.2	27.80	0.01077	0.000159	0.01502	0.11265
3	3.460	373.3	547.5	611.3	31.50	59.20	165.8	238.0	134.3	0.01097	0.000584	0.05626	0.40790
4	3.890	481.1	692.8	778.8	203.6	273.4	479.8	297.7	276.2	0.01133	0.00102	0.09902	0.69314
6	7.990	183.2	210.2	290.8	0.0	0.0	10.60	107.6	10.60	0.01099	0.000163	0.01502	0.05634
7	4.750	265.2	375.0	412.8	0.0	8.800	30.60	147.6	28.60	0.01103	0.000588	0.05626	0.20395
8	4.720	384.5	495.0	612.1	140.0	150.3	346.6	227.6	206.6	0.01137	0.00102	0.09901	0.34657
10	3.620	372.0	502.5	645.8	0.00	36.00	58.10	273.8	58.10	0.01065	0.000158	0.01502	0.22523
11	3.120	470.4	730.2	825.2	124.9	184.5	410.0	354.8	285.1	0.01094	0.000583	0.05626	0.81581
12	4.100	580.3	823.2	993.1	270.0	470.5	668.1	412.8	398.1	0.01131	0.00102	0.09902	1.38634
14	3.660	436.0	460.7	492.8	0.0	0.0	13.80	56.80	13.80	0.001283	1.898E-5	0.01502	0.11262
15	2.700	578.6	650.7	665.5	15.30	25.60	49.10	86.90	33.90	0.001154	6.145E-5	0.05626	0.40792
16	2.620	730.1	800.7	876.9	167.0	177.0	240.0	146.8	73.80	0.001167	0.000105	0.09902	0.69319
18	6.070	40.00	282.8	320.6	0.0	5.000	226.1	280.6	226.1	0.1056	0.00156	0.01502	0.11262
19	11.28	140.1	362.8	530.8	74.60	214.9	461.4	390.6	386.8	0.1091	0.00581	0.05626	0.40785
20	45.71	237.3	452.8	723.9	226.0	425.0	710.2	486.6	484.2	0.1129	0.0102	0.09902	0.69315
22	4.350	251.2	387.8	394.2	0.0	0.0	20.00	143.0	20.00	0.01083	0.000160	0.01502	0.09009
23	3.840	347.3	497.8	552.3	34.90	58.10	155.1	205.0	120.1	0.01097	0.000684	0.06645	0.38538
24	4.100	460.0	687.8	720.5	207.1	290.2	447.0	260.5	234.0	0.01133	0.00121	0.1198	0.67065
26	3.630	307.2	464.8	508.5	0.0	0.0	31.00	201.3	31.00	0.01073	0.000159	0.01502	0.13514
27	3.160	397.2	594.8	668.6	30.30	61.00	182.0	271.3	151.7	0.01096	0.000517	0.04947	0.43043
28	3.180	502.1	814.8	836.0	203.4	301.0	512.0	333.9	308.6	0.01133	0.000890	0.08520	0.71569
30	4.130	240.2	360.0	390.0	0.0	0.0	21.00	150.0	21.00	0.01238	0.000225	0.01850	0.12025
31	3.520	313.2	470.0	534.2	30.00	51.00	160.0	221.0	130.0	0.01271	0.000810	0.06809	0.42555
32	3.740	418.4	650.0	688.4	205.2	268.0	425.2	270.0	220.0	0.01321	0.00142	0.1201	0.72082
34	3.990	310.3	480.0	520.3	0.0	0.0	31.00	210.0	31.00	0.009502	0.000141	0.01507	0.12813
35	3.390	431.3	610.0	680.3	35.1	62.00	159.1	249.0	124.0	0.009641	0.000492	0.05375	0.44344
36	3.720	514.6	817.5	854.6	200.0	302.0	500.0	340.0	300.0	0.009917	0.000849	0.09359	0.74873
38	3.590	337.5	480.0	537.5	0.0	10.00	35.00	200.0	35.00	0.01073	0.000159	0.01502	0.11268
39	3.380	426.5	634.0	715.5	65.20	100.0	271.2	288.5	206.0	0.01096	0.000584	0.05626	0.40792
40	3.400	543.0	750.0	888.0	270.5	355.0	600	345.0	329.5	0.01133	0.00102	0.09902	0.69317

42	7.670	200.0	219.0	315.0	0.0	0.0	15.0	115.0	15.0	0.01081	0.000160	0.01502	0.11262
43	4.270	332.6	482.0	535.6	10.0	25.0	60.0	203.0	50.0	0.01098	0.000585	0.05626	0.40788
44	3.260	436.3	510.0	696.3	159.0	213.0	384.0	260.0	225.0	0.01134	0.00102	0.09902	0.69312
45	3.380	337.1	503.4	562.3	0.0	4.0	45.0	225.2	45.0	0.01081	0.000411	0.03955	0.29167
46	4.840	235.0	336.5	363.2	0.0	0.0	12.2	128.2	12.2	0.01099	5.971E-5	0.00546	0.04167
47	5.090	232.5	331.5	356.5	0.0	0.0	12.0	124	12	0.01099	5.971E-5	0.00546	0.04167
48	4.220	275.4	400.0	438.2	0.0	0.0	26.0	162.8	26	0.01077	0.000159	0.01502	0.11265
49	3.550	325.3	478.0	530.1	0.0	3.0	39.0	204.8	39	0.01081	0.000411	0.03955	0.29167
50	3.400	385.5	572.6	644.2	39.00	68.0	194.3	258.7	155.3	0.01097	0.000584	0.05626	0.40790
51	3.530	437.5	645.0	728.6	125.0	179.7	367.5	291.1	242.5	0.01114	0.000898	0.08772	0.62500
52	5.140	230.0	328.0	352.5	0.0	0.0	12.6	122.5	12.6	0.01099	5.971E-5	0.00546	0.04167
53	4.290	272.0	393.5	431.0	0.0	0.0	25.3	159	25.3	0.01077	0.000159	0.01502	0.11265
54	3.640	319.8	466.5	516.0	0.0	3.0	37.5	196.2	37.5	0.01081	0.000411	0.03955	0.29167
55	3.790	494.0	718.5	814.0	217.5	288.0	515.0	320	297.5	0.01133	0.00102	0.09902	0.69314
56	5.000	229.5	326.0	350.7	0.0	0.0	12.7	121.2	12.7	0.01099	5.971E-5	0.00546	0.04167
57	4.180	269.2	391.0	426.5	0.0	0.0	24.3	157.3	24.3	0.01077	0.000159	0.01502	0.11265
58	3.740	315.5	459.6	508.3	0.0	1.0	36.5	192.8	36.5	0.01081	0.000411	0.03955	0.29167
59	3.460	367.0	535.5	597.4	28.00	47.0	144.1	230.4	116.1	0.01097	0.000584	0.05626	0.40790
60	5.030	228.5	324.0	349.0	0.0	0.0	11.5	120.5	11.5	0.01099	5.971E-5	0.00546	0.04167
61	4.210	268.0	387.5	424.0	0.0	0.0	23.4	156	23.4	0.01077	0.000159	0.01502	0.11265
62	3.780	313.2	456.4	504.0	0.0	2.0	35.5	190.8	35.5	0.01081	0.000411	0.03955	0.29167
63	3.530	363.0	528.0	588.5	25.00	45.0	135.0	225.5	110.0	0.01097	0.000584	0.05626	0.40790
64	3.610	418.5	606.7	678.5	108.0	154.5	317.0	260	209	0.01114	0.000898	0.08772	0.62500
65	9.210	1613	2700	3725	1517	2475	3625	2112	2108	0.08736	0.00311	0.03691	5.5455

926 **Appendix D. The values of linear regression and determination coefficient in Figure 12**

927

Figure	FHD Δh_f	Number of data points	a	b	R^2
(-)	m	(-)	(-)	(-)	(-)
12a	5.40	5	1.43	1.94	0.99
	5.80	5	1.78	1.68	0.99
	6.20	5	2.03	1.44	0.99
	6.60	5	2.25	1.20	0.99
	7.00	5	2.46	0.93	0.99
12b	5.40	5	2.43	5.35	0.99
	5.80	5	2.91	4.93	0.99
	6.20	5	3.18	4.61	0.99
	6.60	5	3.40	4.54	0.99
	7.00	5	3.75	4.13	0.99
12c	5.40	5	1.63	24.86	0.98
	5.80	5	1.90	24.53	0.98
	6.20	5	2.10	24.23	0.98
	6.60	5	2.34	24.16	0.98
	7.00	5	2.57	23.97	0.98

928